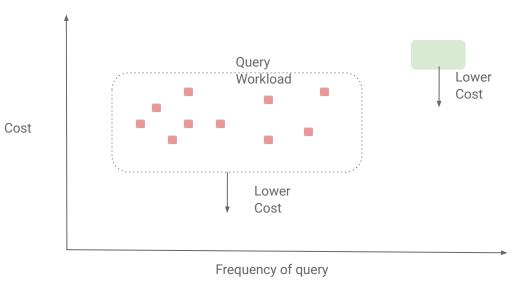


## Optimizing

# Queries and workloads



Workload = <Query, Frequency of query>

# Example:

# Basic SFW queries

### Workload description

SELECT pname FROM Product WHERE year = ? AND category =?

SELECT pname
FROM Product
WHERE year = ? AND Category =?
AND manufacturer = ?

Lower cost (query and update cost)

- . How to execute? Sort, Hash first ...?
- 2. Maintain indexes for Year? Category? Manufacturer?
- 3. For query, check multiple indexes?
- 4. What's cost of maintaining index?
- 5. Use multiple machines? ...

Intuition

Manufacturers likely most **Selective**.

Many more manufacturers than Categories. Maintain index, if this query happens a lot.

### Optimization

### Roadmap



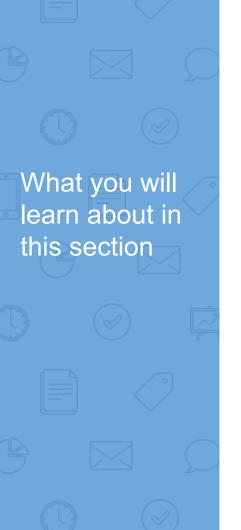
**Build Query Plans** 

- S BENEFITS Analyze Plans

- For SFW, Joins queries
  - Sort? Hash? Count? Brute-force?
  - Pre-build an index? B+ tree, Hash? b.
- What statistics can I keep to optimize?
  - E.g. Selectivity of columns, values

Cost in I/O, resources? To query, maintain?





1. RECAP: Joins

2. Nested Loop Join (NLJ)

3. Block Nested Loop Join (BNLJ)

4. Index Nested Loop Join (INLJ)

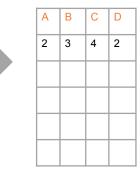


 $\mathbf{R} \bowtie \mathbf{S}$ 

SELECT R.A,B,C,D FROM R, S WHERE R.A = S.A

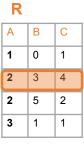


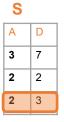


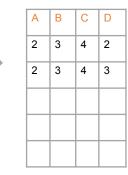


 $R \bowtie S$ 

SELECT R.A,B,C,D FROM R, S WHERE R.A = S.A







 $R \bowtie S$ 

SELECT R.A,B,C,D FROM R, S WHERE R.A = S.A



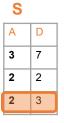


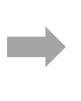


 $R \bowtie S$ 

SELECT R.A,B,C,D FROM R, S WHERE R.A = S.A



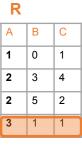




Α	В	С	D
2	3	4	2
2	3	4	3
2	5	2	2
2	5	2	3

 $R \bowtie S$ 

SELECT R.A,B,C,D FROM R, S WHERE R.A = S.A





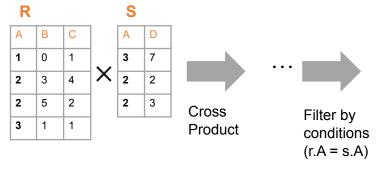


Α	В	С	D
2	3	4	2
2	3	4	3
2	5	2	2
2	5	2	3
3	1	1	7

### Semantically: A Subset of the Cross Product

 $R \bowtie S$ 

SELECT R.A,B,C,D FROM R, S WHERE R.A = S.A Example: Returns all pairs of tuples  $r \in R$ ,  $s \in S$  such that  $r \cdot A = s \cdot A$ 



_				
,	A	В	С	D
	2	3	4	2
[	2	3	4	3
[	2	5	2	2
	2	5	2	3
	3	1	1	7

Can we actually implement a join in this way?





### Notes

We consider "IO aware" algorithms: care about disk IO

Given a relation R, let:

- T(R) = # of tuples in R
- P(R) = # of pages in R

Recall that we read / write entire pages with disk IO

We'll see lots of formulae from now

⇒ Hint: Focus on <u>how it works</u>. Much easier to derive from 1st principles (vs recalling formula soup)



```
Compute R \bowtie S \text{ on } A:
for r in R:
for s in S:
if r[A] == s[A]:
yield (r,s)
```

```
Compute R \bowtie S on A:

for r in R:

for s in S:

if r[A] == s[A]:

yield (r,s)
```

#### Cost:

#### P(R)

1. Loop over the tuples in R

Note that our IO cost is based on the number of **pages** loaded, not the number of tuples!

```
Compute R \bowtie S \text{ on } A:

for r in R:

for s in S:

if r[A] == s[A]:

yield (r,s)
```

#### Cost:

$$P(R) + T(R)*P(S)$$

- 1. Loop over the tuples in R
- 2. For every tuple in R, loop over all the tuples in S

Have to read **all of S** from disk for **every tuple in R!** 

```
Compute R \bowtie S \text{ on } A:

for r in R:

for s in S:

if r[A] == s[A]:

yield (r,s)
```

#### Cost:

$$P(R) + T(R)*P(S)$$

- 1. Loop over the tuples in R
- 2. For every tuple in R, loop over all the tuples in S
- 3. Check against join conditions

Note that NLJ can handle things other than equality constraints... just check in the *if* statement!

```
Compute R \bowtie S on A:

for r in R:

for s in S:

if r[A] == s[A]:

yield (r,s)
```

What would **OUT** be if our join condition is trivial (if TRUE)?

**OUT** could be bigger than P(R)\*P(S)... but usually not that bad

#### Cost:

$$P(R) + T(R)*P(S) + OUT$$

- 1. Loop over the tuples in R
- 2. For every tuple in R, loop over all the tuples in S
- 3. Check against join conditions
- 4. Write out (to page, then when page full, to disk)

```
Compute R \bowtie S \text{ on } A:
for r in R:
for s in S:
if r[A] == s[A]:
yield (r,s)
```

#### Cost:

P(R) + T(R)\*P(S) + OUT

What if R ("outer") and S ("inner") switched?



P(S) + T(S)\*P(R) + OUT

Outer vs. inner selection makes a huge difference-DBMS needs to know which relation is smaller!

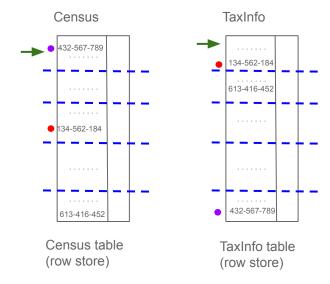




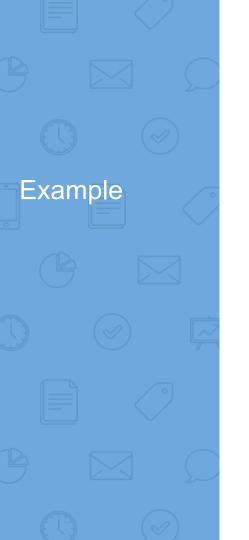
Census (<u>SSN</u>, Address, ...) TaxInfo (<u>SSN</u>, TaxPaid, ...) For 1 Billion people

Goal: Compute Census JOIN TaxInfo

Data stored in RowStores, 1000 tuples/page (million pages)



BNLJ For each pair of pages in Census and TaxInfo...



Census (<u>SSN</u>, Address, ...) TaxInfo (<u>SSN</u>, TaxPaid, ...)

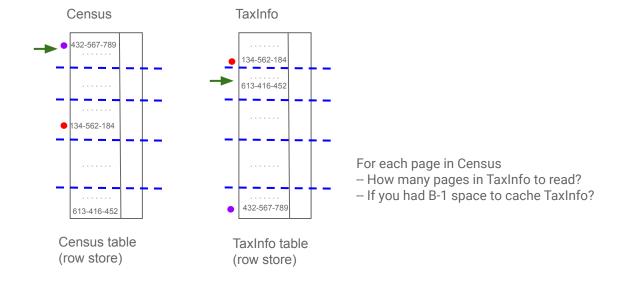
Better?

For each pair of **pages** in Census and TaxInfo...

For 1 Billion people

Goal: Compute Census JOIN TaxInfo

Data stored in RowStores, 1000 tuples/page (million pages)





Compute  $R \bowtie S \ on \ A$ :

for each B-1 pages pr of R:

for page ps of S:
for each tuple r in pr:
for each tuple s in ps:
if r[A] == s[A]:
yield (r,s)

Given B+1 pages of memory (For B << P(R), P(S))

Cost:

P(R)

 Load in B-1 pages of R at a time (leaving 1 page each free for S & output)

Note: There could be some speedup here due to the fact that we're reading in multiple pages sequentially however we'll ignore this here!

```
Compute R ⋈ S on A:

for each B-1 pages pr of R:

for page ps of S:

for each tuple r in pr:

for each tuple s in ps:

if r[A] == s[A]:

yield (r,s)
```

Given *B+1* pages of memory

#### Cost:

$$P(R) + \frac{P(R)}{R-1}P(S)$$

- Load in B-1 pages of R at a time (leaving 1 page each free for S & output)
- 2. For each (B-1)-page segment of R, load each page of S

Note: Faster to iterate over the *smaller* relation first!

Compute  $R \bowtie S \ on \ A$ : for each B-1 pages pr of R: for page ps of S: for each tuple r in pr: for each tuple s in ps: if r[A] == s[A]: yield (r,s) Given **B+1** pages of memory

Cost:

$$P(R) + \frac{P(R)}{B-1}P(S)$$

- Load in B-1 pages of R at a time (leaving 1 page each free for S & output)
- 2. For each (B-1)-page segment of R, load each page of S
- 3. Check against the join conditions

BNLJ can also handle non-equality constraints

Compute R ⋈ S on A:
 for each B-1 pages pr of R:
 for page ps of S:
 for each tuple r in pr:
 for each tuple s in ps:
 if r[A] == s[A]:

yield (r,s)

Again, *OUT* could be bigger than P(R)\*P(S)... but usually not that bad

Given B+1 pages of memory

#### Cost:

$$P(R) + \frac{P(R)}{B-1}P(S) + \mathsf{OUT}$$

- Load in B-1 pages of R at a time (leaving 1 page each free for S & output)
- 2. For each (B-1)-page segment of R, load each page of S
- Check against the join conditions
- 4. Write out

### BNLJ vs. NLJ: Benefits of IO Aware

In BNLJ, by loading larger chunks of R, we minimize the number of full *disk* reads of S

- We only read all of S from disk for every (B-1)-page segment of R!
- Still the full cross-product, but more done only in memory



BNLJ is faster by roughly  $\frac{(B-1)T(R)}{P(R)}$ !

### BNLJ vs. NLJ: Benefits of IO Aware

- Example:
  - R: 500 pages
  - S: 1000 pages
  - 100 tuples / page
  - We have 12 pages of memory (B = 11)

Ignoring OUT here...

- NLJ: Cost = 500 + 50,000\*1000 = 50 Million IOs ~= 140 hours
- BNLJ: Cost =  $500 + \frac{500*1000}{10} = 50$  Thousand IOs ~= <u>0.14 hours</u>

A very real difference from a small change in the algorithm!



Block Nested Loop Joins

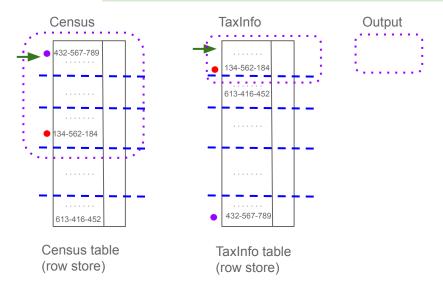
Census (<u>SSN</u>, Address, ...) TaxInfo (<u>SSN</u>, TaxPaid, ...) For 1 Billion people

Goal: Compute Census JOIN TaxInfo

Data stored in RowStores, 1000 tuples/page (million pages)

Given: B + 1 buffer space

Idea: Use **B-1** pages for Census, **1** page each for TaxInfo and output



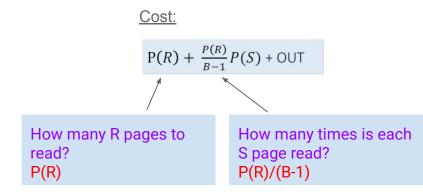
Steps: Repeat till done

Read B-1 pages from Census into Buffer Read 1 page from TaxInfo

Partial Join into 1 output page

Given *B*+1 pages of memory

```
Compute R ⋈ S on A:
   for each B-1 pages pr of R:
    for each page ps of S:
      for each tuple r in pr:
        for each tuple s in ps:
        if r[A] == s[A]:
            yield (r,s)
```



### Example NLJ vs. BNLJ: Steel Cage Match

Example: P(R) = 1000, P(S) = 500,  $100 \text{ tuples/page} \Rightarrow T(R) = 1000*100$ , T(S)=500\*100]

	<b>B</b> + 1 = 100 (i.e., B = 99)	<b>B + 1 = 20</b> (i.e., Buffer B = 19)
NLJ	(1000 + 1000*100*500 + OUT) ⇒ IO = ~5,001,000 +OUT	(1000 + 1000*100*500 + OUT) ⇒ IO = ~ 5,001,000 + OUT
BNLJ	(500 + 1000*500/(99-1)) ⇒ IO = ~5.6K+OUT	(500 + 1000*500/(19-1)) ⇒ IO = 28.2K IOs +OUT

P(R) + T(R)\*P(S) + OUT

 $P(R) + \frac{P(R)}{R-1}P(S) + OUT$ 

But it's all about the memory.





# Smarter than Cross-Products: From Quadratic to Nearly Linear

All joins computing the *full cross-product* have a quadratic term

For example we saw:

NLJ 
$$P(R) + T(R)P(S) + OUT$$

BNLJ 
$$P(R) + \frac{P(R)}{B-1}P(S) + OUT$$

Now we'll see some (nearly) linear joins:

• 
$$\sim O(P(R) + P(S) + OUT)$$

We get this gain by taking advantage of structure- moving to equality constraints ("equijoin") only!



## Index Nested Loop Join (INLJ)

```
Compute R ⋈ S on A:
Given index idx on S.A:
for r in R:
s in idx(r[A]):
yield r,s
```

#### Cost:

P(R) + T(R)\*L + OUT

Where L is the IO cost to access each distinct values in index

Recall: L is usually small (e.g., 3-5)

→ We can use an **index** (e.g. B+ Tree) to **avoid full cross-product!** 



# **Optimizing Joins**

(the good stuff, multi table joins)

Message: It's all about the IO and memory!

What you will learn about in this section

0. Intuition for smarter joins

1. Sort-Merge Join

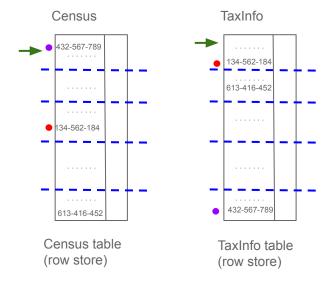
2. HashPartion Joins



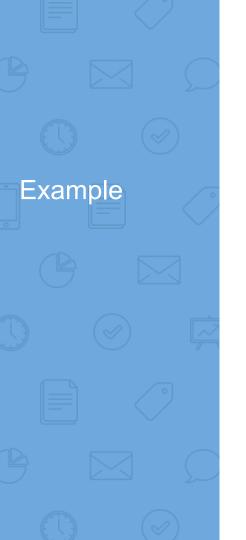
Census (<u>SSN</u>, Address, ...) TaxInfo (<u>SSN</u>, TaxPaid, ...) For 1 Billion people

Goal: Compute Census JOIN TaxInfo

Data stored in RowStores, 1000 tuples/page (million pages)



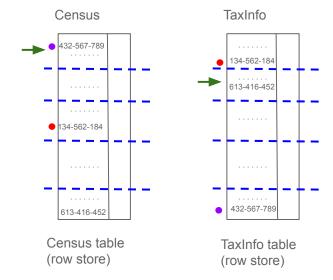
BNLJ For each pair of pages in Census and TaxInfo...



Census (<u>SSN</u>, Address, ...) TaxInfo (<u>SSN</u>, TaxPaid, ...) For 1 Billion people

Goal: Compute Census JOIN TaxInfo

Data stored in RowStores, 1000 tuples/page (million pages)



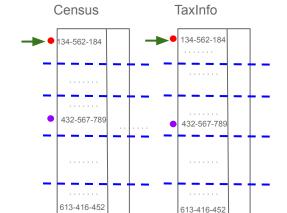
BNLJ -- See all the extra work BNLJ is doing to JOIN for 432-567-789, ...

### Pre-process data before JOINing

SortMergeJoin

Preview of

smarter joins



-- Sort(Census), Sort(TaxInfo) on SSN

TaxInfo table

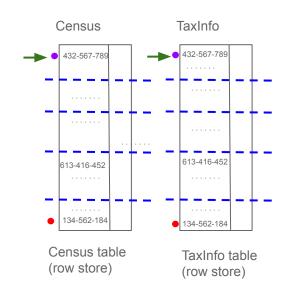
(row store)

-- Merge sorted pages

Census table

(row store)

HashPartitionJoin



- -- Hash(Census), Hash(TaxInfo) on SSN
- -- Merge partitioned pages



## Speedy Joins: With Sorting and Hashing

• Given enough memory, SortMergeJoin and HashJoins cost

 $\sim$ 3(P(R)+P(S)) + OUT

Hash Joins are highly parallelizable

- Sort-Merge less sensitive to data skew and result is sorted
- ⇒ <u>Big takeaway</u>: IO-aware join algorithms
  - Massive difference vs brute-force
  - Nearly linear vs quadratic (or worse)

What you will learn about in this section

0. Intuition for smarter joins

1. Sort-Merge Join

2. HashPartion Joins



What you will learn about in this section

1. Sort-Merge Join

2. "Backup" & Total Cost

3. Optimizations

## Sort Merge Join (SMJ)

Goal: Execute R ⋈ S on A

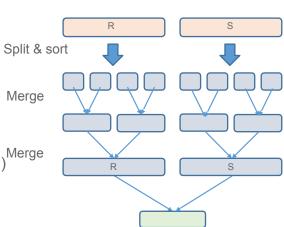
Key Idea:

We can sort R and S [with external sort] Then just merge-scan over them!

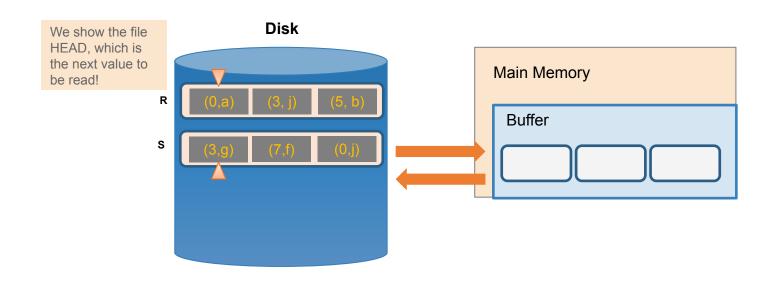
### IO Cost:

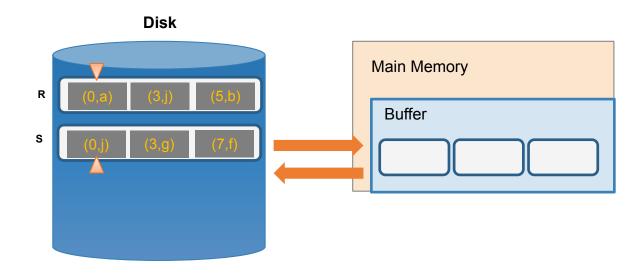
- Sort phase:  $Sort(R) + Sort(S) (\sim 2 (P(R) + P(S))^{Merge}$
- Merge / join phase: ~ P(R) + P(S) + OUT

Unsorted input relations

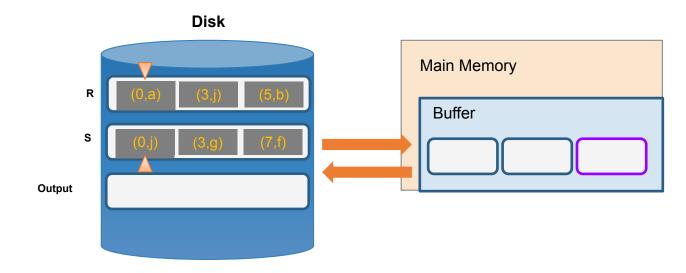


For simplicity: Let each page be **one tuple**, and let the first value be join key

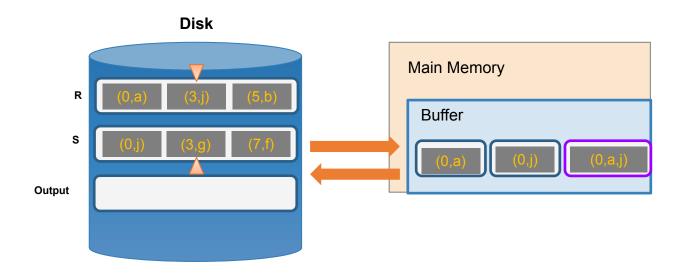




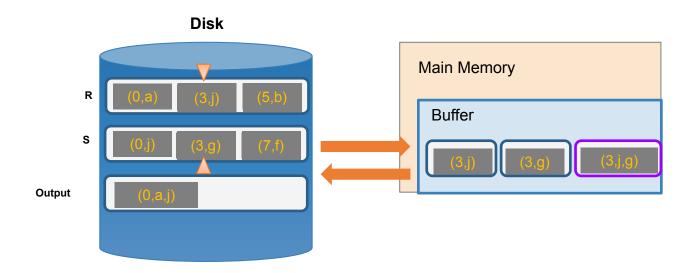
2. Scan and "merge" on join key!



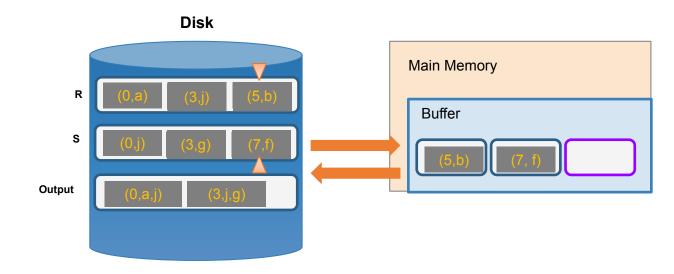
2. Scan and "merge" on join key!



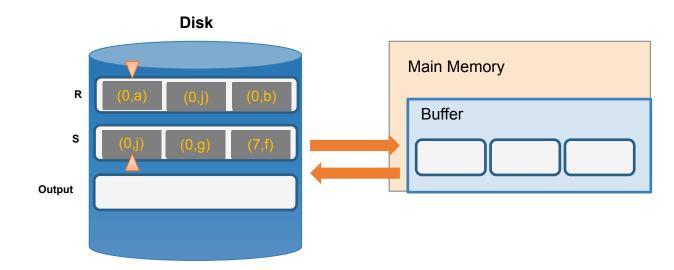
2. Scan and "merge" on join key!

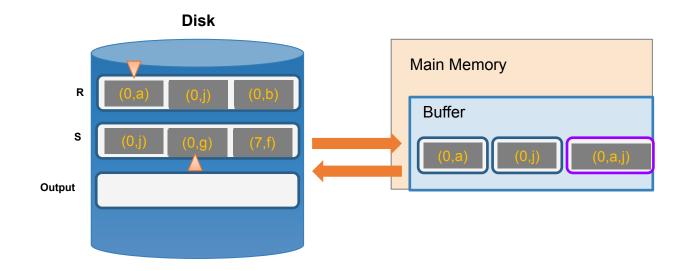


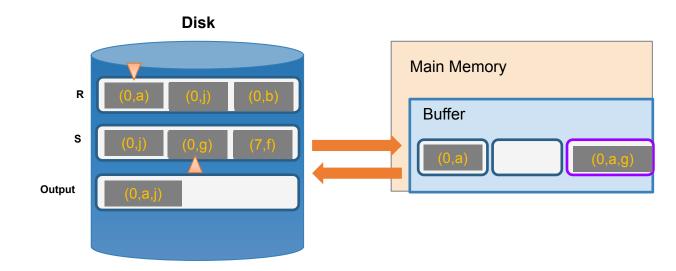
### 2. Done!

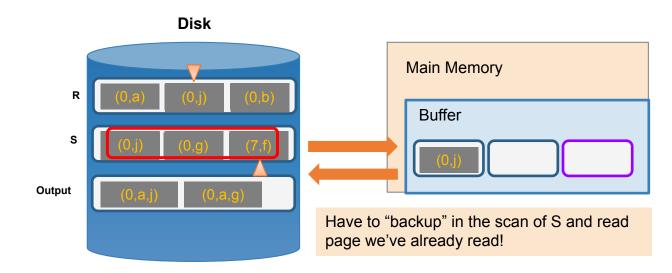












### Backup

- At best, no backup → scan takes P(R) + P(S) reads
  - For ex: if no duplicate values in join attribute
- At worst (e.g. full backup each time), scan could take P(R) \* P(S) reads!
  - For ex: if *all* duplicate values in join attribute, i.e. all tuples in R and S have the same value for the join attribute
  - Roughly: For each page of R, we'll back up and read each page of S...
- Often not that bad however, plus we can:
  - Leave more data in buffer (for larger buffers)
  - Can try other algorithms



### SMJ: Total cost

- Cost of SMJ is cost of sorting R and S...
- Plus the cost of scanning: ~P(R)+P(S)
  - Because of backup: in worst case P(R)\*P(S); but this would be very unlikely
- Plus the cost of writing out: OUT

 $\sim$  Sort(P(R)) + Sort(P(S)) + P(R) + P(S) + OUT

Num passes

Recall: Sort(N)  $\approx 2N \left( \left[ \log_B \frac{N}{2(B+1)} \right] + 1 \right)$ 

Note: this is using repacking, where we estimate that

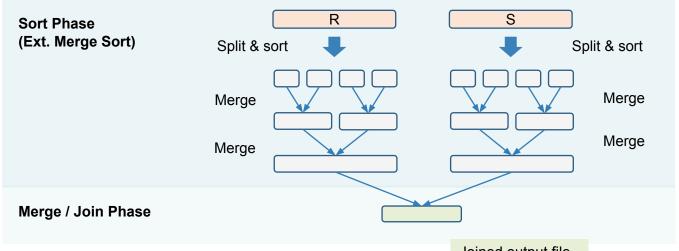
we can create initial runs of length ~2(B+1)



## **Un-Optimized SMJ**

Given **B+1** buffer pages

#### Unsorted input relations



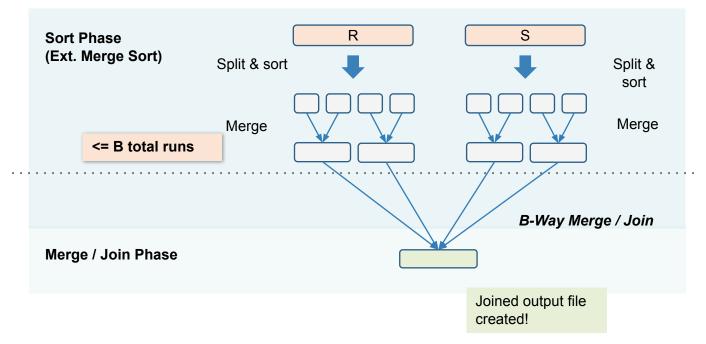
Joined output file created!



### Simple SMJ Optimization

Given **B+1** buffer pages

#### Unsorted input relations





## Takeaway points from SMJ

If input already sorted on join key, skip the sorts.

- SMJ is basically linear.
- Nasty but unlikely case: Many duplicate join keys.

SMJ needs to sort **both** relations

### Example SMJ Number of passes

Consider 
$$P(R) = 1000, P(S) = 500$$

Recall: Sort(N)  $\approx 2N \left( \left[ \log_B \frac{N}{2(B+1)} \right] + 1 \right)$ 

Note: this is using repacking, where we estimate that we can create initial runs of length ~2(B+1)

Num passes for R (for B+ 1 = 100) = 
$$K = \lceil \log_{99} 1000/(2*99) \rceil + 1) = 2$$

Num passes for R (for B+ 1 = 20)  

$$K = \lceil \log_{19} 1000/(2*19) \rceil + 1) = 3$$

(Repeat for S, and you get k = 2 and 3)

Reminder: More Buffer? Fewer passes for Sorting

### Example SMJ vs. BNLJ: Steel Cage Match

Consider P(R) = 1000, P(S) = 500

		<b>Buffer = 100</b> (i.e., B+1=100)	<b>Buffer = 20</b> (i.e., B+1=20)
~ Sort(P(R)) + Sort(P(S)) + P(R) + P(S) + OUT	SMJ	(Sort R and S in 2 passes: 2* (k* 1000 + k* 500) = 6000 Merge: 1000 + 500 = 1500 IOs) ⇒ IO = 7500 IOs + OUT	(Sort R and S in 3 passes: 2* (k* 1000 + k* 500) = 9000 Merge: 1000 + 500: 1500 IOs) ⇒ IO = 10,500 IOs + OUT
$P(R) + \frac{P(R)}{B-1}P(S) + OUT$	BNLJ	(500 + 1000*500/(99-1)) ⇒ IO = ~5.6K+OUT	(500 + 1000*500/(19-1) ) ⇒ IO = 28.2K IOs +OUT

SMJ is ~ linear vs. BNLJ is quadratic... But it's all about the memory. What you will learn about in this section

0. Intuition for smarter joins

1. Sort-Merge Join

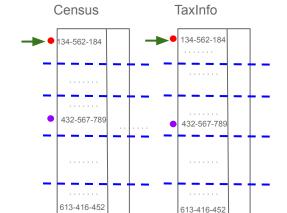
2. HashPartion Joins

### Pre-process data before JOINing

SortMergeJoin

Preview of

smarter joins



-- Sort(Census), Sort(TaxInfo) on SSN

TaxInfo table

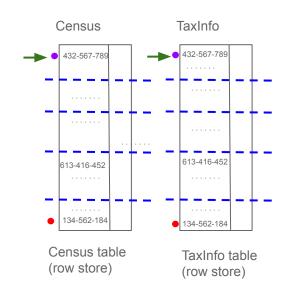
(row store)

-- Merge sorted pages

Census table

(row store)

HashPartitionJoin

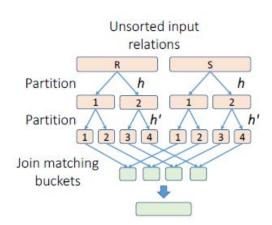


- -- Hash(Census), Hash(TaxInfo) on SSN
- -- Merge partitioned pages



### **Hash Join**

- Goal: Execute R ⋈ S on A
- Key Idea: We can partition R and S into buckets by hashing the join attribute-then just join the pairs of (small) matching buckets!





### **Hash Partition Join: High-level**

### To compute R ⋈ S on A:

Note again that we are only considering equality constraints here

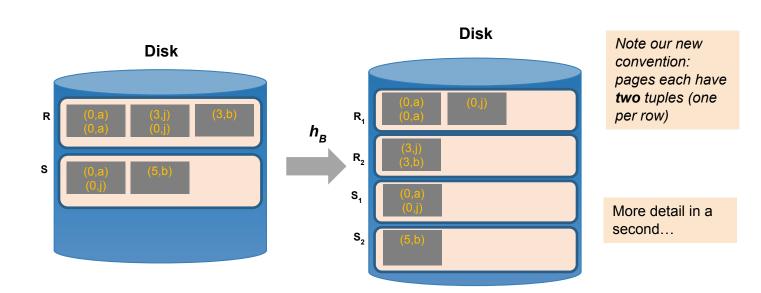
1. Hash Partition: Split R, S into B buckets, using  $h_B$  on A

2. Per-Partition Join: JOIN tuples in same partition (i.e, same hash value)

We **decompose** the problem using  $h_B$ , then complete the join

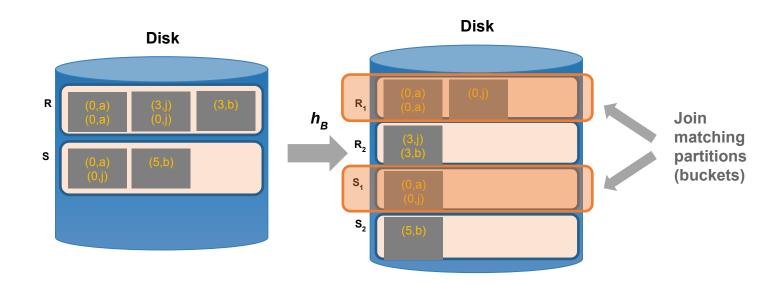
### HPJ: High-level procedure

1. Hash Partition: Split R, S into B buckets, using h<sub>B</sub> on A



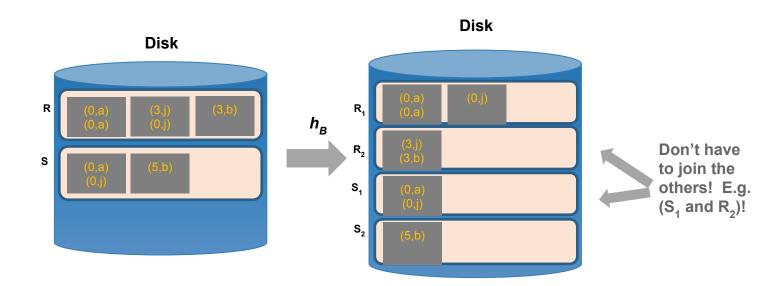
### HPJ: High-level procedure

2. Per-Partition Join: JOIN tuples in same partitions



## HPJ: High-level procedure

2. Per-Partition Join: JOIN tuples in same partition





**Goal:** For each relation, partition relation into **buckets** such that if  $h_B(t.A) = h_B(t'.A)$  they are in the same bucket

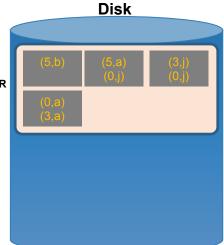
Given B+1 buffer pages, we partition into B buckets:

- We use B buffer pages for output (one for each bucket), and 1 for input
  - For each tuple t in input, copy to buffer page for  $h_{R}(t.A)$
  - When page fills up, flush to disk.



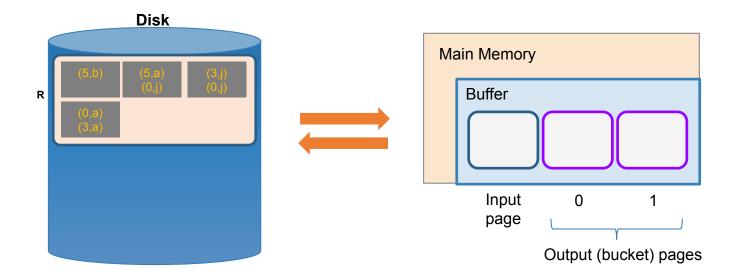
We partition into B = 2 buckets using hash function  $h_2$  so that we can have one buffer page for each partition (and one for input)

Given **B+1 = 3** buffer pages

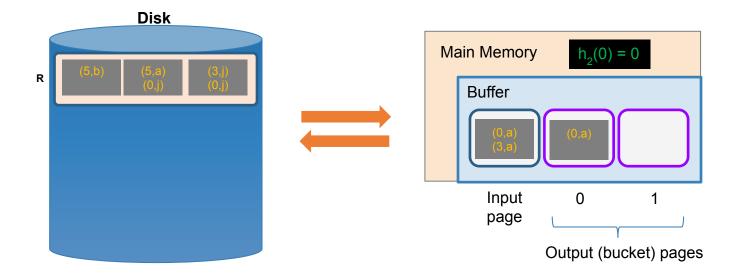


For simplicity, we'll look at partitioning one of the two relations- we just do the same for the other relation!

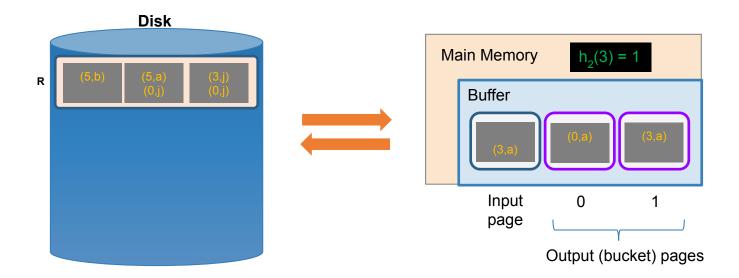
1. We read pages from R into the "input" page of the buffer...



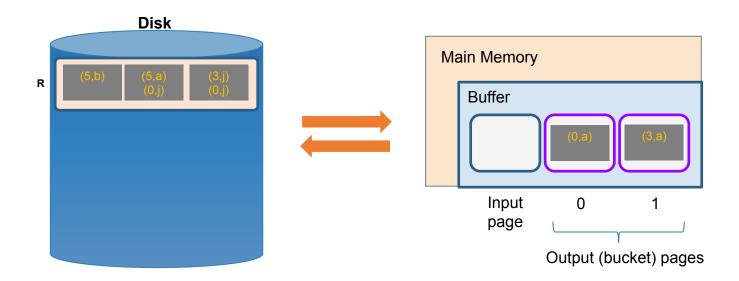
2. Then we use **hash function h<sub>2</sub>** to sort into the buckets, which each have one page in the buffer



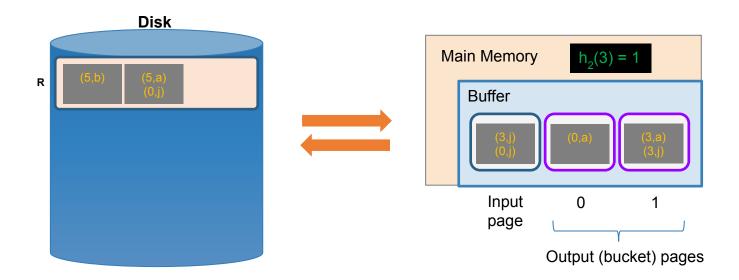
2. Then we use **hash function h**<sub>2</sub> to sort into the buckets, which each have one page in the buffer



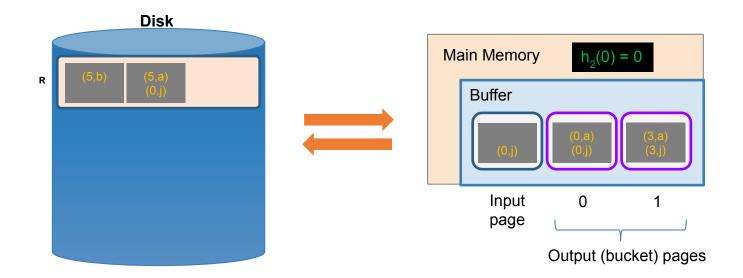
3. We repeat until the buffer bucket pages are full...



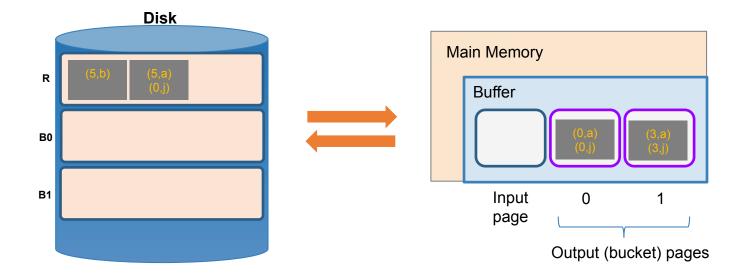
3. We repeat until the buffer bucket pages are full...



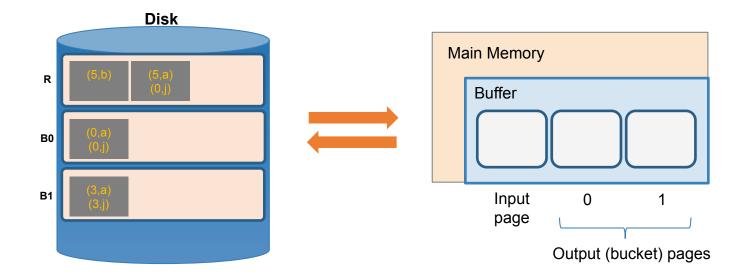
3. We repeat until the buffer bucket pages are full...

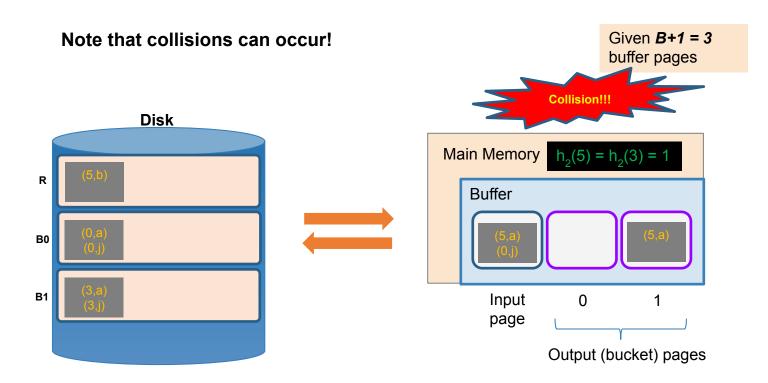


3. We repeat until the buffer bucket pages are full... then flush to disk

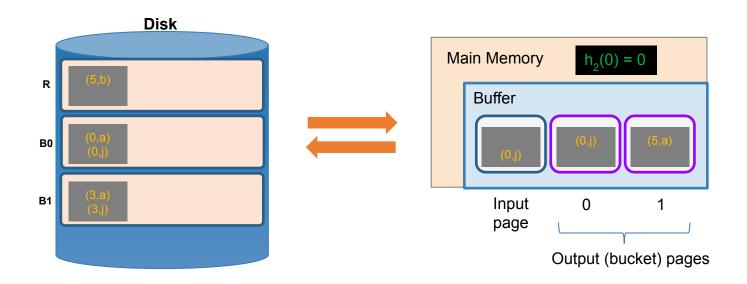


3. We repeat until the buffer bucket pages are full... then flush to disk

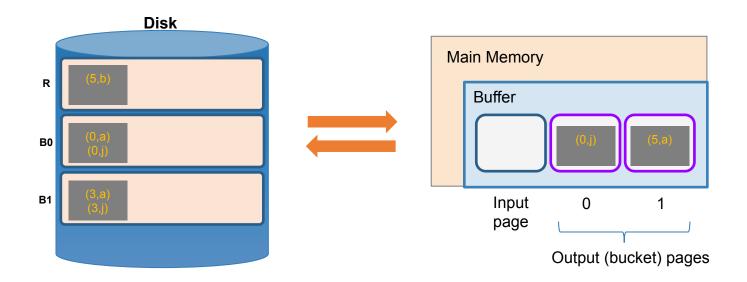


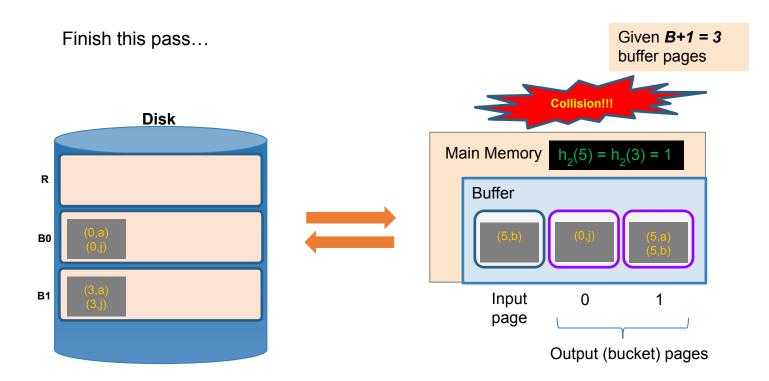


Finish this pass...

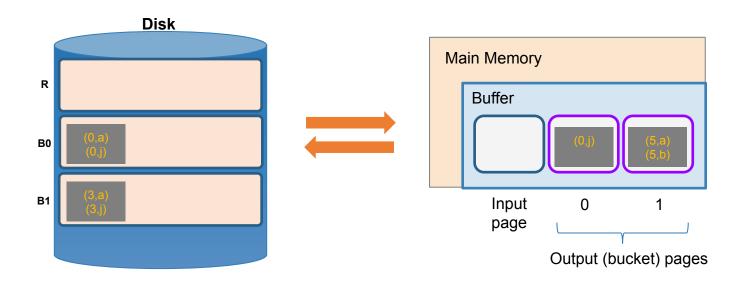


Finish this pass...





Finish this pass...



Disk

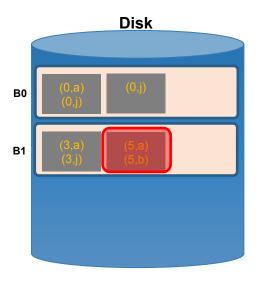
B0 (0,a) (0,j)
(0,j)
(3,a) (5,a) (5,b)

We wanted buckets of size **B-1 = 1... however we got larger ones due to:** 

(1) Duplicate join keys

(2) Hash collisions

Given **B+1 = 3** buffer pages



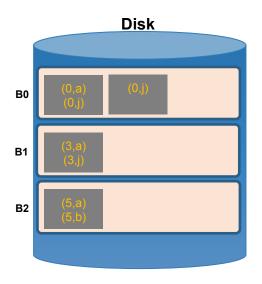
To take care of larger buckets caused by (2) hash collisions, we can just do another pass!

What hash function should we use?

Do another pass with a different hash function, h'2, ideally such that:

$$h'_{2}(3) != h'_{2}(5)$$

Given **B+1 = 3** buffer pages



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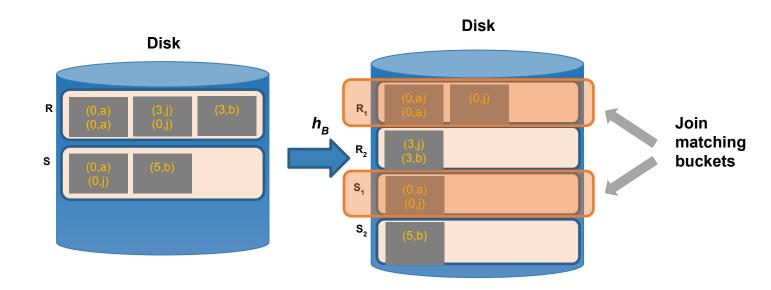
$$h'_{2}(3) != h'_{2}(5)$$

What about duplicate join keys? Unfortunately this is a Disk problem... but usually not a huge one. B0 We call this unevenness in the bucket size **skew** В1 B2



#### HPJ Phase 2: Partition Join

Now, we just join pairs of buckets from R and S that have the same hash value to complete the join!





#### **HPJ Summary**

Given enough buffer pages...

- Hash Partition requires reading + writing each page of R,S
  - → 2(P(R)+P(S)) IOs
- Partition Join (with BNLJ) requires reading each page of R,S
  - $\rightarrow$  P(R) + P(S) IOs

HJ takes  $\sim 3(P(R)+P(S)) + OUT IOs!$ 



## SMJ vs HPJ Joins Summary

• Given enough memory, both SMJ and HJ have performance:

 $\sim$ 3(P(R)+P(S)) + OUT

Hash Joins are highly parallelizable

- Sort-Merge less sensitive to data skew and result is sorted
- ⇒ <u>Big takeaway</u>: IO-aware join algorithms
  - Massive difference vs brute-force
  - Nearly linear vs quadratic (or worse)