APPLICATION FOR MOT AUTHORISATION OR A VARIATION TO MOT AUTHORISATION



Please read the Completion Notes (Appendix 1) carefully before legibly entering the details in the white boxes provided using **black ink** and in **BLOCK CAPITALS**, sections can be photocopied as required.

You should have read and understood the Requirements for Authorisation (available via http://www.businesslink.gov.uk) before completing this form.

For additional information please refer to the MOT Testing Guide or telephone the VOSA Contact Centre on 0300 123 9000 or E-mail enquiries@vosa.gov.uk.

Note: Items marked **N** are explained in Appendix 1 of this form and the Requirements for Authorisation.

Part A

SECTION A1 Please ☑ the box in the right hand column to select the reason for the your application

		V
New AE or DC applying for Authorisation to operate a new VTS	A (except A2) B C	
New AE or DC applying for Authorisation to operate an approved VTS (this VTS must currently be conducting MOT Tests)	A (except A2) B C1 and C3	
New AE or DC applying for Authorisation to operate a previously approved VTS (this VTS is not currently conducting MOT Tests)	A (except A2) B C	
Approved AE or DC applying for Authorisation to operate a new VTS	A1, A2, A4, A8 C	
Approved AE or DC applying for Authorisation to operate an approved VTS (this VTS must currently be conducting MOT Tests)	A1, A2, A4, A8 C1 and C3	
Approved AE or DC applying for Authorisation to operate a previously approved VTS (this VTS is not currently conducting MOT Tests)	A1, A2, A4, A8 C	
Notification of changes to an approved VTS	A1, A2, A8 C	
Notification of changes within the authorised business entity	A B (if applicable)	
Please state the reason for change to the authorised business entity		***************************************
Please enter the proposed date the entity change is to take place		
Multi - site applications please enter your preferred VOSA Lead Area Office (N)		

SECTION A2 Current AE Number

If you are currently Authorised, enter your AE number from your Authorisation Certificate?



SECTION A3 Entity Details

SECTION AS	3 Entit	y Det	alis										
1 What is your business entity? (N) Please ☑ one of the following options													
a Comp	pany		b F	artnership	C	Sole Trader		•	d Desigr	nated Cou	ıncil		
2 Business	Entity	Name	(N)	Í	***************************************						ā		
3 Registere	d Offic	e Add	ress										
4 Postcode							5	Teleph	one				
6 Fax numb	oer						7	E-mail					
8 Corresponded Complete A3 from those of	3.8-3.1	2 if th	e deta	ils differ									
9 Postcode							10	Telep	hone				
11 Fax num	nber						12	E-ma	il				
13 Register	ed Co	mpan	y Numl	ber						-			
14 Compar application (of Appointn	nents or Par	tnership Agr	eem	ent is	included	l with this			
					<u> </u>	e with the Co			`	<u> </u>			
					ehalf of the l o Sole Trade	business ent	ity is	sinclu	ded with	this appli	ication	(a	
						this Authoris	atio	n? (N)					
												,	
SECTION A	4 Acco	ounts				I							
			g more	e than one V	TS which ty	pe of MOT A	CCO	unt do	vou wis	h to hold	? (N)		
SECTION A5	•					<u> </u>			<u>, </u>		()		
1 Title		2 Ge			natou mana	3 Date of E							
4 First Nam				<u></u>	5 Middle								
6 Surname						Licence Nun	nher						
8 Home Ad					, Dirving	Licerioe Hair	1001						
		(n)					9 1	Postco	de				
(including post town) 10 What is your User Identification Number (if applied)					r (if annlicah	le) (N)?	J 1	03100					
	-						T Sc	heme	√ this h	ov (N) (if	annlics	ahla)	
 11a I confirm that I hold the role of a Trained Person within the MOT Scheme ☑ this box (N) (if applicable) 11b I have completed and returned an MOT Managers' Course Application form (if applicable) 													
12 If you have held, or are currently holding another appointment or role within the MOT Scheme ☑ this box.													
13 Authorised Examiner Designated Manager (AEDM) declaration I (the person named at A5.4 - A5.6) have read all the relevant sections within the						ne curre	nt MOT T	esting	Guide	<u></u>			
						rstand the ro							
Signature								Date					

SECTION A6 Company Declaration of Non Conviction

I confirm that this entity (named at A3.2) has **NO UNSPENT CRIMINAL CONVICTIONS (N)**.

I am aware that if, in the future it is brought to VOSAs attention that there are any unspent convictions that have not been disclosed, this may result in the cessation of authorisation to carry out statutory testing. Cessation may occur even though the unspent conviction may not have been of a nature that would have led to refusal on the initial VT01 application.

(This state)	n. I declare that all the above information, to ment must be signed by the Duly Authorised rised Person in a company or partnership, o	Partner of a partnership, an	Officer of the Company, a
Signature	Full Name (Print)		Date
SECTION A	7 Company Details of Conviction		
	at this entity (named at A3.2) has the followin neet if required)	g UNSPENT CONVICTIONS	(N). Please use an
Date of Conviction	Court	Offence	Sentence (years)
			# # # # # # # # # # # # # # # # # # #
	1		
(This state)	 n. I declare that all the above information, to ment must be signed by the Duly Authorised rised Person in a company or partnership, o 	Partner of a partnership, an	Officer of the Company, a
Signature	Full Name (Print)		Date

SECTION A8 Statement of Responsibilities (N)

All statutory testing must be conducted in accordance with the requirements of Section 45 of the Road Traffic Act 1988; the Motor Vehicles (Tests) Regulations, the MOT Inspection Manual, the MOT Testing Guide, the Requirements for Authorisation and supplementary information issued from time to time by VOSA applicable at the time of test.

All statutory testing must be carried out:

- a) at the premises shown on the authorisation certificate (VT9);
- b) using only the equipment and facilities specified in this application or later agreed by VOSA as being acceptable and which is maintained in good working order and equipment calibrated at the required intervals;
- c) only on those classes of vehicle for which authorisation has been granted;
- d) only by persons who are listed on the MOT Computerisation System as testing and are included on the list of testers displayed at those premises;
- e) utilising an assistant for those parts of the tests requiring it;
- f) without avoidable distraction or interruption.

Any vehicle of a class for which authorisation is granted must be accepted for test, unless it is of such size or weight that it cannot be properly or safely tested on the approved facilities. If a test cannot be carried out immediately, an appointment must be offered for the earliest practicable time subject to:

- a) physical limits;
- b) reasons for not starting test.

Full responsibility for the actions of persons nominated to carry out tests and for ensuring that acceptable standards of statutory testing are maintained rests upon the AE or DC.

AEs must, as soon as practicable, notify the local VOSA office;

- of any criminal conviction of the AE, one of its partners, directors or officers of the company, whether or not the conviction arises from action related to the authorisation, as soon as practicable.
- that the AE is adjudged bankrupt or, in Scotland, has his estate sequestrated, or;
- · the partnership is dissolved, or;
- if the AE is a company for which a winding-up order is made; a resolution for voluntary winding-up is passed, a receiver or manager of the body's undertaking is appointed or the taking of possession, by or on behalf of the holders of any debenture secured by as floating charge, or of any property of the body comprised in or subject to the charge, occurs.
- of convictions of any of their NTs for an offence that is, either directly connected with their MOT testing activities, that may have a bearing on the MOT testing scheme or that involved acts of violence or intimidation.

Authorisation may cease for any failure to comply with these requirements set out above or if any statement made in this application is untrue.

Declaration. I declare that:

- the information provided in Part A and its supporting documentation is, to the best of my knowledge, true and correct:
- I have read, understood and accept the above Statement of Responsibilities;
- I have the authority to accept it on behalf of the applicant(s).

Signature		Full Name (Print)		Date		
	You are advised to retain a copy of this Statement as a reminder of your responsibilities.					

Part B (photocopy this part as required)

Each Authorised Examiner Principal must complete a separate Part B

SECTION B1 Authorised Examiner Principal(s) (AEP) Personal Details (N)

1 Title		2 Gende	r	3 Date of Birth			
4 First Na	me			5 Middle Name			
6 Surnam	е			7 Driving Licence Number			
8 Home A	ome Address						
(including	post to	wn)			9 Post	tcode	
10 If you have held, or are currently holding an appointment or role within the MOT Scheme ☑ this box.							
11 If ☑ to B1.10, what is your User Identification Number (if known) (N)?							
12 An endorsed copy of my photographic identification is included with this application ☑ this box (N)							
13 IMPORTANT Data Protection Act 1998; if you AGREE to your details being released ☑ this box (N)							

SECTION B2 Personal Declaration of Non Conviction and Bankruptcy (N)

I (the person named above as a * Sole Trader / Partner / Company Secretary / Company Director (* delete as appropriate) of the business entity named at A3.2 confirm that I;

- have NO UNSPENT CRIMINAL CONVICTIONS connected with vehicles and/or the motor trade or involving acts of violence or intimidation in accordance with the Rehabilitation of Offenders Act 1974;
- am not currently adjudged bankrupt or, in Scotland, have had my estate sequestrated nor have been within the last five years;

I am aware that if, in the future it is brought to VOSA's attention that;

- there are any relevant unspent convictions that have not been disclosed, this may result in the cessation of authorisation to carry out statutory testing. Then Cessation may occur even though the unspent conviction may not have been of a nature that would have led to refusal on the initial VT01 application.
- I am adjudged bankrupt (in Scotland, had my estate sequestrated). Then Cessation of Authorisation is automatic.

Declaration . I declare that all the above information, to the best of my knowledge, is true and correct. (The person named above must sign this statement).				
Signature	Full Name (Print)	Date		

Part C (photocopy this part as required)

SECTION C1 Vehicle Testing Station (VTS) Details

1 What is the VTS number? (if unk	<u> </u>	2 - C7)		
2 Trading name of this VTS (N)		,		
3 VTS site address (including post town)				
4 Postcode		5 E-mail Address		
6 Telephone Number		7 Fax Number		
8 Which classes of vehicle are you	applying to test? (N)		,	
9 ☑ if you are applying to test dies	sel engine vehicles?			
10 ☑ if this VTS will include a One	Person Test Lane (OPT) facility? (N)		
11 ☑ if this VTS will include an Au	tomated Test Lane (ATL)) facility? (N)		
12 Please specify below any prop			or test equipment. (N)	
13 Proof of ownership or proof of exclusive use of the testing premises (included with this application) (N)				
SECTION C2 Plans and Dimensi	ions			
1 Evidence of planning permission covers MOT Testing (☑ if included			at existing approval	

Record the plan number here

Record the drawing number here

2 Site plan showing the location of the premises, test bay, access and parking

3 Fully dimensioned drawing of the test bay layout (☑ if included with this

 $(\ \ \, \square \ \ \,)$ if included with this application) $(\ \ N)$.

application) (N).

SECTION C3 VTS Nominated Testers and Proposed Nominated Testers Details (if known) (N)

Note - Authorisation will not be complete until at least one acceptable Nominated Tester has been identified.

1 ☑ the box if the identity of your Nominated Testers for this site are unknown (N)					
2 Title 3 Gender	4 Date of Birth				
5 First Name	6 Middle Name				
7 Surname	8 Driving Licence Number				
9 What is their User Identification Number	(if applicable)?				
2 Title 3 Gender	4 Date of Birth				
5 First Name	6 Middle Name				
7 Surname	8 Driving Licence Number				
9 What is their User Identification Number	(if applicable)?				
2 Title 3 Gender	A Date of Dirth				
2 Title 3 Gender 5 First Name	4 Date of Birth 6 Middle Name				
7 Surname	8 Driving Licence Number				
What is their User Identification Number					
• What is then oser identification range	п арриодого).				
2 Title 3 Gender	4 Date of Birth				
5 First Name	6 Middle Name				
7 Surname	8 Driving Licence Number				
9 What is their User Identification Number (if applicable)?					
2 Title 3 Gender	4 Date of Birth				
5 First Name	6 Middle Name				
7 Surname	8 Driving Licence Number				
9 What is their User Identification Number (if applicable)?					
2 Title 3 Gender	4 Date of Birth				
5 First Name	6 Middle Name				
7 Surname	8 Driving Licence Number				
9 What is their User Identification Number	(if applicable)?				
2 Title 3 Gender	4 Date of Birth				
5 First Name	6 Middle Name				
7 Surname	8 Driving Licence Number				
9 What is their User Identification Number	(if applicable)?				
2 Title 3 Gender	4 Date of Birth				
5 First Name	6 Middle Name				
7 Surname	8 Driving Licence Number				
9 What is their User Identification Number					

APPENDIX 1 – COMPLETION NOTES FOR APPLICATION FOR MOT AUTHORISATION

Introduction

Applicant Authorised Examiners (AE) or Designated Councils (DC) must use this VT01 form to apply for Authorisation to operate a Vehicle Testing Station (VTS) on behalf of the Secretary of State for the Department for Transport.

You should have read and understood the responsibilities of an AE or DC before completing this form. You can use this form to notify VOSA of certain changes to an Authorisation e.g. a change in status of a VTS or a change in the equipment within a VTS.

The legal entity that will hold full control and responsibility for MOT testing functions must complete this application and complete Section A8. You must have read the Requirements for Authorisation (via http://www.businesslink.gov.uk). VOSA must be informed where possible before any change to the legal entity takes place, otherwise testing must cease immediately the change occurs.

Before carrying out any building or alterations to existing premises or installing equipment, you should ensure that your proposals meet the current requirements and are acceptable to VOSA. The installation of Acceptable Equipment in premises that appear suitable does not mean that you will be authorised.

Complete only the Section(s), as listed in the table at Part A Section A1, which are appropriate to your application. Should you require any further information please refer to the MOT Testing Guide or contact the VOSA Contact Centre by telephone on 0300 123 9000 or by E-mail to enquiries@vosa.gov.uk.

Note: Your failure to supply the required documents (as applicable) that fully comply with the requirements of the MOT Testing Guide, may lead to your application being delayed. For more information on what these documents must contain, please refer to the MOT Testing Guide.

PART A

SECTION A1

Where the application is received from a multi - site AE the VOSA Lead Area Office is usually determined by the location of the AE Registered Office address. However, Multi - site AEs may request to be administered by an Area Office outside of the Registered Office address Enforcement Area. This may be beneficial to your company when the AEDM is normally located away from the Registered Office. Please enter your preferred VOSA Lead Area Office location in the box provided.

SECTION A3 Entity Details

An AE (DC) is the legal business entity that operates and manages one or more VTSs and is responsible for controlling the quality of testing carried out.

With the exception of a Sole Trader the AE (DC) is **not** a person but the legal entity, for example a partnership, a company or local authority.

- **2** This is the name of the authorised entity e.g. a partnership of Mr F. Smith and Mr J Stevens trading as Smiths Partnership would enter 'Smiths Partnership'.
- **15** The Companies Act 2006 was previously known as the Business Names Act for more information please refer to the Companies House web site.
- **17** Authorised Examiner Principals (AEPs) are legally responsible for the entity. This must be the Sole Trader, a partner, a director, senior manager or an officer of a company or the Chief Executive Officer (CEO) of a Designated Council.

SECTION A4 Accounts

All authorised business entities are required to maintain a VOSA account known as an MOT Account. This will be used to pay for test slots, training courses, stationery and other catalogue items. You may already operate one of VOSA's Pre - Funded Accounts however; you will not be able to make payments for MOT purchases from that account.

Multi - site AEs have the option of setting up an account in one of two ways:

a) VTS Accounts puts each VTS within an Authorisation under a separate account. This means that a person can only order for the one VTS. However the AE may give the same person the ordering role for more than one VTS if they so wish. Monies will have to be paid into each of the accounts for a VTS within the organisation separately. There can only be one VTS Account for each Vehicle Testing Station, b) AE Accounts (Only applicable to multi - site AEs). This links all the VTSs within an Authorisation under a single account. Anyone who the AE allows to order goods and services on the account can do so for any VTS in the organisation. An AE may choose to give the role to one, or more persons for the whole of the Authorisation or appoint someone at each VTS within the Authorisation. Money paid into the account may be used by anyone with an account management role to order goods and services for any VTS in the Authorisation.

You must decide to operate **either** one or the other and enter either AE or VTS in the box provided. The account type may be altered at any time to reflect the changing circumstances of the AE.

SECTION A5 Authorised Examiner Designated Manager (AEDM)

Every business entity applicant must nominate an AEDM.

This person who will be appointed by VOSA must be;

- the Sole Trader or
- the partner who will exercise the most direct control over all MOT testing operations or
- an officer of a company or a senior manager of that company having direct responsibility for all MOT testing operations at all sites or
- a senior council officer having direct responsibility over MOT testing operations.

The nominated person will normally have attended and successfully completed VOSAs MOT Managers Course or hold an AE Certificate of Training prior to the granting of Authorisation.

10 Please note that the MOT Managers Course was previously known as the AEs Course.

11b Please return this form directly to VOSA Training Services at the address on the form.

13 – 15 If the AEDM has held a previous role or currently holds a role within the MOT Scheme then their User Identification Number is required (this can be found on their MOT Smart Card) together with the VTS and AE number associated with their last role.

SECTION A6 and A7 Company or Partnership Declaration of Non - Conviction or Conviction

A declaration is required in respect of the partnership or company. This must be made and signed by the Duly Authorised Partner of a Partnership, an Officer of the Company, a Duly Authorised Person in a company or partnership, or the CEO in a Designated Council.

Complete either A6 or A7 in full as appropriate.

Note: A conviction is any penalty imposed by a Court of Law from an absolute discharge to a prison sentence.

SECTION A8 Statement of Responsibilities

The Statement of Responsibility **must** be completed by a Sole Trader, a Duly Authorised Partner of a partnership, an Officer of the Company, a Duly Authorised Person in a company or partnership, or the CEO in a Designated Council.

PART A AE Enclosures (as applicable)

- A copy of the Company House Record of Appointments;
- A copy of the partnership agreement;
- A letter authorising a person to act on behalf of the business entity.

PART B

SECTION B1 Authorised Examiner Principal(s) (AEP)

AEPs are legally responsible for the entity. Each partner in a partnership; each director, Company Secretary or each officer of a company; or the Sole Trader in person that are listed in A4.16 must complete and submit separate AEP personal details using Part B.

- **11 13** If the AEP has held a previous role or currently holds a role within the MOT Scheme then their User Identification Number is required (this can be found on their MOT Smart Card) together with the VTS and AE number associated with their last role.
- **12** All applicants that do not hold an MOT Smart Card and are specified in connection with the AE application must provide a legible copy of a photographic identification document (for more information refer to the Requirements for Authorisation).

15 IMPORTANT Data Protection Act 1998, tick the box if you wish your details to be released. Note this box can only be actioned by the AEP named.

SECTION B2 Personal Declaration of Non Conviction (for AEPs)

Each AEP must complete and submit separate Personal Declaration of Non Conviction.

Note: A conviction is any penalty imposed by a Court of Law from an absolute discharge to a prison sentence until spent under the Rehabilitation of Offenders Act 1974. However, it does not include fixed penalty offences.

Note: A person that is adjudged bankrupt or, in Scotland, has his estate sequestrated is not eligible to apply whilst this status remains. For applicants that have been subject to this order, within five years of the application date, VOSA require either a copy of the Certificate of Discharge or an independent declaration to confirm that you are no longer under these restrictions.

PART B2 AEP Enclosures (as applicable)

- A copy of your photographic identification;
- A certificate of discharge;
- Any additional separate personal declaration of non conviction.

PART C

SECTION C1 Vehicle Testing Station (VTS) details

A separate Part C must be completed for each VTS applied for

1 The VTS number is on the Authorisation Certificate (VT9).

A VTS is the approved site where the Authorised entity carries out MOT tests. To ensure that any proposed site is acceptable please refer to the MOT Testing Guide and the Requirements for Authorisation.

If you are applying for more than one site, please photocopy this section and supply the details of each VTS on a <u>separate</u> sheet.

2 Enter the trading name of this VTS in full.

8 For a full list of vehicle classes and their descriptions, please refer to the MOT Testing Guide.

10 and 11 For a full list of Automated Test Lane (ATL) requirements, please refer to the MOT Testing Guide and the Requirements for Authorisation.

12 Only complete this section if you wish to replace or modify any fixed test equipment or to alter buildings or layout of test equipment. You must confirm in writing - with supporting drawings - that the Requirements for Authorisation will not be contravened by the changes. You must obtain VOSA Area Office approval for the proposed changes before putting them in hand. No proposed change will be acceptable if it reduces clearances or dimensions (including those for access) below the limits specified in the Requirements for Authorisation or, for features approved only as `acceptable variations', below their existing values. Some minor changes to the details shown on the VT9 can be notified to the VOSA local office via the VTS Device.

13 For more information on what this document must contain please refer to the MOT Testing Guide and the Requirements for Authorisation.

SECTION C2 Plans and Dimensions

1 – 3 For more information on what these documents must contain please refer to the Requirements for Authorisation.

Enclosures for a VTS (as applicable)

- Either proof of ownership or proof of exclusive use:
- Either planning permission or evidence of established use;
- A site plan showing the location of testing premises;
- A fully dimensioned drawing of the test bay(s);

SECTION C3 VTS Nominated Testers Details

1 If the Nominated Testers details are not known at this initial application, please \square the box.

2 – 9 Complete the details of all Nominated Testers and proposed Nominated Testers that will be testing at this VTS.

Please post all the completed sections of this form to your local VOSA Office. All the VOSA Area Office addresses are listed in Appendix 9 of the MOT Testing Guide and the Requirements for Authorisation.

PLEASE DO NOT ENCLOSE THIS NOTES APPENDIX WITH YOUR APPLICATION