

**COT 6405**  
**ANLYSIS OF ALGORITHMS**

**Divide-and-Conquer**

Computer & Electrical Engineering and Computer Science Dept.  
Florida Atlantic University

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# Outline

- Divide-and-conquer method
- Analyzing RT
- Problems solved using divide-and-conquer
  - Binary Search
  - Finding the closest pair of points
  - Integer multiplication
  - Strassen's matrix product

# Divide-and-conquer method

- Recursive approach
- Three steps at each level of the recursion:
  - **Divide** the problem into a number of subproblems of smaller input size
  - **Conquer** the subproblems by solving them recursively.  
*Base case:* if the subproblem sizes are small enough, just solve them in a straightforward manner
  - **Combine** the solutions of the subproblems into a solution for the original problem

# Analyzing Divide-and-Conquer

- Express the RT using a recurrence

$$T(n) = a T(n/b) + f(n)$$

$$a \geq 1, b > 1$$

- **conquer step**: solve  $a$  subproblems, each of which is  $1/b$  the size of the original problem
  - **divide and combine steps** together take  $f(n)$  time
- Solve the recurrence using the Master Theorem

# Binary Search

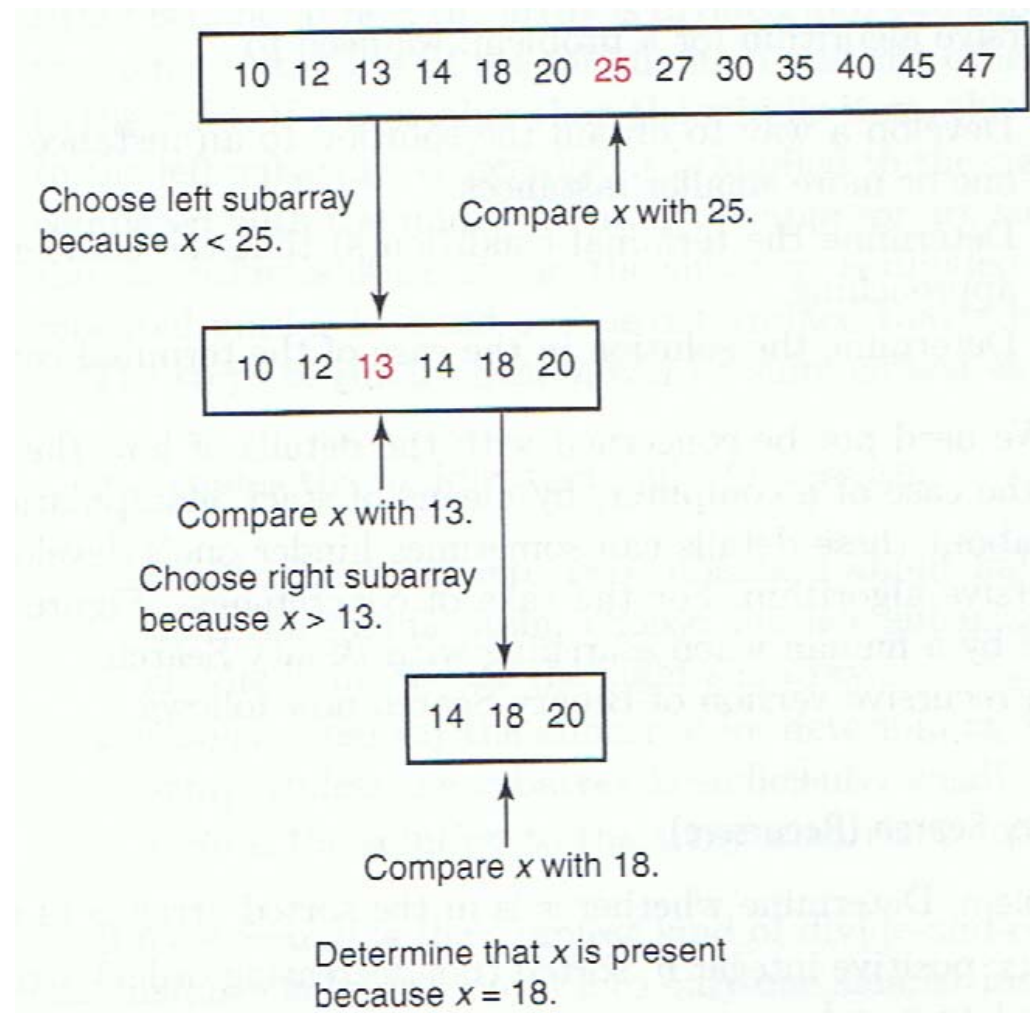
- *Given a sorted array  $A$  of  $n$  numbers, determine whether a given number  $x$  belongs to the array.*

General problem: search  $x$  into  $A[p..r]$

- Divide the array into two halves  $q = \lfloor (p+r)/2 \rfloor$
- Compare  $x$  with the middle element  $A[q]$ 
  - If they have the same value, then return  $x$ 's location
  - If  $x < A[q]$ , then search  $x$  into  $A[p..q-1]$
  - If  $x > A[q]$ , then search  $x$  into  $A[q+1..r]$

} *conquer*

## Example: BinarySearch for $x = 18$



### **BinarySearch(A,p,r,x)**

**if**  $p > r$  **then** **return** not found

**if**  $p == r$

**if**  $x == A[p]$  **then** **return** p

**else** **return** x not found

q =  $\lfloor (p+r)/2 \rfloor$

**if**  $x == A[q]$  **then** **return** q

**elseif**  $x < A[q]$

    BinarySearch(A,p,q-1,x)

**else**

    BinarySearch(A,q+1,r,x)

Base case

Divide

Conquer

Initial call: BinarySearch(A,1,n,x)

## RT analysis

$$T(n) = T(n/2) + \Theta(1)$$

Case 2 of the Master Theorem:

$$T(n) = \Theta(\log n)$$



# Finding the closest pair of points

Reference: *Algorithm Design*, by Jon Kleinberg and Eva Tardos, Chapter 5.4

Problem: given  $n$  points in the plane, find the pair that is closest together.

- considered by M. Shamos and D. Hoey in 1970s
- $O(n^2)$  solution – compute the distance between each pair of points and take the minimum
- $O(n \log n)$  solution – using divide-and-conquer

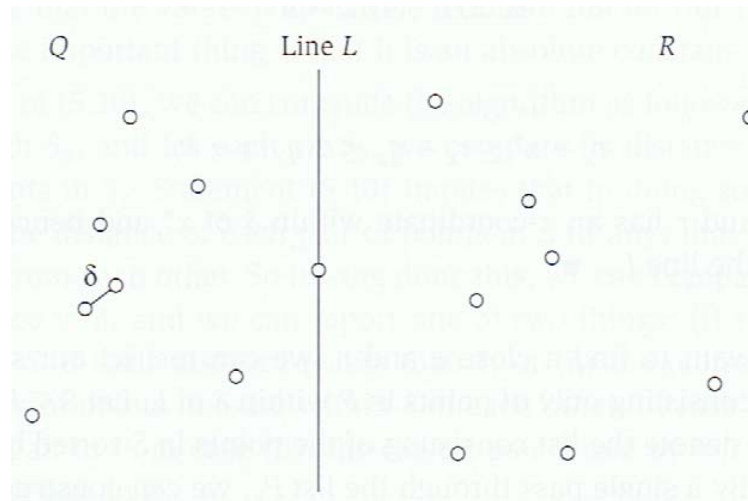
## Notations

- set of points  $P = \{p_1, p_2, \dots, p_n\}$
- $p_i$  has coordinates  $(x_i, y_i)$
- $d(p_i, p_j)$  – Euclidean distance between  $p_i$  and  $p_j$
- assume that no two points have the same x-coordinates or the same y-coordinates

### One-dimensional version:

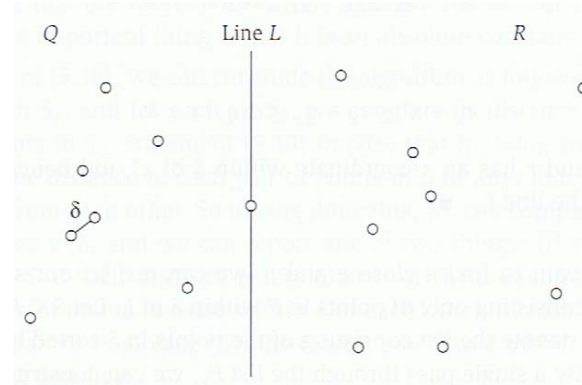
- closest pair of points on a line
- $O(n \log n)$  solution:
  - sort them in  $O(n \log n)$  time
  - walk through the sorted list computing the distance between consecutive points

## Divide-and-conquer approach



- **Divide**: the point set  $P$  is divided evenly into  $Q$  and  $R$  by the line  $L$
- **Conquer**: recursively find the closest pair among the points in  $Q$  and among the points in  $R$
- **Combine**: find the overall solution from subproblems. This step should take linear time  $O(n)$ .

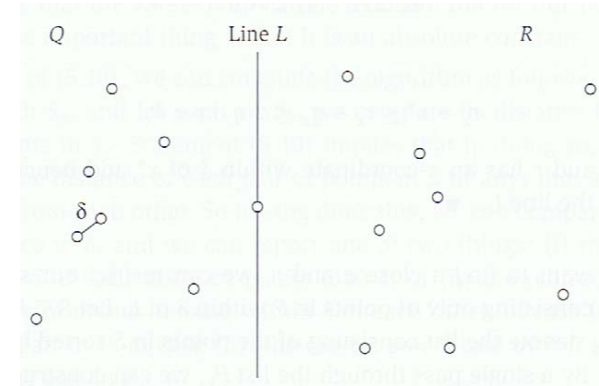
# Algorithm details



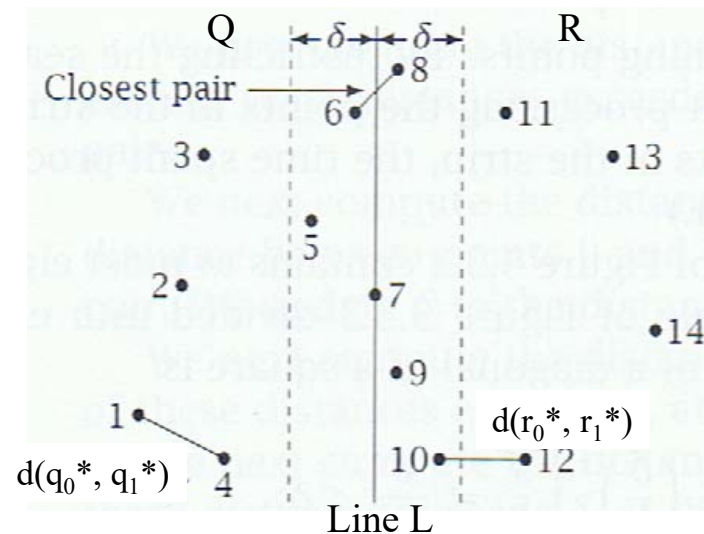
- For any set of points P
  - let  $P_x$  denotes the points sorted by increasing x – coordinate
  - let  $P_y$  denotes the points sorted by increasing y – coordinate
- First level of recursion:
  - Q is the “*left half*” of P – the first  $\lceil n/2 \rceil$  points in  $P_x$
  - R is the “*right half*” of P – the last  $\lfloor n/2 \rfloor$  points in  $P_x$
  - one pass through each of  $P_x$  and  $P_y$  in  $O(n)$  can create  $Q_x$ ,  $Q_y$ ,  $R_x$ , and  $R_y$ 
    - $Q_x, R_x$  – points in Q and R sorted in increasing x – coordinate
    - $Q_y, R_y$  – points in Q and R sorted in increasing y – coordinate
  - recursively find a closest pair of points in Q and R
    - Let  $q_0^*$  and  $q_1^*$  be the closest pair of points in Q
    - Let  $r_0^*$  and  $r_1^*$  be a closest pair of points in R

## Combine step

- Objective: linear time  $O(n)$
- Let  $\delta = \min \{ d(q_0^*, q_1^*), d(r_0^*, r_1^*) \}$
- Are there  $q \in Q$  and  $r \in R$  such that  $d(q, r) < \delta$  ?
- Notations:
  - $x^*$  be the x-coordinate of the rightmost point in Q
  - L is the vertical line  $x = x^*$  separating Q and R

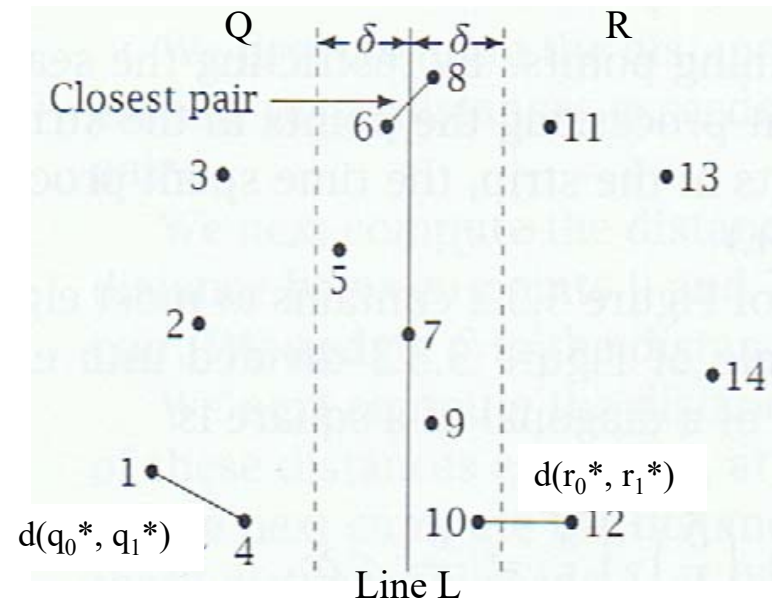


*Property:* If there exist  $q \in Q$  and  $r \in R$  such that  $d(q, r) < \delta$  then each of  $q$  and  $r$  lies within a distance  $\delta$  of  $L$ .



## Combine step

- let  $S$  be the points in  $P$  within distance  $\delta$  of  $L$
- observation:
  - $S$  might be the whole  $P$
  - checking all the pairs is  $O(n^2) \Rightarrow$  too large !!!



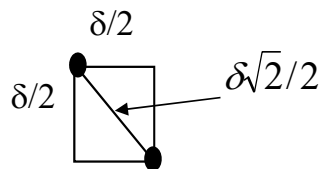
- Let  $S_y$  be the points in  $S$  sorted by increased y-coordinate
  - constructed by a single pass through  $P_y \Rightarrow O(n)$

*Property:* If  $s, s' \in S$  have the property that  $d(s, s') < \delta$ , then  $s$  and  $s'$  are within 15 positions of each other in the sorted list  $S_y$ .

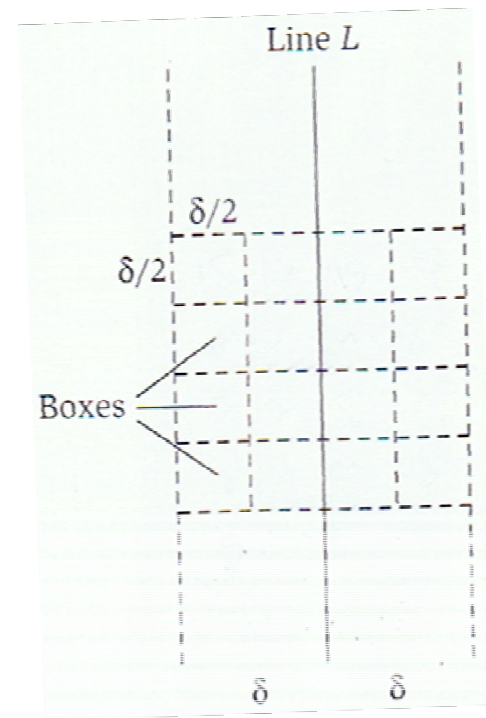
## Combine step

*Property:* If  $s, s' \in S$  have the property that  $d(s, s') < \delta$ , then  $s$  and  $s'$  are within 15 positions of each other in the sorted list  $S_y$ .

- let  $Z$  – plane containing all points within distance  $\delta$  of  $L$
- partition  $Z$  into a grid  $\delta/2 \times \delta/2$
- **each box contains at most one point of  $S$** 
  - each pair in  $Q$  or in  $R$  has distance  $\geq \delta$
  - points in one box belong either to  $Q$  or  $R$
  - distance between any two points in a box is  $\leq \delta\sqrt{2}/2 < \delta$



- two points  $s, s' \in S$  which are at least 16 positions apart in  $S_y$  have  $d(s, s') > \delta$ 
  - separated by at least 3 rows  $\Rightarrow$  distance  $> 3\delta/2$



## Combine step

- Note that the value of 15 can be reduced, but for our purpose it is important to be a constant

Combine step:

- make one pass through  $S_y$ 
  - for each  $s \in S_y$  compute its distance to the next 15 points in  $S_y$
  - record the smallest distance
  - if the smallest distance is  $< \delta$ , then this is the closest pair in  $P$
  - otherwise the pair (in  $Q$  or  $R$ ) with  $\text{dist} = \delta$  is the closest pair in  $P$
- Combine step takes  $O(n)$



```

Closest-Pair( $P$ )
  Construct  $P_x$  and  $P_y$  ( $O(n \log n)$  time)
   $(p_0^*, p_1^*) = \text{Closest-Pair-Rec}(P_x, P_y)$ 

Closest-Pair-Rec( $P_x, P_y$ )
  If  $|P| \leq 3$  then
    find closest pair by measuring all pairwise distances
  Endif

  Construct  $Q_x, Q_y, R_x, R_y$  ( $O(n)$  time)
   $(q_0^*, q_1^*) = \text{Closest-Pair-Rec}(Q_x, Q_y)$ 
   $(r_0^*, r_1^*) = \text{Closest-Pair-Rec}(R_x, R_y)$ 

   $\delta = \min(d(q_0^*, q_1^*), d(r_0^*, r_1^*))$ 
   $x^* = \text{maximum } x\text{-coordinate of a point in set } Q$ 
   $L = \{(x, y) : x = x^*\}$ 
   $S = \text{points in } P \text{ within distance } \delta \text{ of } L.$ 

  Construct  $S_y$  ( $O(n)$  time)
  For each point  $s \in S_y$ , compute distance from  $s$ 
    to each of next 15 points in  $S_y$ 
  Let  $s, s'$  be pair achieving minimum of these distances
    ( $O(n)$  time)

  If  $d(s, s') < \delta$  then
    Return  $(s, s')$ 
  Else if  $d(q_0^*, q_1^*) < d(r_0^*, r_1^*)$  then
    Return  $(q_0^*, q_1^*)$ 
  Else
    Return  $(r_0^*, r_1^*)$ 
  Endif

```

} // Base Case

// Divide

} // Conquer

} // Combine

## RT Analysis

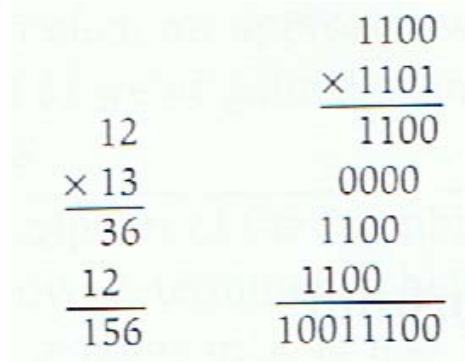
$$T(n) = 2T(n/2) + cn$$

Case 2 of the Master Theorem  $\Rightarrow T(n) = \Theta(n \lg n)$

# Integer Multiplication

Reference: *Algorithm Design*, by Jon Kleinberg and Eva Tardos, Chapter 5.5

Problem: multiplication of two  $n$ -digit numbers  $x$  and  $y$ .



The image shows two handwritten multiplication problems. The left problem is the decimal multiplication of 12 and 13, resulting in 156. The right problem is the binary multiplication of 1100 and 1101, resulting in 10011100. Both problems show the standard long multiplication process with partial products and carry-over.

$$\begin{array}{r} 12 \\ \times 13 \\ \hline 36 \\ 12\phantom{0} \\ \hline 156 \end{array}$$
$$\begin{array}{r} 1100 \\ \times 1101 \\ \hline 1100 \\ 0000 \\ 1100 \\ 1100 \\ \hline 10011100 \end{array}$$

Standard solution in  $O(n^2)$ :

- compute partial products by multiplying each digit of  $y$  by  $x$
- add up all the partial products
- $n$  partial products; takes  $O(n)$  to compute each partial product  
 $\Rightarrow O(n^2)$

## Divide-and-Conquer algorithm

- Assume numbers are in base-2 (it doesn't matter)

$$x = x_1 2^{n/2} + x_0$$

$$y = y_1 2^{n/2} + y_0$$

$x_1$  is the high-order  $n/2$  bits;  $x_0$  is the low-order  $n/2$  bits  
similar for  $y_1, y_0$

$$xy = (x_1 2^{n/2} + x_0)(y_1 2^{n/2} + y_0) = x_1 y_1 2^n + (x_1 y_0 + x_0 y_1) 2^{n/2} + x_0 y_0$$

- Four subproblems:  $x_1 y_1$ ,  $x_1 y_0$ ,  $x_0 y_1$ ,  $x_0 y_0$
- Combining the solutions of the subproblems take  $O(n)$

$$T(n) = 4T(n/2) + cn$$

## Divide-and-Conquer algorithm

$$T(n) = 4T(n/2) + cn$$

Case 1 of Master Thm  $\Rightarrow T(n) = O(n^2)$

- No improvement in the RT !



Idea: use only 3 subproblems !

$$(x_1 + x_0)(y_1 + y_0) = x_1y_1 + \underline{x_1y_0 + x_0y_1} + x_0y_0$$

$$xy = x_1y_12^n + \underline{(x_1y_0 + x_0y_1)2^{n/2}} + x_0y_0$$

# Divide-and-Conquer algorithm

Recursive-Multiply(x,y):

Write  $x = x_1 \cdot 2^{n/2} + x_0$

$y = y_1 \cdot 2^{n/2} + y_0$

Compute  $x_1 + x_0$  and  $y_1 + y_0$

$p = \text{Recursive-Multiply}(x_1 + x_0, y_1 + y_0)$

$x_1 y_1 = \text{Recursive-Multiply}(x_1, y_1)$

$x_0 y_0 = \text{Recursive-Multiply}(x_0, y_0)$

Return  $x_1 y_1 \cdot 2^n + (p - x_1 y_1 - x_0 y_0) \cdot 2^{n/2} + x_0 y_0$

$$xy = x_1 y_1 2^n + \underline{(x_1 y_0 + x_0 y_1)} 2^{n/2} + x_0 y_0$$

$$(x_1 + x_0)(y_1 + y_0) = x_1 y_1 + \underline{x_1 y_0 + x_0 y_1} + x_0 y_0$$

// Divide

// Conquer

// Combine

RT analysis:

$$T(n) = 3 T(n/2) + cn$$

$$\text{Case 1 of the Master Thm} \Rightarrow T(n) = \Theta(n^{\log_2^3}) = \Theta(n^{1.59})$$

## Integer Multiplication, example

$$x = 1100$$

$$y = 1101 \quad n = 4$$

### Divide

$$x_1 = 11, \quad x_0 = 00$$

$$y_1 = 11, \quad y_0 = 01$$

$$x_1 + x_0 = 11$$

$$y_1 + y_0 = 100$$

### Conquer

$$p = (x_1 + x_0)(y_1 + y_0) = 11 \cdot 100 = 1100$$

$$x_0 y_0 = 00 \cdot 01 = 0$$

$$x_1 y_1 = 11 \cdot 11 = 1001$$

### Combine

$$xy = x_1 y_1 2^n + (p - x_0 y_0 - x_1 y_1) 2^{n/2} + x_0 y_0 = 10010000 + (1100 - 0 - 1001) 2^2 + 0 = 10011100$$

# Strassen's Matrix Product

Reference: *Algorithms*, by Richard Johnsonbaugh and Marcus Schaefer, *Chapter 5.4*

Problem: multiplication of two matrices A and B.

- $A_{ij}$  – element row  $i$ , column  $j$
- matrix product  $C = AB$  requires A and B to be compatible
  - if A is  $m \times p$  and B is  $p \times n$
  - then C is  $m \times n$



# Matrix Product

$$C = AB$$

$$C_{ij} = \sum_{k=1}^p A_{ik} B_{kj}$$

```
Input Parameters:  A, B
Output Parameter:  C

matrix_product(A, B, C) {
    n = A.last
    for i = 1 to n
        for j = 1 to n {
            C[i][j] = 0
            for k = 1 to n
                C[i][j] = C[i][j] + A[i][k] * B[k][j]
            }
        }
    }
```

$$RT = \Theta(n^3)$$

Can we do better?

## Divide-and-Conquer algorithm

- Assume A and B have size  $n \times n$ , where  $n$  is a power of 2
- If  $n > 1$ , divide A and B into four  $n/2 \times n/2$  matrices

$$A = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \quad \text{and} \quad B = \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix}$$

and compute the matrix product as:

$$C = AB = \begin{pmatrix} a_{11}b_{11} + a_{12}b_{21} & a_{11}b_{12} + a_{12}b_{22} \\ a_{21}b_{11} + a_{22}b_{21} & a_{21}b_{12} + a_{22}b_{22} \end{pmatrix}$$

- Base case: when  $n = 1$

## Divide-and-Conquer algorithm

- What is the RT for the combine step?

$$RT = \Theta(n^2)$$

- How to express RT for this Divide-and-Conquer using a recurrence?

$$T(n) = 8T(n/2) + cn^2$$

- What is the RT ?

case 1 of the Master Thm  $\Rightarrow T(n) = \Theta(n^3)$

no improvement ...



- Idea: use 7 subproblems (instead of 8)

# Divide-and-Conquer algorithm

Strassen's algorithm computes 7 subproblems:

$$q_1 = (a_{11} + a_{22}) * (b_{11} + b_{22})$$

$$q_2 = (a_{21} + a_{22}) * b_{11}$$

$$q_3 = a_{11} * (b_{12} - b_{22})$$

$$q_4 = a_{22} * (b_{21} - b_{11})$$

$$q_5 = (a_{11} + a_{12}) * b_{22}$$

$$q_6 = (a_{21} - a_{11}) * (b_{11} + b_{12})$$

$$q_7 = (a_{12} - a_{22}) * (b_{21} + b_{22})$$

Matrix product is computed as:

$$AB = \begin{pmatrix} q_1 + q_4 - q_5 + q_7 & q_3 + q_5 \\ q_2 + q_4 & q_1 + q_3 - q_2 + q_6 \end{pmatrix}$$

# Strassen's algorithm, RT Analysis

$$T(n) = 7T(n/2) + cn^2$$

Case 1 of the Master Thm  $\Rightarrow$

$$T(n) = \Theta(n^{\log_2^7}) = \Theta(n^{2.807})$$