

Transaction Limit Compliance Analysis

Summary of Transaction Limit Findings

The following transactions were analyzed against authorized approval limits:

Transaction 0

- **Transaction Amount:** 175,000
- **Approved Users:** USER008
- **Analysis Result:** Transaction Amount Exceed user Approval Level for USER008

Transaction 1

- **Transaction Amount:** 150,000
- **Approved Users:** USER004
- **Analysis Result:** Transaction is approved within the single approval limit of USER004

Transaction 2

- **Transaction Amount:** 2,500,000
- **Approved Users:** USER001, USER002
- **Analysis Result:** Transaction is approved within the joint approval limit of users ['USER001', 'USER002']

Transaction 3

- **Transaction Amount:** 120,000
- **Approved Users:** USER009
- **Analysis Result:** Transaction Amount Exceed user Approval Level for USER009

Transaction 4

- **Transaction Amount:** 3,500,000
- **Approved Users:** USER003, USER004
- **Analysis Result:** Transaction is approved within the joint approval limit of users ['USER003', 'USER004']

Transaction 5

- **Transaction Amount:** 450,000
- **Approved Users:** USER007
- **Analysis Result:** Transaction is approved within the single approval limit of USER007

Transaction 6

- **Transaction Amount:** 1,500,000
- **Approved Users:** USER002, USER003

- **Analysis Result:** Transaction is approved within the joint approval limit of users ['USER002', 'USER003']

Transaction 7

- **Transaction Amount:** 800,000
- **Approved Users:** USER010
- **Analysis Result:** Transaction Amount Exceed user Approval Level for USER010

Transaction 8

- **Transaction Amount:** 4,000,000
- **Approved Users:** USER001, USER002, USER003
- **Analysis Result:** Transaction is approved within the joint approval limit of users ['USER001', 'USER002', 'USER003']

Transaction 9

- **Transaction Amount:** 600,000
- **Approved Users:** USER005
- **Analysis Result:** Transaction Amount Exceed user Approval Level for USER005

Transaction 10

- **Transaction Amount:** 2,800,000
- **Approved Users:** USER001, USER004
- **Analysis Result:** Transaction is approved within the joint approval limit of users ['USER001', 'USER004']

Transaction 11

- **Transaction Amount:** 95,000
- **Approved Users:** USER008
- **Analysis Result:** Transaction is approved within the single approval limit of USER008

Transaction 12

- **Transaction Amount:** 3,200,000
- **Approved Users:** USER002, USER003
- **Analysis Result:** Transaction is approved within the joint approval limit of users ['USER002', 'USER003']

Transaction 13

- **Transaction Amount:** 175,000
- **Approved Users:** USER006
- **Analysis Result:** Transaction is approved within the single approval limit of USER006

Transaction 14

- **Transaction Amount:** 5,500,000
- **Approved Users:** USER001, USER002, USER003
- **Analysis Result:** Transaction Amount Exceed the joint Approval Level of users ['USER001', 'USER002', 'USER003']

Recommendations

1. **Immediate Review:** Transactions exceeding user approval limits (Transaction 0, 3, 7, 9, 14) should be reviewed and re-approved by authorized personnel.
2. **Limit Adjustments:** Consider adjusting approval limits for users who frequently exceed their limits (e.g., USER008, USER009, USER010, USER005).
3. **Periodic Reviews:** Conduct periodic reviews of transaction approvals to ensure compliance with authorized limits.

Conclusion

This report highlights transactions that exceed user approval limits and those that comply with the authorized limits. Immediate action is required to address non-compliant transactions and ensure future compliance. ““