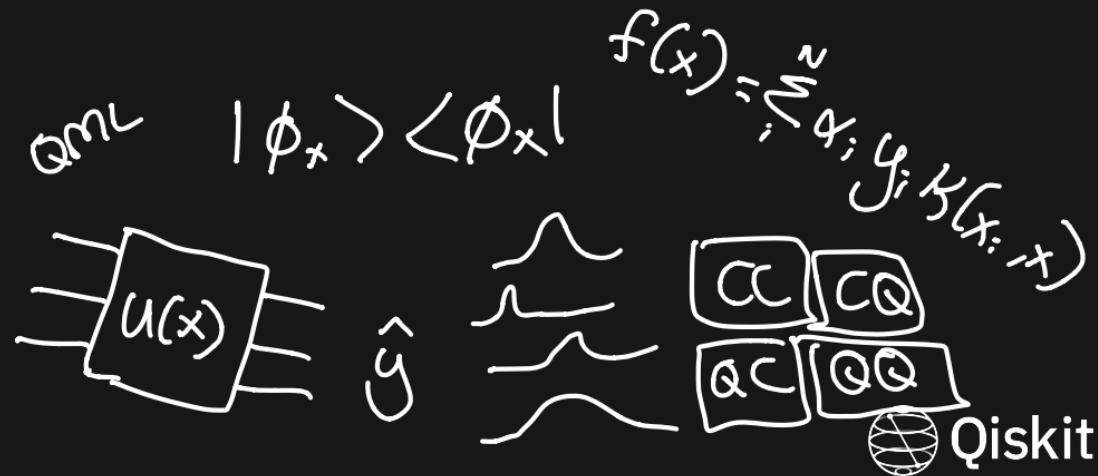
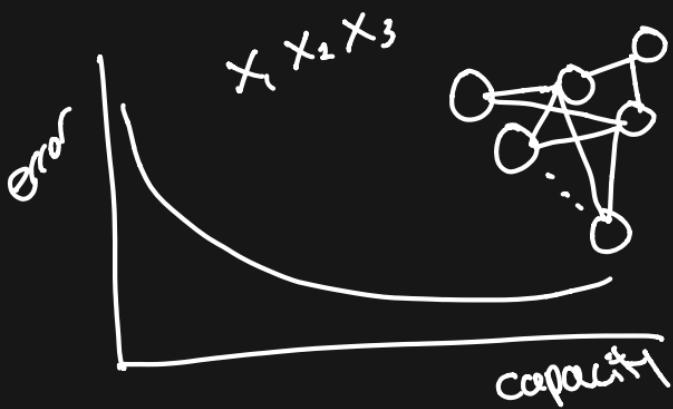


# The capacity and power of quantum machine learning

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Amira Abbas

IBM Quantum, University of KwaZulu-Natal



# What does capacity mean?

In classical machine learning, capacity is used interchangeably with many different terms

# What does capacity mean?

In classical machine learning, capacity is used interchangeably with many different terms

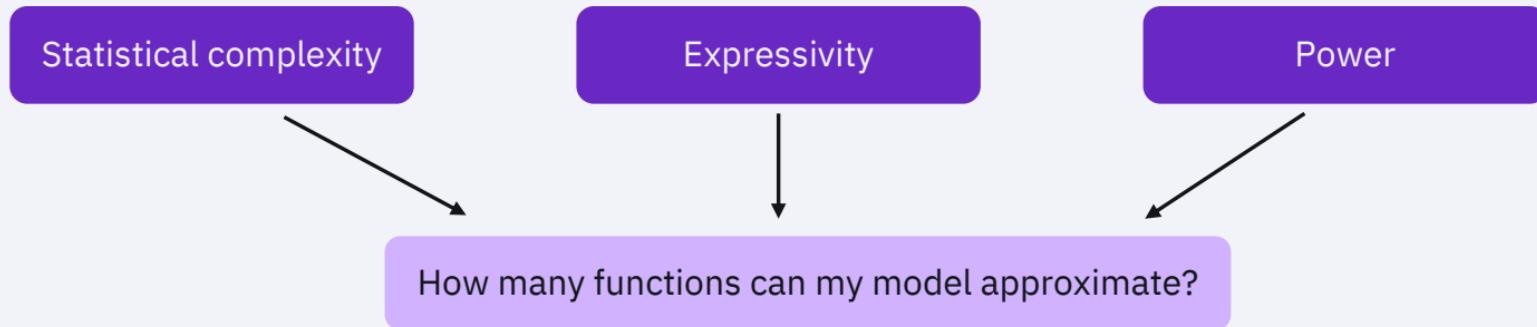
Statistical complexity

Expressivity

Power

# What does capacity mean?

In classical machine learning, capacity is used interchangeably with many different terms



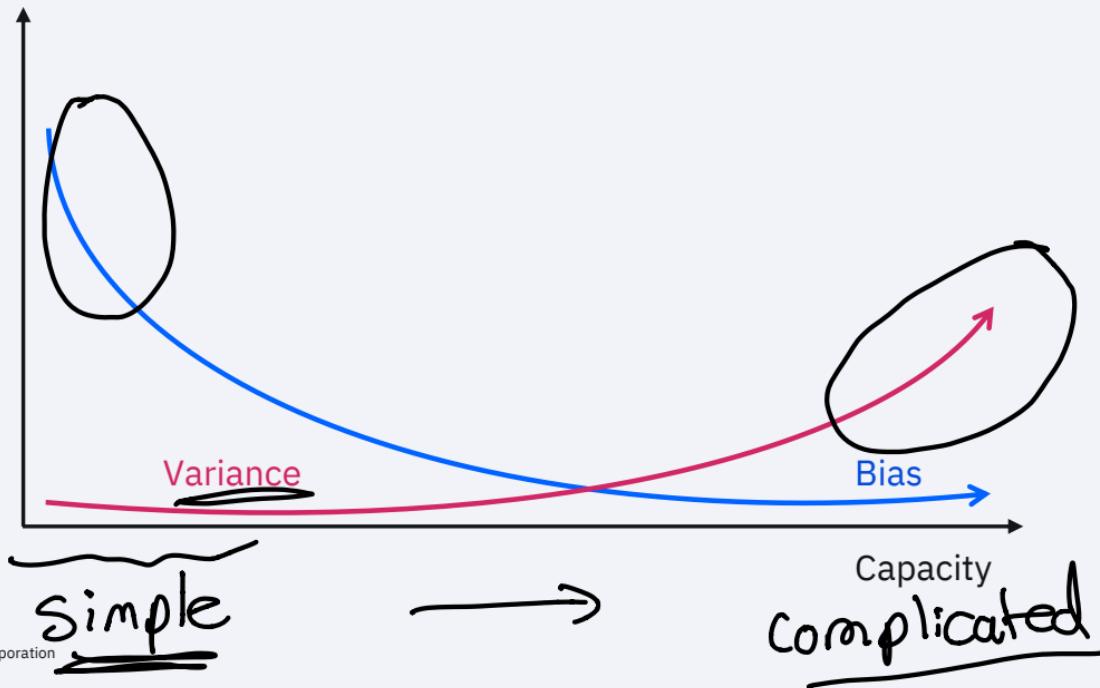
# Is a higher capacity always better?

# Generalization

We can understand capacity in the context of generalization performance

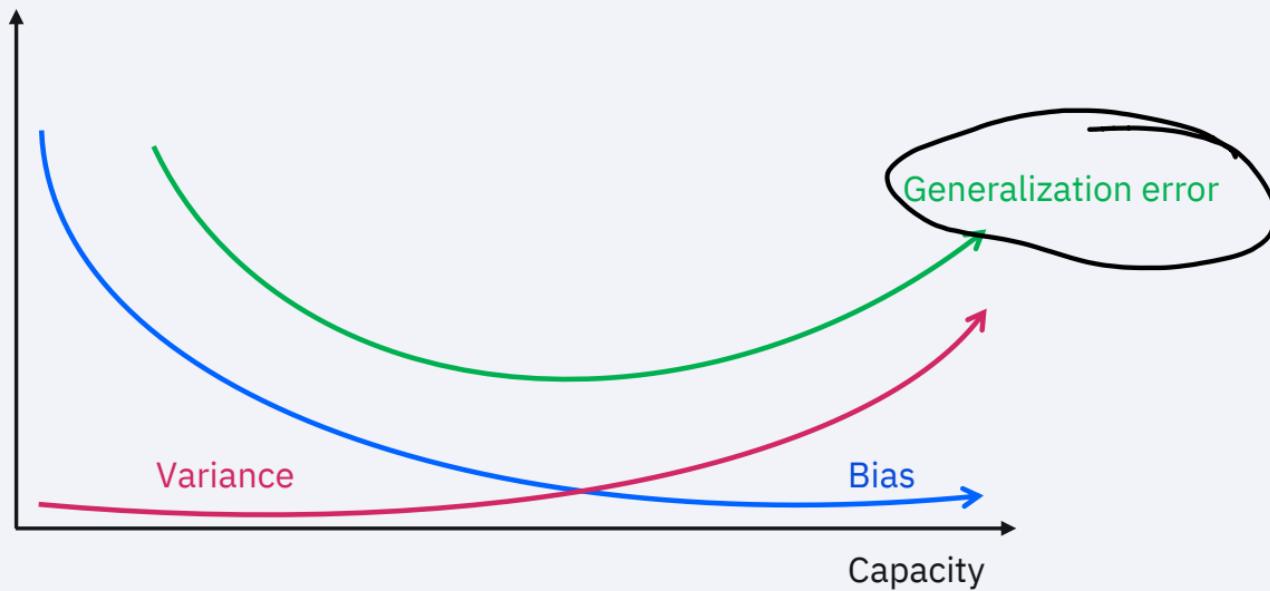
# Generalization

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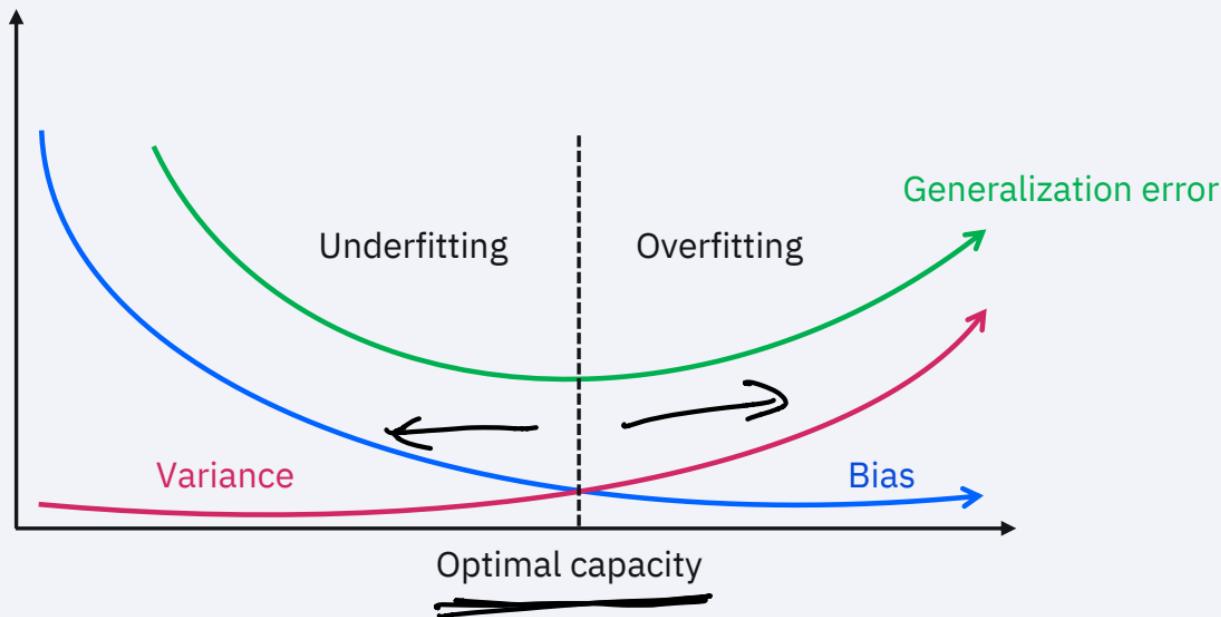
# Generalization

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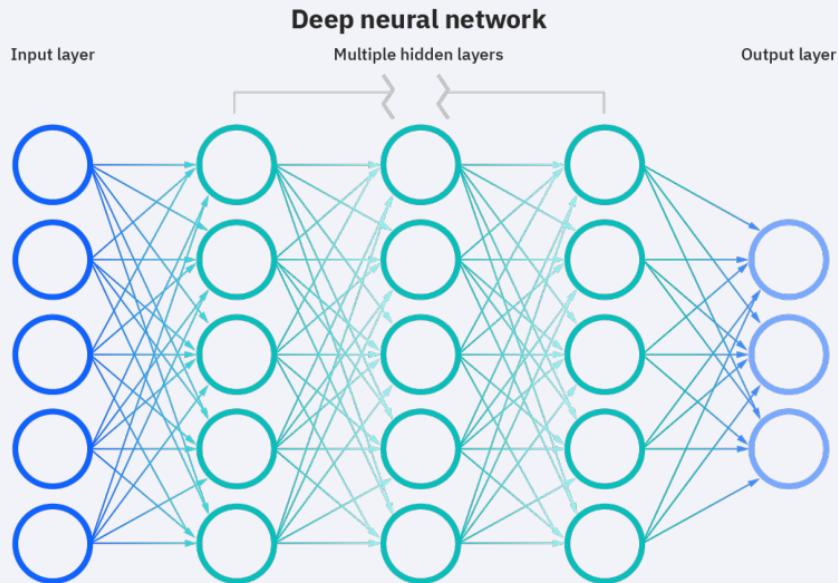


# How do we measure capacity?

(Classically)

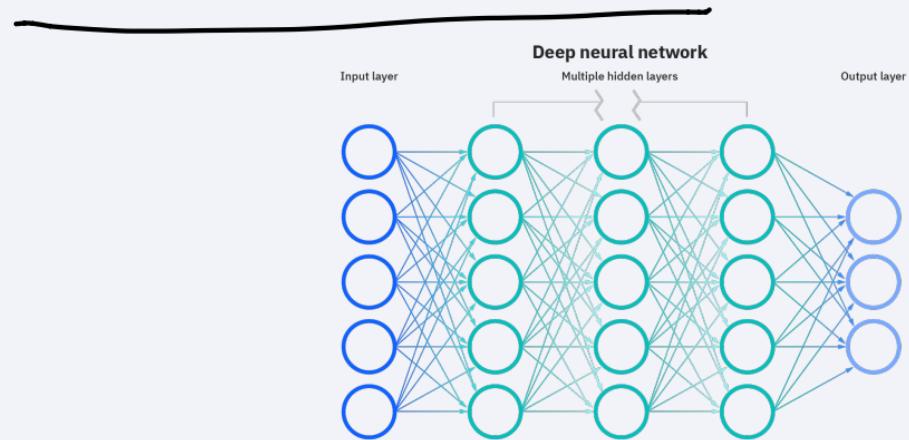
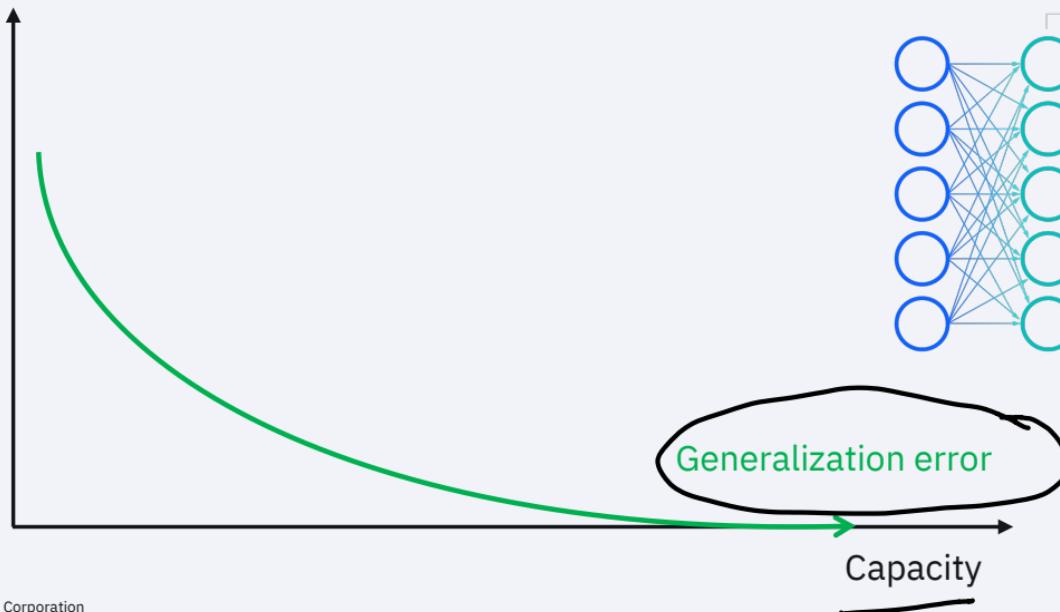
# Capacity measures

As a start, making your model larger (adding more parameters, increasing the depth) was assumed to add to capacity



# Deep neural networks

A common misconception or seemingly paradoxical behaviour was that DNNs do not overfit



# Deep neural networks

An important experiment shown by Zhang et al. showed that DNNs do overfit if trained on *random labels*

## Understanding deep learning requires rethinking generalization

Chiyuan Zhang, Samy Bengio, Moritz Hardt, Benjamin Recht, Oriol Vinyals

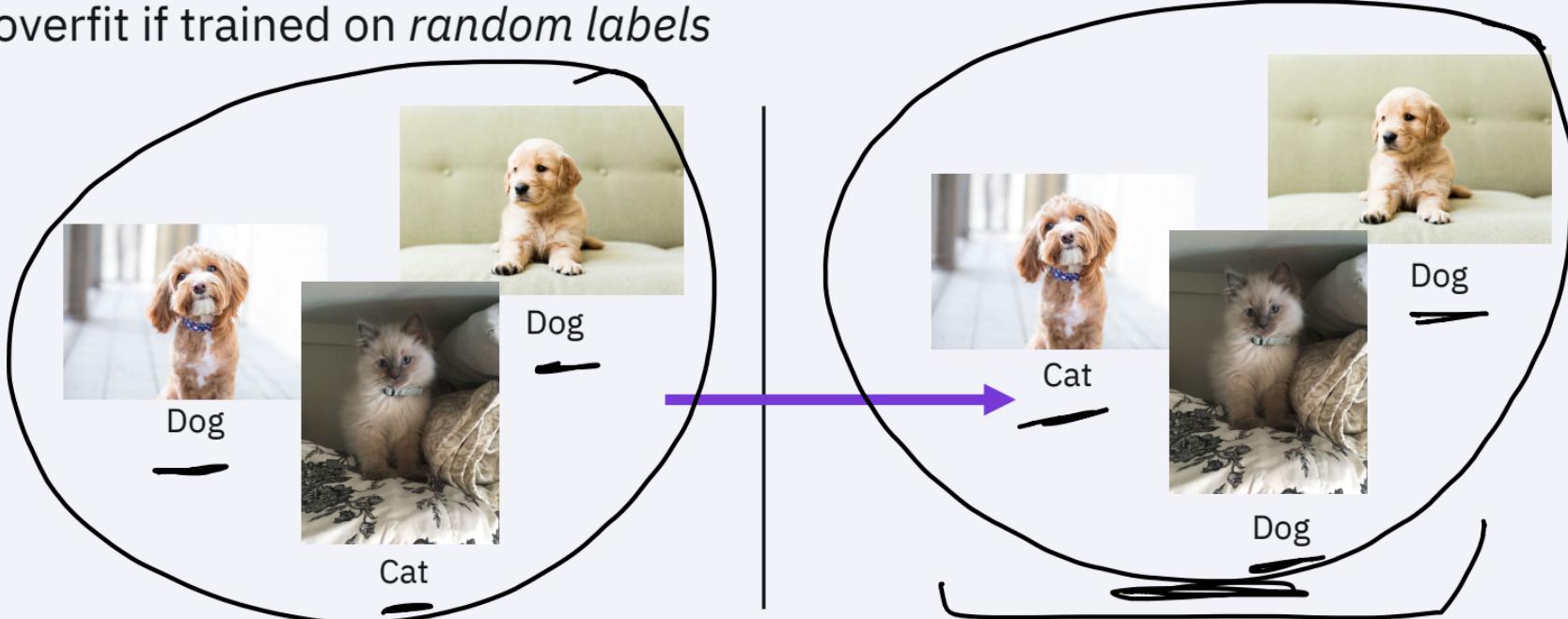
Despite their massive size, successful deep artificial neural networks can exhibit a remarkably small difference between training and test performance. Conventional wisdom attributes small generalization error either to properties of the model family, or to the regularization techniques used during training.

Through extensive systematic experiments, we show how these traditional approaches fail to explain why large neural networks generalize well in practice. Specifically, our experiments establish that state-of-the-art convolutional networks for image classification trained with stochastic gradient methods easily fit a random labeling of the training data. This phenomenon is qualitatively unaffected by explicit regularization, and occurs even if we replace the true images by completely unstructured random noise. We corroborate these experimental findings with a theoretical construction showing that simple depth two neural networks already have perfect finite sample expressivity as soon as the number of parameters exceeds the number of data points as it usually does in practice.

We interpret our experimental findings by comparison with traditional models.

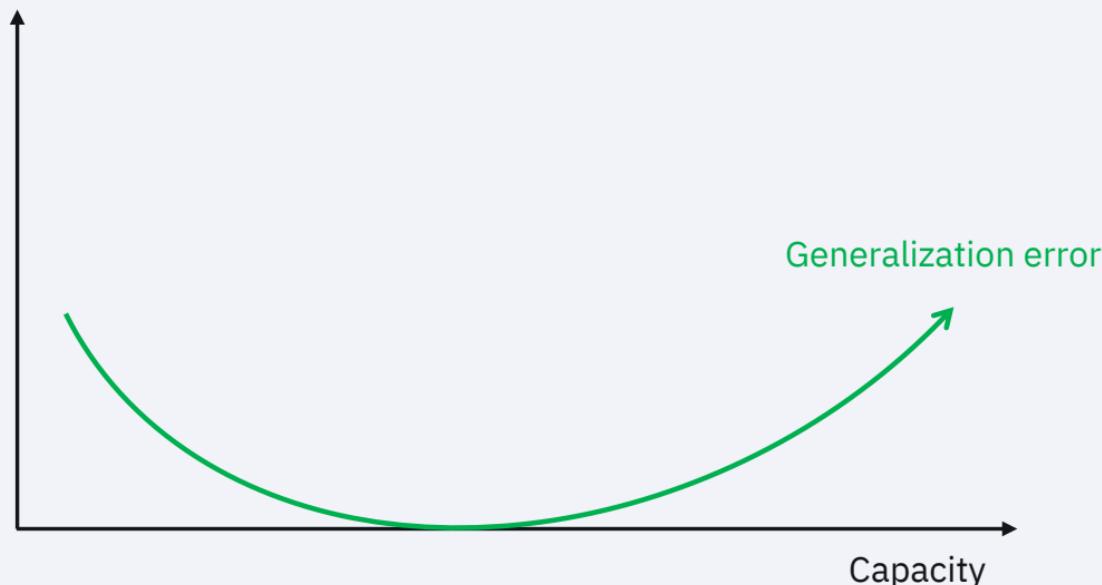
# Deep neural networks

An important experiment shown by Zhang et al. showed that DNNs do overfit if trained on *random labels*



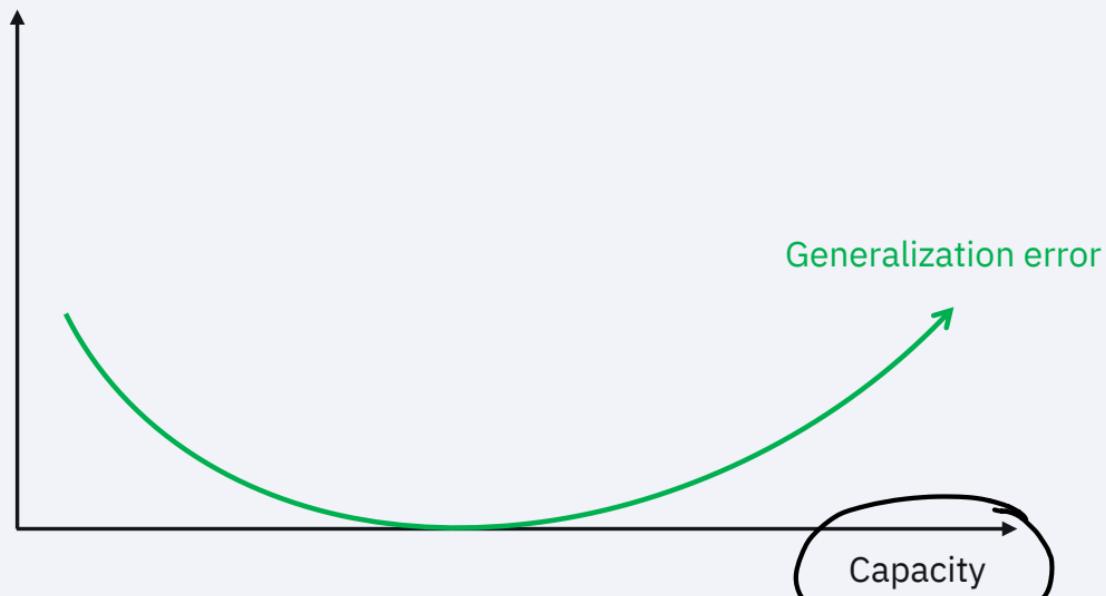
# Deep neural networks

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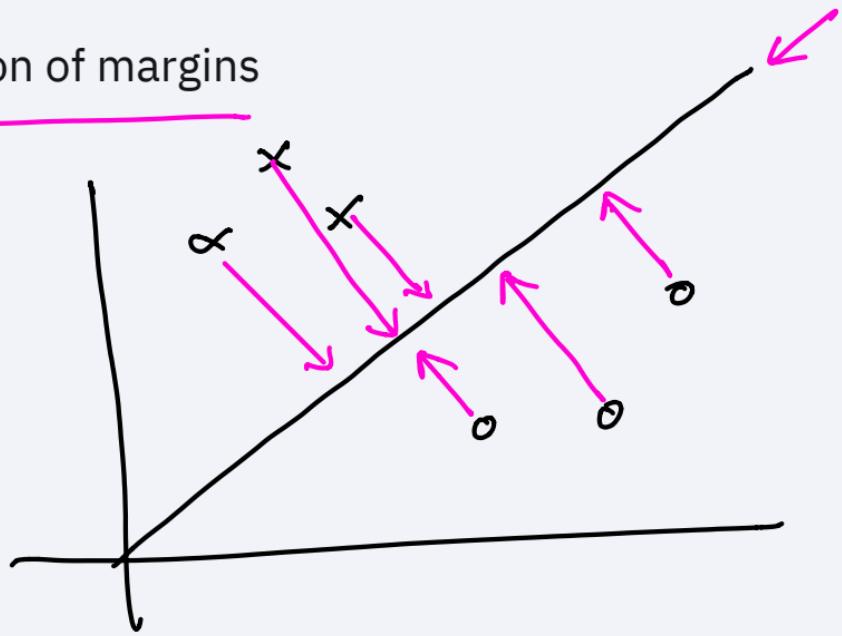
# Deep neural networks

This suggests that we have been thinking about capacity incorrectly and capacity measures must somehow incorporate data



# Capacity measures

Distribution of margins



# Capacity measures

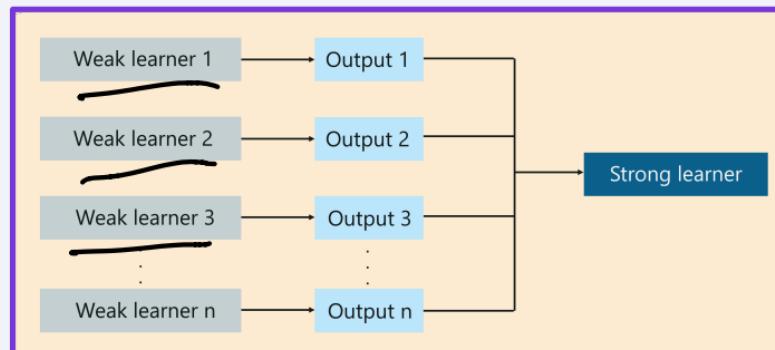
## Distribution of margins

- Linear classifiers **that produce large margins** (*Vapnik, V., & Chervonenkis, A. (1974). Theory of pattern recognition.*)

# Capacity measures

## Distribution of margins

- Linear classifiers **that produce large margins** (*Vapnik, V., & Chervonenkis, A. (1974). Theory of pattern recognition.*)
- Explained the “paradox” observed in **boosting methods** (*Bartlett, P., Freund, Y., Lee, W. S., & Schapire, R. E. (1998). Boosting the margin: A new explanation for the effectiveness of voting methods. The annals of statistics, 26(5), 1651-1686.*)

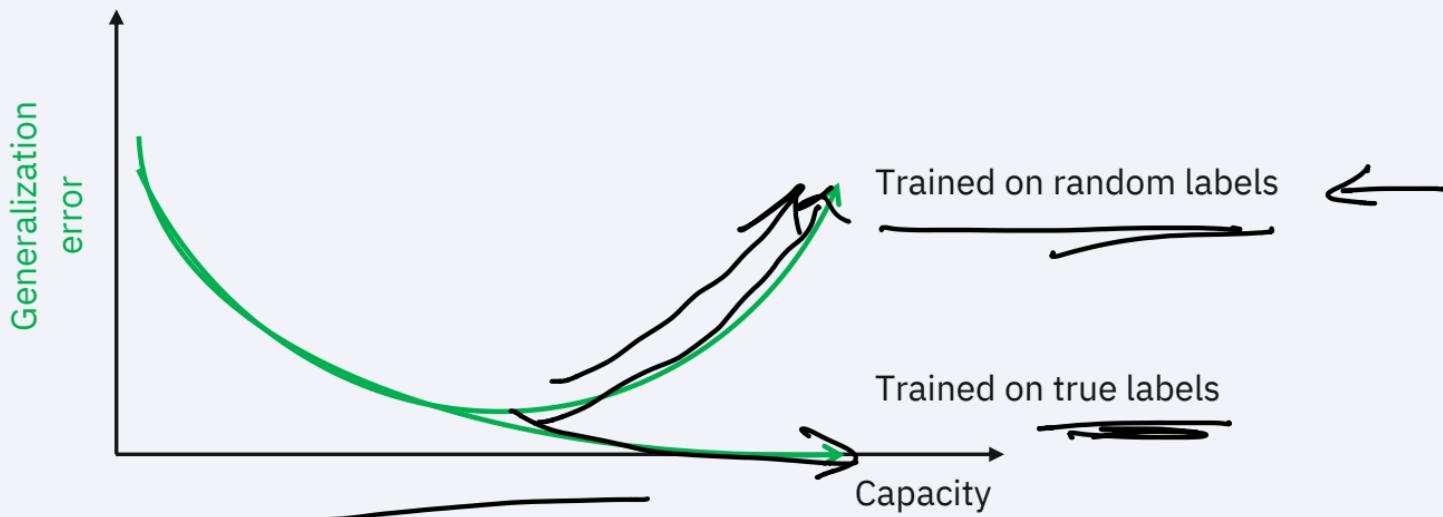


# Capacity measures

- Unfortunately, margins don't seem to work with neural networks

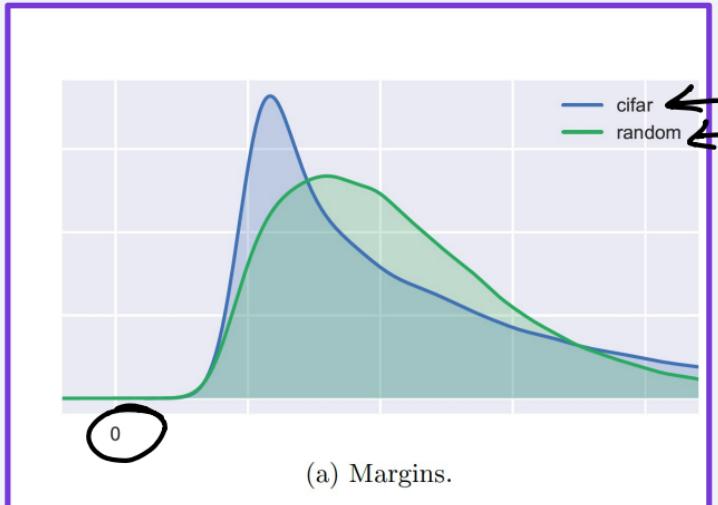
# Capacity measures

- Unfortunately, margins don't seem to work with neural networks
- The margin does not inform us about generalization behavior when we consider the randomized experiment example by Zhang et al.



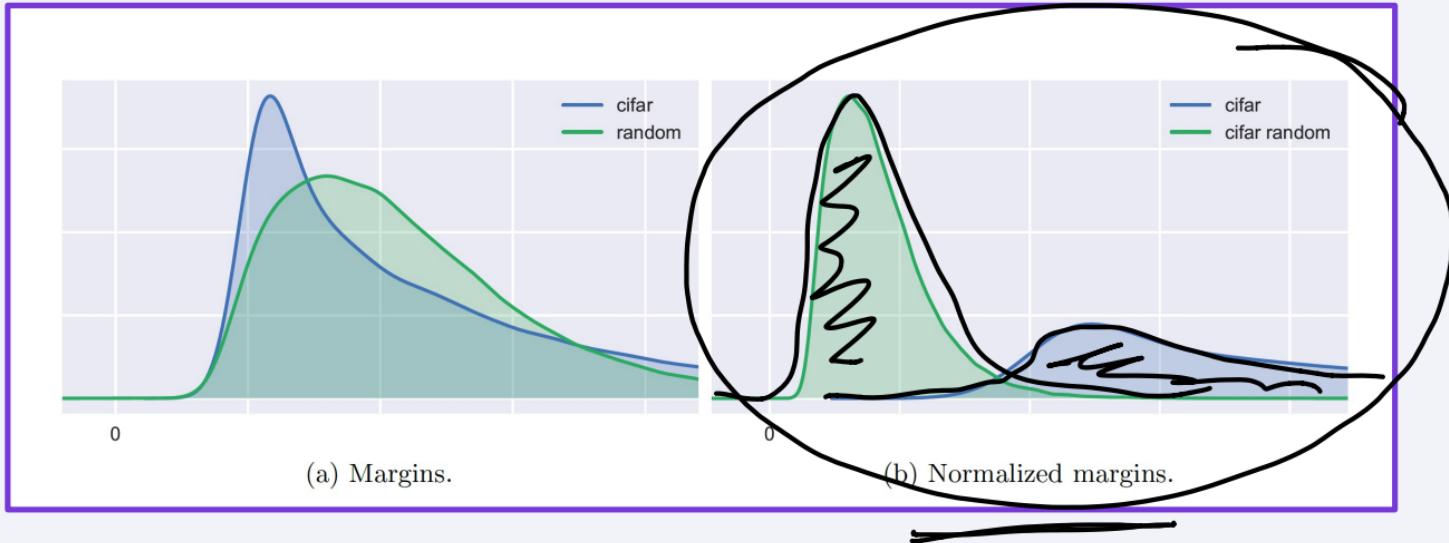
# Capacity measures

Looking at the margin alone, is not sufficient (Bartlett, P., Foster, D. J., & Telgarsky, M. (2017).  
*Spectrally-normalized margin bounds for neural networks.* arXiv preprint arXiv:1706.08498.)



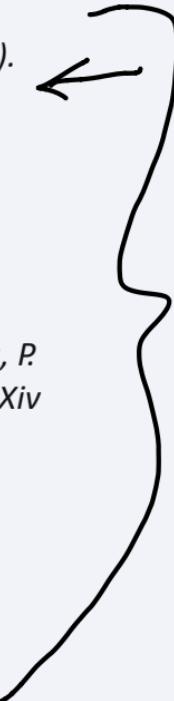
# Capacity measures

Looking at the margin alone, is not sufficient (Bartlett, P., Foster, D. J., & Telgarsky, M. (2017). Spectrally-normalized margin bounds for neural networks. arXiv preprint arXiv:1706.08498.)



# Capacity measures

- Looking at the margin alone, is not sufficient (*Bartlett, P., Foster, D. J., & Telgarsky, M. (2017). Spectrally-normalized margin bounds for neural networks. arXiv preprint arXiv:1706.08498.*)
- PAC-Bayes approaches (*Neyshabur, B., Bhojanapalli, S., & Srebro, N. (2017). A pac-bayesian approach to spectrally-normalized margin bounds for neural networks. arXiv preprint arXiv:1707.09564.*)
- Sharpness-based measures (*Keskar, N. S., Mudigere, D., Nocedal, J., Smelyanskiy, M., & Tang, P. T. P. (2016). On large-batch training for deep learning: Generalization gap and sharp minima. arXiv preprint arXiv:1609.04836.*)
- Norm-based measures (*Liang, T., Poggio, T., Rakhlin, A., & Stokes, J. (2019, April). Fisher-rao metric, geometry, and complexity of neural networks. In The 22nd International Conference on Artificial Intelligence and Statistics (pp. 888-896). PMLR.*)



# Capacity measures

In general, finding an appropriate capacity measure is still a relevant research question

# Capacity measures

In general, finding an appropriate capacity measure is still a relevant research question

Scale-sensitive?

$$y = \theta x$$

x constant

$$y = \sigma(\theta x)$$

# Capacity measures

In general, finding an appropriate capacity measure is still a relevant research question

Scale-sensitive?

✓  
Data-dependent?

incorporate  
data

→ size / # params

# Capacity measures

In general, finding an appropriate capacity measure is still a relevant research question

Scale-sensitive?

Data-dependent?

Calculable?



# Capacity measures

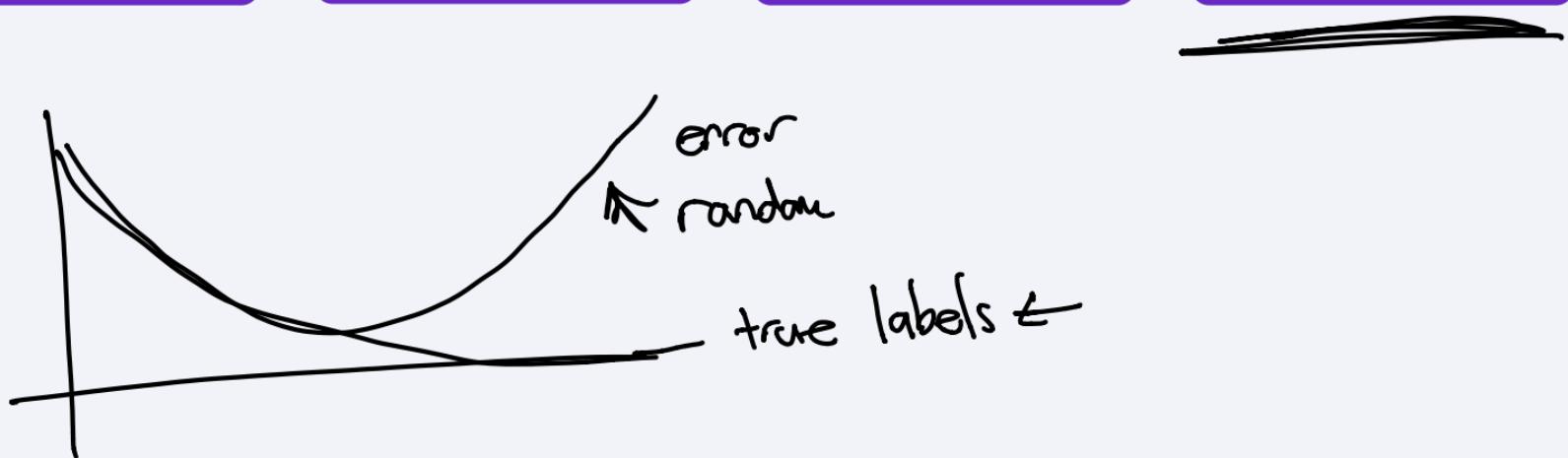
In general, finding an appropriate capacity measure is still a relevant research question

Scale-sensitive?

Data-dependent?

Calculable?

Correlates with  
generalization error?



# Capacity of quantum models

# The Capacity of Quantum Neural Networks

Logan G. Wright<sup>1,2</sup>  and Peter L. McMahon<sup>1,2</sup> 

<sup>1</sup> School of Applied and Engineering Physics, Cornell University, Ithaca, NY 14853, USA and  
<sup>2</sup> E. L. Ginzton Laboratory, Stanford University, Stanford, CA 94305, USA

A key open question in quantum computation is what advantages quantum neural networks (QNNs) may have over classical neural networks (NNs), and in what situations these advantages may transpire. Here we address this question by studying the memory capacity  $C$  of QNNs, which is a metric of the expressive power of a QNN that we have adapted from classical NN theory. We present a capacity inequality showing that the capacity of a QNN is bounded by the information  $W$  that can be trained into its parameters:  $C \leq W$ . One consequence of this bound is that QNNs that are parameterized classically do not show an advantage in capacity over classical NNs having an equal number of parameters. However, QNNs that are parametrized with quantum states could have exponentially larger capacities. We illustrate our theoretical results with numerical experiments by simulating a particular QNN based on a Gaussian Boson Sampler. We also study the influence of sampling due to wavefunction collapse during operation of the QNN, and provide an analytical expression connecting the capacity to the number of times the quantum system is measured.

## On the Quantum versus Classical Learnability of Discrete Distributions

Ryan Sweke<sup>1</sup>, Jean-Pierre Seifert<sup>2,3</sup>, Dominik Hangleiter<sup>1</sup>, and Jens Eisert<sup>1,4,5</sup>

<sup>1</sup>Dahlem Center for Complex Quantum Systems, Freie Universität Berlin, D-14195 Berlin, Germany

<sup>2</sup>Department of Electrical Engineering and Computer Science, TU Berlin, D-10587 Berlin, Germany

<sup>3</sup>FNG SIT, D-64295 Darmstadt, Germany

<sup>4</sup>Helmholtz Center Berlin, D-14109 Berlin, Germany

<sup>5</sup>Department of Mathematics and Computer Science, Freie Universität Berlin, D-14195 Berlin, Germany

Here we study the comparative power of classical and quantum learners for generative modelling within the Probably Approximately Correct (PAC) framework. More specifically we consider the following task: Given samples from some unknown discrete probability distribution, output with high probability an efficient algorithm for generating new samples from a good approximation of the original distribution. Our primary result is the explicit construction of a class of discrete probability distributions which, under the decisional Diffie-Hellman assumption, can be efficiently learned by a classical generative modelling algorithm, for which we construct an efficient quantum learner. This class of distributions therefore provides a concrete example of a generative modelling problem for which quantum learners exhibit a provable advantage over classical learning algorithms. In addition, we discuss techniques for proving classical generative modelling hardness results, as well as the relationship between the PAC learnability of Boolean functions and the PAC learnability of discrete probability distributions.

## The effect of data encoding on the expressive power of variational quantum machine learning models

Maria Schuld,<sup>1</sup> Ryan Sweke,<sup>2</sup> and Johannes Jakob Meyer<sup>2</sup>

<sup>1</sup>Xanadu, Toronto, ON, MSG 2C8, Canada

<sup>2</sup>Dahlem Center for Complex Quantum Systems, Freie Universität Berlin, 14195 Berlin, Germany

(Dated: March 10, 2021)

Quantum computers can be used for supervised learning by treating parametrised quantum circuits as models that map data inputs to predictions. While a lot of work has been done to investigate practical implications of this approach, many important theoretical properties of these models remain unknown. Here we investigate how the strategy with which data is encoded into the model influences the expressive power of parametrised quantum circuits as function approximators. We show that one can naturally write a quantum model as a partial Fourier series in the data, where the accessible frequencies are determined by the nature of the data encoding gates in the circuit. By repeating simple data encoding gates multiple times, quantum models can access increasingly rich frequency spectra. We show that there exist quantum models which can realise all possible sets of Fourier coefficients, and therefore, if the accessible frequency spectrum is asymptotically rich enough, such models are universal function approximators.

## PSEUDO-DIMENSION OF QUANTUM CIRCUITS

MATTHIAS C. CARO<sup>1†</sup> AND ISHAUN DATTA<sup>1,2</sup>

<sup>1</sup>Technical University of Munich, Germany, Department of Mathematics  
nford University, USA, Institute for Computational and Mathematical Engineering

**ABSTRACT.** We characterize the expressive power of quantum circuits with the pseudo-dimension, measure of complexity for probabilistic concept classes. We prove pseudo-dimension bounds on the output probability distributions of quantum circuits; the upper bounds are polynomial in circuit depth and number of gates. Using these bounds, we exhibit a class of circuit output states out of which at least one has exponential gate complexity of state preparation, and moreover demonstrate that quantum circuits of known polynomial size and depth are PAC-learnable.

## Rademacher complexity of noisy quantum circuits

Kaifeng Bu,<sup>1,\*</sup> Dax Enshan Koh,<sup>2,†</sup> Lu Li,<sup>3,4</sup> Qingxian Luo,<sup>4,5</sup> and Yaobo Zhang<sup>6,7</sup>

<sup>1</sup>Department of Physics, Harvard University, Cambridge, Massachusetts 02138, USA

<sup>2</sup>Institute of High Performance Computing, Agency for Science, Technology and Research (A\*STAR),

<sup>3</sup>Fusionopolis Way, #16-16 Connexis, Singapore 138632, Singapore

<sup>4</sup>School of Mathematics, Zhejiang Sci-Tech University, Hangzhou, Zhejiang 310018, China

<sup>5</sup>Center for Data Science, Zhejiang University, Hangzhou, Zhejiang 310027, China

<sup>6</sup>Zhejiang Institute of Modern Physics, Zhejiang University, Hangzhou, Zhejiang 310027, China

<sup>7</sup>Department of Physics, Zhejiang University, Hangzhou, Zhejiang 310027, China

Noise in quantum systems is a major obstacle to implementing many quantum algorithms on large quantum circuits. In this work, we study the effects of noise on the Rademacher complexity of quantum circuits, which is a measure of statistical complexity that quantifies the richness of classes of functions generated by these circuits. We consider noise models that are represented by convex combinations of unitary channels and provide both upper and lower bounds for the Rademacher complexities of quantum circuits characterized by these noise models. In particular, we find a lower bound for the Rademacher complexity of noisy quantum circuits that depends on the Rademacher complexity of the corresponding noiseless quantum circuit as well as the free robustness of the circuit. Our results show that the Rademacher complexity of quantum circuits decreases with the increase in noise.

## Structural risk minimization for quantum linear classifiers

Casper Gyurik<sup>1</sup> <sup>\*1</sup>, Dyon van Vreumingen <sup>†1,2,3</sup>, and Vedran Dunjko <sup>‡1</sup>

<sup>1</sup>Leiden University, Niels Bohrweg 1, 2333 CA Leiden, the Netherlands

<sup>2</sup>QuSoft, Science Park 123, 1098 XG Amsterdam, the Netherlands

<sup>3</sup>Institute of Physics, University of Amsterdam, Science Park 904, 1098 XH Amsterdam, the Netherlands

May 13, 2021

## Abstract

Quantum machine learning (QML) stands out as one of the typically highlighted candidates for quantum computing's near-term "killer application". In this context, QML models based on parameterized quantum circuits comprise a family of machine learning models that are well suited for implementations on near-term devices and that can potentially harness computational powers beyond what is efficiently achievable on a classical computer. However, how to best use these models – e.g., how to control their expressivity to best balance between training accuracy and generalization performance – is far from understood. In this paper we investigate capacity measures of two closely related QML models called explicit and implicit quantum linear classifiers (also called the quantum variational method and quantum kernel estimator) with the objective of identifying new ways to implement structural risk minimization – i.e., how to balance between training accuracy and generalization performance. In particular, we identify that the rank and Frobenius norm of the observables used in the QML model closely control the model's capacity. Additionally, we theoretically investigate the effect that these model parameters have on the training accuracy performance of the QML model. Specifically, we show that there exists datasets that require a high-rank observable for correct classification, and that there exists datasets that can only be classified with a given margin using an observable of at least a certain Frobenius norm. Our results provide new options for performing structural risk minimization for QML models.

## Connecting ansatz expressibility to gradient magnitudes and barren plateaus

Zeev Holmes,<sup>1</sup> Kunal Sharma,<sup>2,3</sup> M. Cerezo,<sup>3,4</sup> and Patrick J. Coles<sup>3</sup>

<sup>1</sup>Information Sciences, Los Alamos National Laboratory, Los Alamos, NM, USA

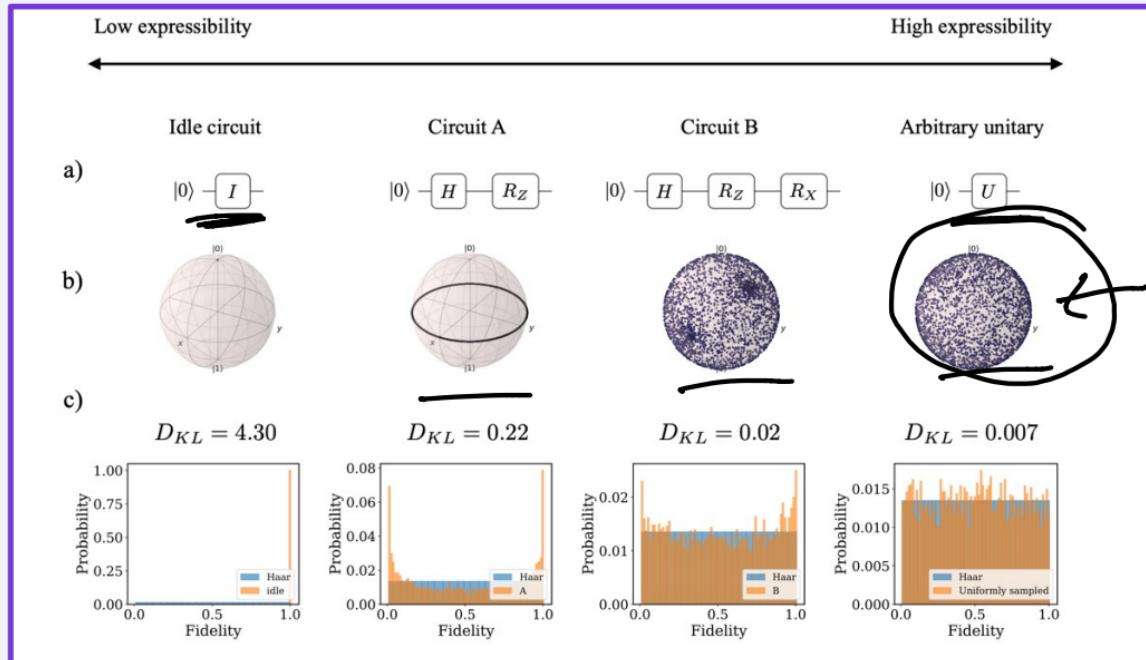
<sup>2</sup>Hearne Institute for Theoretical Physics, Department of Physics and Astronomy, and Center for Computation and Technology, Louisiana State University, Baton Rouge, Louisiana 70803, USA

<sup>3</sup>Theoretical Division, Los Alamos National Laboratory, Los Alamos, NM 87545, USA

<sup>4</sup>Center for Nonlinear Studies, Los Alamos National Laboratory, Los Alamos, NM, USA

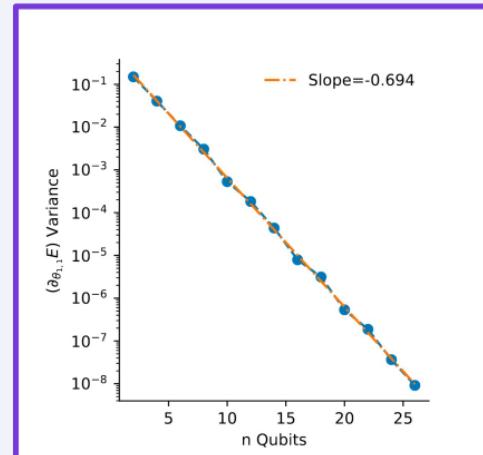
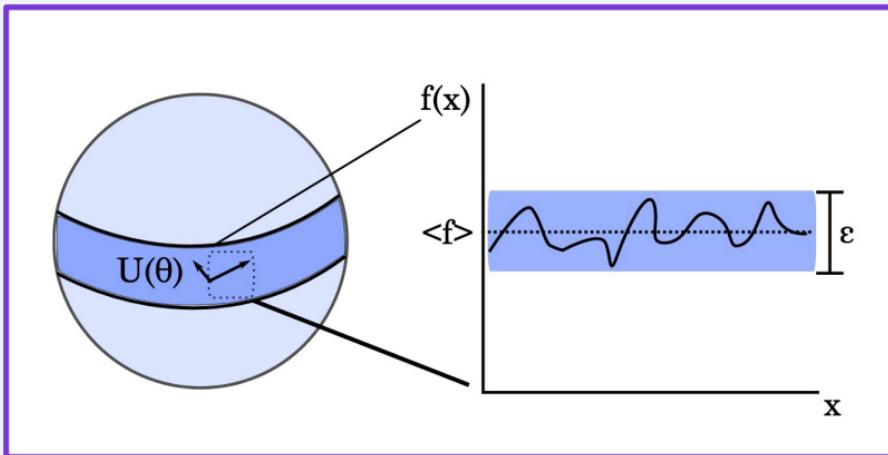
Parameterized quantum circuits serve as ansatz for solving variational problems and provide a flexible paradigm for programming near-term quantum computers. Ideally, such ansätze should be highly expressive so that a close approximation of the desired solution can be accessed. On the other hand, the expressiveness of an ansatz is often limited by the barren plateau phenomenon. Here we reveal a fundamental relationship between these two essential properties: expressibility and tunability. This is done by extending the well established barren plateau phenomenon, which holds for ansätze that form exact 2-designs, to arbitrary ansätze. Specifically, we calculate the variance in the cost gradient in terms of the expressibility of the ansatz, as measured by its distance from being a 2-design. Our resulting bounds indicate that highly expressive ansätze exhibit flatter cost landscapes and therefore will be harder to train. Furthermore, we provide numerics illustrating the effect of expressibility on gradient scalings, and we discuss the implications for designing strategies to avoid barren plateaus.

# Variational circuits



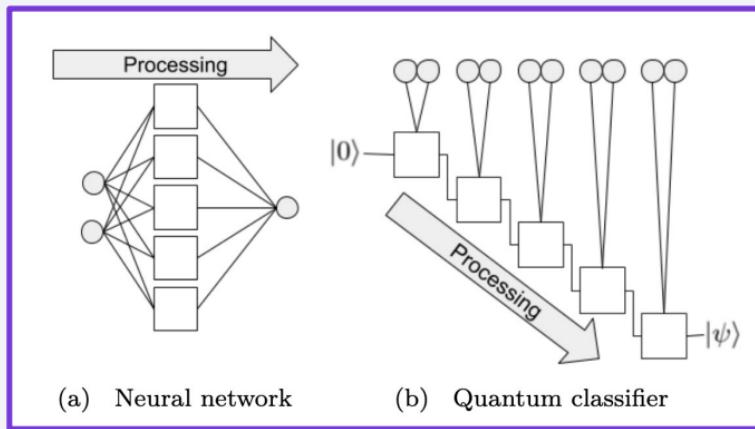
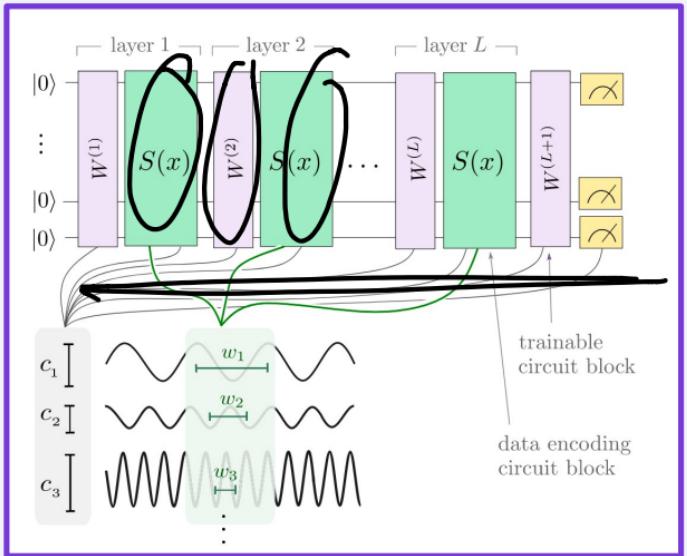
Sim et al. "Expressibility and Entangling Capability of Parameterized Quantum Circuits for Hybrid Quantum-Classical Algorithms." Advanced Quantum Technologies 2.12 (2019): 1900070.

# Variational circuits



McClean, Jarrod R., et al. "Barren plateaus in quantum neural network training landscapes." Nature communications 9.1 (2018): 1-6.

# Variational circuits



Schuld, Maria, Ryan Sweke, and Johannes Jakob Meyer. "Effect of data encoding on the expressive power of variational quantum-machine-learning models." *Physical Review A* 103.3 (2021): 032430.

Pérez-Salinas, Adrián, et al. "Data re-uploading for a universal quantum classifier." *Quantum* 4 (2020): 226.

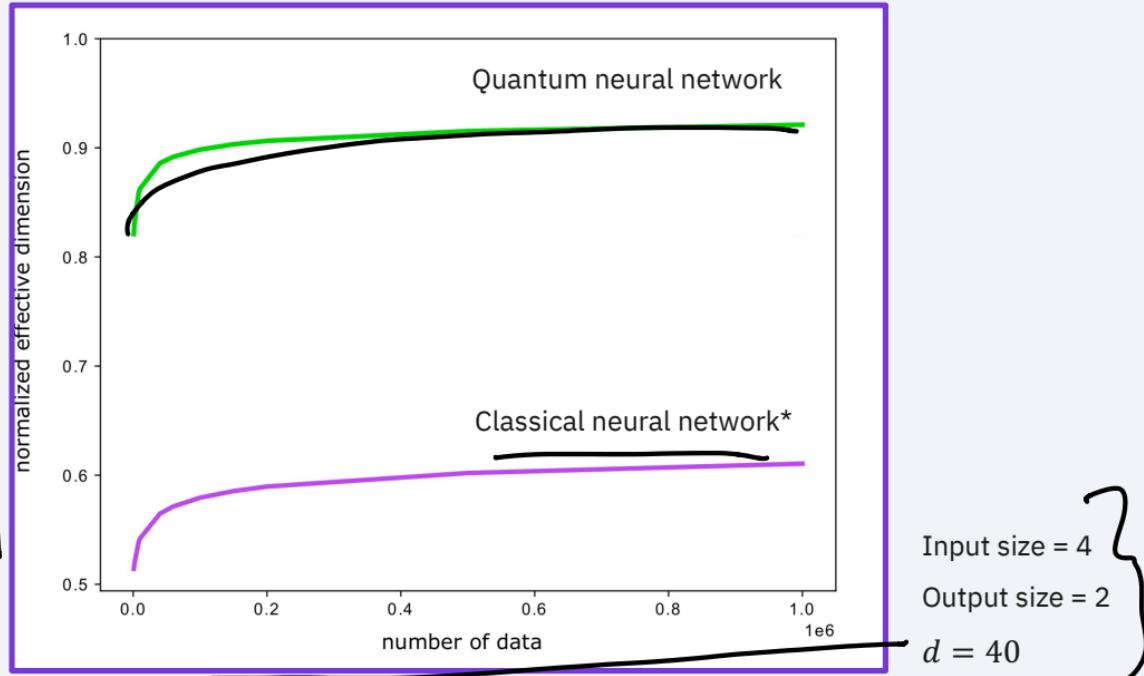
# Effective dimension



1.37

Abbas, A., Sutter, D., Zoufal, C., Lucchi, A., Figalli, A., & Woerner, S. (2020). The power of quantum neural networks. arXiv preprint arXiv:2011.00027.

# Effective dimension

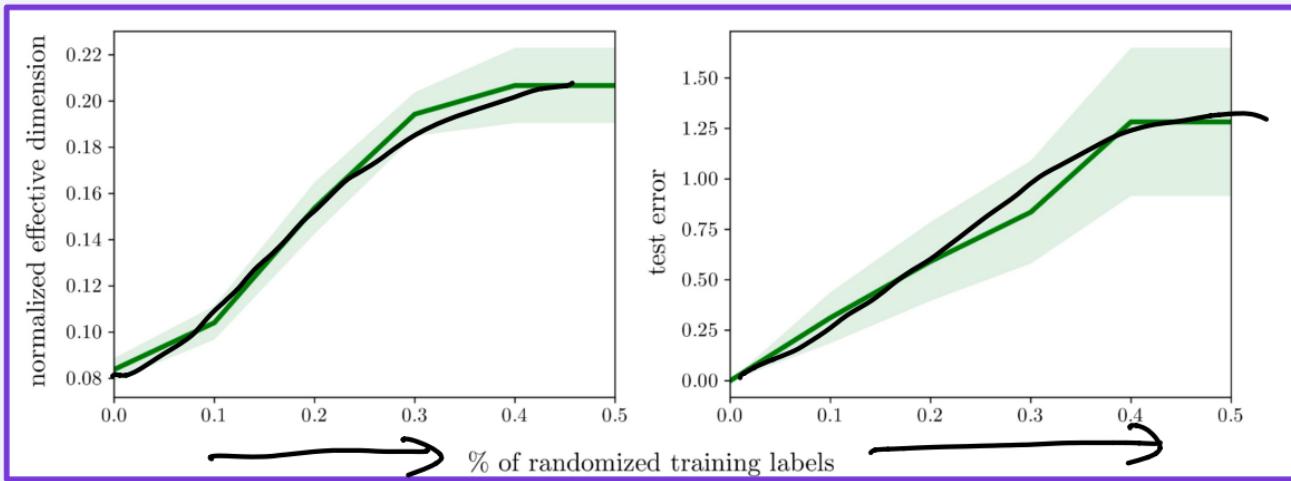


*\*Chose the best possible classical ffn out of all possible configurations*

Input size = 4  
Output size = 2  
 $d = 40$

# Effective dimension and generalization

Found that the effective dimension for a model trained on confusion sets with increasing label corruption accurately captures generalisation behaviour



Abbas, A., Sutter, D., Zoufal, C., Lucchi, A., Figalli, A., & Woerner, S. (2020). The power of quantum neural networks. arXiv preprint arXiv:2011.00027.

# How will QML models generalize?

# Where can we hope for an advantage?

Capacity?

Generalization?

Data?

Computational?

Quantum kernels?

Training?

Statistical?

Variational circuits?

Applications?

# Recap

## Week 1:

Quantum circuits

Noise in quantum computation

Classical machine learning

Variational classifiers

QAOA

# Recap

## Week 1:

Quantum circuits

Noise in quantum computation

Classical machine learning

Variational classifiers

QAOA

## Week 2:

Quantum feature spaces

Quantum kernels 

Quantum models and training

Hardware

Advanced QML models

# You made it!



Thank you!

Amira Abbas

@AmiraMorphism