# q-DEFORMED D-MODULES

### To do:

- (1) check 7.1.4, the relation between D-modules on  $X_{\bf q}$  (or  $X_{\bf \Phi}$ ) with D-modules on  $X/\Phi$ .
- (2) What should theorem 7.1.7 say?

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#### 1. Introduction

#### 1.1. Motivation.

1.1.1. The main point of this document is to understand a q-deformation of the WZW vertex algebra.

The approximate physics picture of the relevant spaces and their (Koszul dual to) local operators is:

$$\underbrace{\mathbf{C} \times \mathbf{C}}_{\hbar} \qquad \underbrace{\mathbf{C} \times \mathbf{C}}_{\hbar} \times \mathbf{R} \qquad \operatorname{Rep}_{VA} V_{\hbar}^{k}(\mathfrak{g}) \qquad \operatorname{Rep}_{alg} Y_{\hbar}(\hat{\mathfrak{g}})^{1}, \ \operatorname{Rep}_{VA} W_{1+\infty}^{c,\mu}(\mathfrak{g})$$

$$\mathbf{C} \qquad \mathbf{R} \times \mathbf{R} \times \mathbf{R} \qquad \operatorname{Rep}_{VA} V^{k}(\mathfrak{g}) \qquad \operatorname{Rep}_{alg} U_{\hbar}(\mathfrak{g})$$

where the underlined spaces are *noncommutative*, i.e. are a deformation over  $\mathbb{C}[\hbar]$  given by the Moyal product, a.k.a. differential operators  $\mathcal{D}_{\hbar}(\mathbb{C})$ . In physics terminology this is called Nekrasov's " $\Omega$ -background". See [Co] for details about the theory on the top right. For details on the two-parameter deformation see [Li] and [GRZ].

- 2. Background: vector fields and D-modules
- 2.1. **Vector fields.** Recall that a tangent vector is a map

$$\xi: \mathbf{D}_2 \to X$$

from the second order infinitesimal neighbourhood of the origin in the formal disk **D**. Likewise we get the notion of n-jet for any  $n=1,2,\cdots,\infty$ , and stronger still we could ask for a map

$$\xi: \mathbf{G}_a \to X.$$

A vector field induces a map on functions

$$\mathcal{O}(X) \to \mathbf{C}[\epsilon]/\epsilon^2$$
,

and the  $\epsilon$  coefficient is the *derivative* of the function in the direction of the vector field.

2.1.1. *Multiplicative and elliptic jets.* We make the following redundant definition. If G is a one-dimensional algebraic group, a G-jet is a map

$$\xi: \mathbf{D}^G \to X$$

from the formal neighbourhood of the identity in G. Of course, all of these are non-canonically isomorphic and so this is the same thing as an ordinary jet. Let  $\chi_G$  be a left-invariant vector field on G, then

$$\mathbf{D}_2^G = \mathbf{D}_2 \cdot \chi_G.$$

However, when we pass to the quantum versions of the above definitions, the definitions for different G will seperate.

2.1.2. Vector fields. A vector field is a map over X

$$\xi: X \times \mathbf{D}_2 \to X$$
.

**Proposition 2.1.3.** The sheaf  $\mathfrak{T}_X$  of vector fields is the Lie algebra of the group  $\operatorname{Aut}(X)$  over X.

*Proof.* A tangent vector inside Aut(X) is a map

$$\psi: \mathbf{D}_2 \to \operatorname{Aut}(X)$$

which by adjunction is the same as a map

$$\mathbf{D}_2 \times X \to X$$
.

The condition that  $\psi$  needs to be a tangent vector at the unit  $id \in Aut(X)$  is equivalent to this map being over X.

In exactly the same way, an n-jet field on X is the same as an n-jet at the identity of Aut(X).

2.2. **Koszul dual picture.** If X is a smooth scheme, we have a Koszul duality of sheaves of algebras over X,

$$KD(\mathcal{D}_X) \simeq \Omega_X$$

where  $\Omega_X$  is the de Rham complex. The equivalence is given by a bimodule, the de Rham complex  $\mathcal{D}_X \otimes \Omega_X$  equipped with a differential which intertwines the factors.

Thus, if we define q-deformed D-modules on X as D-modules on a noncommutative space  $Y = Y_q$ , it is natural to expect that it be Koszul dual to the noncommutative de Rham complex  $\Omega_Y$ , if it is defined.

2.3. **Jets.** In the above, we considered jets, and moreover, the de Rham stack  $X_{dR} \simeq X/\mathfrak{G}$  is the quotient by

$$\mathcal{G} = \exp(\mathfrak{T}_X) \simeq \mathfrak{J}_{\infty} X$$

the formal group scheme over X given by formal jets. In particular, below when we will want to define q-D modules on X as D-modules on a certain noncommutative space  $Y=Y_{\mathbf{q}}$ , we will need to define the jet space  $\mathcal{J}_{\infty}Y_{\mathbf{q}}$ , and

$$Y_{\mathrm{dR}} = Y/\mathcal{J}_{\infty}Y.$$

For this we will use the machinery developed by Majid and Simao in [MS].

#### 3. Quantum analogues

3.1. q-vector fields. Now let  $\mathbf{G}_m$  act on our smooth scheme X. This makes  $\mathfrak{O}_X$  into a  $\mathbf{Z}$ -graded sheaf, so we can define the sheaf  $\mathfrak{T}_X^q \subseteq \operatorname{End}(\mathfrak{O}_X)$  of q-vector fields consisting of endomorphisms  $\partial$  with

$$\partial(fg) = \partial(f)g + q^{|f|}f\partial(g)$$

for all pairs of homogenous functions  $f, g \in \Gamma(\mathcal{O}_X)$ .

3.1.1. One way to axiomatise this is the following. Extend  $\mathcal{O}(X)$  by adding the variable  $\mathbf{q}$  with commutation relations

$$\mathbf{q}f = q^{|f|}f\mathbf{q}$$

for homogeneous elements, where  $q \in k$  is central. Then

$$\mathbf{q}\partial(fg) \ = \ \mathbf{q}\partial(f)g \ + \ f\mathbf{q}\partial(g)$$

and so  $\mathbf{q}\partial$  defines an honest vector field on  $\langle \mathcal{O}(X), \mathbf{q} \rangle$ . Thus a q-vector field induces an algebra map

$$\langle \mathfrak{O}(X), \mathbf{q} \rangle \to \langle \mathbf{C}[\epsilon]/\epsilon^2, \mathbf{q} \rangle, \qquad f \mapsto f + \mathbf{q} \partial(f)\epsilon,$$

where **q** and  $\epsilon$  commute. We now turn to the question of what this algebra  $\langle \mathfrak{O}(X), \mathbf{q} \rangle$  is.

**Proposition 3.1.2.**  $\langle \mathcal{O}(X), \mathbf{q} \rangle [q, q^{-1}]$  is a  $\mathbf{Z}[q, q^{-1}]$ -quantisation of  $\mathcal{O}(X \times \mathbf{G}_{m,\mathbf{q}})[q, q^{-1}]$  with the grading given by a  $\mathbf{G}_m$ -action on  $X \times \mathbf{G}_{m,\mathbf{q}}$ .

For instance, if every function on X has degree zero, then  $\langle \mathfrak{O}(X), \mathbf{q} \rangle = \mathfrak{O}(X \times \mathbf{G}_{m,\mathbf{q}})$ .

3.1.3. We are now in place to define q-vector field. To begin, we need to *choose* a quantisation  $X \times \mathbf{G}_{m,\mathbf{q}} \to G$  of  $X \times \mathbf{G}_{m,\mathbf{q}}$  over G. Then,

**Definition 3.1.4.** A *q-vector field* on X is a vector field

$$\xi : \mathbf{D}_2 \times (X \times \mathbf{G}_{m,\mathbf{q}}) \to (X \times \mathbf{G}_{m,\mathbf{q}})$$

on the noncommutative space  $X\ ilde{ imes}\ \mathbf{G}_{m,\mathbf{q}}$ , i.e. a map as above, over  $X\ ilde{ imes}\ \mathbf{G}_{m,\mathbf{q}}$ 

We have immediately

**Lemma 3.1.5.** The restriction of a q-vector field to X is a vector field.

*Proof.* We take the pullback squares

$$\begin{array}{cccc} \mathbf{D}_2 \times X & \xrightarrow{\xi_1} & X & \longrightarrow & 1 \\ \downarrow & & \downarrow & & \downarrow \\ \mathbf{D}_2 \times (X \times \mathbf{G}_{m,\mathbf{q}}) & \xrightarrow{\xi} & (X \times \mathbf{G}_{m,\mathbf{q}}) & \longrightarrow & \mathbf{G}_m \end{array}$$

which gives an ordinary vector field on X.

Thus loosely speaking, a q-vector field is a quantised vector field on X.

3.1.6. Example:  $X = \mathbf{A}^1$ . The operator  $\partial(x^n) = n_q x^{n-1}$ , where  $n_q$  is the nth q-integer,

$$n_q = 1 + q + \dots + q^{n-1},$$
  $(-n)_q = q^{-1} + q^{-2} + \dots + q^{-n}$ 

which satisfies  $(n+m)_q=n_q+q^nm_q$ . In particular,  $\partial(x^{n+m})=n_qx^n\cdot x^m+q^nx^n\cdot m_qx^m$ , and so this defines a q vector field.

3.1.7. Remark. We could also just as well replace  $G_{m,q}$  by  $E_{q,\tau}$  or  $G_q$  any one-dimensional algebraic group.

Thus, let X and  $G_{\mathbf{q}}$  be viewed as constant schemes over G. Then we *choose* a quantisation  $X \times G_{\mathbf{q}} \to G$  over G. In this case, a G-jet is a map

$$\xi : \mathbf{D}_n^G \times (X \times G_{\mathbf{q}}) \to (X \times G_{\mathbf{q}})$$

over  $X \times G_{\mathbf{q}}$ . Over a point  $x \in X$  we get

$$\xi_x: \mathbf{D}_n^G \times (G_{\mathbf{q}} \times G) \to (X \times G_{\mathbf{q}})$$

and so we get a map

$$\xi_x : \mathcal{O}(X \times G_{\mathbf{q}}) \to \mathcal{O}(\mathbf{D}_n^G \times G_{\mathbf{q}}) \otimes \mathcal{O}(G).$$

For instance, our ordinary notion of q-vector field corresponds to  $G = \mathbf{G}_m$ . We can define an  $\hbar$ -adic version by taking  $G = \mathbf{G}_a$ .

When dealing with elliptic curves, we may also require a compatible family of  $G_m$ - and  $E_{\tau}$ -jets which glue over  $\overline{\mathbb{M}}_{1,1}$ .

# 3.2. h and $\Omega$ -backgrounds.

3.2.1. As a motivating computation, let us formally add in the logarithm **h**,  $\hbar$  and  $\log f$  of **q**, q and f respectively. Assume that  $[\hbar, \log f]$  is central for every f. Then the commutation relations

$$\mathbf{q}f = q^{|f|}f\mathbf{q}$$

become by the Baker-Campbell-Hausdorff formula

$$\mathbf{q} f \mathbf{q}^{-1} f^{-1} = e^{[\mathbf{h}, \log f]} = e^{|f|\hbar}$$

This gives us

$$[\mathbf{h}, \log f] = |f|\hbar.$$

Taking this relation as a definition, one then verifies that an appropriate completion of the  $\mathbf{C}[\hbar]$ -algebra  $\langle \log \mathcal{O}(X), \hbar \rangle$  indeed contains a copy of  $\langle \mathcal{O}(X), \mathbf{q}^{\pm} \rangle$ , where we have taken logarithms of every homogeneous function.

<sup>&</sup>lt;sup>1</sup>In particular, the assumption that the commutator is central is now a definition.

- 3.2.2. If we take  $X = \mathbf{C}^{\times}$ , then this algebra is  $\langle x, \mathbf{h} \rangle$  with the relation  $[\mathbf{h}, x] = \hbar$ . In other words, it is the Weyl algebra of differential operators, or the Moyal product on  $\mathbf{C}^2$ . Here, we have taken x the logarithm of a coordinate on X.
- 3.3. q-cotangent bundles. The cotangent bundle over X is given by taking the relative spectrum of the sheaf of vector fields.
- 3.3.1. Having chosen a quantisation  $\tilde{X} = X \times G_{\mathbf{q}}$ , the quantum cotangent bundle is

$$\tilde{\mathbf{T}}_{\tilde{X}}^* = \mathbf{T}_{\tilde{X}/G_{\mathbf{q}} \times G}^*.$$

(define this, i.e. show that we get a quantisation)

**Lemma 3.3.2.** This is a quantisation of the cotangent bundle of X times  $G_{\mathbf{q}} \times G$ , i.e.

$$\mathbf{T}_{\tilde{X}/G_{\mathbf{q}}\times G}^* = \mathbf{T}_X^* \tilde{\times} G_{\mathbf{q}}.$$

For instance, if  $X = \mathbf{A}^1$  and  $G = \mathbf{G}_m$ , then we can take

$$\tilde{X} = \operatorname{Spec} \mathbf{C} \langle x, \mathbf{q}^{\pm}, q^{\pm} \rangle$$

where q is central, and

$$\mathbf{T}_{\tilde{X}}^q = \operatorname{Spec} \mathbf{C}\langle x, p, \mathbf{q}^{\pm}, q^{\pm} \rangle$$

is a twisted product of  $T^*\mathbf{A}^1$  and  $\mathbf{G}_{m,\mathbf{q}} \times \mathbf{G}_m$ , where  $p = \partial_x$ , and so we have that  $\mathbf{q}p = q^{-1}p\mathbf{q}$ . Notice that we get a closed subscheme

$$\mathbf{A}_q^2 = \operatorname{Spec} \mathbf{C}\langle x, \mathbf{q}p \rangle$$

which is the quantum affine plane, since writing  $y = \mathbf{q}p$ , we get the defining relations xy = qyx.

3.4. q-differential operators. The q-differential operators  $\mathcal{D}_q$  will be a filtered quantisation of

$$\operatorname{Spec} \operatorname{Sym}_{\tilde{\mathbf{Y}}} \tilde{\mathbf{T}}_{\tilde{\mathbf{Y}}}^*$$
.

Notice that the role of q and the q-quantisation is orthogonal to the role of the filtration and the filtered quantisation. We define it as usual: it is the sheaf of differential operators on  $\tilde{X}$ , i.e. it is the sheaf of subalgebras

$$\tilde{\mathfrak{D}}_{\tilde{X}} \subseteq \operatorname{End}_{\tilde{X}}(\mathfrak{O}_{\tilde{X}})$$

generated by the q-vector fields and  $\mathcal{O}_{\tilde{X}}$ .

Notice that by the definition,

**Lemma 3.4.1.**  $\tilde{\mathfrak{I}}_{\tilde{X}}$  forms a sheaf of Lie algebras over  $\tilde{X}$ .

This allows us to give a Grothendieck definition of the sheaf of quantum differential operators:

**Lemma 3.4.2.**  $\tilde{\mathcal{D}}_{\tilde{X}} = \bigcup_{n \geqslant 0} \tilde{\mathcal{D}}_{\tilde{X},n}$ , where the zeroeth term is  $\tilde{\mathcal{O}}_{\tilde{X}}$ , and above that

$$\tilde{\mathcal{D}}_{\tilde{X},n} = \text{(recursive definition)}.$$

To summarise, we have the following

$$\operatorname{gr} \mathfrak{D}_X \qquad \operatorname{gr} \tilde{\mathfrak{D}}_{\tilde{X}}$$

$$\mathfrak{D}_X \qquad \tilde{\mathfrak{D}}_{\tilde{X}}$$

and the sheaves on the left are given by pulling back the sheaves on the right along  $1 \to G$ .

3.5. **Relation to automorphisms of** X**.** Recall that one may define a D-module on X to be a quasicoherent sheaf which is equivariant for the action of the formal group  $\exp(\mathfrak{T}_X)$ ; this is the parallel transport map. Likewise, if  $\Phi$  is an automorphism of X, one possible definition of quantum D-module is a  $\Phi$ -equivariant quasicoherent sheaf.

How does this relate to the above definition?

To begin with, what has this to do with the quantisation  $X \times \mathbf{G}_{m,\mathbf{q}}$ ? Let us consider the case when the quantisation and the automorphism both come from the same source: a single  $\mathbf{G}_m$  action:

$$\mathbf{G}_m \text{ action on } X$$
 automorphism  $\Phi_g$  for any  $g \in \mathbf{G}_m$  quantisation  $X \times \mathbf{G}_{m,\mathbf{q}}$ 

A quasicoherent sheaf on  $X \times \mathbf{G}_{m,\mathbf{q}}$  is the same as a quasicoherent sheaf  $\mathfrak{M} \in \mathrm{QCoh}(X)$  with a compatible action of  $\mathbf{C}[\mathbf{q}^{\pm}]$ , i.e. we have

$$\mathbf{q}_x : \mathcal{M}_x \xrightarrow{\sim} \mathcal{M}_x$$

for every point  $x \in X$ , and we have

$$\mathbf{q}_x f(x) = q^{|f|} f(x) \mathbf{q}_x$$

as automorphisms of  $\mathcal{M}_x$ . In particular, this has nothing to do with comparing  $\mathcal{M}_x$  and  $\mathcal{M}_{\Phi_g \cdot x}$ , so it is unlikely the definitions are related.

The automorphism definition of quantum D-module is related to

$$\mathbf{Z} \stackrel{\Phi}{\to} \operatorname{Aut}(X) \leftarrow \exp(\mathfrak{T}_X)$$

whereas the q-deformed D-module changes the underlying space,

$$\exp(\tilde{\mathfrak{T}}_{\tilde{X}}) \to \exp(\mathfrak{T}_X).$$

One expects that it might be possible to quantise both ways simultaneously.

3.6. **Relation to difference equations.** If instead we are to take  $\tilde{X}_{\hbar} = X \times G_a$ , then we get (show how to get difference equations, might need to take  $C[[\hbar]]$ )

3.7. **Relation to Beilinsorn-Bernstein.** Let  $\lambda : \mathbf{G}_m \to G$  be a character with  $\lambda B \lambda^{-1} = B$ . Then we get an induced  $\mathbf{G}_m$  action on the flag variety G/B, and can form the quantisation.

Conjecture 3.7.1. We have a surjection  $\tilde{\mathfrak{D}}_{\tilde{G/B}} woheadrightarrow U_q(\mathfrak{g}).$ 

3.8. **Relation to quantum groups.** We are going to give a *different* relation to quantum groups, where

$$X = \operatorname{Spec} U_q(\mathfrak{g}), \qquad G = T.$$

Note that here we may be using a group of dimension greater than one. If  $\mathbf{q}_{\lambda}$  corresponds to  $\lambda \in \mathfrak{O}(T) \subseteq \mathfrak{t}^*$ , then we set

$$x\mathbf{q}_{\lambda} = q^{\lambda(x)}\mathbf{q}_{\lambda}x$$

for all  $x \in \mathfrak{g} \subseteq U_q(\mathfrak{g})$ .

Conjecture 3.8.1. We have

$$\tilde{\mathfrak{D}}_{\tilde{X}} = U_q(\mathfrak{g} \oplus_{\mathfrak{t}} \mathfrak{g}^*)$$

is the Takiff algebra.

#### 4. Functoriality

- 4.1. In the above we defined the category of D-modules over  $\operatorname{Spec} A$  for any (check Majid?) non-commutative algebras A as an element of  $\operatorname{QCoh}(\operatorname{Spec} A)$  which is equivariant for the action of the formal jet group  $\mathcal{J}_{\infty}\operatorname{Spec} A$ . (what about in the non-affine case)
- 4.1.1. Let  $f: X \to Y$  be a map of noncommutative spaces. We then have functor

$$f^{\dagger} : \mathcal{D}\text{-Mod}(Y) \to \mathcal{D}\text{-Mod}(X)$$

induced by pullback of quasicoherent sheaves (i.e. restriction of modules) and functoriality of  $\mathcal{J}_{\infty}$ .

4.1.2. Now assume that f is (noncommutative schematic and quasi-compact??). Then we have a pushforward functor

$$f_{dR,*}: \mathcal{D}\text{-}\mathsf{Mod}(X) \to \mathcal{D}\text{-}\mathsf{Mod}(Y)$$

defined by (pushforward on QCoh?). To be explicit, it acts on modules as

$$M \mapsto f_*(M \otimes \Omega_{X/Y})$$

where  $\Omega_{X/Y}$  is the noncommutative de Rham complex of Majid and Simao [MS].

# 5. Quantum vertex algebras

If ordinary vertex algebras are meant to axiomatise two-dimensional chiral conformal field theory on a complex curve  $\Sigma$ , then **q**-vertex algebras axiomatise the theory on *noncommutative* curves  $\tilde{\Sigma}_{\mathbf{q}}$ .

In physics terms, these should be two-dimensional CFTs on  $\Sigma \times S^1$ , which are compatified along a nontrivial  $S^1$  action. (check)

One common way to get noncommutative curves is to quantise curves inside cotangent bundles

$$\Sigma \subseteq T^*C \qquad \leadsto \qquad \tilde{\Sigma} \subseteq \operatorname{Spec} \mathfrak{D}_C$$

where if  $\Sigma$  is the vanishing locus of the symbol  $\sigma P$  of differential operator P, then the quantisation has ring of functions  $\mathcal{D}_C/P$ . If we want this to be an algebra over  $k[[\hbar]]$ , we may in the above take the  $\hbar$ -adically completed sheaf of D-modules  $\mathcal{D}_{C,\hbar}$ . There is a relation to opers, see for instance section 2 of [CPT].

#### 5.1. Motivation.

- 5.1.1. Recall the standard construction of the category of vertex algebras:
  - (1) We build the Ran space  $\operatorname{Ran} \mathbf{A}^1$  parametrising finite subsets of  $\mathbf{A}^1$ .
  - (2) We define the category of D-modules over  $\operatorname{Ran} \mathbf{A}^1$ .
  - (3) We define a *decomposition structure* on the Ran space, using the open locus  $(\mathbf{A}^n \times \mathbf{A}^m)_{\circ}$  where the set of first n and last m points are required to be disjoint. This allows us to define factorisation algebras over Ran  $\mathbf{A}^1$ .
  - (4) We define an action of  $G_a$  on  $A^1$ , and extend it to act on the Ran space.
  - (5) We define *vertex algebras* to be the (weakly)  $G_a$ -equivariant commutative factorisation algebras over Ran  $A^1$ .
  - (6) Finally, we prove that this is equivalent to the data of a pointed vector space with endomorphism  $(V, |0\rangle, T)$  along with a map  $Y: V \otimes V \to V((z))$  satisfying conditions.

In defining q-deformed vertex algebras, we will follow the same steps, but with the following modifications:

- (1) We use  $\mathbf{A}_{\mathbf{q}}^1$  instead of  $\mathbf{A}^1$ , and define the Ran space as usual (as a noncommutative space).
- (2) We define the category of  $\mathfrak{D}_{\mathbf{q}}$ -modules over  $\operatorname{Ran} \mathbf{A}_{\mathbf{q}}^1$ .
- (3) We define a *decomposition structure* on the Ran space as before. The space of **q**-diagonals naturally appears here, even though we do not insert this into the definition by hand.

(4) We define **Z** many actions of  $\mathbf{G}_{a,\mathbf{q}}$  on  $\mathbf{A}_{\mathbf{q}}^1$ , and extend it to act on the Ran space. The integer is called the *weight* of the action and the function  $x_1 - \mathbf{q}^w x_2$  giving the  $\mathbf{q}^w$ -diagonal is invariant under the weight w action.

We say that a  $\mathcal{D}_{\mathbf{q}}$ -module is  $\mathbf{G}_{a,\mathbf{q}}$ -equivariant if it is a direct sum of  $\mathcal{D}_{\mathbf{q}}$ -modules which are equivariant for the weight w action.

- (5) We define **q**-vertex algebras to be the (weakly)  $\mathbf{G}_{a,\mathbf{q}}$ -equivariant commutative factorisation algebras over Ran  $\mathbf{A}_{\mathbf{q}}^1$ .
- (6) Finally, we prove that this is equivalent to concrete data in Theorem 5.3.12 below.

# 5.2. Appearance of q-diagonals.

- 5.2.1. We now consider what the diagonal inside  $X \times \mathbf{G}_{m_{\mathbf{q}}}$  looks like.
- 5.2.2. To begin, for a map  $A \to B$  of algebras, note that the relative diagonal is given by the map

$$B \otimes_A B \twoheadrightarrow B, \qquad b \otimes b' \mapsto bb'.$$

5.2.3. For instance, let  $X = \mathbf{A}^1 = \operatorname{Spec} k[x]$ . Then the quantum diagonal is given by the ideal

$$\tilde{\Delta}: \tilde{X} \to \tilde{X} \times \tilde{X}$$

given by the ideal

$$I_{\Delta} \subseteq \langle k[x_1], \mathbf{q}_1^{\pm}, k[x_2], \mathbf{q}_2^{\pm} \rangle \twoheadrightarrow \langle k[x], \mathbf{q}^{\pm} \rangle, \qquad x_1, x_2 \mapsto x, \quad \mathbf{q}_i \mapsto \mathbf{q}$$

and where in the domain  $x_1, x_2$  commute, and

$$\mathbf{q}_i x_j = q x_j \mathbf{q}_i$$

for every i, j. This is necessary so that the above defines an algebra map. For instance, the ideal of the diagonal contains the element

$$x_1 - x_2(\mathbf{q}_2\mathbf{q}_1^{-1})^n$$

for every integer  $n \in \mathbf{Z}$ .

#### 5.3. q-additive group.

5.3.1. We consider the group structure,

$$m : \tilde{\mathbf{A}}_{\mathbf{q}}^1 \times \tilde{\mathbf{A}}_{\mathbf{q}}^1 \to \tilde{\mathbf{A}}_{\mathbf{q}}^1$$

which is the unique map of noncommutative schemes so that

$$m^* \mathbf{q} = \mathbf{q} \otimes \mathbf{q}, \qquad m^* x = x \otimes 1 + \mathbf{q} \otimes x$$

for an integer  $w \in \mathbf{Z}$  called the *weight*. This is well-defined, since

$$m^*(\mathbf{q}x) = \mathbf{q}x \otimes \mathbf{q} + \mathbf{q}^2 \otimes \mathbf{q}x$$
$$= q(x\mathbf{q} \otimes \mathbf{q} + \mathbf{q}^2 \otimes x\mathbf{q})$$
$$= q \cdot m^*(x\mathbf{q}).$$

Denote this algebraic group  $\mathbf{G}_{a\mathbf{q}}$ .

5.3.2. Likewise, we have an action for every integer w

$$m_w^* \mathbf{q} = \mathbf{q} \otimes \mathbf{q}, \qquad m_w^* x = x \otimes 1 + \mathbf{q}^w \otimes x$$

giving a group law as above.

- 5.3.3. If we write points of  $G_{aq}$  as z, then the above group law we will write as  $(z_1, z_2) \mapsto z_1 + \mathbf{q}_1 z_2$ .
- 5.3.4. Given a representation of  $G_{aq}$ , i.e.

$$V \to V \otimes \langle k[x], \mathbf{q}^{\pm} \rangle$$

then the invariants are the elements v sent to

$$v \mapsto v \otimes 1.$$

5.3.5. What are the  $\mathbf{G}_{a\mathbf{q}}^{w}$ -invariants of  $\mathcal{O}(\tilde{\mathbf{A}}_{\mathbf{q}}^{1} \times \tilde{\mathbf{A}}_{\mathbf{q}}^{1})$ ? Note that the coaction is given by

$$m^* \mathbf{q}_i = \mathbf{q}_i \otimes \mathbf{q}, \qquad m^* x_i = x_i \otimes 1 + \mathbf{q}_i^w \otimes x,$$

where the right hand side tensor multiplicand lies in  $\mathcal{O}(\mathbf{G}_{a_{\mathbf{q}}})$ , and so

$$m^*(x_1 - x_2(\mathbf{q}_2/\mathbf{q}_1)^n) = (x_1 \otimes 1 + \mathbf{q}_1^w \otimes x) - (x_2 \otimes 1 + \mathbf{q}_2^w \otimes x)((\mathbf{q}_2/\mathbf{q}_1)^n \otimes 1)$$
$$= (x_1 - x_2(\mathbf{q}_2/\mathbf{q}_1)^n) \otimes 1 + (\mathbf{q}_1^w - \mathbf{q}_2^w(\mathbf{q}_2/\mathbf{q}_1)^n) \otimes x.$$

In particular,  $(x_1 - x_2(\mathbf{q}_2/\mathbf{q}_1)^n)$  is invariant with respect to the  $\mathbf{G}_{a\mathbf{q}}$ -action of weight w = -n. Thus we get

**Proposition 5.3.6.** For any integer  $w \in \mathbb{Z}$ , the functions on the complement of the main quantum diagonal which are invariant with respect to the weight w action are

$$\mathcal{O}((\tilde{\mathbf{A}}_{\mathbf{q}}^{1} \times \tilde{\mathbf{A}}_{\mathbf{q}}^{1})_{\mathbf{q},\circ})^{\mathbf{G}_{a_{\mathbf{q}}^{w}}} = \langle (x_{1} - x_{2}(\mathbf{q}_{2}/\mathbf{q}_{1})^{w}) \rangle_{k[\mathbf{q}_{1}^{\pm},\mathbf{q}_{2}^{\pm}]},$$

which is spanned as a vector space by  $\mathbf{q}_1^a \mathbf{q}_2^b (x_1 - x_2 (\mathbf{q}_2/\mathbf{q}_1)^w)^c \mathbf{q}_1^d \mathbf{q}_2^e$ .

5.3.7. We now ask the question: what is the category of D-modules on  $\tilde{\mathbf{A}}_{\mathbf{q}}^1$  which are weakly equivariant with respect to the weight w action of  $\mathbf{G}_{a\mathbf{q}}$ ? Recall that without the  $\mathbf{q}$  the answer was it is the category of a vector space (the invariant sections) with endomorphism (the action of  $\partial_z$ ).

(write)

5.3.8. Notice that the Ran space of  $\tilde{\mathbf{A}}_{\mathbf{q}}^1$  is still a symmetric factorisation space,

$$(\operatorname{Ran} \tilde{\mathbf{A}}_{\mathbf{q}}^{1} \times \operatorname{Ran} \tilde{\mathbf{A}}_{\mathbf{q}}^{1})_{\circ}$$

$$\sigma \downarrow \iota$$

$$(\operatorname{Ran} \tilde{\mathbf{A}}_{\mathbf{q}}^{1} \times \operatorname{Ran} \tilde{\mathbf{A}}_{\mathbf{q}}^{1})_{\circ}$$

$$\operatorname{Ran} \tilde{\mathbf{A}}_{\mathbf{q}}^{1} \times \operatorname{Ran} \tilde{\mathbf{A}}_{\mathbf{q}}^{1}$$

$$\operatorname{Ran} \tilde{\mathbf{A}}_{\mathbf{q}}^{1} \times \operatorname{Ran} \tilde{\mathbf{A}}_{\mathbf{q}}^{1}$$

because for instance in  $\tilde{\mathbf{A}}_{\mathbf{q}}^1 \times \tilde{\mathbf{A}}_{\mathbf{q}}^1$  functors on the left and right factors commute, so the swap map is indeed a map of noncommutative schemes; considering higher powers of the quantum affine plane induces the symmetric factorisation structure  $\sigma$  considered above.

5.3.9. In particular, this means we should consider the categories

$$\bigoplus_{w \in \mathbf{Z}} \mathcal{D}\text{-}\mathsf{Mod}(\mathrm{Ran}\,\tilde{\mathbf{A}}^1_{\mathbf{q}})^{\mathbf{G}_{a_{\mathbf{q},w}}}$$

of D-modules which are weakly equivariant respect to some weight w. (how to combine these together more naturally?) Notice that

**Proposition 5.3.10.** For each weight w, the w summand upgrades to a symmetric factorisation category  $\mathbb{D}\text{-Mod}^{\mathbf{G}_{a_{\mathbf{q},w}}}$  over  $\operatorname{Ran}\tilde{\mathbf{A}}^1_{\mathbf{q}}$ .

5.3.11. We can finally define a **q**-vertex algebra to be a strong factorisation algebra in this category.

**Theorem 5.3.12.** A **q**-vertex algebra is equivalent to a direct sum of vector spaces (or  $k[\mathbf{q}^{\pm}]$ -comodules?)

$$V = \bigoplus_{w \in \mathbf{Z}} V_w$$

along with a map of  $\mathfrak{D}(\tilde{\mathbf{A}}_{\mathbf{q}}^1)$ -modules (how should this interact with the weight w?)

$$Y: V \otimes V \rightarrow V((\{z_1 - \mathbf{q}^n z_2\}))$$

satisfying (a commutativity and associativity condition), and equipped with a vector  $|0\rangle \in V_0$  and (whatever data is equivalent to a  $\mathcal{D}(\tilde{\mathbf{A}}^1_{\mathbf{q}})$ -module)

## 6. How to construct the **q**-affine vertex algebra

In this section, we recall the construction of the affine vertex algebra, then show how to deform it to the  $\mathbf{q}$ -affine vertex algebra.

# 6.1. General picture.

# 6.1.1. Let X be an algebraic curve and Y a prestack with maps

$$\operatorname{Ran} X \stackrel{s}{\to} Y \stackrel{p}{\to} \operatorname{Ran} X$$

of factorisation spaces. Moreover, assume that the latter map admits a *connection*, i.e. comes from a pullback

$$Y \to \operatorname{Ran} X$$

$$\downarrow \qquad \qquad \downarrow$$

$$\overline{Y} \to \operatorname{Ran} X_{dB}$$

$$\tag{1}$$

Moreover,

# **Lemma 6.1.2.** The map $Y \to Y_{dR}$ factors as

$$Y \downarrow Y \downarrow Y_{dR} \leftarrow \overline{Y}$$

*Proof.* Apply the functor  $(-)_{dR}$  (which is a right adjoint, hence preserves limits) to diagram (1). This gives pullback

$$Y_{dR} \longrightarrow \operatorname{Ran} X_{dR}$$

$$\downarrow \qquad \qquad \downarrow$$

$$\overline{Y}_{dR} \longrightarrow \operatorname{Ran} X_{dR}$$

hence  $Y_{dR} = \overline{Y}_{dR}$ , and the Lemma follows by functoriality of  $(-)_{dR}$ .

Thus we have commuting diagram

$$\begin{array}{ccc}
\operatorname{QCoh}(Y) & \xrightarrow{p_*^{\operatorname{QCoh}}} & \operatorname{QCoh}(\operatorname{Ran} X) \\
& & \uparrow & \uparrow \\
\operatorname{D-Mod}(Y) & \longrightarrow & \operatorname{QCoh}(\overline{Y}) & \longrightarrow & \operatorname{D-Mod}(\operatorname{Ran} X)
\end{array} \tag{2}$$

We stress that the pushforward  $\mathcal{D}\text{-Mod}(Y) \to \mathcal{D}\text{-Mod}(\operatorname{Ran} X)$  is *not* the ordinary  $\mathcal{D}\text{-module}$  pushforward  $p_*$ . Rather, the above says that any quasicoherent sheaf pushforward of a  $\mathcal{D}$ -module, which usually has no reason to be a  $\mathcal{D}$ -module, in this case always carries a natural  $\mathcal{D}$ -module structure.

If in addition the maps in (1) are maps of factorisation spaces, it not not hard to show that

## **Lemma 6.1.3.** The functors in (2) are functors of symmetric monoidal categories.

In particular, this implies

**Corollary 6.1.4.** The quasicoherent sheaf pushforward  $p_*^{\text{QCoh}}$  extends to a functor on factorisation algebras  $p_*^{\text{QCoh}}$ : CommAlg( $\mathcal{D}$ -Mod(Y),  $\otimes_Y^{ch}$ )  $\to$  CommAlg( $\mathcal{D}$ -Mod(Ran X),  $\otimes^{ch}$ ).

In particular, if  $\mathcal A$  is a factorisation algebra on  $\operatorname{Ran} X$ , then so  $s_*\mathcal A$  is a factorisation algebra on Y, and hence we get a new factorisation algebra  $p_*^{\operatorname{QCoh}}(s_*\mathcal A)$  on  $\operatorname{Ran} X$ .

# 6.2. **Affine Grassmannian.** We apply this to the Beilinson-Drinfeld Grassmannian

$$\operatorname{Ran} X \stackrel{\operatorname{triv}}{\to} \operatorname{Gr}_{G,X} \to \operatorname{Ran} X$$

and the constant factorisation algebra  $\mathcal{A} = \omega_{\operatorname{Ran} X}$ .

**Lemma 6.2.1.** The map  $Gr_{G,X} \to \operatorname{Ran} X$  admits a connection.

Specfically, consider

$$\begin{array}{ccc}
\operatorname{QCoh}(\operatorname{Gr}_{G,X}) & \xrightarrow{p_*^{\operatorname{QCoh}}} & \operatorname{QCoh}(\operatorname{Ran} X) \\
& & \uparrow & \uparrow & \uparrow \\
\operatorname{D-Mod}(\operatorname{Gr}_{G,X}) & --- & \operatorname{QCoh}(\overline{\operatorname{Gr}_{G,X}}) & \longrightarrow \operatorname{D-Mod}(\operatorname{Ran} X)
\end{array} \tag{3}$$

which allows us to define the affine WZW factorisation algebra  $\mathcal{A}_{\mathfrak{g}}$  as

$$i_*\omega \xrightarrow{p_*^{\text{QCoh}}} \Gamma(i_*\omega)$$

$$\uparrow \qquad \uparrow \qquad \uparrow$$

$$\omega \longmapsto \Gamma(i_*\omega) = \mathcal{A}_{\mathfrak{g}}$$
(4)

**Lemma 6.2.2.** As a vector space, the underlying vertex algebra is

$$V = \operatorname{Sym}(t^{-1}\mathfrak{g}[t^{-1}]).$$

*Proof.* This is the vector space corresponding to the D-module pushforward along the affine Grassmannian

$$i_0: 0 \to Gr_G$$
.

Specifically, the normal bundle to  $i_0$  is the vector space  $t^{-1}\mathfrak{g}[t^{-1}]$ , and so the pushforward is the symmetric algebra on this.

# 6.3. q-analogue.

6.3.1. The above construction generalises.

# 7. Variant: Quantum vertex algebras via q-automorphisms

There are two (inequivalent?) notions of q-D-module in the literature: one in terms of q-derivations, which we covered in section 3, and another in terms of automorphism-invariant quasicoherent sheaves. In this section we relate the two notions.

#### 7.1. G-D-modules.

7.1.1. Note that if G is a discrete group acting on X, then

$$(X/G)_{dR} = X_{dR}/G,$$

in other words, the category of D-modules on X/G is equivalent to weakly G-equivariant D-modules on X.

7.1.2. Let  $\Phi$  be the automorphism of  $\mathbf{A}^1$  acting by  $t \mapsto qt$ . Then we have

$$\Phi f(x) \cdot g(x) = f(qx)g(qx),$$
  $f(x)\Phi \cdot g(x) = f(x)g(qx).$ 

In particular, if f is homogeneous of degree |f| then we have

$$\Phi f(x) = q^{|f|} f(x) \Phi.$$

Note that acting on functions,  $\Phi = e^{\log(q)x\partial_x} = q^{x\partial_x}$ .

7.1.3. Thus,  $\Phi$  takes the role of  $\mathbf{q}$  in section 3. To make this analogy stronger, the analogue of  $\mathbf{A}_{\mathbf{q}}^{1}$  is the product

$$\mathbf{A}_{\Phi}^{1} = \mathbf{A}^{1} \tilde{\times} \mathbf{Z} = \mathbf{A}^{1} \tilde{\times} \{\Phi^{n}\}_{n \in \mathbf{Z}},$$

on which  $\Phi$  acts diagonally. More generally, for any space X we can form

$$X_{\operatorname{Aut} X} = X \tilde{\times} \operatorname{Aut}(X),$$

which when X is affine is defined as the subalgebra of  $\operatorname{End} \mathfrak{O}(X)$  generated by  $\mathfrak{O}(X)$  and  $\operatorname{Aut}(X)$ . If one considers only infinitesimal automorphisms, this recovers  $\mathfrak{D}(X)$ .

7.1.4. Note that the usual definition of q-derivation may be written as

$$\partial(fg) \ = \ \partial(f)g \ + \ \Phi(f)\partial(g).$$

7.1.5. One can define (check) the action map  $X \times \operatorname{Aut}(X) \to X$ , and so we can define

$$\begin{array}{ccc} X\tilde{\times}\operatorname{Aut}(X) & \longrightarrow & X \\ \downarrow & & \downarrow \\ X & \longrightarrow & X\tilde{/}\operatorname{Aut}(X) \end{array}$$

We expect that  $X/\operatorname{Aut}(X) = X/\operatorname{Aut}(X)$  (unsure). In particular, we expect that D-modules on  $X_{\Phi}$  act as descent data for gluing D-modules on X to D-modules on  $X/\Phi$ .

7.1.6. One definition of q-D-module is simply a quasicoherent sheaf on  $X/\Phi$ .

**Theorem 7.1.7.** There is (a functor?) of categories over  $C[q^{\pm}]$ :

$$\operatorname{QCoh}(X/\Phi) \xrightarrow{??} \mathcal{D}_q\operatorname{-Mod}(X) = \operatorname{QCoh}(X_{\mathbf{q}}/\exp \mathfrak{T}_{X_{\mathbf{q}}}).$$

(check the  $q \to 1$  limit to make this an equivalence) If  $\xi$  is the vector field inducing  $\Phi$ , then

$$\operatorname{QCoh}(X/\Phi) \stackrel{??}{\to} \operatorname{QCoh}(X_{\mathbf{q}}/\exp(\mathcal{O}_{X_{\mathbf{q}}} \cdot \xi))$$

is an equivalence.

## 7.2. G-factorisation algebras.

7.2.1. Let G act on a space X. We will now define a version of factorisation algebra on subsets  $\{x_1, ..., x_n\} \subseteq X$ , where two subsets are equivalent if they differ by a G-shift. This necessitates the use of G-diagonals.

The factorisation space to consider is then  $(\operatorname{Ran} X)/G$ , with factorisation structure

$$(\operatorname{Ran} X \times \operatorname{Ran} X)_{G, \circ}/G$$
 
$$(\operatorname{Ran} X)/G \times (\operatorname{Ran} X)/G \qquad (\operatorname{Ran} X)/G$$

where  $(\operatorname{Ran} X \times \operatorname{Ran} X)_{G,\circ}$  is the open subset of (S,S') with  $gS \cap S' = \emptyset$  for all  $g \in G$ . The left map is the composition

$$(\operatorname{Ran} X \times \operatorname{Ran} X)_{G,\circ}/G \to (\operatorname{Ran} X \times \operatorname{Ran} X)_{G,\circ}/G \times G \to (\operatorname{Ran} X \times \operatorname{Ran} X)/G \times G.$$

- 7.2.2. *Remark.* Note that for the above to work the open subset  $(\operatorname{Ran} X \times \operatorname{Ran} X)_{G,\circ}$  must be a  $G \times G$ -invariant, which is why we made this definition.
- 7.2.3. Remark. The above is a colimit of

$$(X^{n} \times X^{m})_{G, \circ}/G$$

$$X^{n}/G \times X^{m}/G$$

$$X^{n+m}/G$$
(5)

#### 7.3. G-vertex algebras.

- 7.3.1. We now give an explicit model for the above. Let  $\mathcal{M}$  be a factorisation algebra on  $(\operatorname{Ran} X)/G$ , in the category:
  - (1)  $\operatorname{QCoh}(\operatorname{Ran} X/G)$ , i.e.  $\mathcal{D}_q$ -Mod(X) when  $G = \mathbf{Z} \cdot q$ , or otherwise
  - (2)  $\mathcal{D}\text{-Mod}(\operatorname{Ran} X/G)$ .

We consider the restriction of the factorisation map to (5) when n, m = 1, in which case we have open and closed complements

$$\Delta_G X/G \stackrel{i_G}{\to} (X^n \times X^m)/G \stackrel{j_G}{\leftarrow} (X^n \times X^m)_{G,\circ}/G$$

where  $\Delta_G X \subseteq X \times X$  consists of points of the form (x, gx) for  $g \in G$ , and G acts diagonally.

We now consider the cofibre sequence

$$i_G^* \mathcal{M} \to i_G^* j_* j^* \mathcal{M} \to \text{cofib}$$
.

7.3.2. In the D-module case  $\operatorname{cofib} = i_G^! \mathcal{M}[1]$ . Assume that  $i_G^* \mathcal{M} = V \otimes \mathcal{O}$  and z, w are local coordinates on X, then taking global sections of the cofibre sequence gives

$$V^{\otimes 2} \otimes \mathcal{O}_{X/G}[\{(z-gw)^{\pm 1}\}_{q \in G}] \to V \otimes \mathcal{O}_{X/G}[\{\delta_{z-qw}\}_{q \in G}].$$

Crucially, because we have only quotiented by a single, diagonal, G-action throughout, in the above we have *not* taken G-invariants with respect to the antidiagonal action, which would have killed the z-gw terms.

**Lemma 7.3.3.** The data of the above is equivalent to a map

$$V^{\otimes 2} \otimes \mathcal{O}_{X/G} \to V \otimes \mathcal{O}_{X/G} \hat{\otimes} \prod_{\mathbf{C}[[z,w]]} \mathbf{C}((z-gw)).$$

Assuming that X is itself an algebraic group, we take X-invariant sections of the above to get a map

$$Y_G: V^{\otimes 2} \to V((z-g_1w, z-g_2w, \cdots)) = V \hat{\otimes} \prod_{\mathbf{C}[[z,w]]} \mathbf{C}((z-gw)).$$

7.3.4. In the quasicoherent sheaf case, since the pullback/forgetful functor  $\mathcal{D}\text{-Mod}(Z) \to \mathrm{QCoh}(Z)$  is exact, we have that  $\mathrm{cofib}$  is the same as above, and given the above assumptions, taking global sections of the cofibre sequence gives

$$V^{\otimes 2} \otimes \mathcal{O}_{X/G}[\{(z-gw)^{\pm 1}\}_{g \in G}] \to V \otimes \mathcal{O}_{X/G}[\{\delta_{z-gw}\}_{g \in G}].$$

What is different is that we have only remembered that this is a map inside QCoh(X/G). However,

**Lemma 7.3.5.** (check) When  $X = \mathbf{C}$  and  $G = \mathbf{Z} \cdot q \simeq \mathbf{Z}$ , this is equivalent to a map

$$Y_G: V^{\otimes 2} \to V((z-g_1w, z-g_2w, \cdots)) = V \hat{\otimes} \prod_{\mathbf{C}[[z,w]]} \mathbf{C}((z-gw))$$

(with some T action, or rather  $q = \exp(\hbar T)$ .)

*Proof.* (The same proof as for the D-module case should work, except that instead of asking that the map commutes with  $\partial$ , we ask that it be **Z**-graded, a **Z** acts on k[x] as  $x^n \mapsto (qx)^n$ .)

# 7.4. Exponentiating.

# 7.4.1. We expect that we have

$$\begin{array}{ccc} \mathcal{D}\text{-Mod}(\mathbf{C}) & \xrightarrow{\exp_*} & \mathcal{D}\text{-Mod}(\mathbf{C}^*) \\ \downarrow & & \downarrow \\ \mathcal{D}_h\text{-Mod}(\mathbf{C}) & \xrightarrow{\exp_*} & \mathcal{D}_q\text{-Mod}(\mathbf{C}^*) \end{array}$$

and we have likewise the notions of vertex algebras for these, where on the bottom the OPEs have singularities of the form  $(z-w-n\hbar)$  and  $(z-q^nw)$ .

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