

Crystal and Symmetries

Aman Anand

August 2024

1 Introduction

Take a lattice with point group symmetry operations $\{G\}$ leaving it invariant. The group elements are 3×3 unitary matrices. Unlike Bloch translation symmetry which simplifies our problem significantly, these point group symmetries can reduce computations by a factor of 3 or 24. Major significance is in getting the selection rules, as in which transitions are allowed in presence of external potentials (which can cause transition between electron states).

2 Mathematics Preliminary

What we want to study is how functions (can be wavefunctions) transform under action of the group, i.e.,

$$\psi_i(G\mathbf{r}) = \sum_j A(G)_{ij} \psi_j(\mathbf{r}). \quad (1)$$

This way we can find the matrices $A(G)$. The set of matrices $\{A\}$ is called a **representation of the group**. The matrices A and the group elements can all be taken to be unitary ($A^\dagger = A^{-1}$ and $G^\dagger = G^{-1}$). Two representations $\{A^{(n)}\}$ and $\{A^{(m)}\}$ are **equivalent** if there exists a single matrix M such that the below holds for all elements of the group.

$$M^{-1} A^{(n)}(G) M = A^{(m)}(G) \quad (2)$$

Also, if we can decompose all matrices of our representation in some basis to Block diagonal form, then our representation is called **reducible**. To derive the main results like the great orthogonality theorem, we consider a matrix M , which is defined by a matrix X (choice of X could be arbitrary)

$$M = \sum_G A^{(m)}(G) X A^{(n)}(G^\dagger). \quad (3)$$

Then we see that

$$\begin{aligned}
A^{(m)}(G)M &= \sum_{G'} A^{(m)}(G)A^{(m)}(G')XA^{(n)}(G'^{\dagger}) \\
&= \sum_{G'} A^{(m)}(GG')XA^{(n)}(G'^{\dagger}) \\
&= \sum_{G'} A^{(m)}(G')XA^{(n)}(G'^{\dagger}G) \quad (\text{replace } G' \rightarrow G'^{\dagger}G) \\
&= \sum_{G'} A^{(m)}(G')XA^{(n)}(G'^{\dagger})A^{(n)}(G) = MA^{(n)}.
\end{aligned} \tag{4}$$

So, for $\{A^{(n)}\}$ and $\{A^{(m)}\}$ representations to not be equivalent; the matrix M must be non-invertible. In the same way we can take a conjugate transpose of this to get a relation for matrix M^{\dagger} . We define a matrix, now $P = M + M^{\dagger}$, this matrix P now also satisfies

$$A^{(m)}(G)P = PA^{(n)}(G) \tag{5}$$

where P is now a hermitian matrix (as $P^{\dagger} = P$). Since, P is Hermitian, we can now diagonalize it and find the orthonormal basis. Then we write all the matrices $\{A^{(n)}\}, \{A^{(m)}\}$ and P in this basis.

Q. How do we know if our representations are irreducible?

If even a single off diagonal term in our representation is 0, then it's reducible. We can see that by taking $n = m$ in the equation above in our diagonal basis, that implies

$$A(G)_{ij}P_{jj} = P_{ii}A(G)_{ij}, \tag{6}$$

so, either $P_{ii} = P_{jj}$ or $A(G)_{ij} = 0$. If for any ij , let's say $A(G)_{12}$ is 0, then that means no transformation can take $G\psi_1$ to ψ_2 and vice versa. Hence, the wave-functions generated by $G\psi_1$ and $G\psi_2$ are disjoint. So, if our **representation is irreducible then all the eigenvalues of P must be same.**