

# How to determine the number of trainable parameters in a convolutional neural network

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**Use Case: a simple CNN for MNIST digit classification.**

- **Consider the following network architecture:**

- Input layer that takes grayscale digit images of size  $28 \times 28$ .
- Convolutional layer 1:  $5 \times 5$  filters and 4 feature maps.
- Max pooling layer 1: size  $2 \times 2$ .
- Convolutional layer 2:  $3 \times 3$  filters and 8 feature maps.
- Max pooling layer 2: size  $2 \times 2$ .
- Convolutional layer 3:  $3 \times 3$  filters and 16 feature maps.
- Flattening before the fully connected layer.
- Fully connected layer: 16 units.
- Output layer: 10 units (for digits 0 to 9).
- No padding is used and all convolutions use a stride of 1.

1. **Determine the shape of the tensor after the first max pooling layer.**

The input layer has a shape of  $28 \times 28 \times 1$ . After the first convolutional layer, the shape of the output is  $24 \times 24 \times 4$  (the  $5 \times 5$  filters reduce the size of the input image by 2 at the borders and we have 4 feature maps). The first max pooling layer downsamples the output of the previous layer by a factor of 2, resulting in a tensor of shape  $12 \times 12 \times 4$ .

2. **Determine the shape of the tensor after the third convolutional layer.**

The shape of the tensor after the second convolutional layer is  $10 \times 10 \times 8$  (we have 8 feature maps and the  $3 \times 3$  filters reduce the size of the tensor by 1 at the borders). The second max pooling layer downsamples the output of the previous layer by a factor of 2, resulting in a tensor of shape  $5 \times 5 \times 8$ . Finally, the shape of the tensor after the third convolutional layer is  $3 \times 3 \times 16$  (we have 16 feature maps and the  $3 \times 3$  filters reduce the size of the tensor by 1 at the borders).

**3. How many units do we get when we flatten the output of the third convolutional layer?**

The shape of the tensor after the third convolutional layer (just before the flattening) is  $3 \times 3 \times 16$ , so we get  $(3 \times 3 \times 16)$  units = 144 units.

**4. Determine the number of trainable parameters of the network.**

The total number of trainable parameters in the network is the sum of the trainable parameters of all the layers.

- The input layers, the pooling layers and the dropout layers have no trainable parameters.
- For the convolutional layers, the number of trainable parameters can be computed by  $(n \times m \times l + 1) \times k$ , where  $(n \times m)$  is the filter size,  $l$  is the number of input feature maps and  $k$  is the number of output feature maps. The  $+1$  term in the equation takes into account the bias terms.
- For the fully connected layers, the number of trainable parameters can be computed by  $(n + 1) \times m$ , where  $n$  is the number of input units and  $m$  is the number of output units. The  $+1$  term in the equation takes into account the bias terms.

Let's count the number of trainable parameters in each layer:

- Conv. layer 1:  $(5 \times 5 \times 1 + 1) \times 4 = 104$  trainable parameters.
- Conv. layer 2:  $(3 \times 3 \times 4 + 1) \times 8 = 296$  trainable parameters.
- Conv. layer 3:  $(3 \times 3 \times 8 + 1) \times 16 = 1168$  trainable parameters.
- Dense layer:  $(144 + 1) \times 16 = 2320$  trainable parameters.
- Output layer:  $(16 + 1) \times 10 = 170$  trainable parameters.

The network has a total of  $104 + 296 + 1168 + 2320 + 170 = 4058$  trainable parameters.