

Programa de doctorado de Física Nuclear

Reacciones Nucleares

<http://atomix.us.es/institucional/doctorado/>

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Direct versus compound reactions**DIRECT:** elastic, inelastic, transfer,...

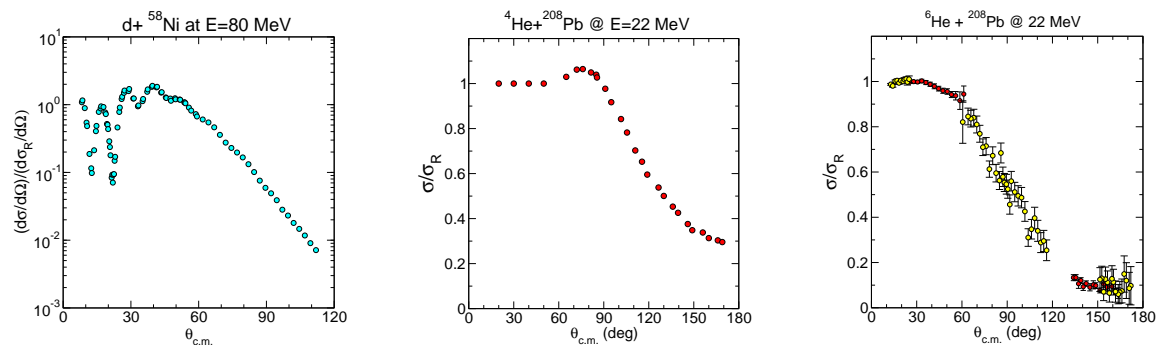
- only a few modes (degrees of freedom) involved
- small momentum transfer
- angular distribution asymmetric about $\pi/2$ (peaked forward)

COMPOUND: complete, incomplete fusion.

- many degrees of freedom involved
- large amount of momentum transfer
- "lose of memory" \Rightarrow almost symmetric distributions forward/backward

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Reacciones Nucleares – 3 / ??

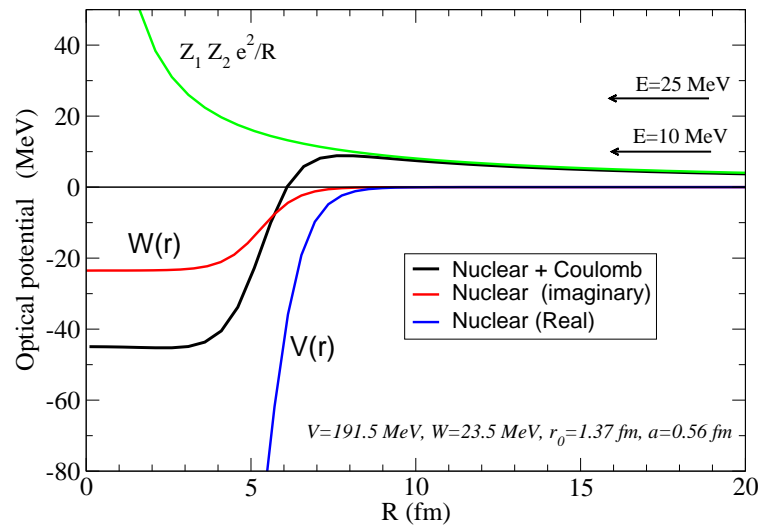
Elastic scattering**What can we learn from an optical model analysis of the elastic cross section?**

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Reacciones Nucleares – 4 / ??

Elastic scattering: phenomenology

EFFECTIVE PROJECTILE-TARGET INTERACTION:



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Reacciones Nucleares – 5 / ??

Elastic scattering: phenomenology

☞ Depending on the bombarding energy E and the charges of the interacting nuclei, we observe different types of elastic scattering.

☞ This can be characterized in terms of the Coulomb (or Sommerfeld) parameter:

$$\eta = \frac{Z_1 Z_2 e^2}{4\pi\epsilon_0 \hbar v}$$

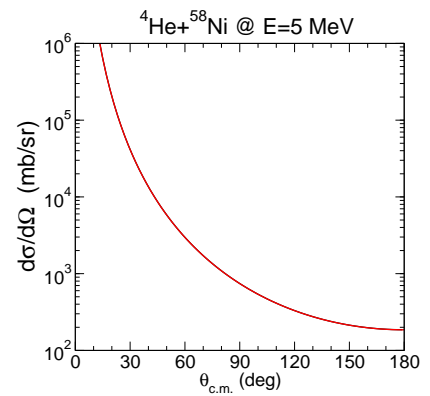
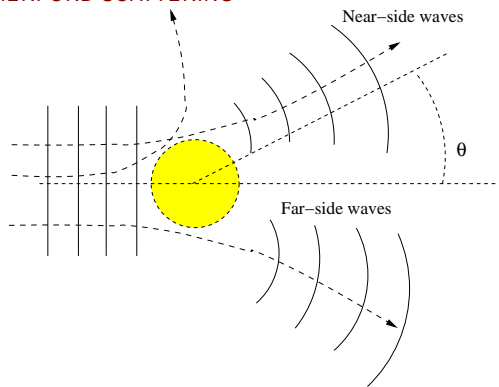
- E well above the Coulomb barrier ($\eta \lesssim 1$) \Rightarrow Fraunhofer scattering
- E around the Coulomb barrier ($\eta \gg 1$) \Rightarrow Fresnel scattering
- E well below the Coulomb barrier ($\eta \gg \gg 1$) \Rightarrow Rutherford scattering

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Reacciones Nucleares – 6 / ??

Elastic scattering: phenomenology

RUTHERFORD SCATTERING



- Purely Coulomb potential ($\eta \gg 1$)
- Bombarding energy well below the Coulomb barrier
- Obeys Rutherford law:

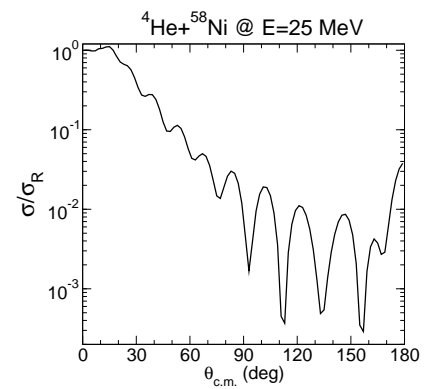
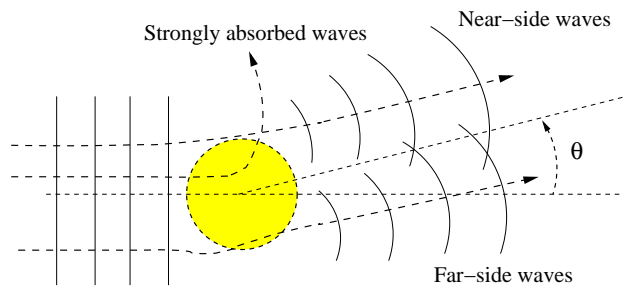
$$\frac{d\sigma}{d\Omega} = \frac{zZe^2}{4E} \frac{1}{\sin^4(\theta/2)}$$

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Elastic scattering: phenomenology

FRAUNHOFER SCATTERING:



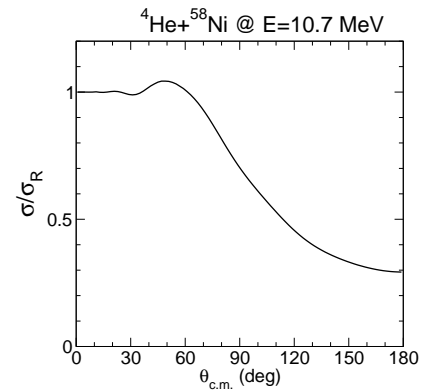
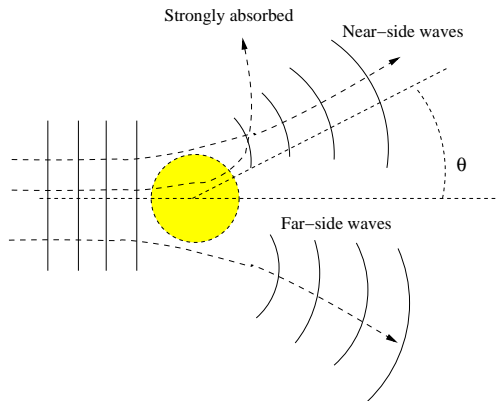
- Bombarding energy well above Coulomb barrier
- Coulomb weak ($\eta \lesssim 1$)
- Nearside/farside interference pattern (diffraction)

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Reacciones Nucleares – 8 / ??

Elastic scattering: phenomenology

FRESNEL SCATTERING:



- Bombarding energy around or near the Coulomb barrier
- Coulomb strong ($\eta \gg 1$)
- 'Illuminated' region \Rightarrow interference pattern (near-side/far-side)
- 'Shadow' region \Rightarrow strong absorption

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Elastic scattering: optical model

How does one describe the motion of a particle in quantum mechanics?

- **Hamiltonian:** $H = T_R + U(R)$
- $U(R)$: optical model \Rightarrow effective projectile-target interaction
- **Schrodinger equation:** $[H - E]\Psi(\mathbf{R}) = 0$
- **Partial wave expansion of the model wavefunction:**

$$\Psi(\mathbf{R}) = \sum_{LM} C^{LM} \frac{f^L(R)}{R} Y_{LM}(\hat{R})$$

- $f^L(R)$ obtained as solution of:

$$\left[-\frac{\hbar^2}{2\mu} \frac{d^2}{dR^2} + \frac{\hbar^2 L(L+1)}{2\mu R^2} + U(R) - E \right] f^L(R) = 0$$

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Elastic scattering: optical model

Numerical procedure:

1. Fix a matching radius, R_m , such that $V_{\text{nuc}}(R_m) \ll$
2. Integrate $f(R)$ from $R = 0$ up to R_m , starting with the condition:

$$\lim_{R \rightarrow 0} f^L(R) = 0$$

3. At $R = R_m$ impose the boundary condition:

$$f^L(R) \rightarrow I_L(R) - S_L O_L(R)$$

☞ S_L = scattering matrix

☞ I_L and O_L are the so called incoming and outgoing waves:

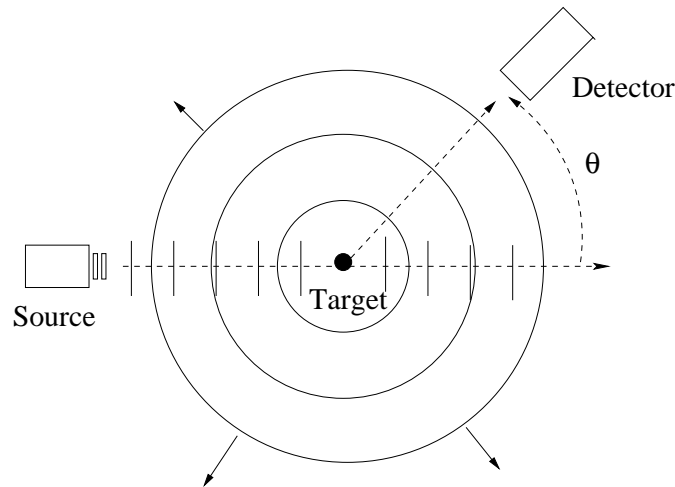
$$\begin{aligned} I_L(R) &= \frac{1}{\sqrt{v}}(KR) h_L^*(KR) \propto e^{-i(KR - \eta \log 2KR)} \\ O_L(R) &= \frac{1}{\sqrt{v}}(KR) h_L(KR) \propto e^{i(KR - \eta \log 2KR)} \end{aligned}$$

The S-matrix

- S_L = coefficient of the outgoing wave for partial wave L .
- Phase-shifts: $S_L = e^{2i\delta_L}$
- $U(R) = 0 \Rightarrow$ No scattering $\Rightarrow S_L = 1 \Rightarrow \delta_L = 0$
- U real $\Rightarrow |S_L| = 1 \Rightarrow \delta_L$ real
- U complex $\Rightarrow |S_L| < 1 \Rightarrow \delta_L$ complex
- For $L \gg \Rightarrow S_L \rightarrow 1$

Elastic scattering: the scattering amplitude

Which one of the many solutions of Schrödinger equation is the one that correspond to a scattering experiment?



$$\Psi_{\mathbf{K}_i}(\mathbf{R}) = e^{i\mathbf{K}_i \cdot \mathbf{R}} + \chi_{\mathbf{K}_i}^{(+)}(\mathbf{R})$$

- Scattering amplitude: $A(\theta)$

$$\Psi_{\mathbf{K}_i}(\mathbf{R}) = e^{i\mathbf{K}_i \cdot \mathbf{R}} + \chi_{\mathbf{K}_i}^{(+)}(\mathbf{R}) \rightarrow e^{i\mathbf{K}_i \cdot \mathbf{R}} + A(\theta) \frac{e^{iK_i R}}{R}$$

- Partial wave decomposition:

$$\Psi_{\mathbf{K}_i}(\mathbf{R}) = \frac{1}{R} \sum_{LM} C^{LM} f^L(R) Y_{LM}(\hat{R}) \rightarrow \frac{1}{R} \sum_{LM} C^{LM} [I_L(R) - S_L O_L(R)] Y_{LM}(\hat{R})$$

- Incident plane wave:

$$\begin{aligned} e^{i\mathbf{K}_i \cdot \mathbf{R}} &= \sum_{LM} 4\pi Y_{LM}^*(\hat{K}_i) i^L Y_{LM}(\hat{R}) j_L(K R) \\ &= \sum_{LM} \frac{2\pi i \sqrt{v}}{K R} Y_{LM}^*(\hat{K}_i) i^L Y_{LM}(\hat{R}) [I_L(R) - O_L(R)] \end{aligned}$$

- Outgoing spherical waves:

$$\chi_{\mathbf{K}_i}^{(+)}(\mathbf{R}) \rightarrow \sum_{LM} \frac{2\pi i \sqrt{v}}{K R} Y_{LM}^*(\hat{K}_i) (1 - S^L) Y_{LM}(\hat{R}) O_L(R)$$

Scattering amplitude and cross sections

- Scattering amplitude:

- ◆ Nuclear potential alone:

$$A(\theta) = \frac{i}{2K} \sum_L (2L+1) P_L(\cos \theta) (1 - S^L)$$

- ◆ Nuclear+Coulomb: $A(\theta) = A_C(\theta) + A'(\theta)$

$$A_C(\theta) = \frac{i}{2K} \sum_L (2L+1) (1 - e^{2i\sigma_L}) P_L(\cos \theta)$$

$$A'(\theta) = \frac{i}{2K} \sum_L (2L+1) e^{2i\sigma_L} (1 - S^L) P_L(\cos \theta)$$

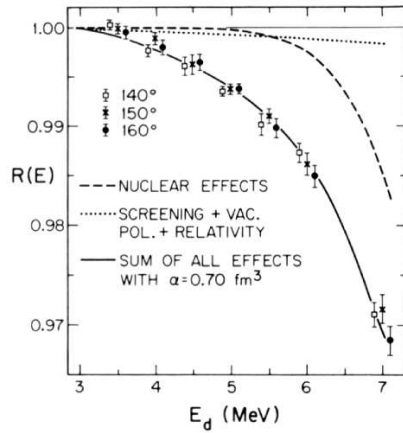
- Differential cross section:

$$\frac{d\sigma}{d\Omega} = |A(\theta)|^2$$

Extracting structure information from elastic scattering measurements

Eg: deuteron polarizability from $d+^{208}\text{Pb}$:

- Deuteron polarizability: $P = \alpha E$
- For $E < V_b$, the main deviation from Rutherford scattering comes from dipole polarizability.
- In the adiabatic limit ($E_x \gg$): $V_{\text{dip}} = -\alpha \frac{Z_1 Z_2 e^2}{2R^4}$



Rodning, Knutson, Lynch and Tsang, PRL49, 909 (1982)

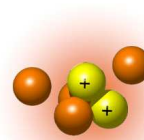
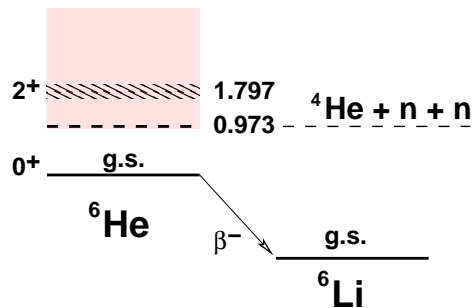
$$\alpha = 0.70 \pm 0.05 \text{ fm}^3$$

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Reacciones Nucleares – 15 / ??

Halo and Borromean nuclei: the ^6He case

- Radioactive:**
 $^6\text{He} \xrightarrow{\beta^-} ^6\text{Li} \quad (t_{1/2} \simeq 807 \text{ ms})$
- Weakly bound:**
 $\epsilon_b = -0.973 \text{ MeV}$
- Neutron halo**
- Borromean system:**
n-n and α -n unbound
- ~ 3 body system:**
 α almost inert

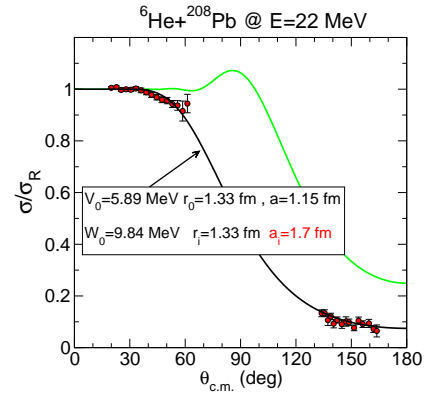
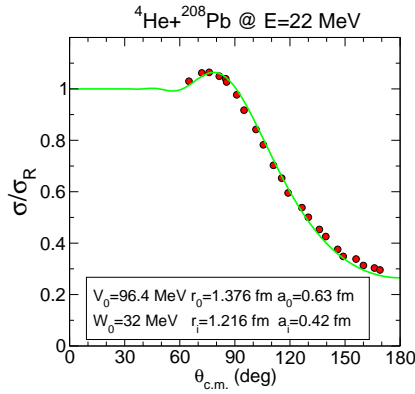


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Reacciones Nucleares – 16 / ??

Normal versus halo nuclei

How does the halo structure affect the elastic scattering?



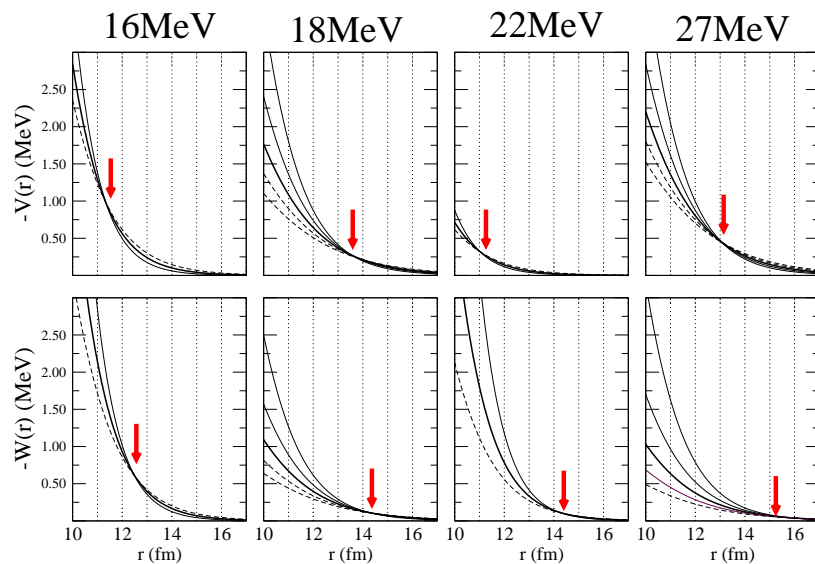
- $^4\text{He} + ^{208}\text{Pb}$ shows typical Fresnel pattern → **strong absorption**
- $^6\text{He} + ^{208}\text{Pb}$ shows a prominent reduction in the elastic cross section due to the flux going to other channels (mainly break-up)
- $^6\text{He} + ^{208}\text{Pb}$ requires a large imaginary diffuseness → **long-range absorption**

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Reacciones Nucleares – 17 / ??

Optical model calculations for $^6\text{He} + ^{208}\text{Pb}$

RADIUS OF SENSITIVITY OF $V(r)$ AND $W(r)$

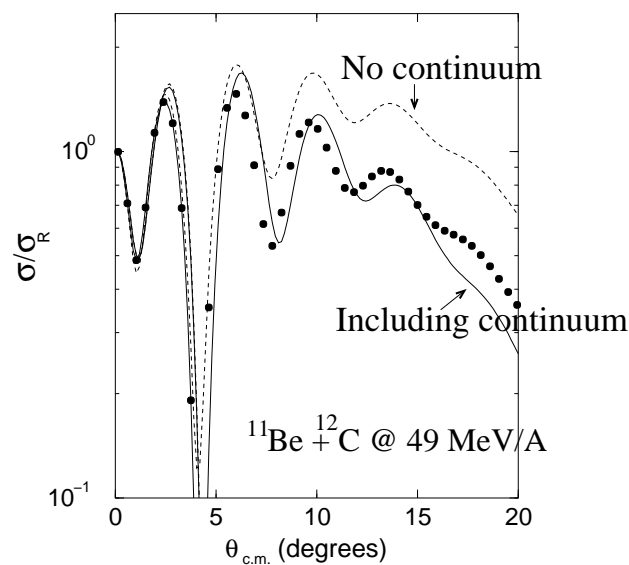


Imaginary part ⇒ long range compared to strong absorption radius

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Reacciones Nucleares – 18 / ??

Normal versus halo nuclei: Fraunhofer



☞ In Fraunhofer scattering the presence of the continuum produces a reduction of the elastic cross section

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Reacciones Nucleares – 19 / ??

Fresco, Xfresco and Sfresco

● What is FRESKO?

Program developed by Ian Thompson since 1983, to perform coupled-reaction channels calculations in nuclear physics.

● Some general features:

- ◆ Multi-platform (Windows, Linux, Unix, VAX)
- ◆ Treats many direct reaction models: elastic scattering (optical model), transfer, inelastic excitation to bound and unbound states, etc
- ◆ Can be run in text mode and graphical mode (XFRESKO interface)
- ◆ FRESKO and XFRESKO can be freely downloaded at <http://www.fresco.org.uk/>
- ◆ SFRESKO: Extension of Fresco, to provide χ^2 searches of potential and coupling parameters.

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Optical model calculations with Fresco

Essential ingredients of an OM calculation:

- **Physical:**

- Identify projectile and target (mass, spin, etc)
- Incident energy
- Parametrization of the optical potential

- **Numerical:**

- Radial step for numerical integration (HCM in fresco)
- Maximum radius R for integration (RMATCH)
- Maximum angular momentum L . (JTMAX)

RMATCH and JTMAX are linked by: $kR_g (1 - 2\eta/kR_g) \approx L_g + 1/2$
(L_g =grazing angular momentum)

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Reacciones Nucleares – 21 / ??

Elastic scattering: optical model

Effective potential: $U(R) = U_{\text{nuc}}(R) + U_{\text{coul}}(R)$

- **Coulomb potential:** charge sphere distribution

$$U_c(R) = \begin{cases} \frac{Z_1 Z_2 e^2}{2R_c} \left(3 - \frac{R^2}{R_c^2} \right) & \text{if } R \leq R_c \\ \frac{Z_1 Z_2 e^2}{R} & \text{if } R \geq R_c \end{cases}$$

- **Nuclear potential (complex):** Woods-Saxon parametrization

$$U_{\text{nuc}}(R) = V(r) + iW(r) = -\frac{V_0}{1 + \exp\left(\frac{R-R_0}{a_0}\right)} - i \frac{W_0}{1 + \exp\left(\frac{R-R_i}{a_i}\right)}$$

Typically: $R_0 = r_0(A_p^{1/3} + A_t^{1/3})$

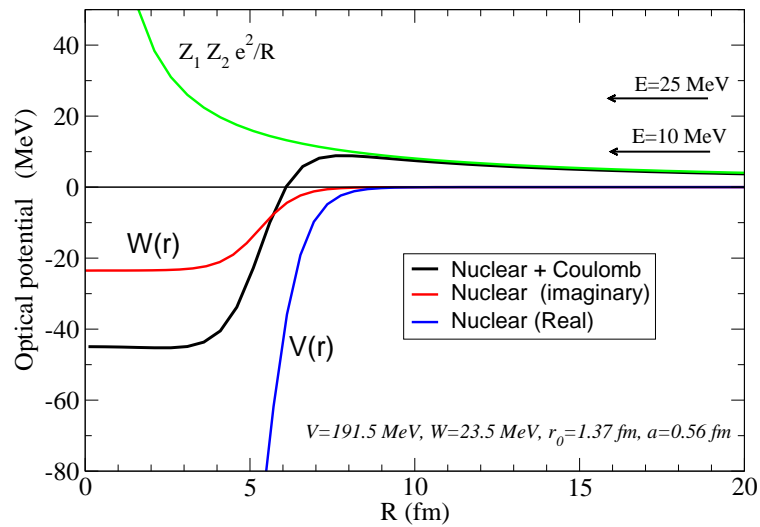
- ◆ r_0 =reduced radius ($r_0 \sim 1.1 - 1.4$ fm)
- ◆ A_p, A_t : projectile, target masses (amu)

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Reacciones Nucleares – 22 / ??

Elastic scattering: effective potential

Effective potential: $U(R) = U_{nuc}(R) + U_{coul}(R)$



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Reacciones Nucleares – 23 / ??

OM example: $^4\text{He} + ^{58}\text{Ni}$

Input example: 4he58ni_e10.in

```
4he58ni_e10.in: 4He + 58Ni elastic scattering Ecm=10.0 MeV
NAMELIST
&FRESCO hcm=0.1 rmatch=25.0 jtmax=30
          thmin=1.0 thmax=180.0 thinc=2.0
          smats=2 xstabl=1
          elab=10.7 /

&PARTITION namep='ALPHA' massp=4 zp=2 namet='58Ni' masst=58 zt=28 nex=1 /
&STATES jp=0.0 bandp=1 ep=0.0 cpot=1 jt=0.0 bandt=1 et=0.0 /
&partition /

&POT kp=1 at=58 rc=1.4 /
&POT kp=1 type=1
          p1=191.5 p2=1.37 p3=0.56 p4=23.5 p5=1.37 p6=0.56 /
&pot /

&overlap /
&coupling /
```

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Elastic scattering example

General variables

```
&FRESKO hcm=0.1 rmatch=25.0 jtmax=30  
  thmin=1.00 thmax=180.00 thinc=2.00  
  smats=2 xstabl=1  
  elab=10.7 /
```

Mass partitions & states

```
&PARTITION namep='ALPHA' massp=4 zp=2 namet='58Ni' masst=58 zt=28  
  nex=1 /  
&STATES jp=0.0 bandp=1 ep=0.0 cpot=1 jt=0.0 bandt=1 et=0.0 /  
&partition /
```

Potentials

```
&POT kp=1 itt=F at=58 rc=1.4 /  
&POT kp=1 type=1  
  p1=191.5 p2=1.37 p3=0.56 p4=23.5 p5=1.37 p6=0.56 /  
&pot /
```

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Reacciones Nucleares – 25 / ??

Elastic scattering example

Essential input variables: FRESKO namelist

```
&FRESKO hcm=0.1 rmatch=25.0 jtmax=30  
  thmin=1.00 thmax=180.00 thinc=2.00  
  smats=2 xstabl=1  
  elab=10.7 /
```

- **hcm**: step for integration of radial equations.
- **rmatch**: matching radius (for $R > R_{MATCH}$ asymptotic behaviour is assumed)
- **elab**: laboratory energy
- **jtmax**: maximum total angular momentum (projectile+target+relative)
- **smats**: trace variable
smats=2 → print elastic S-matrix
- **xstabl**: trace variable
xstabl=1 → print cross sections

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Reacciones Nucleares – 26 / ??

Elastic scattering with Fresco

Essential input variables: partitions and states

```
&PARTITION namep='ALPHA' massp=4 zp=2 namet='58Ni' masst=58 zt=28  
nex=1 /
```

- **namep** / **namet**: projectile / target name
- **massp** / **masst**: projectile / target mass (amu)
- **zp** / **zt**: projectile / target charge
- **nex**: number of (pairs) of states in this partition

```
&STATES jp=0.0 bandp=1 ep=0.0 cpot=1 jt=0.0 bandt=1 et=0.0 /
```

- **jp** / **jt**: projectile / target spins
- **bandp** / **bandt**: projectile / target parities (± 1)
- **cpot**: index of potential for this pair of states.

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Reacciones Nucleares – 27 / ??

Elastic scattering with fresco

```
&POT kp=1 type=0 ap=0 at=58 rc=1.4 /  
&POT kp=1 type=1 shape=0  
p1=191.5 p2=1.37 p3=0.56 p4=23.5 p5=1.37 p6=0.56 /  
&pot /
```

- **kp**: index to identify this potential
- **ap**, **at**: projectile and target mass, for conversion from reduced to physical radii: $R = r(ap^{1/3} + at^{1/3})$
- **type**, **shape**: potential category and shape: \Rightarrow
 - ◆ **type=0**: Coulomb potential
shape=0: uniform charge sphere
 - ◆ **type=1**: volume nuclear potential
shape=0: Woods-Saxon shape
- **rc**: reduced radius for charge distribution
- **p1, p2, p3**: V_0, r_0, a_0 (real part)
- **p4, p5, p6**: W_0, r_i, a_i (imaginary part)

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Reacciones Nucleares – 28 / ??

Xfresco interface

General variables:

File Edit Run Options About

Integration Trace CC, iterations... Partitions Potentials Overlaps Couplings

Integration

Radial step: HCM 0.1

Matching radius: RMATCH 25.0

Intervals for N-L kernels (RINTP): 0.5

Step size for NL range: HNL 0

Center for NL range: CENTRE 0

NL range: RNL 0

Step size for 2N distance: HNN 0

Min. radius for 2N distance: RMIN 0

Max. radius for 2N distance: RNN 0

State radius for s.p. states: RSP 0

☐ Use Coupled Coulomb w.f. CCWF parameters ...

J interval

JMIN (=J1): 0

JMAX (=J5): 30

☐ Use absend 0

☐ Include only incoming channel for J<JMIN

J intervals ...

Near-side / Far-side analysis

Elastic channel Usual cross sections

Angular range

THMIN 1.00

THMAX 180.00

THINC 2.00

Incoming channel

Energy intervals: ELAB 10.7 0 0 0 0 NLAB: 0 0 0

Incoming plane waves are present in partition (PEL) 1 with excitation pair (EXL) 1

Especified energies refer to (LIN) projectile for partition (LAB) 1 in excitation pair (LEX) 1

OK

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Reacciones Nucleares – 29 / ??

Optical model with XFRESKO

Partitions & states:

File Edit Run Options About

Integration Trace CC, iterations... Partitions Potentials Overlaps Couplings

Projectile

Nucleus A Z

ALPHA 4 2

Target

Nucleus A Z

58Ni 58 28

Q-value: 0.0000

☐ PWF

Readstates:

☐ Do not print xsec for this partition [NEX<0]

Add

Replace

Insert

Delete

Projectile	Mass	Z	Target	Mass	Z	Q value	PWF	xsec?	Readstates
ALPHA	4	2	58Ni	58	28	0.0000	F	T	

Excited states for selected partition

Index: 1 J Copy Band E K T

Projectile 0.0 0.0 0.0 0.0

Target 0.0 0.0 0.0 0.0

☐ FEXCH ☐ IGNORE

INFAM=0 0

OUTFAM=0

Optical potential [CPOT]: 1

Replace

Insert after

Add

Delete

J proj.	Copy P.	Band P.	E proj.	K proj.	T proj.	cpot	J targ.	Copy T	Band T.	E targ.	K targ.	T targ.	EX
0.0	1	0.0	0.0	0.0	1	0.0	1	0.0	0.0	0.0	0.0	0.0	F

OK

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Optical model with XFRESKO

Potentials:

The screenshot shows the XFRESKO software interface with the 'Potentials' tab selected. The main window contains the following elements:

- Menu Bar:** File, Edit, Run, Options, About
- Toolbar:** Integration, Trace, CC, iterations..., Partitions, Potentials, Overlaps, Couplings
- Potential Settings:**
 - Pot. Index (kP): 1
 - Type: 1.-Central potential, volume
 - Shape: 0.-Woods-Saxon
 - Parameters:

p1 (Vo)	p2 (r0)	p3 (a0)	p4 (W)	p5 (n)	p6 (ai)	p7
191.5	1.37	0.56	23.5	1.37	0.56	0
 - Buttons: Add, Insert, Replace, Delete
- Table of Potentials:**

KP	Type	Shape	itt	p1-Vo	p2-r0	p3-a0	p4-W	p5-ni	p6-ai	p7	Add prev?
1	0	0	F	0	56	1.4	0				
1	1	0	F	191.5	1.37	0.56	23.5	1.37	0.56	0	F
- Table of couplings:**
 - Couple state: IB = 2
 - with state IA = 1
 - Multipolarity (k): 1
 - Strength (STR):
 - Buttons: Add, Insert, Replace, Delete
- Table of Couplings:**

IB	IB-Desc	IA	IA-Desc	k	STR
- Buttons:** OK

Useful output information in OM calculations

Useful output files:

- Main output file (stdout)
- `fort.201`: Elastic scattering angular distribution
 - ◆ `thmax > 0`: relative to Rutherford.
 - ◆ `thmax < 0`: absolute units (mb/sr).
- `fort.7`: Elastic S-matrix (real part, imaginary part, angular momentum)
- `fort.56`: Fusion (absorption), reaction and inelastic cross section for each angular momentum

Elastic scattering: optical model

Dynamical effects: $^4\text{He} + ^{58}\text{Ni}$ at $E=5, 10.7, 25$ and 50 MeV

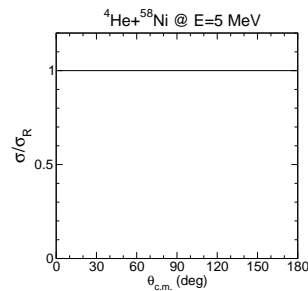
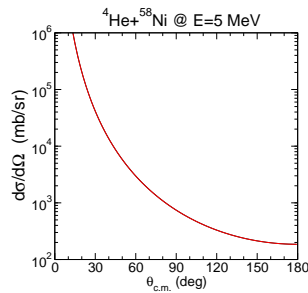
E_{lab} (MeV)	η	k (fm $^{-1}$)	$\lambda = 1/k$ (fm)	$2a_0$ (fm)
5	7.95	0.920	1.087	17.2
10.7	5.62	1.34	0.746	8.06
25	3.55	2.06	0.485	3.44
50	2.51	2.91	0.343	1.69

- $\eta \gg 1$: Rutherford scattering: $\sigma(\theta) \propto 1/\sin^4(\theta/2)$
- $\eta \gg 1$: Fresnel scattering (rainbow)
- $\eta \leq 1$: Fraunhofer scattering (oscillatory behaviour):

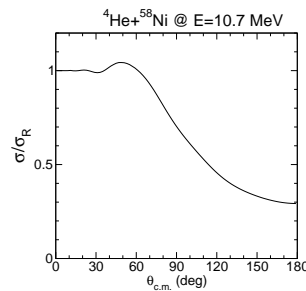
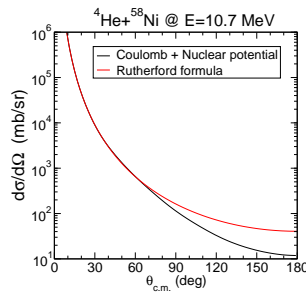
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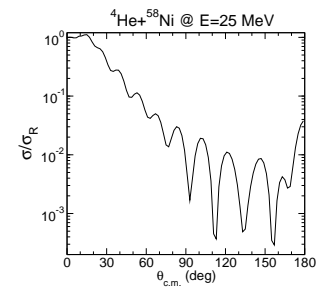
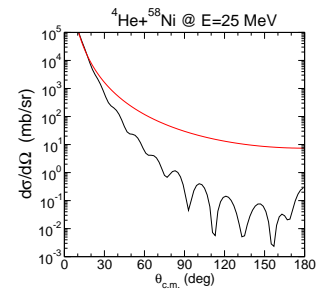
Elastic scattering: energy dependence



Rutherford scattering



Fresnel



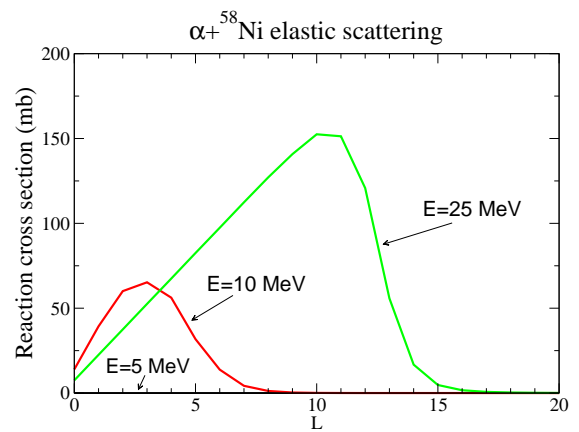
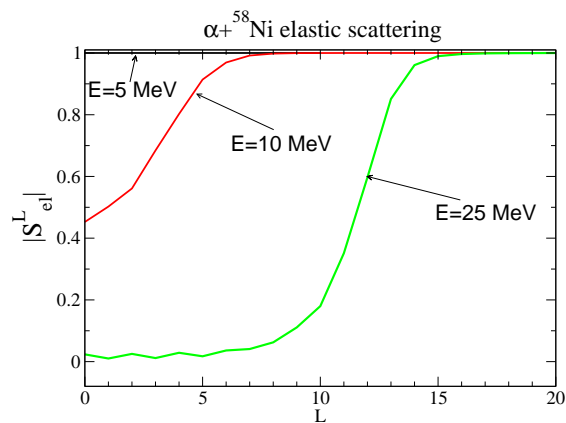
Fraunhöfer

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Elastic scattering: S-matrix elements

Elastic (nuclear) S-matrix (fort. 7): $f_{el}^L(r) = I_L(r) - S_{el}^L O_L(r)$



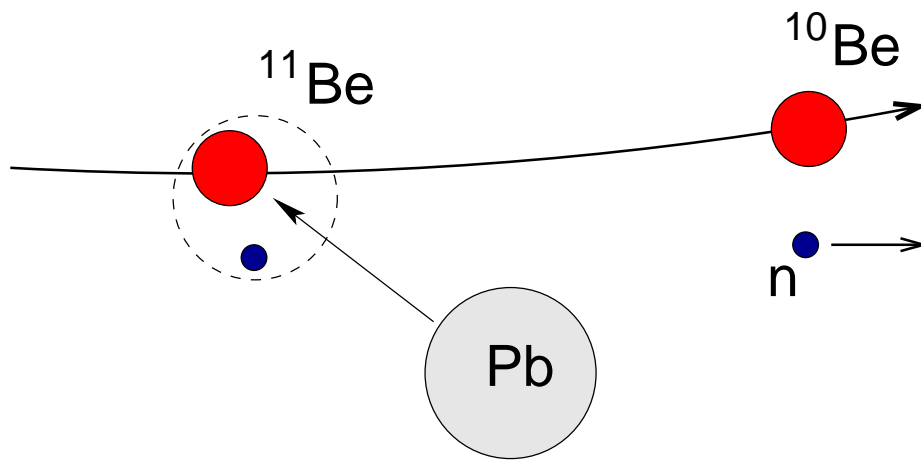
$$kR_g (1 - 2\eta/kR_g) \approx L_g + 1/2$$

\Rightarrow the number of partial waves required for convergence grows approximately as \sqrt{E}

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Inelastic scattering to bound states



Coupled-channels method

- **The Hamiltonian:** $H = T_R + h(\xi) + \Delta(\mathbf{R}, \xi)$
- **Internal states:** $h(\xi)\phi_n(\xi) = \epsilon_n\phi_n(\xi)$
- **Model wavefunction:** $\Psi(\mathbf{R}, \xi) = \phi_0(\xi)\chi_0(\mathbf{R}) + \sum_{n>0} \phi_n(\xi)\chi_n(\mathbf{R}) + \dots$
- **Coupled equations:** $[H - E]\Psi(\mathbf{R}, \xi)$

$$[E - \epsilon_n - T_R - V_{n,n}(\mathbf{R})]\chi_n(\mathbf{R}) = \sum_{n' \neq n} V_{n,n'}(\mathbf{R})\chi_{n'}(\mathbf{R})$$

- **Coupling potentials:**

$$V_{n,n'}(\mathbf{R}) = \int d\xi \phi_{n'}(\xi)^* \Delta(\mathbf{R}, \xi) \phi_n(\xi)$$

☞ $\phi_n(\xi)$ will depend on the structure model (collective, single-particle, etc).

Boundary conditions and scattering amplitude

- **Boundary conditions:**

$$\begin{aligned} \chi_0^{(+)}(\mathbf{R}) &\rightarrow e^{i\mathbf{K}_0 \cdot \mathbf{R}} + A_{0,0}(\theta) \frac{e^{iK_0 R}}{R} \quad (\text{elastic}) \\ \chi_n^{(+)}(\mathbf{R}) &\rightarrow A_{n,0}(\theta) \frac{e^{iK_n R}}{R}, \quad n \neq 0 \quad (\text{non - elastic}) \end{aligned}$$

☞ If Coulomb is present, then

$$\frac{e^{iKR}}{R} \rightarrow \frac{1}{R} e^{i(KR - \eta 2KR)}$$

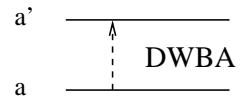
- **Cross sections:**

$$\frac{d\sigma_n(\theta)}{d\Omega} = \frac{K_n}{K_0} |A_{n,0}(\theta)|^2$$

DWBA approximation

- DWBA approximation:

$$\begin{aligned}[E - \epsilon_n - T_n - V_{n:n}(\mathbf{R})] \tilde{\chi}_n(\mathbf{K}, \mathbf{R}) &= 0 \\ [E - \epsilon_{n'} - T_{n'} - V_{n':n'}(\mathbf{R})] \tilde{\chi}_{n'}(\mathbf{K}', \mathbf{R}) &= 0\end{aligned}$$



- Scattering amplitude:

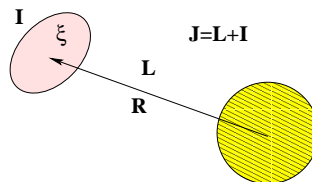
$$A(\mathbf{K}', \mathbf{K})_{n',n} = -\frac{2\mu}{4\pi\hbar^2} \int d\mathbf{R} \tilde{\chi}_{n'}^{(-)}(\mathbf{K}', \mathbf{R}) V_{n':n}(\mathbf{R}) \tilde{\chi}_n^{(+)}(\mathbf{K}, \mathbf{R})$$

☞ The DWBA approximation amounts at solving the CC equations to first order (Born approximation)

☞ In practice, phenomenological optical potentials that fit the elastic cross section in the respective channels are used instead of $V_{n,n}$ and $V_{n',n'}$

$$V_{n,n}(\mathbf{R}) \equiv \langle n | \Delta(\mathbf{R}, \xi) | n \rangle \rightarrow U_n(\mathbf{R})$$

Partial wave decomposition: the channel basis



- The channel basis:

$$\Phi_{nLI}^{JM_J}(\hat{R}, \xi) = \sum_{M_I M_L} i^L Y_{LM_L}(\hat{R}) |nIM_I\rangle \langle LM_L IM_I | JM_J\rangle$$

- Partial wave expansion of the total WF:

$$\Psi(\mathbf{R}, \xi) = \sum_{nLIJM} C^{JM_J} \frac{f_{nLI}^J(R)}{R} \Phi_{nLI}^{JM}(\hat{R}, \xi)$$

Coupled equations

- The coupled equations: (Comp. Phys. Comm. 40 (1986) 233-262)

$$\left(-\frac{\hbar^2}{2\mu} \frac{d^2}{dR^2} + \frac{\hbar^2 L(L+1)}{2\mu R^2} + \epsilon_n - E \right) f_{\beta}^J(R) + \sum_{\beta'} V_{\beta, \beta'}^J(R) f_{\beta'}^J(R) = 0$$

$$\beta \equiv \{n, L, I\}$$

- Coupling potentials:

$$V_{\beta, \beta'}^J(R) = \int d\hat{R} d\xi \Phi_{\beta}^{JM_J}(\hat{R}, \xi)^* \Delta(\mathbf{R}, \xi) \Phi_{\beta'}^{JM_J}(\hat{R}, \xi)$$

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Boundary conditions

Solution of the coupled equations:

1. Integrate the differential equation for $R \in [0, R_m]$ with the condition:

$$\lim_{R \rightarrow 0} f_{\beta; \beta_i}^J(R) = 0$$

2. Match the solution at R_m with the asymptotic form \Rightarrow S-matrix:

$$f_{\beta; \beta_i}^J(R) \rightarrow \delta_{\beta, \beta_i} I_{\beta}(R) - S_{\beta, \beta_i}^J O_{\beta}(R)$$

$$I_{\beta}(R) = (K_n R) h_L^*(K_n R) / \sqrt{v_n}$$

$$O_{\beta}(R) = (K_n R) h_L(K_n R) / \sqrt{v_n}$$

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Scattering wavefunction

- Wavefunction that corresponds to the experimental condition:

$$\Psi_{\mathbf{K}_i, n_i I_i M_i}(\mathbf{R}, \xi) = e^{i\mathbf{K}_i \cdot \mathbf{R}} |n_i I_i M_i\rangle + \chi_{\mathbf{K}_i, n_i I_i M_i}^{(+)}(\mathbf{R}, \xi)$$

- The outgoing wave:

$$\begin{aligned} \chi_{\mathbf{K}_i, n_i I_i M_i}^{(+)}(\mathbf{R}, \xi) &= \sum_{JM_J L_i N_i} \frac{2\pi i \sqrt{v_i}}{k_i R} \langle L_i N_i I_i M_i | JM_J \rangle Y_{L_i N_i}^*(\hat{K}_i) \\ &\times \sum_{nIL} \left(\delta_{n, n_i} \delta_{I, I_i} \delta_{n, n_i} - S_{nIL; n_i I_i L_i}^J \right) \Phi_{nLI}^{JM_J}(\hat{R}, \xi) O_{nIL}(R) \end{aligned}$$

- The scattering amplitude:

$$\begin{aligned} A(\mathbf{K}_i, \mathbf{K})_{n_i I_i M_i; n I M} &= \frac{2\pi i}{\sqrt{K K_i}} \sum_{JM_J L_i N_i} \langle L_i N_i I_i M_i | JM_J \rangle Y_{L_i N_i}^*(\hat{K}_i) \\ &\times \sum_{nILN} \langle LNIM | JM_J \rangle Y_{LN}(\hat{K}) \left(\delta_{n, n_i} \delta_{I, I_i} \delta_{L, L_i} - S_{nIL; n_i I_i L_i}^J \right) \end{aligned}$$

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Reacciones Nucleares – 44 / ??

Scattering amplitude and cross sections

- Elastic and inelastic cross sections:

$$\frac{d\sigma}{d\Omega}_{i \rightarrow n} = \frac{1}{2I_i + 1} \sum_{MM_i} |A(\mathbf{K}_i, \mathbf{K})_{n_i I_i M_i; n I M}|^2$$

☞ Coupled channels calculations give elastic and inelastic cross sections, if the states are properly described, if the interactions are known, and if all “relevant” channels are included

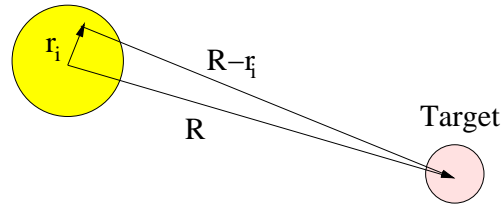
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Reacciones Nucleares – 45 / ??

Inelastic scattering: collective models

- Projectile-target Coulomb interaction:

$$V(\mathbf{R}, \xi) = \frac{Ze^2}{4\pi\epsilon_0} \sum_i \frac{1}{|\mathbf{R} - \mathbf{r}_i|}; \quad \xi \equiv \{\mathbf{r}_i\}$$



- Multipolar expansion:

$$\frac{1}{|\mathbf{R} - \mathbf{r}_i|} = \sum_{\lambda\mu} \frac{r_i^\lambda}{R^{\lambda+1}} \frac{4\pi}{2\lambda+1} Y_{\lambda\mu}(\hat{r}_i) Y_{\lambda\mu}^*(\hat{R}) \quad (R > r_i)$$

Inelastic scattering: collective models

- Electric multipole operator: $M(E\lambda, \mu) = e \sum_i r_i^\lambda Y_{\lambda\mu}(\hat{r}_i)$

- Monopole and transition operator:

$$V(\mathbf{R}, \xi) = V_0(R) + \Delta(\mathbf{R}, \xi) = \frac{Zze^2}{4\pi\epsilon_0 R} + \frac{Ze}{\epsilon_0} \sum_{\lambda \neq 0, \mu} \frac{M(E\lambda, \mu)}{2\lambda+1} \frac{Y_{\lambda\mu}^*(\hat{R})}{R^{\lambda+1}}$$

- Transition potentials:

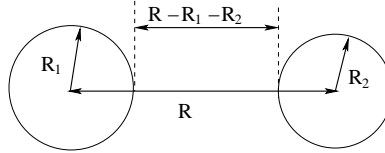
$$\Delta_{nm}(\mathbf{R}) = \frac{Ze}{\epsilon_0} \sum_{\lambda \neq 0, \mu} \frac{\langle nI_n M_n | M(E\lambda, \mu) | mI_m M_m \rangle Y_{\lambda\mu}^*(\hat{R})}{2\lambda+1} \frac{1}{R^{\lambda+1}}$$

Inelastic scattering: collective models

- **Central potential:** Typically $U_{\text{nuc}}(\mathbf{R}) = V(R - R_0)$, $R_0 = R_1 + R_2$.

⇒ Eg: WS parametrization

$$U(R) = -\frac{V_0}{1 + \exp\left(\frac{R-R_0}{a_r}\right)} - i \frac{W_0}{1 + \exp\left(\frac{R-R_i}{a_i}\right)}$$



Inelastic scattering: collective models

- **Non-spherical nucleus** → deformed surface $r(\theta, \phi) = R_0 + \sum_{\lambda\mu} \hat{\delta}_{\lambda\mu} Y_{\lambda\mu}^*(\theta, \phi)$

($\hat{\delta}_{\lambda\mu}$ = deformation length operators)

- **Deformed potential:** $V(\mathbf{R}, \xi) = V(R - r(\theta, \phi))$

- **Multipole expansion of the potential:**

$$V(\mathbf{R}, \xi) = U_0(R - R_0) - \frac{dU_0(R - R_0)}{dR} \sum_{\lambda\mu} \hat{\delta}_{\lambda\mu} Y_{\lambda\mu}^*(\theta, \phi)$$

- **Transition potential:**

$$\Delta_{nm}(\mathbf{R}) \equiv \langle n|V|m \rangle = -\frac{dU_0(R - R_0)}{dR} \sum_{\lambda} \langle nI_n M_n | \hat{\delta}_{\lambda\mu} | mI_m M_m \rangle Y_{\lambda\mu}^*(\hat{R})$$

⇒ The nuclear transition potentials are proportional to the matrix element of the deformation length operator.

Physical ingredients for collective excitations

- **Coulomb excitation** → electric reduced matrix elements

$$\Delta_{nm}(\mathbf{R}) = \frac{Ze}{\epsilon_0} \sum_{\lambda \neq 0, \mu} \frac{\langle nI_n M_n | M(E\lambda, \mu) | mI_m M_m \rangle}{2\lambda + 1} \frac{Y_{\lambda\mu}^*(\hat{R})}{R^{\lambda+1}}$$

$$\langle nI_n || M(E\lambda) || mI_m \rangle = \sqrt{(2I_n + 1)B(E\lambda; I_n \rightarrow I_m)}$$

- **Nuclear excitation (collective model)** → deformation lengths

$$\Delta_{nm}(\mathbf{R}) = -\frac{dV_0(R - R_0)}{dR} \sum_{\lambda} \langle nI_n M_n | \hat{\delta}_{\lambda\mu} | mI_m M_m \rangle Y_{\lambda\mu}^*(\hat{R})$$

within the rotational model:

$$\langle nI_n || \hat{\delta}_{\lambda} || mI_m \rangle = \delta_{\lambda} \sqrt{2I_n + 1} \langle I_n K \lambda 0 | I_m K \rangle \quad \delta_{\lambda} = \beta_{\lambda} R$$

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Reacciones Nucleares – 50 / ??

What do we learn by measuring inelastic scattering?

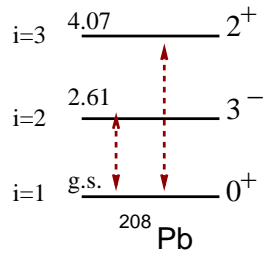
- **Structure**: Properties of $h(\xi)$ and its eigenstates: Spin and parity of excited states, $M(E\lambda)$ matrix elements, deformation parameters.
- **Interaction**: Properties of $V(\vec{r}, \xi)$: Response of the nucleus to the nuclear and coulomb field (transition potentials). Coulomb- nuclear interference effects.
- **Reaction mechanism**: Dynamics of the collision: States that should be included in the calculation, Dynamic polarization effects due to the coupling (coupled channels effects).

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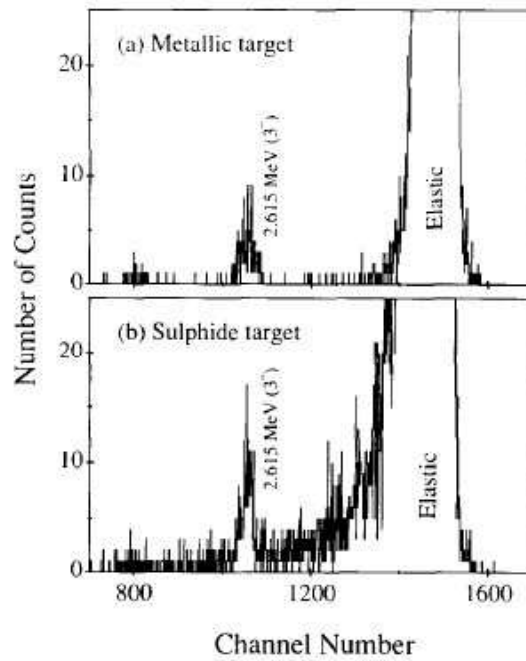
Reacciones Nucleares – 51 / ??

$^{16}\text{O} + ^{208}\text{Pb}$ inelastic scattering

Physical example: $^{16}\text{O} + ^{208}\text{Pb} \rightarrow ^{16}\text{O} + ^{208}\text{Pb}(3^-, 2^+)$



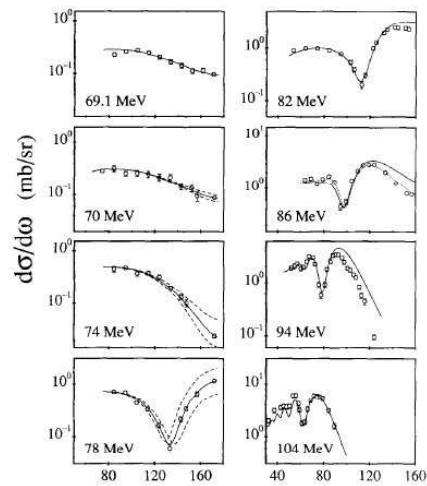
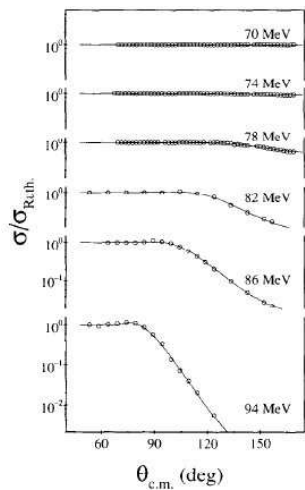
Nucl. Phys. A517 (1990) 193



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Reacciones Nucleares – 52 / ??

Collective excitations: example

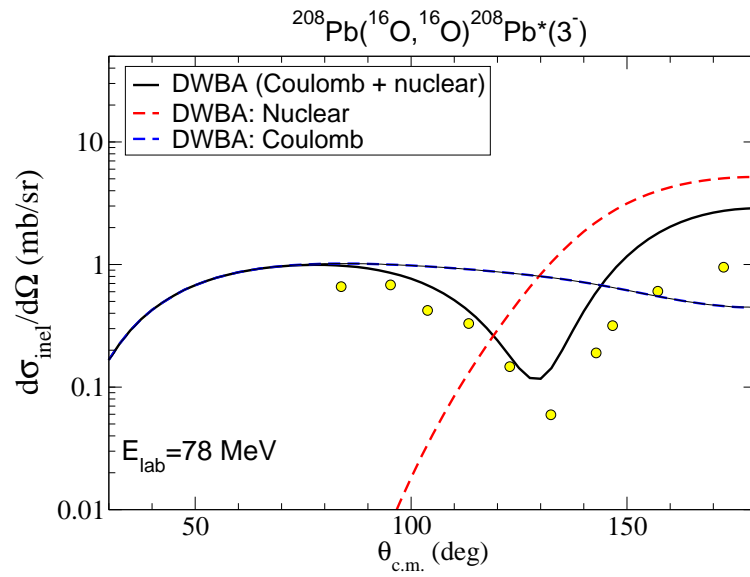


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Reacciones Nucleares – 53 / ??

$^{208}\text{Pb}(^{16}\text{O}, ^{16}\text{O})^{208}\text{Pb}$ inelastic scattering

Coulomb and Nuclear excitations can produce constructive or destructive interference:

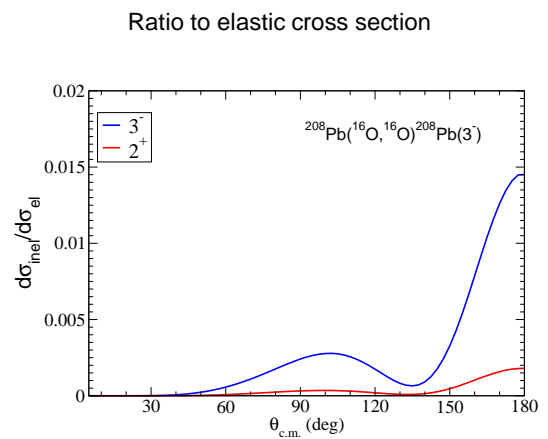
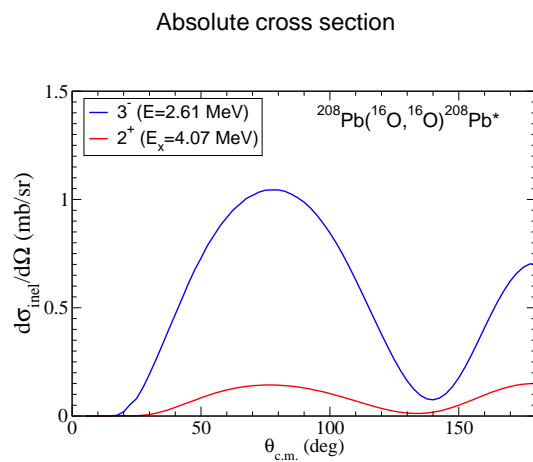


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Reacciones Nucleares – 54 / ??

$^{208}\text{Pb}(^{16}\text{O}, ^{16}\text{O})^{208}\text{Pb}$ inelastic scattering

Angular distribution of the ejectile in c.m. frame



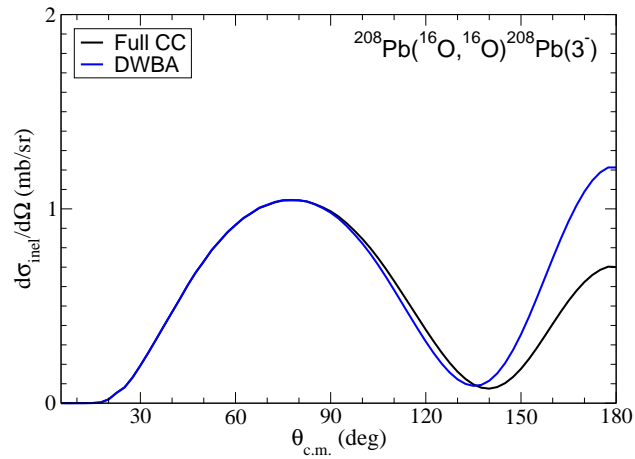
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Reacciones Nucleares – 55 / ??

$^{208}\text{Pb}(^{16}\text{O}, ^{16}\text{O})^{208}\text{Pb}$ inelastic scattering

CC versus DWBA:

- Full coupled-channels: iblock = 3, iter = 0
- DWBA: iblock = 0, iter = 1



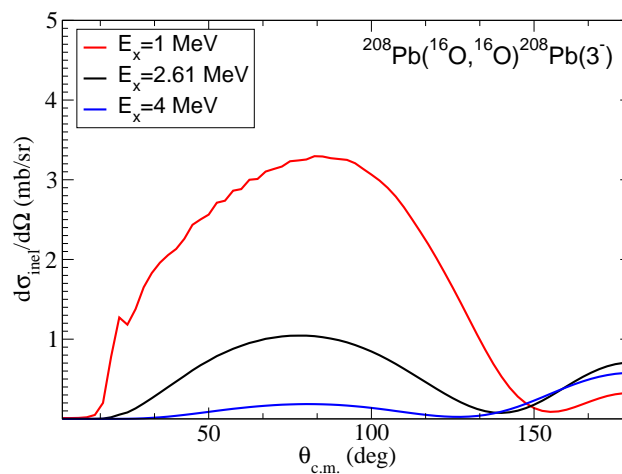
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Reacciones Nucleares – 56 / ??

Inelastic scattering

Effect of the excitation energy:

```
&STATES jp=0.0 copy=1 ep=0.0000 cpot=1 jt=3.0 bandt=-1 et=2.6100 /
```



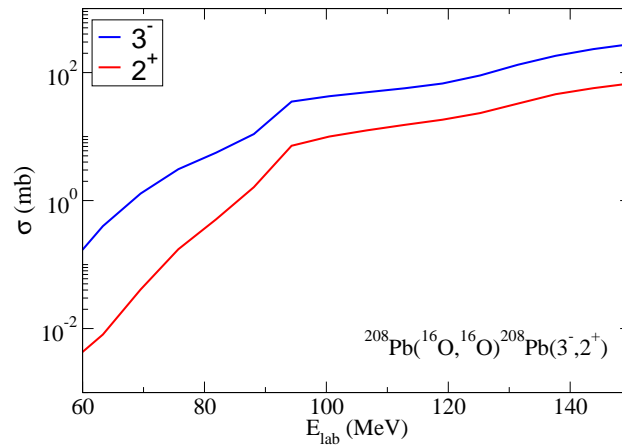
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Reacciones Nucleares – 57 / ??

$^{208}\text{Pb}(^{16}\text{O}, ^{16}\text{O})^{208}\text{Pb}$ inelastic scattering

Effect of the incident energy: In FRESKO main output:

OCUMULATIVE REACTION cross section = 11.22270 <L> = 47.07 <L**2> = 3441.3
 OCUMULATIVE outgoing cross sections in partition 1 : 0.00000 7.67943 0.99138



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Reacciones Nucleares – 58 / ??

Inelastic scattering: cluster model

- Some nuclei permit a description in terms of two or more clusters:
 $d=p+n$, $^6\text{Li}=\alpha+d$, $^7\text{Li}=\alpha+^3\text{H}$.

- Projectile-target interaction:

$$V(\mathbf{R}, \mathbf{r}) = U_1(\mathbf{R}_1) + U_2(\mathbf{R}_2)$$

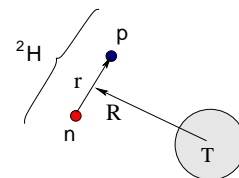
- Ej: Deuteron case:

$$\mathbf{R}_1 = \mathbf{R} + \frac{1}{2}\mathbf{r}; \quad \mathbf{R}_2 = \mathbf{R} - \frac{1}{2}\mathbf{r}$$

- Internal states: $[T_r + V_{pn}(\mathbf{r}) - \epsilon_n]\phi_n(\mathbf{r}) = 0$

- Transition potentials:

$$V_{n,n'}(\mathbf{R}) = \int d\mathbf{r} \phi_n^*(\mathbf{r}) V(\mathbf{r}, \mathbf{R}) \phi_{n'}(\mathbf{r})$$



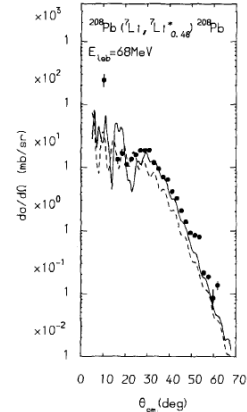
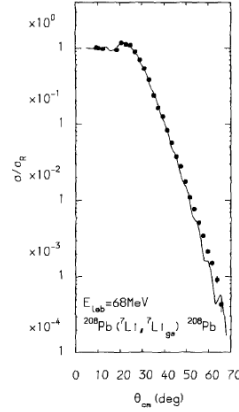
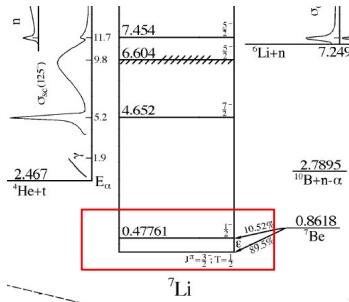
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Reacciones Nucleares – 59 / ??

Inelastic scattering: cluster model

Example 1: ${}^7\text{Li}(\alpha+t) + {}^{208}\text{Pb}$ at 80 MeV (Phys. Lett. 139B (1984) 150):

$$V_{n,n'}(\mathbf{R}) = \int d\mathbf{r} \phi_n^*(\mathbf{r}) [V_\alpha(\mathbf{r}_\alpha) + V_t(\mathbf{r}_t)] \phi_{n'}(\mathbf{r}); \quad n = 0, 1$$



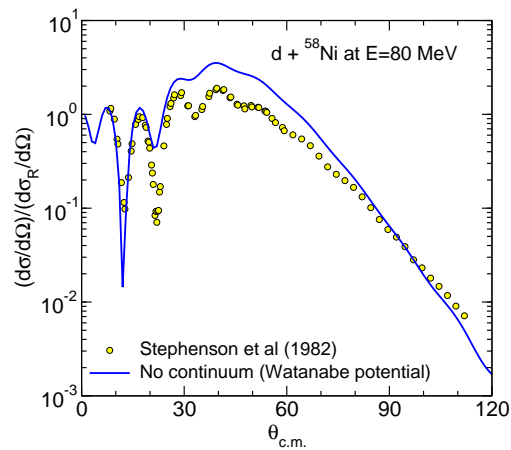
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Reacciones Nucleares – 60 / ??

Failure of the calculations without continuum

Example: Three-body calculation (p+n+ ${}^{58}\text{Ni}$) with Watanabe potential:

$$V_{dt}(\mathbf{R}) = \int d\mathbf{r} \phi_{gs}(\mathbf{r}) (V_{pt} + V_{nt}) \phi_{gs}(\mathbf{r})$$



⇒ Three-body calculations omitting breakup channels fail to describe the experimental data.

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Reacciones Nucleares – 61 / ??

Example for inelastic scattering

Proposed exercise: For the reaction $p+^{12}\text{C}$ at $E_{\text{lab}}=185$ MeV calculate:

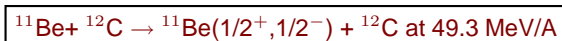
1. The elastic scattering angular distribution, using Kooning-Delaroche optical potential (you can get the parameters from RIPL-2 database: <http://www-nds.iaea.org/RIPL-2/>)
2. The angular distribution for the inelastic scattering populating the first excited state located at $E_x = 4.44$ MeV and with $J^\pi = 2^+$. Assume that this is a collective quadrupole excitation, and that the DWBA approximation is valid. Compare with the experimental data from Nucl. Phys. 69 (1965) 81-102.

Data: Deformation parameter: $\beta_2 = 0.6$. For the radius of the ^{12}C assume $R = 1.2 \times 12^{1/3}$.

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Input example for inelastic scattering with single-particle form factors



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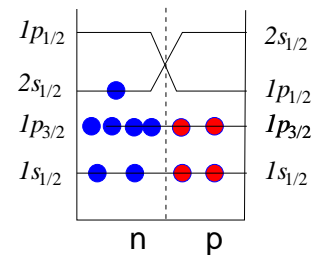
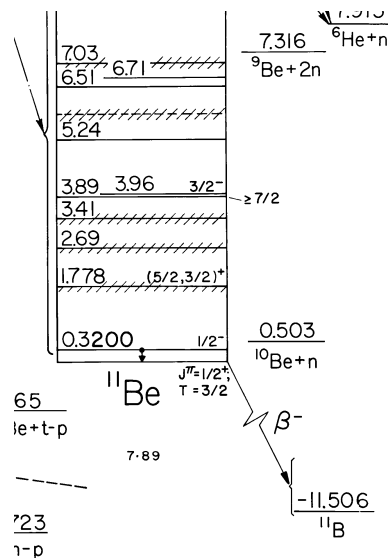
Reacciones Nucleares – 63 / ??

Inelastic scattering example: $^{11}\text{Be} + ^{12}\text{C}$

Example: $^{11}\text{Be} + ^{12}\text{C} \rightarrow ^{11}\text{Be}(1/2^+, 1/2^-) + ^{12}\text{C}$ at 49.3 MeV/A

Phys. Rev. C 67, 037601 (2003)

Input file: be11c12_inel.in



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Reacciones Nucleares – 64 / ??

$^{11}\text{Be} + ^{12}\text{C}$ inelastic scattering

General variables:

```
&FRESKO hcm=0.05 rmatch=60.0 jtmin=0.0
jtmax=150.0 thmin=0.00 thmax=45.00 thinc=0.50
iblock=2 nnu=24 chans=1 smats=2 xstabl=1
elab=542.3 /
```

- **iblock=2**: number of channels coupled *exactly*.

Partitions & states:

```
&PARTITION namep='11Be' massp=11.0 zp=4 namet='12C' masst=12.0000 zt=6 nex=2 /
&STATES jp=0.5 bandp=1 cpot=1 jt=0.0 bandt=1 /
&STATES jp=0.5 bandp=-1 ep=0.3200 cpot=1 jt=0.0 copyt=1 /
```

- **nex=2**: This partition will contain two pairs of states.
- **copy=1**: The target of the second pair of states is just the same (a copy) of the first target stat.

```
&PARTITION namep='10Be' massp=10.0000 zp=4 namet='12C+n' masst=13.0000 zt=6 nex=1 /
&STATES jp=0.0 bandp=1 cpot=2 jt=0.0 bandt=1 /
```

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Reacciones Nucleares – 65 / ??

$^{11}\text{Be} + ^{12}\text{C}$ inelastic scattering

Projectile-target Coulomb potential (monopole):

```
&POT kp=1 ap=11.000 at=12.000 rc=1.111 /
```

Neutron-target & core-target potentials:

```
&POT kp=3 ap=0.000 at=12.000 rc=1.111 /  
&POT kp=3 type=1 p1=37.400 p2=1.200  
p3=0.750 p4=10.000 p5=1.300 p6=0.600 /
```

```
&POT kp=2 ap=10.000 at=12.000 rc=1.111 /  
&POT kp=2 type=1 p1=123.000 p2=0.750  
p3=0.800 p4=65.000 p5=0.780 p6=0.800 /
```

Neutron binding potential:

```
&POT kp=4 ap=0 at=10.000 rc=1.0 /  
&POT kp=4 type=1 p1=87.0 p2=1.0 p3=0.53 /
```

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$^{11}\text{Be} + ^{12}\text{C}$ inelastic scattering

Bound state wave functions:

```
&OVERLAP kn1=1 ic1=1 ic2=2 in=1 nn=2 sn=0.5 l=0 j=0.5  
kbpot=4 be=0.500 isc=1 /  
&OVERLAP kn1=2 ic1=1 ic2=2 in=1 nn=1 l=1 sn=0.5  
j=0.5 kbpot=4 be=0.180 isc=1 ipc=2 /
```

- **kn1=1,2**: Index for this WF
- **ic1/ic2**: Index of partition containing core (^{10}Be) / composite (^{11}Be)
- **in=1/2**: WF for projectile/target
- **nn,sn,l,j**: Quantum numbers for bound state
- **be**: separation energy.
- **kbpot=3**: Index KP of binding potential.

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$^{11}\text{Be}+^{12}\text{C}$ inelastic scattering

Couplings:

```
&COUPLING icto=1 icfrom=2 kind=3 ip1=4 ip2=1 p1=3.0 p2=2.0 /
```

- **kind=3**: Single-particle excitations of projectile
- **icto=1**: Partition containing nucleus being excited (^{11}Be)
- **icfrom=2**: Partition containing core (^{10}Be)
- **ip1=4**: Maximum multipole for coupling potentials
- **p1/p2**: KP index for fragment-target / core-target potentials

Spectroscopic amplitudes:

```
&CFP in=1 ib=1 ia=1 kn=1 a=1.000 /  
&CFP in=1 ib=2 ia=1 kn=2 a=1.000 /
```

- **in=1/2**: Projectile/target
- **ib/ia**: Index for composite/core state
- **a=1.0**: Spectroscopic amplitude

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Input example for inelastic scattering with collective form factors

Example: $^{208}\text{Pb}(^{16}\text{O}, ^{16}\text{O})^{208}\text{Pb}(3^-, 2^+)$

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Inelastic scattering

Input example 2: $^{208}\text{Pb}(^{16}\text{O}, ^{16}\text{O})^{208}\text{Pb}(3^-, 2^+)$ (o16pb_cc1a.in)

```
o16pb_cc1a.in: 16O+208Pb 80 MeV
NAMELIST
&FRESKO hcm=0.05 rmatch=100.0
      jtmin=0.0 jtmax=300.0
      thmin=5.00 thmax=-180.00 thinc=2.50
      iblock=3
      smats=2 xstabl=1
      elab= 80.0 /

&PARTITION namep='16-O' massp=15.9949 zp=8
      namet='PB-208' masst=207.9770 zt=82
      nex=3 /
&STATES jp=0.0 bandp=1 ep=0.0000 cpot=1
      jt=0.0 bandt=1 et=0.0000 /
&STATES jp=0.0 copyp=1 ep=0.0000 cpot=1
      jt=3.0 bandt=-1 et=2.6100 fexch=F /
&STATES jp=0.0 copyp=1 bandp=1 ep=0.0000 cpot=1
      jt=2.0 bandt=1 et=4.0700 /
&partition /
```

```
&POT kp=1 itt=F ap=208.000 at=16.000 rc=1.200 /
&POT kp=1 type=13 shape=10 itt=F p2=54.45 p3=815.0 /
&STEP ib=1 ia=2 k=3 str=815.0 /
&STEP ib=2 ia=1 k=3 str=815.0 /
&STEP ib=1 ia=3 k=2 str=54.45 /
&STEP ib=3 ia=1 k=2 str=54.45 /
&step /
&POT kp=1 type=1 shape=1 p4=10.000 p5=1.000 p6=0.400 /
&POT kp=1 type=-1 p1=60.500 p2=1.179 p3=0.658 /
&POT kp=1 type=13 shape=11 p2=0.400 p3=0.8 /
&STEP ib=1 ia=2 k=3 str=0.8 /
&STEP ib=2 ia=1 k=3 str=0.8 /
&STEP ib=1 ia=3 k=2 str=0.4 /
&STEP ib=3 ia=1 k=2 str=0.4 /
&step /

&pot /

&overlap /
&coupling /
```

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Reacciones Nucleares – 70 / ??

$^{208}\text{Pb}(^{16}\text{O}, ^{16}\text{O})^{208}\text{Pb}$ inelastic scattering

General variables:

```
&FRESKO hcm=0.05 rmatch=100.0
      jtmin=0.0 jtmax=300.0
      thmin=5.00 thmax=-180.00 thinc=2.50
      iblock=3
      smats=2 xstabl=1
      elab= 80.0 /
```

iblock: Number of states (including gs) that will be coupled to all orders.

- **iblock=1:** only elastic scattering
- **iblock=2:** elastic scattering + 1st inelastic channel ($^{208}\text{Pb}(3^-)$)
- **iblock=3:** elastic scattering + $^{208}\text{Pb}(3^-)$ + $^{208}\text{Pb}(2^+)$

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Reacciones Nucleares – 71 / ??

$^{208}\text{Pb}(^{16}\text{O}, ^{16}\text{O})^{208}\text{Pb}$ inelastic scattering

Partitions and states:

```
&PARTITION namep='16-O' massp=15.9949 zp=8 namet='PB-208' masst=207.9770 zt=82
  nex=3 /
&STATES jp=0.0 bandp=1 ep=0.0 cpot=1 jt=0.0 bandt=+1 et=0.00 /
&STATES  copyp=1      cpot=1 jt=3.0 bandt=-1 et=2.61 /
&STATES  copyp=1      cpot=1 jt=2.0 bandt=+1 et=4.07 /
&partition /
```

- **nex**: number of states within the partition
- **ep**, **et**: excitation energy for projectile / target
- **copyp=1** tells FRESKO that the 2nd and 3rd projectile states are just a copy of the ground state.

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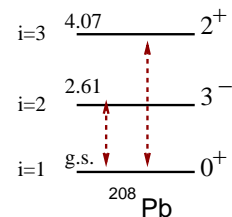
$^{208}\text{Pb}(^{16}\text{O}, ^{16}\text{O})^{208}\text{Pb}$ inelastic scattering

Coulomb excitation:

```
&POT kp=1 ap=208.000 at=16.000 rc=1.2 /
&POT kp=1 type=13 shape=10 p2=54.45 p3=815.0 p4=0 p5=0 p6=0 /
```

- **type=13**: couple target states by deforming previous potential
- **p1, ..., p6**: consider couplings for multipolarities k with $p_k \neq 0$
- **shape=10**: usual deformed charge sphere: $\Delta_{nm}(R) \propto M(Ek)/R^{k+1}$

```
&STEP ib=1 ia=2 k=3 str=815.0 /
&STEP ib=2 ia=1 k=3 str=815.0 /
&STEP ib=1 ia=3 k=2 str=54.45 /
&STEP ib=3 ia=1 k=2 str=54.45 /
&step /
```



- **ia**, **ib**: couple from state number **ia** to state **ib**
- **k**: multipolarity
- **str**: $\langle ib || M(Ek) || ia \rangle = \sqrt{(2I_a + 1)B(E\lambda; ia \rightarrow ib)}$

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$^{208}\text{Pb}(^{16}\text{O}, ^{16}\text{O})^{208}\text{Pb}$ inelastic scattering

Nuclear excitation:

```
&POT kp=1 type=1 shape=1 p4=10.000 p5=1.000 p6=0.400 /  
&POT kp=1 type=-1 shape=0 p1=60.500 p2=1.179 p3=0.658 /  
&POT kp=1 type=13 shape=10 itt=F p2=0.400 p3=0.8 /
```

- **type=13**: couple target states by deforming preceding potential
- **shape=10**: usual deformed nuclear potential: $\Delta_{nm}(R) \propto \delta_k dU(R)/dR$

```
&STEP ib=1 ia=2 k=3 str=0.8 /  
&STEP ib=2 ia=1 k=3 str=0.8 /  
&STEP ib=1 ia=3 k=2 str=0.4 /  
&STEP ib=3 ia=1 k=2 str=0.4 /
```

- **str** = $\langle ib || \delta_k || ia \rangle$ (reduced deformation length)

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$^{208}\text{Pb}(^{16}\text{O}, ^{16}\text{O})^{208}\text{Pb}$ inelastic scattering

Useful output files:

- Main output file:

```
0CUMULATIVE REACTION cross section          = 11.22270 <L> = 47.07  
0CUMULATIVE outgoing cross sections in partition 1 : 0.00000 7.67943 0.99138  
0Cumulative ABSORPTION by Imaginary Potentials = 2.55189 <L> = 6.99
```

- Angular distributions:

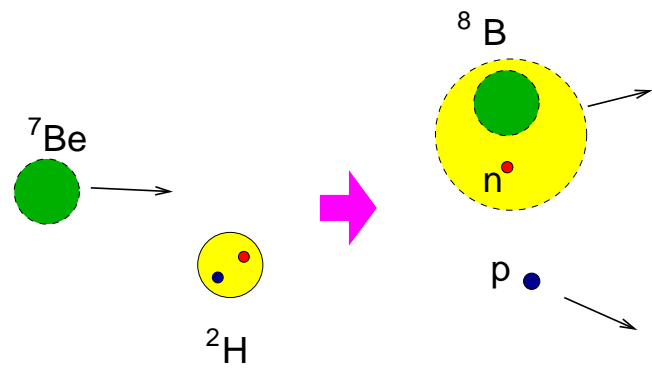
- **fort.201** : Elastic scattering angular distribution
- **fort.202** : 1st state angular distribution
- **fort.203** : 2nd excited state angular distribution

- **fort.56**: 3 columns: Fusion (absorption), reaction and inelastic cross section for each total angular momentum J.

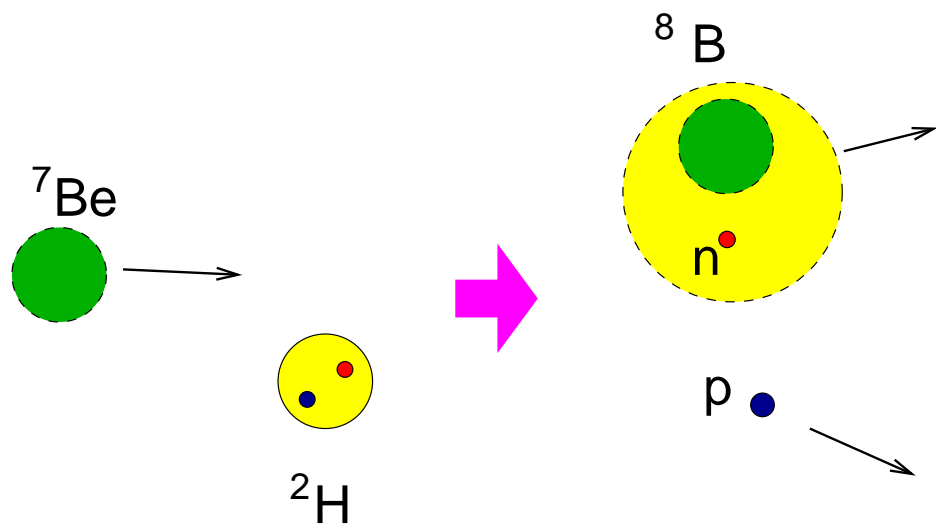
$$\sigma_{\text{reac}} = \sigma_{\text{inel}} + \sigma_{\text{abs}}$$

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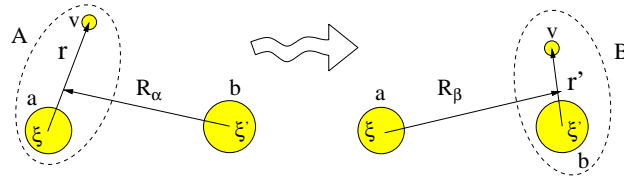


Transfer reactions



Formalism for transfer reactions

- **Transfer process:** $\underbrace{(a + v)}_A + b \rightarrow a + \underbrace{(b + v)}_B$



- **Projectile–target interaction:**

◆ **Prior form:** $V_{\text{prior}} = V_{vb} + U_{ab} = U_{\alpha} + \underbrace{(V_{vb} + U_{ab} - U_{\alpha})}_{\Delta_{\text{prior}}}$

◆ **Post form:** $V_{\text{post}} = V_{av} + U_{ab} = U_{\beta} + \underbrace{(V_{av} + U_{ab} - U_{\beta})}_{\Delta_{\text{post}}}$

⇒ U_{α}, U_{β} : average projectile–target interaction in entrance/exit channel

Coupled Reaction Channels

- Model wavefunction:

$$\Psi = \phi_A(\xi, \mathbf{r})\phi_b(\xi')\chi_\alpha(\mathbf{R}_\alpha) + \phi_a(\xi)\phi_B(\xi', \mathbf{r}')\chi_\beta(\mathbf{R}_\beta)$$

- Coupled-reaction channels (CRC) equations: $[H - E]\Psi = 0$

$$[E - \epsilon_\alpha - T_R - U_\alpha(\mathbf{R}_\alpha)]\chi_\alpha(\mathbf{R}_\alpha) = \int d\mathbf{R}_\beta K_{\alpha,\beta}(\mathbf{R}_\alpha, \mathbf{R}_\beta)\chi_\beta(\mathbf{R}_\beta)$$

$$[E - \epsilon_\beta - T_R - U_\beta(\mathbf{R}_\beta)]\chi_\beta(\mathbf{R}_\beta) = \int d\mathbf{R}_\alpha K_{\alpha,\beta}(\mathbf{R}_\alpha, \mathbf{R}_\beta)\chi_\alpha(\mathbf{R}_\alpha)$$

- Non-local kernels:

$$K_{\alpha,\beta}(\mathbf{R}_\beta, \mathbf{R}_\alpha) = \int d\xi d\xi' d\mathbf{r} \phi_a(\xi)\phi_b(\xi', \mathbf{r}')(H - E)\phi_A(\xi, \mathbf{r})\phi_b(\xi')$$

☞ CRC equations have to be solved iteratively due to NL kernels.

DWBA approximation

- Distorted wave Born approximation:

$$[E - \epsilon_\alpha - T_R - U_\alpha(\mathbf{R}_\alpha)]\tilde{\chi}_\alpha(\mathbf{R}_\alpha) = 0$$

$$[E - \epsilon_\beta - T_R - U_\beta(\mathbf{R}_\beta)]\tilde{\chi}_\beta(\mathbf{R}_\beta) = 0$$

- DWBA amplitude (prior):

$$T_{\text{prior}} = \int \int \tilde{\chi}_\beta^{(-)}(\mathbf{R}_\beta)(\phi_a\phi_B|\Delta_{\text{prior}}|\phi_A\phi_b)\tilde{\chi}_\alpha^{(+)}(\mathbf{R}_\alpha)d\mathbf{R}_\alpha d\mathbf{r}$$

- Structure form-factor:

$$(\phi_a\phi_B|\Delta_{\text{prior}}|\phi_A\phi_b) \equiv \int d\xi d\xi' \phi_a(\xi)\phi_B(\xi', \mathbf{r}')\Delta_{\text{prior}}\phi_A(\xi, \mathbf{r})\phi_b(\xi')$$

Spectroscopic factors

- Parentage amplitudes:

- Projectile: $\phi_A^{JM}(\xi, \mathbf{r}) = \frac{1}{\sqrt{n_A}} \sum_{I\ell j} A_{IJ;\ell sj} [\phi_a^I(\xi) \otimes \varphi_{\ell sj}(\mathbf{r})]_{JM}$

- Target: $\phi_B^{J'M'}(\xi', \mathbf{r}') = \frac{1}{\sqrt{n_B}} \sum_{I'\ell' j'} A_{I'J';\ell' s' j'} [\phi_b^{I'}(\xi') \otimes \varphi_{\ell' s' j'}(\mathbf{r}')]_{J'M'}$

⇒ $A_{IJ;\ell sj}$ = spectroscopic amplitudes

⇒ $S_{IJ;\ell sj} = |A_{IJ;\ell sj}|^2$ = spectroscopic factors

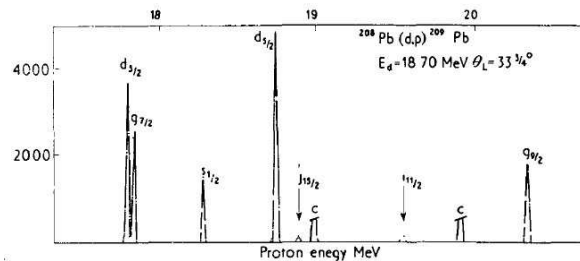
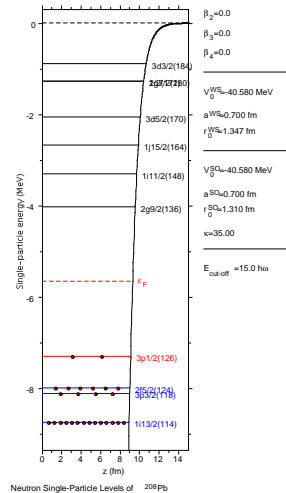
- DWBA amplitude (prior)

$$T_{\text{prior}} = A_{IJ;\ell sj} A_{I'J';\ell' s' j'} \int \int \tilde{\chi}_{\beta}^{(-)}(\mathbf{R}_{\beta}) \varphi_{\ell' s' j'}(\mathbf{r}') \Delta_{\text{prior}} \varphi_{\ell sj}(\mathbf{r}) \tilde{\chi}_{\alpha}^{(+)}(\mathbf{R}_{\alpha}) d\mathbf{R}_{\alpha} d\mathbf{r}$$

⇒ In DWBA, the transfer cross section is proportional to the spectroscopic factors $S_{IJ;\ell sj} S_{I'J';\ell' s' j'}$

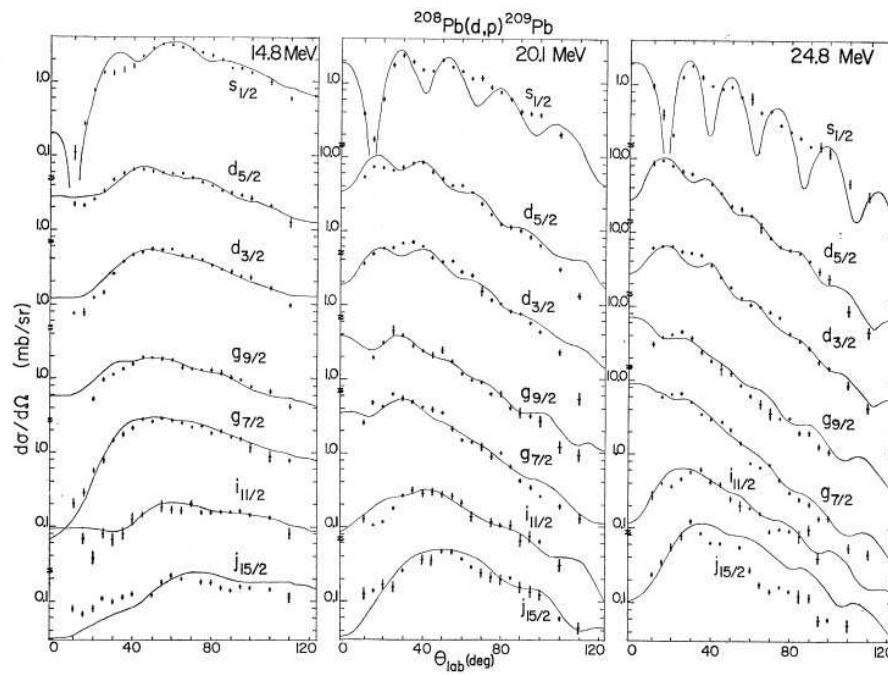
Extracting structure information from transfer reactions

Example: $d + {}^{208}\text{Pb} \rightarrow p + {}^{209}\text{Pb}$



Jeans et al, NPA 128 (1969) 224

Extracting structure information from transfer reactions



Angular distributions of transfer cross sections are very sensitive to the single-particle configuration of the transferred nucleon/s.

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Beyond DWBA: CCBA formalism

When there are strongly coupled excited states in the initial or final partition, the CC and DWBA formalisms can be combined → **CCBA**

Ej: $^{172}\text{Yb}(p,d)$ Ascuitto et al, Nucl Phys. A226 (1974) 454

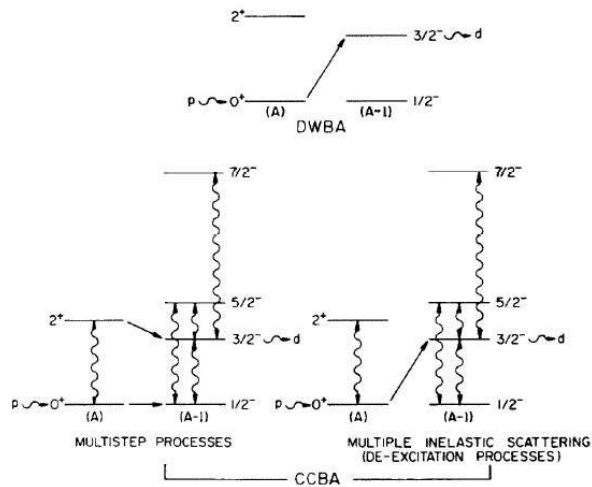
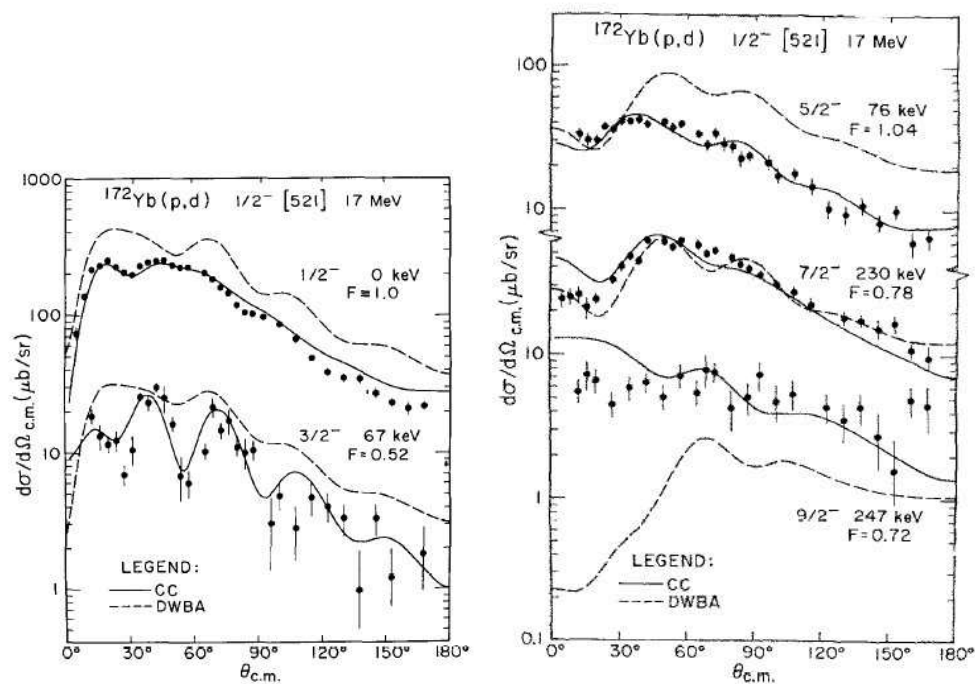


Fig. 1. Schematic representation of processes *explicitly* included in a DWBA analysis and those in a CCBA analysis. The multistep processes (for simplicity we show a single route) are predominantly determined by parentage conditions, while the de-excitation processes depend on the strength of the inelastic coupling.



Brief summary on transfer reactions

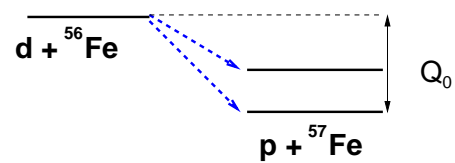
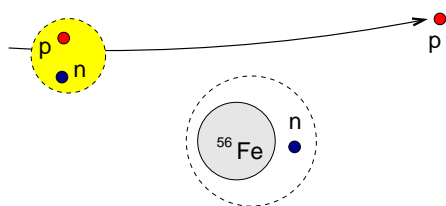
- Inclusion of transfer couplings in the Schrodinger equation gives rise to a set of coupled equations with non-local kernels (Coupled Reactions Channels)
- If transfer couplings are weak, the CRC equations can be solved in Born approximation \Rightarrow DWBA approximation
- The DWBA amplitude is proportional to the product of the projectile and target spectroscopic factors.
- The analysis of transfer reactions provide information on:
 - ◆ Spectroscopic factors.
 - ◆ Quantum number for single-particle configurations (n, ℓ, j) .
 - ◆ Binding interactions.
 - ◆ Reactions mechanisms.

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Transfer example

Physical example: $^{56}\text{Fe}(d,p)^{57}\text{Fe}$ at $E_d = 12$ MeV



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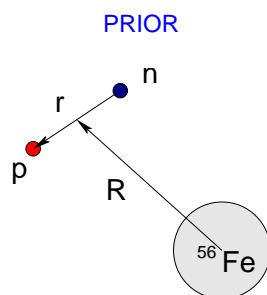
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Transfer example: $^{56}\text{Fe}(\text{d},\text{p})^{57}\text{Fe}$

DWBA transfer amplitude:

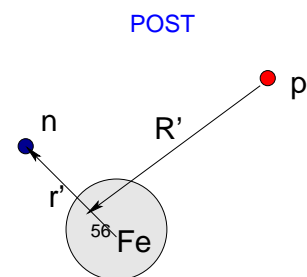
$$T^{\text{DWBA}} = A_i A_f \langle \chi_{\text{p-}^{57}\text{Fe}}^{(-)} \phi_{^{57}\text{Fe}} | V_{\text{prior/post}} | \chi_{\text{d-}^{56}\text{Fe}}^{(+)} \phi_d \rangle$$

- $\chi_{\text{d-}^{56}\text{Fe}}, \chi_{\text{p-}^{57}\text{Fe}}$: initial and final distorted waves
- ϕ_d : projectile bound wavefunction($p-n$)
- $\phi_{^{57}\text{Fe}}$: final (residual) wavefunction ($n+^{56}\text{Fe}$)
- A_i, A_f : initial / final spectroscopic amplitudes.
- $V_{\text{prior/post}}$: transition potential in PRIOR or POST form



$$V_{\text{prior}} = V_{\text{n-}^{56}\text{Fe}} + \underbrace{U_{\text{p-}^{56}\text{Fe}} - U_{\text{d-}^{56}\text{Fe}}}_{\text{remnant}}$$

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$$V_{\text{post}} = V_{\text{p-n}} + \underbrace{U_{\text{p-}^{56}\text{Fe}} - U_{\text{p-}^{57}\text{Fe}}}_{\text{remnant}}$$

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Transfer example: $^{56}\text{Fe}(\text{d},\text{p})^{57}\text{Fe}$

Essential physical ingredients in a DWBA calculation:

- **Potentials (5):**
 - Distorted potential for entrance channel (complex): $\text{d}+^{56}\text{Fe}$
 - Distorted potential for exit channel (complex): $\text{p}+^{57}\text{Fe}$
 - Core-core interaction (complex): $\text{p}+^{56}\text{Fe}$
 - Binding potential for projectile (real): $\text{p}+\text{n}$
 - Binding potential for target (real): $\text{n}+^{56}\text{Fe}$
- **Spectroscopic amplitudes:** A_i, A_f

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Transfer example: $^{56}\text{Fe}(\text{d,p})^{57}\text{Fe}$

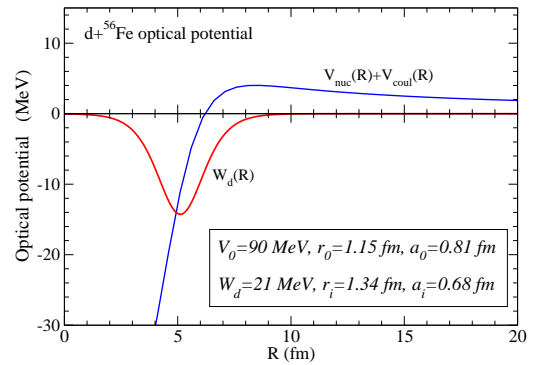
Physical ingredients: Optical and binding potentials (NPA(1971) 529)

System	V_0 (MeV)	r_0 (fm)	a_0 (fm)	W_d (MeV)	r_i (fm)	a_i (fm)	r_C (fm)
$\text{d}+^{56}\text{Fe}$	90	1.15	0.81	21.0	1.34	0.68	1.15
$\text{p}+^{56,57}\text{Fe}$	47.9	1.25	0.65	11.5	1.25	0.47	1.15
$\text{p} + \text{n}^a$	72.15	0.00	1.484	-	-	-	-
$\text{n}+^{56}\text{Fe}$	B.E.	1.25	0.65	-	-	-	-

$$\Rightarrow U(R) = -V_0 f_{WS}(R) + 4i a W_d \frac{df_{WS}(R)}{dR}$$

$$f_{WS}(R) = \frac{1}{1 + \exp\left(\frac{R-R_0}{a}\right)}$$

^aGaussian geometry: $V(r) = -V_0 \exp[-(r/a_0)^2]$.



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Transfer example: $^{56}\text{Fe}(\text{d,p})^{57}\text{Fe}$

Spectroscopic factors:

$$\phi_B^{JM}(\xi, \mathbf{r}) = \sum_{I\ell j} A_{\ell sj}^{IJ} \left[\phi_b^I(\xi) \otimes \varphi_{\ell sj}(\mathbf{r}) \right]_{JM}$$

So, for example:

$$|^{57}\text{Fe}; 1/2^- \rangle = \alpha [^{56}\text{Fe}; \text{gs}] \otimes |\nu 2p_{1/2}\rangle_{1/2^-} + \beta [^{56}\text{Fe}; 2^+] \otimes |\nu 2p_{3/2}\rangle_{1/2^-} + \dots$$

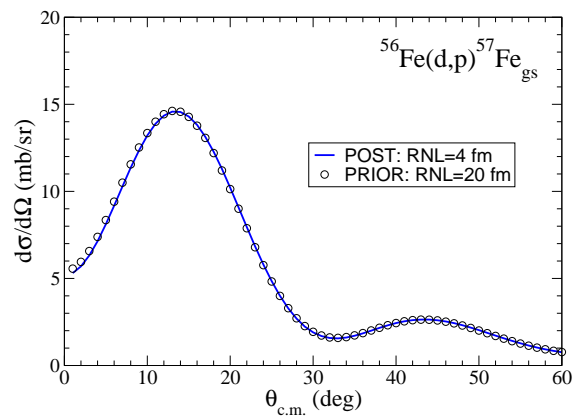
- α, β, \dots : spectroscopic amplitudes
- $|\alpha|^2, |\beta|^2, \dots$: spectroscopic factors

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Transfer example: $^{56}\text{Fe}(\text{d,p})^{57}\text{Fe}$

Post and prior equivalence:



⇒ Post and prior give identical results, provide that the parameters are adequate for convergence.

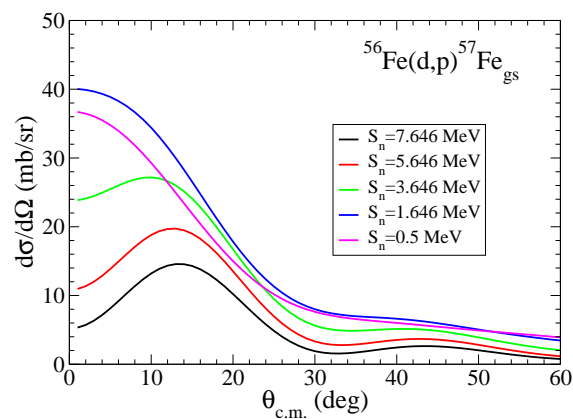
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Transfer example: $^{56}\text{Fe}(\text{d,p})^{57}\text{Fe}$

Dependence with binding energy:

```
&OVERLAP kn1=2 (...) be=7.646 /
&OVERLAP kn1=2 (...) be=5.646 /
&OVERLAP kn1=2 (...) be=5.646 /
&OVERLAP kn1=2 (...) be=3.646 /
&OVERLAP kn1=2 (...) be=1.646 /
&OVERLAP kn1=2 (...) be=0.100 /
```

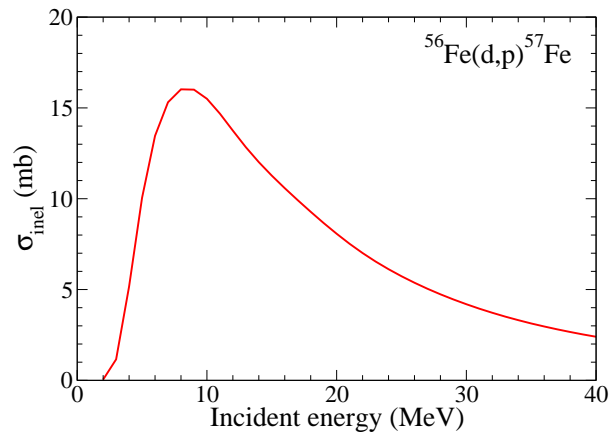


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Transfer example: $^{56}\text{Fe}(d,p)^{57}\text{Fe}$

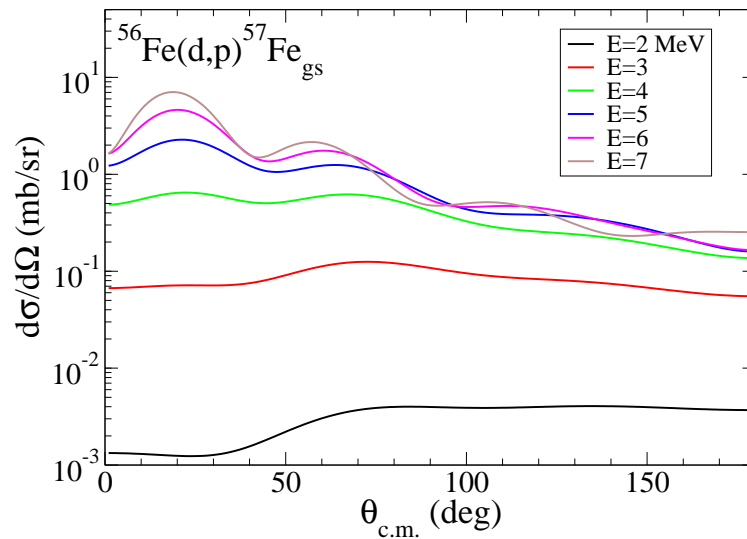
Dependence with beam energy:



Transfer example: $^{56}\text{Fe}(d,p)^{57}\text{Fe}$

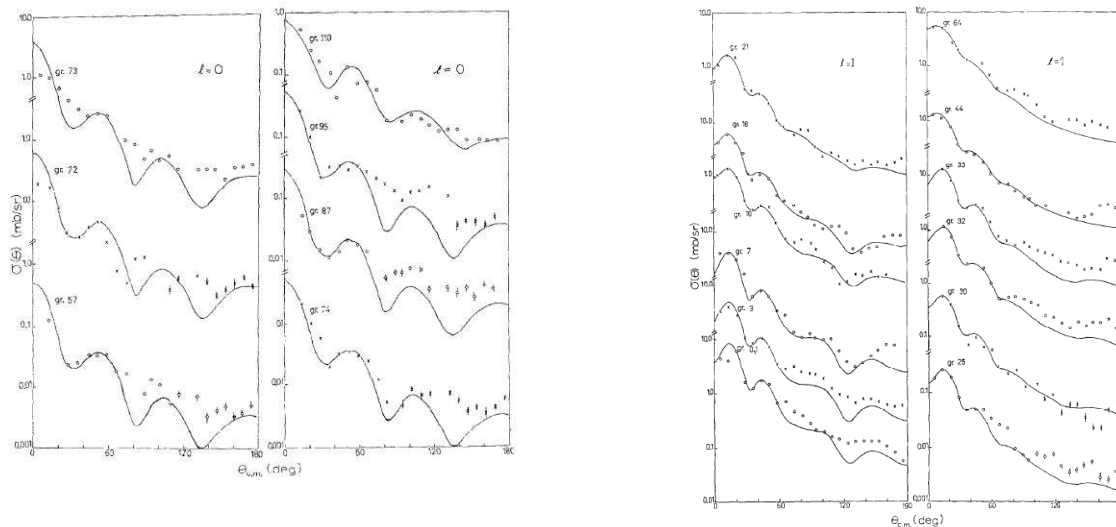
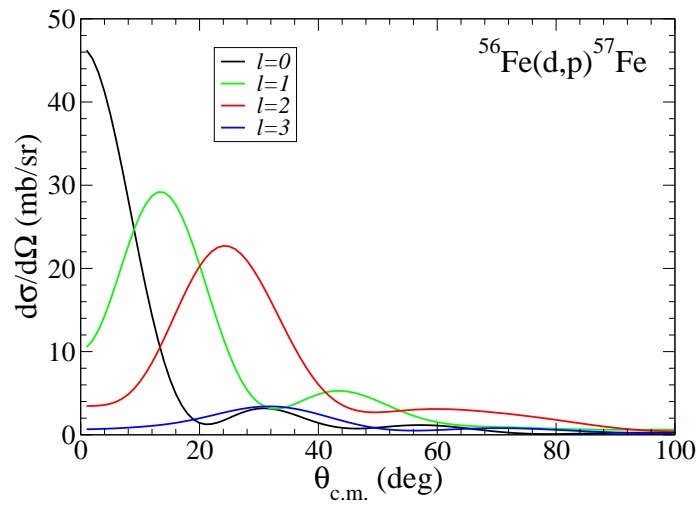
Dependence with beam energy

- $E \gg V_b$: diffractive structure, forward peaked.
- $E \ll V_b$: smooth dependence with θ , backward peaked.



Transfer example: $^{56}\text{Fe}(d,p)^{57}\text{Fe}$

Selectivity of ℓ :



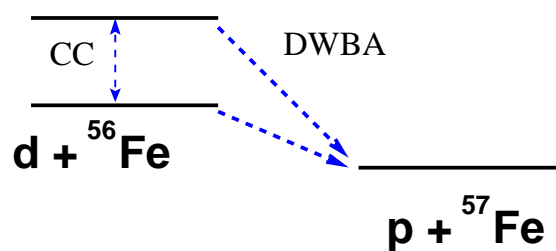
H.M. Sen Gupta et al, Nucl. Phys. A160, 529 (1971)

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Beyond DWBA: CCBA formalism

When there are strongly coupled excited states in the initial or final partition, the CC and DWBA formalisms can be combined → CCBA



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Reacciones Nucleares – 96 / ??

Transfer example: $^{56}\text{Fe}(\text{d},\text{p})^{57}\text{Fe}$

Appendix: $^{56}\text{Fe}(\text{d},\text{p})^{57}\text{Fe}$ with FRESKO: fe56dp_dwba.in

```
6Fe(d,p)57Fe @ Ed=12 MeV;
AMELIST
FRESKO hcm=0.1 rmatch=20.000
  rintp=0.20 hnl=0.100 rnl=4 centre=-0.45
  jtmax=15
  thmin=1.00 thmax=180.00 thinc=1.00
  it0=1 iter=1
  chans=1 smats=2 xstabl=1
  elab= 12 /

PARTITION name='d' massp=2.014 zp=1 namet='56Fe'
  masst=55.934 zt=26 nex=1 /
STATES jp=1.0 bandp=1 ep=0.0 cpot=1 jt=0.0
  bandt=1 et=0.0 /

PARTITION name='p' massp=1.0078 zp=1 namet='57Fe'
  masst=56.935 zt=26 qval=5.421 pwf=F nex=1 /
STATES jp=0.5 bandp=1 ep=0.0 cpot=2 jt=0.5
  bandt=1 et=0.0 /
partition /

POT kp=1 itt=F at=56 rc=1.15 /
POT kp=1 type=1 itt=F p1=90 p2=1.15 p3=0.81 /
POT kp=1 type=2 itt=F p4=21 p5=1.34 p6=0.68 /

POT kp=2 itt=F at=57 rc=1.15 /
POT kp=2 type=1 itt=F p1=47.9 p2=1.25 p3=0.65 /
POT kp=2 type=2 itt=F p4=11.5 p5=1.25 p6=0.47 /
```

```
POT kp=3 itt=F at=56 rc=1.00 /
POT kp=3 type=1 itt=F p1=65.0 p2=1.25 p3=0.65 /
POT kp=4 itt=F ap=1.0000 at=0.0000 rc=1.0000 /
POT kp=4 type=1 shape=2 itt=F p1=72.1500
  p2=0.0000 p3=1.4840 /
POT kp=5 itt=F at=56 rc=1.15 /
POT kp=5 type=1 itt=F p1=47.9 p2=1.25 p3=0.65 /
POT kp=5 type=2 itt=F p4=11.5 p5=1.25 p6=0.47 /
pot /

OVERLAP knl=1 icl=1 ic2=2 in=1 nn=1 sn=0.5
  j=0.5 kbpot=4 be=2.2250 isc=1 /
OVERLAP knl=2 icl=1 ic2=2 in=2 nn=2 l=1 sn=0.5
  j=0.5 kbpot=3 be=7.646 isc=1 /
overlap /

COUPLING icto=2 icfrom=1 kind=7 ip2=-1 ip3=5 /
CFP in=1 ib=1 ia=1 kn=1 a=1.0000 /
CFP in=2 ib=1 ia=1 kn=2 a=1.0000 /
cfp /

coupling /
```

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Reacciones Nucleares – 97 / ??

Transfer example: $^{56}\text{Fe}(\text{d},\text{p})^{57}\text{Fe}$

General variables:

```
56Fe(d,p)57Fe @ Ed=12 MeV;
NAMELIST
&FRESKO hcm=0.1 rmatch=20.000
  rintp=0.20 hnl=0.100 rnl=4 centre=-0.45
  jtmax=15
  thmin=1.00 thmax=180.00 thinc=1.00
  it0=1 iter=1
  chans=1 smats=2 xstabl=1
  elab= 12 /
```

- **rnl**: range of non-locality
- **centre, rintp, hnl**: parameters for numerical integration (see fresco manual)
- **iter**: Number of iterations so, for DWBA, iter=1

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Reacciones Nucleares – 98 / ??

Transfer example: $^{56}\text{Fe}(\text{d},\text{p})^{57}\text{Fe}$

Partitions and states:

- Incoming (initial) partition: $\text{d}+^{56}\text{Fe}$

```
&PARTITION namep='d' massp=2.014 zp=1  
          namet='56Fe' masst=55.934 zt=26 nex=1 /  
&STATES jp=1.0 bandp=1 ep=0.0 cpot=1 jt=0.0 bandt=1 et=0.0 /
```

- Outgoing (final) partition: $\text{p}+^{57}\text{Fe}$

```
&PARTITION namep='p' massp=1.0078 zp=1  
          namet='57Fe' masst=56.935 zt=26  
          qval=5.421 nex=1 /  
&STATES jp=0.5 bandp=1 ep=0.0 cpot=2 jt=0.5 bandt=-1 et=0.0 /
```

- **qval**: Q-value for gs-gs transfer

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Reacciones Nucleares – 99 / ??

Transfer example: $^{56}\text{Fe}(\text{d},\text{p})^{57}\text{Fe}$

Interactions:

- Entrance channel distorted potential: $\text{d}+^{56}\text{Fe}$

```
&POT kp=1 ittt=F at=56 rc=1.15 /  
&POT kp=1 type=1 ittt=F p1=90 p2=1.15 p3=0.81 /  
&POT kp=1 type=2 ittt=F p4=21 p5=1.34 p6=0.68 /
```

- Exit channel distorted potential: $\text{p}+^{57}\text{Fe}$

```
&POT kp=2 ittt=F at=57 rc=1.15 /  
&POT kp=2 type=1 ittt=F p1=47.9 p2=1.25 p3=0.65 /  
&POT kp=2 type=2 ittt=F p4=11.5 p5=1.25 p6=0.47 /
```

- Core-core potential: $\text{p}+^{56}\text{Fe}$

```
&POT kp=5 ittt=F at=56 rc=1.15 /  
&POT kp=5 type=1 ittt=F p1=47.9 p2=1.25 p3=0.65 /  
&POT kp=5 type=2 ittt=F p4=11.5 p5=1.25 p6=0.47 /
```

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Reacciones Nucleares – 100 / ??

Transfer example: $^{56}\text{Fe}(\text{d},\text{p})^{57}\text{Fe}$

Interactions (continued ...)

Binding potentials:

- $\text{n} + ^{56}\text{Fe}$: Woods-Saxon

```
&POT kp=3 at=56 rc=1.0 /  
&POT kp=3 type=1 p1=65.0 p2=1.25 p3=0.65 /
```

- $\text{n} + \text{p}$: Gaussian

```
&POT kp=4 ap=1.0 at=0.0 /  
&POT kp=4 type=1 shape=2 p1=72.15 p2=0.00 p3=1.484 /
```

Transfer example: $^{56}\text{Fe}(\text{d},\text{p})^{57}\text{Fe}$

Bound wavefunctions (overlaps):

- $\text{d} = \text{p} + \text{n}$: simple 1S model

```
&OVERLAP kn1=1 ic1=1 ic2=2 in=1 nn=1 l=0 sn=0.5 j=0.5  
kbpot=4 be=2.2250 isc=1 /
```

- $^{57}\text{Fe} = ^{56}\text{Fe} + \text{n}$: assume $2p_{1/2}$ configuration

```
&OVERLAP kn1=2 ic1=1 ic2=2 in=2 nn=2 l=1 sn=0.5 j=0.5  
kbpot=3 be=7.646 isc=1 /
```

- ◆ $\text{in}=1$: projectile
 $\text{in}=2$: target
- ◆ $\text{nn}, \text{l}, \text{sn}, \text{j}$: quantum numbers: $\vec{l} + \vec{s}\hbar = \vec{j}$
- ◆ be : binding (separation) energy
- ◆ kbpot : potential index

Transfer example: $^{56}\text{Fe}(\text{d},\text{p})^{57}\text{Fe}$

Transfer coupling between the two partitions:

```
&COUPLING icfrom=1 icto=2 kind=7 ip1=0 ip2=-1 ip3=5 /
```

- **icfrom**: index for partition of initial state
- **icto**: index for partition of final state
- **kind**: kind of coupling. kind=7 means finite-range transfer.
- **ip1=0**: post representation
ip1=1: prior
- **ip2=-1**: include full remnant
- **ip3**: index for core-core potential ($\text{p}+^{56}\text{Fe}$)

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Reacciones Nucleares – 103 / ??

Transfer example: $^{56}\text{Fe}(\text{d},\text{p})^{57}\text{Fe}$

Spectroscopic amplitudes:

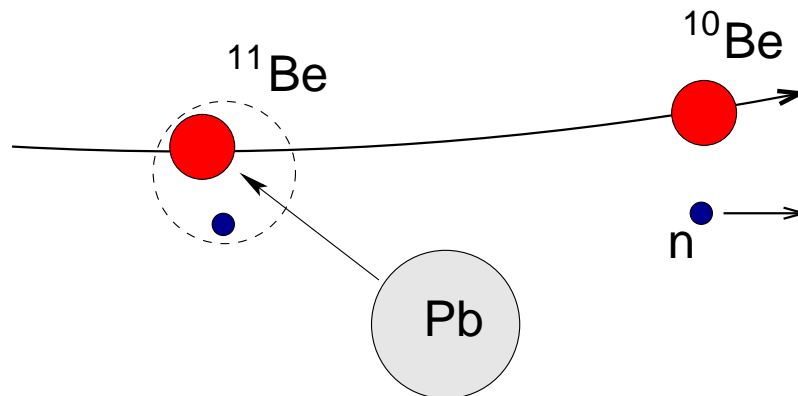
```
&CFP in=1 ib=1 ia=1 kn=1 a=1.0 /  
&CFP in=2 ib=1 ia=1 kn=2 a=1.0 /
```

- **in=1**: projectile state
in=2: target state
- **ib**: index for state of composite
ia: index for state of core
- **a**: spectroscopic amplitude

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Reacciones Nucleares – 104 / ??

Inelastic scattering to the continuum

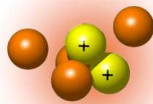


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Reacciones Nucleares – 106 / ??

Exotic nuclei, halo nuclei and Borromean systems

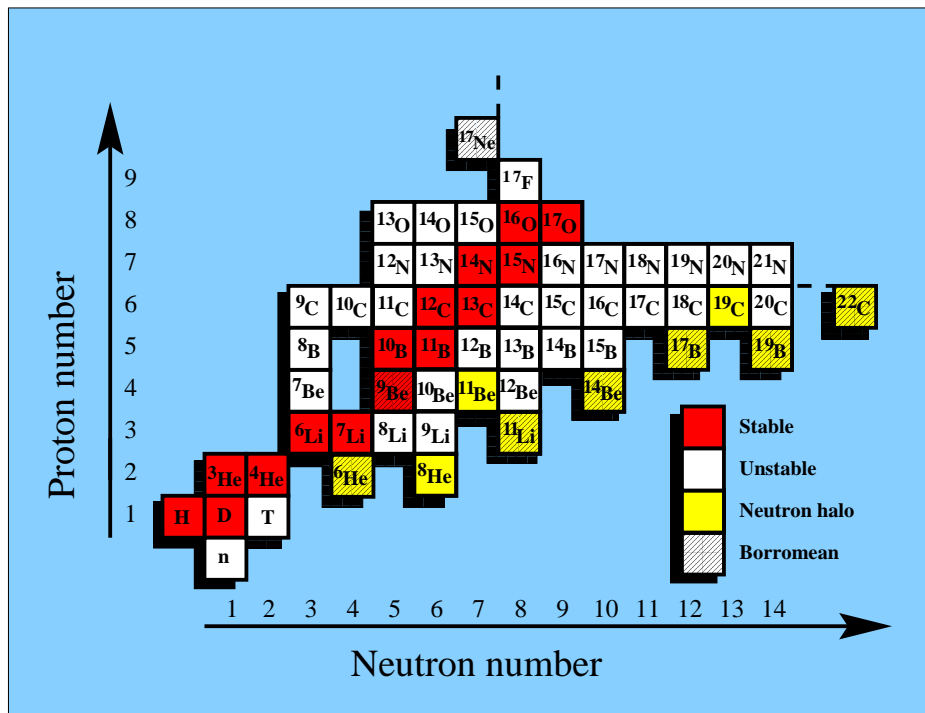
- **Radioactive nuclei:** they typically decay by β emission.
E.g.: ${}^6\text{He} \xrightarrow{\beta^-} {}^6\text{Li}$ ($\tau_{1/2} \simeq 807$ ms)
- **Weakly bound:** typical separation energies are around 1 MeV or less.
- **Spatially extended**
- **Halo structure:** one or two weakly bound nucleons (typically neutrons) with a large probability of presence beyond the range of the potential.
- **Borromean nuclei:** Three-body systems with no bound binary sub-systems.



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Reacciones Nucleares – 107 / ??

Exotic nuclei, halo nuclei, and Borromean systems

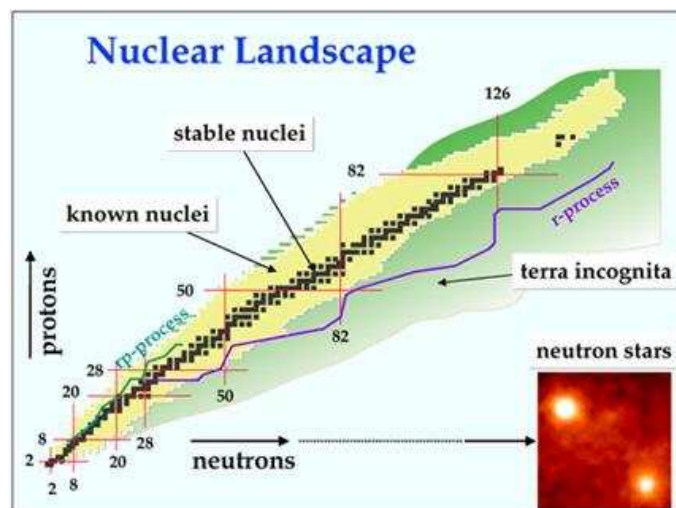


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Reacciones Nucleares – 108 / ??

Why study reactions with exotic nuclei?

- Many properties of nuclear structure (level spacing, magic numbers, etc) could be different from normal nuclei.
- Many reactions of astrophysical interest are known to involve nuclei far from the stability valley.



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Reacciones Nucleares – 109 / ??

Some difficulties inherent to the study of reactions with exotic beams

Experimentally:

- Exotic nuclei are short-lived and difficult to produce. Beam intensities are typically small.

Theoretically:

- Exotic nuclei are easily broken up in nuclear collisions \Rightarrow coupling to the continuum plays an important role.
- Effective NN interactions, level schemes, etc are different from stable nuclei.
- Many exotic nuclei exhibit complicated cluster (few-body) structure.

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Reacciones Nucleares – 110 / ??

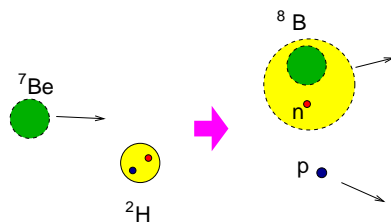
Indirect measurements for nuclear astrophysics

- ☞ Many reactions of astrophysical interest at energies too low to be measured e at present experimental facilities.
- ☞ Coulomb breakup and transfer reactions provide indirect information for these processes.

Example: The rate for the ${}^7\text{Be} + p \rightarrow {}^8\text{B} + \gamma$ reaction depends mainly on the overlap (${}^8\text{B}|{}^7\text{Be}$), which can be investigated by means of other direct reactions:

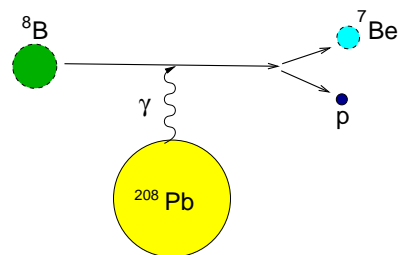
Transfer reactions:

E.g.: $d({}^7\text{Be}, {}^8\text{B})n$



Coulomb breakup reactions:

E.g.: ${}^8\text{B} + {}^{208}\text{Pb} \rightarrow {}^7\text{Be} + p + {}^{208}\text{Pb}$

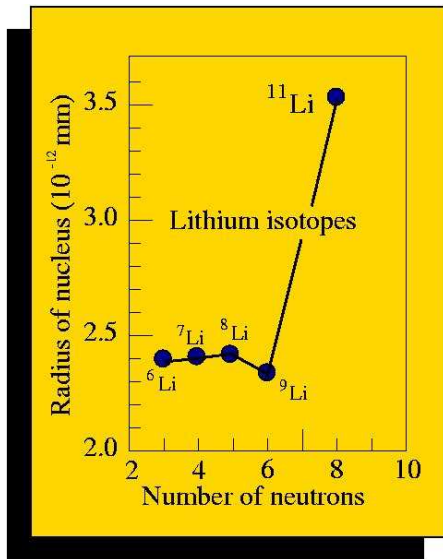


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Reacciones Nucleares – 111 / ??

Some difficulties inherent to the study of reactions with exotic beams

⇒ First evidences of the existence of halo nuclei came from reaction cross sections measurements.



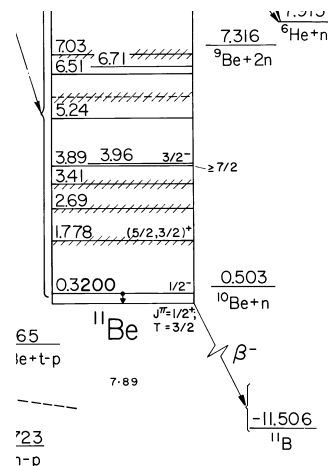
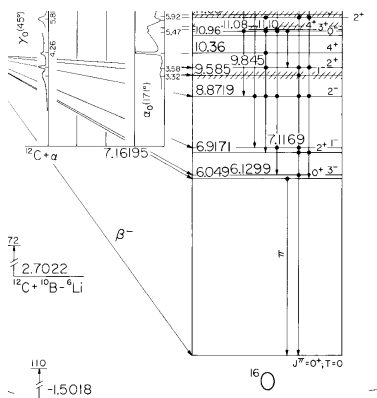
Tanihata *et al*, PRL55, 2676 (1985)

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Reacciones Nucleares – 112 / ??

Inelastic scattering of weakly bound nuclei

- Single-particle (or cluster) excitations become dominant.
- Excitation to continuum states important.



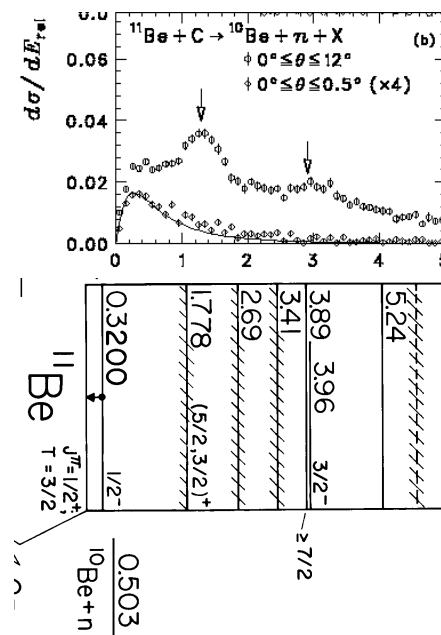
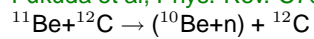
⇒ Exotic nuclei are weakly bound ⇒ coupling to continuum states becomes an important reaction channel

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Reacciones Nucleares – 113 / ??

Inelastic scattering example: $^{11}\text{Be} + ^{12}\text{C}$

Fukuda et al, Phys. Rev. C70 (2004) 054606



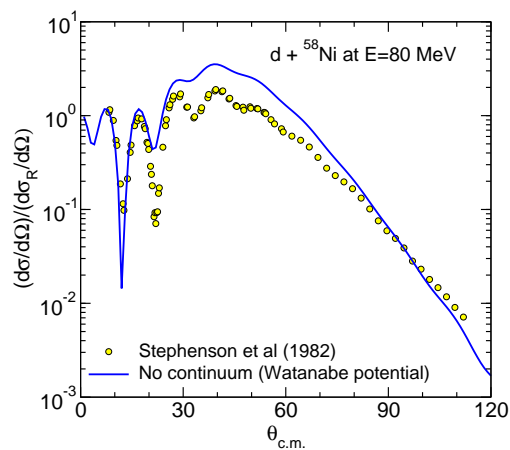
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Reacciones Nucleares – 114 / ??

Failure of the calculations without continuum

Three-body calculation ($p+n+^{58}\text{Ni}$) with Watanabe potential:

$$V_{00}(\mathbf{R}) = \int d\mathbf{r} \phi_{gs}(\mathbf{r}) (V_{pt} + V_{nt}) \phi_{gs}(\mathbf{r})$$



⇒ Three-body calculations omitting breakup channels fail to describe the experimental data.

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The role of the continuum in the scattering of weakly bound nuclei

The origins of the CDCC method:

- Pioneering work of Johnson & Soper for deuteron scattering: [PRC1,976\(1970\)](#) \Rightarrow p-n continuum represented by a single s-wave state.

PHYSICAL REVIEW C

VOLUME 1, NUMBER 3

MARCH 1970

Contribution of Deuteron Breakup Channels to Deuteron Stripping and Elastic Scattering

R. C. JOHNSON

Department of Physics, University of Surrey, Guildford, Surrey, England

AND

P. J. R. SOPER*

International Centre for Theoretical Physics, Trieste, Italy

(Received 10 November 1969)

We present a model of deuteron stripping and elastic scattering which treats explicitly the contributions from channels in which the deuteron is broken up into a relative S state and the target is in its ground state. An adiabatic treatment of these channels leads to a description of deuteron stripping which resembles

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Reacciones Nucleares – 116 / ??

The role of the continuum in the scattering of weakly bound nuclei

- More realistic formulation by G.H. Rawitscher [[PRC9, 2210 \(1974\)](#)] and Farrell, Vincent and Austern [[Ann.Phys.\(New York\) 96, 333 \(1976\)](#)].

PHYSICAL REVIEW C

VOLUME 9, NUMBER 6

JUNE 1974

Effect of deuteron breakup on elastic deuteron-nucleus scattering

George H. Rawitscher*

*Center for Theoretical Physics, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139,†
and Department of Physics, University of Surrey, Guildford, Surrey, England*

(Received 1 October 1973; revised manuscript received 4 March 1974)

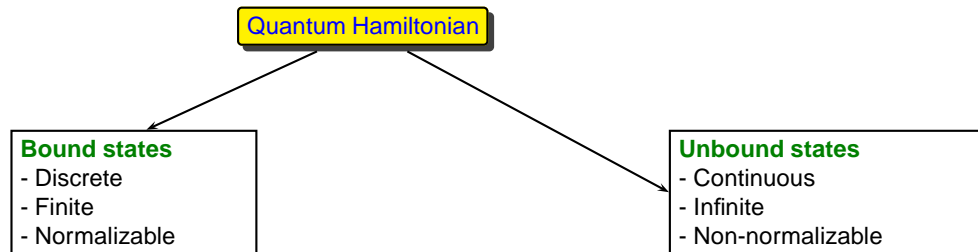
The properties of the transition matrix elements $V_{ab}(R)$ of the breakup potential V_N taken between states $\phi_a(\vec{r})$ and $\phi_b(r)$ are examined. Here $\phi_a(\vec{r})$ are eigenstates of the neutron-proton relative-motion Hamiltonian, and the eigenvalues of the energy ϵ_a are positive (continuum states) or negative (bound deuteron); $V_N(\vec{r}, \vec{R})$ is the sum of the phenomenological proton nucleus $V_{p-A}(|\vec{R} - \frac{1}{2}\vec{r}|)$ and neutron nucleus $V_{n-A}(|\vec{R} + \frac{1}{2}\vec{r}|)$ optical potentials evaluated for nucleon energies equal to half the incident deuteron energy. The bound-to-continuum transi-

- Full numerical implementation by Kyushu group (Sakuragi, Yahiro, Kamimura, and co.):
[Prog. Theor. Phys.\(Kyoto\) 68, 322 \(1982\)](#)

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Inclusion of the continuum in CC calculations: continuum discretization



Continuum discretization: represent the continuum by a finite set of square-integrable states

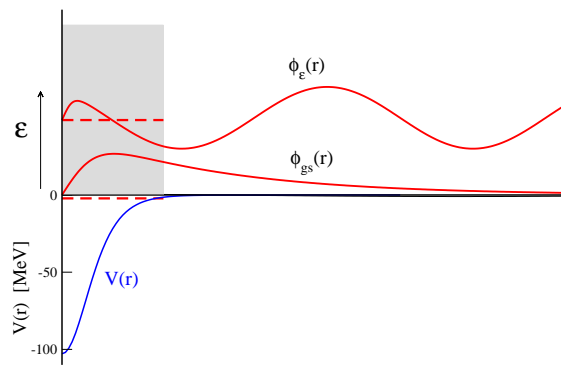
True continuum	→	Discretized continuum
Non normalizable	→	Normalizable
Continuous	→	Discrete

Continuum discretization

SOME POPULAR METHODS OF CONTINUUM DISCRETIZATION:

- **Box method:**
Eigenstates of the H in a large box.
- **Sturmian basis**
- **Gamow states:** complex-energy eigenstates of the Schrödinger equation.
- **Pseudostate method:**
Expand continuum states in a complete basis of square-integrable states (eg. HO)
- **Bin method:**
Square-integrable states constructed from scattering states.

Bound versus scattering states



Unbound states are not suitable for CC calculations:

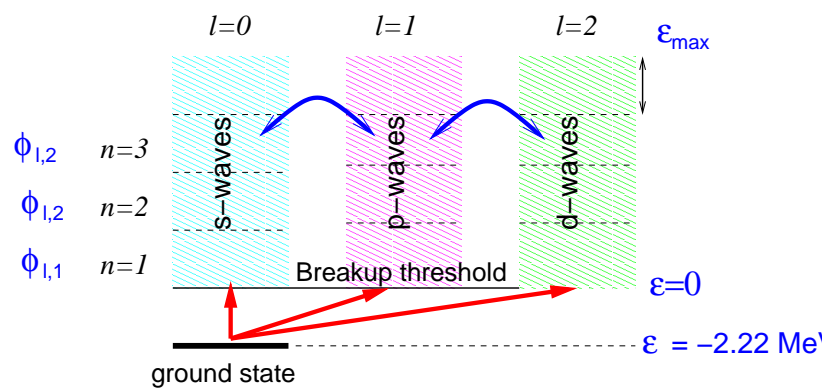
- Continuous (infinite) distribution in energy.
- Non-normalizable: $\langle \phi_k(r) | \phi_{k'}(r) \rangle \propto \delta(k - k')$

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CDCC formalism

Example: discretization of the deuteron continuum in terms of energy bins.



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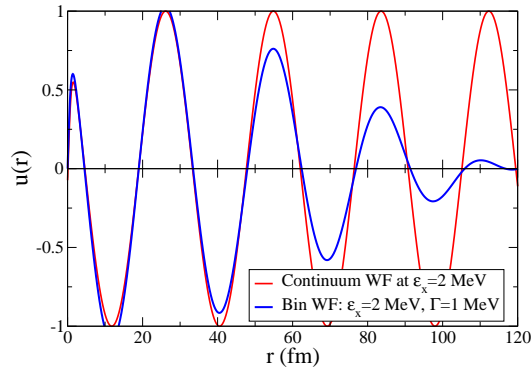
Reacciones Nucleares – 121 / ??

CDCC formalism

Bin wavefunction:

$$u_{\ell sj,n}(r) = \sqrt{\frac{2}{\pi N}} \int_{k_1}^{k_2} w(k) u_{\ell sj,k}(r) dk$$

- k : linear momentum
- $u_{\ell sj,k}$: scattering states (radial part)
- $w(k)$: weight function



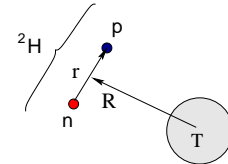
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CDCC formalism for deuteron scattering

- **Hamiltonian:** $H = T_R + h_r + V_{pt}(\mathbf{r}_{pt}) + V_{nt}(\mathbf{r}_{nt})$
- **Model wavefunction:**

$$\Psi(\mathbf{R}, \mathbf{r}) = \phi_{gs}(\mathbf{r}) \chi_0(\mathbf{R}) + \sum_{n>0}^N \phi_n(\mathbf{r}) \chi_n(\mathbf{R})$$



- **Coupled equations:** $[H - E]\Psi(\mathbf{R}, \mathbf{r})$

$$\left[-\frac{\hbar^2}{2\mu} \left(\frac{d^2}{dR^2} - \frac{L(L+1)}{R^2} \right) + \epsilon_n - E \right] f_{\alpha J}(R) + \sum_{\alpha'} i^{L'-L} V_{\alpha:\alpha'}^J(R) f_{\alpha' J}(R) = 0$$

$$\alpha = \{L, \ell, s, j, n\}$$

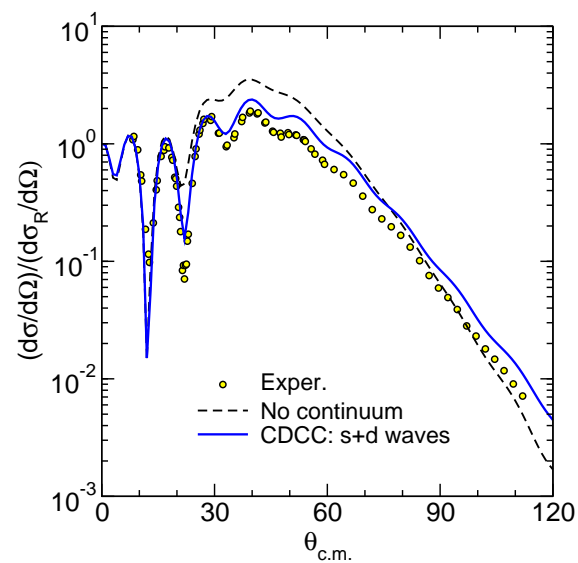
- **Transition potentials:**

$$V_{n;n'}^J(\mathbf{R}) = \int d\mathbf{r} \phi_n(\mathbf{r})^* \left[V_{pt}(\mathbf{R} + \frac{\mathbf{r}}{2}) + V_{nt}(\mathbf{R} - \frac{\mathbf{r}}{2}) \right] \phi_{n'}(\mathbf{r})$$

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Reacciones Nucleares – 123 / ??

Application of the CDCC formalism: $d + {}^{58}\text{Ni}$



☞ Inclusion of the continuum is important to describe the data

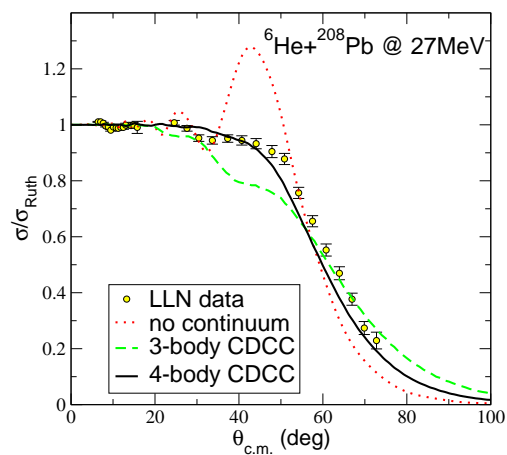
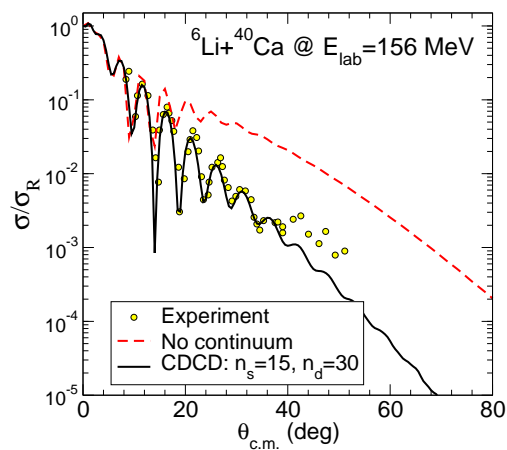
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Application of the CDCC method: ${}^6\text{Li}$ and ${}^6\text{He}$ scattering

☞ The CDCC has been also applied to nuclei with a cluster structure:

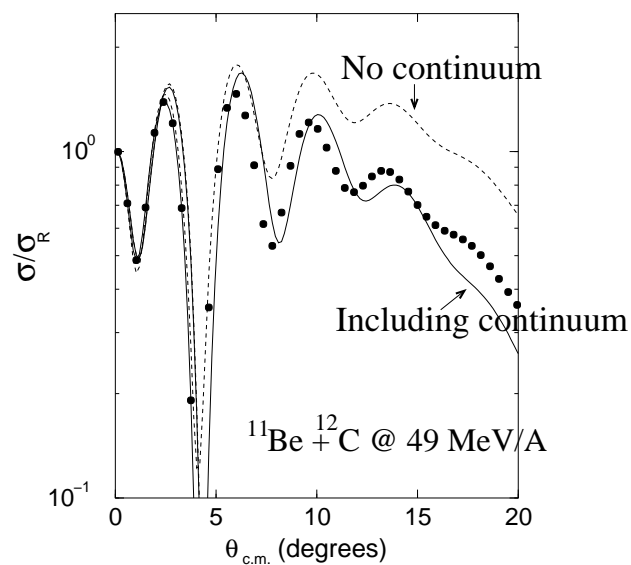
- ${}^6\text{Li} = \alpha + d$
- ${}^6\text{He} = \alpha + n + n$



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Reacciones Nucleares – 125 / ??

Normal versus halo nuclei: Fraunhofer



☞ In Fraunhofer scattering the presence of the continuum produces a reduction of the elastic cross section

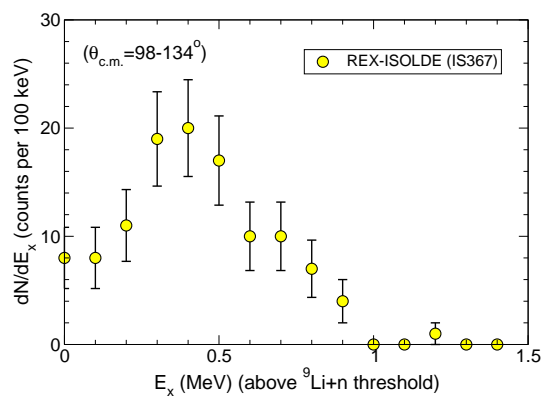
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Reacciones Nucleares – 126 / ??

Breakup observables: resonant and non-resonant continuum

What is a resonance?

Definition 1: (Experimentalist) It is a maximum in the cross section as a function of the energy



Ej: ${}^9\text{Li} + d \rightarrow {}^{10}\text{Li} + p$ (H. Jeppesen et al). Searching for resonances in ${}^{10}\text{Li}$.

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...but

- Not all the maxima in the cross section can be associated to resonances. Coupling to a non-resonant continuum produces a maximum at some energy, which is related to the size of the system, and the properties of the interaction.
- For weakly bound systems, resonances can be very broad (1 MeV), and occur at relatively low excitation energies. It is not clear, a priori, whether a bump in the cross section is a signature of a genuine resonance, or not.

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What is a resonance?

Definition 2: (Theoretician) It is a pole on the S-matrix (of the $n+{}^9\text{Li}$ system)

...but

- The fact that there is a pole in the S-matrix in the complex E plane does not allow, by itself, to calculate the cross section to the resonance.
- The wave function corresponding to a pole in the complex E-plane is not square-normalizable.
- Finding poles in a complex energy-plane for multi-channel or three-body systems is difficult.

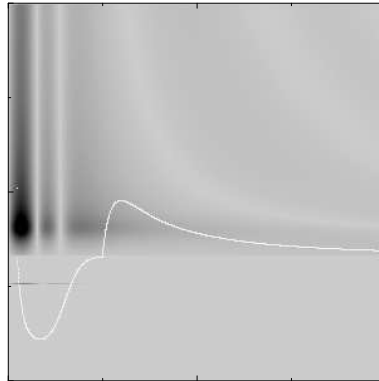
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What is a resonance?

Definition 3: It is a structure on the continuum

which may, or may not, produce a maximum in the cross section, depending on the reaction mechanism and the phase space available.

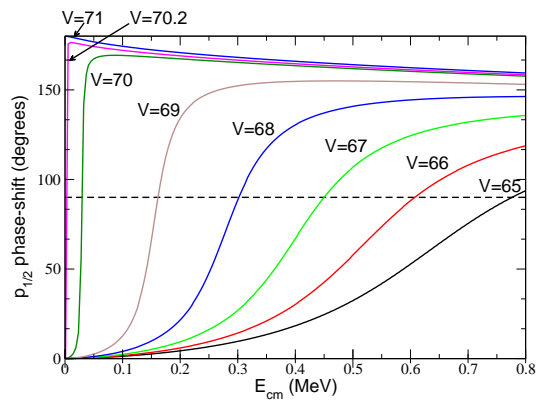


Cuts and areas ordered by size

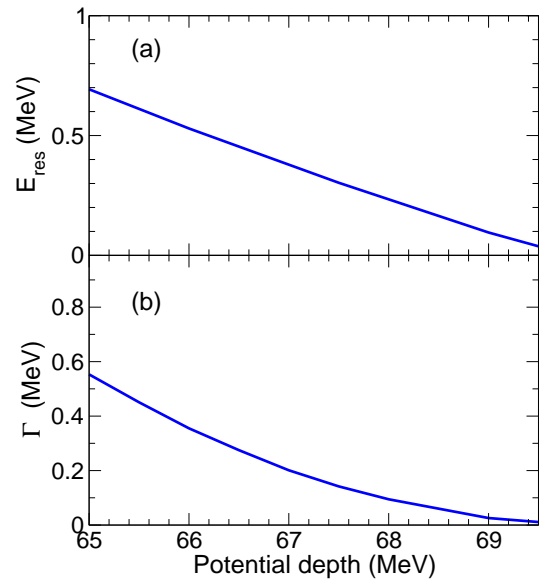
- The resonance occurs in the range of energies for which the phase shift is close to $\pi/2$.
- In this range of energies, the continuum wavefunctions have a relatively large probability of being in the radial range of the potential.
- The continuum wavefunctions are not square normalizable. However, a normalized “bin” of wavefunctions can be constructed to represent the resonance.

¹⁰Li p-wave phase-shifts

$p_{1/2}$ resonance



- $E_{res} \rightarrow \delta(E_{res}) = \frac{\pi}{2}$
- $\frac{2}{\Gamma} = \frac{d\delta}{dE}$



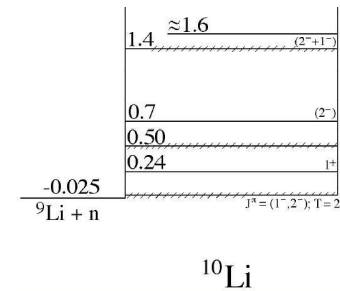
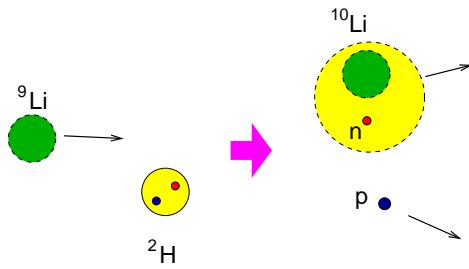
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Reacciones Nucleares – 132 / ??

hola

Why measuring transfer reactions with final unbound states?

- Structures in the continuum (resonances, virtual states).
- Some exotic systems are unbound, but contain resonances and other structures that can be studied by means of transfer reactions

Examples: ${}^5\text{He}$, ${}^8\text{Be}$, ${}^{10}\text{Li}$, etc**Example:** ${}^9\text{Li} + p \rightarrow {}^{10}\text{Li} + p$ 

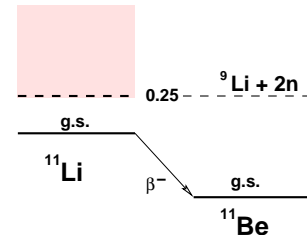
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Reacciones Nucleares – 134 / ??

Spectroscopy to unbound states

The ^{10}Li and ^{11}Li systems

- ^{11}Li radioactive:
 $^{11}\text{Li} \xrightarrow{\beta^-} ^{11}\text{Be} \quad (t_{1/2} \simeq 8.5 \text{ ms})$
- ^{11}Li key example of Borromean nucleus:
 - ♦ $n+n$ and $n+^9\text{Li}$ unbound but,
 - ♦ $n+n+^9\text{Li}$ has a (weakly) bound state.
- The structure of ^{11}Li depends critically on:
 - ♦ $n+n \rightarrow$ well understood.
 - ♦ $n+^9\text{Li} \rightarrow$ dominated by: $\left\{ \begin{array}{l} \text{p-wave resonance} \\ \text{s-wave virtual state} \end{array} \right\} \rightarrow$ **not well understood**



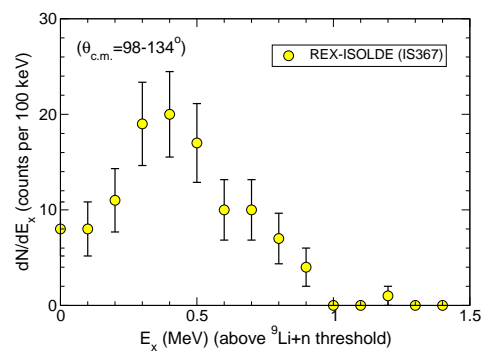
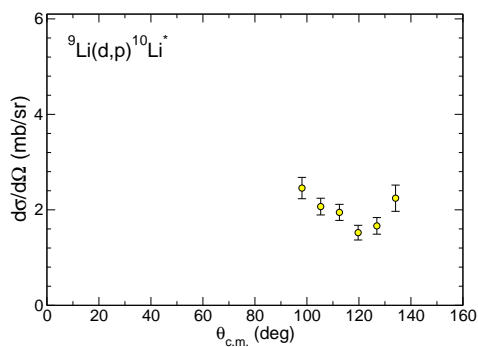
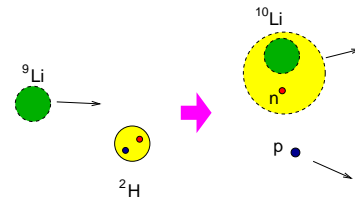
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Reacciones Nucleares – 135 / ??

Spectroscopy to unbound states: $^9\text{Li}(d,p)^{10}\text{Li}$ case

The Experiment:

- REX-ISOLDE (2002)
- ^9Li beam on D target at $E = 2.75 \text{ MeV/u}$
- The experiment provided angular and energy distributions for protons $\Rightarrow ^{10}\text{Li}$.



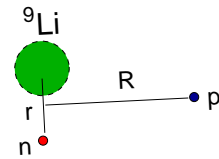
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Reacciones Nucleares – 136 / ??

The transfer to the continuum (TC) amplitude

- Exact scattering amplitude (prior form):

$$T_{if} = \langle \Psi_f^{(-)} | V_{n+^9\text{Li}} + U_{p+^9\text{Li}} - U_\alpha | \chi_d^{(+)} \phi_d \rangle$$

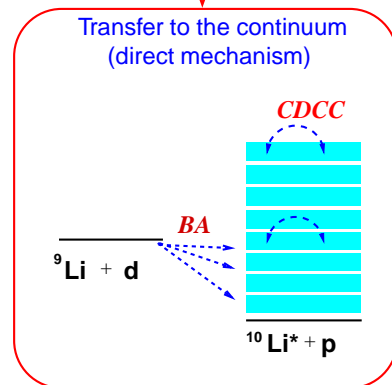
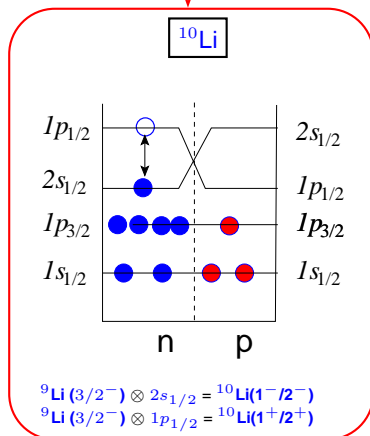


- Numerical evaluation of T:

- $\Psi_f^{(-)}$ calculated in 3-body model: p+n+⁹Li
- $\Psi_f^{(-)} \approx \Psi_f^{\text{CDCC}}(\mathbf{r}, \mathbf{R}) = \sum_i \chi_{p-^{10}\text{Li}}^i(\mathbf{R}) \phi_{^{10}\text{Li}}^i(\mathbf{r})$
 $[\phi_{^{10}\text{Li}}^i(\mathbf{r}) : \text{continuum bins for n-}^9\text{Li unbound states}]$
- $U_\alpha(\mathbf{R})$ taken to reproduce the elastic data *

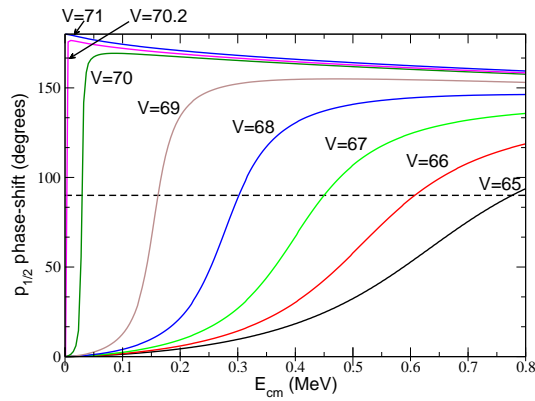
Spectroscopy to unbound states: ⁹Li(d,p)¹⁰Li case

STRUCTURE + REACTION

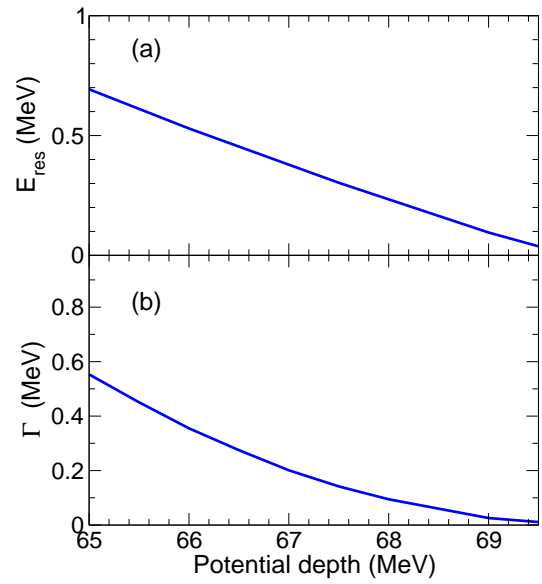


Spectroscopy to unbound states: ${}^9\text{Li}(d,p){}^{10}\text{Li}$ case

$p_{1/2}$ resonance



- $E_{\text{res}} \rightarrow \delta(E_{\text{res}}) = \frac{\pi}{2}$
- $\frac{2}{\Gamma} = \frac{d\delta}{dE}$

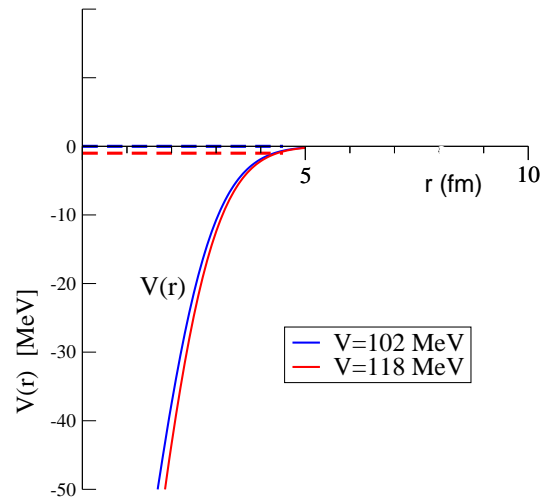
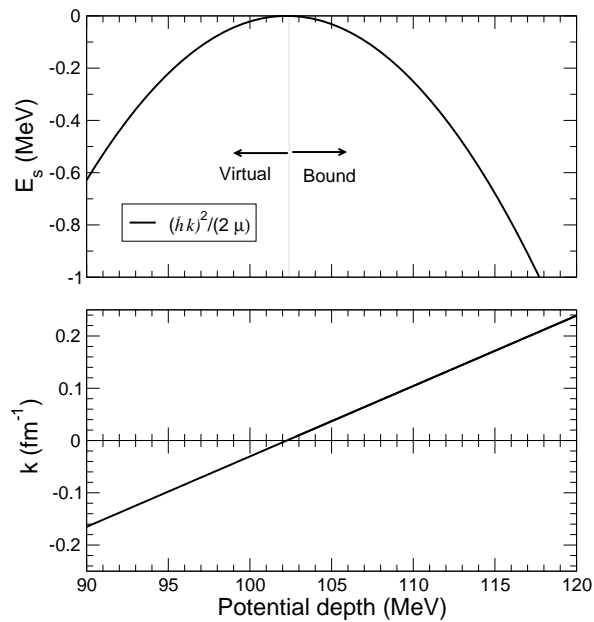


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Spectroscopy to unbound states: ${}^9\text{Li}(d,p){}^{10}\text{Li}$ case

Appearance of a virtual state in ${}^{10}\text{Li} = {}^9\text{Li} + n$:

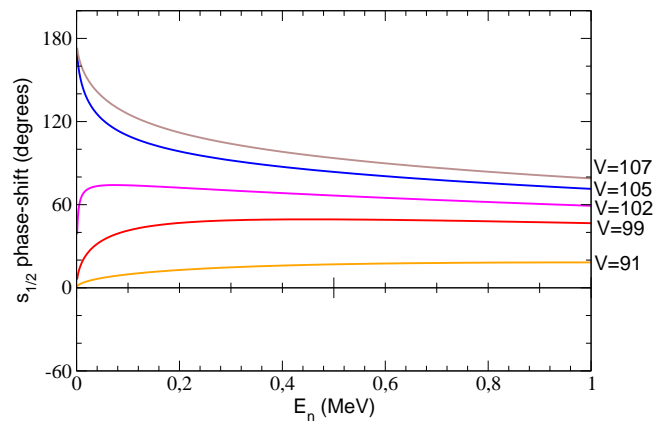


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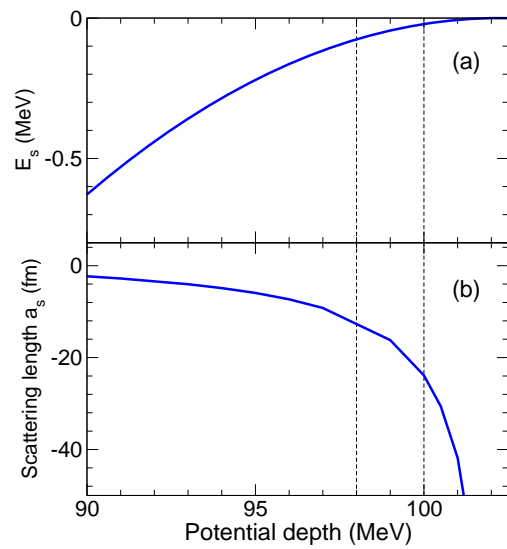
Spectroscopy to unbound states: ${}^9\text{Li}(\text{d},\text{p}){}^{10}\text{Li}$ case

V_s (virtual state)



Scattering length:

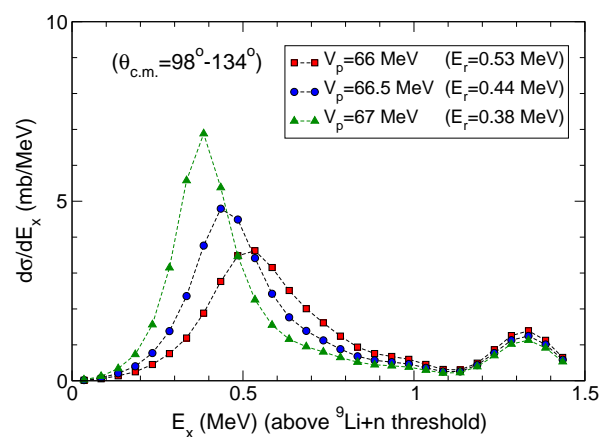
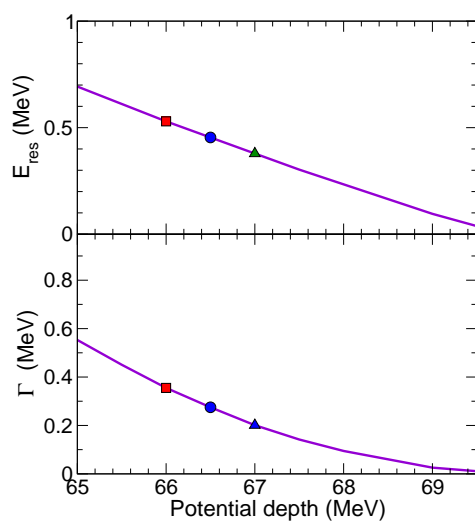
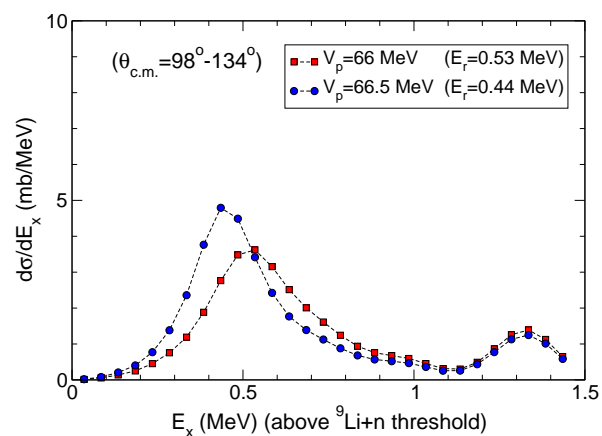
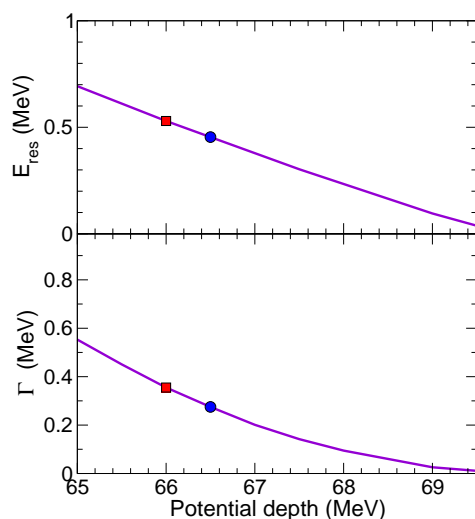
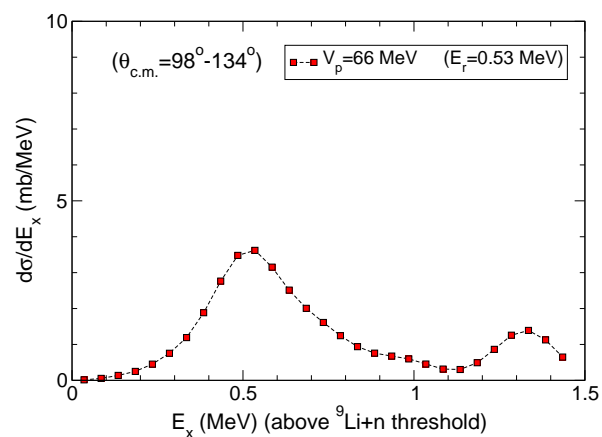
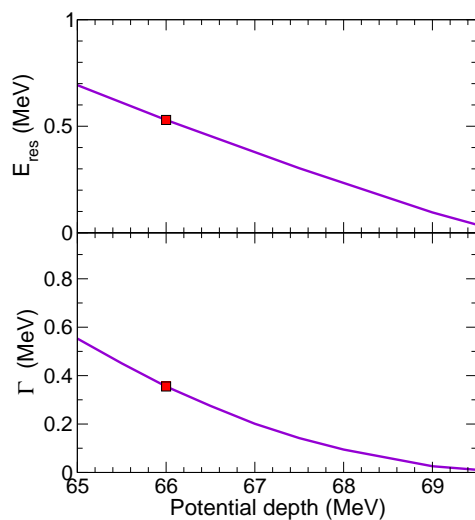
$$a_s = -\lim_{k \rightarrow 0} \tan \frac{\delta(k)}{k}$$



Spectroscopy to unbound states: $^9\text{Li}(d,p)^{10}\text{Li}$ case

Structure: $p_{1/2}$ resonance

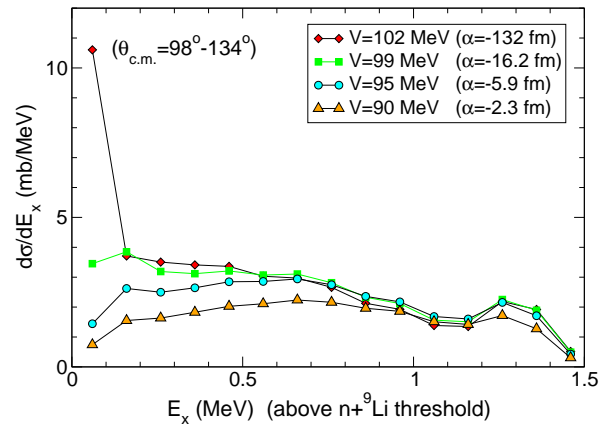
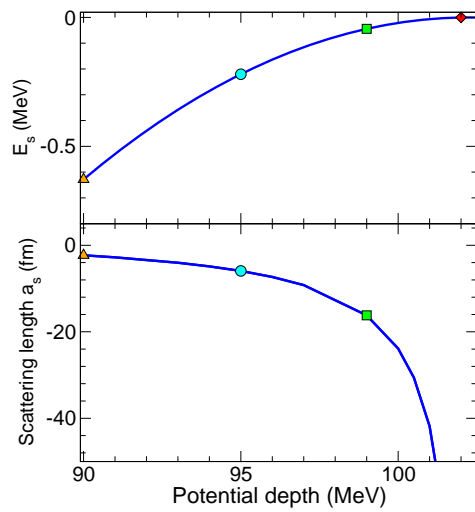
Reaction



Spectroscopy to unbound states: $^9\text{Li}(d,p)^{10}\text{Li}$ case

Structure: $s_{1/2}$ v.s.

Reaction



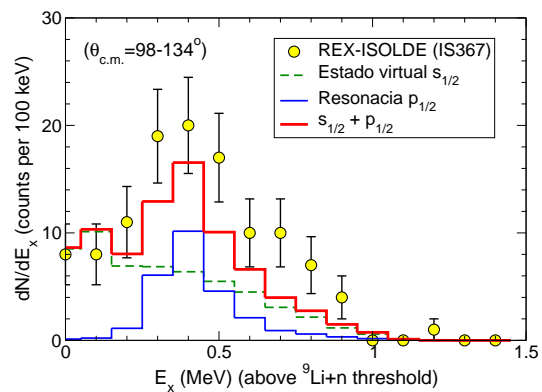
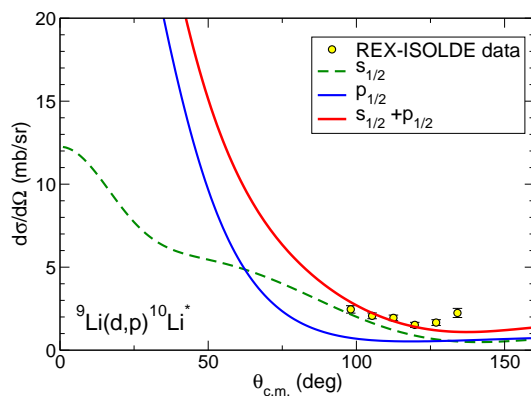
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Spectroscopy to unbound states: $^9\text{Li}(d,p)^{10}\text{Li}$

BEST FIT RESULTS: HP.Jeppesen et al, PLB642 (2006) 449

- $p_{1/2}$ resonance ($1^{+}/2^{+}$ doublet): $E_r \simeq 0.38$ MeV, $\Gamma = 0.2$ MeV
- $s_{1/2}$ virtual state ($1^{-}/2^{-}$ doublet): $a_s \simeq -24$ fm



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