Comps Practice

Aniruddha Madhava

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Contents

Comps Lemma

> **Problem Comps Lemma.** Let M, N be smooth, connected, n-manifolds, and $f : M \to N$ a (smooth) immersion. If M is compact and nonempty, then N is compact and f is a (smooth) covering map.

Let M, N be smooth, connected n-manifolds and $f : M \to N$ an immersion. Assume that M is compact and nonempty. Since $\dim N = n$ and f is an immersion, $\operatorname{rank} df_p = n$ at every $p \in M$. Hence, by the Inverse Function Theorem, f is a local diffeomorphism. Since local diffeomorphisms are open maps, $f(M)$ is open in N. On the other hand, since the continuous image of compact sets is compact, $f(M)$ is compact in N. Since N is Hausdorff, $f(M)$ is closed in N. Since N is connected, $f(M) = N$. Therefore, N is compact.

Now, let $q \in N$, and consider $f^{-1}(q) \subset M$. For each $x \in f^{-1}(q)$, let U_x be an open neighborhood of M containing x. Since M is Hausdorff, we can shrink each U_x so that these neighborhoods are pairwise disjoint. This means that each $x \in f^{-1}(q)$ is isolated, and hence $f^{-1}(q)$ is discrete. Since M is compact, we conclude that $f^{-1}(q)$ must be finite; let $f^{-1}(q) = \{x_1, \ldots, x_s\}$. As noted above, for each $j = 1, \ldots, s$, let U_j be a neighborhood of x_j such that $f|_{U_j} : U_j \to V_j \subset N$ is a diffeomorphism. Then by the Hausdorff condition on M, shrink each U_j so that $U_i \cap U_j = \varnothing$ for all $i \neq j$; f remains a diffeomorphism on these shrunken neighborhoods. Setting $V = \bigcap_1^s f(U_j)$ and taking $\widetilde{U}_j = f^{-1}(V) \cap U_j$ gives us an evenly covered neighborhood of q in N.

January 2025

> **Problem 2025-J-I-1 (Algebra).** Let R be a UFD (unique factorization domain). Let F be its quotient field. Let $p(x) = x^n + b_{n-1}x^{n-1} + \cdots + b_0 \in F[x]$ be a monic polynomial with coefficients in R admitting a root $a \in F$. Prove that $a \in R$.

Let R be a UFD, and F its quotient field. Let $p(x) = x^n + b_{n-1}x^{n-1} + \cdots + b_0 \in F[x]$ be a monic polynomial with coefficients in R admitting a root $a \in F$. Let $a = c/d$, where $c, d \in R \smallsetminus \{0\}$ so that $\gcd(c, d) = 1$. By definition of a root, we must have

$$0 = p(a) = \left(\frac{c}{d}\right)^n + b_{n-1}\left(\frac{c}{d}\right)^{n-1} + \cdots + b_0. \tag{1}$$

Multiplying both sides by d^n,

$$c^n + d(b_{n-1}c^{n-1} + b_{n-2}c^{n-2}d \cdots + b_0 d^{n-1}) = 0 \implies c^n = -d(b_{n-1}c^{n-1} + \cdots + b_0 d^{n-1}). \tag{2}$$

From this, we observe that $d \mid c^n$. If d is not a unit in R, then every nonidentity irreducible divisor of d is an irreducible divisor of c^n, and hence an irreducible divisor of c. But this contradicts our hypothesis that $\gcd(c, d) = 1$. Hence, d has to be a unit of R. If $v \in R \smallsetminus \{0\}$ such that $dv = vd = 1$, then

$$a = \frac{c}{d} = \frac{c}{d} \cdot \frac{v}{v} = cv \in R. \tag{3}$$

Hence, this concludes the proof.

> **Problem 2025-J-I-2 (Real Analysis).** Let $\{f_n\}_{n \geq 1}$ be a sequence of Lebesgue-measurable functions on $[0, 1]$. Suppose that
> $$\int_0^1 f_n^2 \, dm \leq \frac{1}{n^2} \quad \text{for all } n \geq 1.$$
> Prove that f_n converges to 0 a.e. on $[0, 1]$.

Let $\{f_n\}_{n \geq 1}$ be a sequence of Lebesgue-measurable functions on $[0, 1]$ so that

$$\int_0^1 f_n^2 \, dm \leq \frac{1}{n^2} \quad \text{for all } n \geq 1. \tag{4}$$

Consider the sequence $\{\sum_1^m f_n^2\}$, which is increasing and converges a.e. to $\sum_1^\infty f_n^2$. Hence, by the Monotone Convergence Theorem,

$$\sum_1^\infty \int_0^1 f_n^2 = \lim_{m\to\infty} \sum_1^m \int_0^1 f_n^2 = \lim_{m\to\infty} \int_0^1 \sum_1^m f_n^2 = \int_0^1 \sum_1^\infty f_n^2 \leq \int_0^1 \sum_1^\infty \frac{1}{n^2} < \infty. \tag{5}$$

Therefore, $\sum_1^\infty f_n^2 \in L^1(\mathbb{R})$, which means that $\sum_1^\infty f_n^2 < \infty$ a.e. on $[0,1]$. Hence, $\sum_{n=1}^\infty f_n^2$ converges a.e. on $[0,1]$. This implies that $f_n^2 \to 0$ a.e. on $[0,1]$, and hence $f_n \to 0$ a.e. on $[0,1]$.

Problem 2025-J-I-3 (Geometry/Topology). Let M be an orientable, connected, and compact smooth n-manifold with boundary. Show that there is no (smooth) retraction to the boundary, that is, there does not exist a smooth map $f : M \to \partial M$ such that $f(x) = x$ when $x \in \partial M$.

Let M be an orientable, connected, and compact smooth n-manifold with boundary. Assume to the contrary that there exists a smooth map $f : M \to \partial M$ such that $f(x) = x$ when $x \in \partial M$. Let $\omega \in \Omega^{n-1}(\partial M)$ be a volume form for the boundary of M. Since volume forms are closed (hence, ω is closed), we have by Stokes's theorem

$$0 = \int_M f^* d\omega = \int_M d(f^*\omega) = \int_{\partial M} f^*\omega = \int_{\partial M} \omega > 0, \tag{6}$$

which is a contradiction. Hence, by contradiction, there cannot exist a smooth retraction to the boundary.

Problem 2025-J-II-3 (Algebra). Let V be a vector space of dimension n over $\mathbb{Q}$. Let $T : V \to V$ be a linear transformation with minimal polynomial $x^4 - x^2 - 2$ over $\mathbb{Q}$. Show that n must be even.

Consider V as a module over the ring $\mathbb{Q}[x]$ by letting a polynomial $f(x) \in \mathbb{Q}[x]$ act as the linear operator $f(T)$. Since $\dim V = n$, this module is finitely generated. By the structure theorem for finitely generated modules over principal ideal domains, V is isomorphic to a direct sum of modules of the form $\mathbb{Q}[x]/(p(x))^e$, where $p(x) \in \mathbb{Q}[x]$ is irreducible. Moreover, each $p(x)$ must divide the minimal polynomial of T. We note that over $\mathbb{Q}$,

$$x^4 - x^2 - 2 = (x^2 - 2)(x^2 + 1), \tag{7}$$

where both factors are irreducible over $\mathbb{Q}$. Therefore, the only choices for $p(x)$ are $x^2 - 2$ and $x^2 + 1$. Therefore, $\mathbb{Q}[x]/(p(x))^e$ has dimension $\deg p \cdot e = 2e$ for each choice of p. Since 2 divides these dimensions, we conclude that 2 must divide n. Hence, n is even.

Problem 2025-J-II-4 (Topology). Let Σ_2 be a compact oriented surface of genus 2. Is there a submersion $f : \Sigma_2 \to S^1 \times S^1$, where S^1 denotes the unit circle?

Assume to the contrary that there exists a submersion $f : \Sigma_2 \to S^1 \times S^1$, where S^1 denotes the unit circle. Since $\dim \Sigma_2 = \dim S^1 \times S^1 = 2$, df_p must have constant rank 2 at every $p \in \Sigma_2$. Hence, f is a local diffeomorphism. Since f is a local diffeomorphism, $f(\Sigma_2)$ is compact in $S^1 \times S^1$; since $S^1 \times S^1$ is Hausdorff, $f(\Sigma_2)$ must be closed in $S^1 \times S^1$. On the other hand, since local diffeomorphisms are open maps, $f(\Sigma_2)$ is open in $S^1 \times S^1$. Therefore, since $S^1 \times S^1$ is connected, $f(\Sigma_2) = S^1 \times S^1$; i.e., f is surjective. Therefore, f is a covering map. This means that the induced homomorphism, $f_* : \pi_1(\Sigma_2) \to \pi_1(S^1 \times S^1)$ is injective, and so $f_*(\pi_1(\Sigma_2)) \cong \operatorname{img} f_* \leq \pi_1(S^1 \times S^1)$. However, $\pi_1(S^1 \times S^1) \cong \mathbb{Z} \times \mathbb{Z}$ is an abelian group and cannot have any nonabelian subgroups, whereas $\pi_1(\Sigma_2)$ is nonabelian. Hence, by contradiction, f cannot be a submersion.

Problem 2025-J-II-5 (Analysis). Let V be a topological vector space whose topology is Hausdorff. Let X_1 and X_2 be two Banach spaces, and assume there exist continuous linear bijections $F_1 : X_1 \to V$ and $F_2 : X_2 \to V$. Show that there is a continuous linear bijection $G : X_1 \to X_2$.

Assume the given hypotheses. Let $G = F_2^{-1} \circ F_2$. Since F_1, F_2 are bijections, we conclude that G is a bijection. Likewise, since F_1, F_2 are linear, G must also be linear. It suffices to prove that G is continuous. By the Closed Graph Theorem, continuity of G is equivalent to the graph of G being a closed subspace of $X_1 \times X_2$. Let $\{x_n\} \subset X_1$ be a sequence in X_1 such that $x_n \to x$ and $y_n = Gx_n \to y$. We need to show that $y = Gx$. By continuity of F_1, $F_1 x_n \to Fx$. By continuity of F_2,

$$F_2 y = \lim F_2 y_n = \lim F_2 G x_n = \lim F_1 x_n = F_1 x. \tag{8}$$

Since F_2 is bijective, $y = F_2^{-1} F_1 x = Gx$. Hence, the graph of G is closed, which implies that G is continuous.

August 2025

> **Problem 2025-A-I-1 (Geometry/Topology).** Let S be a closed orientable surface of genus 4 and C be an embedded circle that partitions S into two subsurfaces of genus 2. Does S retract to C?

We claim that the answer is no; assume to the contrary that there exists a retraction $r : S \to C$. Let $i : C \hookrightarrow S$ be the inclusion map so that $r \circ i = \mathrm{id}_C$. Now since C is an embedded circle, $H_1(C)$ (i.e., the first homology) is isomorphic to $H_1(S^1) = \mathbb{Z}$. On the other hand, since C is separating in S, its homology class in $H_1(S)$ is the zero element. Hence, the induced map $i_* : H_1(C) \to H_1(S)$ is the zero map. But this is impossible since if i_* is the zero map,

$$0 = r_* \circ i_* = (r \circ i)_* = \mathrm{id}_{H_1}(C), \tag{9}$$

which is a contradiction. Hence, no such retraction can exist.

> **Problem 2025-A-I-6 (Algebra).** Let $f(x)$ be an irreducible polynomial of degree n over a field F, and let $g(x)$ be any polynomial in $F[x]$. Prove that every irreducible factor of the composition $f(g(x))$ has degree divisible by n.

Let $h(x)$ be an irreducible factor of $f(g(x))$ in $F[x]$ and let α be the root of $h(x)$ in some algebraic closure of F. Since h is irreducible and α is a root, the minimum polynomial of α over F is h. Therefore,

$$\deg h = [F(\alpha) : F]. \tag{10}$$

Now since α is a root of $h(x) = f(g(x))$, $f(g(\alpha)) = 0$. In particular, $g(\alpha)$ is a root of f. Since f is irreducible of degree n over F, the minimal polynomial of $g(\alpha)$ over α is f. Hence,

$$[F(g(\alpha)) : F] = n. \tag{11}$$

Since $F \subset F(g(\alpha)) \subset F(\alpha)$, by the Tower Law,

$$\deg h = [F : (\alpha) : F] = [F(\alpha) : F(g(\alpha))] \cdot [F(g(\alpha)) : F] = n[F(\alpha) : F(g(\alpha))], \tag{12}$$

so that $n \mid \deg h$. Hence, this concludes the proof.

> **Problem 2025-A-II-2 (Geometry/Topology).** Consider the plane distribution in $\mathbb{R}^3$ spanned by two vector fields
> $$V = \partial_x + 2xy\partial_z, \qquad W = x\partial_x + \partial_y + (2x^2 y + x^2 - 2y)\partial_z. \tag{13}$$
>   (i) Show that this distribution is integrable.
> (ii) Does the pair of vector fields V and W generate a coordinate system on integral surfaces? If not, find a pair that can play this role for the local integral surfaces passing through points $(0, 0, z_0)$.

(i) Let D be the plane distribution in $\mathbb{R}^3$ spanned by the two vector fields V and W given above. Then by the Frobenius Theorem, D is integrable if and only if D is involutive, which is true if and only if the Lie Bracket of V and W is a smooth section of D at each $p \in \mathbb{R}^3$. We observe that:

$$\begin{aligned} V(W) &= (\partial_x + 2xy\partial_z)\,(x\partial_x + \partial_y + (2x^2 y + x^2 - 2y)\partial_z) \\ &= \partial_x + (4xy + 2x)\partial_z. \\ W(V) &= \left(x\partial_x + \partial_y + (2x^2 y + x^2 - 2y)\partial_z\right)(\partial_x + 2xy\partial_z) \\ &= 2xy\partial_z + 2x\partial_z. \end{aligned} \tag{14}$$

Therefore, for any $p \in \mathbb{R}^3$,

$$[V,W] = V(W) - W(V) = \partial_x + 2xy\partial_z = V. \tag{15}$$

Since V is a smooth section of D, we conclude that D is involutive, and hence integrable.

(ii) Let $\mathcal{S}$ be an integral surface, and assume there are coordinates (u,v) on $\mathcal{S}$ such that $V|_{\mathcal{S}} = \partial_u$ and $W|_{\mathcal{S}} = \partial_v$. Then we observe that $[V|_{\mathcal{S}}, W|_{\mathcal{S}}] = \partial_u(\partial_v) - \partial_v(\partial_u) = 0$. On the other hand,

$$[V|_{\mathcal{S}}, W|_{\mathcal{S}}] = ([V,W])|_{\mathcal{S}} = V|_{\mathcal{S}} \neq 0, \tag{16}$$

which is a contradiction. Therefore, V and W cannot generate a coordinate system on integral surfaces. However, consider the fields $\widetilde{V} = V$ and $\widetilde{W} = W - xV$ on $\mathbb{R}^3$. Then since

$$[\widetilde{V}, \widetilde{W}] = V(W - xV) - (W - xV)(V) = VW - xVV - W(V) + xVV = 0, \tag{17}$$

and so this pair generates a coordinate system on all integral surfaces.

January 2024

Problem 2024-J-I-1 (Algebra). For distinct odd primes p and q, prove that every finite group of order $2pq$ is a semidirect product of a normal subgroup of order pq and a subgroup of order 2.

Let G be a group of order $2pq$, where p, q are distinct odd primes. Without loss of generality, assume $q > p$. By Sylow's Theorem,

$$n_q \in \{1, 2, p, 2p\} \cap \{1, q+1, \ldots\} = 1, \tag{18}$$

since $q > 2$ and $q > p$. Therefore, G has a unique, normal, Sylow q-subgroup, which we denote as Q. Let P be a Sylow p-subgroup of G. By the Second Isomorphism Theorem, we conclude that $N = PQ$ is a subgroup of G of order $|P||Q| = pq$. Since $|G : N| = 2pq/(pq) = 2$, where 2 is the smallest prime dividing $|G|$, we conclude that N is a normal subgroup of G. Next, by Cauchy's Theorem, G contains an element of order 2. Let M be the subgroup generated by this element, which also must have order 2. By Lagrange's Theorem, $N \cap M = \{e\}$. Next,

$$|NM| = \frac{|N||M|}{|N \cap M|} = |N||M| = 2pq = |G|, \tag{19}$$

so that $G = NM$. Therefore, we conclude that $G = N \rtimes M$.

Problem 2024-J-I-2 (Geometry/Topology). Let $p : E \to B$ be a covering space map, with B and E path connected. Choose a point $e_0 \in E$ and $b_0 \in B$ such that $p(e_0) = b_0$. This gives us a subgroup $H = p_*\pi_1(E, e_0)$ of the fundamental group $G = \pi_1(B, b_0)$.
Construct a bijection between the fiber $p^{-1}(b_0)$ and the set of right cosets of H and prove that this is indeed a bijection. Prove that the number of sheets of p equals the index $(G : H)$.

Assume all of the given hypotheses. Let $\phi : \pi_1(B, b_0) \to p^{-1}(b_0)$ be the lifting correspondence induced by p defined by $\phi([f]) = \tilde{f}(1)$, where $\tilde{f}$ is the lift of f, and let $\Phi : \pi_1(B, b_0)/H \to p^{-1}(b_0)$ be the map induced by ϕ. It suffices to prove that Φ is a bijection.

(i) Since E is path connected and $p : E \to B$ is a covering map, the lifting correspondence ϕ must be surjective. Hence, since Φ is induced by ϕ, it follows that Φ is also surjective.

(ii) Now we will show that Φ is injective. Let f and g be two paths in B, and $\widetilde{f}, \widetilde{g}$ their liftings to paths in E that begin at e_0. We must show that $\widetilde{f}(1) = \widetilde{g}(1)$ iff $[f] \in H * [g]$.

($\Leftarrow$) Suppose $[f] = [h * g]$, where $h = p \circ \tilde{h}$ for some loop $\tilde{h}$ in E based at e_0. Since $\tilde{g}$ is a path in E that *begins* at e_0, the product $\tilde{h} * \tilde{g}$ is well-defined. Since $[f] = [h * g]$, it follows that $\tilde{f}$ and $\tilde{h} * \tilde{g}$ must end at the same point. Hence, $\tilde{f}$ and $\tilde{g}$ end at the same point. Therefore, $\phi([f]) = \phi([g])$.

($\Rightarrow$) Suppose $\phi([f]) = \phi([g])$, which means that $\tilde{f}(1) = \tilde{g}(1)$. Then the product of $\tilde{f}$ with the reverse of $\tilde{g}$ is well-defined and is a loop $\tilde{h}$ in E based at e_0. By direct computation, $[\tilde{h} * \tilde{g}] = [\tilde{f}]$. If $\tilde{F}$ is a path homotopy between $\tilde{h} * \tilde{g}$ and $\tilde{f}$, then $p \circ \tilde{F}$ is a path homotopy between $h * g$ and f, which means that $[f] \in H * [g]$. Hence, this concludes the proof that Φ is injective.

Hence, $|p^{-1}(b_0)| = |G/H| = (G : H)$.

Problem 2024-J-I-3 (Complex Analysis). Suppose f is continuous on the plane and holomorphic on $\mathbb{C} \setminus \mathbb{R}$. Prove that f is holomorphic on the whole plane.

Let $f : \mathbb{C} \to \mathbb{C}$ be continuous on $\mathbb{C}$ and holomorphic on $\mathbb{C} \setminus \mathbb{R}$. We show that f is holomorphic on all of $\mathbb{C}$.

By Morera's Theorem, it suffices to prove that

$$\oint_\gamma f(z)\,dz = 0$$

for every closed piecewise C^1 curve $\gamma \subset \mathbb{C}$.

If γ lies entirely in the upper or lower half-plane, then f is holomorphic on a neighborhood of γ, and by the Cauchy–Goursat theorem,

$$\oint_\gamma f(z)\,dz = 0.$$

Now suppose that γ intersects the real axis. For $\varepsilon > 0$, construct a closed piecewise C^1 curve γ_ε by modifying γ so that it avoids the real axis by small detours of height $\pm\varepsilon$. Then $\gamma_\varepsilon \subset \mathbb{C} \setminus \mathbb{R}$, so f is holomorphic on a neighborhood of γ_ε, and hence

$$\oint_{\gamma_\varepsilon} f(z)\,dz = 0.$$

Since f is continuous on $\mathbb{C}$, it is uniformly continuous on compact sets, and the total length of the detours tends to 0 as $\varepsilon \to 0$. Therefore,

$$\lim_{\varepsilon \to 0} \oint_{\gamma_\varepsilon} f(z)\,dz = \oint_\gamma f(z)\,dz.$$

Thus $\oint_\gamma f(z)\,dz = 0$.

Since this holds for every closed piecewise C^1 curve in $\mathbb{C}$, Morera's Theorem implies that f is holomorphic on all of $\mathbb{C}$.

Problem 2024-J-I-4 (Algebra). For each field K, prove that the polynomial ring $K[x, y]$ in two variables is not a principal ideal domain.

Let K be a field, and consider the polynomial ring $K[x, y]$. Let (x, y) be the proper ideal of $K[x, y]$ generated by the monomials x and y. Assume to the contrary that $(x, y) = (f(x, y))$ where $f(x, y) \in K[x, y]$ is not a unit of the polynomial ring. Since $x \in (f(x, y))$, $f(x, y) \mid x$. By our assumption that f is not a unit, it follows that $f(x, y)$ is an associate of x. Likewise, $f(x, y)$ must be an associate of y. But this is impossible since x and y are not associates of each other. This forces $f(x, y)$ to be a unit, which means that $(f(x, y)) = K[x, y]$. But this contradicts the fact that $(x, y) = (f(x, y))$ is a proper ideal. Hence, by contradiction, (x, y) is not a principal ideal, and so $K[x, y]$ is not a principal ideal domain.

Problem 2024-J-I-5 (Geometry/Topology). Let α be a closed 1-form on $\mathbb{RP}^n$, $n > 1$. Show that if $f : [0,1] \to \mathbb{RP}^n$ is a smooth function such that $f(0) = f(1)$, then

$$\int_{[0,1]} f^* \alpha = 0.$$

Include all calculations that are relevant to your solution.

We recall that $H^k(\mathbb{RP}^n) = 0$ for all $0 < k < n$ so that $H^1(\mathbb{RP}^n) = 0$ if $n > 1$. In particular, this means that α is also an exact 1-form on $\mathbb{RP}^n$. Let g be a smooth function on $\mathbb{RP}^n$ so that $\alpha = dg$. Then

$$\int_0^1 f^* \alpha = \int_0^1 f^* dg = \int_0^1 d(f^* g) = g(f(1)) - g(f(0)) = 0, \tag{20}$$

where the last equality follows from the fact that $f(1) = f(0)$. Hence, the proof concludes.

Problem 2024-J-II-3 (Geometry/Topology). Let Σ be a genus 2 surface embedded in $\mathbb{R}^3$ as shown in the picture. Let M be the closure of the *unbounded* component of $\mathbb{R}^3 \smallsetminus \Sigma$; in other words, M is the part of $\mathbb{R}^3$ which is *not* enclosed by Σ.
  (a) Compute $\pi_1(M)$.
  (b) Is Σ a retract of M?
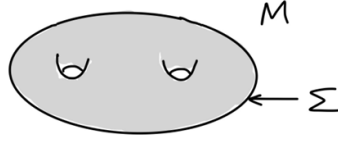
(a)

Problem 2024-J-II-6 (Geometry/Topology). Let M be a smooth n-manifold, and let φ be a differential k-form on M which is closed, in the sense that $d\varphi = 0$. At each point $p \in M$, define

$$D_p = \left\{ v \in T_p M : v \lrcorner \varphi = 0 \right\}, \tag{21}$$

where $\lrcorner$ denotes the interior product. Assume $\ell := \dim D_p$, so that $D \subset TM$ is a rank-ℓ vector subbundle of the tangent bundle of M. Prove that D is an integrable distribution of ℓ-planes, in the sense of the Frobenius Theorem.

By the Frobenius Theorem, it suffices to prove that D is involutive, which is to say that if X, Y are smooth sections of D, then $[X, Y]$ is also a smooth section of D. Indeed, let X, Y be smooth sections of D, which means that $X \lrcorner \varphi, Y \lrcorner \varphi = 0$. Observe that,

$$[X, Y] \lrcorner \varphi = \mathcal{L}_X(Y \lrcorner \varphi) - Y \lrcorner (\mathcal{L}_X \varphi). \tag{22}$$

By hypothesis, $Y \lrcorner \varphi = 0$ so that $\mathcal{L}_X(Y \lrcorner \varphi) = 0$. On the other hand, by Cartan's Formula,

$$\mathcal{L}_X \varphi = d(X \lrcorner \varphi) + X \lrcorner d\varphi = 0, \tag{23}$$

by the hypotheses. Hence, this shows that $[X, Y] \lrcorner \varphi = 0$, and so $[X, Y]$ is a smooth section of D. Therefore, D is involutive, which means that it is Frobenius integrable.

Problem 2024-J-II-4 (Algebra). Let $\alpha = \sqrt{2 + \sqrt{3}} \in \mathbb{C}$. Let K be the smallest Galois extension of $\mathbb{Q}$ which contains α. Describe the Galois group $\mathrm{Gal}(K/\mathbb{Q})$.

Let $\alpha = \sqrt{2 + \sqrt{3}} \in \mathbb{C}$, and K the smallest Galois extension of $\mathbb{Q}$ that contains α. We start by finding the minimal polynomial of α. We observe that

$$\alpha^2 = 2 + \sqrt{3} \implies (\alpha^2 - 2)^2 - 3 = 0. \tag{24}$$

Simplifying,

$$\alpha^4 - 4\alpha^2 + 1 = 0. \tag{25}$$

I.e., the polynomial $x^4 - 4x^2 + 1$ is the minimal polynomial of α. Solving this polynomial over an algebraic closure of $\mathbb{Q}$, we obtain the four roots, $\pm\sqrt{2 + \sqrt{3}}, \pm\sqrt{2 - \sqrt{3}}$. Hence, the elements of the Galois group $\mathrm{Gal}(K/\mathbb{Q})$ are the identity permutation, the permutation σ that fixes $\pm\sqrt{2 - \sqrt{3}}$ and permutes $\pm\sqrt{2 + \sqrt{3}}$, the permutation τ that fixes $\pm\sqrt{2 + \sqrt{3}}$ and permutes $\pm\sqrt{2 - \sqrt{3}}$, and the permutation $\sigma\tau$. Labeling these roots as $\alpha_1, \ldots, \alpha_4$, we see that $\mathrm{Gal}(K/\mathbb{Q}) \cong \{1, (1\,2), (3\,4), (1\,2)(3\,4)\} \cong V \subset S_4$, where V is the Klein-4 subgroup.

January 2023

Problem 2023-J-II-4 (Geometry/Topology). Prove that $S^2 \times S^2$ is not diffeomorphic to $M_1 \times M_2 \times M_3$, where M_1, M_2, M_3 are smooth manifolds of nonzero dimension.

We begin with a technical lemma, that we will use to prove the desired result.

> **(Comps Lemma)** Let M, N be smooth, connected n-manifolds and $f : M \to N$ a (smooth) immersion. If M is compact and nonempty, then N is compact and f is a (smooth) covering map.
>
> *Proof.* Let M, N be smooth connected n-manifolds, $f : M \to N$ an immersion, and M compact and nonempty. Since $\dim N = n$ everywhere and f is an immersion, $df_p : T_p M \to T_{f(p)} N$ has constant rank n everywhere. Hence, by the Inverse Function Theorem, f is a local diffeomorphism. Since local diffeomorphisms are open maps, $f(M)$ is open in N. Next since the continuous image of compact sets is compact, $f(M)$ is compact in N. Since N is Hausdorff, $f(M)$ must be closed in N. Therefore, since N is connected, we conclude that $f(M) = N$. This means that N is compact and f is surjective. All that remains is to show that f is a covering map.
>
> Let $q \in N$, and consider $f^{-1}(q)$, which is closed in M. For each $x \in f^{-1}(q)$, there exists a neighborhood U_x of x such that $f|_{U_j}$ is a diffeomorphism. Since M is Hausdorff, we may shrink these neighborhoods so that they are pairwise disjoint. This means that each $x \in f^{-1}(q)$ is isolated. Hence, $f^{-1}(q)$ is discrete in M. Since discrete subspaces of compact spaces must be finite, it follows that $f^{-1}(q)$ is finite; let $f^{-1}(q) = \{x_1, \ldots, x_s\}$. As stated above, for each $j = 1, \ldots, s$, we can find a neighborhood U_j of x_j such that $f|_{U_j} : U_j \to V_j \subset N$ is a diffeomorphism. Since M is Hausdorff, we may shrink these neighborhoods so that $U_i \cap U_j = \varnothing$ for all $i \neq j$; f restricted to each of these new U_j's remains a diffeomorphism. Set $V = \bigcap_1^s f(U_j)$, and define $\widetilde{U}_j = f^{-1}(V) \cap U_j$. For each j, $f : \widetilde{U}_j \to V$ is a diffeomorphism and $V = \bigsqcup_1^s f(U_j)$. Hence, V is an evenly covered neighborhood of q, so that f is a covering map. $\qquad\square$

Now, assume to the contrary that $f : S^2 \times S^2 \to M_1 \times M_2 \times M_3$ is a diffeomorphism; since diffeomorphisms preserve dimensions and M_1, M_2, M_3 have nonzero dimensions, it follows, without loss of generality, that M_1, M_2 are 1-dimensional and M_3 is 2-dimensional. Since diffeomorphisms of manifolds are immersions, by the Comps Lemma, $M_1 \times M_2 \times M_3$ must be compact and connected; by projecting onto each manifold, M_1, M_2, M_3 must be compact and connected. Moreover, the induced group homomorphism $f_* : \pi_1(S^2 \times S^2) \to \pi_1(M_1 \times M_2 \times M_3) = \pi_1(M_1) \times \pi_1(M_2) \times \pi_1(M_3)$ must be an isomorphism. Since S^2 is simply connected,

$$\pi_1(S^2 \times S^2) = \pi_1(S^2) \times \pi_1(S^2) = \{0\}. \tag{26}$$

On the other hand, since the only compact connected 1-manifold, up to diffeomorphism, is the unit circle S^1, and $\pi_1(S^1) \cong \mathbb{Z}$ is not trivial, $\pi_1(M_1 \times M_2 \times M_3)$ is not trivial. But this contradicts our claim that f_* is an isomorphism. Hence, by contradiction, f cannot be a diffeomorphism.

Problem 2023-J-II-3 (Geometry/Topology). Consider the form $\omega = (x^2 + x + y) dy \wedge dz$ on $\mathbb{R}^3$. Let $S^2 \subset \mathbb{R}^3$ be the unit sphere, and $i : S^2 \to \mathbb{R}^3$ be the inclusion map.
  (a) Calculate $\int_{S^2} i^*\omega$.
  (b) Construct a closed form α on $\mathbb{R}^3$ such that $i^*\alpha = i^*\omega$, or show that such a form α does not exist.

(a) **(Method 1)** Consider the form $\omega = (x^2 + x + y) dy \wedge dz$ on $\mathbb{R}^3$, and let $i : S^2 \hookrightarrow \mathbb{R}^3$ be the inclusion map. Let $D = [0, \pi] \times [0, 2\pi]$, and $F : D \to S^2$ be the coordinate map defined by

$$F(\varphi, \theta) = (\sin(\varphi)\cos(\theta), \sin(\varphi)\sin(\theta), \cos(\varphi)). \tag{27}$$

Taking $D_1 = [0, \pi] \times [0, \pi]$ and $D_2 = [0, \pi] \times [\pi, 2\pi]$, and letting $F_1 = F|_{D_1}$ and $F_2 = F|_{D_2}$, we observe that

$$\int_{S^2} i^* \omega = \int_{D_1} F_1^* i^* \omega + \int_{D_1} F_2^* \omega = \int_{D_1} (i \circ F_1)^* \omega + \int_{D_2} (i \circ F_2^*) \omega = \int_D F^* \omega, \tag{28}$$

where the last equality follows from the fact that $i \circ F_{1,2} = F_{1,2}$. We observe that

$$F^* dy = \cos(\varphi) \sin(\theta) d\varphi + \sin(\varphi) \cos(\theta) d\theta \qquad \text{and} \qquad F^* dz = -\sin(\varphi) d\varphi. \tag{29}$$

Therefore,

$$F^* \omega = \left[\sin^2(\varphi) \cos^2(\theta) + \sin(\varphi) \cos(\theta) + \sin(\varphi) \sin(\theta)\right] \sin^2(\varphi) \cos(\theta) d\varphi \wedge d\theta. \tag{30}$$

From this, we conclude that

$$\int_{S^2} i^* \omega = \int_0^{2\pi} \int_0^\pi \left[\sin^2(\varphi) \cos^2(\theta) + \sin(\varphi) \cos(\theta) + \sin(\varphi) \sin(\theta)\right] \sin^2(\varphi) \cos(\theta) d\varphi d\theta = \frac{4\pi}{3}. \tag{31}$$

(**Method 2**) Using Stokes Theorem,

$$\int_{S^2} i^* \omega = \int_{B^3} d\omega, \tag{32}$$

where B^3 indicates the 3-ball (recall that $S^1 = \partial B^3$). We compute, $d\omega = (2x + 1) dx \wedge dy \wedge dz$ so that

$$\int_{S^2} i^* \omega = \int_{B^3} d\omega = \int_{B^3} 2x \, dx \, dy \, dz + \int_{B^3} dx \, dy \, dz = \int_{B^3} dx \, dy \, dz = \frac{4\pi}{3}, \tag{33}$$

where the first integral after the second inequality is zero due to symmetry.

(b) Suppose there exists a closed form α on $\mathbb{R}^3$ such that $i^* \alpha = i^* \omega$. Since α is closed, $d\alpha = 0$. Hence,

$$\int_{S^2} i^* \alpha = \int_{B^3} d(i^* \alpha) = \int_{B^3} i^* d\alpha = 0 \neq \frac{4\pi}{3} = \int_{S^2} i^* \omega, \tag{34}$$

which is a contradiction. Hence, such a closed form cannot exist.

Problem 2023-J-I-5 (Algebra). Consider the following irreducible polynomial over $\mathbb{Q}$: $p(x) = x^4 - 3x^2 - 1$.
  (a) Describe the splitting field of $p(x)$.
  (b) Consider the Galois group of $p(x)$. Compute its order and determine if it is abelian.

(a) To determine the splitting field of $p(x)$, we must begin by finding the roots of $p(x)$ over some algebraic closure of $\mathbb{Q}$. Let $z = x^2$. Then

$$p(z) = 0 \iff z^2 - 3z - 1 = 0$$
$$\iff z = \frac{3 \pm \sqrt{13}}{2} \tag{35}$$
$$\iff x = \pm\sqrt{\frac{3 + \sqrt{13}}{2}}, \pm\sqrt{\frac{3 - \sqrt{13}}{2}}.$$

Therefore, the splitting field of $p(x)$ is

$$\mathbb{Q}\left(\sqrt{\frac{3 + \sqrt{13}}{2}}, \sqrt{\frac{3 - \sqrt{13}}{2}}\right). \tag{36}$$

(b) Label the roots as $\alpha_1 = ((3 + \sqrt{13})/2)^{1/2}, \alpha_2 = -((3 + \sqrt{13})/2)^{1/2}, \alpha_3 = ((3 - \sqrt{13})/2)^{1/2}$, and $\alpha_4 = -((3 - \sqrt{13})/2)^{1/2}$. The elements of the Galois group are the permutations $\{1, \sigma, \tau, \sigma\tau\}$, where $\sigma : \alpha_1 \to \alpha_2$ and fixes α_3 and $\tau : \alpha_3 \to \alpha_4$ and fixes α_1; i.e., $\sigma = (1\,2)$ and $\tau = (3\,4)$. Hence,

$$\mathrm{Gal}(\mathbb{Q}(\alpha_1, \alpha_3)/\mathbb{Q}) \cong \{1, (1\,2), (3\,4), (1\,2)(3\,4)\} \subset S^4. \tag{37}$$

In particular, we see that the Galois Group is isomorphic to the Klein-4 subgroup of S_4. Therefore, the Galois group of $p(x)$ has order 4 and is abelian.

Problem 2023-J-I-5 (Algebra I). Determine the Galois group of $x^3 - x^2 - 4$.

Let $p(x) = x^3 - x^2 - 4$. We start by finding the roots of $p(x)$ over some algebraic closure of $\mathbb{Q}$. Observe that 2 is a solution. Using polnomial long division,

$$p(x) = (x - 2)(x^2 + x + 2) \implies x = 2, \frac{-1 \pm \sqrt{-7}}{2}. \tag{38}$$

Hence, the splitting field of $p(x)$ is $\mathbb{Q}(\sqrt{7}i)$. Now since $\text{Gal}(\mathbb{Q}(\sqrt{7}i)/\mathbb{Q})$ is the group of automorphisms of the splitting field $\mathbb{Q}(\sqrt{7}i)$ that preserve $\mathbb{Q}$. Since there are exactly two automorphisms (namely, the identity permutation fixing $\sqrt{7}i$ and the conjugation map $\sqrt{7}i \mapsto -\sqrt{7}i$), we conclude that $\text{Gal}(\mathbb{Q}(\sqrt{7}i)/\mathbb{Q}) \cong \mathbb{Z}_2$.

Problem 2023-J-I-5 (Algebra II). Determine the Galois group of $x^3 - 2x + 4$.

Let $p(x) = x^3 - 2x + 4$. We start by finding the roots of $p(x)$ over some algebraic closure of $\mathbb{Q}$. Clearly -2 is a root of $p(x)$. Using polynomial long division,

$$p(x) = (x + 2)(x^2 - 2x + 2) \implies x = -2, 1 \pm \sqrt{-1}. \tag{39}$$

Hence, the splitting field of $p(x)$ is $\mathbb{Q}(i)$, which is a quadratic extension of $\mathbb{Q}$. Now since $\text{Gal}(\mathbb{Q}(i)/\mathbb{Q})$ is the group of automorphisms of the splitting field $\mathbb{Q}(i)$ that preserve $\mathbb{Q}$, and there exactly two such automorphisms (namely, the identity fixing i, and the conjugation map $i \mapsto -i$), we conclude that $\text{Gal}(\mathbb{Q}(i)/\mathbb{Q}) \cong \mathbb{Z}/2\mathbb{Z}$.

Problem 2023-J-I-5 (Algebra III). Determine the Galois group of $x^3 - x + 1$.

Let $p(x) = x^3 - x + 1$. We start by finding the roots of x over some algebraic closure of $\mathbb{Q}$. Since the only possible rational roots of p over $\mathbb{Q}$ are ± 1 by the Rational Root Test, and neither of these are actually roots of p, we conclude that p is irreducible. Hence, a root of $f(x)$ generates an extension of degree 3 so that the degree of the splitting field of F is divisible by 3. Since the Galois group is a subgroup of S_3, either $\text{Gal}(\mathbb{Q}(\alpha_1, \alpha_2, \alpha_3)/\mathbb{Q}) \cong A_3$ or $\text{Gal}(\mathbb{Q}(\alpha_1, \alpha_2, \alpha_3)/\mathbb{Q}) \cong S_3$. Since p is already a depressed cubic, we calculate its discriminant to be $-4(-1)^3 - 27(1)^2 = -23$. Since the discriminant is not a perfect square in $\mathbb{Q}$, we conclude that $\text{Gal}(\mathbb{Q}(\alpha_1, \alpha_2, \alpha_3)/\mathbb{Q}) \cong S_3$.

Problem 2023-J-I-4 (Geometry/Topology). Let ω be a smooth nowhere vanishing 1-form on a smooth 3-manifold M^3.

(a) Show that the distribution defined at each point $p \in M$ by

$$\ker \omega_p = \left\{ v \in T_p M^3 : \omega_p(v) = 0 \right\} \tag{40}$$

is integrable if and only if $\omega \wedge d\omega = 0$.

(b) Give an example of a codimension one distribution on $\mathbb{R}^3$ that is not integrable.

(a) We recall that a distribution D is Frobenius integrable if and only if given two smooth sections X, Y of D, the Lie Bracket $[X, Y]$ is also a smooth section of D. Therefore, let X, Y be smooth sections of D, which means that $\omega(X), \omega(Y) = 0$ by definition of D. We recall that

$$d\omega(X, Y) = X(\omega(Y)) - Y(\omega(X)) - \omega([X, Y]) = -\omega([X, Y]), \tag{41}$$

where the first two terms are identically zero by our hypothesis. Therefore, D is integrable if and only if $[X, Y]$ is a smooth section of D if and only if $\omega([X, Y]) = 0$. Now, if D were integrable, then for any field Z on $\mathbb{R}^3$,

$$\omega \wedge d\omega(X, Y, Z) = \omega(Z)d\omega(X, Y) = 0, \tag{42}$$

---

where the other terms vanish by assumption on X and Y. Hence, since $X, Y \in \ker \omega$ were arbitrary and Z was arbitrary, $\omega \wedge d\omega = 0$. On the other hand, if $\omega \wedge d\omega = 0$, let $p \in M$, $Z_p \in T_p M$ with $\omega_p(Z_p) \neq 0$ and $X_p, Y_p \in \ker \omega_p$. Then

$$0 = (\omega \wedge d\omega)_p(X_p, Y_p, Z_p) = \omega_p(Z_p) d\omega_p(X_p, Y_p). \tag{43}$$

Hece, $d\omega_p(X_p, Y_p) = 0$. This means that for smooth sections X, Y of $\ker \omega$, $d\omega(X, Y) = 0$, and so D is integrable.

(b) Consider the smooth nowhere vanishing 1-form $\omega = y dx + dy + dz$ on $\mathbb{R}^3$, and let D be the distribution on $\mathbb{R}^3$ defined at each point $p \in M$ by $D_p = \ker \omega_p$. By the rank-nullity theorem, $\dim D = \dim T_p \mathbb{R}^3 - \operatorname{rank} \omega = 3 - 1 = 2$. Hence, $\operatorname{codim} D = 3 - 2 = 1$. Next, we observe that $d\omega = dy \wedge dx$, which is identically not zero. Then $\omega \wedge d\omega = dz \wedge dy \wedge dx$, which is also not identically zero. Hence, by the conclusion in (a), D is not integrable.

August 2023

Problem 2023-A-I-1 (Algebra). Let V be an n-dimensional vector space over a field F. An element $A \in \operatorname{End} V$ is called *nilpotent* if $A^k = 0$ for some $k > 1$. Prove that A is nilpotent if and only if

$$\operatorname{Tr}(\Lambda^i A) = 0, \quad i = 1, \dots, n$$

where $\Lambda^i A$ denotes the induced action of A on the wedge product $\Lambda^i V$ for each i.

Problem 2023-A-I-2 (Geometry/Topology). Let $f : T^2 \to S^2$ be a smooth map from the 2-torus to the 2-sphere. Can f be an immersion? If the answer is yes, give an explicit example. If the answer is no, then give a proof.

We begin by stating and proving a technical lemma, which we will then use in our argument.

(**Comps Lemma**) Let M and N be smooth connected n-manifolds, and $f : M \to N$ a (smooth) immersion. If M is compact and nonempty, then N is compact and f is a (smooth) covering map.

Proof. Let M and N be smooth connected n-manifolds, and $f : M \to N$ an immersion. Since $\dim M = \dim N = n$, and f is an immersion, the map $df_p : T_p M \to T_{f(p)} N$ has constant rank n at every $p \in M$. Hence, by the Inverse Function Theorem, f is a local diffeomorphism. Since local diffeomorphisms are open maps, $f(M)$ is open in N. On the other hand, since continuous images of compact sets are compact, $f(M)$ is compact in N; since N is Hausdorff, $f(M)$ is closed in N. Since N is connected, it follows that $f(M) = N$. Therefore, N is compact. All that remains is to show is that f is a covering map.

Let $q \in N$; by continuity of f, $f^{-1}(q)$ is a closed subset of M. For each $x \in f^{-1}(q)$, there exists an open neighborhood U_x of x such that $f|_{U_x}$ is a diffeomorphism. Since M is Hausdorff, we can shrink these neighborhoods so that they are pairwise disjoint. This means that each $x \in f^{-1}(q)$ is isolated, implying that $f^{-1}(q)$ is discrete. Since M is compact, it follows that $f^{-1}(q)$ is finite; let $f^{-1}(q) = \{x_1, \dots, x_s\}$. As stated above, for each $j = 1, \dots, s$, we may find an open neighborhood U'_j so that $f|U'_j$ is a diffeomorphism. Moreover, we can shrink these neighborhoods to obtain a pairwise disjoint collection $\{\widetilde{U}_j\}_1^s$ of neighborhoods. Set $V = \bigcap_1^s f(\widetilde{U}_j)$. Then taking $U_j = f^{-1}(V) \cap \widetilde{U}_j$, V is an evenly covered neighborhood of p, so that f is a covering map. $\square$

Now assume to the contrary that there exists an immersion $f : T^2 \to S^2$. By the Comps Lemma, f must be a covering map. Hence, the induced homomorphism of groups $f_* : \pi_1(T^2) \to \pi_1(S^2)$ must be injective. Since S^2 is simply connected, $\pi_1(S^2) \cong \{0\}$. However, $\pi_1(T^2)$ is not a trivial group (in fact, $\pi_1(T^2) \cong \mathbb{Z} \times \mathbb{Z}$). This means that f_* cannot be injective. Therefore, by contradiction, f cannot be an immersion. Hence, there exist no immersions from T^2 to S^2.

> **Problem 2023-A-II-1 (Algebra).** A field extension K/L is called algebraic, if every element in K satisfies a polynomial equation with coefficients in L. Let F, K, L be fields such that $F \supset K \supset L$, and F/K and K/L are algebraic extensions. Prove that F/L is also an algebraic extension.

Since subfields of subfields is a subfield, L is a subfield of F. Hence, it suffices to show that every element in F satisfies a polynomial equation with coefficients in L. Let $a \in F$, and let

$$k(x) = k_n x^n + k_{n-1} x^{n-1} + \cdots + k_0 \in K[x] \tag{44}$$

such that $k(a) = 0$; this follows since F/K is an algebraic extension. Each $k_j \in K$, and hence is algebraic over L. Therefore, $L' = L(k_0, \ldots, k_n)$ is a finite extension of L. Since $k(a) = 0$ and $k(x)$ now has its coefficients in L', it follows that a is algebraic over L' so that $L'(a)$ is a finite extension of L. Then since

$$[L(a) : L] = [L(a) : L'][L' : L], \tag{45}$$

it follows that $L(a)$ is a finite extension of L. Therefore, a is algebraic over L. Since a was arbitrary, F/L is an algebraic extension.

> **Problem 2023-A-I-2 (Geometry/Topology).** Let $f : T^2 \to S^2$ be a smooth map from the 2-torus to the 2-sphere. Can f be an immersion? If the answer is yes, given an explicit example. If the answer is no, then give a proof.

There cannot be an immersion $f : T^2 \to S^2$. To prove our answer, we will state and proof a technical lemma.

(Comps Lemma) Let M, N be smooth, connected, n-manifolds and $f : M \to N$ a (smooth) immersion. If M is compact and nonempty, then f is a (smooth) covering map.

Proof. Let M, N be smooth connected n-manifolds, M compact, and $f : M \to N$ an immersion. Since $\dim N = n$ everywhere and f is an immersion, $df_p : T_p M \to T_{f(p)} N$ has constant rank n everywhere. Hence, by the Inverse Function Theorem, f is a local diffeomorphism. Let $q \in N$ so that $f^{-1}(q) \subset M$ is closed. For each $x \in f^{-1}(q)$, there exists a neighborhood U_x such that $f|_{U_x} : U_x \to V_x \subset N$ is a diffeomorphism. Since M is Hausdorff, we can shrink these neighborhoods so that they are pairwise disjoint. Since every $x \in f^{-1}(q)$ is now isolated, it follows that $f^{-1}(q)$ is discrete. Since M is compact, we conclude that $f^{-1}(q)$ must be finite; let $f^{-1}(q) = \{x_1, \ldots, x_s\}$. As stated above, for each $j = 1, \ldots, s$, we can find a neighborhood U_j of x_j so that $f|_{U_j} : U_j \to V_j \subset N$ is a diffeomorphism. Again, since M is Hausdorff, we can shrink these neigborhoods so that $U_i \cap U_j = \varnothing$ for all $i \neq j$; f restricted to each of these shrunken neighborhoods remains a diffeomorphism. Now set $V = \cap_1^s f(U_j)$, and define $\widetilde{U}_j \subset M$ by $\widetilde{U}_j = f^{-1}(V) \cap U_j$ for each $j = 1, \ldots, s$. Hence, V is an evenly covered neighborhood of $q \in N$, which means f is a covering map. That f is surjective comes from recognizing that $f(M) = N$ due to connectedness of N. $\square$

Now, assume $f : T^2 \to S^2$ is an immersion. Since T^2, S^2 are smooth, connected 2-manifolds, and T^2 is compact and nonempty, by the Comps Lemma, f is a covering map. Hence, the induced homomorphism $f_* : \pi_1(T^2) \to \pi_1(S^2)$ is injective. Since S^2 is simply connected, $\pi_1(S^2) \cong \{0\}$. On the other hand, $\pi_1(T^2) \cong \mathbb{Z} \times \mathbb{Z}$. Since the order of $\pi_1(T^2)$ is more than one, f_* cannot be injective. Hence, f cannot be an immersion.

> **Problem 2023-A-II-5 (Geometry/Topology).** Let (t, x, y, z) be the standard coordinate system on $\mathbb{R}^4$, and let ϕ be the non-zero smooth 1-form on $\mathbb{R}^4$ defined by
>
> $$\phi = dt + y\,dx + z\,dy.$$
>
> Let D be the 3-plane field on $\mathbb{R}^4$ that consists of tangent vectors V such that $\phi(V) = 0$. Is D Frobenius

integrable? Support your answer with a proof.

Let D be the 3-plane field on $\mathbb{R}^4$ defined as follows: for each $p \in \mathbb{R}^4$,

$$D_p = \left\{ v \in T_p\mathbb{R}^4 : \phi(v) = 0 \right\} =: \ker \phi_p. \tag{46}$$

Hence, by the Frobenius Theorem, D is Frobenius integrable if and only if $\phi \wedge d\phi = 0$. We compute:

$$d\phi = d(dt + y\,dx + z\,dy) = d^2 t + dy \wedge dx + dz \wedge dy = dy \wedge dx + dz \wedge dy. \tag{47}$$

Therefore,

$$\phi \wedge d\phi = dt \wedge dy \wedge dx + dt \wedge dz \wedge dy + ydx \wedge dz \wedge dy. \tag{48}$$

Since $\phi \wedge d\phi$ is nowhere vanishing on $\mathbb{R}^4$, D is not Frobenius integrable.

Problem 2023-A-I-1 (Algebra). Let V be a n-dimensional vector space over a field F. An element $A \in \operatorname{End} V$ is called *nilpotent*, if $A^k = 0$ for some $k > 1$. Prove that A is nilpotent if and only if

$$\operatorname{Tr}(\Lambda^i A) = 0, \quad i = 1, \ldots, n, \tag{49}$$

where $\Lambda^i A$ denotes the induced action of A on the wedge product $\Lambda^i V$ for each i.

Let V be a n-dimensional vector space over a field F, and let $A \in \operatorname{End} V$. Recall that $\Lambda^i A$, the induced action of A on the wedge product $\Lambda^i V$, is defined to be

$$(\Lambda^i A)(v_1 \wedge \cdots \wedge v_i) = Av_1 \wedge \cdots \wedge Av_i, \qquad v_j \in V \text{ for all } j = 1, \ldots, i. \tag{50}$$

Over an algebraic closure of F, A has eigenvalues $\lambda_1, \ldots, \lambda_n$. Suppose A is diagonalizable, with the set of eigenvectors given by $\{v_1, \ldots, v_n\}$. Then for each $i = 1, \ldots, n$, since the collection

$$\left\{ v_{j_1} \wedge \cdots \wedge v_{j_i} : 1 \le j_1 < \cdots < j_i \le n \right\}$$

is a basis of $\Lambda^i V$, and for each i-tuple, $\Lambda^i A(v_{j_1} \wedge \cdots \wedge v_{j_i}) = Av_{j_1} \wedge \cdots \wedge Av_{j_i} = (\lambda_{j_1} \cdots \lambda_{j_i})(v_{j_1} \wedge \cdots \wedge v_{j_i})$, it follows that the eigenvalues of $\Lambda^i A$ are the set of all products of the form $\lambda_{j_1} \cdots \lambda_{j_i}$ for $1 \le j_1 < \cdots < j_i \le n$, counting for multiplicity. Hence,

$$\operatorname{Tr}(\Lambda^i A) = \sum_{1 \le j_1 < \cdots < j_i \le n} \lambda_{j_1} \cdots \lambda_{j_i}. \tag{51}$$

If A is not diagonalizable, since the eigenvalues of $\Lambda^i A$ depend only on the eigenvalues of A, we may assume A is in Jordan normal form. Indeed, if $A = PJP^{-1}$, then

$$\Lambda^i(A) = \Lambda^i(PJP^{-1}) = \Lambda^i(P)\Lambda^i(J)\Lambda^i(P^{-1}), \tag{52}$$

so $\Lambda^i A$ and $\Lambda^i J$ are similar and therefore have the same eigenvalues. Thus it suffices to compute the eigenvalues of $\Lambda^i J$, which are exactly the products $\lambda_{j_1} \cdots \lambda_{j_i}$ of the eigenvalues of A.

If A is nilpotent so that $A^k = 0$ for some $k > 1$, then since $0 = A^k v = \lambda^k v$ for all eigenvectors v of A, it follows that every eigenvalue of A is zero. Therefore, the above expression implies that $\operatorname{Tr}(\Lambda^i A) = 0$ for all $i = 1, \ldots, n$. On the other hand, expanding the characteristic polynomial for A is given by:

$$p_A(t) = \det(tI - A) = t^n - \operatorname{Tr}(\Lambda^1 A)t^{n-1} + \cdots + (-1)^n \operatorname{Tr}(\Lambda^n A). \tag{53}$$

If $\operatorname{Tr}(\Lambda^i A) = 0$ for all $i = 1, \ldots, n$, then we conclude that the characteristic polynomial of A is precisely t^n. Therefore, A's eigenvalues are all zero. Hence, the minimal polynomial of A is of the form t^k for some $k \le n$. This implies that $A^k = 0$, and so A is nilpotent.

Problem 2023-A-II-6 (Complex Analysis). Find the number of solutions (counting multiplicity) to $z^8 - 5z^6 + 2z^3 - z - 1 = 0$ that lie inside the unit disk.

Recall Rouché's Formula, which states that

> For any two complex-valued functions f and g holomorphic inside some region K with closed and simple contour ∂K, if $|g(z)| < |f(z)|$ on ∂K, then f and $f+g$ have the same number of zeros inside K, where each zero is counted as many times as its multiplicity.

Pick $f(z) = 5z^6$ and set $h(z) = z^8 + 2z^3 - z - 1$ so that $p(z) = z^8 - 5z^6 + 2z^3 - z - 1 = h(z) - f(z)$. On the unit disk ∂S^1, we observe that

$$
\begin{aligned}
|f(z)| = \left|5z^6\right| &= 5 \\
&= 1 + 2 + 1 + 1 \\
&= \left|z^8\right| + 2\left|z^3\right| + |z| + |1| \\
&\geq |h(z)|.
\end{aligned}
\tag{54}
$$

Hence, $p(z) = h(z) - f(z)$ has the same number of zeros, counting multiplicity, as $f(z)$. Since $f(z)$ has six zeros in the unit disk, we conclude that $p(z)$ must also have six zeros inside the unit disk.

August 2020

Problem 2020-A-II-1 (Complex Analysis). How many roots (counted with multiplicity) does the function
$$
g(z) = 6z^3 + e^z + 1
$$
have in the unit disk $|z| < 1$?

Let $g(z) = 6z^3 + e^z + 1$, which is holomorphic. Let $f(z) = 6z^3$ and $h(z) = e^z + 1$. Then on the unit circle $|z| = 1$,

$$
\begin{aligned}
|h(z)| \leq |e^z| + 1 &\leq e^{|z|} + 1 \\
&\leq e + 1 \\
&< 6 = 6|z|^3 = |f(z)|.
\end{aligned}
\tag{55}
$$

Hence, by Rouché's Formula, $g(z)$ has the same number of zeros as $f(z)$. Counting multiplicity, $f(z)$ has three solutions in the unit disk, which means that $g(z)$ also has three solutions in the unit disk.