

# (Co)Monads

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## 1 Introduction

**Conventions.** Throughout this text, we adopt the following conventions:

- All categories are assumed to be locally small;
- Standard polymorphic notation is used freely when no ambiguity arises;
- All diagrams are assumed to commute unless stated otherwise.

**TODO:**

- Specify the  $\Delta$  framework;
- Check the details for the Exception monad;
- Consider algebras, Eilenberg–Moore category, etc.;
- Write the comonads section.

## 2 Monads

### 2.1 Definition and examples

**Definition 2.1** (Monoid object). Let  $(\mathcal{C}, \otimes, I)$  be a monoidal category. A monoid object in  $\mathcal{C}$  consists of:

- an object  $M \in \text{obj}(\mathcal{C})$ ,
- a multiplication morphism

$$\mu : M \otimes M \rightarrow M,$$

- a unit morphism

$$\eta : I \rightarrow M,$$

satisfying the unit and associativity diagrams:

$$\begin{array}{ccc} M & \xrightarrow{M \otimes \eta} & M \otimes M & \xleftarrow{\eta \otimes M} & M \\ & \searrow \text{id} & \downarrow \mu & \swarrow \text{id} & \\ & & M & & \end{array} \quad \begin{array}{ccc} M^{\otimes 3} & \xrightarrow{\mu \otimes M} & M^{\otimes 2} \\ M \otimes \mu \downarrow & & \downarrow \mu \\ M^{\otimes 2} & \xrightarrow{\mu} & M \end{array} .$$

**Example 2.2.**

- Categories with finite products  $(\mathcal{C}, \times, 1)$  are monoidal:
  - In  $\mathcal{S}et$ , monoid objects are ordinary monoids;
  - In  $\text{Vect}_{\mathbf{k}}$ , monoid objects are associative unital algebras over a field  $\mathbf{k}$ ;
- The category of endofunctors  $([\mathcal{C}, \mathcal{C}], \circ, \text{id})$  is monoidal; monoid objects in this category are called monads.

**Definition 2.3** (Monad). Monad is a triple

$$(M : \mathcal{C} \rightarrow \mathcal{C}, \eta : \text{id}_{\mathcal{C}} \rightarrow M, \mu : M^2 \rightarrow M),$$

satisfying the unit and associativity diagrams:

$$\begin{array}{ccc} M & \xrightarrow{\eta \circ M} & M^2 & \xleftarrow{M \circ \eta} & M \\ & \searrow \text{id}_M & \downarrow \mu & \swarrow \text{id}_M & \\ & & M & & \end{array} \quad \begin{array}{ccc} M^3 & \xrightarrow{M \circ \mu} & M^2 \\ \mu \circ M \downarrow & & \downarrow \mu \\ M^2 & \xrightarrow{\mu} & M \end{array} .$$

**Example 2.4** (List monad). Consider the functor  $\text{List} : \mathcal{S}et \rightarrow \mathcal{S}et$ , which sends a set to the set of all finite lists of its elements:

$$\begin{array}{ccc} A & & \text{List } A \\ \downarrow f & & \downarrow \text{List } f \\ B & & \text{List } B \\ & & [f(a_1), f(a_2), \dots, f(a_n)] \end{array} .$$

From a categorical perspective, the functor  $\text{List}$  can be presented as follows:

$$\begin{array}{ccc} \mathcal{S}et & \xrightarrow{\Delta} & \mathcal{S}et^{\mathbb{N}} \\ \text{List} \downarrow & & \downarrow \prod_{n \in \mathbb{N}} \times^n \\ \mathcal{S}et & \xleftarrow{\coprod_{n \in \mathbb{N}}} & \mathcal{S}et^{\mathbb{N}} \\ & & \end{array} \quad \begin{array}{ccc} A & \longmapsto & A^{\mathbb{N}} \\ \text{List}(A) \downarrow & & \downarrow \\ \coprod_{n \in \mathbb{N}} A^n & \longleftarrow & (A^n)_{n \in \mathbb{N}} \end{array} .$$

This presentation gives rise to the n API:

- The collection of constructors

$$[ ]_{n,A} := A^n \xrightarrow{i_n} \text{List } A := \lambda a_1 \dots a_n. [a_1, \dots, a_n],$$

in particular:

- The single-element list constructor

$$\eta_A : A \rightarrow \text{List } A := \lambda a. [a],$$

- The empty list constructor

$$[ ]_0 : 1 \rightarrow \text{List } A := \lambda a. [];$$

- Length of a list via the power of  $A$  in the coproduct:

$$\text{len}_A : \text{List } A \rightarrow \mathbf{N};$$

- List concatenation via the natural isomorphism  $A^n \times A^m \cong A^{n+m}$ :

$$\begin{array}{ccc} \text{id} \times \text{id} & \xrightarrow{i \times i} & \text{List} \times \text{List} \\ \cong \downarrow & & \downarrow + \\ \text{id} & \xrightarrow{i} & \text{List} \end{array} \quad \begin{array}{ccc} A^m \times A^n & \xrightarrow{i_m \times i_n} & \text{List } A \times \text{List } A \\ \cong \downarrow & & \downarrow +_A \\ A^{m+n} & \xrightarrow{i_{m+n}} & \text{List } A \end{array},$$

$$\begin{aligned} +_A : \text{List } A \times \text{List } A &\rightarrow \text{List } A := \lambda lm.[l_0, \dots l_{\text{len}(l)-1}, m_0, \dots, m_{\text{len}(m)-1}], \\ +_A : (\text{List } A)^n &\rightarrow \text{List } A := \lambda l_0 \dots l_n. l_0 + \dots + l_n; \end{aligned}$$

- List destructors:

$$\begin{aligned} \mu_A : \text{List}(\text{List } A) &\rightarrow \text{List}(A) := \lambda l. \sum_{i=0}^{\text{len}(l)-1} l_i, \\ \mu_A : \text{List}^n A &\rightarrow \text{List } A := \mu_A^{\circ n}. \end{aligned}$$

First of all, this API provides an internal monoid structure on lists: for a fixed set  $A$ , we have a monoid object

$$(\text{List } A, [], +_A)$$

in  $\mathcal{S}et$ . The corresponding diagrams are straightforward in this case.

Second, it provides a monad structure on List:

$$(\text{List}, \eta : \text{id} \rightarrow \text{List}, \mu : \text{List}^2 \rightarrow \text{List}).$$

The corresponding diagrams:

$$\begin{array}{ccc} \text{List } A & \xrightarrow{\eta_{\text{List } A}} & \text{List}(\text{List } A) \\ & \searrow \text{id} & \downarrow \mu_A \\ & & \text{List } A \end{array} \quad \begin{array}{ccc} l & \xrightarrow{\quad} & [l] \\ & \searrow & \downarrow \\ & & l = [l]_0 \end{array},$$
  

$$\begin{array}{ccc} \text{List}(\text{List } A) & \xleftarrow{\text{List } \eta_A} & \text{List } A \\ \mu_A \downarrow & \nearrow \text{id} & \downarrow \\ \text{List } A & & \end{array} \quad \begin{array}{ccc} [[l_0], \dots, [l_{\text{len}(l)-1}]] & \xleftarrow{\quad} & l \\ \downarrow & \nearrow & \\ l = \sum_{i=0}^{\text{len}(l)-1} [l_i] & & \end{array},$$
  

$$\begin{array}{ccc} \text{List}^3 A & \xrightarrow{\text{List } \mu_A} & \text{List}^2 A \\ \mu_{\text{List } A} \downarrow & & \downarrow \mu_A \\ \text{List}^2 A & \xrightarrow{\mu_A} & \text{List } A \end{array} \quad \begin{array}{ccc} l & \xrightarrow{\quad} & [\sum_{j=0}^{\text{len}(l_0)-1} (l_0)_j, \dots, \sum_{j=0}^{\text{len}(l_{\text{len}(l)-1}-1)} (l_{\text{len}(l)-1})_j] \\ \downarrow & & \downarrow \\ \sum_{i=0}^{\text{len}(l)-1} l_i & \xrightarrow{\quad} & \sum_{i,j} (l_i)_j \end{array}.$$

**Example 2.5** (Exception monad). Consider a type  $E$  of exceptions (error values) and a functor  $\text{Exc} : \mathcal{C} \rightarrow \mathcal{S}$ , which sends a type to its coproduct with the exceptions type:

$$\begin{array}{ccc} A & & A + E \\ f \downarrow & & \downarrow f + \text{id} \cdot \\ B & & B + E \end{array}$$

The monad structure

$$(\text{Exc}, \eta : \text{id} \rightarrow \text{Exc}, \mu : \text{Exc}^2 \rightarrow \text{Exc}).$$

is defined as follows:

- Unit represents successful calculation:

$$\eta_A := i_1 : A \rightarrow A + E,$$

- Multiplication represents the error propagation:

$$\mu_A : A + E + E \rightarrow A + E = \text{id}_A + \nabla_{E,E} = \nabla_{A+E,E},$$

where  $\nabla$  is the codiagonal map.

The diagrams (commutativity is obvious on  $\mathcal{S}\text{et}$ ):

$$\begin{array}{ccc} A + E & \xrightarrow{\eta_{A+E}=i_1,A+E} & A + E + E \\ & \searrow \text{id} & \downarrow \nabla_{A+E,E} \\ & A + E & \end{array} \quad \begin{array}{ccc} A + E + E & \xleftarrow{\eta_{A+E+iD_E}=i_1,A+E+iD_E} & A + E \\ \text{id}_{A+E} + \nabla_{E,E} \downarrow & & \downarrow \text{id} \\ A + E & \xleftarrow{\nabla_{A+E,E}} & A + E \end{array},$$
  

$$\begin{array}{ccc} A + 3E & \xrightarrow{\nabla_{A+E+iD_E}} & A + 2E \\ \nabla_{A+2E,E} \downarrow & & \downarrow \nabla_{A+E,E} \cdot \\ A + 2E & \xrightarrow{\nabla_{A+E,E}} & A + E \end{array}$$

## 2.2 Kleisli category

**Definition 2.6** (Kleisli category). Let  $(T, \eta, \mu)$  be a monad on  $\mathcal{C}$ . The Kleisli category  $\text{Kl}(T)$  of the monad  $T$  is defined as follows:

- Objects of  $\text{Kl}(T)$  are the same as in  $\mathcal{C}$ ,
- For  $A, B \in \text{obj}(\mathcal{C})$ , a morphism  $f^\bullet : A \rightarrow B$  in  $\text{Kl}(T)$  is a morphism  $f : A \rightarrow TB$  in  $\mathcal{C}$ ,
- Composition:

$$\begin{array}{cccc} A & & B & \\ f \downarrow & & g \downarrow & \\ TB & & TC & \\ & & Tg \downarrow & \\ & & T^2C & \xrightarrow{\mu_C} TC \\ & & & \downarrow \mu_C \circ Tg \circ f \\ & & & A \end{array} \quad \begin{array}{c} A \\ \downarrow f^\bullet \circ g^\bullet \in \text{Kl}(T) \\ C \end{array},$$

- Identity morphism  $\text{id}_A^\bullet$  in  $\text{Kl}(T)$  is a morphism  $\eta_A : A \rightarrow TA$  in  $\mathcal{C}$  :

$$\begin{array}{ccc}
\begin{array}{ccc}
A & \xrightarrow{\eta_A} & TA \\
f \downarrow & & \downarrow Tf \\
TB & \xrightarrow{\eta_{TB}} & T^2B
\end{array} &
\begin{array}{ccc}
TA & \xleftarrow{\eta_A} & A \\
Tf \downarrow & & \downarrow \mu_B \circ \eta_{TB} \circ f = f \\
T^2B & \xrightarrow{\mu_B} & TB
\end{array} &
\begin{array}{ccc}
A & & \\
\downarrow f \circ \text{id}_A^\bullet & & \\
B & &
\end{array} \\
\\
\begin{array}{ccc}
TA & \xleftarrow{g} & C \\
T\eta_A \downarrow & & \downarrow \mu_A \circ T\eta_A \circ g = g \\
T^2A & \xrightarrow{\mu_A} & TA
\end{array} &
\begin{array}{ccc}
A & & \\
\downarrow \text{id}_A^\bullet \circ g & & \\
B & &
\end{array}
\end{array}$$

**Example 2.7** ( $\text{Kl}(\text{List})$ ). One of the possible interpretations of  $f : A \rightarrow TB$  is a non-deterministic calculation:  $a$  is mapped by  $f$  to the list of all possible results. The composition corresponds to getting all possible results from sequential non-deterministic calculations:

$$\begin{array}{ccc}
\begin{array}{ccc}
A & \xrightarrow{f^\bullet} & B \\
& \searrow g^\bullet \circ f^\bullet & \downarrow g^\bullet \\
& & C
\end{array} &
\begin{array}{ccc}
[b_0, \dots, b_n] & \xleftarrow{f} & a \\
Tg = [g_0(b_0), \dots, g_n(b_n)] \downarrow & & \downarrow \\
[[b_0^0, b_1^0, \dots, b_{m_0}^0], \dots [b_0^n, b_1^n, \dots, b_{m_n}^n]] & \xrightarrow[\mu_B = \sum_i g_i(b_i)]{} & [b_0^0, b_1^0, \dots, b_{m_0}^0, \dots, b_0^n, b_1^n, \dots, b_{m_n}^n]
\end{array} &
\end{array}$$

### 3 Comonads