

# Verifiable Secret Sharing and Achieving Simultaneity in the Presence of Faults

(Extended Abstract )

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## 1. Introduction

This paper contributes two new primitives: *verifiable secret sharing* in the area of cryptographic protocols and *simultaneous broadcast* in the field of fault tolerant computation. Many problems, such as distributed coin flipping and "uninfluenced" voting, can be reduced to the solution of our primitive problems.

Verifiable secret sharing is a cryptographic protocol that allows one to break a secret in  $n$  pieces and publicly distribute them to  $n$  people so that the secret is reconstructible given only sufficiently many pieces. The novelty is that every one can verify that all received a "valid" piece of the secret without having any idea of what the secret is. One application of this tool is the simulation of simultaneous-broadcast networks on semi-synchronous broadcast networks.

Simultaneous-broadcast networks are a powerful kind of communication networks. Informally, they guarantee that all processors send messages simultaneously (at designated times) and all processors receive messages simultaneously (at designated times). Designing distributed protocols robust

against Byzantine faults (as well as proving their correctness) is extremely easy for such networks.

Under the assumption that it is infeasible to invert the RSA function, we show how to construct a simultaneous-broadcast network with  $n$  processors and  $t$  Byzantine faults from a semi-synchronous network with  $n$  processors and  $t$  Byzantine faults. Our construction actually consists of a *compiler* that transforms algorithms, robust against  $t$  Byzantine failures in a simultaneous network, to equivalent algorithms robust against  $t$  Byzantine failures in a semi-synchronous network.

The transformed algorithms require  $O(1)$  rounds of communication for every original round and  $O(2^t)$  local computation time. Thus, it is fully polynomial in  $n$  when  $t = O(\log n)$ . Similar results are proved for  $t = \log \log n$  under the assumption that factoring is intractable.

## 2. Simultaneous Broadcast

The idea of a simultaneous broadcast network is best illustrated by the following real life example.

**Example:** Let  $A_1, \dots, A_n$  denote  $n$  people in a room.

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Each  $A_i$  has his own blackboard and piece of chalk. No one can erase or write on others boards. The room they are in is designed so that it is lighted for one hour, dark for the next, then lighted again for an hour, dark for the next, and so on.  $A_1, \dots, A_n$  communicate as follows. When the room is dark, each one writes a message on his board. No one can read the message till the light comes on. When the light switches on, everyone *simultaneously* reads everyone elses blackboard. The  $j$ -th message of  $A_i$  is defined to be what appears on  $A_i$ 's black board at the beginning of the  $j$ -th "lighted" interval. Whatever is written when the light is on is ignored.

## 2.1 Intuition

The above intuitive model of communication possesses three interesting properties. These properties hold even if some people decide to secretly talk to one another during the darkness interval, in order to decide what to put on their boards.

Informally,

- 1) If  $A_i$  does not collaborate with anyone upto the  $j$ -th round, everybody's  $j$ -th message is "independent" of  $A_i$ 's  $j$ -th message.
- 2) No message can be "erased" or altered after the light switch goes on. (If  $A_i$ 's board is empty, he has delivered the empty message).
- 3) All of  $A_1, \dots, A_n$  agree on what the  $j$ -th message of everyone is.

The first property captures simultaneity. The last two capture broadcast.

Let us now formalize all of the above in the notion of ideal simultaneous broadcast.

## 2.2 Broadcast and Simultaneous Broadcast Networks

Let  $NET$  denote a communication network whose processors  $A_1, \dots, A_n$  communicate by sending and receiving messages over a common communication channel.

In addition to sending messages to one another the processors perform internal computations (toss coins, read their work tapes, etc).

With respect to an execution of a given protocol  $PROT$ , a processor  $A_p$  is *proper* if it always computes according to  $PROT$ . A processor which is not proper is called *faulty*. Faulty processors can coordinate their strategies to try to disrupt the system. This is done as follows. When an execution of a protocol  $PROT$  is started, an execution of a special algorithm, the *adversary*, also begins. The adversary chooses which processors to make faulty in a dynamic fashion during the execution of  $PROT$ .

Once the adversary chooses a processor to be faulty, it takes control over the messages that processor sends. Let us stress that

all protocols and algorithms considered in this paper are probabilistic.

**Definition 1** (Broadcast Networks): A communication network is a *broadcast network* if

- 1) a unique message (string)  $m_{ij}$  is associated with each processor  $A_i$  and each positive integer  $j$ .  
 $m_{ij}$  is called the  $j$ -th round message of  $A_i$ .  
Let  $H_j = \{(i, j, m_{i,x}) : 1 \leq i \leq n, 1 \leq x \leq j\}$  be the history of communication up to and including round  $j$ .

A broadcast network is an *n-t-broadcast-network* if for all protocols  $PROT$  and all adversaries  $ADV$ , the following holds:

- 2)  $ADV$  makes at most  $t$  processors faulty during an execution of  $PROT$ .  
The set of processors that are chosen to be faulty at round  $j+1$ ,  $F_{j+1}$ , is computed by  $ADV$  on inputs  $H_j$  and  $\bigcup_{x=1}^j F_x$ .
- 3) A proper processor  $A_p$  computes  $m_{p,j+1}$  by running  $PROT$  on inputs  $p$  and  $H_j$ .
- 4) The round  $j$  messages of the faulty processors are computed by  $ADV$  on inputs  $H_j$  and the messages of the proper processors at round  $j+1$ .

Let the algorithms  $PROT$  and  $ADV$  in a  $n-t$ -ISB network  $NET$  be given. Then,  $H_j(NET, PROT, ADV)$  will denote the conditional

probability distribution on  $H_j$  given  $H_{j-1}$ . The probability is taken over all coin tosses of **PROT** and **ADV**.

**Definition 2 (ISB networks):** A  $n-t$ -broadcast network is  $n-t$ -ideal simultaneous network if the following more restrictive condition 4' hold for the messages sent by the faulty processors instead of condition 4:

- 4') The round  $j$  messages of the faulty processors are computed by **ADV** only on input  $H_j$ .

(Comment: if in definition 2,  $j$  is interpreted as time, then all messages of round  $j$  cannot depend on current messages of proper processors. The only dependence allowed is on their past messages.)

### 2.3 The Power of ISB Networks

Simultaneous broadcast networks are a fundamental primitive as simultaneity lies at the heart of many protocols: coin flipping, fair voting, contract signing, exchanging secrets etc.

All these protocols are in fact extremely easy to implement in an simultaneous broadcast network. Let us consider two examples.

**Coin flipping:** All proper processors should agree on a randomly selected bit. In a simultaneous broadcast network, it is enough that at common time  $i$  all processors broadcast a randomly chosen bit. At time  $i+1$ , all proper processors will take the XOR of the broadcasted bits as the outcome of the coin toss. (Remember that all processors are forced to broadcast. If they broadcast something different from 0 or 1, their bit will be considered to be 0 by default). The outcome of the coin toss will be the same because of property (1) of a broadcast Network. It is enough that a single proper processor belongs to the network for the outcome to be unbiased.

**Uninfluenced voting:** Informally, each processor should vote for its "best" candidate, uninfluenced by the vote of others. (For example, there may be some tendency to vote for the eventual winner!). This is easily achieved by having all processors vote at time

$i$  and take the majority at time  $i+1$ .

### 2.4 Simulation of ISB Networks

Though very powerful, ISB networks appear to be quite an idealized model of communication. One contribution of this paper is showing that ISB networks can be simulated on broadcast networks. The proposed simulation necessarily only "approximates" the mechanism of an ISB, but captures all of its properties with respect to poly time computation.

Our simulation of an ISB network is a virtual one. Roughly, the processors in the broadcast network will actually exchange auxiliary messages for which property 4' of a ISB network does not hold. The messages  $\{m'_{ij}\}$ , that satisfy "all" properties of messages sent in an ISB network, are never sent but are computed from the auxiliary messages. Let us formalize this notion of simulation.

**Definition 3 (compiler):** A *compiler* is a pair of algorithms  $(C,D)$ .  $C$  is called the *coding algorithm* and  $D$  the *decoding algorithm*.

Let **PROT** be a protocol defined for an  $n-t$ -ISB network. On input (a description of) **PROT**,  $C$  computes (a description of) a protocol  $PROT_C$  defined for an  $n-t$  broadcast network  $NET'$ .

Let the proper processors of an  $n-t$  broadcast network execute the protocol  $PROT_C$  in presence of an adversary  $ADV'$ . Let  $h_j$  be the set of all messages sent from round  $c(j-1)+1$  to round  $c \cdot j$  during such an execution. Algorithm  $D$ , on input  $h_j$  and integer  $1 \leq i \leq n$  computes a string  $m'_{ij}$ . (Here  $c$  is a constant determined by the compiler only).

Let  $H'_j = \{(i,x,m'_{i,x}): 1 \leq i \leq n, 1 \leq x \leq j-1\}$ . For given compiler  $(C,D)$ , protocol  $PROT$  and adversary  $ADV'$ , let  $H'_j(NET',C,D,PROT,ADV')$  denote the conditional probability distribution on  $H'_j$  given  $H'_{j-1}$ .

**Definition 4 (simulator):** Let  $NET'$  be an  $n-t$ -broadcast network and  $NET$  be an  $n-t$ -ISB network. An  $n-t$ -simulator is an efficient compiler  $(C,D)$  such that for all efficient protocols  $PROT$  defined for  $NET$ , and for all efficient adversaries  $ADV'$  defined for  $NET'$ , there is an efficient adversary  $ADV$  for

$NET$  such that, for all  $j > 0$   $H'_j(NET', C, D, PROT, ADV')$  and  $H_j(NET, PROT, ADV)$  are polynomial-time indistinguishable.

(Here efficient means running in probabilistic polynomial-time in some common security parameter  $K$ , presented as input to all processors).

The notion of polynomial-time indistinguishable probability distributions is that of [GMR] (which is derived from [GM] and [Y1]). For convenience, this definition is recalled in the next subsection.

**Comment:** Intuitively, definition 4 says that whatever the faulty processors can achieve in a broadcast network simulating a ISB network, can also achieve in a true ISB network. In other words, if the messages produced by a protocol satisfy certain properties in an ISB network the messages "sent" in the simulation of the protocol on a broadcast network satisfy the same properties (with respect to polynomial-time observers). Therefore "correct" protocols get mapped to "correct" protocols.

#### 2.4.1 Indistinguishability of probability distributions

One more technical definition- that of polynomial indistinguishability (taken from [GM], [Y1], [GMR]) is needed in order to describe our results.

Let  $I \subseteq \mathbb{Z}^+$  be an infinite index set (of the security parameter) and let  $c$  a positive constant. For each  $K \in I$ , let  $\Delta_K$  be a probability distribution over the  $K^c$  long binary strings (messages). We call  $\Delta = \{\Delta_K \mid K \in I\}$  an  $I$ - $c$ -ensemble. By saying that  $\Delta$  is an ensemble or an  $I$ -ensemble we mean, respectively, that there exist  $I$  and  $c$  or simply  $c$  such that  $\Delta$  is a  $I$ - $c$ -ensemble.

Informally, we say that two ensembles (or probability distributions) are indistinguishable if no polynomial-time computation can tell them apart. Formally,

A *distinguisher* is a probabilistic polynomial-time algorithm  $D$  that on input a string  $b$  outputs a bit  $b$ . Let  $\Delta_2 = \{\Delta_{2,K} \mid K \in I\}$  and  $\Delta_1 = \{\Delta_{1,K} \mid K \in I\}$  be two  $I$ - $c$ -ensembles. Let  $p_{K,1}^D$  denote the probability that  $D$  outputs 1 on a  $K^c$ -bit long input string

randomly selected with probability distribution  $\Delta_{2,K}$ . Symmetrically,  $p_{K,2}^D$  denotes the probability that  $D$  outputs 1 on a  $K^c$ -bit long input string randomly selected with probability distribution  $\Delta_{1,K}$ .

**Definition 5:** We say that the ensembles  $\Delta_2$  and  $\Delta_1$  are *indistinguishable* if for all distinguishers  $D$ ,  $|p_{K,1}^D - p_{K,2}^D| < \frac{1}{K^d}$  for all  $d$  and sufficiently long  $K$ .

#### 2.5 Our result

**Theorem:** Under the intractability assumption for the RSA function (section 3.4), we exhibit an  $n - O(\log n)$  simulator.

More generally, under the assumption that for large enough  $K$  it is infeasible to invert RSA on moduli product of two  $K$  bit long primes, we show how to perform the following simulation:

A protocol for simultaneous broadcast networks with  $n$  processors and  $t$  faults ( $t < 4n$ ) is simulated on a broadcast network with  $n$  processors and  $t$  faults ( $t < 4n$ ). The simulation overhead is  $O(1)$  in communication rounds and  $O(2^t + K)$  in local computation time.

While in general fully polynomial time algorithms are preferable, there are some important cases where  $O(2^t)$  processing time is acceptable. One such example is Bracha's randomized Byzantine agreement algorithm [Br], where  $n$  denotes the size of a subcommittee. These subcommittees are logarithmic in the size of the whole network, and so (single) exponent in the size of the subcommittee is still polynomial in the size of the whole network.

#### 2.6 Discussion

##### Simultaneous Broadcast versus Byzantine Agreement

Tolerating  $O(\log n)$  faulty processors is an almost trivial task with respect to the problem of achieving Byzantine Agreement on a point-to-point synchronous network. This may lead us to the belief that tolerating  $O(\log n)$  faulty processors with respect to achieving simultaneous broadcast on a

semi-synchronous broadcast network is equally trivial. However, achieving simultaneity appears to be a much harder problem than achieving Byzantine agreement. This is best illustrated by the following "flawed" attempt to reach simultaneous broadcast on a synchronous broadcast network.

#### A naive and flawed attempt:

To send the  $j$ -th message  $m_{ij}$ , processor  $A_i$  of a broadcast network sends the encryption of  $m_{ij}$  at round  $2j+1$ . All proper processors read all such ciphertexts. If a processor sends nothing or two or more ciphertexts, a fact noted by all processors, then its  $m_{ij}$  is considered to be the empty message. At round  $2j+2$  all processors should send the decryption of their messages. Failure of sending this decryption is again interpreted as the empty message. (We are assuming that the encryption scheme is such that there is a unique possible decoding for each ciphertext. Moreover, for this example, we assume that, on input  $x$  and  $y$ , one may decide whether " $y$  is the encoding of  $x$ ").

Notice that *the above procedure does not make the network simultaneous*. In fact, before round  $2j+3$ , all faulty processors know what the proper processors' messages are. By either sending or not sending the decryption of its message, a faulty processor has an option of sending its originally chosen message or the empty one. As it can make this decision after having seen the other processors' messages, this violates the "simultaneity" condition. Just see how disruptive this could be in the coin flipping protocol above described. Once a faulty processor sees the decryptions of the bits of all other processors he can choose whether to send the decryption of his own bit or not. In one case the network chooses the XOR of all bits as the outcome of the coin toss, in the second one the XOR of "0" and all other bits.

### 2.7 Implications of the main result

Given a "compiler" for simultaneous-broadcast networks, protocols for coin flipping and fair voting can immediately and correctly be implemented on a semi-synchronous broadcast network (or even

weaker type of networks) with  $O(\log n)$  faulty processors. These implementations are obtained by "compiling" the simple protocols outlined above for simultaneous broadcast network. As for any general simulation, some of these compiled solutions can be more efficiently solved by ad hoc algorithms. For example, coin flipping is as rigorously but more efficiently solved in [Y2] and [ABCGM]. However, some other problems receive their first solution through our general compiler, as is the case for fair voting. For both coin flipping and fair voting, what is striking is the extreme simplicity of the original protocols. This exemplifies the power of simultaneous broadcast as a new primitive.

Our simulation uses as a subroutine the new tool of Verifiable Secret Sharing (VSS). In the next section, we describe the concept and implementation of VSS.

## 3. Verifiable Secret Sharing

### 3.1 Properties

In [S], Shamir proposed a method to share a secret that is briefly describe below. A *dealer* wants to break a secret  $b$  into  $n$  pieces  $b_1, \dots, b_n$  so that  $b$  cannot be reconstructed from any collection of  $t$  pieces ( $t < n$ ), but it can be easily reconstructed from any collection of  $t+1$  pieces. The dealer does so by choosing at random a polynomial  $P(x)$ , of degree  $t$  over the field  $Z_p$  (for a suitably large prime  $p$ ), so that  $b$  is the free term of  $P(x)$ . Then  $b_1 = P(1), \dots, b_n = P(n)$  are the desired pieces.

Shamir's scheme is secure in an information theoretic sense. However, this is based on having a non-faulty dealer with the ability to distribute pieces *privately*. In the context of a distributed network with faulty processors, it is not possible to send pieces in cleartext over the public network. If a dealer still wishes to distribute the pieces, he can send  $s_i$  encrypted under the recipient public key -  $E_i(b_i)$ . However, at this point the scheme is at most as secure as the encryption function  $E$ . It is even conceivable that  $E$  is secure, but  $s$  can be reconstructed from  $E_1(b_1), \dots, E_n(s_n)$ . A more severe difficulty is

the following:

Assume that the dealer is dishonest. Then, given one piece  $b_i$ , there is no way to *verify* that indeed  $b_i$  is a "valid" piece of the secret. In fact, the owner of  $b_i$  can never be sure that the polynomial interpolating the points not in his possession (and which he does not know) indeed passes through his own point  $(i, P(i))$ . Even worse (from some point of view), *there is no secret to speak of*. I.e. there is no specification of the secret given in advance.

These difficulties make Shamir's secret sharing hard to use in a fault tolerant distributed environment.

We provide an different method to share a secret. Typically, the secret is a single bit  $b$  that is chosen equal 1 with some a priori probability  $r$  (without loss of generality,  $r=1/2$ ). This type of secret is very general since by concatenation it allows to have longer strings with arbitrary probability distributions.

- The security achieved by our protocol is that it is *infeasible* for less than  $t$  participants to cooperate and distinguish  $b$  from a random bit. More formally, no probabilistic polynomial time algorithm that monitors the public communication in the entire network and has access to the internal state of any  $t$  participants can output  $b$  correctly with probability greater than  $\frac{1}{2} + \frac{1}{K^c}$  for all constants  $c$  and sufficiently large  $K$ .

- On the other hand,  $n-t$  participants can reconstruct  $b$ , from their shares, with very high probability ( $>1 - \frac{1}{2^{n-3t-1}}$ ). Thus the  $t$  faulty processors cannot find  $b$  by themselves, but the  $n-t$  correct processors can, regardless of the faulty processors behavior.

- The distribution of pieces is done *publicly*, in front of all participants. First, the dealer establishes the secret  $b$  by announcing a public encryption key  $E$  and an encryption  $E(b)$  of the secret bit (where  $E$  is a RSA based probabilistic encryption scheme [GM],[ACGS]). Then all participants, one by one,

play an interactive protocol with the dealer over the network channel to get their "share" of  $b$ .

- The effect of this protocol is that each participant  $C$  gets one *verifiable* piece  $b_C$  of  $A$ 's secret: Every other participant  $D$  can verify that the piece  $C$  got is indeed a legitimate piece of the secret.

### 3.2 Oblivious Transfer

A crucial component of our verifiable secret sharing is a generalization of the oblivious transfer protocol. The beautiful notion of oblivious transfer (OT) was introduced by Rabin [R2]. It involves two parties  $A$  and  $B$  and an integer  $N_A$  (product of 2 large distinct primes) whose factorization is known only to  $A$ .  $A$  and  $B$  would like to play a protocol at the end of which

- 1)  $B$  is able to output  $N_A$ 's factorization with probability essentially equal to  $1/2$  and
- 2)  $A$  does not know whether or not  $B$  has received  $N_A$ 's factorization.

Rabin originally suggested an implementation of OT. Even, Goldreich and Lempel [EGL] generalized it. Fischer, Micali and Rackoff [FMR] found an interactive protocol (four rounds of communication exchange) which achieves the above properties (1) and (2) and its correctness is provably equivalent to factoring.

In this paper, we use a generalization of the [FMR] protocol to moduli  $N_A$ 's that are product of  $l$  primes. In this case the desired properties are

- 1)  $B$  gets exactly one split  $N_A = S_1 \cdot S_2$  with uniform probability distribution among all possible splits (including the empty split  $N_A = N_A \cdot 1$ ).
- 2)  $A$  should not know what split  $B$  actually received with probability greater than  $\frac{1}{2^l}$ .

#### Oblivious Transfer between A and B on integer $N_A$

**Part1:** B sends A a square modulo  $N_A$ ,  $u_A^2$  together with a 0-knowledge proof that B knows one square root of  $u_A^2 \bmod N_A$ . (for details see [FMR] or [GMR]).

**Part2:** A gives B a random square root of  $u_A^2 \bmod N_A$ .

Four rounds of message exchanges are required in the implementation. The effect of part 1 is that A is convinced with probability greater than  $1 - \frac{1}{2^K}$  that B knows one square root of  $u_A^2$  but A gets no information as to which root it is. By saying "B knows a square root" we mean that B can compute such square root by running  $B_i$  twice on the same input and same coin tosses.

In the correctness proof for our VSS protocol, we regard the oblivious transfer axiomatically, as a protocol with the specified properties.

### 3.3 Our Verifiable Secret Sharing (VSS) Protocol

**Code for dealer A**

to verifiably share the secret bit  $b$ :  
(for  $n$  participants,  $t$  faults, security parameter  $K$ )

Let  $l = 2^t + 1$ .

**Part I (Preparations).**

1. Pick  $l$  primes  $p_1, \dots, p_l$  of length  $K$  bits each.
2. Compute  $N_A = p_1 \cdot \dots \cdot p_l$ .
3. Pick  $x_A \in \mathbb{Z}_{N_A}^*$  uniformly at random such that  $x_A \bmod N_A$  has least significant  $b$ .
4. Compute  $y_A = x_A^3 \bmod N_A$ .
5. Broadcast  $N_A$  and  $y_A$ .  
{at this point A is committed to  $b$ . }

**Part II (Distribution of Pieces).**

6. Give every other participant  $C$  a *random* split of  $N_A$  by running the oblivious transfer between A and C on  $N_A$ .

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Turning Point

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**Part III (Reconstruction of Secret).**

7. Each other participant  $C$  broadcasts the split of  $N_A$  that C holds.
8. If C detects that A deviated from the protocol

before the turning point, C takes the default  $b = \text{"empty message"}$ . Otherwise, C takes all (at least  $n - t$ ) splits of  $N_A$ , and tries to factor  $N_A$  completely. If  $N_A$  does factor, has exactly  $l$  prime factors, and the exponent 3 is relatively prime to  $\phi(N_A)$ , then C computes  $x_A = \sqrt[3]{y_A} \bmod N_A$ . C takes the least significant bit of  $x_A$  to be A's secret,  $b$ . If any of the above conditions do not hold, C takes the default  $b = \text{"empty message"}$ .

### 3.4 Proof of Correctness

We prove the following statements:

1) If A deviated from the protocol before the turning point, then all non-faulty processors will take the default  $b = \text{"empty message"}$ . If A followed the protocol till the turning point (steps 1--5) then, after the turning point (at step 8), all non-faulty processors will compute  $b$  (with overwhelming probability). This is proved in Lemma 3.

2) If RSA inversion is infeasible, then the probability that the faulty processors can predict  $b$  before the Turning Point is less than  $\frac{1}{2} + \frac{1}{\text{poly}(K)}$  for any polynomial  $P$  and sufficiently large  $K$ . This is proved in theorem 5.

Let  $N = p_1 \cdot \dots \cdot p_l$ . Then we call the pair of integers  $(c, d)$  a *split* of  $N$  if  $N = c \cdot d$ . Let  $\text{splits}(N) = \{(c, d) \mid N = c \cdot d\}$

**Fact 1:** Let  $N_A$  be as specified in the above protocol. On input pair  $(u, v)$  such that  $u^2 \equiv v^2 \bmod N_A$  and  $v$  was randomly picked among all the roots of  $u^2 \bmod N_A$ , it is easy to compute a random split of  $N_A$ .

**Proof:** A simple extension of Rabin's digital signature scheme argument.

**Definition 5:** Let  $N_A$  be as specified in the above protocol, and let  $M = p_1 p_2$  divide  $N_A$ . We say that  $M$  is *unseparated* by a set of  $t$  processors  $B_1, \dots, B_t$  if for each of the  $t$  splits  $N_A = S_1^i S_2^i$  that  $B_i$  gets by the oblivious transfer ( $i = 1, \dots, t$ ), either  $M$  divide  $S_1^i$  or  $M$  divide  $S_2^i$ .

Notice that the notion of unseparated  $M$

depends only on splits which are received via the oblivious transfer, and is thus well defined even if factoring is easy.

**Fact 2:** If  $A$  is a correct processor, then for any  $t$  processors there is at least one pair of primes  $p_1 p_2$  which divide  $N_A$ , such that  $M$  is unseparated by that set.

**Proof:**  $N_A$  has  $2^t + 1$  prime factors, and every split partitions them to two subsets. Different partitions correspond to the subsets formed by intersections. Since  $t$  partitions can at most yield  $2^t$  subsets, there must be at least one pair of primes,  $p_1, p_2$  which remains in the same subset. Thus there is an  $M = p_1 p_2$  unseparated by  $B_1, \dots, B_t$  at the turning point. QED

**Lemma 3:** Let  $N_A$  be a product of  $l$  distinct primes. Suppose processor  $A$  behave according to the protocol upto the turning point. Then at step 8, the  $n - t$  non-faulty processors can factor  $N_A$  with probability greater than  $1 - \frac{1}{2^{n+1-3t}}$ .

**Proof:** The non-faulty processors at step 8 have at least  $n - t$  random splits of  $N_A$ . We can represent every split by a 0 - 1 coloring of the  $l$  primes: Two primes are colored the same according to a given split if they are in the same factor of  $N_A$  according to that split. What is the probability that there exists a pair of primes  $p_i, p_j$  which are kept unseparated by all  $n - t$  splits? To answer this question, consider a  $(n - t)$ -by- $l$  matrix with zero-one entries. The  $l$ -th row correspond to the  $l$ -th split, and the columns  $i, j$  have the same entry (either both 0 or both 1) iff the primes  $p_i, p_j$  were not separated by this split.

Since each row correspond to a random split, the matrix is a random zero one matrix. The probability that  $N_A$  is not totally split equals the probability that there are two identical columns in the matrix. But

$$Prob(\text{column}_i = \text{column}_j) = \frac{1}{2^{n-t}}$$

Hence

$$Pr(\exists i, j \text{ with } \text{column}_i = \text{column}_j) < \frac{l^2}{2 \cdot 2^{n-t}}$$

Substituting  $l$  by  $2^t + 1$ , this probability is bounded above by  $\frac{2^{3t}}{2^{n+1}}$ . Hence with probability greater than  $1 - \frac{2^{3t}}{2^{n+1}}$  there exists no such pair  $i, j$ . In this case the non-faulty processors can compute the complete prime factorization of  $N_A$ , using at most  $O(l(n - t))$  arithmetic operations. QED

Before proving the unpredictability of the secret at the Turning Point, we state exactly the RSA intractability assumption, and give two claims that are used in the proof.

#### RSA Intractability Assumption (RIA)

Let  $F$  be a probabilistic polynomial algorithm that takes as input an integer  $M$ , product of two  $K$  bit primes, both congruent to 2 mod 3. Let  $\alpha_F(K)$  be the fraction of such moduli  $M$  on which  $F$  inverts RSA ( $x^3 \bmod N$ ). Then, for all polynomial time algorithms  $F$ , for all  $c > 0$ , and for all sufficiently large  $K$ ,  $\alpha_F(K) < \frac{1}{K^c}$ .

**Remark:** As stated, the assumption is quite strong. First, it corresponds to RSA with the specific power 3. Second, it requires that RSA be invertible on a subpolynomial fraction of instances only. It is possible to relax this assumption by replacing the last sentence by " $\exists c > 0$  such that  $\alpha_F(K) < 1 - \frac{1}{K^c}$ ".

Using Yao's exclusive-or construction [Y1], we can modify our protocol to be proved correct under this weaker assumption. Also the power 3 can be generalized. For this abstract, we chose to give the simpler version of the protocol and make a stronger assumption.

Our next result, Theorem 4, states that the bit security of RSA over multi-prime modulus is not compromised even if almost all the factorization of the modulus is known.

**Theorem 4:** The following three problems are computationally equivalent (each is probabilistic poly( $K$ ))



time reducible to the other).

- (1) Invert RSA ( $x^e \bmod M$ ) on the modulus  $M = p_1 p_2$ , product of two  $K$ -bit long primes where  $(e, \varphi(M)) = 1$ .
- (2) Given  $M = p_1 p_2$  (product of two  $K$ -bit long primes),  $e$  such that  $(e, \varphi(M)) = 1$  and  $x^e \bmod M$ , guess the least significant bit of  $x$  with success probability greater than  $\frac{1}{2} + \frac{1}{\text{poly}(K)}$ .
- (3) Given  $N = M p_3 \dots p_l$ ,  $e$  such that  $(e, \varphi(N)) = 1$ ,  $x^e \bmod N$  and  $M, p_3, \dots, p_l$  (where  $M = p_1 p_2 \geq 2^l$  and all primes  $p_i$  are  $K$  bits long), guess the least significant bit of  $x$  with success probability greater than  $\frac{1}{2} + \frac{1}{\text{poly}(K)}$ .

**Proof:** The proof is a simple extension of the bit security result for two prime moduli [ACGS], and is omitted from this abstract. Details can be found in [C]. QED

The reader might be tempted to think that fact 2 together with theorem 4 imply the unpredictability of  $b$  at the Turning Point. Such impression is wrong as we still have to show that the adversary essentially cannot "gain any additional knowledge" in the course of the protocol execution of part 2 (the distribution of the  $t$  splits of  $N_A$ ).

The success probability of an adversary in determining  $b$  is averaged over all  $A$ 's choices of primes  $p_1, \dots, p_l$  and over all executions of the verifiable secret sharing protocol (given a specific choice of primes). In the proof, it will be more convenient to think of the primes as fixed. To that end, suppose the adversary can guess  $b$  with probability  $\frac{1}{2} + \epsilon$ . From fact 2, we know that at least one pair of primes  $M = p_1 p_2$  is unseparated at the Turning Point. Let  $S_{m, N_A}$  be the conditional success probability of the adversary in guessing  $b$ , given that  $A$ 's modulus is  $N_A$ ,  $M$  divide  $N_A$  and that  $M$  is unseparated at the Turning Point. The following fact is proved by a simple counting arguments.

**Fact 5:** For at least  $\epsilon$  fraction of all  $M$  and  $N_A$  (where  $N_A, M$  are as above),  $S_{m, N_A} > \frac{1}{2} + \frac{\epsilon}{4}$ .

We will call  $M$  and  $N_A$  for which  $S_{m, N_A} > \frac{1}{2} + \frac{\epsilon}{4}$  *lucky number* and *lucky extension*, respectively.

In the proof of the unpredictability theorem,  $F$  will denote a probabilistic polynomial time algorithm which tries to predict  $b$ .  $B_1, \dots, B_t$  will denote the probabilistic polynomial time algorithms corresponding to  $t$  faulty processors.  $F$  has access to the internal state of these  $t$   $B_i$ -s (i.e. can read their worktapes, change the coin tosses they use etc.).

**Theorem 5 (Unpredictability Theorem):** Suppose  $A$  is a correct processor who chose his secret bit  $b$  with a priori probability  $1/2$ . Then, under RIA, no polynomial time adversary  $F$  controlling any collection of  $t$  processors can predict  $b$  before the turning point, with success probability greater than  $\frac{1}{2} + \frac{1}{\text{poly}(K)}$  (for all polynomials  $\text{poly}$  and all sufficiently large  $K$ ).

**Proof Sketch:** The high level plan of the proof is to show that if an adversary  $F$  can predict  $b$  with success probability  $\frac{1}{2} + \epsilon$  then he also can, by simulating instances of the protocol, invert RSA, on  $\epsilon$  fraction of moduli  $M$ 's in expected time  $O(1/\epsilon)$ . Thus from RIA  $\epsilon$  must be smaller than any polynomial fraction  $1/\text{poly}(K)$ .

We now specify a guessing algorithm  $G$ .  $G$  takes as input a lucky composite number  $M$  product of two  $K$ -bit prime factors, both congruent to 2 mod 3, and a random cube  $y = x^3 \bmod M$ .  $G$  goal is to guesses the least significant bit of  $x$  with success probability  $> \frac{1}{2} + \frac{\epsilon}{4}$ .  $G$  will use as subroutines the algorithms  $B_1, \dots, B_t$  of the faulty processors, and the adversary prediction algorithm  $F$ .

$G$  starts by picking at random  $l-2$  primes  $p_3, \dots, p_l$  each  $K$  bits long and congruent to 2 mod 3. Then,  $G$  computes  $N_A = M \cdot p_3 p_2 \dots p_l$ , and a random  $y_A$  in the range  $0 < y_A < N_A$  satisfying  $y_A \equiv y \bmod M$ . (Notice that with probability at least  $\epsilon$ ,  $N_A$  is a lucky extension of  $M$ .)

By now,  $G$  has simulated steps 1-5 of the verifiable secret sharing protocol. He now simulates

step 5, by broadcasting  $N_A$  and  $y_A$ . To simulate step 6 of the protocol,  $G$  must simulate  $A$ 's role in  $n-1$  oblivious transfers on the composite  $N_A$ .

How can  $G$  do this? Let's concentrate on  $G$ 's interaction with the faulty processors. In the real OT protocol  $A$  knew all factors of  $N_A$  and thus during the course of the OT protocol, could give each of  $B_1, \dots, B_t$  a random split of  $N_A$ .  $G$ , on the other hand, knows all factors of  $N_A$  except those which split  $M$  and thus can't give  $B_i$  a random split of  $N_A$ . But what it can and will do is simulate runs of the OT in which  $A$  gives  $B_i$  a split of  $N_A$  picked at random among all those splits which do not separate  $M$ . The interaction between  $G$  and  $B_1, \dots, B_t$  goes as follows.

First  $B_1, \dots, B_t$  are supposed to choose elements  $u_1, \dots, u_t$  in  $Z_N^*$ . (They may do this jointly in any fashion: randomly or otherwise but in polynomial time.)

Second, they transmit to  $G$  (simulating  $A$ ),  $u_1^2 \bmod N_A, \dots, u_t^2 \bmod N_A$  and "interactive proofs" (as defined by the OT protocol) of the fact that they "know"  $u_1, \dots, u_t$  (Each proof is correct with probability greater than  $1 - \frac{1}{2^K}$ ).

At this point  $G$  can actually find out what  $u_1, \dots, u_t$  are by activating  $B_i$ 's and causing them to print  $u_i$ . This can be done since "knowing"  $u_i$  in the OT protocol actually means that  $u_i$  can be computed by running  $B_i$  twice on the same input and same coin tosses. (A simpler way to think of this is that  $G$  can read the contents of the work tapes of  $B_i$ 's where  $u_i$  is written).

Recall that  $G$  is supposed to return to each  $B_i$  a random root  $v_i$  of  $u_i^2 \bmod N_A$  that does not separate  $M$ .  $G$  can't directly compute random square roots of  $u_i^2 \bmod N_A$  from  $u_i^2 \bmod N_A$ . But random square roots correspond, by Lemma 1, to random splits of  $N_A$ . So  $G$  can first compute  $(S_1, S_2)$  - a random split of  $N_A$  which does not separate  $M$ . He then uses the Chinese Remainder algorithm to compute  $v_i \bmod N_A$  such that  $v_i \equiv u_i \bmod S_1$  and  $v_i \equiv -u_i \bmod S_2$ . Finally,  $G$  gives  $v_i$  to  $B_i$ .

The faulty processors  $B_1, \dots, B_t$  now have all the "relevant" information they held at the turning point of the actual protocol. They have  $N_A = M \cdot p_3 \dots p_l$ ,  $y_A$  and  $(u_1, v_1), \dots, (u_t, v_t)$ .  $G$  can now invoke  $F$  to predict the least significant bit of  $x_A^3 = \sqrt{y_A} \bmod N_A$ .

If  $N_A$  is a lucky extension of  $M$ ,  $F$  succeed with probability greater than  $\frac{1}{2} + \frac{\epsilon}{4}$ . In other words,  $G$  succeeded in realizing an oracle for the least significant bit which is correct with probability greater than  $\frac{1}{2} + \frac{\epsilon}{4}$ . By Theorem 4,  $G$  can be transformed to an efficient algorithm for inverting  $x^3 \bmod M$ .

As an  $\epsilon$  fraction of all extensions of  $M$  are lucky, we will find such lucky extension in expected  $O(\epsilon^{-1})$  iterations. Thus by RIA,  $\epsilon$  must be smaller than  $1/K^c$  for every  $c > 0$  and sufficiently large  $K$ . QED

#### 4. Implementing Simultaneous Broadcast using Verifiable Secret Sharing

We now give a high level description of a simulation of a given protocol  $PROT$  which is defined (and works correctly) for an  $n-t$ -ideal simultaneous broadcast network, on a  $n-t$ -broadcast network. In other words we specify an  $n-t$ -simulator, as defined in section 2.4. Our simulator, consists of a pair of algorithms  $(C, D)$  which behave as follow.

Algorithm  $C$  takes  $PROT$  as input. Throughout  $PROT$ , in every round  $j$ , processors  $A_1, A_2, \dots, A_n$  wish to send messages  $m_{1,j}, m_{2,j}, \dots, m_{n,j}$  "simultaneously".

To achieve this simultaneous delivery in a broadcast network,  $C$  replaces each original round  $j$  by a "macro" round  $j$  which involves 7 message exchange rounds in the broadcast network. In the end of this "macro"-round, processors  $A_1, \dots, A_n$  run algorithm  $D$  on the messages they received during the last 7 broadcast network rounds to compute  $m_{1,j}, \dots, m_{n,j}$ .

A macro round  $j$  consists of each processor  $A_i$  ( $1 \leq i \leq n$ ) running a (slightly modified, as

described below) VSS protocol using its message  $m_{i,j}$  ( $1 \leq i \leq n$ ) as its secret. The (slightly modified) VSS protocol involves seven steps of message exchanges: each one of these must be done within the same time interval by each processor. A common clock is used in order to enforce a timeout mechanism. Thus, if for instance processor  $A_i$  did not broadcast a message in step 5 of the VSS on time, his message  $m_{ij}$  of the  $j$ -th macro round is taken to be the empty messenger.

The VSS protocol executed for this application must be modified as follows. Add step 5.5 to the end of part 1. In this step the dealer  $\Lambda$ , interactively proves to every other processor  $C$  that he can invert RSA with exponent 3 on the modulus  $N_A$ .

Step 5.5 is added for the following reason: all processors  $A_1, \dots, A_n$  are executing concurrently  $n$  VSS protocols on their respective messages (secrets)  $m_1, \dots, m_n$ ; in step 5 of the VSS each must broadcast an  $N_{A_i}$  and  $y_{A_i}$ . These  $N_{A_i}, y_{A_i}$  pairs must appear in the network channel within a certain time interval, but can arrive in random order (i.e some may appear earlier than others). Thus, a faulty processor  $A_f$  may wait till he sees the pair  $N_{A_p}, y_{A_p}$  of some proper processor  $A_p$  and then choose his pair  $N_{A_f}, y_{A_f}$  depending on this pair without even knowing what secret he himself is encoding. This choice of a  $A_f$  depends on the message of a proper processor  $A_p$ . This would violate the definition of an SB-network. We thus force each processor in the added step 5.5, to give a 0-knowledge (see [GMR] for definitions) proof to all other processors that he knows the content of his own message.

The following protocol should be inserted as step 5.5 in the VSS of section 3.3.

**Protocol for processor  $\Lambda$  to interactively prove to processor  $C$  that  $\Lambda$  can take cubic roots of modulo  $N_A$**

As usual let  $K = |N_A|$ .

1. For  $1 \leq i, j, \leq K$   $\Lambda$  picks  $x_{i,j}$  at random in  $Z_{N_A}^*$ .  $\Lambda$  sends  $x_{i,j}^3 \bmod N_A$  to  $C$ .
2. For  $1 \leq i, j \leq K$   $C$  lets  $g_{i,j}$  be his guess as to

what is the least significant bit of  $x_{i,j}$ .  $C$  sends to  $\Lambda$  his guesses  $\{g_{i,j}\}$ .

3. For  $1 \leq j \leq K$   $\Lambda$  lets  $y_j = g_{1,j} \dots g_{K,j} \bmod N_A$  ( " ." denotes concatenation). For  $1 \leq i, j \leq K$ ,  $\Lambda$  sends to  $C$   $x_{i,j}$  and  $z_j$  such that  $z_j^3 = y_j \bmod N_A$  ( $z_j$  is the cubic root of  $y_j$ ).

The above protocol constitutes a 0-Knowledge proof of  $\Lambda$  to  $C$  that  $\Lambda$  is able to take cubic roots modulo  $N_A$ .

**Theorem 6 (Simultaneity Theorem):** Under the IRA, the compiler  $(C, D)$  described above constitute an  $n \cdot O(\log n)$ -simulator.

**Rough Proof Sketch:** Fix adversary  $ADV'$ , protocol  $PROT$  and a broadcast network  $NET'$ . This determines a probability distribution  $H'_i(NET', C, D, PROT, ADV')$  on the messages delivered in the first  $i$  macro rounds. We wish to show that this distribution is indistinguishable from the probability distribution  $H_i(NET, PROT, ADV)$  of messages delivered in an ISB network  $NET$  for some adversary algorithm  $ADV$ .

The outline of the proof is as follows.

For notational simplicity let the message  $m_{ji}$  being sent by the  $A_j$ th processor during the  $i$ -th macro round belong to  $\{0,1\}$  (essentially the same proof will work for general  $m_{ji}$ 's). Similarly, for notational convenience, assume that exactly  $t$  processors are faulty and that processors  $A_1, \dots, A_{n-t}$  are proper and their messages are computed by the legal protocol  $PROT$ .

Let

$E_j(m_{ji}) = \{x^3 \bmod N_{A_j} : \text{least significant bit of } x \text{ is } m_{ji}\}$   
be the set of probabilistic encodings of  $m_{ji}$  using processor  $A_j$ 's key  $N_{A_j}$ .

The algorithm  $ADV'$  takes as input the history  $H'_{i-1} = \{m_{xj} \mid 1 \leq x \leq i-1, 1 \leq j \leq n\}$  and the probabilistic encodings  $\alpha_{1,i} \in E_1(m_{1,i}), \dots, \alpha_{n-t,i} \in E_{n-t}(m_{n-t,i})$ , and computes the  $i$ -th macro-round messages  $m_{n-t+1,i}, \dots, m_{n,i}$  of the faulty

processors.

Let  $\Delta_i$  denote the distribution of messages output by  $ADV'$  given the same history  $H_{i-1}$  and the probabilistic encodings  $\alpha_1 \in E_1(0), \dots, \alpha_{n-t} \in E_{n-t}(0)$ . Clearly,  $\Delta_i$  is a distribution which can be achieved by an adversary  $ADV$  in an ISB-network.

Let  $DIST$  be a polynomial-time distinguisher as defined in 2.4.1. Denote by  $q_1$  ( $q_2$ ) the probability that  $DIST(m_{1,i}, \dots, m_{n,i}) = 1$  where the messages  $m_{ji}$  are picked according to  $\Delta_i$  (and  $H_i(NET, C, D, PROT, ADV)$  respectively). Assume, towards a contradiction, that  $|q_1 - q_2| > \frac{1}{poly(K)}$  for infinitely many security parameters  $K$ . We will show how to violate the security requirement for one of the probabilistic encryption schemes  $E_j$  (i.e. violate the RSA intractability assumption). In particular, for some  $1 \leq j \leq n-t$  we will implement a polynomial time algorithm to distinguish between the set of probabilistic encryptions  $E_j(0)$  and  $E_j(m_{ji})$  as follows:

Pick  $m_{1,i}, \dots, m_{n-t,i}$  of the proper processors according to protocol  $PROT$ . Choose  $\alpha_1 \in E_1(m_{1,i}), \dots, \alpha_j \in E_j(m_{j,i}), \alpha_{j+1} \in E_{j+1}(0), \dots, \alpha_{n-t} \in E_{n-t}(m_{n-t,i})$  at random and feed  $\alpha_1, \dots, \alpha_{n-t}$  to the adversary box  $ADV$  to compute  $m'_{n-t+1,i}, \dots, m'_{n,i}$ . Now, replace  $\alpha_j$  by  $\beta_j$  picked at random in  $E_j(0)$  and feed  $\alpha_1, \dots, \beta_j, \alpha_{j+1}, \dots, \alpha_{n-t}$  to the  $ADV$  to compute  $m'_{n-t+1,i}, \dots, m'_{n,i}$ . Computing  $D(m_{1,i}, \dots, m_{n,i})$  and  $D(m_{1,i}, \dots, m_{n-1,i}, m'_{n-t+1,i}, \dots, m'_{n,i})$  will yield a different response and thus a polynomial time algorithm that can tell  $E_j(0)$  and  $E_j(m_{j,i})$  apart has been realized.

But by Theorem 4 and [GM] this contradicts the IRA. Thus,  $\Delta_i$  must have been indistinguishable from  $H_i(NET, C, D, PROT, ADV)$ .

## 5. Applications to Point-to-Point Communication Networks with Byzantine Faults

We now consider the problem of simulating simultaneous broadcast on a point-to-point semi-synchronous network. For simplicity, we outline the

simulation on a synchronous network.

### A clarifying discussion.

Before going any further, let us stress that simulating a simultaneous network is not trivial, even if one starts from a synchronous network. The following naive attempt may be of help in clarifying the definition of simultaneity.

Given a synchronous network, let an "extended round" consist of first sending messages at prescribed times  $i$  and then using subsequent (elementary) rounds to achieve Byzantine agreement about what was sent.

*Such extended rounds do not make the network simultaneous.*

Of course in a synchronous network all messages can be sent only at exact times 1,2,3,... and will be received exactly one unit of time later. Thus a message sent at time  $i$  is necessarily independent of the other messages sent in the same round. However, a faulty processor,  $P$ , may have sent (to different processors) two different messages  $m_1$  and  $m_2$ , one of which is possibly the empty message. When Byzantine agreement is run,  $P$  cannot prevent the correct processors from agreeing on only one message. However, it can influence and actually decide on which of the two messages the agreement will be reached. I.e., it can decide which message to send in the extended round. Notice that, at the start of the Byzantine agreement algorithm,  $P$  already knows what messages it received from other processors. Thus what  $P$  sends in an extended round effectively depends on what other processors have sent in the same round.

### The Solution

The key idea is to use the power of Byzantine agreement in order to simulate a semi-synchronous broadcasting network. Once such network is constructed, apply our simulation of simultaneous broadcast network to it.

Simulating semi-synchronous broadcast by Byzantine agreement adds an  $O(t)$  factor of communication rounds and a polynomial factor of

computation/communication overhead.

## 6. Concluding Remarks

We introduced the notion of simultaneous broadcast in fault-tolerant distributed computing. We gave one implementation of this notion, under the intractability assumption of RSA.

Our implementation requires computation and communication which are exponential ( $2^t$ ) in the number of faults,  $t$ . Thus to be polynomial in the size of the network,  $n$ ,  $t$  can be at most  $O(\log n)$  large. This leaves the question of fully polynomial implementation for larger values of  $t$  open.

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