

Lecture 04

Structures and Patterns in Functional Programming



T. METIN SEZGIN

Announcements



1. Reading SICP 1.2 (pages 31-50)
2. Etutor 1, 2, 3, 4 all due on Sunday midnight
3. Attend your Pses
4. Quiz on Friday

Lecture 3 – Review Functional Programming



T. METIN SEZGIN

Lecture Nuggets



- Lambda expressions creates procedures
 - Formal parameters
 - Body
 - Procedures allow creating abstractions
- We can solve problems by creating functions
- The substitution model is a good mental model of an interpreter

Controlling the process

```
(define sqrt  
  (lambda (x)  
    (sqrt-loop 1.0 x)))
```

```
(define sqrt-loop (lambda (G X)  
  (if (close-enuf? G X)  
      G  
      (sqrt-loop (improve G X) X) ) ) )
```

Nugget



The substitution model is a good
mental model of an interpreter

Iterative and Recursive versions of fact

`:: RECURSIVE`

```
(define (fact-r x)
  (if (= x 0) 1 (* x (fact-r (- x 1)))))
```

`:: ITERATIVE`

```
(define (fact-i x)
  (fact-i-helper 1 1 x))
```

```
(define fact-i-helper
  (lambda (product counter n)
    (if(> counter n)
        product
        (fact-i-helper (* product counter) (+ counter 1) n))))
```

```
(define fact(lambda (n)
  (if (= n 1) 1 (* n (fact (- n 1))))))
```

```
(fact 3)
```

```
(if (= 3 1) 1 (* 3 (fact (- 3 1))))
```

```
(if #f 1 (* 3 (fact (- 3 1))))
```

```
(* 3 (fact (- 3 1)))
```

```
(* 3 (fact 2))
```

```
(* 3 (if (= 2 1) 1 (* 2 (fact (- 2 1)))))
```

```
(* 3 (if #f 1 (* 2 (fact (- 2 1)))))
```

```
(* 3 (* 2 (fact (- 2 1))))
```

```
(* 3 (* 2 (fact 1)))
```

```
(* 3 (* 2 (if (= 1 1) 1 (* 1 (fact (- 1 1))))))
```

```
(* 3 (* 2 (if #t 1 (* 1 (fact (- 1 1))))))
```

```
(* 3 (* 2 1))
```

```
(* 3 2)
```

6



Iterative algorithm to compute 4! as a table

- In this table:
 - One column for each piece of information used
 - One row for each step

first row
handles 0!
cleanly

product * counter

answer

product	counter	N
1	1	4
1	2	4
2	3	4
6	4	4
24	5	4

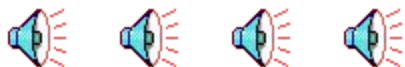
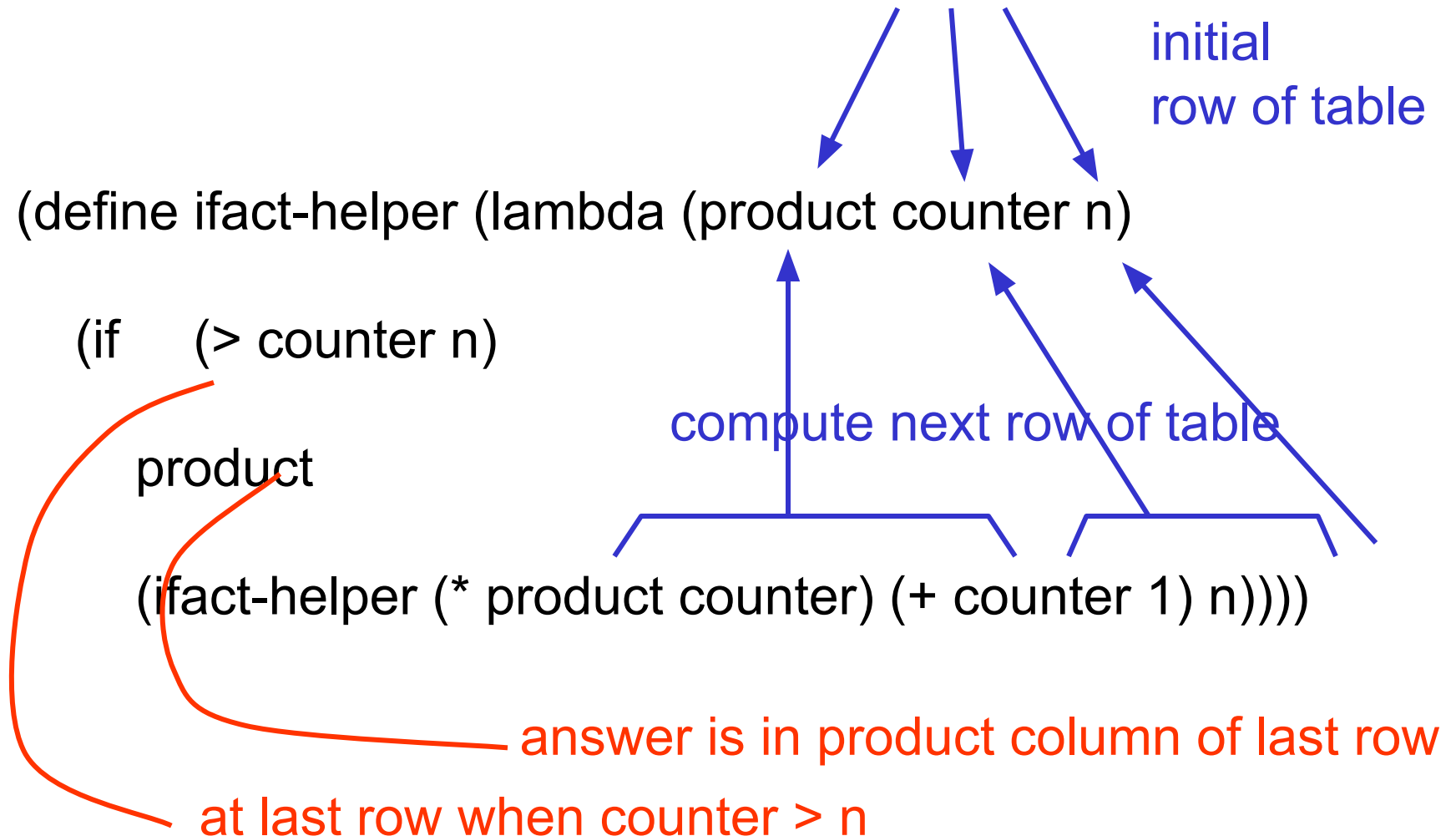
counter + 1

- The last row is the one where counter > n
- The answer is in the product column of the last row



Iterative factorial in scheme

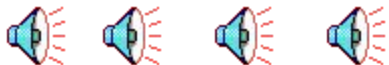
- (define ifact (lambda (n) (ifact-helper 1 1 n)))



Partial trace for (ifact 4)

```
(define ifact-helper (lambda (product count n)
  (if (> count n) product
      (ifact-helper (* product count)
                     (+ count 1) n))))
```

```
(ifact 4)
(ifact-helper 1 1 4)
(if (> 1 4) 1 (ifact-helper (* 1 1) (+ 1 1) 4))
(ifact-helper 1 2 4)
(if (> 2 4) 1 (ifact-helper (* 1 2) (+ 2 1) 4))
(ifact-helper 2 3 4)
(if (> 3 4) 2 (ifact-helper (* 2 3) (+ 3 1) 4))
(ifact-helper 6 4 4)
(if (> 4 4) 6 (ifact-helper (* 6 4) (+ 4 1) 4))
(ifact-helper 24 5 4)
(if (> 5 4) 24 (ifact-helper (* 24 5) (+ 5 1) 4))
24
```



Iterative = no pending operations when procedure calls itself

- Recursive factorial:

```
(define fact (lambda (n)
  (if (= n 1) 1
      (* n (fact (- n 1)) )
  )))
```

pending operation



- ```
(fact 4)
(* 4 (fact 3))
(* 4 (* 3 (fact 2)))
(* 4 (* 3 (* 2 (fact 1))))
```

- Pending ops make the expression grow continuously



# Iterative = no pending operations

- Iterative factorial:

```
(define ifact-helper (lambda (product count n)
 (if (> count n) product
 (ifact-helper (* product count)
 (+ count 1) n))))
```

- ```
(ifact-helper 1 1 4)
```



```
(ifact-helper 1 2 4)
```



```
(ifact-helper 2 3 4)
```



```
(ifact-helper 6 4 4)
```



```
(ifact-helper 24 5 4)
```
- no pending operations

- Fixed size because no pending operations



Lecture notes



★ Expressions create procedures

- formal parameters
- body
- procedures allow creating abstractions

★ problems get solved \rightarrow func.

★ Substitution model \rightarrow model of an interpreter.

$\left(\begin{array}{l} \text{define fact (lambda (x) ... etc.)} \\ \text{define (fact x) (etc. ...)} \end{array} \right) \rightarrow \text{OR}$

○ Zeynep Aydin

Lecture notes



Substitution Model: is a good mental model of an interpreter

$(\text{lambda } (x) (\text{let } (x) (x x))) (5)$

self-evaluate 5

substitute into x , now $(\text{let } (5) (5 5)) \rightarrow 25$

○ Hasan Buhurcu

Lecture notes

Scheme language

Language elements:

primitives: Numbers, Strings, booleans, operators...

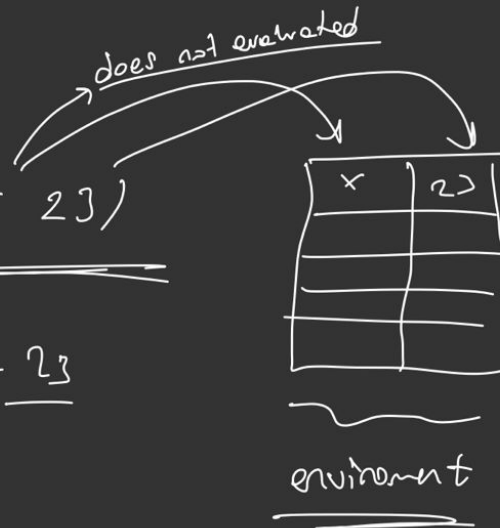
combinations: $(+ 2 3)$

abstractions: $(\text{define } x \ 23)$

$(\text{define } j \ x) \ + \ j \ 2 \ 3$

$(\text{define myplus } +)$

$(\text{myplus } 3 \ 4)$



Lecture notes

Factorial Example

- Tail Recursion :

```
(define fact (lambda (x)
  (if (= n 1) 1
      (* n (fact (- n 1))))))
```

→ pending operation is this multiplication

- Iterative

```
(define (fact-i x)
  (fact-i-helper 1 1 x))
```

```
(define fact-i-helper
  (lambda (product counter n)
    (if (> counter n) product
        (fact-i-helper (* product counter) (+ counter 1) n))))
```

next product next counter

→ no pending operation

Lecture notes



→ `lambda` : procedure

parameters body
┌───┐ ┌───┐
`(lambda (x) (* x x))`
↑ ↑ ↑
to process something multiply it
by itself

Lecture notes

Scheme Basics



- Rules for evaluation
 1. If **self evaluating**, return value
 2. If a **name**, return value associated with name in environment.
 3. If a **special form**, do something special.
 4. If a **combination**, then
 - a. **Evaluate** all of the subexpressions of combination (in any order)
 - b. **apply** the operator to the values of the operands (arguments) and return result

Lecture notes

General form of recursive algorithms

(define fact

(lambda (n)

(if (= n 1) ; test for base case

1 ; base case

(* n (fact (- n 1)) ; recursive case

)))

- Design recursive → decompose the problem
→ identify non-decomposable (smallest) problems

Lecture 04

Structures and Patterns in Functional Programming



T. METIN SEZGIN

Lecture Nuggets



- Order of growth matters
- Support for compound data allows data abstraction
 - Pairs
 - Lists
 - Others
- Two main patterns when dealing with lists
 - Consing up – to build
 - Cdring down – to process

Nugget



Order of growth matters

Orders of growth of processes

- Suppose n is a parameter that measures the size of a problem
- Let $R(n)$ be the amount of resources needed to compute a procedure of size n .
- We say $R(n)$ has order of growth $\Theta(f(n))$ if there are constants k_1 and k_2 such that $k_1 f(n) \leq R(n) \leq k_2 f(n)$ for large n
- Two common resources are **space**, measured by the number of deferred operations, and **time**, measured by the number of primitive steps.

Examples of orders of growth

- FACT
 - Space $\Theta(n)$ – linear
 - Time $\Theta(n)$ – linear
- IFACT
 - Space $\Theta(1)$ – constant
 - Time $\Theta(n)$ – linear

Nugget



Support for compound data allows
data abstraction

Language Elements

- Primitives

- prim. data: numbers, strings, booleans
- primitive procedures

- Means of Combination

- procedure application
- compound data (today)

- Means of Abstraction

- naming
- compound procedures
 - block structure
 - higher order procedures (next time)
- conventional interfaces – lists (today)
- data abstraction

Compound data

- Need a way of gluing data elements together into a unit that can be treated as a simple data element
- Need ways of getting the pieces back out
- Need a contract between the “glue” and the “unglue”
- Ideally want the result of this “gluing” to have the property of **closure**:
 - “the result obtained by creating a compound data structure can itself be treated as a primitive object and thus be input to the creation of another compound object”

Pairs (cons cells)

- `(cons <x-exp> <y-exp>) ==> <P>`
 - Where `<x-exp>` evaluates to a value `<x-val>`, and `<y-exp>` evaluates to a value `<y-val>`
 - Returns a pair `<P>` whose `car-part` is `<x-val>` and whose `cdr-part` is `<y-val>`
- `(car <P>) ==> <x-val>`
 - Returns the car-part of the pair `<P>`
- `(cdr <P>) ==> <y-val>`
 - Returns the cdr-part of the pair `<P>`

Compound Data

- Treat a PAIR as a single unit:
 - Can pass a pair as **argument**
 - Can return a pair as a **value**

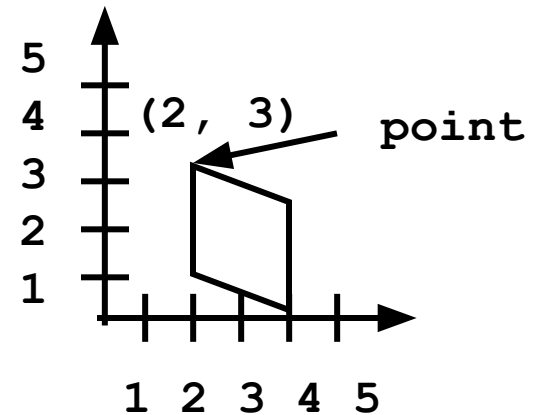
```
(define (make-point x y)
  (cons x y))
```

```
(define (point-x point)
  (car point))
```

```
(define (point-y point)
  (cdr point))
```

```
(define (make-seg pt1 pt2)
  (cons pt1 pt2))
```

```
(define (start-point seg)
  (car seg))
```



Pair Abstraction

- Constructor

```
; cons: A,B -> A X B  
; cons: A,B -> Pair<A,B>  
(cons <x> <y>) ==> <P>
```

- Accessors

```
; car: Pair<A,B> -> A  
(car <P>) ==> <x>  
; cdr: Pair<A,B> -> B  
(cdr <P>) ==> <y>
```

- Predicate

```
; pair? anytype -> boolean  
(pair? <z>)  
==> #t if <z> evaluates to a pair, else #f
```

Pair abstraction

- Note how there exists a contract between the constructor and the selectors:
 - $(car (cons \textcolor{blue}{<a>} \textcolor{blue}{})) \sqcap \textcolor{blue}{<a>}$
 - $(cdr (cons \textcolor{blue}{<a>} \textcolor{blue}{})) \sqcap \textcolor{blue}{}$
- Note how pairs have the property of closure – we can use the result of a pair as an element of a new pair:
 - $(cons (cons 1 2) 3)$

Using pair abstractions to build procedures

- Here are some data abstractions

```
(define p1 (make-point 1 2))
```

```
(define p2 (make-point 4 3))
```

```
(define s1 (make-seg p1 p2))
```

```
(define stretch-point
```

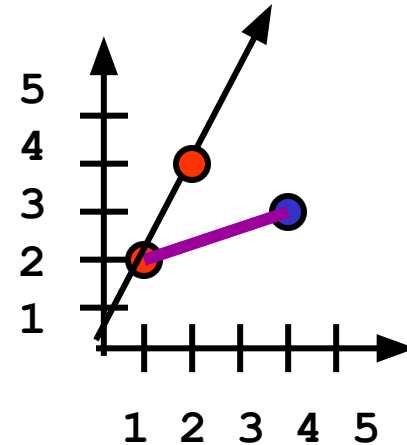
```
  (lambda (pt scale)
```

```
    (make-point (* scale (point-x pt))
```

```
                (* scale (point-y pt))))
```

```
(stretch-point p1 2) □ (2 . 4)
```

```
p1 □ (1 . 2)
```



Grouping together larger collections

- Suppose we want to group together a set of points. Here is one way

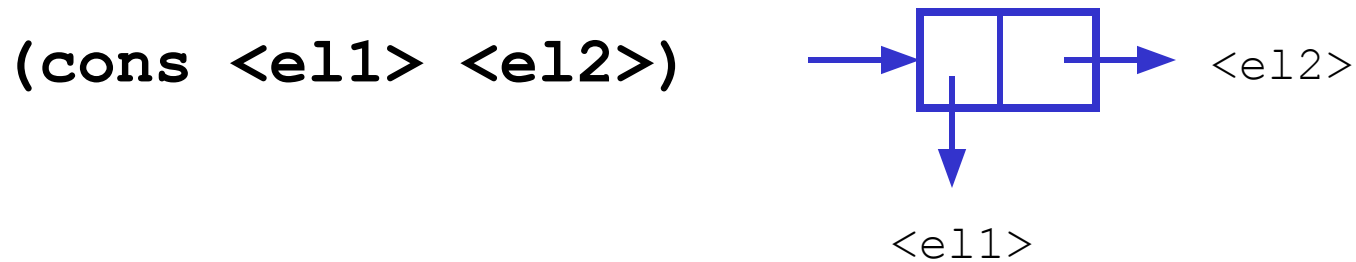
```
(cons (cons (cons (cons p1 p2)
                  (cons p3 p4) )
        (cons (cons p5 p6)
              (cons p7 p8) ) )
      p9)
```

- **UGH!!** How do we get out the parts to manipulate them?

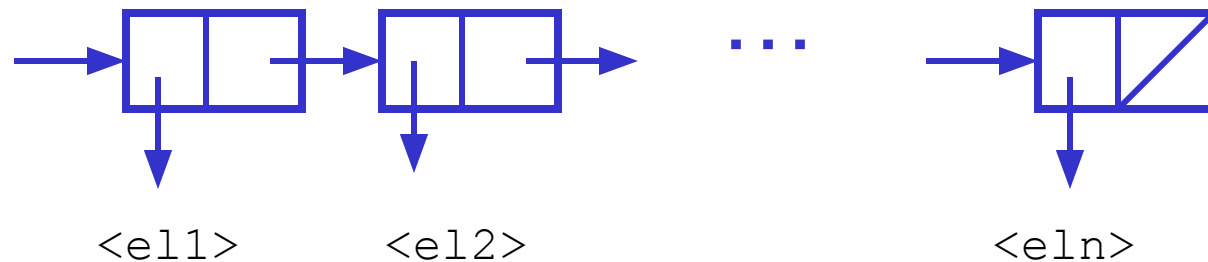
Conventional interfaces -- lists

- A list is a data object that can hold an arbitrary number of ordered items.
- More formally, a list is a sequence of pairs with the following properties:
 - Car-part of a pair in sequence – holds an item
 - Cdr-part of a pair in sequence – holds a pointer to rest of list
 - Empty-list `nil` – signals no more pairs, or end of list
- Note that lists are closed under operations of **cons** and **cdr**.

Conventional Interfaces - Lists



`(list <e1> <e2> ... <en>)`



Predicate

`(null? <z>)`

`==> #t` if `<z>` evaluates to empty list

... to be really careful

- For today we are going to create different constructors and selectors for a list
 - `(define first car)`
 - `(define rest cdr)`
 - `(define adjoin cons)`
- Note how these abstractions inherit closure from the underlying abstractions!

Nugget






Two patterns for dealing with lists

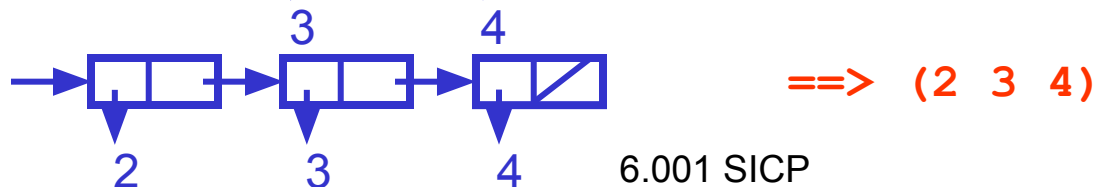
Common Pattern #1: cons'ing up a list

```
(define (enumerate-interval from to)
  (if (> from to)
      nil
      (adjoin from
               (enumerate-interval
                (+ 1 from)
                to)))))
```

```
(e-i 2 4)
(if (> 2 4) nil (adjoin 2 (e-i (+ 1 2) 4)))
(if #f nil (adjoin 2 (e-i 3 4)))
(adjoin 2 (e-i 3 4))
(adjoin 2 (adjoin 3 (e-i 4 4)))
(adjoin 2 (adjoin 3 (adjoin 4 (e-i 5 4))))
(adjoin 2 (adjoin 3 (adjoin 4 nil)))

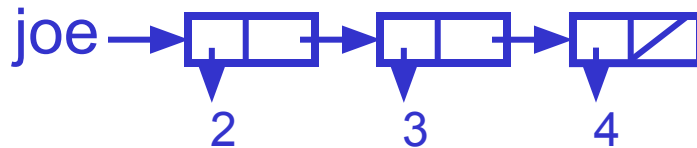
(adjoin 2 (adjoin 3 →  ))
```

```
(adjoin 2 →  →  )
```



Common Pattern #2: cdr'ing down a list

```
(define (list-ref lst n)
  (if (= n 0)
      (first lst)
      (list-ref (rest lst)
                 (- n 1))))
```



`(list-ref joe 1)`

```
(define (length lst)
  (if (null? lst)
      0
      (+ 1 (length (rest lst)))))
```