Need for mate recognition promotes avian-perceived plumage sexual dichromatism in true thrushes (genus: *Turdus*)

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# Abstract

Ecological conditions limiting the time to find a compatible mate or increasing the difficulty in doing so likely promote the evolution of traits used for species and mate recognition. Here, we tested this species recognition hypothesis for promoting plumage sexual dichromatism in the true thrushes (*Turdus*), a large and diverse genus of passerine birds. We used receptor-noise limited models of avian vision to quantify avian-perceived chromatic and achromatic visual contrasts between male and female plumage patches and tested the influence of breeding timing, spacing, and sympatry with other *Turdus* species on plumage dimorphism. As predicted, we found that 1) true thrush species with migratory behaviour have greater plumage sexual dimorphism than non-migratory species, 2) species with longer breeding seasons have less sexual dichromatism, and 3) the number of *Turdus* thrush species breeding in sympatry is associated with more plumage dimorphism. These results suggest that conspecific recognition systems, including species and mate recognition, play a prominent role in the evolution of thrush plumage sexual dimorphism.

## Keywords

*dichromatism*, *plumage*, *species recognition*, *chromatic*, *achromatic*

# Introduction

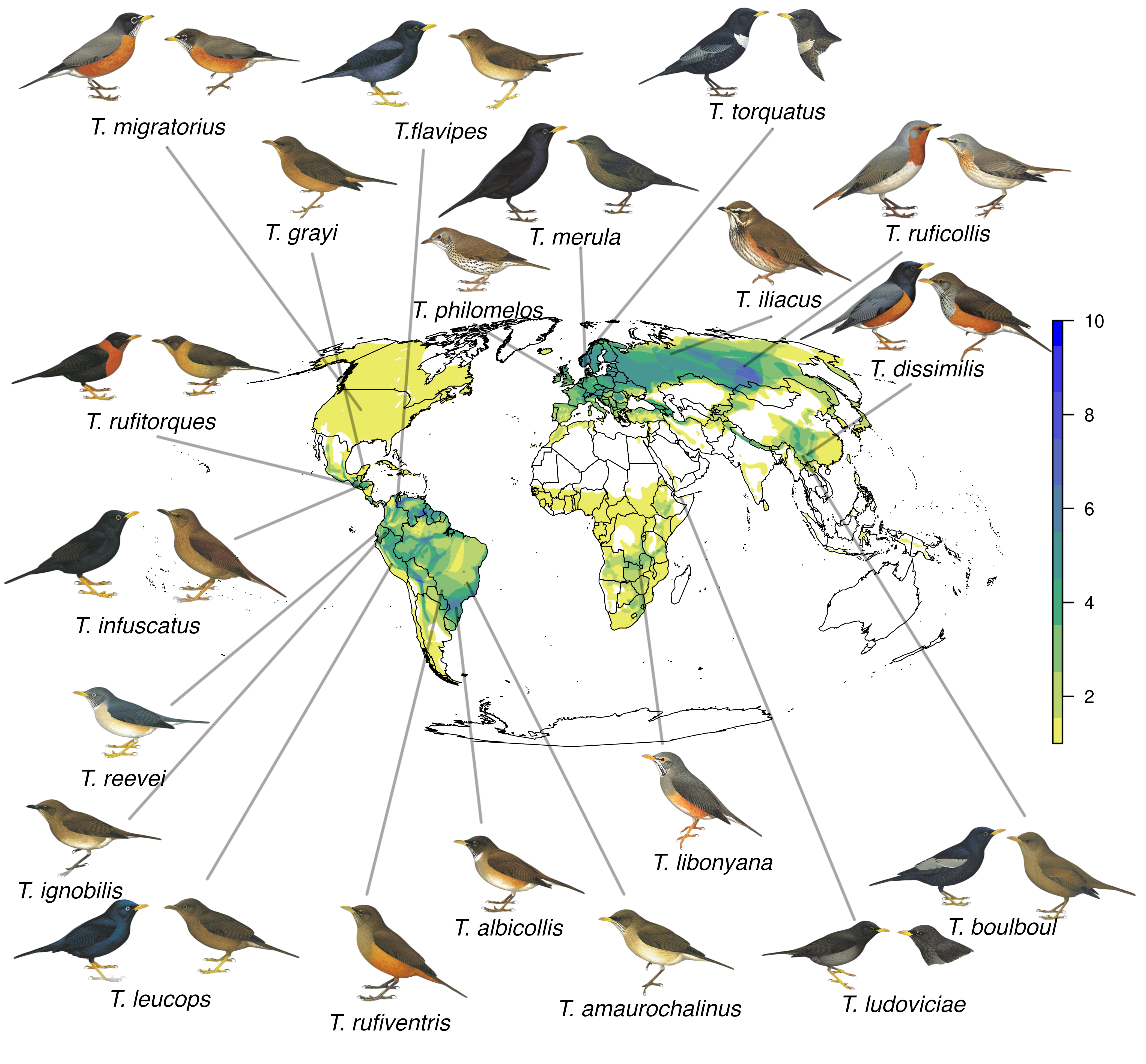
Species recognition is necessary in sexually reproducing lineages for individuals to find compatible mates and produce viable offspring [[1](#ref-andersson1994),[2](#ref-groning2008)]. Conspicuous traits signaling species and sex identity increase the ease and speed of species recognition by reducing the effort, error, and time involved when searching for compatible mates and lessen the likelihood of mating with heterospecifics [[3](#ref-pfennig2012)]. Traits used in species and mate recognition may also serve as signals of status to conspecifics and reduce costly conflicts over resources and mates [[4](#ref-west-eberhard1983)]. Accordingly, distinct traits facilitating species-specific recognition and signaling breeding status should be more likely to arise and be maintained under conditions that increase both the difficulty of finding a compatible mate and degree of resource competition among conspecifics and closely-related species. Conditions likely to favour traits signaling individuals’ species and breeding status include high sympatry with many closely-related species, limited time to find a compatible breeding mates, and low rates of encounter with potential breeding mates [[1](#ref-andersson1994)].

In birds, plumage colour is a highly conspicuous trait signaling species and (often) sex identity [[5](#ref-martin2015a),[6](#ref-bitton2016)]. Plumage sexual dichromatism, or the distinct set of differences in the appearance of male and female feather colours, is common in birds and is usually attributed to different natural and sexual selection pressures on males and females [[7](#ref-martin1996)–[11](#ref-dunn2015)]. Plumage sexual dichromatism results in a visibly perceivable trait useful for recognizing an individual’s species, sex, and (sometimes) age (e.g., in species with sex-specific delayed plumage maturation, see [[12](#ref-hawkins2012)]), reducing the time and effort necessary to identify a suitable mate [[13](#ref-hamilton1961),[14](#ref-saetre1992)]. Evidence in favour of this recognition hypothesis for sexual dichromatism includes a positive association of greater plumage sexual dichromatism with migratory behaviour and shorter breeding seasons [[9](#ref-badyaev2003)]. Avian species that migrate to and from their breeding territories, reside on mainland continents, and have large geographic ranges tend to have greater plumage sexual dichromatism and plumage colour elaboration than species that do not migrate, reside on islands, and have limited breeding ranges [[10](#ref-dale2015),[15](#ref-friedman2009)–[23](#ref-kearns2020)].

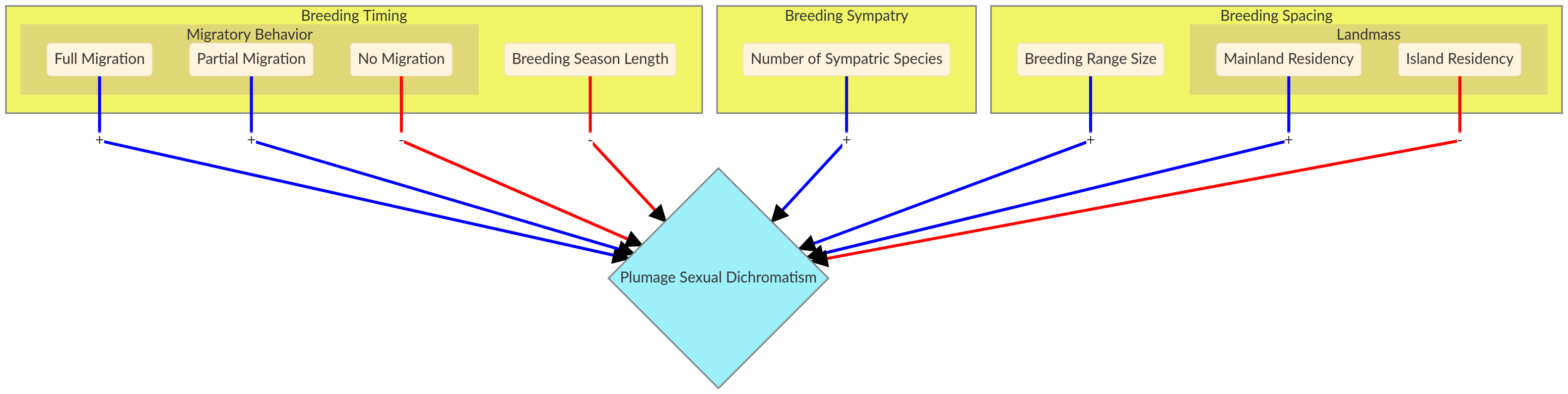
Moreover, plumage sexual dichromatism likely plays a role in hybridization avoidance via reproductive character displacement to facilitate species and mate recognition, especially among closely-related species. For example, in European *Ficedula* flycatchers, female choice selects for divergent male plumage colouration across populations and species, leading to character displacement and reduced rates of interspecific hybridization [[24](#ref-alatalo1994)–[26](#ref-laaksonen2015)]. More broadly and across taxa, greater plumage dichromatism is positively correlated with transitions from allopatry to parapatry and increases in geographic range overlaps among passerine sister species pairs [[27](#ref-cooney2017)]. Greater plumage sexual dichromatism has also been found to be associated with greater species divergence and richness [[28](#ref-seddon2013),[29](#ref-cooney2019)]. Among passerine sister species pairs, more pronounced changes in male rather than female plumage colouration in sexually-dichromatic species suggest that female choice and male-male competition often lead to concurrent increases in sexual dichromatism and speciation events [[28](#ref-seddon2013)]. Therefore, plumage sexual dichromatism may be a selected trait for facilitating species and mate recognition when closely-related species have sympatric breeding ranges [[5](#ref-martin2015a),[30](#ref-martin2010)].

True thrushes (genus: *Turdus*) are an exceptionally diverse genus of passerine birds consisting of about ~86 species distributed across the globe (Fig. 1). The true thrushes are an ideal passerine clade for examining the species recognition hypothesis for plumage sexual dimorphism. Plumage sexual dimorphism and migratory behaviours vary substantially between species and sexual dichromatism has evolved multiple times in thrushes across the world [[31](#ref-clement2000),[32](#ref-nagy2019)]. Hybridization also occurs in some, but not all, *Turdus* species. There is a large hybrid zone between four *Turdus* species (*T. atrogularis*, *T. eunomus*, *T. naumanni*, *T. ruficollis*) in north-central Asia [[33](#ref-mccarthy2006)], indicating that some sympatric *Turdus* species successfully interbreed.

Overall, ecological conditions that increase the time and degree of difficulty in finding a suitable conspecific mate should select for phenotypic traits that reliably signal species and sex identity. Across various bird lineages, greater plumage dichromatism is present in species that are i) migratory rather than nonmigratory, ii) have shorter breeding seasons, iii) live on mainlands rather than islands, iv) have larger breeding ranges (distributions), and v) breed in sympatry with more closely-related species. These patterns suggest that circumstances where rapid species recognition is challenging strongly favour the evolution and maintenance of prominent plumage sexual dichromatism in birds. Here, we test these predictions of the species recognition hypothesis for sexually-dimorphic avian plumage by evaluating the potential influences of breeding timing, spacing, and sympatry on plumage dichromatism in the *Turdus* thrushes.



Breeding ranges of all recognized *Turdus* species from BirdLife International. Colors indicate the number of *Turdus* thrush species in sympatry with overlapping breeding ranges. Illustrations © HBW Alive/Lynx Edicions



Hypotheses and predictions for each model. Arrow colours indicate predicted correlation, positive (blue) and negative (red)

# Methods

Initial pre-registration of the study’s methods and analyses are available on [Open Science Framework](https://osf.io/zum6d).

## *Plumage sexual dichromatism*

A total of N=77 *Turdus* thrush species (approximately ~89% of all known true thrush species) were sampled for plumage spectral reflectance using prepared bird skin specimens at the American Museum of Natural History in New York City and the Field Museum in Chicago. Reflectance measurements spanning 300-700nm were taken in triplicate from the belly, breast, throat, crown, and mantle plumage patches [[34](#ref-andersson2006)] of each individual. N=3 male and N=3 female individuals were measured for most species (exceptions: *T. lawrencii*, N=2 males and N=2 females; *T. swalesi*, N=1 male and N=1 female). Reflectance spectra were measured using a 400 μm fiber optic reflection probe fitted with a rubber stopper to maintain a consistent measuring distance of 3 mm and area of 2 mm2 at a 90° angle to the surface of the feather patch. Measurements were taken using a JAZ spectrometer with a pulsed-xenon light source (Ocean Optics, Dunedin, USA) and we used a diffuse 99% reflectance white standard (Spectralon WS-1-SL, Labsphere, North Sutton NH, USA).

We applied a receptor-noise limited visual model [[35](#ref-vorobyev1998)] of the European Blackbird (*T. merula*) visual system [[36](#ref-hart2000)] in the *pavo* [[37](#ref-maia2019)]⁠ package in R v4.0.0 [[38](#ref-rcoreteam2020)]⁠ to calculate avian-perceived chromatic and achromatic visual contrast (in units of “Just-Noticeable Differences”,or JNDs) of male vs. female plumage patches for all sampled *Turdus* species. Chromatic and achromatic JNDs were calculated for male-female pairs within each species (i.e., N=9 JND values calculated per patch for each species where N=3 males and N=3 females sampled), and then JND values were averaged for each species’ respective plumage patches. Under ideal laboratory conditions, 1 JND is generally considered to be the discriminable threshold past which an observer is predicted to be able to perceive the two colours as different. However, natural light environments vary both spatially and temporally [[39](#ref-endler1993)]⁠, bringing into question the accuracy of a 1 JND threshold for generalizing visual contrast under natural conditions. Therefore, we calculated the total number of sexually-dichromatic plumage patches per species (out of N=5 measured patches) as the number of plumage patches with average JND values > 1, 2, or 3 to account for uncertainty in visual discrimination thresholds due to variation in psychophysical and ambient lighting conditions affecting the strength of between-sex plumage visual contrast [[40](#ref-kemp2015)]⁠. Additionally, we modeled the number of divergent plumage patches (JND >1, 2, and 3) within sexes and between different sympatric species under different levels of breeding range overlap (10% increments between 0-90%).

## *Life History Data*

### *Breeding Timing Model*

We collected data on migration behaviour and breeding season length from *Thrushes* [[31](#ref-clement2000)] and the *Handbook of the Birds of the World* [[41](#ref-delhoyo2017)]⁠. We assigned three different kinds of migratory behaviour: 1) *full migration* when a species description clearly stated that a species “migrates”, 2) *partial migration* when a species was described to have “altitudinal migration”, “latitudinal migration” or “movement during non-breeding season”, or 3) *sedentary* when a species was described as “resident” or “sedentary”. Breeding season length was defined as the number of months the species breeds each year.

### *Breeding Sympatry Model*

Species’ breeding ranges were acquired from *BirdLife International* [[42](#X6c896e2b80dd0fca0e8ee32fce3f4251147131f)]⁠. We calculated congener breeding range overlaps (as percentages) using the *letsR* package in R [[43](#ref-vilela2015)]⁠. We then calculated the number of sympatric species as the number of congeners with breeding ranges that overlap >30% with the focal species’ breeding range [[27](#ref-cooney2017)]. Comparisons of the number of sexually-dimorphic plumage patches vs. the number of sympatric species among different breeding range overlap thresholds are provided in Supplementary Figure 2.

### *Breeding Spacing Model*

Species’ breeding range sizes (in km2) were acquired using the *BirdLife International* breeding range maps. Species’ island vs. mainland residence was also determined using breeding ranges from *BirdLife International*. Mainland residence was assigned if the species had a breeding range on any continent and Japan. Island residence was assigned to species having a breeding range limited to a non-continental landmass entirely surrounded by an oceanic body of water.

## *Statistical modeling*

We used phylogenetically-corrected Bayesian multilevel logistic regression models using the *brms* v2.13.0 package [[44](#ref-burkner2017)] in R v4.0.0 [[38](#ref-rcoreteam2020)]⁠. We modeled plumage sexual dimorphism responses as the number of sexually-dichromatic patches >1, 2, and 3 chromatic and achromatic JNDs. Plumage dimorphism responses were modelled as binomial trials (N=5 plumage patch “trials”) to test for associations with breeding timing, breeding sympatry and breeding spacing. For all phylogenetically-corrected models, we used the *Turdus* phylogeny from Nylander et al. (2008) [[45](#ref-nylander2008)] to create a covariance matrix of species’ phylogenetic relationships. All models used a dataset of N=67 out of the *Turdus* species for which all the types of data (see above) were available.

Our *breeding timing* models included the following predictors: z-scores of breeding season length (mean-centered by = 5.4 months, and scaled by one standard deviation = 2.3 months), migratory behaviour (no migration as the reference category versus partial or full migration), and their interaction. *Breeding sympatry* models included the number of sympatric species with greater than 30% breeding range overlap as the only predictor of the probability of having a sexually-dichromatic plumage patch. *Breeding spacing* models included transformed breeding range size (km2) and breeding landmass (mainland as the reference category versus island). We also ran null models (intercept only) for all responses. All models’ intercepts and response standard deviations were assigned a weakly informative prior (Student T: df = 3, location = 0, scale = 10) [[46](#ref-gelman2013)], and predictor coefficients were assigned flat uninformative priors. We ran each model for 6,000 iterations across 6 chains and assessed Markov Chain Monte Carlo (MCMC) convergence using the Gelman-Rubin diagnostic (Rhat) [[46](#ref-gelman2013)]. We then performed k-fold cross-validation [[47](#ref-vehtari2017)] to refit each model *K*=16 times. For each k-fold, the training dataset included a randomly selected set of or N≈63 species, and the testing dataset included or N≈4 species not included in the training dataset. Finally, we compared differences between the models’ expected log pointwise predictive densities (ELPD) to assess which model(s) best predicted the probability of having a sexually-dichromatic plumage patch. [[47](#ref-vehtari2017)]⁠.

Models’ predictor effects were assessed using 90% highest-density intervals of the posterior distributions and probability of direction, the proportion of the posterior distribution that shares the same sign (positive or negative) as the posterior median [[48](#ref-makowski2019)], to provide estimates of the probability of that a predictor has an entirely positive or negative effect on the presence of sexually-dimorphic plumage patches. We assume predictor estimates with a probability of direction ≥ 0.90 to be indicative of a true existence of a predictor’s effect on sexually-dimorphic plumage patches [[48](#ref-makowski2019)].

# Results

## *Avian visual modeling*

Among N=77 *Turdus* species, the following proportion have sexually monomorphic plumage (combined achromatic and chromatic JND thresholds): 1.3% (n=1 species) have no sexually-dimorphic patches > 1 JND , 44% (n=34 species) have no dimorphic patches > 2 JND, and 63% (n=49 species) have no dimorphic patches > 3 JND (Table S1). Additional proportions of *Turdus* species with sexually-dimorphic achromatic or chromatic plumage patches are available in Table S2. When comparing within sexes between sympatric species (i.e., at least a 30% overlap in breeding ranges: n=39 species with at least one sympatric species and a median of n=6 sympatric species per focal species), the median number of avian-discriminable plumage patches between species is 1 or greater for all achromatic and chromatic JND thresholds of JND > 1, > 2 and > 3 except for sympatric females at a chromatic JND threshold > 3 (Fig. S1).

## *Model comparisons*

*Breeding sympatry*, *breeding timing*, and *breeding spacing* performed considerably better than *intercept-only* (null models) in predicting the probability of a species having a sexually-dimorphic plumage patch. We obtained N ≥ 4000 effective posterior samples for each model parameter and all models’ Markov Chains (MCMC) successfully converged (Rhat = 1 for all models’ parameters) (Supplementary Figure 3, 4). All *breeding sympatry*, *breeding timing*, and *breeding spacing* models performed similarly well and substantially better than *intercept only* models in predicting the probability of having a sexually-dimorphic plumage patch with achromatic JND values > 1, 2, or 3 (Table 1; all models predicting achromatic plumage patches had ELPD values within 4, following the convention of [[49](#ref-burnham2002)]). Among models predicting the probability of having a sexually-dichromatic plumage patch with chromatic JND values >1, 2, or 3, all *breeding sympatry*, *breeding timing*, and *breeding spacing* models performed much better than *intercept only* models, and *breeding sympatry* models had the top predictive performance (Table 1; *breeding sympatry* models all have ELPD =0, only the *breeding spacing* models predicting dichromatic plumage patches had similar predictive performance).

## *Achromatic plumage sexual dimorphism predictors*

Migratory behaviour and shorter breeding season lengths were strongly associated with greater odds of a species having achromatic plumage sexual dimorphism. All model predictors’ effect estimates are provided as the posterior median odds-ratio (OR) and 90% highest-density interval (HDI) in Table 2. Among predictors of achromatic sexually-dimorphic plumage patches, only predictors included in the *breeding timing* model have predictors with probability of direction (*pd*) values ≥ 0.90 (Table 2). Specifically, longer breeding season length was associated with lower odds of a species having a sexually-dimorphic plumage patch with achromatic JND > 2 (breeding season length, OR [90% HDI] = 0.10 [0.01, 1.1], 89.5% decrease in odds per 2.3-month increase in breeding season) and JND > 3 (breeding season length, OR [90% HDI] = 0.25 [0.03, 1.5], 75% decrease in odds per 2.3-month increase in breeding season). Additionally, full migratory behaviour, rather than no migratory behaviour, was associated with greater odds of a species having a sexually-dimorphic plumage patch with achromatic JND > 1 (full migration, OR [90% HDI] = 4.97 [0.95, 24.4]), JND > 2 (full migration, OR [90% HDI] = 66.5 [3.2, 1802.4]) and JND > 3 (OR [90% HDI] = 22.3 [1.6, 307.9]). Finally, both full and partial migratory behaviour, rather than no migration behaviour, in conjunction with longer breeding season lengths are associated with greater odds of a species having a sexually-dimorphic plumage patch with achromatic JND > 1 (breeding season length x full migration, OR [90% HDI] = 4.84 [0.67, 39.6]), JND > 2 (breeding season length x full migration, OR = 66.3 [0.59, 11415.7]; breeding season length x partial migration, OR [90% HDI] = 20.7 [0.9, 589.1]) and JND > 3 (breeding season length x partial migration, OR [90% HDI] = 8.28 [0.76, 109.1]).

## *Chromatic plumage sexual dimorphism predictors*

Migratory behaviour, shorter breeding season lengths, and a high number of sympatric *Turdus* species were strongly associated with greater odds of a species having chromatic plumage sexual dimorphism. Among predictors of *breeding timing* models predicting chromatic sexually-dimorphic plumage patches, longer breeding season length was associated with lower odds of a species having a plumage patch with chromatic JND > 2 (OR [90% HDI] = 0.14 [0.01, 1.42], 86% reduction in odds per 2.3 month increase in breeding season). Both full and partial migratory behaviour rather than no migration are associated with greater odds of a species having a plumage patch JND > 1 (partial migration, OR [90% HDI] = 2.2 [0.94, 4.9]), JND > 2 (full migration, OR [90% HDI] = 80.51 [2.8, 3432.9]) and JND > 3 (partial migration, OR [90% HDI] = 71.2 [0.32, 59062.9]; full migration, OR [90% HDI] = 234.7 [ 0.51, 300382.6]). For *breeding spacing models*, island residency rather than mainland residency was associated with lower odds of having a plumage patch > 1 chromatic JND (island, OR [90% HDI] = 0.27 [0.09, 0.89]). Finally, more *Turdus* species in sympatry was associated with higher odds of a species having a sexually-dimorphic chromatic plumage patch with JND > 1 (number of sympatric species, OR [90% HDI] = 1.4 [1.18, 1.67], 40% increase in odds per each additional sympatric species), JND > 2 (sympatric species, OR [90% HDI] = 1.59 [1.01, 2.52], 59% increase in odds per each additional sympatric species), and JND > 3 (sympatric species, OR [90% HDI] = 2.11 [1.03, 4.46], 111% increase in odds per each additional sympatric species).

# Discussion

Our results provide comparative correlative evidence in support of predictions of the species recognition hypothesis for plumage sexual dimorphism in true thrushes. We used a receptor-noise limited model of *Turdus merula* vision [[35](#ref-vorobyev1998),[36](#ref-hart2000)] to measure avian-perceivable visual contrast of plumage colours and found that the odds of plumage sexual dimorphism are much greater for *Turdus* thrush species that have full or partial migration rather than no migration, have relatively short breeding seasons, and are in sympatry with many other true thrush species (Table 1,2). Our results align with prior comparative studies of avian plumage sexual dimorphism where strong associations of sexual dichromatism with greater migratory behaviour [[10](#ref-dale2015)] and more sympatric taxa [[27](#ref-cooney2017)] were found among many species of different passerine families.

Further, we determined that sympatric *Turdus* species have distinguishable plumage colouration differences from one another when measuring plumage appearance from the avian visual perspective (Fig. S1). Divergent plumage colouration within sexes between closely-related species indicates that plumage sexual dichromatism may have evolved to facilitate species and mate recognition in *Turdus* species breeding under higher sympatry with other true thrushes. However, we cannot directly determine if the plumage sexual dimorphism in sympatric *Turdus* species is the result of reproductive character displacement. We do not know if past changes in species’ plumage sexual dimorphism occurred before or during periods of sympatry with other *Turdus* species. Regardless, present-day plumage sexual dimorphism and perceivable differences in plumage colouration between sympatric species likely reduces the challenge of finding compatible mates by signaling an individual’s sex, breeding status, and species. For example, the four species *Turdus* hybrid zone in north-central Asia [[33](#ref-mccarthy2006)] is a particularly striking example where repoductive character displacement has likely occurred. All four species exhibit strong plumage sexual dimorphism (Figure S2). Comparing within sexes between sister species pairs of *T.ruficollis* and *T.atrogularis*, and *T.naumanni* and *T.eunomus* [[45](#ref-nylander2008)], plumage patterns of the species pairs are nearly identical except for a divergence in colour. *T.ruficollis* and *T.atrogularis* share similar facial and throat colouring patterns, with the main difference being red colouration in *T.ruficollis* in opposition to the black colouration of *T.atrogularis*. In the second species pair, *T.naumanni* has red ventral plumage colouration and *T.eunomus* has black ventral plumage colouration.

Previous studies have found that closely-related sympatric species tend to have more similar plumage appearance than expected if plumage colouration patterns had evolved to facilitate species recognition via reproductive character displacement [[50](#ref-simpson2021),[51](#ref-miller2019)]. The potential lack of major plumage colour divergence among closely-related sympatric species may be attributable to constraints imposed by a shared light environment on colour signal efficiency [[52](#ref-mcnaught2002)], or similar natural selection pressures (e.g., predators, parasites, and weather). Generally, despite greater similarity in plumage appearance in comparison to allopatric species, closely-related sympatric species can still have substantially different and biologically-relevant differences in achromatic or chromatic interspecific visual contrast of plumage patches when measuring plumage colouration differences from the avian visual perspective (as we have found in our analyses).

# Conclusions

Patterns of plumage sexual dimorphism in true thrushes (*Turdus*) are consistent with select predictions of the species recognition hypothesis for plumage sexual dimorphism. Migratory behaviour and limited breeding seasons reduce the amount of time available to find a mate, and greater plumage sexual dimorphism may help migratory species find compatible mates more rapidly. Greater plumage sexual dimorphism in *Turdus* species under sympatry with other true thrush species also supports the possibility that increased plumage sexual dichromatism may be the result of reproductive character displacement. Therefore, greater plumage sexual dimorphism likely reduces species recognition errors and hybridization.

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