# COMPUTATIONAL GEOMETRY Assignment 3

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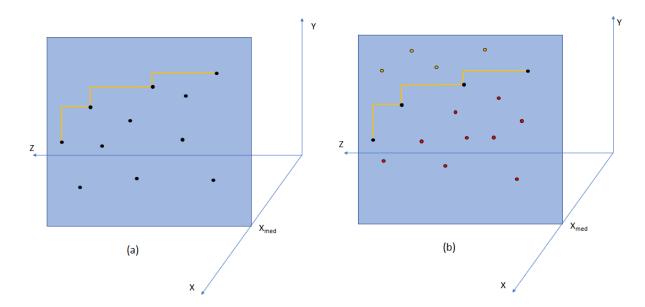
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#### 1 Problem 1

#### 1.1 Merge Algorithm

Let P be the set of n points in 3D. The algorithm computes a median plane ( $x = x_{med}$ ) such that there are equal number of points of P on either side of the median plane; we call these sets  $P_l$  and  $P_r$ , respectively. Let  $S_l$  and  $S_r$  be the skyline points of  $P_l$  and  $P_r$  respectively. Now, we describe a procedure for merging  $S_l$  and  $S_r$  into S, which is the set of skyline points of P.

- We first include every point in  $S_r$  into S. This is because, the points in  $S_r$  are the ones which are dominating the points of  $P_r$ . There exists no other point to dominate them. Hence, they will belong to S.
- However, we note that not all points in  $S_l$  will belong to S. Every point of  $S_l$  is dominated by every point of  $S_r$  along the x axis. We need to prune those points in  $S_l$  which are dominated by some point in  $S_r$  along the y axis and z axis as well.
- For this, we first project the set  $S_r$  onto the median plane  $x_{med}$ . The resultant projections are points on a 2D plane. Let us denote this set by  $S_r^P$ , these points are shown by black dots in figure 1(a).
- We now compute a 2D skyline of points in  $S_r^P$ . This is denoted by the yellow staircase in figure 1(a).
- Then we project the points in  $S_l$  on the same plane,  $x_{med}$  and call it  $S_l^P$ . The situation is shown in figure 1(b). We note that there are two types of points:
  - (a) The points above the staircase, shown in yellow. These are the points which are dominated by every point in  $S_r$  along x axis. However, no point in  $S_r$  dominates these points in either y axis or z axis. Hence, these points will belong to S. Thus, we add this points shown in yellow to our result.
  - (b) The points below the staircase, shown in red. These are the points which are dominated by every point in  $S_r$  along x-axis. Moreover, they are also dominated by some point along the staircase, along the y-axis and z-axis. Hence, these points will not belong to S. Thus, we prune such points.



#### 1.2 Proof of Correctness

- We will do this using contradiction. Let us assume that there exists a point p which is a skyline point but  $p \notin S$ . This means that p must belong to  $S_l$ , because we are including every point in  $S_r$  to S. Since, p is a skyline point in  $S_l$ , there must exist no point p' such that all p' dominates p along all the axes. This means that p lies above the yellow staircase, otherwise it would be dominated along all axes. However, we are making sure that no such is pruned. Hence, p must belong to S, thus reaching a contradiction.
- Let us assume that there exists a point p which is not a skyline point but  $p \in S$ . This means that p must belong to  $S_l$ , because every point in  $S_r$  is a skyline point of P. Since, p is not a skyline point, there must exist some point p' such that p' dominates p along all the axes. However, we are making sure that such a point is always pruned. Hence, p cannot belong to S, thus reaching a contradiction.

#### 1.3 Time Complexity

- The 2D skyline of  $S_r^P$  can be computed in  $O(n \log n)$  time using the **Sweepline Algorithm**.
- The pruning step can be done efficiently by constructing a height-balanced BST of the points in the staircase, based on the . Then for every point p in  $S_l^P$ , we find its successor p' in the tree, such that, p.z < p'.z. Now, it is already known that p.x < p'.x. We only need to check whether, p.y < p'.y. If it is, then we prune p.
- In the previous step, we are considering only the successor for p, because for the predecessors,  $p.z \ge p'.z$ . Moreover the successor has the highest y-coordinate among all points which lie further on the staircase (in direction of +ve z-axis). So, p' is most eligible to dominate p.
- Querying the BST for successor takes  $O(\log n)$  time. And we may need to do it for O(n) points. Hence, the entire pruning step can be accomplished in  $O(n \log n)$  time.
- Thus, the total time complexity of the  $Merge\ Algorithm$  is  $O(n\log n)$ . We can thus write the recurrence for the entire algorithm as,

$$T(n) = 2T(n/2) + O(n \log n)$$

• Solving the above recurrence using Master's theorem gives us total time complexity of the Skyline algorithm to be  $O(n \log^2 n)$ .

## 2 Problem 2

#### 2.1 Merge Algorithm

- Before the algorithm starts, we first pre-sort P based on the decreasing order of z-coordinate values. Also, we maintain the invariant that the skyline points of any subproblem (in the divide and conquer algorithm) are reported in decreasing order based on their z-coordinate values. Now, we describe a more efficient procedure for merging  $S_l$  and  $S_r$  into S, which is the set of skyline points of P.
- The algorithm is similar to merging two sorted lists. Here our two lists are namely  $S_l$  and  $S_r$ . Here we have three cases:
  - 1. Case 1:  $p_l.z >= p_r.z$  In this case, the point  $p_r$  does not dominate  $p_l$  along the z axis, hence  $p_l$  will be included in S. Note that, all points of  $S_r$  will be trivially included in S.
  - 2. Case 2:  $p_l.z < p_r.z$ 
    - (a)  $pl.y < y_{max}$ : Here,  $y_{max}$  denotes the maximum y-coordinate recorded for among all the points of  $S_r$  scanned till now. If the condition is satisfied then there exits some point in  $S_r$  whose y-coordinate is  $y_{max}$  and it dominates  $p_l$  along all axes. Hence it is pruned.
    - (b)  $pl.y >= y_{max}$ : This means that there exits no point in  $S_r$  till now, whose y-coordinate dominates that of  $p_l$ . Hence we scan ahead.

#### MERGE\_SKYLINE

```
1. S = \phi
 2. p_l = S_l[0]
 3. p_r = S_r[0]
 4. y_{max} = p_r.y
 5. while p_l != NULL and p_r != NULL
 6.
            if p_l.z >= p_r.z
                                          //Case 1
 7.
                   S = append(S, p_l)
 8.
                   p_l = p_l.next
 9.
            else
10.
                   if p_l.y < y_{max}
                                                       //Case 2(a)
11.
                          p_l = p_l.next
                                                     //Prune p_l
12.
                   else
                                                       //Case 2(b)
13.
                          S = append(S, p_r)
                                                     //Add p_r to Skyline points
14.
                          p_r = p_r.next
15.
                          y_{max} = max(y_{max}, p_r.y)
16. while p_l != NULL
17.
            if p_l.y >= y_{max}
                   S = append(S, p_l)
18.
                                                     //Add p_l to Skyline points
19.
            p_l = p_l.next
```

#### 2.2 Time Complexity

- The initial pre-sorting step takes  $O(n \log n)$  time.
- The merge algorithm described above takes O(n) time, as all we are doing are scanning the two lists,  $S_l$  and  $S_r$ .
- Thus, the total time complexity of the  $Merge\ Algorithm$  is  $O(n\log n)$ . We can thus write the recurrence for the entire algorithm as,

$$T(n) = 2T(n/2) + O(n)$$

• Solving the above recurrence using Master's theorem gives us total time complexity of the Skyline algorithm to be  $O(n \log n)$ .

#### 3 Problem 3

Let us assume that there exists a point  $p \in R_i^+$  but is not a skyline point. Then it must be true that there exists  $q \in P$  which dominates p. Now there are two cases, at the beginning of the  $i^{th}$  iteration:

- 1. Case 1:  $q \in M$ , i.e. q is already classified: If this was the case, then q would have pruned p in the second pass of some iteration, when it was classified. Hence, we reach a contradiction that  $p \in R_i^+$ .
- 2. Case 2:  $q \in P_i$ , i.e. q is not yet classified: In this case, we have two sub-cases:
  - (a)  $p \in R_i^+$  after first pass: In this case, if q is scanned before p during second pass, then it will remove p from  $R_i^+$ , and p would not be included in  $R_i^+$  when it is scanned later. Also, if q is scanned after p during second pass, the it again prune p from  $R_i^+$ . Hence, we reach a contradiction that  $p \in R_i^+$ .
  - (b)  $p \notin R_i^+$  after first pass, but  $p \in R_i^+$  after second pass. In can happen when p happens to dominate some point r. However, such a point would also be dominated by q. If q is scanned before p during second pass, then it will remove r from  $R_i^+$ , and hence p would not be included in  $R_i^+$  when it is scanned later, as it has nothing to dominate. Also, if q is scanned after p during second pass, the it would prune both p from p. Hence, we reach a contradiction that  $p \in R_i^+$ .

## 4 Problem 4

- In the first pass of the algorithm, we calculate the smallest number in the input stream and store it.
- Similarly, in the second pass, we calculate the element which is just greater than the smallest number calculated in first pass. We store this element and discard the result of first pass.
- In this manner, in the  $i^{th}$  pass, we calculate the element which is just greater than the element calculated in the  $(i-1)^{th}$  pass. We store this number to be used in  $(i+1)^{th}$  pass and discard the result of  $(i-1)^{th}$  pass.
- When n is odd, we need  $\left(\frac{n+1}{2}\right)$  passes to find the median element. However, when n is even, we find the  $\left(\frac{n}{2}\right)^{th}$  and  $\left(\frac{n}{2}+1\right)^{th}$  element and take their average.
- In both cases, we need O(n) passes, where each pass itself takes O(n) time. So, the overall time complexity of the algorithm is  $O(n^2)$ .
- Also, in every pass, we only need the result of previous pass for calculating the result. Hence, the space complexity is O(1), thus fulfilling our criteria of o(n) space.