

# KZ EQUATIONS

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## 1. KZ equations

Let  $V_1, \dots, V_n$  be representations of a finite dimensional simple Lie algebra  $\mathfrak{g}$ . Pick extra data  $\Omega \in \text{Sym}^2 \mathfrak{g}$  and  $k - k_{crit} \in \mathbf{C}$ . Then the **KZ equations** are the following  $n$  many differential operators

$$(k - k_{crit})\partial_{z_i} + \sum_{i \neq j} \frac{\Omega_{ij}}{z_i - z_j}$$

on the trivial vector bundle with fibre  $V_1 \otimes \dots \otimes V_n$  on the space  $(\mathbf{C}^n)_o$ .

**1.1. Warmup computation.** If we consider the differential operators

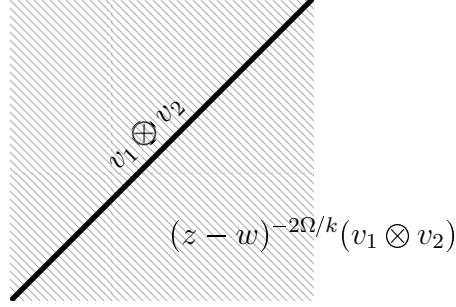
$$k\partial_z + \frac{\Omega_{12}}{z - w} \quad k\partial_w + \frac{\Omega_{21}}{w - z}$$

then as  $\Omega$  is symmetric solving these equations is equivalent to  $\partial_{z+w} = 0$  and  $\partial_{z-w} = \Omega/k(z - w)$ . A solution to this is given by

$$v(z, w) = (z - w)^{-2\Omega/k} (v_1 \otimes v_2) \tag{1}$$

for any  $v_i \in V_i$ . In particular, the monodromy of this solution is given by  $q^\Omega = e^{-\pi i \Omega/k}$ .

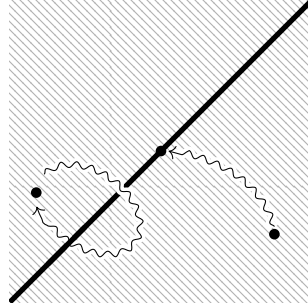
1.1.1. For the above solution (1), for  $(z, w)$  off the diagonal we would get the element  $(z-w)^{-2\Omega/k}(v_1 \otimes v_2)$ , and anywhere on the diagonal we would get  $v_1 \otimes v_2$ :



The monoidal structure

$$\otimes : \text{Rep } U(\mathfrak{g}) \otimes \text{Rep } U(\mathfrak{g}) \rightarrow \text{Rep } U(\mathfrak{g})$$

looks like



Its braiding is given by monodromy around the diagonal; note that the braid group is

$$\mathfrak{B}_n = \pi_1((\mathbb{C}^n)_\circ).$$

*Formal KZ equation.* Note that the above only converges for  $1/k$  small. Thus, we will consider the differential operators

$$\partial_{z_i} + \hbar \sum_{i \neq j} \frac{\Omega_{ij}}{z_i - z_j}$$

on the trivial vector bundle with fibre  $V_1 \otimes \cdots \otimes V_n[[\hbar]]$  on the space  $(\mathbb{C}^n)_\circ$ .

**Theorem.** (Kohno-Drinfeld) *The induced associator and braiding gives  $\text{Rep } U(\mathfrak{g})$  a different braided monoidal structure, equivalent to  $\text{Rep } U_\hbar(\mathfrak{g})$ .*

*Proof.* Given a solution to the KZ equations, we can take:

- take its value away from the diagonals,
- take its residue along a diagonal  $z_i = z_j$  avoiding the other diagonals, i.e. take the coefficient of  $(z_i - z_j)^{-2\Omega_{ij}/(k-k_{crit})}$ ,

- take its residue along two diagonals  $z_i = z_j$  and  $z_k = z_\ell$ , avoiding the other diagonals, i.e. take the coefficient of  $(z_i - z_j)^{-2\Omega_{ij}/(k-k_{crit})} (z_k - z_\ell)^{-2\Omega_{k\ell}/(k-k_{crit})}$ , (need the  $\Omega_{ij}$ s to commute)
- and so on,

to get an element of  $V_1 \otimes \cdots \otimes V_n[[\hbar]]$  attached to every point of  $\mathbf{C}^n$ . This will be an algebraic function on each locally closed stratum. We may parallel transport between these, since in a neighbourhood of a diagonal there is a unique function on  $(\mathbf{C}^n)_o$  with that as residue. (check)

We now endow  $\text{Rep } U(\mathfrak{g})$  with the same monoidal structure, but choose a different associator  $(V_1 \otimes V_2) \otimes V_3 \simeq V_1 \otimes (V_2 \otimes V_3)$ , given by parallel transport from the  $z_1 = z_2$  diagonal to the  $z_2 = z_3$  diagonal.<sup>1</sup>

□

*Remark.* More generally, we consider

$$(k - k_{crit})\partial_{z_i} + \sum_{i>j} r_{ij}(z_i - z_j) - \sum_{j<i} r_{ji}(z_j - z_i).$$

$r(z)$  satisfies the classical Yang Baxter equation, i.e.  $R(z) = e^{\hbar r(z)}$  satisfies the spectral Yang Baxter equation, if and only if these differential operators commute.

*Remark.* (check) Note that for any permutation  $\sigma \in \mathfrak{S}_n$  acting on  $\mathcal{D}_{(\mathbf{C}^n)_o}$  preserves the above set of differential operators. However, (might be possible actually, check [GL]) we cannot arrange the above to form a D module on  $\text{Ran } \mathbf{A}^1$ .

**1.2. KZ equations on other curves.** Let us consider the sequence of maps

$$\mathbf{C} \xrightarrow{\exp} \mathbf{C}^\times \xrightarrow{\pi} E.$$

We construct analytic D-modules on each of these spaces, pulling back to each other, with the one on  $\mathbf{C}$  being the KZ equations.

1.2.1. On  $(\mathbf{C}^\times)_o^n$ , the KZ equations are

$$z_i \partial_{z_i} + \sum_{i \neq j} \frac{\Omega_{ij}}{1 - z_i/z_j} + \lambda_i$$

on  $(\mathbf{C}^\times)_o^n$ , for some constant  $\lambda_i$ . Thus, the classical r-matrix is  $r(z) = \frac{\Omega_{ij}}{1 - z_i/z_j}$ , and  $R(z) = e^{\hbar r(z)}$  satisfies the trigonometric Yang-Baxter equation.

**Lemma 1.2.2.** *This pulls back to the KZ D-module on  $\mathbf{C}$ . In other words, the pulled back differential equation is gauge equivalent to the KZ equation on  $\mathbf{C}$ .*

---

<sup>1</sup>Since we have  $\partial_{z_1+z_2} = \partial_{z_2+z_3} = 0$ , this does not depend on where on the diagonals we pick. (check)

*Proof.* Note that indeed under the exponential map we have  $\exp_* \partial_z = z \partial_z$ ,<sup>2</sup> so this matches with our expectation in section 1.3. Next, we have as functions on  $(\mathbf{C}^n)_0$  that

$$\exp^*(1 - z_i/z_j) = (1 - e^{z_i}/e^{z_j}) = (1 - e^{z_i - z_j}) = (z_i - z_j) + \mathcal{O}((z_i - z_j)^2).$$

Thus, the pullback of the KZ equation on  $\mathbf{C}^\times$  is gauge equivalent to the KZ equation on  $\mathbf{C}$  since the higher order terms of this expansion give holomorphic terms:

$$\frac{1}{1 - e^{z_i - z_j}} = \frac{1}{z_i - z_j} + \mathcal{O}(1),$$

thus this pullback can be gauged to the KZ equation on  $\mathbf{C}$ . □

1.2.3. On  $E$ , the equation is

$$\xi_i + \sum_{i \neq j} \frac{\Omega_{ij}}{w_i -_E w_j} + \mu_i$$

where  $\xi_i$  is the generating vector field on  $E$ ,  $w_i$  are (what?) and  $\mu_i$  are constants.

**Lemma 1.2.4.** *This pulls back to the KZ equations on  $\mathbf{C}^\times$  and  $\mathbf{C}$ .*

*Proof.* (write) □

We need to replace

$$\frac{1}{z} \in \mathcal{O}_{\mathbf{C}}(1) \rightsquigarrow f \in \mathcal{O}_E(1).$$

There is no global section of  $\mathcal{O}_E(1)$ , only  $\wp \in \Gamma(E, \mathcal{O}_E(2))$ . If we choose a branch cut of  $E$ , i.e. remove planes so it becomes a square  $U \subseteq E$ , we may define  $\sqrt{\wp} \in \Gamma(U, \mathcal{O}_E(1))$ . (is this defined?)

Let  $j : E \setminus 0 \rightarrow E$ . A D-module structure on the pullback of coherent sheaf  $\mathcal{V}_E = V \otimes \mathcal{O}_E$  to  $E \setminus 0$  is called *regular singular* if the connection restricted to regular sections factors

$$\begin{array}{ccc} \mathcal{T}_E \otimes \mathcal{V}_E & \dashrightarrow & \mathcal{V}_E \otimes \mathcal{O}_E(1) \\ \downarrow & & \downarrow \\ \mathcal{T}_E \otimes j_* j^* \mathcal{V}_E & \xrightarrow{d} & j_* j^* \mathcal{V}_E \end{array}$$

In other words, if  $d$  has order one poles along 0 at worst. We are going to want to study D-modules on  $E^2$  which are regular singular over  $E_\Delta$ .

If we view

$$E \setminus 0 \hookrightarrow \mathbf{C}^2$$

given as the locus  $w^2 = z^3 + az + b$ , we may write the action of a generator of  $\mathcal{T}_E$  as

$$\xi_i + \sum_{i \neq j} \frac{\Omega_{ij}}{(\text{??})}$$

where  $\xi_i = w \partial_z$ .

---

<sup>2</sup>This formula follows from  $\partial_z = e^z \partial_{e^z}$ , which is an application of the chain rule.

To specify a vector bundle with connection on  $E$ , it is no longer enough to give an operator on  $\Gamma(E, \mathcal{O}_E)$ , because  $E$  is not affine. However, recall

**Theorem 1.2.5.** (Deligne) *Let  $D \subseteq X$  be a normal crossings divisor and  $\mathcal{V}$  vector bundle with connection on  $X \setminus D$ . Then after choosing residues,  $\mathcal{V}$  extends uniquely to a  $D$ -module with regular singular connection  $\tilde{\mathcal{V}}$  on  $X$ . (details)*

For instance, we can apply this to an elliptic curve and any vector bundle with connection  $\mathcal{V}$  on  $E \setminus 0$  to

$$\nabla : \tilde{\mathcal{V}} \rightarrow \tilde{\mathcal{V}}(1) \otimes \Omega^1$$

(write explicitly)

We recall from [FGV] that the elliptic KZ equation are *not* valued in  $\mathcal{O}_{E^n}$ , but rather in the line bundle  $\mathcal{L}$  on  $E^n = \mathbb{C}^n / (\Lambda + \tau\Lambda)$  (where here  $\Lambda$  is the coroot lattice of the Lie algebra  $\mathfrak{g}$  we are considering and  $\mathbb{C}^n = \mathfrak{t}$ ) given by monodromy

$$\ell(z + \lambda_1 + \lambda_2\tau) = \exp(-\pi i \kappa(\lambda_2, \lambda_2) - 2\pi i \kappa(\lambda_2, z + \lambda_1)) \cdot \ell(z). \quad (2)$$

Note that in [FBZ, §I] they omit the  $z$  from this notation. We also assume that it is  $W_G$ -symmetric, and  $\ell$  vanishes to a certain order along the coroot hyperplanes. (check)

Note that only degree *zero* line bundles can have connections. In particular, since  $\mathcal{O} \cdot \theta \simeq \mathcal{O}(0 + \frac{1}{2} + \frac{\tau}{2} + \frac{\tau+1}{2})$ , the theta line bundle does not have a connection.

Note that if we consider

$$\mathbb{C}^\times \rightarrow E$$

then the pullback of the  $\theta$  line bundle is trivial; since the monodromy of the  $\theta$  line bundle in the  $\Lambda$ -direction was trivial:

$$\ell(z + \lambda_1) = \ell(z).$$

The KZ equations on  $E$  are now

$$\xi_i + \sum_{j \neq i} \frac{\Omega_{ij}}{\theta_i - \theta_j}$$

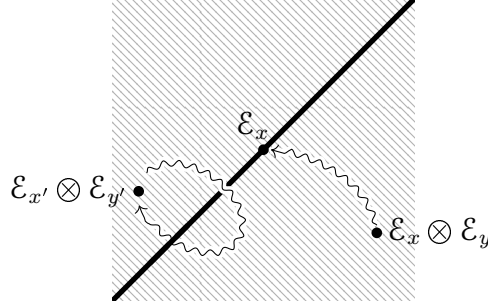
where  $\xi_i$  acts on  $\mathcal{L}$  as (write! does  $\partial_z$  descend to a vector field on  $\mathcal{L} \hookrightarrow \mathcal{O}_{mer} = j_* \mathcal{O}$  where  $j : \eta \rightarrow E$ ?)

If we take the derivative of (2) then we get

$$\ell'(z + \lambda_1 + \lambda_2\tau) = -2\pi i \kappa(\lambda_1, \lambda_2) e^{(-\pi i \kappa(\lambda_2, \lambda_2) - 2\pi i \kappa(\lambda_2, z + \lambda_1))} \cdot \ell(z) + e^{(-\pi i \kappa(\lambda_2, \lambda_2) - 2\pi i \kappa(\lambda_2, z + \lambda_1))} \cdot \ell'(z)$$

1.3. **Sheaves of categories.** How to think of all this structure? (maybe we need to consider  $\text{Conf}(\mathbf{C})$  instead of  $\text{Ran } \mathbf{C}$ ?)

The answer is as a constructible sheaf of categories over  $\text{Ran } \mathbf{C}$ , which factorises.



Here, the Ran space is endowed with the stratification by diagonals, and we have a constructible sheaf of categories on each  $\mathbf{C}^n$ , i.e. a functor

$$\mathcal{E}|_{\mathbf{C}^n} : \text{Exit}_{\mathbf{C}^n} \rightarrow \text{dgCat}$$

from the category of paths staying within the same strata except at the endpoints. Over  $n = 1$  this just gives a category  $\mathcal{E}_1$ , and considering  $n = 2$  gives it a braided monoidal structure, and then  $n \geq 3$  corresponds to higher homotopy data.

To be precise, there is a functor

$$\text{Fact} : \mathbf{E}_2\text{-Alg}(\text{dgCat}) \rightarrow \text{FactCat}_c(\text{Ran } \mathbf{C})$$

due to Lurie; see [CF, 6.3.3] or [Lu, §A.6] for an account. One expects an equivalence to  $\text{FactCat}(\text{Ran } \mathbf{A}_{dR}^1)$ , but we cannot find this in the literature.

**Lemma 1.3.1.** *The **Drinfeld-Kohno** constructible sheaf of categories  $\text{Fact Rep } U_h(\mathfrak{g})$  has the following properties:*

- *Its fibre over  $(z_1, \dots, z_n)$  is spanned by tuples  $V_1 \boxtimes \dots \boxtimes V_n$  of element of  $(\text{Rep } U_h(\mathfrak{g}))^{\otimes n}$ , where  $V_i$  are representations of  $\mathfrak{g}$ .<sup>3</sup>*
- *The exit path sending  $z_i \rightarrow z_j$  is sent to the functor  $\text{Rep } U_h(\mathfrak{g})_i \otimes \text{Rep } U_h(\mathfrak{g})_j \rightarrow \text{Rep } U_h(\mathfrak{g})$  given by  $V_i \boxtimes V_j \mapsto V_i \otimes V_j$ .*
- *The monodromy around the diagonal  $z_i = z_j$  is given by the endomorphism of  $(\text{Rep } U_h(\mathfrak{g}))^{\otimes n}$  given by swapping the two factors  $V_i \boxtimes V_j \mapsto V_j \boxtimes V_i$ .*
- *The contractible two-cell bounded by a loop around  $z_i = z_j$  and two exit paths is the natural transformation*

<sup>3</sup>Note that  $\text{Rep } U(\mathfrak{g}) \simeq \text{Rep } U_h(\mathfrak{g})$  as categories if we forget the braided monoidal structure.

$$\begin{array}{ccc}
\mathrm{Rep} U_h(\mathfrak{g}) \otimes \mathrm{Rep} U_h(\mathfrak{g}) & \xrightarrow{\otimes} & \mathrm{Rep} U_h(\mathfrak{g}) \\
\downarrow \sigma & \uparrow \parallel & \\
\mathrm{Rep} U_h(\mathfrak{g}) \otimes \mathrm{Rep} U_h(\mathfrak{g}) & \xrightarrow{\otimes} & 
\end{array}$$

given on objects by the endomorphism  $R = e^{h\Omega} : V_i \otimes V_j \rightarrow V_j \otimes V_i$ .

Likewise, it relates to the KZ equations as follows:

- a flat section  $v_1(z) \otimes \cdots \otimes v_n(z) : \mathrm{triv} \rightarrow \mathrm{Fact} \mathrm{Rep} U_h(\mathfrak{g})$  over an open set  $U \subseteq (\mathbf{C}^n)_\circ$  is precisely a solution to the KZ equations for  $V_1 \otimes \cdots \otimes V_n$  on  $U$ .

1.3.2. Note that if we were to consider other base curves, the restriction  $\mathcal{E}_1$  becomes interesting. Whereas over  $\mathbf{C}$  it only has the structure of a category, over  $\mathbf{C}^\times$  and  $E$  it has one and two commuting automorphisms, which the structures we discuss above must respect. For instance, writing  $T$  for such an automorphism, we have

$$T(V \otimes V') = T(V) \otimes T(V')$$

respects the monoidal structure, and likewise the braiding.

If  $\mathcal{E}_E$  is any such constructible factorisation category on an elliptic curve, we have functors

$$\mathcal{E}_1 = \Gamma(\mathbf{C}, \mathcal{E}_{\mathbf{C},1}) \xleftarrow{\mathrm{exp}^*} \Gamma(\mathbf{C}^\times, \mathcal{E}_{\mathbf{C}^\times,1}) \xleftarrow{\pi^*} \Gamma(E, \mathcal{E}_{E,1}).$$

Moreover, one expects a Galois correspondence between subcategories of  $\mathcal{E}_1$  and subgroups of  $\pi_1(E)$ , and the above we expect is equal to

$$\mathcal{E}_1 \xleftarrow{\mathrm{exp}^*} \mathcal{E}_1^{\mathbf{Z}} \xleftarrow{\pi^*} \mathcal{E}_1^{\mathbf{Z}^2}.$$

For instance, the deck cover group of  $\mathrm{exp}$  is generated by  $\hbar \mapsto \hbar + 2\pi i$ , so this conjecture is saying that

$$(\mathrm{Rep} U_h(\mathfrak{g}))^{\mathbf{Z}} \stackrel{?}{\sim} \mathrm{Rep} U_q(\mathfrak{g}).$$

This should extend to the entire constructible sheaves of categories, however we note that  $\mathrm{Ran} \mathbf{C} \rightarrow \mathrm{Ran} \mathbf{C}^\times$  is not a  $\mathbf{Z}$ -covering map. We do not know the definition of  $\mathrm{Rep} U_{q,t}(\mathfrak{g})$ , but presumably if the above is correct it should be  $\mathbf{Z}^2$ -invariants inside  $\mathrm{Rep} U_h(\mathfrak{g})$ .

The action of  $\mathbf{Z}$  on the category  $\mathcal{E}_{\mathbf{C},z} \simeq \mathcal{E}_{\mathbf{C}^\times,ez}$  is given by the monodromy of the trigonometric KZ equation, computed in [EG, Thm. 3.2] to be

$$\tau = e^{\hbar(s+m(r))} m(R) = q^{s+m(r)} m(R)$$

where we have contracted  $r = \Omega$  using the multiplication  $m$  in  $U_h(\mathfrak{g})$  and  $s$  is any even element with  $[\Delta(s), \Omega] = 0$ .

1.3.3. *Remark.* The inclusion<sup>4</sup>  $U_q(\mathfrak{g}) \hookrightarrow U_h(\mathfrak{g})$  allows us to form<sup>5</sup>

$$\mathrm{Ind} : \mathrm{Rep} U_q(\mathfrak{g}) \hookrightarrow \mathrm{Rep} U_h(\mathfrak{g}) : \mathrm{Res} = \exp^*.$$

We do not know how to interpret  $\mathrm{Ind}$  in terms of the constructible sheaf of categories.

1.3.4. *Partial inverses to  $\exp$  and  $\pi$ .* Given a branch of the logarithm, i.e. a partially defined section  $\log : \mathbf{C}^\times \rightarrow \mathbf{C}$  to the exponential map, we can consider the function on  $(\mathbf{C}^\times)_\circ^n$

$$\log^*(z_i - z_j) = \log(z_i) - \log(z_j) = \log(z_i/z_j) = (1 - z_i/z_j) + \frac{1}{2}(1 - z_i/z_j)^2 + \dots$$

thus  $1/\log^*(z_i - z_j)$  is gauge-equivalent to  $1/(1 - z_i/z_j)$ . Likewise,  $\log_*(z\partial_z) = \partial_z$ .<sup>6</sup>

1.3.5. *Remark: affine analogue.* Why couldn't we have just applied the above section to  $\mathfrak{g}$  an arbitrary Kac-Moody Lie algebra?

One answer is that we can of course define the equations, but since  $\mathrm{Rep} U_h(\mathfrak{g})$  is factorisation braided rather than braided, the Drinfeld-Kohno and Gaitsgory-Lysenko constructions cannot have applied in their usual forms.

1.4. **Comparison to [GL].** In [Ga], one considers the configuration space  $\mathrm{Conf}_\Lambda(\mathbf{C})$  of ordered points labelled by nonnegative roots  $\Lambda$ .

One constructs a factorisable  $\mathrm{BG}_m$  gerbe  $\mathcal{G}$  on  $\mathrm{Conf}_\Lambda(\mathbf{C})$ , and consider  $\mathcal{G}$ -twisted sheaves. Moreover (in [GL] somewhere) we have

$$\mathrm{Rep}_q(T) \simeq \mathrm{Sh}_{\mathcal{G}}(\mathrm{Conf}_\Lambda(\mathbf{C})).$$

The three integral forms of  $U_q(\mathfrak{b})$  are constructed as pushforwards of constant sheaves from the open locus.

In [GL] one defines  $u_q(N)$  inside  $\mathrm{Rep}_q(T)$ , then takes the relative Drinfeld double of  $u_q(N)\text{-Mod}(\mathrm{Rep}_q(T))$  to get  $u_q(\mathfrak{g})\text{-Mod}$ . We get the (baby) renormalised version of this if we take the ind-completion with respect to finite dimensional modules (resp. before taking the Drinfeld double).

Then as in [GL, p202], we apply Lurie's construction of a factorisation algebra  $\Omega_B \in \mathcal{D}\text{-Mod}(\mathrm{Ran} \mathbf{A}^1)$  attached to any  $\mathbf{E}_2$ -algebra  $B$  in braided monoidal category, with

$$\Omega_B\text{-FactMod}(\mathrm{Gr}_{T, \mathbf{A}^1}) \simeq B\text{-Mod}_{\mathbf{E}_2}.$$

We apply this to  $B = \mathrm{Aug}(\mathrm{inv}_{u_q(\mathfrak{n})})$  being the augmentation ideal of the invariants functor

$$\mathrm{inv}_{u_q(\mathfrak{n})} : u_q(\mathfrak{n})\text{-Mod} \rightarrow \mathrm{Rep}_q(T)$$

<sup>4</sup>As  $\mathbf{Z}[q, q^{-1}] \hookrightarrow \mathbf{Z}[[\hbar]]$ -algebras.

<sup>5</sup>Here  $\mathrm{Ind} V = V \otimes_{\mathbf{Z}[q, q^{-1}]} \mathbf{Z}[[\hbar]]$ ; in particular  $\mathrm{Ind}$  might send non-isomorphic representations  $V, V'$  to isomorphic ones. We have an embedding  $V \hookrightarrow V \otimes_{\mathbf{Z}[q, q^{-1}]} \mathbf{Z}[[\hbar]] = \mathrm{Res} \mathrm{Ind} V$ .

<sup>6</sup>This again follows from the chain rule,  $z\partial_z = \partial_{\log(z)}$ .



which for general reasons has  $B\text{-Mod}_{\mathbf{E}_2} \simeq Z_{\mathbf{E}_1}(u_q(\mathfrak{n})\text{-Mod}^{ren})$ . On the other side, we have by a Riemann-Hilbert argument that factorisation modules over  $\text{Gr}_{T, \mathbf{A}^1}$  are equivalent to configuration factorisation modules over  $\mathbf{C}$ , and under this equivalence we have  $\Omega_B\text{-FactMod}(\text{Gr}_{T, \mathbf{A}^1}) \simeq \Omega_q^{sm}\text{-FactMod}(\text{Conf}_\Lambda(\mathbf{C}))$ .

**Theorem 1.4.1.** (*prove this*) *The constructible factorisation category over  $\text{Conf}_\Lambda(\mathbf{C})$*

$$u_q(\mathfrak{g})\text{-Mod}^{baby\ ren} \simeq B\text{-Mod}_{\mathbf{E}_2} \simeq \Omega_B\text{-FactMod}(\text{Gr}_{T, \mathbf{A}^1}) \simeq \Omega_q^{sm}\text{-FactMod}(\text{Conf}_\Lambda(\mathbf{C}))$$

*has sections being collections of  $V_1, \dots, V_n$  together with their KZ equations over  $\mathbf{C}^n$ .*

*Proof.* The equivalences follow by the above discussion □

There is a factorisable version of (a completion of)  $u_q(\mathfrak{g})\text{-Mod}$  over  $\text{Conf}_\Lambda(\mathbf{C})$ . It is equivalent to factorisation modules over  $\Omega_q$ .

1.4.2. *Relation to Riemann-Hilbert.* All the above is on the topological side; we now talk about how to pass to the algebraic side. As explained in [CF, Prop 6.3.3] there is a functor

$$\mathbf{E}_2\text{-Cat} \rightarrow \text{FactCat}(\text{Ran } \mathbf{A}^1)$$

compatible with the global sections functor (*check*). It sends (*find reference*)

$$U_q^{Lus}(\mathfrak{g})\text{-Mod} \mapsto \hat{\mathfrak{g}}\text{-Mod}^{G^{(\mathcal{O})}}, \quad \text{Rep}_q(G)^{mx d} \mapsto \hat{\mathfrak{g}}\text{-Mod}^I.$$

1.5. **Relation to doubling.** Recall the following picture:

$$\begin{aligned} U_q(\mathfrak{n}) \in \text{BiAlg}(\text{Rep}_q \mathfrak{t}) & \xrightarrow{\text{Bosonisation}} U_q(\mathfrak{b}) \in \text{BiAlg}(\text{Vect}) \\ U_q(\mathfrak{n})\text{-Mod}(\text{Rep}_q \mathfrak{t}) &= \underbrace{U_q(\mathfrak{b})\text{-Mod}(\text{Vect})}_{\otimes} \xrightarrow{\mathbb{Z}^{\mathbf{E}_1}} \underbrace{U_q(\mathfrak{g})\text{-Mod}(\text{Vect})}_{\otimes_{\mathbf{E}_2}} \end{aligned}$$

where the braiding on  $\text{Rep}_q \mathfrak{t}$  is given by  $q^{\kappa(\lambda, \mu)} \in q^{\mathbf{R}} = \mathbf{C}[[\hbar]]$ . Note that we need to use this instead of  $\text{Rep}_q T$  if we are to get an algebra  $U_q(\mathfrak{b}) = U_q(\mathfrak{n}) \rtimes U_q(\mathfrak{t})$ , since  $\text{Rep}_q \mathfrak{t}$  is a category of modules, for  $U_q(\mathfrak{t})$ .

The factorisation story only works with the unbosonised  $U_q(\mathfrak{n})$ , rather than  $U_q(\mathfrak{b})$ .

1.5.1. For Yangians, we expect to have

$$\begin{aligned} Y_h(\mathfrak{n}) \in \text{BiAlg}_{ch,*}(\text{Rep } Y_h(\mathfrak{t})) & \xrightarrow{\text{Bosonisation}} Y_h(\mathfrak{b}) \in \text{BiAlg}_{ch,*}(\text{Vect}) \\ Y_h(\mathfrak{n})\text{-Mod}(\text{Rep } Y_h(\mathfrak{t})) &= \underbrace{Y_h(\mathfrak{b})\text{-Mod}(\text{Vect})}_{\otimes^{ch}} \xrightarrow{\mathbb{Z}^{\mathbf{E}_1, \otimes}} \underbrace{Y_h(\mathfrak{g})\text{-Mod}}_{\otimes, \otimes^{ch}}. \end{aligned}$$

Note that  $Y_h(\mathfrak{t})$  has a chiral and standard coproduct, so its category of representations has  $\otimes$  and  $\otimes^{ch}$ .

Thus, we expect that  $Y_h(\mathfrak{n})$  has a chiral coproduct inside  $\text{Rep } Y_h(\mathfrak{t})$ , and its double  $Y_h(\mathfrak{g})$  has a chiral and standard coproduct. Notice that the formula in [GT, §3.1] for the standard coproduct involves the Killing form  $(\beta, \alpha_i)$ , which is a smoking gun of it arising from a doubling construction.

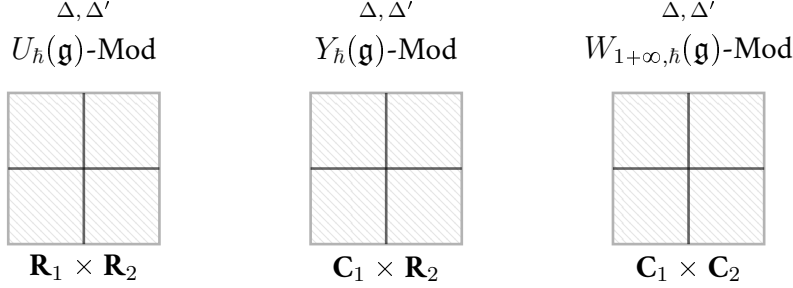
1.5.2. In particular, we need to construct analogues to

$$\frac{\text{Conf}_\Lambda(\mathbf{C})}{?} \Big| \frac{\mathcal{G}}{?} \Big| \frac{\text{Rep}_q T \simeq \text{Sh}_{\mathcal{G}}(\text{Conf}_\Lambda(\mathbf{C})_{x.\infty})}{\text{Rep } Y_h(\mathfrak{t})^{T^{(\mathfrak{G})}}}$$

where we have taken the category of  $Y_h(\mathfrak{t})$ -modules with integral eigenvalues for the action of  $t_i$ , where  $t \in \mathfrak{t}$  and  $i \geq 0$ .

## 2. Other KZ equations

2.1. **Motivation.** We have the following picture: (not quite right,  $\mathcal{W}_{1+\infty}$  is a vertex algebra not an algebra)



Each of the three algebras have two compatible coproducts  $\Delta, \Delta'$ , hence their module categories are expected to factorise over the marked spaces. See [GRZ] for  $W_{1+\infty}$ .

2.1.1. To be precise, we expect sheaves of categories  $\mathcal{C}$  over all three spaces, i.e.  $\text{Ran}(\mathbf{R}_1 \times \mathbf{R}_2)$  and so on, whose fibres are the three categories named above.

In addition, we need  $\mathcal{C}$  to be endowed with a flat connection, loosely speaking because it comes from a TQFT or a holomorphic QFT and so has an action of  $\text{Lie Diff}(X) = \Gamma(X, \mathcal{T}_X)$  and  $\text{Lie Conf}(X) = \Gamma(X, \mathcal{T}_X^{\text{hol}})$ . Flatness corresponds to it being a Lie algebra action.

Thus for instance, we expect a sheaf of categories on

$$(\mathbf{C}_1 \times \mathbf{R}_2)_{dR} = (\mathbf{C}_1 \times \mathbf{R}_2) / \exp(\mathcal{T}_{\mathbf{C}_1}^{\text{hol}} \boxplus \mathcal{T}_{\mathbf{R}_2}^{\text{sm}})$$

and likewise over  $\text{Ran}(\mathbf{C}_1 \times \mathbf{R}_2)$ .

2.1.2. *Remark.* Let us consider the relation between these three. Identifying  $\mathbf{C}/S^1 \simeq \mathbf{R}_{\geq 0}$ , the above is presumably attached to


$$\mathbf{C}_{\theta_1, \theta_2} \longrightarrow \mathbf{C}_{\theta_1, \theta_2}^\times \longrightarrow E_{\theta_1, \theta_2}$$


where here  $\mathbf{C}_{\theta_1, \theta_2} \simeq \mathbf{R}_{\theta_1} \times \mathbf{R}_{\theta_2}$  is the universal cover of the angle coordinate circles. Thus if we have analogues:


$$\begin{aligned} \mathbf{R}_{\theta_1} \times \mathbf{R}_{\theta_2} \times \mathbf{R}_{r_1} \times \mathbf{R}_{r_2} &\leftarrow S_{\theta_1}^1 \times \mathbf{R}_{\theta_2} \times \mathbf{R}_{r_1} \times \mathbf{R}_{r_2} \leftarrow S_{\theta_1}^1 \times S_{\theta_2}^1 \times \mathbf{R}_{r_1} \times \mathbf{R}_{r_2} \\ \mathbf{R}_1 \times \mathbf{R}_2 &\longleftarrow \mathbf{C}_1 \times \mathbf{R}_2 \longleftarrow \mathbf{C}_1 \times \mathbf{C}_2 \end{aligned}$$


where this analogy matches collapsing an  $S^1$  and taking its universal cover.


2.1.3. *Remark.* The KZ equations for  $Y_h(\mathfrak{g})$  are not expected to give the KZ (or qKZ) equations for  $U_h(\mathfrak{g})$ . Instead, they are meant to be differential equations on valued in representations of  $Y_h(\mathfrak{g})$ , with  $\Omega$  replaced by the Casimir element of  $Y_h(\mathfrak{g})$ . (check, seems dodgy, shouldn't we get [GT] stuff?)


$\Delta, \Delta'$   
 $U_q(\mathfrak{g})\text{-Mod}$   
  
 $\mathbf{R}_1 \times \underbrace{\mathbf{R}_2/\mathbf{Z}}_{S_2^1}$

$\Delta, \Delta'$   
 $U_q(\hat{\mathfrak{g}})\text{-Mod}$   
  
 $\mathbf{C}_1 \times \underbrace{\mathbf{R}_2/\mathbf{Z}}_{S_2^1}$

$\Delta, \Delta'$   
 $W_{1+\infty, q}(\mathfrak{g})\text{-Mod}$   
  
 $\mathbf{C}_1 \times \underbrace{\mathbf{C}_2/\mathbf{Z}}_{\mathbf{C}_2^\times}$

$\Delta, \Delta'$   
 $U_{q,\tau}(\mathfrak{g})\text{-Mod}$   
  
 $\underbrace{\mathbf{R}_1/\mathbf{Z}}_{S_1^\times} \times \underbrace{\mathbf{R}_2/\mathbf{Z}}_{S_2^\times}$

$\Delta, \Delta'$   
 $\mathcal{E}_{q,\tau}(\mathfrak{g})\text{-Mod}$   
  
 $\underbrace{\mathbf{C}_1/\mathbf{Z}}_{\mathbf{c}_1^\times} \times \underbrace{\mathbf{R}_2/\mathbf{Z}}_{S_2^\times}$

$\Delta, \Delta'$   
 $W_{1+\infty,q,\tau}(\mathfrak{g})\text{-Mod}$   
  
 $\underbrace{\mathbf{C}_1/\mathbf{Z}}_{\mathbf{c}_1^\times} \times \underbrace{\mathbf{C}_2/\mathbf{Z}}_{\mathbf{c}_2^\times}$

where we have applied the vertex operator to the  $ij$ th entries, and  $\Sigma^{n-1} \subseteq \Sigma^n$  is the diagonal  $z_i = z_j$ . We have shown

**Proposition 2.2.1.** *A conformal block is the same data as a collection of  $V^{\otimes n}$ -valued functions on  $(\Sigma^n)_\circ$  satisfying:*

- they satisfy the differential equations given by  $\mathcal{V}$ ,
- they are  $\mathfrak{S}_n$ -invariant,
- they satisfy the operator product expansion (3) as  $z_i \rightarrow z_j$ .

2.2.2. *Remark.* Often conformal blocks are presented after taking elements  $\alpha_1, \dots, \alpha_n \in V^*$ , and then using notation

$$\langle \alpha_1(z_1) \cdots \alpha_n(z_n) \rangle_\Phi := (\alpha_1 \otimes \cdots \otimes \alpha_n) \Phi|_{(\Sigma^n)_\circ}(z_1, \dots, z_n).$$

This is now a  $\mathbb{C}$ -valued function on  $(\Sigma^n)_\circ$  satisfying the same properties as above.

2.2.3. *Remark.* We have

$$\langle \alpha_1(z_1) \cdots (T\alpha_i)(z_i) \cdots \alpha_n(z_n) \rangle_\Phi = \partial_{z_i} \langle \alpha_1(z_1) \cdots \alpha_n(z_n) \rangle_\Phi,$$

and so it follows together with the structure of the  $z_i \rightarrow z_j$  limit that a conformal block is determined by its values for  $\{\alpha_i\}$  varying over (duals of) generating fields of  $V$ .

2.2.4. *Example.* For instance, when we take the Heisenberg vertex algebra a conformal block  $\Phi$  consists of functions over  $(\Sigma^n)_\circ$  denoted

$$\langle h^{(1)}(z_1) \cdots h^{(n)}(z_n) \rangle_\Phi \in \mathcal{O}((\Sigma^n)_\circ)$$

which as  $n$  vary are compatible according to the operator product expansion of the Heisenberg vertex algebra:

$$\langle h^{(1)}(z_1) \cdots h^{(n)}(z_n) \rangle_\Phi = \frac{1}{(z-w)^2} \langle h^{(1)}(z_1) \cdots \widehat{h^{(i)}(z_i)} \cdots \widehat{h^{(j)}(z_j)} \cdots h^{(n)}(z_n) \rangle_\Phi + \mathcal{O}(1).$$

as  $z_i \rightarrow z_j$ .

2.2.5. *Insertions.* Let us begin with the *wrong* definition of factorisation module  $\mathcal{M}$  over  $\mathcal{V}$ . If we ask

$$j^*(\mathcal{V} \otimes \mathcal{M}) \xrightarrow{\sim} (\cup j)^* \mathcal{M}$$

then if we are working with unital Ran spaces, we get  $\mathcal{V} \xrightarrow{\sim} \mathcal{M}$  by taking the restriction of the above map to

$$\begin{array}{ccc} & (\text{Ran } X \times \{\emptyset\})_\circ & \\ \swarrow \sim & & \searrow \sim \\ \text{Ran } X \times \{\emptyset\} & & \text{Ran } X \end{array}$$

Instead, let us pull back along  $f_x : \text{Ran}_x X \rightarrow \text{Ran } X$ , the prestack of finite subsets containing  $x \in X$ , and form

$$\begin{array}{ccccc}
 & & (\text{Ran } X \times \text{Ran}_x X)_\circ & & \\
 & \swarrow j_x & \downarrow & \searrow \cup_x j_x & \\
 \text{Ran } X \times \text{Ran}_x X & & (\text{Ran } X \times \text{Ran } X)_\circ & & \text{Ran}_x X \\
 \downarrow & \swarrow & \searrow & & \downarrow \\
 \text{Ran } X \times \text{Ran } X & & & & \text{Ran } X
 \end{array} \tag{4}$$

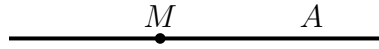
where the left square is a pullback.

**Definition 2.2.6.** A  $\mathcal{V}$ -module at  $x \in X$  is a factorisation  $\mathcal{V}$ -module  $\mathcal{M}$  on  $\text{Ran}_x X$ .

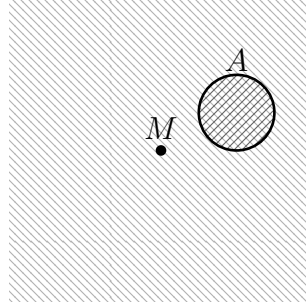
Said explicitly, it consists of a sheaf  $\mathcal{M}$  along with structure map

$$j_x^*(\mathcal{V} \boxtimes \mathcal{M}^x) \xrightarrow{\sim} (\cup_x j_x)^* \mathcal{M}^x$$

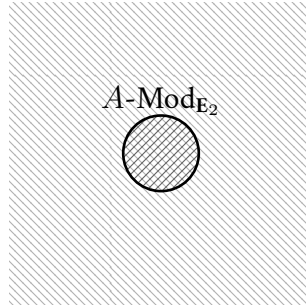
which is linear over  $\mathcal{V}$ . This should be viewed as the  $2d$  CFT analogue of an associative algebra and a bimodule over it, i.e. a module over the two-sided Swiss cheese operad:



or rather the codimension two version of this, of a braided commutative algebra along with an  $\mathbf{E}_2$ -module for it:



We will now talk about the analogue of the fact that  $A\text{-Mod}_{\mathbf{E}_2}$  is itself braided monoidal, i.e. factorises over  $\mathbf{R}^2$ :



Note that if  $\mathcal{M}_1, \dots, \mathcal{M}_n$  are modules at  $x \in X$  then there is no obvious way that  $\mathcal{M}_1 \otimes \dots \otimes \mathcal{M}_n$  is also a  $\mathcal{V}$ -module at  $x$ . Instead, the category  $\mathcal{V}\text{-Mod}_x$  of such will itself form a factorisation category over  $X$ .

**Definition 2.2.7.** For any subset  $S : T \rightarrow \text{Ran } X$ , the category  $\mathcal{V}\text{-Mod}(S)$  of **factorisation modules at  $S$**  is the category of  $\mathcal{M} \in \mathcal{D}\text{-Mod}(\text{Ran}_S X_T)$  along with structure map

$$j_S^*(\mathcal{V} \boxtimes \mathcal{M}) \xrightarrow{\sim} (\cup_S j_S)^* \mathcal{M} \quad (5)$$

linear over  $\mathcal{V}$ .

Here as before, we have correspondence of prestacks over  $T$ :

$$\begin{array}{ccc} & (\text{Ran } X_T \times \text{Ran}_S X_T)_\circ & \\ j_x \swarrow & & \searrow \cup_S j_S \\ \text{Ran } X_T \times \text{Ran}_S X_T & & \text{Ran}_S X_T \end{array} \quad (6)$$

The structure map (5) is in the category of D-modules on  $(\text{Ran } X_T \times \text{Ran}_S X_T)_\circ$ . If  $\mathcal{E}$  is any quasi-coherent sheaf on  $T$ , then extending (5) linearly gives  $\mathcal{M} \otimes \mathcal{E}$  the structure of a factorisation module at  $S$ . Thus, (check)

**Lemma 2.2.8.** *The above forms a sheaf of categories  $\mathcal{V}\text{-Mod}$  over  $\text{Ran } X$ , which factorises.*

*Proof.* To prove that  $\mathcal{V}\text{-Mod}$  factorises, we need to give an equivalence

$$\otimes_{\mathcal{V}} : j^*(\mathcal{V}\text{-Mod} \boxtimes \mathcal{V}\text{-Mod}) \xrightarrow{\sim} (\cup j)^*(\mathcal{V}\text{-Mod}). \quad (7)$$

Take two disjoint subsets  $S_1, S_2 : T \rightarrow \text{Ran } X$ . The fibre of the left hand side of (7) over these consists of:

- $\mathcal{M}_i \in \mathcal{D}\text{-Mod}(\text{Ran}_{S_i} X_T)$ ,
- structure maps  $\varphi_i : j_{S_i}^*(\mathcal{V} \boxtimes \mathcal{M}_i) \rightarrow (\cup_{S_i} j_{S_i})^* \mathcal{M}_i$ .

We use these to produce an object  $\mathcal{M}_1 \otimes_{\mathcal{V}} \mathcal{M}_2 \in \mathcal{D}\text{-Mod}(\text{Ran}_{S_1 \sqcup S_2} X_T)$  like so: take

$$\begin{array}{ccc} & (\text{Ran}_{S_1} X \times \text{Ran}_{S_2} X)_\circ & \\ j_{S_1, S_2} \swarrow & & \searrow \cup_{S_1, S_2} j_{S_1, S_2} \\ \text{Ran}_{S_1} X_T \times \text{Ran}_{S_2} X_T & & \text{Ran}_{S_1 \sqcup S_2} X_T \end{array} \quad (8)$$

All three spaces are bimodules over  $\text{Ran } X$ , and the maps in (8) are linear over  $\text{Ran } X$ . We thus define the product using a chiral product like structure

- $\mathcal{M}_1 \otimes_{\mathcal{V}} \mathcal{M}_2 = (\cup_{S_1, S_2} j_{S_1, S_2})_* j_{S_1, S_2}^*(\mathcal{M}_1 \boxtimes \mathcal{M}_2)$  as a D-module,
- we define the action map

$$\varphi : j_{S_1 \sqcup S_2}^*(\mathcal{V} \boxtimes (\mathcal{M}_1 \otimes_{\mathcal{V}} \mathcal{M}_2)) \rightarrow (\cup_{S_1 \sqcup S_2} j_{S_1 \sqcup S_2})^*(\mathcal{M}_1 \otimes_{\mathcal{V}} \mathcal{M}_2)$$

as below, mimicking Huang and Lepowsky's [HL].

To finish, we consider

$$\begin{array}{ccccc}
 & & (RX_T \times RX_T \times R_{S_1}X \times R_{S_2}X)_\circ & & \\
 & \swarrow & & \searrow & \\
 (RX_T \times RX_T)_\circ \times (R_{S_1}X \times R_{S_2}X)_\circ & & & & (RX_T \times R_{S_1 \sqcup S_2}X_T)_\circ \\
 \swarrow & & \searrow & \swarrow & \searrow \\
 (RX_T \times RX_T) \times (R_{S_1}X_T \times R_{S_2}X_T) & & RX_T \times R_{S_1 \sqcup S_2}X_T & & R_{S_1 \sqcup S_2}X_T
 \end{array} \tag{9}$$

We then crucially use the *inverse* of the (invertible) factorisation structure on  $\mathcal{V}$ :

(if we try to do the obvious thing, we get):

$$\begin{aligned}
 \mathcal{V}_w \otimes (\mathcal{M}_1 \otimes_{\mathcal{V}} \mathcal{M}_2)_{s_1, s_2, z} &= \bigoplus_{z=z_1 \sqcup z_2} \mathcal{V}_w \otimes \mathcal{M}_{1, s_1, z_1} \otimes \mathcal{M}_{2, s_2, z_2} \\
 &\rightarrow \bigoplus_{z=z_1 \sqcup z_2, w=w_1 \sqcup w_2} \mathcal{V}_{w_1} \otimes \mathcal{M}_{1, s_1, z_1} \otimes \mathcal{V}_{w_2} \otimes \mathcal{M}_{2, s_2, z_2} \\
 &\xrightarrow{\sim} \bigoplus_{z=z_1 \sqcup z_2, w=w_1 \sqcup w_2} \mathcal{M}_{1, s_1, z_1, w_1} \otimes \mathcal{M}_{2, s_2, z_2, w_2} \\
 &= (\mathcal{M}_1 \otimes_{\mathcal{V}} \mathcal{M}_2)_{s_1, s_2, z, w}
 \end{aligned}$$

where the equalities are by the definition of  $\mathcal{M}_1 \otimes_{\mathcal{V}} \mathcal{M}_2$ , and the middle isomorphism is the action of  $\mathcal{V}$  on  $\mathcal{M}_i$ . (However, note that crucially the second arrow is *not* an isomorphism. It is only an isomorphism on each factor,  $\mathcal{V}_w \xrightarrow{\sim} \mathcal{V}_{w_1} \otimes \mathcal{V}_{w_2}$ , not on the sum. We thus need to adjust  $\mathcal{M}_1 \otimes_{\mathcal{V}} \mathcal{M}_2$  slightly to make this an isomorphism; this should be what is done in [HL].)  $\square$

We draw what the above proof is doing: (draw it)

(this is how to study intertwining operators, the braided monoidal structure on  $V\text{-Mod}$ , the fusion product, etc.)

2.2.9. *Remark.* Recall that if  $V$  is a vertex operator algebra with modules  $M_1, M_2$ . Then [HL] constructs a map (power series stuff not quite right)

$$\tau(z) : V \otimes \mathbb{C}[w, w^{-1}, (z^{-1} - w)^{-1}] \rightarrow \text{End}((M_1 \otimes M_2)^*)$$

defined as in [HL, 13.2] by (approximately)

$$\tau(z)\delta((w-z)/u)Y(w) = \delta((w-u)/z)(Y(u)e^{wL_{-1}}w^{-2L_0} \otimes \text{id}) + \delta((z-w)/u)(\text{id} \otimes Y(w)).$$

Notice that we only use the first modes  $L_0, L_{-1}$  of the Virasoro. It involves:

- a translation by  $w$ :  $\exp(wL_{-1})$ ,
- a scaling by  $-2 \log w$ :  $w^{-2L_0}$ .

Then by Theorem [HL, Cor. 13.11]:



**Theorem 2.2.10.** *If there is a  $V$ -module  $M_1 \otimes_V M_2$  corepresenting intertwining operators, it takes the form of*

$$M_1 \otimes_V M_2 = (S)^* \leftarrow (M_1 \otimes_k M_2)^*$$

where  $S$  is the subspace of elements satisfying a dimension condition and  $\tau(z)\delta(z-w)Y(w) = \delta(z-w)\tau(z)Y(w)$ , see [HL, p.26]. Moreover, it exists if and only if  $\tau$  makes  $S$  into a  $V$ -module.

2.2.11. *Boundary KZ and other singularities.* The boundary KZ equation looks like

$$\partial_{z_i} + \sum_{i \neq j} \frac{\Omega_{ij}}{z_i - z_j} + \frac{k_i}{z_i}.$$

Moreover, we have other KZ equations, with poles at:

- $z_i = z_j$ , as usual,
- $z_i = z_j$  and  $z_i = 0$ , as above,
- $z_i = \pm z_j$ ,
- $z_i = \pm z_j$  and  $z_i = 0$ ,

which depends on a choice of root system. These should arise from factorisation algebras living over:

- $\text{Ran } \Sigma$ , as usual,
- $\text{Ran}(\Sigma \setminus 0)$ ,
- $\text{Ran}(\Sigma/(\mathbf{Z}/2))$ ,
- $\text{Ran}((\Sigma \setminus 0)/(\mathbf{Z}/2))$ .

**2.3.  $q$ -conformal blocks.** Whatever our definition of  $q$ -vertex algebra and  $V_q^k(\mathfrak{g})$  should recover the  $q$ KZ equations. In particular, we would like to have a  $\mathcal{V}_q \in \mathcal{D}_q\text{-Mod}(\text{Ran } \Sigma)$  such that  $\text{Conf}_q(\Sigma) = \Gamma(\text{Ran } \Sigma, \mathcal{V}_q)$  is a  $q$ -conformal block.

Let us consider the restriction

$$\Gamma(\text{Ran } \Sigma, \mathcal{V}_q) \rightarrow \Gamma((\Sigma^n)_\circ, \mathcal{V}_q) \quad \Phi \mapsto \Phi|_{(\Sigma^n)_\circ}.$$

Assume for now that  $\mathcal{V}_q$  is trivial as a vector bundle over  $(\Sigma^n)_\circ$ , so that we again get a function

$$\Phi|_{(\Sigma^n)_\circ} : (\Sigma^n)_\circ \rightarrow V^{\otimes n}$$

by the factorisation condition. Moreover,

- it is  $\mathfrak{S}_n$ -invariant,
- it satisfies a  $q$ -difference equation,

- it satisfies a  $q$ -operator product expansion as  $z_i \rightarrow q^n z_j$  for any  $n \in \mathbf{Z}$ ,

$$\Phi_{(\Sigma^n)_\circ} \rightarrow Y_{ij}^{q^n}(z_i - z_j) \cdot \Phi_{(\Sigma^{n-1})_\circ} \quad (10)$$

where  $Y_{ij}^{q^n}$  is (bla) and  $\Sigma^{n-1} \subseteq \Sigma^n$  is the  $q^n$ -diagonal  $z_i = q^n z_j$ .

Notice that in the above limit (10), only the  $(z_i - q^n z_j)$  poles contribute.

(do we consider  $\text{Ran}(X_{dR})$  or  $(\text{Ran } X)_{dR}$  in the  $q$ -case? the above assumes the former)

2.3.1. *Remark.* We expect to have the following story.

$$\begin{array}{ccc} & \mathcal{V}_q & \\ \text{Zhu} \swarrow & & \searrow q \rightarrow 1 \\ A_q & & \mathcal{V} \end{array}$$

(and an associated projection functor on their conformal blocks, assuming that  $A_q$  has them. The fusion coproduct on  $\mathcal{V}_q$ , if it exists, should be sent to a braided monoidal product on  $A_q$ .)

2.4. **Affine analogue.** It is natural to ask whether there is a Gaitsgory Lysenko factorisation story when replacing

$$u_q(\mathfrak{n}) \rightsquigarrow Y(\mathfrak{g}_Q) = Y_h(\mathfrak{n})?$$

To solve this question;

- we need to have a Riemann-Hilbert for difference equations, which we do; see [RSZ] or [KS],
- (partial evidence for this: BPS sheaf over  $\mathcal{X}$  or rather  $\text{Conf}_\Lambda(\mathbf{C})$  should be an analogue of  $u_q(\mathfrak{n})$  over  $\text{Conf}_\Lambda(\mathbf{C})$ )
- (the analogue of  $\text{Rep}_q T$  as a factorisation category  $\text{Sh}_{\mathfrak{g}}(\text{Conf}_\Lambda(\mathbf{C}))$  might be the limit  $\lim H^{\text{BM}}(\mathcal{M}(v, w))$ ?)
- (unclear how the qKZ relates to the stable envelope, Nakajima quiver variety etc story)

2.4.1. Ignoring elliptic, we have  $2^4$  choices,

- $a, z$  are differential or difference (or elliptic?),
- whether  $a, z$  lie on  $\mathbf{C}$  or  $\mathbf{C}^\times$

We can have additive or multiplicative difference equation. We can have additive and multiplicative differential equations.

Ignore  $a$  for now (set it to be (??)), so we have 4 choices. The value of  $V_i$  are then:

- $z$  differential equation on  $\mathbf{C}$ ,  $V_i \in \text{Rep } U(\mathfrak{g})$  or  $\text{Rep}^{ev} U(\mathfrak{g}[u])$ ,
- $z$  differential equation on  $\mathbf{C}^\times$ ,  $V_i \in \text{Rep } U(\mathfrak{g})$  or  $\text{Rep}^{ev} U(\mathfrak{g}[u^{\pm 1}])$ ,
- $z$  difference equation on  $\mathbf{C}$ ,  $V_i \in \text{Rep } U(\mathfrak{g})$  or  $\text{Rep}^{ev} Y_h(\mathfrak{g})$ ,
- $z$  difference equation on  $\mathbf{C}^\times$ ,  $V_i \in \text{Rep } U(\mathfrak{g})$  or  $\text{Rep}^{ev} U_q(\hat{\mathfrak{g}})$ ,

2.4.2. In the affine case, you can replace  $\mathfrak{g}$  with any Kac-Moody algebra. These KZ equations aren't well-studied.

2.4.3. We can also consider equivariant BM homology of  $X_Q$ , they satisfy differential equations (KZ equations) in the torus-equivariant parameters,  $a$ .

2.4.4. Note that for  $\zeta$  a positive stability condition, there is an action of  $\mathcal{M}$  on  $X_Q$  tautologically.

2.4.5. There is a completely different curve to the  $z, a$  curves; it's the quasimap curve, the curve over which you dimensionally reduce, the one where  $\hbar$  is an equivariant parameter on that curve. And this has to do with the asymptotic  $R$ -matrices.

2.4.6. The Drinfeld coproduct comes from some the dimensional reduction curve, the  $\mathbf{C}$  on

2.4.7. The KZ equations are the ward identity for the conformal transformations.

2.4.8. There are also notions of *twisted* and *coset* KZ equations.

2.4.9. Vanya's equation is a differential equation on  $\mathbf{C}^\times/(\mathbf{Z}/2)$  or  $\mathbf{C}/(\mathbf{Z}/2)$ ; this is not anywhere else in the literature. Call it DKZ. (Interesting question: what is the qKZ analogue of this?) The multiplicative DKZ equation look like

$$z_i \partial_{z_i} + \sum_{i \neq j} \frac{\Omega_{ij}}{1 - z_i/z_j}, + \sum_{i \neq j} \frac{\Omega_{ij}^{long}}{1 + z_i/z_j}$$

where  $\Omega \in S^2 \mathfrak{g}^{long}$  where  $\mathfrak{g}^{long} \subseteq \mathfrak{g}$  are the long root Lie subalgebra of a simple Lie algebra  $\mathfrak{g}$ . For instance,  $\mathfrak{sl}_2 \otimes \mathfrak{sl}_2 \subseteq \mathfrak{sp}_4$ .

If we want to understand orthosymplectic  $Y_h(\mathfrak{g})$ , we then would have to consider the *difference* DKZ equations.

2.4.10. Read Agaganic Frenkel about quantum  $q$ -Langlands, (to get less confused about where all these curves come from; bottom of page 16 or picture on p17)

2.4.11. The KZB equations is the name for KZ equations over  $E$ . They are probably

$$\xi_i + \sum_{i \neq j} \frac{\Omega_{ij}}{z_i -_E z_j}$$

where  $\xi_i$  is the generating vector field on  $E$ .

### 3. Other versions of KZ

3.1. **As a Gauss-Manin connection.** The Coulomb branch fibres over  $\mathbf{C}^n$ , as

$$\begin{array}{c} \mathrm{Spec} H_T^\bullet(\mathcal{M}_C) \\ \downarrow \pi \\ \mathrm{Spec} H^\bullet(BT) = \mathbf{C}^n \end{array}$$

where in examples  $T = T_v$  is the torus attached to the non-framing vectors of the Nakajima quiver variety  $\mathcal{M}_C = \mathcal{M}(v, w)$ . Then

**Proposition 3.1.1.** *The KZ equation on  $\mathbf{C}^{|v|}$  coincides with the Gauss-Manin connection on  $H_T^\bullet(\mathcal{M}(v, w))$ .*

In particular, taking the sum over all  $v$  gives the KZ equation on all of  $\mathrm{Ran} \mathbf{C}$ . Moreover, we may take the  $T_w$ -equivariant version of the above. Note that  $\pi$  is  $\mathfrak{S}_n$ -equivariant.

3.1.2. Note that this allows us to generalise the KZ equations in the following way: take the *quantum cohomology* of  $\mathcal{M}_C/T_v$ , and the associated Dubrovin connection on that. This is called the *quantum KZ equation*. See e.g. [Ag].

3.1.3. We can likewise consider the multiplicative and (conjectural) elliptic Coulomb branches to get other KZ equations over  $\mathrm{Spec} K^\bullet(BT)$  and  $E^\bullet(BT)$  respectively.

3.2. **Higher terms.** Whereas the KZ equations have to do with Lie algebra invariants, the higher terms of the KZ equation should correspond to higher Lie algebra cohomology, see [SV].

3.3. **The  $a, z$  variables.** In general, we expect a pair of differential or difference equations on

$$(\Sigma_a)_\circ^n \times (\Sigma'_z)_\circ^m$$

where  $\Sigma, \Sigma' \in \{\mathbf{C}, \mathbf{C}^\times, E\}$ . This is attached to a finite ADE quiver, i.e. is attached to the associated CY2 category; this gives the KZ equations.

The equation in the  $z$  variables will not contain any  $a$  terms, but the equation in the  $a$  variables will contain  $z$  terms. (see [Kononov's thesis](#), or the [Aganagic-Frenkel-Okounkov paper](#))

(how does this story relate to the story of KZ equations as coming from vertex algebras?)

3.3.1. In general, for  $X$  a local CY2 surface, we expect a pair of differential or difference equations on

$$(\Sigma_a)_\circ^n \times (\mathrm{Pic}(X) \otimes_{\mathbf{Z}} \mathbf{C}^\times)_\circ.$$

where  $(\mathrm{Pic}(X) \otimes_{\mathbf{Z}} \mathbf{C}^\times)_\circ$  is the subspace of  $e^{\omega+i\beta}$  where  $\omega, \beta \in \mathrm{Pic}(X)$  have  $\omega$  ample and  $\beta$  arbitrary. Here,  $\Sigma_a = \mathfrak{a} \otimes_{\mathbf{Z}} \mathbf{C}^\times$  is given by the Lie algebra  $\mathfrak{a}$  of the *framing torus* of “symmetries of the moduli problem preserving the holomorphic symplectic form on  $X$ ”, e.g. if  $\mathcal{M}$  is the moduli stack of instantons,  $A$  scales the framing at infinity. n.b. when this is in fact  $\mathrm{GL}_n$ , this is why we get  $\mathrm{Ran}$  spacey behaviour.

For instance, when we consider framed representations  $\mathcal{M}^{fr}(w)$  of a quiver with framing vector  $w \in \mathbf{N}^{Q_0}$ , we have  $A = \prod A_i \simeq \prod \mathbf{G}_m^{w_i}$ . Note that

$$\mathcal{M}^{fr}(w) = (\text{vector space}) / \prod \text{GL}_{w_i}$$

and  $G = \prod \text{GL}_{w_i}$  acts on this, and its good moduli space  $\mathcal{X}^{fr}(w)$ . The singularities of the KZ equations on  $\mathfrak{a} \subseteq \mathfrak{g}$  will lie along the locus where  $\mathfrak{a}$  has higher than usual dimensional fixed point locus when acting on  $\mathcal{X}^{fr}(w)$ .

Note that viewing  $\Sigma_{\mathfrak{a}}^n = \mathfrak{a}$ , the singularities of the KZ equations will lie along the root hyperplanes of the full framing group  $\mathfrak{g}$ . For instance, for  $\mathfrak{sp}_{2n}$  (type  $C$ ) these are  $a_i = \pm a_j$  and  $a_i = 0$ , for  $\mathfrak{so}_{2n}$  (type  $D$ ) we have  $a_i = \pm a_j$  for  $i \neq j$ , and for  $\mathfrak{so}_{2n+1}$  (type  $B$ ) they are  $a_i = \pm a_j$  and  $a_i = 0$ .<sup>7</sup>

**3.3.2. Remark.** We have that  $\pi_1((\mathbf{C}^\times)_\circ)$  is the *affine* braid group, so we get an affine braid group action on  $V_1 \otimes \cdots \otimes V_n$ . See [EG, Lem. 5.5], where the monodromy around  $\mathbf{C}^\times$  is given in terms of  $q = e^h$ .

Likewise,  $\pi_1((E^n)_\circ)$  is the elliptic braid group, see [Jo].

**3.4.** Passing to a quantisation of the KZ equation corresponds to Etingof-Kazhdan quantising  $r(z) \rightsquigarrow R(z)$ .

**3.5. Other KZ equations.** The multiplicative KZ equation are the differential operators

$$(k - k_{crit})z_i \partial_{z_i} + \sum_{i \neq j} r(z_i/z_j) + \pi_i(\lambda) \quad (11)$$

see [FR, p5], where  $\lambda$  is a weight of  $\mathfrak{g}$  and  $\pi_i(\lambda)$  denotes action of this weight on the  $i$ th representation. Likewise for the elliptic KZ equation,

$$(k - k_{crit})\xi_i + \sum_{i \neq j} r(z_i -_E z_j) + (\text{corrections?}) \quad (12)$$

where  $\xi_i$  is the generating vector field on elliptic curve  $E$ .

The multiplicative qKZ equations (attached to  $V_i \in \text{Rep } U_q(\mathfrak{g})$ , [GT2, §8.9]) are the *difference* operators

$$q_i^{-2(k-k_{crit})} + \prod_{i>j} R_{ij}(q^{2(k-k_{crit})} z_i/z_j) \cdot (\bar{R}_{i0} \pi_i(q^{2\rho}) \bar{R}_{iN}^{-1}) \cdot \prod_{i<j} R_{ij}(z_i/z_j)$$

as in [FR, 1.12] and [FR, p33], where  $q_i : (z_1, \dots, z_n) \mapsto (z_1, \dots, qz_i, \dots, z_n)$ , and both products are taken over  $j$  decreasing. Here  $\bar{R}_{ij}$  are the  $R$ -matrices for  $U_q(\mathfrak{g})$ ,  $\rho$  is the sum of the positive roots

<sup>7</sup>The root hyperplanes are (from Fulton and Harris):

- $D/\mathfrak{so}_{2n}$  are  $\pm a_i \pm a_j$  for  $i \neq j$ ,
- $B/\mathfrak{so}_{2n+1}$  are  $a_i \pm a_j$  for all  $i \neq j$  and  $a_i = 0$ ,
- $C/\mathfrak{sp}_{2n}$  are  $\pm a_i \pm a_j$  for  $i \neq j$  and  $2a_i = 0$ .

in  $\mathfrak{g}$  and  $\pi_i$  is the action of  $\mathfrak{g}$  on the  $i$ th factor. (Presumably) the additive qKZ equation (attached to  $V_i \in \text{Rep } Y_h(\mathfrak{g})$ , [GT2, §2.11]) is of the form

$$q_i^{-2(k-k_{crit})} + \prod_{i>j} R_{ij}(q^{2(k-k_{crit})} z_i - z_j) \cdot (\bar{R}_{i0} \pi_i(q^{2\rho}) \bar{R}_{iN}^{-1}) \cdot \prod_{i<j} R_{ij}(z_i - z_j).$$

The elliptic analogue of the qKZ equation by [FTV, §2], are differential operators valued on the vector bundle with value  $\text{Fun}_{mer}(\mathbf{A}_\lambda^1, V_1 \otimes \cdots \otimes V_n)$  (is that right? why no periodicity in  $\lambda$ ? What is the actual data the elliptic qKZ is attached to?) given by

$$p_i + \prod_{i>j} R_{ij}(z_i - z_j + p, \lambda - 2\hbar \sum_{r=1, r \neq i}^{j-1} h^{(r)}) \cdot \Gamma_i \cdot \prod_{i<j} R_{ij}(z_i - z_j)$$

where  $p_i : (z_1, \dots, z_n) \mapsto (z_1, \dots, z_i + Ep, \dots, z_n)$ ,  $h^{(i)}$  is a basis of the Cartan,  $\Gamma_i$  translates  $\lambda \mapsto \lambda - 2\hbar\mu$  if  $\mu$  is the eigenvalue of  $h^{(i)}$ . (finish this definition)

The R matrices  $R_{ij}(z, \lambda)$  depend on two complex numbers  $(z, \lambda)$ , unlike the additive or multiplicative case (compare [TV]).

3.5.1. Compare the multiplicative qKZ equations to [GT2, §8.9],

$$\bar{\mathcal{R}}_{V_1, V_2}(q^{2\ell}\zeta) = \mathcal{A}_{V_1, V_2}(\zeta) \bar{\mathcal{R}}_{V_1, V_2}(\zeta).$$

Here  $\mathcal{A}_{V_1, V_2}(\zeta)$  is the monodromy of the difference equation.

**3.6. Affinised analogue.** We can do the above for an arbitrary quiver  $Q$ , or replace  $\mathfrak{g}$  with an arbitrary Kac-Moody Lie algebra in the above. We should have which are valued on tensor products  $V_1(a_1) \otimes \cdots \otimes V_n(a_n)$  of evaluation representations of  $Y_h(\mathfrak{g}_Q)$ ,  $U_q(\mathfrak{g}_Q)$  or  $\mathcal{E}_{\hbar, \tau}(\mathfrak{g}_Q)$ .

3.6.1. There is also a *boundary KZ equation*  $\partial\text{KZ}$ , which looks like

$$\partial_{z_i} + \sum_{i \neq j} \frac{\Omega_{ij}}{z_i - z_j} + \frac{k_i}{z_i}$$

where  $k \in \mathfrak{g}$  is a classical  $K$ -matrix.

#### 4. Physics explanation

4.1. Recall that Nakajima quiver varieties are Higgs branches,  $X = \text{Spec } \mathcal{Z}(S^2)$ , of three dimensional theories. Recall from [BFN] that the theory are  $3d \mathcal{N} = 4$  quiver gauge theories, attached to a quiver  $Q$  and  $v, w$  dimension and framing vectors, with Higgs and Coulomb branches

$$\mathcal{M}_H = X_Q(v, w), \quad \mathcal{M}_C = \text{Spec } H_{G(\mathfrak{g}), \bullet}^{\text{BM}}(\mathcal{R}).$$

These both have quantisations (does  $X_Q(v, w)$ ?).

4.1.1. *Relation to Chern-Simons.* Consider Chern Simons on  $\Sigma \times \mathbf{R}_{\geq 0}$  with line operators  $V_i \in \text{Rep } U_h(\mathfrak{g})$  living on  $\{z_i\} \times \mathbf{R}_{\geq 0}$ . Its value is

$$\text{LocSys}_G^{(V_i, z_i)} \Sigma$$

where we consider local systems on  $\Sigma \setminus \{z_i\}$  valued in  $V_1 \otimes \cdots \otimes V_n$  whose monodromy around  $z_i$  is given by the action of the representation  $V_i$ . There is a quantisation of this

$$\mathcal{O}(\text{LocSys}_G^{(V_i, z_i)} \Sigma) \rightsquigarrow \mathcal{O}_h(\text{LocSys}_G^{(V_i, z_i)} \Sigma) = C^0((V_i, z_i)),$$

is the space of conformal blocks. Note that varying  $z_i$  makes  $\text{LocSys}_G^{(V_i, z_i)} \Sigma$  into a family of spaces. This gives the structure of a vector bundle with connection on conformal blocks,

$$C^0((V_i, -)) \rightarrow (\mathbf{C}^n)_\circ.$$

4.1.2. (what is the analogue of this for an arbitrary CY2 surface as in the previous section?)

4.1.3. Recall that an example of a quiver gauge theory is (a circle reduction of)  $4d$  super Yang-Mills theory.

#### 4.2. Questions.

- (1)  $Y(\mathfrak{g}_Q)$  (or its double) is Koszul dual to local operators in what theory (of what dimension)? What does doubling correspond to physically? (Sam's not sure; see Costello and Yagi "unification of integrability"-chapter 6 or something)
- (2)  $X_Q$  is the Higgs branch of which theory? ( $3d \mathcal{N} = 4$  dimensionally reduced  $4d \mathcal{N} = 2$  quiver gauge theory)
- (3) Why do we expect asymptotic Higgs branches to have a factorisation structure? (it's probably some  $5d$  Chern-Simons  $W_{1+\infty}$  or  $5d$  SYM thing)
- (4) What is the relation between this Coulomb branch stuff and  $4d$  Chern Simons (i.e. Yangians)?
- (5) Is the trichotomy in  $a$  and  $z$  orthogonal to the issue of taking double loops? i.e. is the quiver fixed as we vary  $a, z$ ? If so, what is different when we take double loops, e.g. affine ADE?
- (6) Is Kazhdan Lusztig to KZ what double affine Kazhdan Lusztig is to qKZ?

- (7) (see Stable envelopes CoHA section) (is there a sense in which  $\Omega_q$  is over  $\text{Conf}_\Lambda(\mathbf{C})$  in the finite ADE case, but there is something over  $\text{Conf}_\Lambda(\mathbf{C} \times \mathbf{R})$  in the affine case?) (is this to do with the rational sections stuff in YZ's elliptic quantum groups?)
  - (8) In the tri  $\times$  trichotomy, what is the fibre of the vector bundle? I assume something like  $\text{Maps}(G, V_1 \otimes \cdots \otimes V_n)$  (evaluation reps) for  $V_i$  representations of  $Y_h(\mathfrak{g})$ ,  $U_q(\hat{\mathfrak{g}})$ ,  $\mathcal{E}_{h,\tau}(\mathfrak{g})$ , but if so, why are conformal blocks expected to be this?
  - (9) Continue: KZ, qKZ, ?
  - (10) What do differential equations, difference equations and elliptic difference equations have to do with  $\mathbf{G}_a$ ,  $\mathbf{G}_m$ ,  $E$ ?
  - (11) In just the KZ case, we get a braided monoidal structure  $\text{Rep } U_h(\mathfrak{g})$  when the base is  $\mathbf{C}$ . What structure do we get when the base is  $\mathbf{G}_m$  or  $E$ ? Is the factorisable category on  $\text{Conf}_\Lambda(\mathbf{G}_m)$  and  $\text{Conf}_\Lambda(E)$  still  $\text{Rep } U_h(\mathfrak{g})$ ? Or it is  $\text{Rep } U_q(\mathfrak{g})$ ? Or is the fibre  $\text{Rep } U_h(\mathfrak{g})$ , but the global sections are  $\text{Rep } U_q(\mathfrak{g})$ ? (c.f. Vanya's work about monodromy around  $\mathbf{C}^\times$  and the trigonometric (i.e.  $\mathbf{C}^\times$ ) KZ equation)
- 4.2.1. There is a pair of commuting differential equations, one in the  $a$ -variables, one in the  $z$ -variables.



## 5. Kazhdan-Lusztig equivalence

**5.1. Relation to conformal blocks.** Let  $V_{\lambda_i, k}$  be representations of  $V^k(\mathfrak{g})$  induced by highest weights  $\lambda_i$  of  $\mathfrak{g}$ . Then we can by [FBZ, 13.3.5] define a vector bundle of conformal blocks

$$C^0(\mathbf{P}^1, \infty, z_1, \dots, z_n)$$

over  $(\mathbf{C}^n)_o$ , with fibres  $V_{\lambda_1} \otimes \dots \otimes V_{\lambda_n}$  the tensor product of finite dimensional representations of  $\mathfrak{g}$ . It is a subbundle

$$C^0(\mathbf{P}^1, \infty, z_1, \dots, z_n) \subseteq (\boxtimes \mathcal{V}_{\lambda_i, k})^*$$

where  $\mathcal{V}_{\lambda_i, k}$  is the vector bundle attached to the  $V(\mathfrak{g})$ -module

**Proposition 5.1.1.** [FBZ, Lem. 13.3.7] *The differential operator  $\partial_{z_i} + T_i$  on  $(\boxtimes \mathcal{V}_{\lambda_i, k})^*$  preserves the conformal blocks.*

It is (expected?) that there are differential operators for all VOAs and modules. For instance the Virasoro and the BPZ equations.

**5.1.2. Remark.** Conformal blocks (three points at  $0, z, \infty$ ) are called *intertwining operators*.

**5.1.3. Other vertex algebras.** According to Sujay, if  $V_i$  is an arbitrary representation of a VOA  $V$ , then if

$$V_i = \text{Ind}_{\text{Zhu } V}^V W_i$$

is induced from the Zhu algebra of  $V_i$ , then  $C^0(\mathbf{P}^1, \infty, z_1, \dots, z_n, V_i)$  is a subquotient of  $\otimes W_i$ , or something similar. In particular, we get braiding data on these subquotients.

**5.2. Gautam and Toledano Laredo's [GT].** We have an inclusion (of meromorphic tensor categories [GT2])

$$\text{Rep}^{fd} Y_{\hbar}(\mathfrak{g}) \rightarrow \text{Rep}^{fd} U_q(\hat{\mathfrak{g}})$$

over Vect, whose definition involves choosing a branch of  $\log(z)$ . It exponentiates the roots of the Drinfeld polynomials  $P_i(u)$  of representations, which are defined for  $Y_{\hbar}(\mathfrak{g})$  by

$$\xi_i(u)v = \frac{P_i(u + d_i \hbar)}{P_i(u)}v$$

where  $v$  is a generating vector,  $\xi_i(u) = 1 + \hbar \sum_{r \geq 0} \xi_{i,r} u^{-r-1}$  and if  $\mathfrak{h}$  acts as  $\lambda$ , then  $d_i v = \xi_{i,0}/\lambda(\alpha_i^\vee)v$ . For  $U_q(\hat{\mathfrak{g}})$  they have a similar definition.

This is in some sense a pullback along

$$\log : \mathbf{C} \setminus \ell \rightarrow \mathbf{C}^\times$$

a section of  $\exp : \mathbf{C} \rightarrow \mathbf{C}^\times$ . The meromorphic tensor structures are given by the Drinfeld coproducts on  $Y_{\hbar}(\mathfrak{g})$  and  $U_q(\hat{\mathfrak{g}})$ , see [GT2, §2].

**5.3. Relation to Chern-Simons.** Recall the physics story of Chern-Simons theory: given a Riemannian three-manifold  $M$  with boundary and  $P = G \times M \rightarrow M$  the trivial  $G$ -bundle, we take the sheaf

$$\text{Conn}'(P) \rightarrow M$$

of smooth  $\mathfrak{g}$ -connections

$$\nabla : \mathcal{T}_M \rightarrow \text{End}(\text{ad } P),$$

such that for a normal vector  $\xi$  along  $\partial M \subseteq M$ , we have that the *boundary condition* that  $\nabla(\xi) = 0$  as an element of  $\text{End}(\text{ad } P)|_{\partial M}$  (moreover, we need to ask that it vanishes to which order?); see the discussion around [Wi, Eqn. 3.1].

One can define a function on  $\text{Conn}'(P)$  by

$$\nabla \mapsto \int_M \text{Tr} \left( A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right)$$

which physics-defines a 3d QFT, which Witten physics-showed does not depend on the metric (i.e. is topological) if  $\partial M = \emptyset$ , and is independent under rescaling of the metric (i.e. is conformal) in a neighbourhood of  $\partial M$ . Note that  $\partial M$  has measure zero, so we do not need to worry about whether the integrand is well-defined on the boundary.

**5.3.1. Classical version.** There is a classical version of this, instead taking the sheaf of sections of  $P$  itself:

$$P \rightarrow M.$$

Given a section  $\gamma : U \rightarrow P$ , we can take the differential 2- and 3-forms  $\alpha_2, \alpha_3 \in \Omega^\bullet(G)$ , and define the function

$$\gamma \mapsto \int_U \gamma^* \alpha_3 + 3k \int_{\partial U} \gamma^* \alpha_2.$$

Note that  $\alpha_2$  is given by the Killing form  $\kappa$ , and  $\alpha_3$  is given by  $\kappa(-, [-, -])$ .

Note that a function  $\gamma : U \rightarrow G$  induces a map  $\mathcal{T}_U \rightarrow \mathcal{T}_G \twoheadrightarrow \mathfrak{g}$ . (is this how we get the connection above?)

**5.3.2. Line defects.** To add in line operators, mathematically one considers instead *parabolic*  $G$ -bundles, i.e. those equipped with a flag plus weights. Given any complex structure on  $\partial M$ , one can geometrically quantise this moduli stack using the level line bundle  $\mathcal{L}$ , giving

$$\text{Bun}_G^{\text{Par}}(\mathcal{E}_{\partial M}) \rightsquigarrow V_{\partial M, G} \rightarrow \mathcal{M}_{\partial M, n}$$

a vector bundle over the moduli stack of complex structures on  $\partial M$ . Here  $\mathcal{E}$  is the universal curve over  $\mathcal{M}_{\partial M, n}$ .

One can show that this is the bundle of conformal blocks for  $V(\mathfrak{g})$ ,<sup>8</sup> and has a KZ connection  $\nabla_{\text{KZ}}$ .

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<sup>8</sup>This is called the *Pauly isomorphism*.

One can *also* construct a so-called “Hitchin connection”  $\nabla_{\text{Hitch}}$ , which *projectively* flat, is different from the KZ connection (but is projectively equivalent to KZ).

One can show that the vector space *Chern-Simons theory* attaches to a surface is

$$\partial M \quad \rightsquigarrow \quad \Gamma_{\nabla_{\text{Hitch}}\text{-flat}}(\mathcal{M}_{\partial M}, V_{\partial M, G}) \stackrel{?}{=} \Gamma_{\nabla_{\text{KZ}}\text{-flat}}(\mathcal{M}_{\partial M}, V_{\partial M, G}) \stackrel{?}{=} \text{Conf}(V(\mathfrak{g}), \partial M_{\sigma})$$

where  $\sigma$  is here a complex structure.

## 6. Differential and difference equations

Let  $\mathcal{G}$  be a group or formal group scheme which acts on  $X$ . For instance, we could consider

$$\mathcal{G} = \exp(\mathcal{T}_X)$$

the exponential of the sheaf of Lie algebras over  $X$  given by the tangent bundle, or any subgroup generated by some vector fields. We have an action (is that right?)

$$\mathcal{G} \times_X X \rightarrow X.$$

The *de Rham stack* of this action is the quotient stack  $X_{dR, \mathcal{G}} = X/\mathcal{G}$ . For instance,

**Lemma 6.0.1.** *When  $\mathcal{G} = \exp(\mathcal{T}_X)$ , we recover the usual notion of the de Rham stack  $X_{dR, \mathcal{G}} = X_{X^2}^\wedge$ , usually denoted just  $X_{dR}$ .*

*Proof.* (write) □

6.0.2. *Motivation.* We should view the de Rham stack as being the pushout

$$\begin{array}{ccc} \mathcal{G} \times_B X & \longrightarrow & X \\ \downarrow & & \downarrow \\ X & \longrightarrow & X/\mathcal{G} \end{array} \quad \begin{array}{ccc} \{(x, g \cdot x)\} & \longmapsto & g \cdot x \\ \downarrow & & \downarrow \\ x & & x \end{array}$$

For instance, let  $v \in \Gamma(X, \mathcal{T}_X)$  be a nonvanishing vector field and  $\mathcal{G}$  be the formal group over  $X$  it generates. Assume the flow of  $v$  is complete, so it exponentiates to an action of  $\mathbf{G}_a$ . Then we can consider

$$\begin{array}{ccc} X \times \mathbf{A}^1 & \longrightarrow & X \\ \downarrow & & \downarrow \\ X & \longrightarrow & X_{dR, v} \end{array} \quad \begin{array}{ccc} \{(x, e^{tv} \cdot x)\} & \longmapsto & e^{tv} \cdot x \\ \downarrow & & \downarrow \\ x & & x \end{array}$$

Likewise, if all vector fields' flows are complete, we have that  $\mathcal{G} \times X = X^2$  (check this) and so we get (probably wrong)

$$\begin{array}{ccc} X \times X & \longrightarrow & X \\ \downarrow & & \downarrow \\ X & & x \end{array} \quad \begin{array}{ccc} \{(x, e^{tv} \cdot x)\} & \longmapsto & e^{tv} \cdot x \\ \downarrow & & \downarrow \\ x & & x \end{array}$$

Taking the completion gives back  $X_{dR}$ .

6.1. We thus make the definition that a  **$\mathcal{G}$ -differential equation** is a quasicoherent sheaf  $M \in \mathrm{QCoh}(X_{dR, \mathcal{G}})$ . Explicitly this consists of *parallel transport* isomorphisms

$$\varphi_{g, x} : M_x \xrightarrow{\sim} M_{g \cdot x}$$

for every pair of points  $g \in \mathcal{G}$  and  $x \in X$ .

The *solutions* to a  $\mathcal{G}$ -differential equation are its image under the pushforward

$$\mathrm{QCoh}(X/\mathcal{G}) \rightarrow \mathrm{QCoh}(\mathrm{pt}) \simeq \mathrm{Vect},$$

which generalises the notion of flat sections (or de Rham cohomology) of a vector bundle with connection.

6.1.1. *Example.* For the ordinary de Rham space this is equivalent to a  $\mathcal{D}$ -module structure.

For instance, if the vector bundle  $\mathcal{V}_X = V \otimes \mathcal{O}_X$  is trivial then we get an isomorphism

$$\varphi : \mathrm{act}^* \mathcal{V} \xrightarrow{\sim} \pi_2^* \mathcal{V}$$

where  $\mathrm{act}, \pi_2 : \mathcal{G} \times_X X \rightrightarrows X$ . In other words, this gives an automorphism of  $\mathcal{V}_{\mathcal{G} \times X}$ , with the condition that it pull back along  $X$  to the trivial automorphism of  $\mathcal{V}_X$ , plus the cocycle condition. On global sections for  $X = \mathbf{A}^1$ , this gives (check)

$$\Gamma(X, \mathcal{V}_X) \otimes \mathbf{C}[[t]] \xrightarrow{\sim} \Gamma(X, \mathcal{V}_X) \otimes \mathbf{C}[[t]].$$

The conditions imply that

**Lemma 6.1.2.** *This map is of the form  $e^{\partial \otimes t}$ , where  $\partial$  is an  $\mathrm{End}(V)$ -valued derivation on  $\Gamma(X, \mathcal{O}_X)$ .*

*Proof.* (write) □

In other words, we get a derivation  $\partial$ . (how do we get higher order ODEs?) The flat sections consist of functions  $f(x)$  with

$$e^{t \otimes \partial} f(x) = f(x) + t \partial f(x) + \cdots = f(x)$$

which is equivalent to  $\partial f(x) = 0$ .

6.1.3. *Example.* We can consider  $\mathcal{G} = \mathbf{Z}$  acting on  $X$  generated by automorphism  $q$ , in which case a  $\mathcal{G}$ -differential equation is just a quasicoherent sheaf  $M$  along with compatible automorphisms

$$q^* M \simeq M.$$

Examples of this are when  $X$  is itself a group and the automorphism is action by a point  $q \in X$ .

For instance, if  $\mathcal{V} = V \otimes \mathcal{O}_X$  is the trivial vector bundle, then the sections consist of functions consist of functions  $f(x)$  with

$$q \cdot f(x) = f(qx) = f(x).$$

6.1.4. *Example.* We can construct “mixed” examples as follows. Say a two dimensional torus  $T \simeq \mathbf{G}_m \times \mathbf{G}_m$  acts on  $X$ , and  $v = (1, 0) \in \mathfrak{t}$  and  $q = (1, t) \in T$ . Then we can take

$$\mathcal{G} = \exp(\mathcal{O} \cdot v) \times \mathbf{Z} \cdot q.$$

Loosely speaking, a  $\mathcal{G}$ -differential equation is a connection along the flowlines of action of the first  $\mathbf{G}_m$ , and a difference equation along the second.

6.1.5. *Example.* For instance, we may take  $X = \mathbf{C}$  and  $v = \partial_z$ , then renaming  $t = \hbar$  the  $\mathcal{G}$ -differential equation becomes

$$e^{\hbar \partial_z} f(x) = f(x).$$

Note that we have  $e^{\hbar \partial_z} f(x) = f(x + \hbar z)$  by Taylor's Theorem, which under the exponential map  $\exp : \mathbf{C} \rightarrow \mathbf{C}^\times$  corresponds to multiplication by  $q = e^{\hbar z}$ ,

$$\begin{array}{ccc} \mathcal{O}(\mathbf{C}) & \xrightarrow{+\hbar z} & \mathcal{O}(\mathbf{C}) \\ \exp^* \uparrow & & \exp^* \uparrow \\ \mathcal{O}(\mathbf{C}^\times) & \xrightarrow{q} & \mathcal{O}(\mathbf{C}^\times) \end{array} \quad \begin{array}{ccc} f(x) & \longmapsto & f(x + \hbar z) \\ f(x) & \longmapsto & f(qx) \end{array}$$

where  $X = \mathbf{C}^\times$  and  $\mathcal{G} = \mathbf{Z}$ . (write in a more canonical way)

6.1.6. *Example.* An action of a group  $G$  on  $X$  gives a map of groups in  $\text{PreStk}$

$$G \simeq \text{Maps}(\text{pt}, G) \xrightarrow{\text{id}} \text{Maps}(\text{pt}, G) \times \text{Maps}(X, X) \rightarrow \text{Maps}(X, G \times X) \xrightarrow{\text{act}} \text{Maps}(X, X).$$

Taking the associated map on Lie algebras (i.e. applying  $\text{Maps}_*(\text{Spec } k[\epsilon]/\epsilon^2, -)$ , where we take pointed maps) gives

$$\mathfrak{g} \rightarrow \Gamma(X, \mathcal{T}_X).$$

In particular, we get  $\exp(\mathfrak{g})_X \rightarrow \exp(\mathcal{T}_X)$

6.1.7. Note that  $\text{Lie Aut}(X) = \Gamma(X, \mathcal{T}_X)$ , thus we can consider

$$X / \exp(\mathcal{T}_X) \rightsquigarrow X / \text{Aut}(X).$$

Or likewise,

$$X / \exp(\mathcal{O}_X \cdot v) \rightsquigarrow X / e^{\mathbf{C} \cdot v}$$

or take a subgroup  $q^{\mathbf{Z}} \subseteq e^{\mathbf{C} \cdot v}$ .

6.2. **Elliptic differential equations.** Take the universal curve

$$\pi : \mathcal{E} \rightarrow \mathcal{M}_{1,1}$$

and consider both:

- an automorphism  $+p$  on  $\mathcal{E}_\tau$  given by adding a point, (we need to specify a point, i.e. work with  $\mathcal{M}_{1,2}$ , or quotient by all of  $\text{Aut}(\mathcal{E}_\tau)$ ),
- vector fields on the base,  $\mathcal{T}_{\mathcal{M}_{1,1}}$ .

In particular, we have that

$$\mathcal{E}_{dR} = \mathcal{E} / (p^{\mathbf{Z}} \times \exp(\pi^* \mathcal{T}_{\mathcal{M}_{1,1}}))$$

and so  $M \in \text{QCoh}(\mathcal{E}_{dR})$  corresponds to a quasicoherent sheaf with an action of differential operators on the base and an automorphism of the fibre. In an important example of  $M$  in [FTV2], these are called the *heat equation* and the *qKZB equation*, respectively.

6.2.1. If one considers

$$\bar{\pi} : \bar{\mathcal{E}} \rightarrow \bar{\mathcal{M}}_{1,1}$$

then we can consider:

- the automorphism  $+p$  extends to  $\bar{\mathcal{E}}$ , and above  $\mathcal{E}_\infty$  it becomes multiplication by  $q = f(p)$  (which function?)
- an action of differential operators on  $\bar{\mathcal{M}}_{1,1}$ , which is still smooth.

One can thus define as before

$$\bar{\mathcal{E}}_{dR} = \bar{\mathcal{E}}/(\bar{p}^Z \times \exp(\bar{\pi}^* \mathcal{T}_{\bar{\mathcal{M}}_{1,1}})).$$

Note that (check)

$$\bar{\mathcal{E}}_{dR,\infty} = E_\infty/q^Z$$

which contains  $\mathbf{C}^\times/q^Z$  as an open subset, and its normalisation is  $\mathbf{P}^1/q^Z$ ,

$$\mathbf{C}^\times/q^Z \xrightarrow{j} E_\infty/q^Z \leftarrow \mathbf{P}^1/q^Z.$$

In particular, an element  $M \in \mathrm{QCoh}(E_\infty/q^Z)$  is equivalent to  $M \in \mathrm{QCoh}(\mathbf{P}^1/q^Z)$  with a  $q^Z$ -equivariant identification of  $M_0 \simeq M_\infty$ , which is (check!) equivalent to an element  $M \in \mathrm{QCoh}(\mathbf{A}^1/q^Z)$  with (what other data?).

6.2.2. One should probably actually consider

$$\bar{\mathcal{E}}_{dR} = \bar{\mathcal{E}}/(\bar{\mathcal{E}} \times \exp(\pi^* \mathcal{T}_{\bar{\mathcal{M}}_{1,1}}))$$

where  $\mathcal{E}$  via the group law on the universal elliptic curve. In particular, this allows us to *both*:

- pass to the formal completion of the identity in  $\mathcal{E}$ , and
- pass to the boundary of  $\mathcal{M}_{1,1}$ .

These give group maps

$$\exp(\mathcal{T}_{\bar{\mathcal{E}}}) \simeq \bar{\mathcal{E}} \times \exp(\pi^* \mathcal{T}_{\bar{\mathcal{M}}_{1,1}}) \rightarrow \bar{\mathcal{E}} \times \exp(\pi^* \mathcal{T}_{\bar{\mathcal{M}}_{1,1}}) \leftarrow (\bar{\mathcal{E}} \times \exp(\pi^* \mathcal{T}_{\bar{\mathcal{M}}_{1,1}}))_\infty$$

interpolating between D-modules, elliptic differential modules, and difference modules.

6.3. **Riemann-Hilbert.** We have defined parallel transport, by definition.

This should be related to ongoing work by Kontsevich and Soibelman [KS].

6.3.1. For  $q$ -difference modules, Riemann Hilbert was developed in [RSZ]

## 7. Quantum vertex algebras

We expect that we have

$$\begin{array}{ccc} \mathcal{D}\text{-Mod}(\mathbf{C}) & \xrightarrow{\exp_*} & \mathcal{D}\text{-Mod}(\mathbf{C}^*) \\ \downarrow & & \downarrow \\ \mathcal{D}_h\text{-Mod}(\mathbf{C}) & \xrightarrow{\exp_*} & \mathcal{D}_q\text{-Mod}(\mathbf{C}^*) \end{array}$$

and we have likewise the notions of vertex algebras for these, where on the bottom the OPEs have singularities of the form  $(z - w - n\hbar)$  and  $(z - q^n w)$ .

7.1. Let  $G$  act on a space  $X$ . The factorisation space to consider is then  $(\text{Ran } X)/G$ , with factorisation structure

$$\begin{array}{ccc} & (\text{Ran } X \times \text{Ran } X)_{G,\circ}/G & \\ \swarrow & & \searrow \\ (\text{Ran } X)/G \times (\text{Ran } X)/G & & (\text{Ran } X)/G \end{array}$$

where  $(\text{Ran } X \times \text{Ran } X)_{G,\circ}$  is the open subset of  $(S, S')$  with  $gS \cap S' = \emptyset$  for all  $g \in G$ . The left map is the composition

$$(\text{Ran } X \times \text{Ran } X)_{G,\circ}/G \rightarrow (\text{Ran } X \times \text{Ran } X)_{G,\circ}/G \times G \rightarrow (\text{Ran } X \times \text{Ran } X)/G \times G.$$

7.1.1. *Remark.* Note that for the above to work the open subset  $(\text{Ran } X \times \text{Ran } X)_{G,\circ}$  must be a  $G \times G$ -invariant, which is why we made this definition.

7.1.2. *Remark.* The above is a colimit of

$$\begin{array}{ccc} & (X^n \times X^m)_{G,\circ}/G & \\ \swarrow & & \searrow \\ X^n/G \times X^m/G & & X^{n+m}/G \end{array} \tag{13}$$

7.2. Let  $\mathcal{M}$  be a factorisation algebra on  $(\text{Ran } X)/G$ , in the category:

- (1)  $\text{QCoh}(\text{Ran } X/G)$ , i.e.  $\mathcal{D}_q\text{-Mod}(X)$  when  $G = \mathbf{Z} \cdot q$ , or otherwise
- (2)  $\mathcal{D}\text{-Mod}(\text{Ran } X/G)$ .

We consider the restriction of the factorisation map to (13) when  $n, m = 1$ , in which case we have open and closed complements

$$\Delta_G X/G \xrightarrow{i_G} (X^n \times X^m)/G \xleftarrow{j_G} (X^n \times X^m)_{G,\circ}/G$$

where  $\Delta_G X \subseteq X \times X$  consists of points of the form  $(x, gx)$  for  $g \in G$ , and  $G$  acts diagonally.

We now consider the cofibre sequence

$$i_G^* \mathcal{M} \rightarrow i_G^* j_* j^* \mathcal{M} \rightarrow \text{cofib.}$$



7.2.1. In the D-module case  $\text{cofib} = i_G^! \mathcal{M}[1]$ . Assume that  $i_G^* \mathcal{M} = V \otimes \mathcal{O}$  and  $z, w$  are local coordinates on  $X$ , then taking global sections of the cofibre sequence gives

$$V^{\otimes 2} \otimes \mathcal{O}_{X/G}[\{(z - gw)^{\pm 1}\}_{g \in G}] \rightarrow V \otimes \mathcal{O}_{X/G}[\{\delta_{z-gw}\}_{g \in G}].$$

Crucially, because we have only quotiented by a single, diagonal,  $G$ -action throughout, in the above we have *not* taken  $G$ -invariants with respect to the antidiagonal action, which would have killed the  $z - gw$  terms.

**Lemma 7.2.2.** *The data of the above is equivalent to a map*

$$V^{\otimes 2} \otimes \mathcal{O}_{X/G} \rightarrow V \otimes \mathcal{O}_{X/G} \hat{\otimes} \prod_{\mathbf{C}[[z,w]]} \mathbf{C}((z - gw)).$$

Assuming that  $X$  is itself an algebraic group, we take  $X$ -invariant sections of the above to get a map

$$Y_G : V^{\otimes 2} \rightarrow V((z - g_1 w, z - g_2 w, \dots)) = V \hat{\otimes} \prod_{\mathbf{C}[[z,w]]} \mathbf{C}((z - gw)).$$

7.2.3. In the quasicoherent sheaf case, since the pullback/forgetful functor  $\mathcal{D}\text{-Mod}(Z) \rightarrow \text{QCoh}(Z)$  is exact, we have that  $\text{cofib}$  is the same as above, and given the above assumptions, taking global sections of the cofibre sequence gives

$$V^{\otimes 2} \otimes \mathcal{O}_{X/G}[\{(z - gw)^{\pm 1}\}_{g \in G}] \rightarrow V \otimes \mathcal{O}_{X/G}[\{\delta_{z-gw}\}_{g \in G}].$$

What is different is that we have only remembered that this is a map inside  $\text{QCoh}(X/G)$ . However,

**Lemma 7.2.4.** *(check) When  $X = \mathbf{C}$  and  $G = \mathbf{Z} \cdot q \simeq \mathbf{Z}$ , this is equivalent to a map*

$$Y_G : V^{\otimes 2} \rightarrow V((z - g_1 w, z - g_2 w, \dots)) = V \hat{\otimes} \prod_{\mathbf{C}[[z,w]]} \mathbf{C}((z - gw))$$

*(with some  $T$  action, or rather  $q = \exp(\hbar T)$ .)*

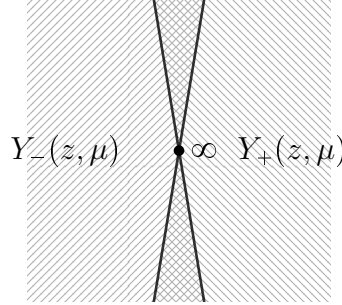
*Proof.* (The same proof as for the D-module case should work, except that instead of asking that the map commutes with  $\partial$ , we ask that it be  $\mathbf{Z}$ -graded, a  $\mathbf{Z}$  acts on  $k[x]$  as  $x^n \mapsto (qx)^n$ .)  $\square$

## 8. Stokes phenomena and dynamical KZ

8.1. One can consider the *dynamical* KZ equation

$$\mu_i + (k - k_{crit})\partial_{z_i} + \sum_{i \neq j} \frac{\Omega_{ij}}{z_i - z_j}$$

where  $\mu \in \mathfrak{t}^{reg} \subseteq \mathfrak{g}$  acts on the  $i$ th factor, see [Xu]. This picks up an *irregular* singularity at  $z_i = \infty$ , around which there is a unique formal solution  $Y(z, \mu)$  but on different sectors in the  $z_i$ -plane around  $z_i = \infty$  there are *different* holomorphic solutions:



which are unique if we prescribe behaviour  $Y(z, \mu) \rightarrow z^{\hbar\Omega} e^{z\mu_1} \mathcal{O}(1)$  as  $z \rightarrow \infty$  along any sector. The *Stokes matrix* is

$$S_+ = Y_+(z, \mu)/Y_-(z, \mu) \in U(\mathfrak{g}) \otimes U(\mathfrak{g})[[\hbar]]$$

where the  $z$  independence is due to [TLX, §4].

The main Theorem [TLX, Thm. 4.2] says that

$$R = e^{i\pi\hbar\Omega} S_+$$

defines the  $R$ -matrix for  $U_\hbar(\mathfrak{g})$ -Mod.

8.1.1. *Why care?* From [Xu, §3], if we play the same game around  $z = 0$ , we can define  $Y_\pm^0(z, \mu)$ , and set

$$J_+ = Y_+^\infty(z, \mu)/Y_+^0(z, \mu)$$

by [TLX, Thm. 3.12] kills the associator of  $U_\hbar(\mathfrak{g})$ -Mod, and so it follows that *all* information of  $U_\hbar(\mathfrak{g})$  as a braided monoidal 1-category is contained in the  $n = 2$  case, unlike when  $\mu = 0$ , where we need  $n \leq 3$  to also get the associator. (write/think more precisely)

8.1.2. The above seems to give a factorisable perverse sheaf of categories over (or  $\text{Ran } \mathbf{P}^1$ )

$$\text{Conf}(\mathbf{P}^1).$$

In the elliptic case, we can consider the dynamical KZ equation

$$\mu^i + \xi_i + \sum_{i \neq j} \frac{\Omega_{ij}}{(\text{??})}$$

over the universal curve  $\bar{\mathcal{E}}_{1,1} \rightarrow \bar{\mathcal{M}}_{1,1}$ , where  $\xi$  is a generating vector field. (is that defined over all  $\bar{\mathcal{E}}_{1,1}$ ?) This does not add any more singularities to the KZ equation. (maybe in the  $G_m$  case though?)

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