# Chapter 1

## Real Numbers

#### 1.1 Axiomatic Formulation of Real Numbers

The building axioms of real numbers is devided into three groups based on the properties they are describing.

- 1. Field axioms.
- 2. Order axioms.
- 3. Completeness axiom.

#### 1.1.1 Field Axioms

A field is a non-empty set  $\mathbb{F}$  with two binary operations addition, +, and multiplication, ... For all  $x, y, z \in \mathbb{F}$ :

Axiom 1. Addition and multiplication are commutitive.

$$x + y = y + x$$
,  $x \cdot y = y \cdot x$ 

Axiom 2. Addition and multiplication are associative.

$$x + (y + z) = (x + y) + z, \quad x \cdot (y \cdot z) = (x \cdot y) \cdot z$$

Axiom 3. Multiplication distributes over addition.

$$x \cdot (y+z) = x \cdot y + x \cdot z$$

Axiom 4. There exists a number 0 such that for every number x:

$$x + 0 = 0 + x = x$$

Axiom 5. There exists a number 1 such that for every number x:

$$x \cdot 1 = 1 \cdot x = x$$

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Axiom 6. For every number x, there exists a number y such that:

$$x + y = 0$$

y is called the negative of x and is denoted by -x.

Axiom 7. For every number  $x \neq 0$ , there exists a number y such that:

$$x \cdot y = 1$$

y is called the reciprocal of x and is denoted by  $x^{-1}$  or  $\frac{1}{x}$ .

#### 1.1.2 Order Axioms

The order axioms establishes an ordering on the numbers of  $\mathbb{F}$  to determine which element is larger or smaller. To achieve an ordering, we define the set of positive real numbers  $\mathbb{F}^+ \subset \mathbb{F}$ .

Axiom 8. The  $\mathbb{F}^+$  is closed under addition and multiplication.

$$\forall x, y \in \mathbb{F}^+, \quad (x+y) \in \mathbb{F}^+ \text{ and } (x \cdot y) \in \mathbb{F}^+$$

Axiom 9.  $0 \notin \mathbb{F}^+$ .

Axiom 10. For every number  $x \neq 0$ , either  $x \in \mathbb{F}^+$  or  $-x \in \mathbb{F}^+$ .

We then define the binary operator > such that x > y whenever  $(x - y) \in \mathbb{F}^+$ .

### 1.1.3 Completeness Axiom

Given that  $(\mathbb{F}, +, \cdot, >)$  is an ordered field, we define the followings:

**Definition (Upper bound):** A set  $S \in \mathbb{F}$  has an upper bound if for some  $a \in \mathbb{F}$  is greater or equal to all element of S. That is,  $\forall x \in S \ a \geq x$ . We say that S is bounded from above.

**Definition (The least upper bound):**  $a \in \mathbb{F}$  is the least upper bound of a set  $S \subset \mathbb{F}$  if it is smaller than every upper bound of S. We say a is the supremum of S, denoted by  $a = \sup S$ .

Note that, if the least upper bound exists, it must be unique.

Axiom 11. If S is a non-empty set that bounded from above that it has supremum.

**Theorem 1.1.** There exists a unique set that satisfies all the axioms above. It is denoted by  $\mathbb{R}$ , the set of real numbers.

*Proof.* The existence of  $\mathbb{R}$  is proved in many ways. One way to construct real numbers uses *Dedekind Cuts*. Let the pair of rational sets (A, B) be a partition of  $\mathbb{Q}$  such that:

- 1.  $A \neq \emptyset$  and  $A \neq \mathbb{Q}$ .
- 2.  $\forall x, y \in \mathbb{Q} \text{ s.t. } x < y, y \in A \implies x \in A.$
- 3.  $\nexists x \in A \text{ s.t. } \forall y \in A, x \geq y.$

For convenience we let A represent the pair (A, B) as A completely determines B. We define  $+, \cdot,$  and > as follows:

$$A + B = \{a + b : a \in A, b \in B\}$$

$$0 = \{a : a < 0\}$$

$$-A = \{a' : \forall a \in A, a' < -a\}$$

For  $\cdot$ , we first take two set A and B that have some positive elements.

$$A \cdot B = \{a \cdot b : a \in A \land a \le 0, b \in B \land b \le 0\} \cup \mathbb{O}$$

If A or B did not have any positive elements, we first take the negative of the set, and then multiply the two sets and take the negative of the product. Similarly, we define the reciprocal of A if A has a positive element.

$$1 = \{a : a < 1\}$$

$$A^{-1} = \{a' : \forall a \in A, a > 0, a' < \frac{1}{a}\}$$

Lastly:

$$A > B$$
 if  $A \supset B$ 

Also, if a non-empty set S of real numbers is bounded from above, then it has a supremum in  $\mathbb{R}$  equal to  $\bigcup S$ . It is left to the reader that the  $(\mathbb{R}, +, \cdot, >)$  satisfies the axioms above.

The set of real numbers is unique in sense that if  $(\mathbb{R}, +, \cdot, >)$  and  $(\mathbb{R}', +', \cdot', >')$  both satisfy the axioms, then there exists bijective mapping  $\alpha : \mathbb{R} \to \mathbb{R}'$  such that:

$$\alpha(x+y) = \alpha(x) +' \alpha(y)$$

$$\alpha(0) = 0'$$

$$\alpha(x \cdot y) = \alpha(x) \cdot' \alpha(y)$$

$$\alpha(1) = 1'$$

$$x < y \iff \alpha(x) <' \alpha(y)$$

Lastly, if S is a non-empty set in  $\mathbb{R}$  and  $\alpha(S) = \{\alpha(x) : x \in S\}$ , then S is has an upper bound if and only if  $\alpha(S)$  has an upper bound. Furthermore  $\alpha(\sup S) = \sup \alpha(S)$ .

#### Results 1.

- 1. The set of natural numbers  $\mathbb{N}$  in  $\mathbb{R}$  is not bounded from above.
- 2. Let  $x \in \mathbb{R}$  be such that for all  $n \in \mathbb{N}$

$$0 \le x \le \frac{1}{n}$$

then x = 0.

3. (Archimedean Property) For all a, b > 0 there exists  $n \in \mathbb{N}$ :

- 4. Consider  $I_n = [a_n, b_n] \ \forall n \in \mathbb{N}$  such that  $I_1 \supset I_2 \supset \dots$ . Then  $\cap I_n$  is not empty. Moreover, if for each e > 0 there exists n such that  $b_n a_n < e$ , then  $\cap I_n$  is a single point.
- 5.  $\sqrt{2} \in \mathbb{R}$ . In addition, for all p > 0, there is a positive real number q such that  $q^2 = p$

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#### Exercises

- 1. Prove that the addition and multiplication identity elements are unique.
- 2. Show that the Trichotomy law holds for (>). That is, exactly one of the following three is true.

$$x > y$$
  $x = y$   $y > x$ 

- 3. Show that  $1 \in \mathbb{F}^+$ .
- 4. Show that if x > -1 and  $n \in \mathbb{N}$ :

$$(1+x)^n \ge 1 + nx$$

and equality only holds when n = 1.

- 5. Let  $F_p = \{0, 1, \dots, p-1\}$  where p is a prime number. Define + and  $\cdot$  to be the modular addition and product modulus p, respectively. Investigate whether if  $F_p$  can be ordered.
- 6. Consider the set of all rational polynomials  $\mathbb{Q}[x]$ :

$$\mathbb{Q}[x] = \left\{ \frac{a_m x^m + \dots + a_1 x + a_0}{b_n x^n + \dots + b_1 x + b_0} : a_i, b_j \in \mathbb{Q}, b_n \neq 0 \right\}$$

Show that  $\mathbb{Q}[x]$  under the normal addition and multiplication is a field. Furthermore, show that  $\mathbb{Q}^+[x] = \{q \in \mathbb{Q}[x] : a_m \cdot b_n > 0\}$  constitutes an ordering on  $\mathbb{Q}[x]$ .