

# Branch-and-bound Knapsack problem

$n=4$

$W=16$

	1	2	3	4
w	2	5	10	5
p	40	30	50	10

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item	profit $p_i$	weight $w_i$	$p_i/w_i$
1	\$40	2	\$20
2	\$30	5	\$6
3	\$50	10	\$5
4	\$10	5	\$2

- assume that the items are sorted in decreasing order of  $p_i/w_i$
- for each node in the search tree:

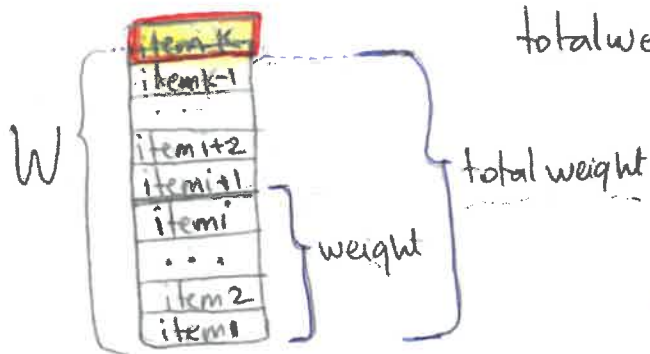
{ weight - total weight of the items selected so far  
profit - total profit of the items selected so far

**bound** - function which computes an upperbound of the profit that can be obtained with this partial solution  
maxprofit - best profit so far

- if  $\text{bound} < \text{maxprofit}$ , then the node is nonpromising

## • How do we compute the bound?

Assume that { - current node is at level  $i$   
- item  $k$  would bring the weight above  $W$



$$\text{totalweight} = \text{weight} + \sum_{j=i+1}^{k-1} w_j$$

$$\text{bound} = \text{profit} + \sum_{j=i+1}^{k-1} p_j + \frac{(W - \text{totalweight}) \cdot p_k}{w_k}$$

## Knapsack with **BreadthFS** w/ Branch-and-Bound pruning ( $n, p[ ], w[ ], W, \text{maxprofit}$ )

$Q = \emptyset$

$r.\text{level} = 0; r.\text{profit} = 0; r.\text{weight} = 0$

$\text{maxprofit} = 0$

ENQUEUE( $Q, r$ )

while  $Q \neq \emptyset$

$v = \text{DEQUEUE}(Q)$

$u.\text{level} = v.\text{level} + 1$

$u.\text{weight} = v.\text{weight} + w[u.\text{level}]$

$u.\text{profit} = v.\text{profit} + p[u.\text{level}]$

if ( $u.\text{weight} \leq W$  and  $u.\text{profit} > \text{maxprofit}$ )

$\text{maxprofit} = u.\text{profit}$

if  $\text{bound}(u) > \text{maxprofit}$

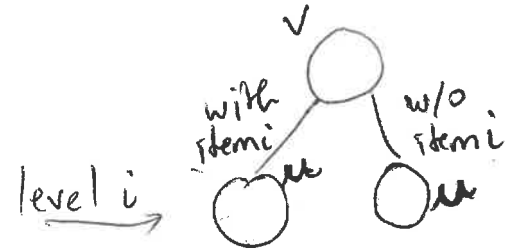
ENQUEUE( $Q, u$ )

$u.\text{weight} = v.\text{weight}$

$u.\text{profit} = v.\text{profit}$

if  $\text{bound}(u) > \text{maxprofit}$

ENQUEUE( $Q, u$ )



set  $u$  as the child of  $v$  that includes the next item

set  $u$  as the child of  $v$  that does not include the next item

### RT analysis

- The number of nodes is:

$$\leq 1 + 2 + 2^2 + 2^3 + \dots + 2^n = \frac{2^{n+1} - 1}{2 - 1} = 2 \cdot 2^n - 1$$

total number of nodes =  $O(2^n)$

- $\text{bound}()$  function takes  $O(n)$

$$\text{Total RT} = O(n \cdot 2^n)$$

## Knapsack Problem

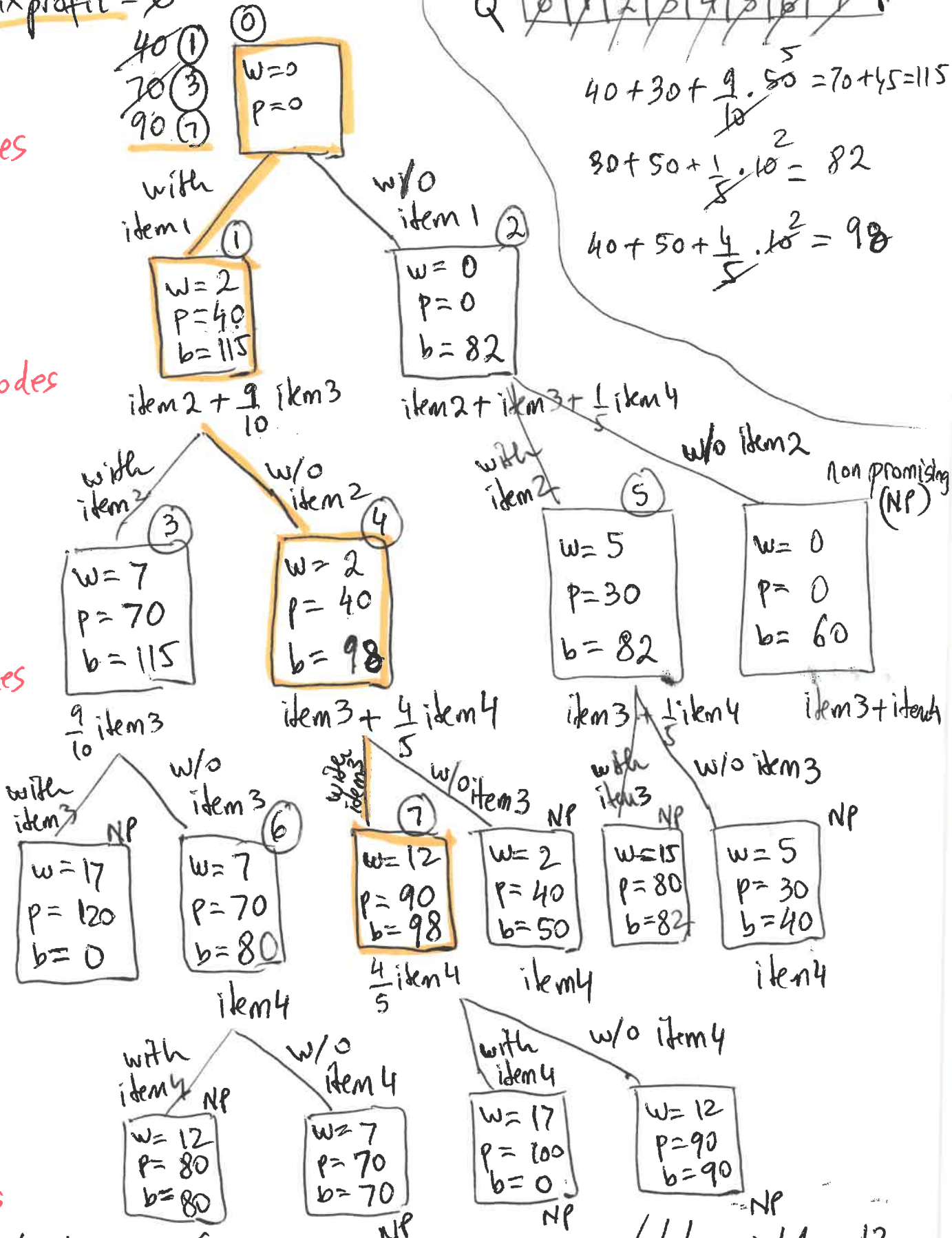
level 0 →  
2° nodes

level 1  $\rightarrow$   
 $\leq 2^1$  nodes

Level 2  
 $\leq 2^2$  nodes

level 3  
 $\leq 2^3$  nodes

level 4  $\rightarrow$   
 $\leq 2^4$  nodes



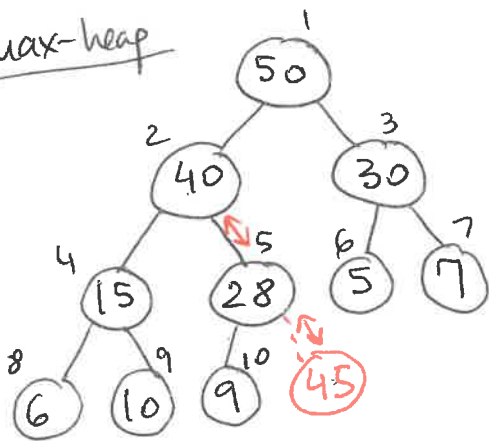
Solution = (item 1, item 3)  $\begin{cases} \text{total weight} = 12 \\ \text{total profit} = 90 \end{cases}$

max-priority queue - implemented using a max-heap  
 CLRS chapter 6.5 page 162

- **insert()** and **remove()** operations take  $O(\lg n)$  for an  $n$ -element queue

example

max-heap

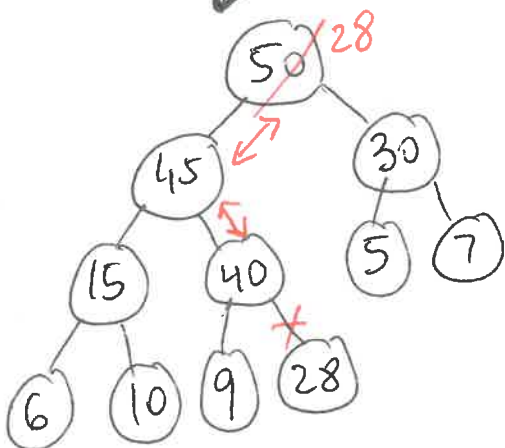


A 

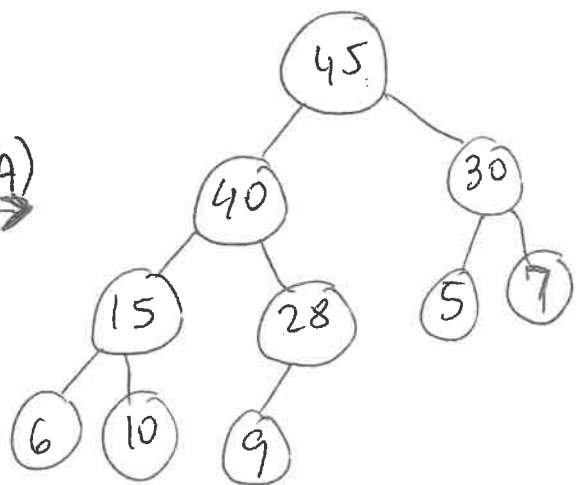
1	2	3	4	5	6	7	8	9	10
50	40	30	15	28	5	7	6	10	9

max-heap  $\Rightarrow$  height =  $\Theta(\lg n)$

insert(A, 45)



remove(A)



A = 

50	45	30	15	40	5	7	6	10	9	28
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A = 

45	40	30	15	28	5	7	6	10	9
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### Knapsack-BestFS-Branch-and-Bound(n.p[],w[],W,maxprofit)

PQ =  $\emptyset$

r.level = r.profit = r.weight = 0

maxprofit = 0

r.bound = bound(r)

insert(PQ,r)

while PQ  $\neq \emptyset$

v = remove(PQ)

$\rightarrow O(\lg n)$

if v.bound > maxprofit

u.level = v.level + 1

u.weight = v.weight + w[u.level]

u.profit = v.profit + p[u.level]

if (u.weight  $\leq$  W and u.profit > maxprofit)

maxprofit = u.profit

u.bound = bound(u)

$\rightarrow O(n)$

if bound(u) > maxprofit

insert(PQ,u)

$\rightarrow O(\lg n)$

u.weight = v.weight

u.profit = v.profit

u.bound = bound(u)

if u.bound > maxprofit

insert(PQ,u)

$\rightarrow O(n)$

set u as the child of v that includes the next item

set u as the child of v that does not include the next item

$$RT = O(n \cdot 2^n)$$



# Branch-and-bound with Best FS

## Knapsack problem

PQ 

0	1	2	3	4	5	6
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max profit = ~~0~~ ⑥

~~40~~ ①

~~70~~ ③

90 ⑥

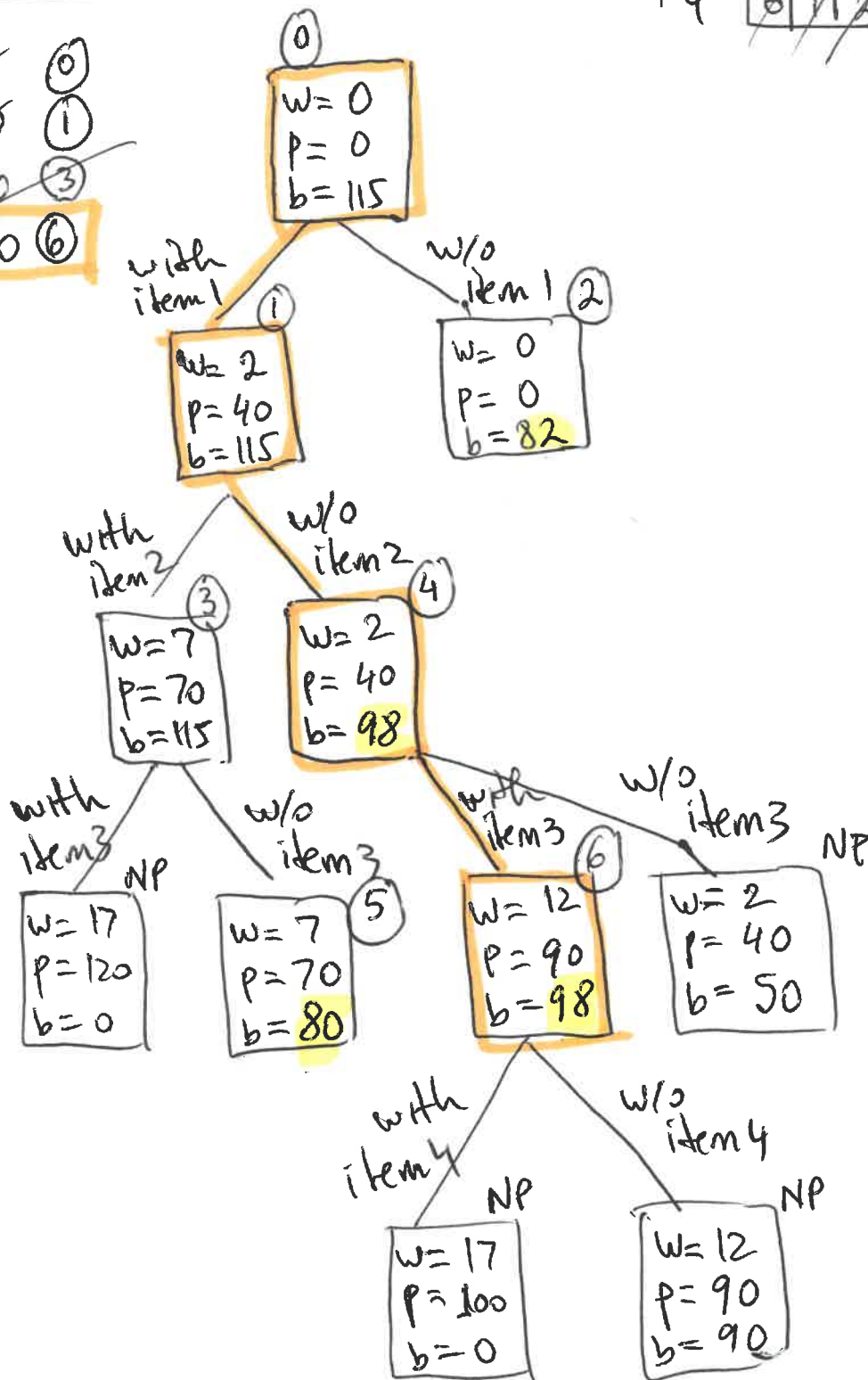
level 0 →

level 1 →

level 2 →

level 3 →

level 4 →



Solution = (item 1, item 3)