

# Toric Surface Codes and the Periodicity of Polytopes

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# Preliminaries

# What is a code?

- 1 Let  $\mathbb{F}_q$  be a finite field, with  $q = p^l$  elements. A code  $C$  over  $\mathbb{F}_q$  is a subset of  $\mathbb{F}_q^n = \mathbb{F}_q \times \dots \times \mathbb{F}_q$ .
- 2 Elements of a code are called **codewords**, and the **length** of the code is  **$n$** , where  $C \subset \mathbb{F}_q^n$ .
- 3  $C$  is a **linear code** if it is a vector subspace of  $\mathbb{F}_q^n$ , and the dimension of the code is  $k := \dim_{\mathbb{F}_q} C$ . The dimension of the code tells us how much information each codeword contains.

# What is a code?

- ① For  $x = (x_1, \dots, x_n), y = (y_1, \dots, y_n) \in \mathbb{F}_q^n$ , **Hamming distance** from  $x$  to  $y$  is

$$d(x, y) := \#\{i \mid x_i \neq y_i\}$$

The **Hamming weight** of  $x$  is  $wt(x) = d(x, (0, 0, \dots, 0))$ , or simply the number of non-zero entries in a codeword.

- ② The **minimum distance** of  $C$  is

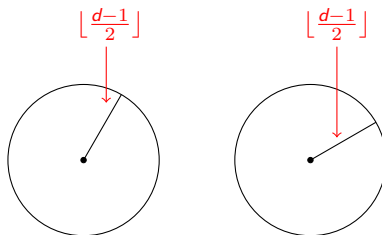
$$d_{\min} = \min\{d(x, y) \mid x, y \in C \text{ and } x \neq y\}$$

If  $C$  is a linear code,

$$d_{\min} = \min\{wt(x) \mid x \in C \text{ and } x \neq (0, 0, \dots, 0)\}.$$

# Minimum Distance

The minimum distance of a code tells you how many errors a code can detect/correct. Linear codes can detect up to  $d - 1$  errors and correct up to  $\lfloor \frac{d-1}{2} \rfloor$  errors.



# Toric Codes

**Hansen (1997):** Consider codes given by **toric varieties**:

$$\{\text{toric variety of dim } m\} \leftrightarrow \{\text{an integral convex polytope } P \subset \mathbb{R}^m\}$$

Given an integral convex polytope  $P \subset \mathbb{R}^m$ :

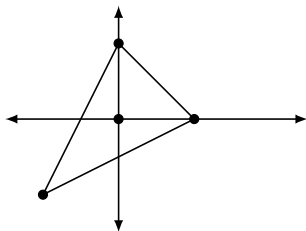
$$L_P = \text{Span}_{\mathbb{F}_q} \{x^\beta \mid \beta \in P \cap \mathbb{Z}^m\}$$

and define the evaluation map

$$\begin{aligned} \text{ev}: L_P &\rightarrow \mathbb{F}_q^{(q-1)^m} \\ f &\mapsto (f(\gamma) \mid \gamma \in (\mathbb{F}_q^*)^m) \end{aligned}$$

The image of the evaluation map gives the **toric code**  $C_P(\mathbb{F}_q)$ . The matrix corresponding to this evaluation map gives the generator matrix for  $C_P$ .

**Example:** Consider the polytope  $P \subset \mathbb{R}^2$  with the  $k = 4$  lattice points  $(0, 0)$ ,  $(1, 0)$ ,  $(0, 1)$  and  $(-1, -1)$



$$\begin{aligned} L_P &= \text{Span}_{\mathbb{F}_q} \{x^0 y^0, x^1 y^0, x^0 y^1, x^{-1} y^{-1}\} \\ &= \text{Span}_{\mathbb{F}_q} \{1, x, y, x^{-1} y^{-1}\} \end{aligned}$$

Given  $P \subset \mathbb{R}^m$ , we know the length and dimension of  $P$ 's corresponding code.

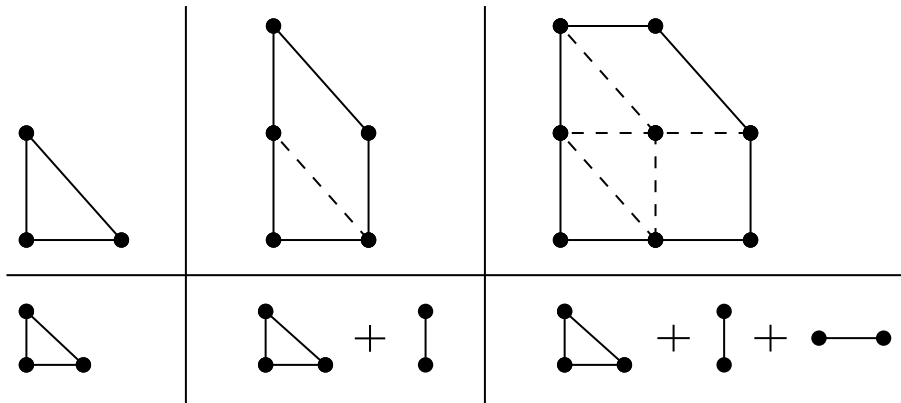
- The length of  $C_P(\mathbb{F}_q)$  is  $n = (q - 1)^m$
- The dimension of  $C_P(\mathbb{F}_q)$  is  $k =$  the number of lattice points in  $P$
- The minimum distance of  $C_P$ , denoted  $d(C_P)$ , is exactly  $(q - 1)^m - \max_{0 \neq f \in L_P} |Z(f)|$  where  $Z(f)$  is the set of all  $(\mathbb{F}_q^\times)^m$ -zeros of  $f$ .



# Minkowski Sum

Let  $P$  and  $Q$  be convex polytopes in  $\mathbb{R}^m$ . Their **Minkowski sum** is

$$P + Q := \{p + q \in \mathbb{R}^m \mid p \in P, q \in Q\}$$



# Minkowski Length

The (full) **Minkowski length**  $L = L(P)$  of a lattice polytope  $P$  is the largest number of primitive segments (line segments with lattice points only on each end) whose Minkowski sum is in  $P$ .

Equivalently,  $L(P)$  is the largest number of non-trivial lattice polytopes whose Minkowski sum is in  $P$ . Such a polytope is called a **maximal decomposition** in  $P$ .

# The Connection to Minimum Distance

In [1], Soprunov and Soprunova proved the following, relating the Minkowski length of polytopes to the minimum distance of the codes generated by them:

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## Proposition

$|Z(f)| \leq L(q-1) + \lfloor 2\sqrt{q} \rfloor - 1$  where  $f$  is the polynomial with the largest number of irreducible factors.

Thus, if we can determine with certainty  $L(P)$ , then we have a direct bound on  $d(C_P)$  because  $d(C_P) = (q-1)^2 - \max_{f \in L_P} |Z(f)|$ .

# A Stronger Connection to Toric Surface Codes

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## Proposition

Suppose that  $P \subset \mathbb{R}^2$  does not contain an exceptional triangle in any maximal decomposition. Let  $0 \neq g \in L_P$  be a polynomial with maximum number of zeros and  $g = g_1 \dots g_r$  be its factorization into irreducible polynomials. Then, when  $q$  is sufficiently large, we have that  $r = L(P)$ .

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**Take-away:** To compute the maximum number of zeros in  $L_P$  (equivalently  $d(C_P)$ ), we only need to look at the polynomials corresponding to maximal decompositions in  $P$ .

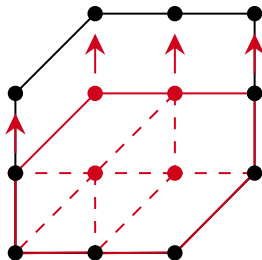
# The Mapping Lemma

$$f: \partial Z \cap \mathbb{Z}^2 \rightarrow \partial P \cap \mathbb{Z}^2 \Rightarrow \#\partial P \geq \#\partial Z$$



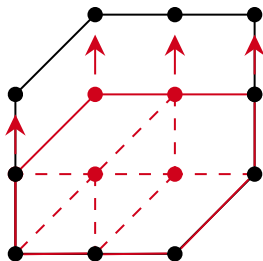
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## Proposition

Let  $P \subset \mathbb{R}^2$  be an integral convex polytope which is lattice equivalent to

$$Q = m[0, \vec{e}_1] + n[0, \vec{e}_2] + \ell[0, \vec{e}_1 + \vec{e}_2].$$

Then,  $L(P) = m + n + \ell$ .

## Periodicity of Polytopes

# Scaling a Polytope

One important transformation is the  **$t$ -dilation of a polytope  $P$**

$$tP := \{tp : p \in P\}.$$

While this transformation is easily defined, the effect it has on the Minkowski length of  $P$  is not so easily described.

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$$L(tP) \geq tL(P).$$

But, when do we have equality (=) or strict inequality (>)?

# Period-1 Polytopes

We know that

$$Q = m[0, \vec{e}_1] + n[0, \vec{e}_2] + \ell[0, \vec{e}_1 + \vec{e}_2]$$

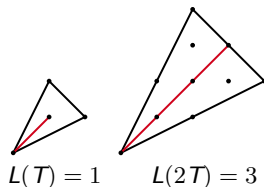
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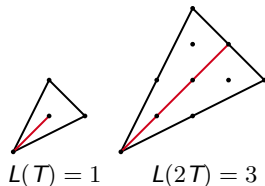


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## Definition

Let  $P \subset \mathbb{R}^m$  be a convex integral polytope. We say that  $P$  is a **period-1** polytope iff  $L(tP) = tL(P)$  for all  $t \geq 0$ . If there is some  $t$  such that  $L(tP) > tL(P)$  then we say that  $P$  has **period strictly greater than 1**. Equivalently defined in [2].



# Period-1 Polytopes and The Exceptional Triangle

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$$L(tP) \geq L(tQ) \geq L(tT_0) + t(L-1) > tL = tL(P)$$

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## Proposition

If  $P$  is a period-1 polytope then the exceptional triangle doesn't appear in any maximal decomposition.

# Periodicity and Subpolytopes

Let  $P, Q \subset \mathbb{R}^m$  be integral polytopes.

## Proposition

If  $P \subseteq Q$  with  $L(P) = L(Q)$  and  $Q$  has period 1, then  $P$  also has period 1.

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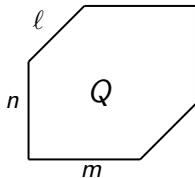
## A Minimum Distance Formula

# Minimum Distance of Smallest Maximal Decompositions

It is known [1] that all smallest maximal decompositions are lattice equivalent to  $Q = m[0, \vec{e}_1] + n[0, \vec{e}_2] + \ell[0, \vec{e}_1 + \vec{e}_2]$ .

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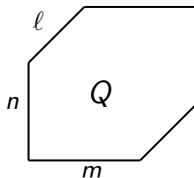
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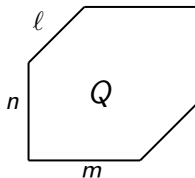


## Lemma

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## Lemma

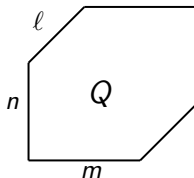
The only maximal decomposition in  $Q$  is  $Q$  itself.

Thus, the polynomial in  $L_Q$  which has the maximum number of zeros takes the form

$$\prod_{i=1}^m (x - a_i) \prod_{i=1}^n (y - b_i) \prod_{i=1}^{\ell} (xy - c_i).$$

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## Theorem

The minimum distance of the toric code associate to  $Q$  is

$$d(C_Q) = \begin{cases} (q-1)^2 - L(Q)(q-1) + mn, & \text{when } \ell = 0 \\ (q-1)^2 - L(Q)(q-1) + \ell(m+n) & \text{when } \ell > 0 \end{cases}.$$

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- [1] Ivan Soprunov and Jenya Soprunova. Toric surface codes and Minkowski length of polygons. *SIAM J. Discrete Math.*, 23(1):384–400, 2008/09.
- [2] Ivan Soprunov and Jenya Soprunova. Eventual quasi-linearity of the Minkowski length. *European J. Combin.*, 58:107–117, 2016.