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#### **ALDA CSC 522 HW4**

### Q1

### **Import libraries**

```
import numpy as np
import pandas as pd
from copy import deepcopy
import matplotlib.pyplot as plt
from scipy.spatial import distance
%matplotlib inline
```

### **Helper Functions**

```
# Helper function to draw scatter plot
def scatter plot(data, centroids, clusters):
   figure = plt.figure(figsize=(8,8))
   plt.scatter(data[:,0], data[:,1], color='b')
   colors_map = ['blue', 'green', 'red', 'black']
   plt.xlim(0, 10)
   plt.ylim(0, 10)
   for i, text in enumerate(data labels):
        plt.annotate(text, (data[i,0]*(1.04), data[i,1]*(1.04)), fontsize =
14)
   for i in range(k):
        plt.scatter(centroids[i,0], centroids[i,1], marker='*',
color=colors map[i], s=250)
   for i in range(n):
        plt.scatter(data[i,0], data[i,1],color=colors_map[clusters[i]])
   plt.grid(which='both', linestyle='dotted')
   plt.show()
```

```
data = np.array([[1,8], [1,1], [2,4], [3,3], [4,9], [4,6], [6,4], [7,7], [9,9], [9,1]])
```

```
data_labels = np.array(['A','B','C','D','E','F','G','H','I','J'])
```

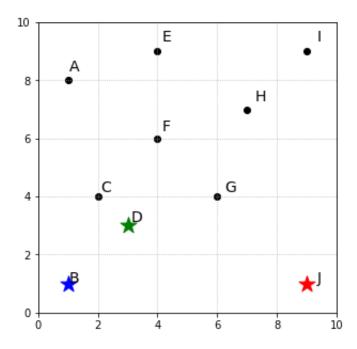
```
# Number of clusters: 3
k = 3
# Number of datapoints: 10
n = 10
```

```
# Initial Seeds / Centroids
centroids = np.array([[1,1], [3,3], [9,1]])
```

```
# Plot points
epoch = 0
print('epoch : '+str(epoch))
figure = plt.figure(figsize=(5,5))
plt.scatter(data[:,0], data[:,1], color='black')
colors_map = ['blue', 'green', 'red']
plt.xlim(0, 10)
plt.ylim(0, 10)
for i, text in enumerate(data_labels):
    plt.annotate(text, (data[i,0]*(1.04), data[i,1]*(1.04)), fontsize = 14)
for i in range(k):
    plt.scatter(centroids[i,0], centroids[i,1], marker='*',
color=colors_map[i], s=250)

plt.grid(which='both', linestyle='dotted')
plt.show()
```

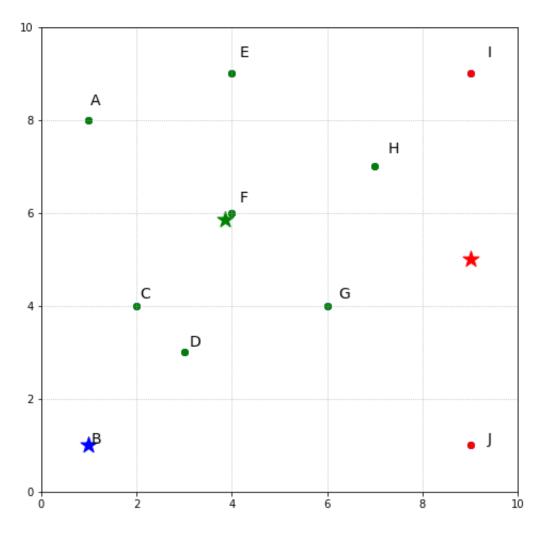
```
epoch: 0
```



### (a) Running 1 round of K-means

```
epoch += 1
print('epoch: '+str(epoch))
distances = np.zeros(shape=(n,k))
clusters = np.zeros(n)
new_centroids = np.zeros(centroids.shape)
old_centroids = deepcopy(centroids)
for i in range(k):
    distances[:,i] = np.linalg.norm(data - centroids[i], axis = 1)
clusters = np.argmin(distances, axis = 1)
old_centroids = deepcopy(new_centroids)
# print('\n Clusters: {}'.format(clusters))
# Calculating new centroids
for i in range(k):
    new_centroids[i] = np.mean([list(x) for x,y in zip(data,clusters) if y ==
i], axis=0)
print('Distances from-')
print('\tCluster_0 Centroid \tCluster_1 Centroid \tCluster_2 Centroid')
for i in range(n):
    for j in range(k):
```

```
epoch: 1
Distances from-
   Cluster 0 Centroid Cluster 1 Centroid Cluster 2 Centroid
   7.0000
               5.3852
                            10.6301
               2.8284
   0.0000
                             8.0000
   3.1623
               1.4142
                             7.6158
   2.8284
               0.0000
                            6.3246
               6.0828
                            9.4340
   8.5440
                            7.0711
   5.8310
               3.1623
                            4.2426
   5.8310
               3.1623
   8.4853
               5.6569
                             6.3246
   11.3137
               8.4853
                            8.0000
   8.0000
               6.3246
                             0.0000
Coordinates of the new centroids :-
   Cluster_0 Centroid: 7.0000 5.3852
   Cluster_1 Centroid:
                      0.0000 2.8284
   Cluster 2 Centroid: 3.1623 1.4142
_____
New Clusters are :-
   Cluster 0 : B
   Cluster_1 : A C D E F G H
   Cluster_2 : I J
```



### (b) K - means clustering alogrithm rounds

```
epoch = 2
while not np.array_equal(new_centroids, old_centroids):
    print('-----')
    print('----')
    print('epoch : '+str(epoch))
# distances = np.zeros(shape=(n,k))
# clusters = np.zeros(k)

for i in range(k):
    distances[:,i] = np.linalg.norm(data - new_centroids[i], axis = 1)
    clusters = np.argmin(distances, axis = 1)

old_centroids = deepcopy(new_centroids)

# Calculating new centroids
# new_centroids[i] = np.mean(data[clusters == i], axis=0)
    for i in range(k):
```

```
# print('Cluster points: {}'.format([list(x) for x,y in
zip(data,clusters) if y == i]))
       new centroids[i] = np.mean([list(x) for x,y in zip(data,clusters) if y
== i], axis=0)
   print('Distances from-')
   print('\tCluster 0 Centroid \tCluster 1 Centroid \tCluster 2 Centroid')
   for i in range(n):
       for j in range(k):
           print ('\t{:.4f}\t\t'.format(distances[i][j]), end='')
       print()
   print('----')
   print('New Clusters are :-')
   for i in range(k):
       print('\tCluster_{{}}: '.format(i), end='')
       print (' '.join(y for x,y in zip(clusters,data_labels) if x ==i))
   print('----')
   print('Coordinates of the new centroids :-')
   for i in range(k):
       print('\tCluster {} Centroid: '.format(i), end='')
       for j in range(2):
           print ('\t{:.4f}'.format(new_centroids[i][j]), end='')
       print()
   scatter plot(data, new centroids, clusters)
   epoch += 1
```

```
epoch: 2
Distances from-
   Cluster 0 Centroid Cluster 1 Centroid Cluster 2 Centroid
   7.0000
                3.5714
                              8.5440
   0.0000
                5.6352
                             8.9443
   3.1623
                2.6264
                             7.0711
   2.8284
               2.9829
                             6.3246
   8.5440
                3.1461
                             6.4031
                             5.0990
   5.8310
               0.2020
   5.8310
                2.8356
                             3.1623
                             2.8284
   8.4853
                3.3442
                             4.0000
   11.3137
               6.0271
                             4.0000
   8.0000
                7.0740
```

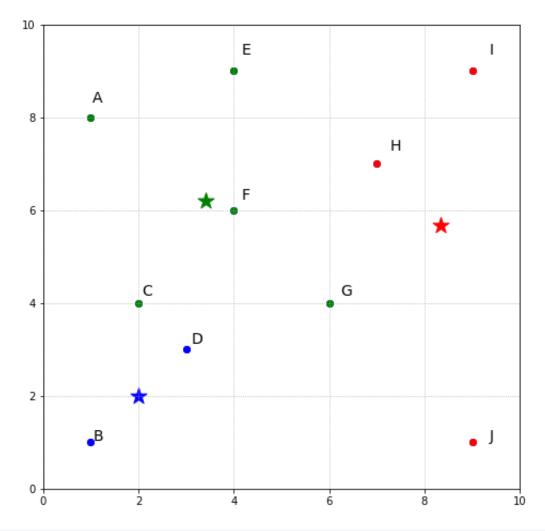
New Clusters are :Cluster\_0 : B D

Cluster\_1 : A C E F G

Cluster\_2 : H I J

Coordinates of the new centroids :-

Cluster\_0 Centroid: 2.0000 2.0000 Cluster\_1 Centroid: 3.4000 6.2000 Cluster\_2 Centroid: 8.3333 5.6667

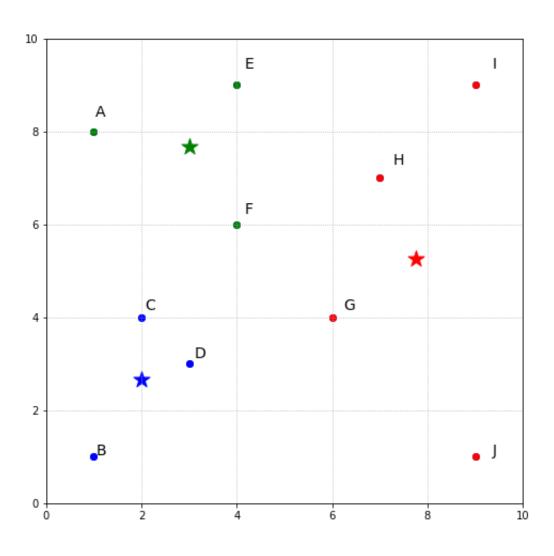


```
epoch: 3
Distances from-
Cluster_0 Centroid Cluster_1 Centroid Cluster_2 Centroid
6.0828 3.0000 7.6956
1.4142 5.7271 8.6923
2.0000 2.6077 6.5490
```

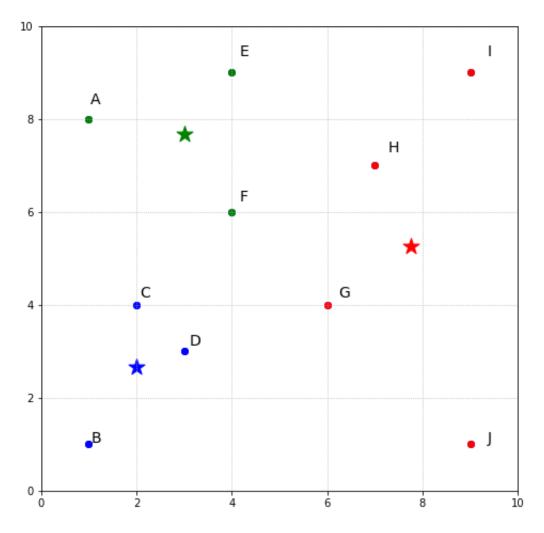
| 1.4142          | 3.2249 | 5.9628 |
|-----------------|--------|--------|
| 7.2801          | 2.8636 | 5.4671 |
| 4.4721          | 0.6325 | 4.3461 |
| 4.4721          | 3.4059 | 2.8674 |
| 7.0711          | 3.6878 | 1.8856 |
| 9.8995          | 6.2610 | 3.3993 |
| 7.0711          | 7.6420 | 4.7140 |
|                 |        |        |
| New Clusters ar | re :-  |        |
| Cluster_0 :     | ВСD    |        |
| Cluster_1 :     | AEF    |        |
| Cluster_2 :     | GHIJ   |        |
|                 |        |        |

Coordinates of the new centroids :-

Cluster\_0 Centroid: 2.0000 2.6667 Cluster\_1 Centroid: 3.0000 7.6667 Cluster\_2 Centroid: 7.7500 5.2500



```
epoch: 4
Distances from-
   Cluster_0 Centroid Cluster_1 Centroid Cluster_2 Centroid
   5.4263
                 2.0276
                               7.2887
   1.9437
                6.9602
                               7.9765
   1.3333
                3.8006
                               5.8843
   1.0541
                4.6667
                               5.2559
   6.6416
                 1.6667
                               5.3033
                              3.8243
                1.9437
   3.8873
   4.2164
                4.7376
                               2.1506
                               1.9039
   6.6165
                4.0552
                               3.9528
   9.4399
                6.1464
                8.9691 4.4300
   7.1957
New Clusters are :-
   Cluster_0 : B C D
   Cluster_1 : A E F
   Cluster_2 : G H I J
Coordinates of the new centroids :-
   Cluster_0 Centroid: 2.0000 2.6667
   Cluster_1 Centroid: 3.0000 7.6667
Cluster_2 Centroid: 7.7500 5.2500
```



### **Conclusion:**

- Total 3 rounds required for K-means clustering algorithm to converge.
- Here in the figure, cluster's centroid points are indicated with star symbol.
- 3 clusters are denoted with blue, green and red.

### For Q2 (c) calculating SSE

```
#print('Distances from-')
#print('\tCluster_0 Centroid \tCluster_1 Centroid \tCluster_2 Centroid')
ss = 0
for i in range(k):
        cluster_points = [list(x) for x,y in zip(data,clusters) if y == i]
        # print('Cluster Points: {}'.format(cluster_points))
        distances = np.linalg.norm(cluster_points - new_centroids[i], axis =

1)
        ss += sum([x*x for x in distances ])
print('K-Means SSE: {}'.format(ss))
```

K-Means SSE: 60.83333333333333

### Problem 2 Hierarchical Clustering

**Euclidean Distance Matrix:** 

|   | А    | В    | С    | D    | E    | F    | G    | Н    | I     | J     |
|---|------|------|------|------|------|------|------|------|-------|-------|
| А | 0.00 | 7.00 | 4.12 | 5.39 | 3.16 | 3.61 | 6.40 | 6.08 | 8.06  | 10.63 |
| В |      | 0.00 | 3.16 | 2.83 | 8.54 | 5.83 | 5.83 | 8.49 | 11.31 | 8.00  |
| С |      |      | 0.00 | 1.41 | 5.39 | 2.83 | 4.00 | 5.83 | 8.60  | 7.62  |
| D |      |      |      | 0.00 | 6.08 | 3.16 | 3.16 | 5.66 | 8.49  | 6.32  |
| Е |      |      |      |      | 0.00 | 3.00 | 5.39 | 3.61 | 5.00  | 9.43  |
| F |      |      |      |      |      | 0.00 | 2.83 | 3.16 | 5.83  | 7.07  |
| G |      |      |      |      |      |      | 0.00 | 3.16 | 5.83  | 4.24  |
| Н |      |      |      |      |      |      |      | 0.00 | 2.83  | 6.32  |
| I |      |      |      |      |      |      |      |      | 0.00  | 8.00  |
| J |      |      |      |      |      |      |      |      |       | 0.00  |

(a)

#### Single Link Hierarchical Clustering:

(Similarity of two clusters is based on the two most similar closest points in different clusters)

Step 1: {C} will merge with {D} at 1.41

|     | А    | В    | C,D  | Е    | F    | G    | Н    | I     | J     |
|-----|------|------|------|------|------|------|------|-------|-------|
| Α   | 0.00 | 7.00 | 4.12 | 3.16 | 3.61 | 6.40 | 6.08 | 8.06  | 10.63 |
| В   |      | 0.00 | 2.83 | 8.54 | 5.83 | 5.83 | 8.49 | 11.31 | 8.00  |
| C,D |      |      | 0.00 | 5.39 | 2.83 | 3.16 | 5.66 | 8.49  | 6.32  |
| E   |      |      |      | 0.00 | 3.00 | 5.39 | 3.61 | 5.00  | 9.43  |
| F   |      |      |      |      | 0.00 | 2.83 | 3.16 | 5.83  | 7.07  |
| G   |      |      |      |      |      | 0.00 | 3.16 | 5.83  | 4.24  |
| Н   |      |      |      |      |      |      | 0.00 | 2.83  | 6.32  |
| I   |      |      |      |      |      |      |      | 0.00  | 8.00  |
| J   |      |      |      |      |      |      |      |       | 0.00  |

### Step 2: {C,D} will merge with {B} at 2.83

Here 2.83 distance is same for {C,D} and {B} & {H} and {I}, so I have chosen the first one.

|       | А    | C,D,B | Е    | F    | G    | Н    | I    | J     |
|-------|------|-------|------|------|------|------|------|-------|
| Α     | 0.00 | 4.12  | 3.16 | 3.61 | 6.40 | 6.08 | 8.06 | 10.63 |
| C,D,B |      | 0.00  | 5.39 | 2.83 | 3.16 | 5.66 | 8.49 | 6.32  |
| Е     |      |       | 0.00 | 3.00 | 5.39 | 3.61 | 5.00 | 9.43  |
| F     |      |       |      | 0.00 | 2.83 | 3.16 | 5.83 | 7.07  |
| G     |      |       |      |      | 0.00 | 3.16 | 5.83 | 4.24  |
| Н     |      |       |      |      |      | 0.00 | 2.83 | 6.32  |
| I     |      |       |      |      |      |      | 0.00 | 8.00  |
| J     |      |       |      |      |      |      |      | 0.00  |

Step 3: {C,D,B} will merge with {F} at 2.83

|         | А    | C,D,B,F | Е    | G    | Н    | 1    | J     |
|---------|------|---------|------|------|------|------|-------|
| А       | 0.00 | 3.61    | 3.16 | 6.40 | 6.08 | 8.06 | 10.63 |
| C,D,B,F |      | 0.00    | 3.00 | 2.83 | 3.16 | 5.83 | 6.32  |
| Е       |      |         | 0.00 | 5.39 | 3.61 | 5.00 | 9.43  |
| G       |      |         |      | 0.00 | 3.16 | 5.83 | 4.24  |
| Н       |      |         |      |      | 0.00 | 2.83 | 6.32  |
| 1       |      |         |      |      |      | 0.00 | 8.00  |
| J       |      |         |      |      |      |      | 0.00  |

Step 4: {C,D,B,F} will merge with {G} 2.83

|           | А    | C,D,B,F,G | Е    | Н    | I    | J     |
|-----------|------|-----------|------|------|------|-------|
| A         | 0.00 | 3.61      | 3.16 | 6.08 | 8.06 | 10.63 |
| C,D,B,F,G |      | 0.00      | 3.00 | 3.16 | 5.83 | 4.24  |
| E         |      |           | 0.00 | 3.61 | 5.00 | 9.43  |
| Н         |      |           |      | 0.00 | 2.83 | 6.32  |
| I         |      |           |      |      | 0.00 | 8.00  |
| J         |      |           |      |      |      | 0.00  |

Step 5: {H} will merge with {I} 2.83

|           | А    | C,D,B,F,G | Е    | H,I  | J     |
|-----------|------|-----------|------|------|-------|
| А         | 0.00 | 3.61      | 3.16 | 6.08 | 10.63 |
| C,D,B,F,G |      | 0.00      | 3.00 | 3.16 | 4.24  |
| E         |      |           | 0.00 | 3.61 | 9.43  |
| H,I       |      |           |      | 0.00 | 6.32  |
| J         |      |           |      |      | 0.00  |

Step 5: {C,D,B,F,G} will merge with {E} 3.00

|             | А    | C,D,B,F,G,E | H,I  | J     |
|-------------|------|-------------|------|-------|
| Α           | 0.00 | 3.16        | 6.08 | 10.63 |
| C,D,B,F,G,E |      | 0.00        | 3.16 | 4.24  |
| H,I         |      |             | 0.00 | 6.32  |
| J           |      |             |      | 0.00  |

### Step 6: {C,D,B,F,G,E} will merge with {H,I} at height 3.16

|                 | А    | C,D,B,F,G,E,H,I | J     |
|-----------------|------|-----------------|-------|
| Α               | 0.00 | 3.16            | 10.63 |
| C,D,B,F,G,E,H,I |      | 0.00            | 4.24  |
| J               |      |                 | 0.00  |

### Step 7: {C,D,B,F,G,E,H,I} will merge with {A} at height 3.16

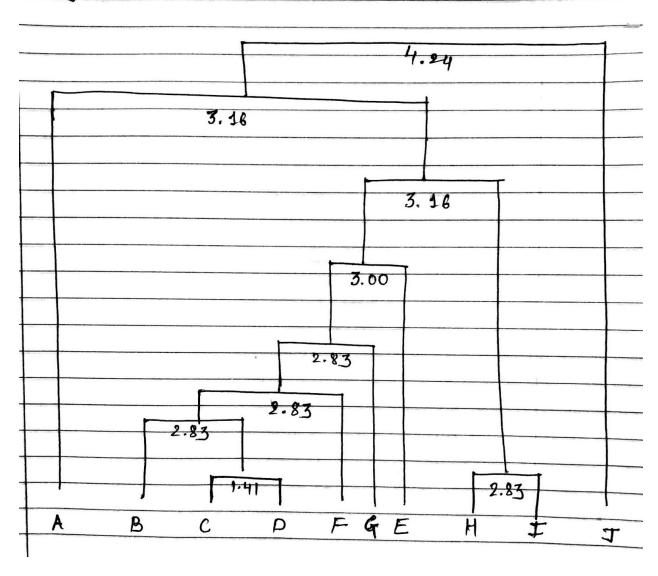
|                   | C,D,B,F,G,E,H,I,A | J    |
|-------------------|-------------------|------|
| C,D,B,F,G,E,H,I,A | 0.00              | 4.24 |
| J                 |                   | 0.00 |

Step 8: {C,D,B,F,G,H,I,A} will merge with {J} at height 4.24

Dendrogram:

Khantil, Aman

# Single Link



### **Complete Link Hierarchical Clustering:**

(Similarity of two clusters is based on the two **least** similar (most distant) points in different clusters)

Step 1: {C} will merge with {D} at 1.41

|     | А    | В    | C,D  | E    | F    | G    | Н    | 1     | J     |
|-----|------|------|------|------|------|------|------|-------|-------|
| Α   | 0.00 | 7.00 | 5.39 | 3.16 | 3.61 | 6.40 | 6.08 | 8.06  | 10.63 |
| В   |      | 0.00 | 3.16 | 8.54 | 5.83 | 5.83 | 8.49 | 11.31 | 8.00  |
| C,D |      |      | 0.00 | 6.08 | 3.16 | 4.00 | 5.83 | 8.60  | 7.62  |
| Е   |      |      |      | 0.00 | 3.00 | 5.39 | 3.61 | 5.00  | 9.43  |
| F   |      |      |      |      | 0.00 | 2.83 | 3.16 | 5.83  | 7.07  |
| G   |      |      |      |      |      | 0.00 | 3.16 | 5.83  | 4.24  |
| Н   |      |      |      |      |      |      | 0.00 | 2.83  | 6.32  |
| I   |      |      |      |      |      |      |      | 0.00  | 8.00  |
| J   |      |      |      |      |      |      |      |       | 0.00  |

Step 2: {G} will merge with {F} at 2.83

|     | А    | В    | C,D  | E    | G,F  | Н    | I     | J     |
|-----|------|------|------|------|------|------|-------|-------|
| Α   | 0.00 | 7.00 | 5.39 | 3.16 | 6.40 | 6.08 | 8.06  | 10.63 |
| В   |      | 0.00 | 3.16 | 8.54 | 5.83 | 8.49 | 11.31 | 8.00  |
| C,D |      |      | 0.00 | 6.08 | 4.00 | 5.83 | 8.60  | 7.62  |
| E   |      |      |      | 0.00 | 5.39 | 3.61 | 5.00  | 9.43  |
| G,F |      |      |      |      | 0.00 | 3.16 | 5.83  | 7.07  |
| Н   |      |      |      |      |      | 0.00 | 2.83  | 6.32  |
| I   |      |      |      |      |      |      | 0.00  | 8.00  |
| J   |      |      |      |      |      |      |       | 0.00  |

Step 4: {H} will merge with {I} at 2.83

|     | А    | В    | C,D  | E    | G,F  | H,I   | J     |
|-----|------|------|------|------|------|-------|-------|
| А   | 0.00 | 7.00 | 5.39 | 3.16 | 6.40 | 8.06  | 10.63 |
| В   |      | 0.00 | 3.16 | 8.54 | 5.83 | 11.31 | 8.00  |
| C,D |      |      | 0.00 | 6.08 | 4.00 | 8.60  | 7.62  |
| Е   |      |      |      | 0.00 | 5.39 | 5.00  | 9.43  |
| G,F |      |      |      |      | 0.00 | 5.83  | 7.07  |
| H,I |      |      |      |      |      | 0.00  | 8.00  |
| J   |      |      |      |      |      |       | 0.00  |

Step 5: {A} will merge with {E} at 3.16

|     | A,E  | В    | C,D  | G,F  | H,I   | J     |
|-----|------|------|------|------|-------|-------|
| A,E | 0.00 | 8.54 | 5.39 | 6.40 | 8.06  | 10.63 |
| В   |      | 0.00 | 3.16 | 5.83 | 11.31 | 8.00  |
| C,D |      |      | 0.00 | 4.00 | 8.60  | 7.62  |
| G,F |      |      |      | 0.00 | 5.83  | 7.07  |
| H,I |      |      |      |      | 0.00  | 8.00  |
| J   |      |      |      |      |       | 0.00  |

Step 6: {B} will merge with {C,D} at 3.16

|       | A,E  | B,C,D | G,F  | H,I   | J     |
|-------|------|-------|------|-------|-------|
| A,E   | 0.00 | 8.54  | 6.40 | 8.06  | 10.63 |
| B,C,D |      | 0.00  | 5.83 | 11.31 | 8.00  |
| G,F   |      |       | 0.00 | 5.83  | 7.07  |
| H,I   |      |       |      | 0.00  | 8.00  |
| J     |      |       |      |       | 0.00  |

### Step 7: {B,C,D} will merge with {G,F} at 5.83

|           | A,E  | B,C,D,F,G | H,I   | J     |
|-----------|------|-----------|-------|-------|
| A,E       | 0.00 | 8.54      | 8.06  | 10.63 |
| B,C,D,F,G |      | 0.00      | 11.31 | 8.00  |
| H,I       |      |           | 0.00  | 8.00  |
| J         |      |           |       | 0.00  |

### Step 7: {B,C,D,F,G} will merge with {J} at 8.00

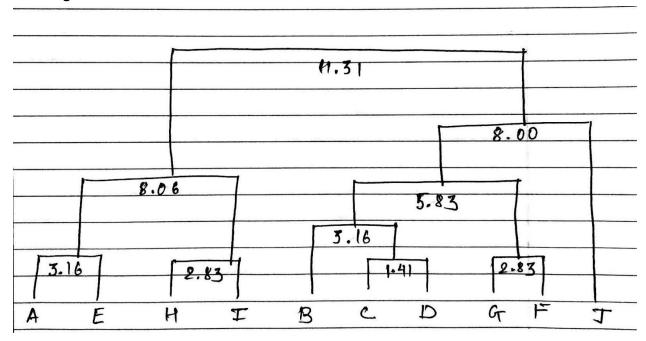
|             | A,E  | B,C,D,F,G,J | H,I   |
|-------------|------|-------------|-------|
| A,E         | 0.00 | 10.63       | 8.06  |
| B,C,D,F,G,J |      | 0.00        | 11.31 |
| H,I         |      |             | 0.00  |

### Step 8: {A,E} will merge with {H,I} at 8.06

|             | A,E,H,I | B,C,D,F,G,J |
|-------------|---------|-------------|
| A,E,H,I     | 0.00    | 11.31       |
| B,C,D,F,G,J |         | 0.00        |

### Step 9: {A,E,H,I} will merge with {B,C,D,F,G,J} at 11.31

#### **Dendrogram:**



# (b) If we assume there are three clusters, which of the single and complete link hierarchical clustering will give better resulted clusters?

Single Link Hierarchical:

3 Clusters: {B,C,D,E,G,H,I}, {J} and {A}

Single Link SSE: 107.875 ((Calculated in Q2.pynb)

Complete Link Hierarchical:

3 Clusters: {B,C,D,G,F}, {J} and {A,E,H,I}

SSE: 72.0 (Calculated in Q2.pynb)

As we can visualize from dendrogram and cluster formation, Single link tends to provide elongated clusters whereas complete link short and circular clusters.

Conclusion: If there are three clusters, based on Sum of Squared Error calculated and dendrogram, Complete link gives better result.

(c) Compare your resulted clusters from 2(b) with the resulted clusters using K-means in Question 1 by calculating their corresponding Sum of Squared Error (SSE). Based on their SSE results, which resulted clusters, 1(b) or 2(b), are better?

From Q1, SSE for K-Means Clustering is: 60.8333333333333 (Calculated in Q1.pynb)

Comparing results with Q1(b) and Q2(b),

K-Means provide better results, as it provides least Sum of Squared Errors.

### **Import Libraries**

```
import math
import numpy as np
import pandas as pd
from copy import deepcopy
import matplotlib.pyplot as plt
from scipy.cluster.hierarchy import dendrogram, linkage
from scipy.spatial import distance
%matplotlib inline
```

```
# number of datapoints
n = 10
data = np.array([[1,8], [1,1], [2,4], [3,3], [4,9], [4,6], [6,4], [7,7],
[9,9], [9,1]])
data_labels = np.array(['A','B','C','D','E','F','G','H','I','J'])
```

```
k = n
distances = np.zeros(shape=(n,n))
for i in range(n):
    distances[:,i] = np.linalg.norm(data - data[i], axis = 1)
print('Distances from-')
clusters = np.zeros(k)
clusters = deepcopy(data)
clusters_label = deepcopy(data_labels)
for cluster_label in clusters_label:
    print('\t{}'.format(cluster_label), end='')
print()
for i in range(k):
   print('{}'.format(clusters_label[i]), end='')
    for j in range(k):
        print ('\t{:.4f}'.format(distances[i][j]), end='')
    print()
```

```
Distances from-
   A B C D E F G H I J
  0.0000 7.0000 4.1231 5.3852 3.1623 3.6056 6.4031 6.0828 8.0623
10.6301
B 7.0000 0.0000 3.1623 2.8284 8.5440 5.8310 5.8310 8.4853 11.3137
8.0000
C 4.1231 3.1623 0.0000 1.4142 5.3852 2.8284 4.0000 5.8310 8.6023
7.6158
D 5.3852 2.8284 1.4142 0.0000 6.0828 3.1623 3.1623 5.6569 8.4853
6.3246
E 3.1623 8.5440 5.3852 6.0828 0.0000 3.0000 5.3852 3.6056 5.0000
9.4340
F 3.6056 5.8310 2.8284 3.1623 3.0000 0.0000 2.8284 3.1623 5.8310
7.0711
G 6.4031 5.8310 4.0000 3.1623 5.3852 2.8284 0.0000 3.1623 5.8310
4.2426
H 6.0828 8.4853 5.8310 5.6569 3.6056 3.1623 3.1623 0.0000 2.8284
6.3246
I 8.0623 11.3137 8.6023 8.4853 5.0000 5.8310 5.8310 2.8284 0.0000
8.0000
J 10.6301 8.0000 7.6158 6.3246 9.4340 7.0711 4.2426 6.3246 8.0000
0.0000
```

```
# Single Link
# Cluster 0 {B,C,D,E,F,G,H,I}
# Cluster 1 {J}
# Cluster 2 {A}
k = 3
single link centroids = np.zeros(shape=(k,2))
clusters = np.zeros(10)
clusters = [2,0,0,0,0,0,0,0,0,1]
# Calculating new centroids
# new centroids[i] = np.mean(data[clusters == i], axis=0)
for i in range(k):
    # print('Cluster points: {}'.format([list(x) for x,y in zip(data,clusters)
if y == i1))
    single_link_centroids[i] = np.mean([list(x) for x,y in zip(data,clusters)
if y == i, axis=0)
ss = 0
for i in range(k):
        cluster_points = [list(x) for x,y in zip(data,clusters) if y == i]
```

```
# print('Cluster Points: {}'.format(cluster_points))
         distances = np.linalg.norm(cluster_points - single_link_centroids[i],
axis = 1)
         ss += sum([x*x for x in distances ])
print('Single Link SSE: {}'.format(ss))
```

```
Single Link SSE: 107.875
```

```
# Complete Link
# Cluster 0 {A,B,C,D,F,G,E}
# Cluster 1 {J}
# Cluster 2 {H,I}
k = 3
single link centroids = np.zeros(shape=(k,2))
clusters = np.zeros(10)
clusters = [0,0,0,0,0,0,0,2,2,1]
# Calculating new centroids
# new_centroids[i] = np.mean(data[clusters == i], axis=0)
for i in range(k):
   # print('Cluster points: {}'.format([list(x) for x,y in zip(data,clusters)
if y == il)
    single_link_centroids[i] = np.mean([list(x) for x,y in zip(data,clusters)
if y == i], axis=0)
ss = 0
for i in range(k):
        cluster\_points = [list(x) for x, y in zip(data, clusters) if y == i]
        # print('Cluster Points: {}'.format(cluster points))
        distances = np.linalg.norm(cluster_points - single_link_centroids[i],
axis = 1)
        ss += sum([x*x for x in distances ])
print('Complete Link SSE: {}'.format(ss))
```

```
Complete Link SSE: 72.0
```

### **Import Libraries**

```
from pprint import pprint
import itertools
import math
```

# (a) Explain what is frequent itemset and give an example of 2-itemset that is frequent itemset with support count = 8.

**Frequent Itemset:** Itemset is collection of one or more itemsets. Frequent itemset is whose support is greater than or equal to a minimum support threshold defined.

```
# Transactions
transactions = [(1, frozenset({'B','D','F','H'})),
                (2, frozenset({'C','D','F','G'})),
                (3, frozenset({'A','D','F','G'})),
                (4, frozenset({'A','B','C','D','H'})),
                (5, frozenset({'A','C','F','G'})),
                (6, frozenset({'D','H'})),
                (7, frozenset({'A','B','E','F'})),
                (8, frozenset({'A','D','F','G','H'})),
                (9, frozenset({'A','C','D','F','G'})),
                (10, frozenset({'D','F','G','H'})),
                (11, frozenset({'A','C','D','E'})),
                (12, frozenset({'B','E','F','H'})),
                (13, frozenset({'D', 'F', 'G'})),
                (14, frozenset({'C', 'F', 'G', 'H'})),
                (15, frozenset({'A','C','D','F','H'})),
               ]
```

```
# unique items
items = set()
for i, x in transactions:
   items.update(x)
print('Total items in the data set - {}'.format(len(items)))
print('The items are - {}'.format(items))
```

```
Total items in the data set - 8

The items are - {'B', 'C', 'A', 'G', 'H', 'D', 'F', 'E'}
```

```
# Itemsets with support >= 1
itemsets = {}

for x in itertools.combinations(items, 2):
    for _, y in transactions:
        if set(x).issubset(y):
            if frozenset(x) not in itemsets:
                itemsets[frozenset(x)]=0
            itemsets[frozenset(x)]+=1

itemsets_support8 = dict((itemset,count)for itemset, count in itemsets.items()
if count >= 8)
s = set(itemsets_support8.keys())
print('Itemsets with support = 8 are as following: ')
for x in s:
    print('[{}]'.format(', '.join(x)))
print('Total itemsets with support = 8 are ->
{}'.format(len(itemsets_support8)))
```

```
Itemsets with support = 8 are as following:
[F, G]
[D, F]
Total itemsets with support = 8 are -> 2
```

# (b) Explain what is closed frequent itemset and list all of them with supportcount = 8.

**Closed Frequent Itemset:** It is a frequent itemset that is both closed and its support is greater than or equal to minsup. An itemset is closed in a data set if there exists no superset that has the same support count as this original itemset. Ref: <a href="http://www.hypertextbookshop.com/dataminingbook/public\_version/contents/chapters/chapter002/section004/blue/page002.html">http://www.hypertextbookshop.com/dataminingbook/public\_version/contents/chapters/chapter002/section004/blue/page002.html</a>

```
itemsets[frozenset(x)]=0
                itemsets[frozenset(x)]+=1
itemsets
itemsets_support8_dict = dict((itemset,count)for itemset, count in
itemsets.items() if count >= 8)
itemsets support8 = list(itemsets support8 dict.keys())
# Find closed itemset
closed frequent itemsets = []
for i in range(len(itemsets support8)):
    subsetFound = False
    for j in range(i+1,len(itemsets_support8)):
        if itemsets support8[i].issubset(itemsets support8[j]) and
itemsets_support8_dict[itemsets_support8[i]] <=</pre>
itemsets support8 dict[itemsets support8[j]]:
            subsetFound = True
            break
    if not subsetFound:
        closed frequent itemsets.append(itemsets support8[i])
# print('itemsets_support8_dict: {}'.format(itemsets_support8_dict))
# s = set(itemsets_support8_dict.keys())
# for x in s:
     print('[{}]'.format(', '.join(x)))
print('Closed Itemsets with support >= 8 are as following: ')
for x in closed_frequent_itemsets:
   print('[{}]'.format(', '.join(x)))
# print('Closed itemsets with support >= 8 are ->
{}'.format(len(itemsets support8)))
```

```
Closed Itemsets with support >= 8 are as following:
[A]
[H]
[D]
[F]
[F, G]
[D, F]
```

# (c) Explain what is maximal frequent itemset and list all of maximal itemset with support count = 8.

**Maximal Frequent Itemset:** It is a frequent itemset for which none of its immediate supersets are frequent. Ref: <a href="http://www.hypertextbookshop.com/dataminingbook/public\_version/contents/chapters/chapter002/section004/blue/page001.html">http://www.hypertextbookshop.com/dataminingbook/public\_version/contents/chapters/chapter002/section004/blue/page001.html</a>

```
itemsets = {}
for i in range(1, len(items)+1):
   for x in itertools.combinations(items, i):
        for , y in transactions:
            if set(x).issubset(y):
                if frozenset(x) not in itemsets:
                    itemsets[frozenset(x)]=0
                itemsets[frozenset(x)]+=1
itemsets
itemsets_support8_dict = dict((itemset,count)for itemset, count in
itemsets.items() if count >= 8)
itemsets_support8 = list(itemsets_support8_dict.keys())
# Find closed itemset
maximal frequent itemsets = []
for i in range(len(itemsets_support8)):
   subsetFound = False
   for j in range(i+1,len(itemsets_support8)):
        if itemsets_support8[i].issubset(itemsets_support8[j]) and
len(itemsets support8[i])+1 == len(itemsets support8[j]):
                subsetFound = True
                break
   if not subsetFound:
        maximal_frequent_itemsets.append(itemsets_support8[i])
# s = set(itemsets_support8_dict.keys())
# for x in s:
    print('[{}]'.format(', '.join(x)))
print('Maximal Frequent Itemsets with support >= 8 are as following: ')
for x in maximal frequent itemsets:
  print('[{}]'.format(', '.join(x)))
# print('Closed itemsets with support >= 8 are ->
{}'.format(len(itemsets_support8)))
```

```
Maximal Frequent Itemsets with support >= 8 are as following:
[A]
[H]
[F, G]
[D, F]
```

# (d) Compute the support and confidence for association rule {D, F} -> {G}

```
# Support: Fraction of transactions that contains {D,F,G}
association rule set = frozenset({'D','F','G'})
support = 0
# for i in range(len(association_rule_set), len(items)+1):
     for x in itertools.combinations(items, i):
for ,t in transactions:
   # print('Transaction: {}'.format(t))
   if association_rule_set.issubset(t):
        support += 1
print('Support for association rule {{D, F}} -> {{G}} is
{}'.format(support/len(transactions)))
# Confidence
x_set = frozenset({'D','F'})
support_x = 0
for ,t in transactions:
   # print('Transaction: {}'.format(t))
   if x_set.issubset(t):
        support_x += 1
print('Confidence for association rule {{D, F}} -> {{G}} is
{}'.format(support/support_x))
```

```
Support for association rule {D, F} \rightarrow {G} is 0.4 Confidence for association rule {D, F} \rightarrow {G} is 0.75
```

## **Q4 - Association Analysis**

Consider the following market basket transactions shown in the Table below.

| Transaction ID | Items Ordered                   |
|----------------|---------------------------------|
| 1              | {Flour, Eggs, Bread}            |
| 2              | {Soda, Coffee}                  |
| 3              | {Flour, Butter, Milk, Eggs}     |
| 4              | {Bread, Eggs, Juice, Detergent} |
| 5              | {Bread, Milk, Eggs}             |
| 6              | {Eggs, Bread}                   |
| 7              | {Detergent, Milk}               |
| 8              | {Coffee, Soda, Juice}           |
| 9              | {Butter, Juice, Bread}          |
| 10             | {Milk, Bread, Detergent}        |

### **Import Libraries**

```
1 from pprint import pprint
2 import itertools
3 import math
```

# (a) How many items are in this data set? What is the maximum size of itemsets that can be extracted from this data set?

### **Transaction History**

```
1
    transactions = [(1, frozenset({'Flour', 'Eggs', 'Bread'})),
                    (2, frozenset({'Soda', 'Coffee'})),
 2
                    (3, frozenset({'Flour', 'Butter', 'Milk', 'Eggs'})),
 3
                    (4, frozenset({'Bread', 'Eggs', 'Juice', 'Detergent'})),
 4
                     (5, frozenset({'Bread', 'Milk', 'Eggs'})),
                    (6, frozenset({'Eggs', 'Bread'})),
 6
7
                    (7, frozenset({'Detergent', 'Milk'})),
                    (8, frozenset({'Coffee', 'Soda', 'Juice'})),
8
9
                    (9, frozenset({'Butter', 'Juice', 'Bread'})),
                    (10, frozenset({'Milk', 'Bread', 'Detergent'})),
10
11
                   ]
```

### **Count of Items**

```
items = set()
for i, x in transactions:
   items.update(x)
print('Total items in the data set - {}'.format(len(items)))
print('The items are - {}'.format(items))
```

### **Itemsets with Support ≥ 1**

```
1
   itemsets = {}
2
   for i in range(1, len(items)+1):
       for x in itertools.combinations(items, i):
           for _, y in transactions:
4
5
               if set(x).issubset(y):
                   if frozenset(x) not in itemsets:
6
7
                        itemsets[frozenset(x)]=0
                   itemsets[frozenset(x)]+=1
8
9
  print('Total itemsets with support ≥ 1 are - {}'.format(len(itemsets)))
```

```
1 | Total itemsets with support ≥ 1 are - 44
```

```
print('Maximum Size of Itemset - {}'.format(max([len(x) for x in itemsets.keys()])))
```

```
1 | Maximum Size of Itemset - 4
```

There are 9 unique items, and 44 itemsets with with support ≥ 1, with maximum number of items in an itemset being 4.

# (b) What is the maximum number of association rules that can be extracted from this data (including rules that have zero support)?

```
cnt=math.pow(3,len(items))-math.pow(2,len(items)+1)+1
print('The maximum number of association rules that can be extracted are
{:.0f}'.format(cnt))
The maximum number of association rules that can be extracted are 18660
```

The maximum number of association rules that can be generated using d distinct items is given by the following formula -  $R=\sum_{k=1}^{d-1}[\binom{d}{k}\times\sum_{j=1}^{d-k}\binom{d-k}{j}]=3^d-2^{d+1}+1.$  If we plugin d=9, R=18660.

# (c) What is the maximum number of 2-itemsets that can be derived from this data set (including those have zero support)?

```
print('The maximum number of 2-itemsets that can be derived from this data
set are {:.0f}'.format(
    math.factorial(len(items))/(math.factorial(len(items)-2)*2)))

The maximum number of 2-itemsets that can be derived from this data set are
36
```

The maximum number of 2-itemsets that can be derived using n distinct items is given by the following combination -  $count = \binom{n}{2} = \frac{n!}{n!2!} = \frac{n(n-1)}{2}$ . For 9 items, we get 36 2-itemsets.

# (d) Find an itemset (of size 2 or larger) that has the largest support.

```
1  max_cnt=0
2  max_set=None
3  for x,cnt in itemsets.items():
4    if len(x)>=2 and cnt>max_cnt:
5        max_cnt=cnt
6    max_set=x
7  print('The itemset of size 2 or larger with maximum support is -> {' + ', '.join(max_set) + '}')
```

```
The itemset of size 2 or larger with maximum support is -> {Bread, Eggs}
```

We can calculate this by iterating over the all the itemsets, and checking itemsets which have support more than or equal to 2. We can then maintain a MAX variable to keep track of the set which has the maximum support. Using this approach we get the following itemset - > {Bread, Eggs} and its support is 4 or  $\frac{4}{10}=0.4$ .

(e) Given minconf = 0.5, find two pairs of items, a and b, such that the rules {a} -> {b} and {b} -> {a} have the same confidence, and their confidence is greater than or equal to the minconf threshold.

```
Confidence -> 1.00 [Butter, Eggs] [Milk, Butter, Eggs]
Confidence -> 1.00 [Butter, Eggs] [Flour, Milk, Eggs]
Confidence -> 1.00 [Butter, Eggs] [Flour, Milk, Butter, Eggs]
Confidence -> 1.00 [Butter, Eggs] [Flour, Milk]
Confidence -> 1.00 [Butter, Eggs] [Flour, Butter, Eggs]
Confidence -> 1.00 [Butter, Eggs] [Flour, Butter, Eggs]
Ending Eggs]
Confidence -> 1.00 [Butter, Eggs] [Flour, Butter]
```

```
7
    Confidence -> 1.00
                         [Butter, Eggs] [Flour, Milk, Butter]
8
    Confidence -> 1.00
                         [Butter, Eggs] [Milk, Butter]
9
    Confidence -> 1.00
                         [Milk, Butter, Eggs] [Flour, Milk, Eggs]
10
    Confidence -> 1.00
                         [Milk, Butter, Eggs] [Flour, Milk, Butter, Eggs]
11
    Confidence -> 1.00
                        [Milk, Butter, Eggs] [Flour, Milk]
12
    Confidence -> 1.00
                         [Milk, Butter, Eggs] [Flour, Butter, Eggs]
    Confidence -> 1.00
                        [Milk, Butter, Eggs] [Flour, Butter]
13
14
    Confidence -> 1.00
                         [Milk, Butter, Eggs] [Flour, Milk, Butter]
15
    Confidence -> 1.00
                         [Milk, Butter, Eggs] [Milk, Butter]
    Confidence -> 1.00
                         [Eggs, Detergent] [Bread, Juice, Eggs]
    Confidence -> 1.00
                         [Eggs, Detergent] [Bread, Juice, Detergent]
17
    Confidence -> 1.00
                         [Eggs, Detergent] [Juice, Eggs]
18
19
   Confidence -> 1.00
                         [Eggs, Detergent] [Eggs, Juice, Detergent]
2.0
    Confidence -> 1.00
                         [Eggs, Detergent] [Eggs, Bread, Detergent]
21
    Confidence -> 1.00
                         [Eggs, Detergent] [Juice, Detergent]
    Confidence -> 1.00
                         [Eggs, Detergent] [Eggs, Juice, Bread, Detergent]
22
    Confidence -> 0.50
2.3
                         [Milk, Eggs] [Flour]
24
    Confidence -> 0.50
                         [Milk, Eggs] [Bread, Milk]
25
    Confidence -> 0.50
                         [Milk, Eggs] [Flour, Eggs]
26
   Confidence -> 0.50
                         [Milk, Eggs] [Butter]
27
   Confidence -> 1.00
                         [Flour] [Flour, Eggs]
2.8
   Confidence -> 0.50
                         [Flour] [Butter]
29
    Confidence -> 1.00
                         [Bread, Juice, Eggs] [Bread, Juice, Detergent]
   Confidence -> 1.00
30
                         [Bread, Juice, Eggs] [Juice, Eggs]
    Confidence -> 1.00
                         [Bread, Juice, Eggs] [Eggs, Juice, Detergent]
31
    Confidence -> 1.00
32
                         [Bread, Juice, Eggs] [Eggs, Bread, Detergent]
33
    Confidence -> 1.00
                         [Bread, Juice, Eggs] [Juice, Detergent]
   Confidence -> 1.00
                         [Bread, Juice, Eggs] [Eggs, Juice, Bread, Detergent]
34
   Confidence -> 1.00
                         [Juice, Coffee] [Juice, Soda, Coffee]
35
   Confidence -> 1.00
36
                         [Juice, Coffee] [Juice, Soda]
37
    Confidence -> 1.00
                         [Bread, Juice, Detergent] [Juice, Eggs]
    Confidence -> 1.00
                         [Bread, Juice, Detergent] [Eggs, Juice, Detergent]
38
    Confidence -> 1.00
                         [Bread, Juice, Detergent] [Eggs, Bread, Detergent]
39
40
    Confidence -> 1.00
                         [Bread, Juice, Detergent] [Juice, Detergent]
41
    Confidence -> 1.00
                         [Bread, Juice, Detergent] [Eggs, Juice, Bread,
    Detergent]
   Confidence -> 1.00
                         [Juice, Eggs] [Eggs, Juice, Detergent]
42
43
   Confidence -> 1.00
                         [Juice, Eggs] [Eggs, Bread, Detergent]
44
   Confidence -> 1.00
                         [Juice, Eggs] [Juice, Detergent]
   Confidence -> 1.00
                         [Juice, Eggs] [Eggs, Juice, Bread, Detergent]
45
    Confidence -> 1.00
                         [Eggs, Juice, Detergent] [Eggs, Bread, Detergent]
46
47
    Confidence -> 1.00
                         [Eggs, Juice, Detergent] [Juice, Detergent]
    Confidence -> 1.00
                         [Eggs, Juice, Detergent] [Eggs, Juice, Bread,
48
    Detergent]
                        [Juice, Soda, Coffee] [Juice, Soda]
49
   Confidence -> 1.00
   Confidence -> 1.00 [Flour, Bread, Eggs] [Flour, Bread]
50
```

```
51
   Confidence -> 1.00
                         [Eggs, Bread, Detergent] [Juice, Detergent]
52
    Confidence -> 1.00
                        [Eggs, Bread, Detergent] [Eggs, Juice, Bread,
    Detergent]
53
   Confidence -> 1.00
                         [Flour, Milk, Eggs] [Flour, Milk, Butter, Eggs]
   Confidence -> 1.00
                        [Flour, Milk, Eggs] [Flour, Milk]
55
   Confidence -> 1.00
                        [Flour, Milk, Eggs] [Flour, Butter, Eggs]
   Confidence -> 1.00
                        [Flour, Milk, Eggs] [Flour, Butter]
56
   Confidence -> 1.00
                         [Flour, Milk, Eggs] [Flour, Milk, Butter]
57
58
   Confidence -> 1.00
                        [Flour, Milk, Eggs] [Milk, Butter]
                         [Soda, Coffee] [Soda]
59
   Confidence -> 1.00
   Confidence -> 1.00
                         [Soda, Coffee] [Coffee]
60
   Confidence -> 1.00
                         [Flour, Milk, Butter, Eggs] [Flour, Milk]
61
62
   Confidence -> 1.00
                         [Flour, Milk, Butter, Eggs] [Flour, Butter, Eggs]
   Confidence -> 1.00
                        [Flour, Milk, Butter, Eggs] [Flour, Butter]
   Confidence -> 1.00
                         [Flour, Milk, Butter, Eggs] [Flour, Milk, Butter]
64
   Confidence -> 1.00
                        [Flour, Milk, Butter, Eggs] [Milk, Butter]
65
   Confidence -> 1.00
                         [Soda] [Coffee]
66
                         [Bread, Juice, Butter] [Juice, Butter]
67
   Confidence -> 1.00
                         [Bread, Juice, Butter] [Bread, Butter]
68
   Confidence -> 1.00
   Confidence -> 0.50
                        [Bread, Juice] [Bread, Detergent]
69
   Confidence -> 0.50
70
                        [Bread, Juice] [Butter]
71
   Confidence -> 0.50
                        [Milk, Detergent] [Bread, Detergent]
   Confidence -> 0.50
                        [Milk, Detergent] [Bread, Milk]
72
73
   Confidence -> 0.50
                         [Bread, Detergent] [Bread, Milk]
74
   Confidence -> 1.00
                        [Juice, Detergent] [Eggs, Juice, Bread, Detergent]
   Confidence -> 0.50
                         [Flour, Eggs] [Butter]
75
   Confidence -> 1.00
                         [Flour, Milk] [Flour, Butter, Eggs]
76
                         [Flour, Milk] [Flour, Butter]
   Confidence -> 1.00
77
78
   Confidence -> 1.00
                        [Flour, Milk] [Flour, Milk, Butter]
   Confidence -> 1.00
                        [Flour, Milk] [Milk, Butter]
79
   Confidence -> 1.00
                        [Juice, Butter] [Bread, Butter]
80
   Confidence -> 1.00
                        [Flour, Butter, Eggs] [Flour, Butter]
81
82
   Confidence -> 1.00
                        [Flour, Butter, Eggs] [Flour, Milk, Butter]
   Confidence -> 1.00
                        [Flour, Butter, Eggs] [Milk, Butter]
83
                         [Flour, Butter] [Flour, Milk, Butter]
84
   Confidence -> 1.00
                         [Flour, Butter] [Milk, Butter]
85
   Confidence -> 1.00
   Confidence -> 1.00
                         [Flour, Milk, Butter] [Milk, Butter]
86
```

The formula for confidence is given by  $confidence(X->Y)=\frac{support(X\cup Y)}{support(X)}$ . Given 2 itemsets, x and y, the confidence(x->y) and confidence(y->x) is equal if and only if the their individual supports are equal.

We listed out above all the possible pair of itemsets have a confidence  $\geq$  0.5 and have the same confidence.

If we assume a and b are items, then the question states to find 2 such pairs of 1-itemsets. From the above list these are [{Flour} {Butter}] and [{Soda}{Coffee}].

If we assume a and b are 2-itemsets, then the questions asks us to find a pair of 2-itemsets that satisfy the above condition. From the above list, this is [{Butter, Eggs}, {Flour, Milk}]

## **Import Libraries**

```
from pprint import pprint
import itertools
import math
```

```
# unique items
items = set()
for i, x in transactions:
   items.update(x)
# print('Total items in the data set - {}'.format(len(items)))
print('The unique items are - {}'.format(items))
```

```
The unique items are - {'E', 'A', 'D', 'C', 'B'}
```

```
# Apriori Algorithm
# L1
itemsets = {}
for x in itertools.combinations(items, 1):
    for _, y in transactions:
        if set(x).issubset(y):
            if frozenset(x) not in itemsets:
                itemsets[frozenset(x)]=0
                itemsets[frozenset(x)]+=1
```

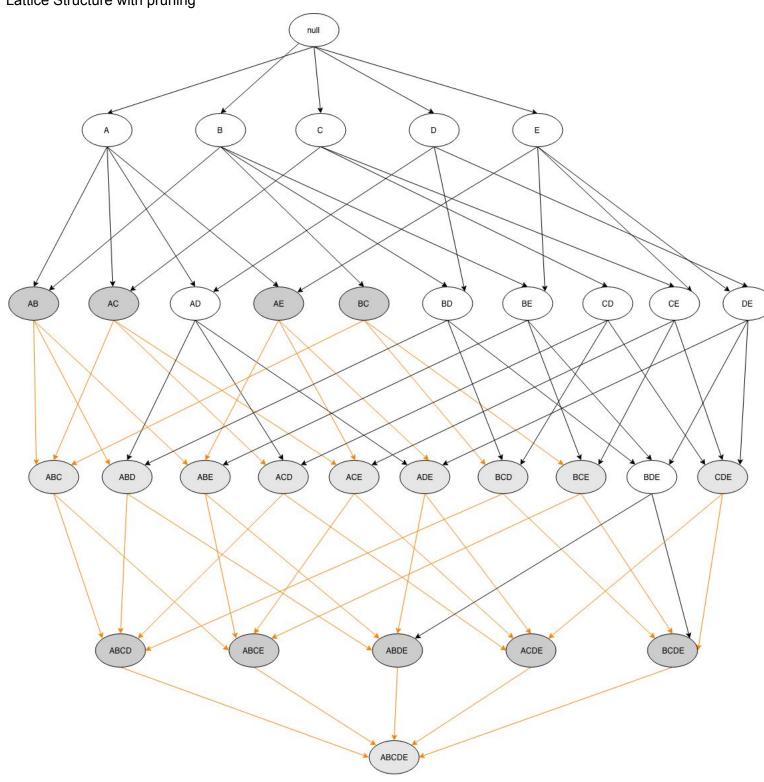
```
# Candidate Set
print('Candidate Set C{0}'.format(1))
print('\t Itemset \t Support Count')
for x in itemsets.keys():
   print('\t[{}]'.format(', '.join(x)), '\t {}'.format(itemsets[x]))
itemsets_support3 = dict((itemset,count)for itemset, count in itemsets.items()
if count >= 3)
if len(itemsets support3) < len(itemsets):</pre>
   print('Pruning')
else:
   print('Pruning Not Required.')
itemsets support3 = dict((itemset,count)for itemset, count in itemsets.items()
if count >= 3)
s = set(itemsets_support3.keys())
print('Step 1 L1')
print('1 - Itemsets with support = 3 are as following: ')
for x in s:
  print('[{}]'.format(', '.join(x)), ' Support Count:
{}'.format(itemsets_support3[x]))
#####################################
for i in range(2, len(items)+1):
   if len(itemsets_support3) == 0:
       print('No new frequent itemsets identified')
       break
   print('-----')
   print('-----')
   print('Step: {0} L({0})'.format(i))
   itemsets = {}
   # Take only frequent itemsets for next step, prune step of Apriori
   items = set(itemsets_support3.keys())
   for x1,x2 in itertools.combinations(items, 2):
       # Union Step
       next frequent itemset = set(x1.union(x2))
       if frozenset(next_frequent_itemset) not in itemsets:
           for _, y in transactions:
               # print('next frequent itemset:
{}'.format(next frequent itemset))
               if len(next_frequent_itemset) == i and
next frequent itemset.issubset(y):
                   # print('next frequent itemset:
{}'.format(next_frequent_itemset))
                   if frozenset(next_frequent_itemset) not in itemsets:
```

```
itemsets[frozenset(next_frequent_itemset)]=0
                    itemsets[frozenset(next frequent itemset)]+=1
    # Candidate Set
    print('Candidate Set C{0}'.format(i))
    print('\t Itemset \t Support Count')
    for x in itemsets.keys():
        print('\t[{}]'.format(', '.join(x)), '\t {}'.format(itemsets[x]))
    itemsets support3 = dict((itemset,count)for itemset, count in
itemsets.items() if count >= 3)
    if len(itemsets_support3) < len(itemsets):</pre>
       print('Pruning')
    else:
        print('Pruning Not Required.')
    print('L{0} Itemsets with support = 3 are as following: '.format(i))
    print('\tItemset \tSupport Count')
    for x in itemsets support3.keys():
        print('\t[{}]'.format(', '.join(x)), '\t\t
{}'.format(itemsets_support3[x]))
```

```
Candidate Set C1
    Itemset
              Support Count
   [E] 5
   [A]
          4
           7
   [D]
            5
   [C]
   [B]
          4
Pruning Not Required.
Step 1 L1
1 - Itemsets with support = 3 are as following:
[B] Support Count: 4
[A] Support Count: 4
[D] Support Count: 7
[C] Support Count: 5
[E] Support Count: 5
Step: 2 L(2)
Candidate Set C2
    Itemset
              Support Count
   [B, A] 2
   [D, B] 4
   [C, B] 1
```

```
[E, B]
   [D, A] 4
   [C, A] 2
   [E, A] 2
   [C, D] 4
   [E, D] 4
   [C, E] 3
Pruning
L2 Itemsets with support = 3 are as following:
   Itemset
             Support Count
   [D, B]
              4
   [E, B]
              3
   [D, A]
              4
   [C, D]
   [E, D]
              4
   [C, E]
Step: 3 L(3)
Candidate Set C3
    Itemset
             Support Count
   [E, D, A]
              2
   [D, B, A]
   [C, D, A]
              2
   [C, E, B] 1
   [E, D, B]
              3
   [C, E, D]
              2
   [C, D, B] 1
Pruning
L3 Itemsets with support = 3 are as following:
   Itemset Support Count
                  3
   [E, D, B]
Step: 4 L(4)
Candidate Set C4
    Itemset
              Support Count
Pruning Not Required.
L4 Itemsets with support = 3 are as following:
              Support Count
   Itemset
No new frequent itemsets identified
```

Q5(b) Lattice Structure with pruning



Note: Nodes shown in grey are pruned. Branched show in orange will be pruned.

# Q6 - FP-Tree

Consider the following data set shown in Table 4 and answer the following questions using FP-Tree.

| TID | Items Bought |
|-----|--------------|
| T1  | {B,D,F,H}    |
| T2  | {C,D,F,G}    |
| T3  | {A,D,F,G}    |
| T4  | {A,B,C,D,H}  |
| T5  | {A,C,F,G}    |
| T6  | {D,H}        |
| T7  | {A,B,E,F}    |
| T8  | {A,D,F,G,H}  |
| T9  | {A,C,D,F,G}  |
| T10 | {D,F,G,H}    |
| T11 | {A,C,D,E}    |
| T12 | {B,E,F,H}    |
| T13 | {D,F,G}      |
| T14 | {C,F,G,H}    |
| T15 | {A,C,D,F,H}  |

(a) Construct an FP-tree for the set of transactions in the table below as the first step towards identifying the itemsets with minimum support count of 2 (at least 2 occurrences). Do not forget to include the header table that locates the starts of the corresponding linked item lists through the FP-tree. For consistency, please form your header table in the order of {F, D, G, H, A, C, B, E}

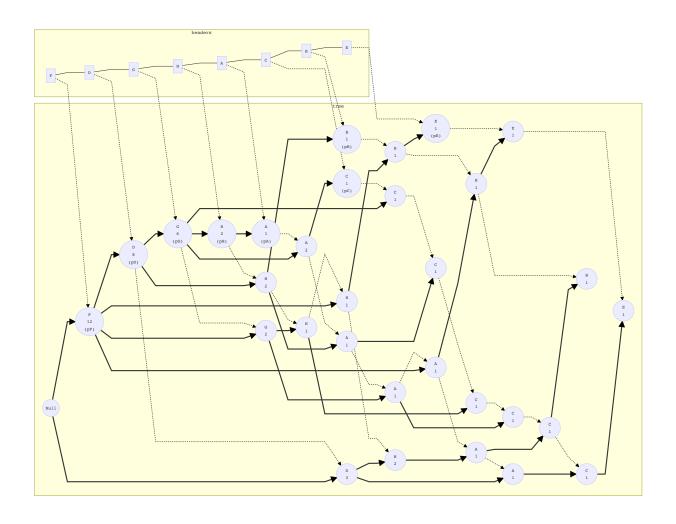
### **Support Counts / Header Table**

| Item | Support |
|------|---------|
| F    | 12      |
| D    | 11      |
| G    | 8       |
| Н    | 8       |
| А    | 8       |
| С    | 7       |
| В    | 4       |
| Е    | 3       |

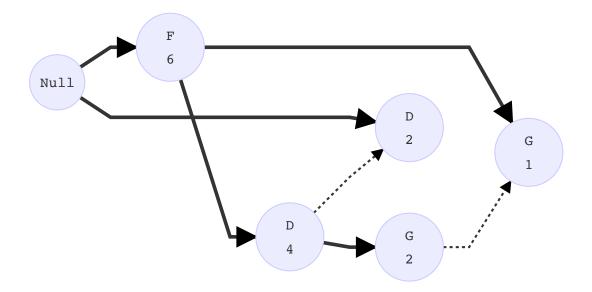
### **Ordered Itemsets**

| TID | Items Bought | Ordered ItemSets |
|-----|--------------|------------------|
| T1  | {B,D,F,H}    | {F,D,H,B}        |
| T2  | {C,D,F,G}    | {F,D,G,C}        |
| T3  | {A,D,F,G}    | {F,D,G,A}        |
| T4  | {A,B,C,D,H}  | {D,H,A,C,B}      |
| T5  | {A,C,F,G}    | {F,G,A,C}        |
| Т6  | {D,H}        | {D,H}            |
| T7  | {A,B,E,F}    | {F,A,B,E}        |
| Т8  | {A,D,F,G,H}  | {F,D,G,H,A}      |
| Т9  | {A,C,D,F,G}  | {F,D,G,A,C}      |
| T10 | {D,F,G,H}    | {F,D,G,H}        |
| T11 | {A,C,D,E}    | {D,A,C,E}        |
| T12 | {B,E,F,H}    | {F,H,B,E}        |
| T13 | {D,F,G}      | {F,D,G}          |
| T14 | {C,F,G,H}    | {F,G,H,C}        |
| T15 | {A,C,D,F,H}  | {F,D,H,A,C}      |

## Graph

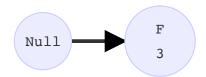


| (b) Using the FP-Tree constructed and support=3, generate all the frequent patterns with the base of item H step by step. | F |
|---|---|
| (i) Conditional FP-Tree with Base H   |   |



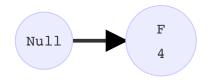
F, D and G are frequent, so we generate itemsets {F,H}, {D,H} and {G,H}.

### (ii) Conditional FP-Tree with Base GH



Only F is frequent, so we generate itemset {F,G,H}

### (iii) Conditional FP-Tree with Base DH



F is frequent, so we generate itemset {F,D,H}

### (iv) Conditional FP-Tree with base FH

Only Null node remains, so no new itemsets.

As H was frequent too, we generate itemset {H} also. Hence, we have the following frequent patterns - {H}, {F,H}, {D,H}, {G,H}, {F,D,H}, {F,G,H}.