



IIT ROORKEE



NPTEL ONLINE
CERTIFICATION COURSE

Attribute selection Measures in CART : II

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Agenda

- Attribute selection measures:
 - Gain Value
 - Gain ratio
 - Gini Index

Gain Ratio

- The information gain measure is biased toward tests with many outcomes
- That is, it prefers to select attributes having a large number of values
- For example, consider an attribute that acts as a unique identifier, such as product ID.
- A split on product ID would result in a large number of partitions (as many as there are values), each one containing just one tuple

Gain Ratio

- Because each partition is pure, the information required to classify dataset D based on this partitioning would be $\text{Info}_{\text{product ID}}(D) = 0$
- Information Gain = $\text{Info } D - (\text{Info}_{\text{product ID}}(D)) = \text{maximum}$
- Therefore, the information gained by partitioning on this attribute is maximal
- Clearly, such a partitioning is useless for classification
- Gain ratio is an extension to information gain which attempts to overcome this bias

Split information

- It applies a kind of normalization to information gain using a “split information” value defined analogously with $\text{Info}(D)$ as:

$$\text{SplitInfo}_{\underline{A}}(D) = - \sum_{j=1}^v \frac{|D_j|}{|D|} \times \log_2 \left(\frac{|D_j|}{|D|} \right).$$

- D_j = single partition
- D = Data set
- This value represents the potential information generated by splitting the training data set, D , into v partitions, corresponding to the v outcomes of a test on attribute A

Gain ratio

- Gain ratio differs from information gain, which measures the information with respect to classification that is acquired based on the same partitioning
- The gain ratio is defined as

$$\text{GainRatio}(A) = \frac{\text{Gain}(A)}{\text{SplitInfo}(A)}$$

- The attribute with the maximum gain ratio is selected as the splitting attribute

Gain Ratio example

- Consider the previous example for computation of gain ratio for the attribute income
- A test on income splits the data of the following Table into three partitions, namely low, medium, and high, containing four, six, and four tuples, respectively

RID	age	income	student	credit_rating	Class: buys_computer
1	youth	high	no	fair	no
2	youth	high	no	excellent	no
3	middle_aged	high	no	fair	yes
4	senior	medium	no	fair	yes
5	senior	low	yes	fair	yes
6	senior	low	yes	excellent	no
7	middle_aged	low	yes	excellent	yes
8	youth	medium	no	fair	no
9	youth	low	yes	fair	yes
10	senior	medium	yes	fair	yes
11	youth	medium	yes	excellent	yes
12	middle_aged	medium	no	excellent	yes
13	middle_aged	high	yes	fair	yes
14	senior	medium	no	excellent	no

Han, J., Pei, J. and Kamber, M., 2011. *Data mining: concepts and techniques*. Elsevier.

Calculate Entropy for high

- High :

High	Class: buys computer
Yes	2
No	2

- Calculate Entropy for high:

$$= -(2/4)\log_2(2/4) - (2/4)\log_2(2/4)$$

RID	age	income	student	credit_rating	Class: buys_computer
1	youth	high	no	fair	no
2	youth	high	no	excellent	no
3	middle_aged	high	no	fair	yes
4	senior	medium	no	fair	yes
5	senior	low	yes	fair	yes
6	senior	low	yes	excellent	no
7	middle_aged	low	yes	excellent	yes
8	youth	medium	no	fair	no
9	youth	low	yes	fair	yes
10	senior	medium	yes	fair	yes
11	youth	medium	yes	excellent	yes
12	middle_aged	medium	no	excellent	yes
13	middle_aged	high	yes	fair	yes
14	senior	medium	no	excellent	no

Calculate Entropy for 'medium'

- Medium:

Medium	Class: buys computer
Yes	4
No	2

- Calculate Entropy for Medium:

$$= -(4/6)\log_2(4/6) - (2/6)\log_2(2/6)$$

RID	age	income	student	credit_rating	Class: buys_computer
1	youth	high	no	fair	no
2	youth	high	no	excellent	no
3	middle_aged	high	no	fair	yes
4	senior	<u>medium</u>	no	fair	<u>yes</u>
5	senior	low	yes	fair	yes
6	senior	low	yes	excellent	no
7	middle_aged	low	yes	excellent	yes
8	youth	<u>medium</u>	no	fair	<u>no</u>
9	youth	low	yes	fair	yes
10	senior	<u>medium</u>	yes	fair	<u>yes</u>
11	youth	<u>medium</u>	yes	excellent	<u>yes</u>
12	middle_aged	<u>medium</u>	no	excellent	<u>yes</u>
13	middle_aged	high	yes	fair	yes
14	senior	<u>medium</u>	no	excellent	<u>no</u>

Calculate Entropy for 'low'

- Low :

Low	Class: buys computer
Yes	3
No	1

- Calculate Entropy for Low:

$$= - (3/4)\log_2(3/4) - (1/4)\log_2(1/4)$$

RID	age	income	student	credit_rating	Class: buys_computer
1	youth	high	no	fair	no
2	youth	high	no	excellent	no
3	middle_aged	high	no	fair	yes
4	senior	medium	no	fair	yes
5	senior	<u>low</u>	yes	fair	<u>yes</u>
6	senior	<u>low</u>	yes	excellent	<u>no</u>
7	middle_aged	<u>low</u>	yes	excellent	<u>yes</u>
8	youth	medium	no	fair	no
9	youth	<u>low</u>	yes	fair	<u>yes</u>
10	senior	medium	yes	fair	yes
11	youth	medium	yes	excellent	yes
12	middle_aged	medium	no	excellent	yes
13	middle_aged	high	yes	fair	yes
14	senior	medium	no	excellent	no

Calculate Entropy for buying class D

- Calculate information:
- $= -p_y \log_2 (p_y) - p_n \log_2 (p_n)$
- Where p_y is probability of yes and p_n is probability of no

$$Info(D) = -\frac{9}{14} \log_2 \left(\frac{9}{14} \right) - \frac{5}{14} \log_2 \left(\frac{5}{14} \right) = 0.940 \text{ bits.}$$

Gain of income

- The expected information needed to classify a tuple in D if the tuples are partitioned according to income is:

$$\begin{aligned}\text{Info}_{\text{income}}(D) &= (4/14) (-(2/4)\log_2(2/4) - (2/4)\log_2(2/4)) + \\ &\quad (6/14) (-(4/6)\log_2(4/6) - (2/6)\log_2(2/6)) + \\ &\quad (4/14) (-(1/4)\log_2(1/4) - (3/4)\log_2(3/4)) \\ &= 0.911 \text{ bits}\end{aligned}$$

$$\begin{aligned}\text{Gain of income} &: \text{Info}(D) - \text{Info}_{\text{income}}(D) \\ &= 0.94 - 0.911 = \boxed{0.029}\end{aligned}$$

income $\left\{ \begin{array}{l} \text{low} - 4 \\ \text{Medium} - 6 \\ \text{high} - 4 \end{array} \right.$

Gain-Ratio(income)

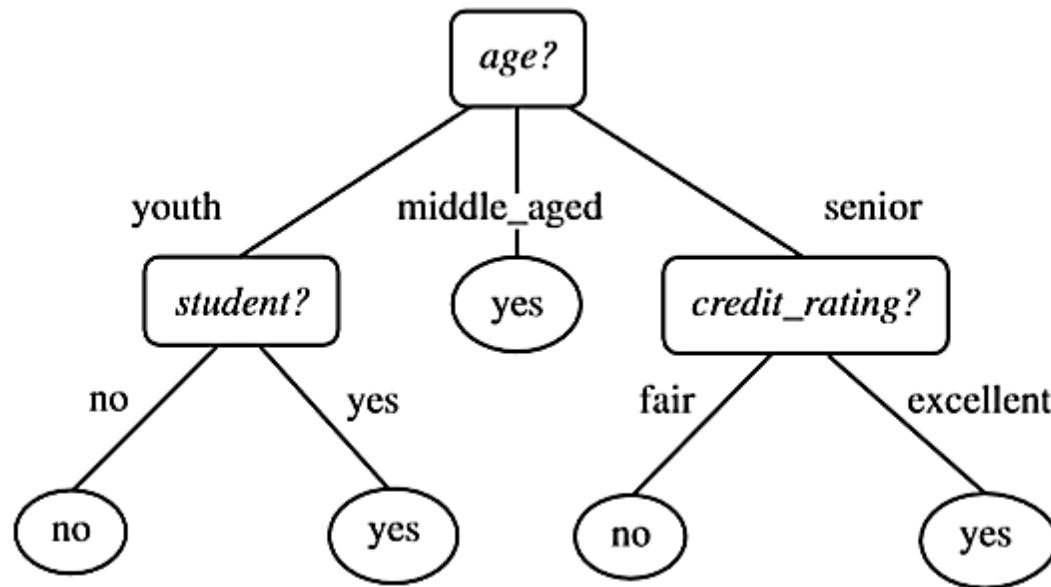
- Calculation of split ratio:

$$\begin{aligned} SplitInfo_A(D) &= -\frac{4}{14} \times \log_2\left(\frac{4}{14}\right) - \frac{6}{14} \times \log_2\left(\frac{6}{14}\right) - \frac{4}{14} \times \log_2\left(\frac{4}{14}\right) \\ &= 0.926. \end{aligned}$$

- Therefore, Gain-Ratio(income) = $0.029/0.926 = 0.031$

Interpretation

- Further we calculate the same for the rest 3 criteria (age, student, credit rating)
- The one with maximum Gain ratio value will results in the maximum reduction in impurity of the tuples in D and is returned as the splitting criterion



Decision tree using Gini index

- Let's take the Introduction of a decision tree using Gini index
- Let D be the training data of the following table

Han, J., Pei, J. and Kamber, M., 2011. *Data mining: concepts and techniques*. Elsevier.

<i>RID</i>	<i>age</i>	<i>income</i>	<i>student</i>	<i>credit_rating</i>	<i>Class: buys_computer</i>
1	youth	high	no	fair	no
2	youth	high	no	excellent	no
3	middle_aged	high	no	fair	yes
4	senior	medium	no	fair	yes
5	senior	low	yes	fair	yes
6	senior	low	yes	excellent	no
7	middle_aged	low	yes	excellent	yes
8	youth	medium	no	fair	no
9	youth	low	yes	fair	yes
10	senior	medium	yes	fair	yes
11	youth	medium	yes	excellent	yes
12	middle_aged	medium	no	excellent	yes
13	middle_aged	high	yes	fair	yes
14	senior	medium	no	excellent	no

Example

- In this example, each attribute is discrete-valued
- Continuous-valued attributes have been generalized
- The class label attribute, buys computer, has two distinct values (namely, {yes, no}); therefore, there are two distinct classes (that is, $m = 2$)
- Let class C_1 correspond to 'yes' and class C_2 correspond to 'no'.
- There are nine tuples of class 'yes' and five tuples of class 'no'.
- A (root) node N is created for the tuples in D

Calculation of Gini(D)

- We first use the following Equation for Gini index to compute the impurity of D:

$$\underline{Gini(D)} = 1 - \sum_{i=1}^m p_i^2,$$

$$= Gini(D) = 1 - \left(\frac{9}{14}\right)^2 - \left(\frac{5}{14}\right)^2 = 0.459.$$

RID	age	income	student	credit_rating	Class: buys_computer
1	youth	high	no	fair	no
2	youth	high	no	excellent	no
3	middle_aged	high	no	fair	yes ✓
4	senior	medium	no	fair	yes ✓
5	senior	low	yes	fair	yes ✓
6	senior	low	yes	excellent	no
7	middle_aged	low	yes	excellent	yes ✓
8	youth	medium	no	fair	no
9	youth	low	yes	fair	yes ✓
10	senior	medium	yes	fair	yes ✓
11	youth	medium	yes	excellent	yes ✓
12	middle_aged	medium	no	excellent	yes ✓
13	middle_aged	high	yes	fair	yes ✓
14	senior	medium	no	excellent	no

Gini index for income attribute

- Lets calculate Gini index for income attribute
- To find the splitting criterion for the tuples in D, we need to compute the Gini index for each attribute
- Let's start with the attribute income and consider each of the possible splitting subsets
- Income has three possible values, namely {low, medium, high}, then the possible subsets are {low, medium, high}, {low, medium}, {low, high}, {medium, high}, {low}, {medium}, {high}, and {}
- Power set and empty set will not be used for splitting

Gini index for income attribute

- Consider the subset{low, medium}
- This would result in 10 tuples in partition D1 satisfying the condition “income \in {low, medium}”
- The remaining four tuples of D (high) would be assigned to partition D2

$\{L, M\} D_1$ $\{H\} D_2$

RID	age	income	student	credit_rating	Class: buys_computer
1	youth	high	no	fair	no
2	youth	high	no	excellent	no
3	middle_aged	high	no	fair	yes
4	senior	medium	no	fair	yes
5	senior	low	yes	fair	yes
6	senior	low	yes	excellent	no
7	middle_aged	low	yes	excellent	yes
8	youth	medium	no	fair	no
9	youth	low	yes	fair	yes
10	senior	medium	yes	fair	yes
11	youth	medium	yes	excellent	yes
12	middle_aged	medium	no	excellent	yes
13	middle_aged	high	yes	fair	yes
14	senior	medium	no	excellent	no

Tuples in partition D1

- Low + Medium:

Medium + Low	Class: buys computer
Yes	$3+4 = 7$
No	$1+ 2 = 3$

RID	age	income	student	credit_rating	Class: buys_computer
1	youth	high	no	fair	no
2	youth	high	no	excellent	no
3	middle_aged	high	no	fair	yes
4	senior	medium	no	fair	yes
5	senior	low	yes	fair	yes
6	senior	low	yes	excellent	no
7	middle_aged	low	yes	excellent	yes
8	youth	medium	no	fair	no
9	youth	low	yes	fair	yes
10	senior	medium	yes	fair	yes
11	youth	medium	yes	excellent	yes
12	middle_aged	medium	no	excellent	yes
13	middle_aged	high	yes	fair	yes
14	senior	medium	no	excellent	no

Tuples in partition D2

- High : (D2)

High	Class: buys computer
Yes	2
No	2

RID	age	income	student	credit_rating	Class: buys_computer
1	youth	<u>high</u>	no	fair	<u>no</u>
2	youth	<u>high</u>	no	excellent	<u>no</u>
3	middle_aged	<u>high</u>	no	fair	<u>yes</u>
4	senior	medium	no	fair	yes
5	senior	low	yes	fair	yes
6	senior	low	yes	excellent	no
7	middle_aged	low	yes	excellent	yes
8	youth	medium	no	fair	no
9	youth	low	yes	fair	yes
10	senior	medium	yes	fair	yes
11	youth	medium	yes	excellent	yes
12	middle_aged	medium	no	excellent	yes
13	middle_aged	<u>high</u>	yes	fair	<u>yes</u>
14	senior	medium	no	excellent	no

Gini index for income attribute

- The Gini index value computed based on this partitioning is

$$\begin{aligned} & Gini_{income \in \{low, medium\}}(D) \\ &= \frac{10}{14} Gini(D_1) + \frac{4}{14} Gini(D_2) \\ &= (10/14) (1 - (7/10)^2 - (3/10)^2) + \\ &\quad (4/14) (1 - (2/4)^2 - (2/4)^2) \\ &= \underline{0.443} = \underline{Gini}_{income \in \{high\}} \end{aligned}$$

Gini index for income attribute

- Consider the subset{high, medium}
- This would result in 10 tuples in partition D₁ satisfying the condition “income \in {high, medium}”
- The remaining four tuples of D (low) would be assigned to partition D₂




RID	age	income	student	credit_rating	Class: buys_computer
1	youth	high	no	fair	no
2	youth	high	no	excellent	no
3	middle_aged	high	no	fair	yes
4	senior	medium	no	fair	yes
5	senior	low	yes	fair	yes
6	senior	low	yes	excellent	no
7	middle_aged	low	yes	excellent	yes
8	youth	medium	no	fair	no
9	youth	low	yes	fair	yes
10	senior	medium	yes	fair	yes
11	youth	medium	yes	excellent	yes
12	middle_aged	medium	no	excellent	yes
13	middle_aged	high	yes	fair	yes
14	senior	medium	no	excellent	no

Tuples in partition D1

- High + Medium:

Medium + high	Class: buys computer
Yes	2+4
No	2 + 2

RID	age	income	student	credit_rating	Class: buys_computer
1	youth	high	no	fair	no
2	youth	high	no	excellent	no
3	middle_aged	high	no	fair	yes
4	senior	medium	no	fair	yes
5	senior	low	yes	fair	yes
6	senior	low	yes	excellent	no
7	middle_aged	low	yes	excellent	yes
8	youth	medium	no	fair	no
9	youth	low	yes	fair	yes
10	senior	medium	yes	fair	yes
11	youth	medium	yes	excellent	yes
12	middle_aged	medium	no	excellent	yes
13	middle_aged	high	yes	fair	yes
14	senior	medium	no	excellent	no

Tuples in partition D2

- Low :

Low	Class: buys computer
No	1
Yes	3

RID	age	income	student	credit_rating	Class: buys_computer
1	youth	high	no	fair	no
2	youth	high	no	excellent	no
3	middle_aged	high	no	fair	yes
4	senior	medium	no	fair	yes
5	senior	low	yes	fair	yes
6	senior	low	yes	excellent	no
7	middle_aged	low	yes	excellent	yes
8	youth	medium	no	fair	no
9	youth	low	yes	fair	yes
10	senior	medium	yes	fair	yes
11	youth	medium	yes	excellent	yes
12	middle_aged	medium	no	excellent	yes
13	middle_aged	high	yes	fair	yes
14	senior	medium	no	excellent	no

Gini index for income attribute

- The Gini index value computed based on this partitioning is

$Gini_{\text{income} \in \{\text{high, medium}\}}$

$$\begin{aligned} &= \frac{10}{14} Gini(D_1) + \frac{4}{14} Gini(D_2) \\ &= (10/14) (1 - (6/10)^2 - (4/10)^2) + \\ &\quad (4/14) (1 - (1/4)^2 - (3/4)^2) \\ &= \underline{0.45} = Gini_{\text{income} \in \{\text{low}\}} \end{aligned}$$

HIM
 D_1

L
 D_2

Gini index for income attribute

- Consider the subset{high, low}
- This would result in 8 tuples in partition D1 satisfying the condition “income \in {high, low}”
- The remaining six tuples of D (medium) would be assigned to partition D₂

RID	age	income	student	credit_rating	Class: buys_computer
1	youth	high	no	fair	no
2	youth	high	no	excellent	no
3	middle_aged	high	no	fair	yes
4	senior	medium	no	fair	yes
5	senior	low	yes	fair	yes
6	senior	low	yes	excellent	no
7	middle_aged	low	yes	excellent	yes
8	youth	medium	no	fair	no
9	youth	low	yes	fair	yes
10	senior	medium	yes	fair	yes
11	youth	medium	yes	excellent	yes
12	middle_aged	medium	no	excellent	yes
13	middle_aged	high	yes	fair	yes
14	senior	medium	no	excellent	no

H L
D₁

M
D₂

Tuples in partition D1

- High + low:

high + low	Class: buys computer
Yes	2+3
No	2 + 1

RID	age	income	student	credit_rating	Class: buys_computer
1	youth	high	no	fair	no
2	youth	high	no	excellent	no
3	middle_aged	high	no	fair	yes
4	senior	medium	no	fair	yes
5	senior	low	yes	fair	yes
6	senior	low	yes	excellent	no
7	middle_aged	low	yes	excellent	yes
8	youth	medium	no	fair	no
9	youth	low	yes	fair	yes
10	senior	medium	yes	fair	yes
11	youth	medium	yes	excellent	yes
12	middle_aged	medium	no	excellent	yes
13	middle_aged	high	yes	fair	yes
14	senior	medium	no	excellent	no

Tuples in partition D2

- Medium:

Low	Class: buys computer
No	2
Yes	4

RID	age	income	student	credit_rating	Class: buys_computer
1	youth	high	no	fair	no
2	youth	high	no	excellent	no
3	middle_aged	high	no	fair	yes
4	senior	medium	no	fair	yes
5	senior	low	yes	fair	yes
6	senior	low	yes	excellent	no
7	middle_aged	low	yes	excellent	yes
8	youth	medium	no	fair	no
9	youth	low	yes	fair	yes
10	senior	medium	yes	fair	yes
11	youth	medium	yes	excellent	yes
12	middle_aged	medium	no	excellent	yes
13	middle_aged	high	yes	fair	yes
14	senior	medium	no	excellent	no

Gini index for income attribute

- The Gini index value computed based on this partitioning is

$\text{Gini}_{\text{income} \in \{\text{high}, \text{low}\}}$

$$\begin{aligned} &= (8/14) (1 - (5/8)^2 - (3/8)^2) + \\ &\quad (6/14) (1 - (2/6)^2 - (4/6)^2) \\ &= \underline{0.458} = \text{Gini}_{\text{income} \in \{\text{medium}\}} \end{aligned}$$



Gini Index values

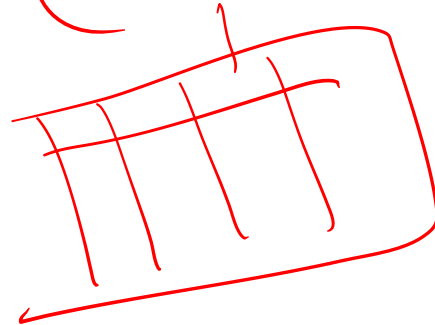
	Gini Index values
$\text{Gini}_{\text{income} \in \{\text{high}, \text{low}\}}$	<u>0.458</u>
$\text{Gini}_{\text{income} \in \{\text{high}, \text{medium}\}}$	<u>0.45</u>
$\text{Gini}_{\text{income} \in \{\text{medium}, \text{low}\}}$	<u>0.443</u>

Interpretation

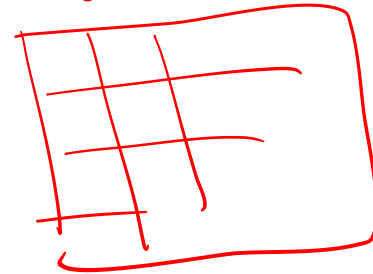
- The best binary split for attribute income is on {medium, low} (or {high}) because it minimizes the Gini index
- The splitting subset {medium,low} therefore give the minimum **Gini index for attribute income**
- **Reduction in impurity = $0.459 - 0.443 = 0.016$**
- Further we calculate the same for the rest 3 criteria (age, student, credit rating)
- The one with minimum Gini index value will results in the maximum reduction in impurity of the tuples in D and is returned as the splitting criterion

Income

(low, medium)



High



Thank You

