

# Advanced comprehensive examination in applied mathematics

---

Andrew MacFie

2017.Nov.2

Carleton University, Ottawa-Carleton Institute of Mathematics and Statistics

## **My background**

---

## Experience prior to PhD program

*Counting words by number of occurrences of some patterns* (with Zhicheng Gao and Daniel Panario)

Electronic Journal of Combinatorics, 2011

*Random mappings with restricted preimages* (with Daniel Panario)

LATINCRYPT 2012

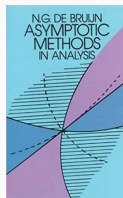
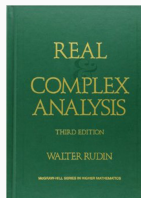
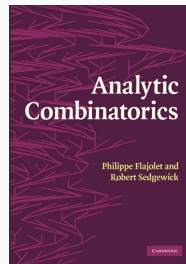
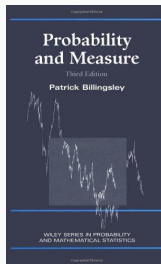
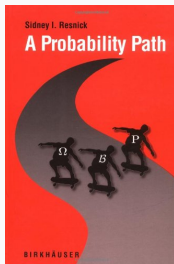
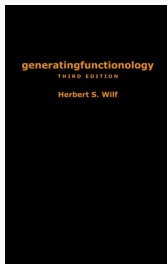
*Software for enumerative and analytic combinatorics*

Honours project (2013), supervised by Daniel Panario and Brett Stevens

*Software for combinatorial power series*

MSc thesis (2014), supervised by Daniel Panario

# Training (during and prior to PhD program)



## PhD program experience to date (research)

*Analysis of algorithms and partial algorithms*

AGI 2016

*Plausibility and probability in deductive reasoning*

public draft, 2017

*Approximation algorithms and conditional expectation [working title]* (with Boaz Barak)

public draft, 2017

*Counting restricted compositions over finite abelian groups* (with Zhicheng Gao and Qiang Wang)

submitted (2017)

## PhD program experience to date (external programs)

*Summer fellowship*, Machine Intelligence Research Institute, Berkeley, CA (2016)

*Winter school on sum-of-squares algorithm*, University of California, San Diego (2017)

*Summer school on random graphs and probabilistic methods*, Fields Institute (2017)

# Thesis

---

combinatorial objects  $\rightarrow$  generating functions  $\rightarrow$  asymptotics

*objects*: words, integers compositions, integer partitions, mappings, trees, set partitions, permutations, graphs, . . .

*counting*: symbolic method, inclusion-exclusion, recurrences, refinements and decompositions, Pólya theory

*asymptotics*: Taylor and Puiseux expansions, Euler-Maclaurin summation, singularity analysis, saddle-point method, bootstrapping

*multivariate asymptotics*: quasi-powers theorem (basin of attraction around standard normal), discrete-to-discrete limits



# Compositions avoiding subsequence patterns

Reduction of word:  $red(22454) = 11232$ . Subsequence pattern occurrence is subsequence  $w$ / same reduction as pattern, e.g. pattern 122 in 473472.

## *Integer compositions*

Previous: GF for avoiding 3-letter permutation patterns given in [SW06] based on alphabet vector GF in [ALW95]. Recurrences for 3-letter word pattern avoidance in [HM06]. Some partially-ordered-pattern (POP) avoidance in [HKM06].

Unsolved patterns include POP  $1'1'' \dots 1^{(p)}2^m$ . (Equal letters with different number of primes are incomparable.)

## *Compositions over $\mathbb{Z}_k$*

No previous work in subsequence pattern avoidance. Main idea: Restrict parts to  $[0..k-1]$  and use multisection formula.

## Transfer matrix simplification

Weak equivalence of DFAs: DFAs accept same number of strings of each length. Weak minimization algorithm (RE algorithm) given in [RE04].

Idea: Use RE algorithm to extract simpler recurrences from transfer matrices rather than to get faster computation. Apply as a technique for infinite families of transfer matrices. Much easier than computing symbolic power of matrix, even for non-symbolic matrices.

Examples: Locally Mullen compositions (compositions over  $\mathbb{Z}_k$  s.t. local subsums are nonzero), subword patterns in compositions over  $\mathbb{Z}_k$

# Circular and cyclic locally-restricted words and compositions

*Cyclic*: local restriction wraps around from end to beginning

Includes “Research Direction 3.3” in [HM10], which refers only to Carlitz compositions. Main idea: count closed walks using modified transfer matrix method.

*Circular*: cyclic and counting only orbits under circular shift

To count orbits, we can use Moebius inversion. Main idea: if  $u$  satisfies cyclic local restriction, so does  $uu$ ; this gives simple structure for orbits. Gotcha: must handle orbits under cyclic shifts smaller than width of one vertex.

Carlitz compositions (special case) done in [Had17].

# Multivariate local limit quasi-powers theorem

Generalize Theorem IX.14 in [FS09] to multivariate generating functions beyond bivariate.

Sketch of above theorem: Let  $X_n$  be sequence of discrete RVs with PGFs  $p_n(u)$ , means  $\mu_n$ , and variances  $\sigma_n$ . Assume

$$p_n(u) = A(u)B(u)^{\beta_n} \left(1 + O\left(\frac{1}{\kappa_n}\right)\right)$$

uniformly for  $u$  in a neighborhood  $\Omega \subseteq \mathbb{C}$  of 1. Assume  $|p_n(u)| \leq K^{-\beta_n}$  for some  $K > 1$  and all  $u \in D(0, 1) \cap \mathbb{C} \setminus \Omega$ . Then

$$\sigma_n p_{n, \lfloor \mu_n + x\sigma_n \rfloor} \rightarrow \frac{1}{\sqrt{2\pi}} e^{-x^2/2}$$

uniformly for  $x \in \mathbb{R}$ .

## Other problems 1

*Count words avoiding pattern(s) with given longest run*

Subword patterns dealt with in [BG16].

*Circular and cyclic words and compositions avoiding subsequence patterns*

No longer have property that if  $u$  avoids pattern so does  $uu$ .

*Parameters of restricted mappings*

For random finite function,  $T$  is lcm of cycle lengths (in functional graph). Find limiting distribution of (normalization of)  $\log T$  where mappings are restricted by a set of allowed indegrees. Indegree set  $\{0, k(n)\}$  dealt with in [MPQS17].

## Other problems 2

### *Largest part in compositional structure*

Let  $P(z)$  be a GF of *parts* and let  $S(z)$  be a GF of *supports*. Then  $S(P(z))$  is the GF of the relevant *compositional structures*. The composition  $S(P(z))$  is *supercritical* if dominant singularity comes from  $S$ , *subcritical* if comes from  $P$  and *critical* if  $S$  and  $P$  are singular simultaneously.

Obtain distribution of largest part in critical and subcritical compositional classes. This is an open problem mentioned in [BG14] which deals with the supercritical case (which is probably more common than critical and subcritical).