# Interprocedural analysis: Sharir-Pnueli's functional approach

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### **Outline**

- Functional Approach
- 2 Example
- 3 Iterative Approach
- 4 Exercises

### **Equation solving approach**

Functional Approach

In non-procedural case, we setup equations to capture JOP assuming distributivity. Least solution to these equations gave us exact/over-approx JOP depending on distributive/monotonic framework.

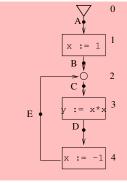
$$x_A = \emptyset$$

$$x_B = f_1(x_A)$$

$$x_C = x_B \sqcup x_E$$

$$x_D = f_3(x_C)$$

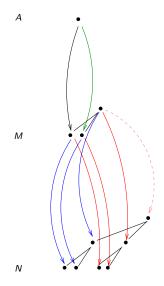
$$x_E = f_4(x_D)$$



We want JOP at N.

Functional Approach

- Suppose *M* is an intermediate point such that all paths to N pass through M.
- If transfer functions are distributive, then we can take join over paths at point M, and then join over paths from M to Ν.



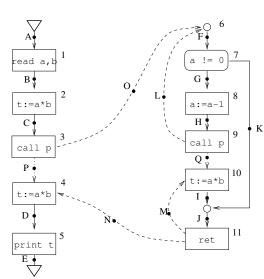
### Equation solving: Problems with naive approach

• Try to set up similar equations for  $x_N$  (JVP at program point N).

Functional Approach

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 How do we describe  $x_N$  in terms of  $x_I$ ?

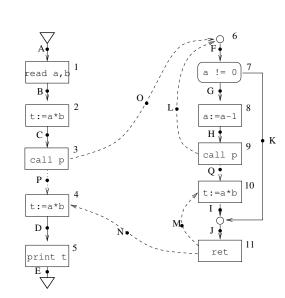


### Instead try to capture join over complete paths first

Functional Approach

- Set up equations to capture join over complete paths.
- Now set up equations to capture JVP using join over complete path values.

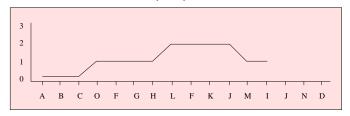
- Root of procedure p is denoted  $r_p$ .
- Exit (return) of procedure p is denoted  $e_p$ .
- Sometimes use r<sub>1</sub> for  $r_{main}$ .
- Assume WLOG that main is not called.



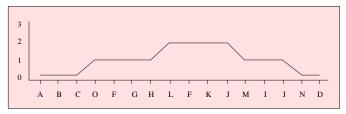
### **Example paths**

Functional Approach

An example valid path in  $IVP(r_1, I)$ :

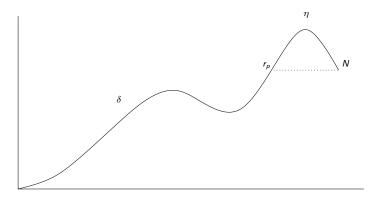


An example valid and complete path in  $IVP_0(r_1, D)$ :



Path "FGHLFKJMIJ" is valid and complete and is in  $IVP_0(r_p, J)$ .

An IVP path  $\rho$  from  $r_1$  to N in procedure p can be written as  $\delta \cdot \eta$ where  $\delta$  is in IVP $(r_1, r_p)$ , and  $\eta$  is in IVP $_0(r_p, N)$ .



Path  $\eta$  is suffix after last pending call to procedure p was made.

### Valid and complete paths from $r_p$ to N

For a procedure p and node N in p, define:

$$\phi_{r_p,N}:D\to D$$

given by

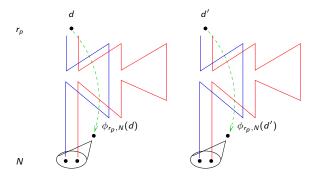
Functional Approach

$$\phi_{r_{\rho},N}(d) = \bigsqcup_{\text{paths } \rho \in \text{IVP}_0(r_{\rho},N)} f_{\rho}(d).$$

 $\phi_{r_0,N}$  is thus the join of all functions  $f_{\rho}$  where  $\rho$  is an interprocedurally valid and complete path from  $r_p$  to N.

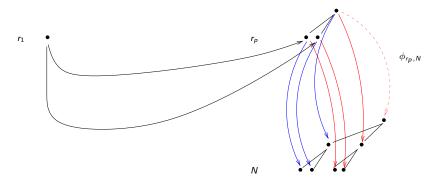
# Visualizing $\phi_{r_p,N}$

Functional Approach



## Using $\phi_{r_n,N}$ 's to get JVP values

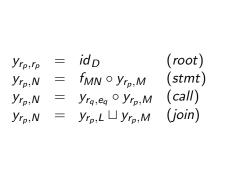
Functional Approach



Assuming distributivity of underlying transfer functions, JVP value at N equals  $\phi_{r_p,N}$  applied to JVP value at  $r_p$ .

## Equations (1) to capture $\phi_{r_n,N_1}$

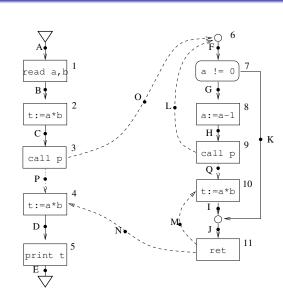
Functional Approach







Lattice for Av-Exp analysis.



### Functions we will use for example analysis

•  $D = \{\bot, 1, 0\}.$ 

Functional Approach

•  $\mathbf{0}: D \to D$  given by

$$\begin{array}{ccc} \bot & \mapsto & \bot \\ 0 & \mapsto & 0 \\ 1 & \mapsto & 0 \end{array}$$

•  $1: D \rightarrow D$  given by

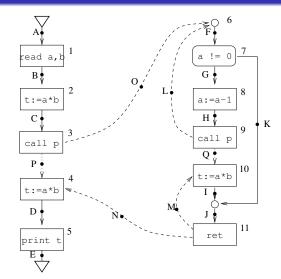
$$\begin{array}{ccc} \bot & \mapsto & \bot \\ 0 & \mapsto & 1 \\ 1 & \mapsto & 1 \end{array}$$

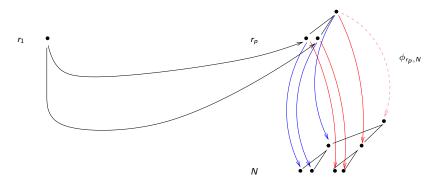
•  $id: D \rightarrow D$  given by

$$\begin{array}{cccc} \bot & \mapsto & \bot \\ 0 & \mapsto & 0 \\ 1 & \mapsto & 1 \end{array}$$

• Ordering: 1 < id < 0.

```
y_{A,A}
              \mathbf{0} \circ y_{A,A}
y_{A,B} =
y_{A,C} = \mathbf{1} \circ y_{A,B}
          = y_{F,J} \circ y_{A,C}
y_{A,P}
          = 1 \circ y_{A,P}
y_{A,D}
                id \circ y_{A,D}
y_{A,E}
                id
YF,F
       = id \circ y_{F,F}
УF.G
y_{F,K} = id \circ y_{F,F}
          = \mathbf{0} \circ y_{F,G}
УF.Н
y_{F,Q} = y_{F,J} \circ y_{F,H}
y_{F,I} = \mathbf{1} \circ y_{F,Q}
y_{F,J} = y_{F,I} \sqcup y_{F,K}
```





Assuming distributivity of underlying transfer functions, JVP value at N equals  $\phi_{r_p,N}$  applied to JVP value at  $r_p$ .

## **Equations (2) to capture JVP**

Functional Approach

$$\begin{array}{lcl} x_1 & = & d_0 \\ x_{r_p} & = & \bigsqcup_{\operatorname{calls} C \operatorname{to} p} x_C \\ x_N & = & \phi_{r_p,N}(x_{r_p}) & \operatorname{for} N \in \operatorname{ProgPts}(p) - \{r_p\}. \end{array}$$

$$x_{A} = 0$$

$$x_{B} = \phi_{AB}(x_{A})$$

$$x_{C} = \phi_{AC}(x_{A})$$

$$x_{P} = \phi_{AP}(x_{A})$$

$$x_{D} = \phi_{AD}(x_{A})$$

$$x_{E} = \phi_{AE}(x_{A})$$

$$x_{F} = x_{C} \sqcup x_{H}$$

$$x_{G} = \phi_{FG}(x_{F})$$

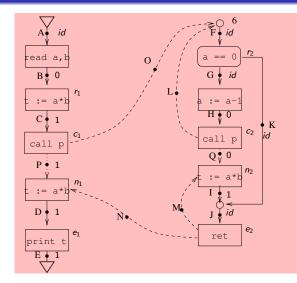
$$x_{K} = \phi_{FH}(x_{F})$$

$$x_{H} = \phi_{FH}(x_{F})$$

$$x_{Q} = \phi_{FQ}(x_{F})$$

$$x_{I} = \phi_{FI}(x_{F})$$

$$x_{J} = \phi_{FJ}(x_{F}).$$



## Example: Equations for $x_N$ 's (JVP)

$$x_A = 0$$
  
 $x_B = \mathbf{0}(x_A)$   
 $x_C = \mathbf{1}(x_A)$   
 $x_P = \mathbf{1}(x_A)$   
 $x_D = \mathbf{1}(x_A)$   
 $x_E = \mathbf{1}(x_A)$   
 $x_E = \mathbf{1}(x_A)$   
 $x_F = x_C \sqcup x_H$   
 $x_G = id(x_F)$   
 $x_K = id(x_F)$   
 $x_H = \mathbf{0}(x_F)$   
 $x_Q = \mathbf{0}(x_F)$   
 $x_I = \mathbf{1}(x_F)$   
 $x_J = id(x_F)$ .

Functional Approach

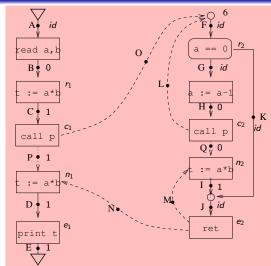


Fig. shows values of  $\phi_{r_p,N}$ 's in bold.

Given equations  $(E_1)$ 

Functional Approach

$$y_1 = f_1(y_1, \dots, y_n)$$
  
 $\dots$   
 $y_n = f_n(y_1, \dots, y_n)$ 

Iterative Approach

Consider a complete lattice  $(D, \leq)$  such that:

- D is closed under each f<sub>i</sub>.
- Each f<sub>i</sub> is a monotonic function on this lattice: if  $\langle d_1,\ldots,d_n\rangle \leq \langle e_1,\ldots,e_n\rangle$  then  $f_i(d_1,\ldots,d_n)\leq f_i(e_1,\ldots,e_n)$ .
- Equivalently, the function  $\overline{F}$  on  $(D^n, \leq)$  given by

$$\overline{F}(\langle d_1,\ldots,d_n\rangle)=\langle f_1(d_1,\ldots,d_n),\ldots,f_n(d_1,\ldots,d_n)\rangle,$$

is monotonic.

Then, by Knaster-Tarski, the function  $\overline{F}$  on  $(D^n, \leq)$  has a LFP, which coincides with the least solution (in  $D^n$ ) to equations  $(E_1)$ .

## Solving Eq (1) using Knaster-Tarski

Functional Approach

- Consider lattice  $(F, \leq)$  of functions from D to D, obtained by closing the transfer functions, identity, and  $f_{\perp}: d \mapsto \bot$  under composition and join. (Alternatively we can take F to be all monotone functions on D.)
- Ordering is f < g iff f(d) < g(d) for each  $d \in D$ .
- $\bullet$  (F, <) is also a complete lattice.
- $\overline{f}$  induced by Eq (1) is monotone on complete lattice  $(\overline{F}, \leq)$ .
  - Sufficient to argue that function composition o is monotone when applied to monotone functions.
  - Join operation | is monotone.
- LFP / least solution (say  $y_{r_0,N}^*$ 's) exists by Knaster-Tarski.
- Each  $y_{r_0,N}^*$  is necessarily monotonic.

### Correctness claim I

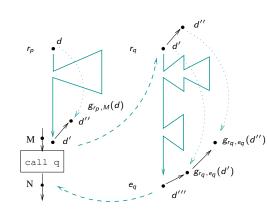
Functional Approach

### Claim

- The least solution to Eq (1) dominates  $\phi_{r_0,N}$ 's (i.e.  $\phi_{r_p,N} \leq y_{r_p,N}^*$  for each N).
- 2  $\phi_{r_p,N}$ 's are the least solution to Eq (1) (i.e.  $\phi_{r_p,N} = y_{r_p,N}^*$  for each N) when  $f_{MN}$ 's are distributive.

• For part 1:

- Let  $g_{r_0,N}$  be any monotone solution to Eq (1).
- Sufficient to prove: For each  $d \in D$ . and  $\rho$  an  $IVP_0$  path from  $r_p$  to N,  $f_{\rho}(d) \leq g_{r_n,N}(d)$ .
- Proof by induction on length of path  $\rho$ .
- For part 2: Prove that  $\phi_{r_p,N}$ 's are a solution to Eq (1), and hence they will dominate the least solution.



### Using Kildall to compute LFP

Functional Approach

- We can use Kildall's algo to compute the LFP of these equations as follows.
  - Initialize the value at all program points with RHS of the constant equations (in this case id at entry of procedures), and the bottom value (in this case  $f_{\perp}$ ).
  - Mark all values
  - Pick a marked value at point say N, and "propagate" it (i.e. for any node M in the LHS of an equation in which N occurs in the RHS, evaluate M and join it with the existing value at M). Mark as before in Kildall's algo.
  - Stop when no more marked values to propagate.
- Kildall's algo will compute  $y_{r_p,N}^*$  if D is finite. Note that finite height of  $(D, \leq)$  is not sufficient for termination.

### Correctness and Algo II

Functional Approach

Consider Eq (2)':

$$\begin{array}{lcl} x_1 & = & d_0 \\ x_{r_p} & = & \bigsqcup_{\operatorname{calls} C \operatorname{to} p} x_C \\ x_N & = & y_{r_p,N}^*(x_{r_p}) & \operatorname{for} N \in N_p - \{r_p\}. \end{array}$$

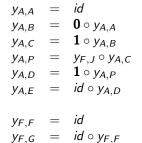
(Recall that  $y_{r_0,N}^*$ 's are the least solution of Eq (1).)

- $\overline{f}$  induced by Eq (2)' is a monotone function on the complete lattice  $(\overline{D}, \overline{\leq})$ .
- LFP / least solution (say  $x_N^*$ 's) exists by Knaster-Tarski.

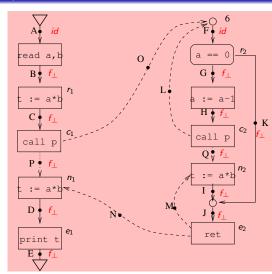
### Claim

JVP values are the least solution to Eq (2)' (i.e.  $JVP_N = x_N^*$ ) when  $f_{MN}$ 's are distributive. Otherwise  $JVP_N \leq x_N^*$  for each N.

Kleene/Kildall's algo will compute  $x_N^*$ 's (assuming D finite).



=  $id \circ y_{F,F}$  $y_{F,K}$  $\mathbf{0} \circ y_{F,G}$  $y_{F,H}$  $y_{F,J} \circ y_{F,H}$  $y_{F,Q}$  $\mathbf{1} \circ y_{F,Q}$  $y_{F,I} =$  $y_{F,J} = y_{F,I} \sqcup y_{F,K}$ 



$$y_{A,A} = id$$

$$y_{A,B} = \mathbf{0} \circ y_{A,A}$$

$$y_{A,C} = \mathbf{1} \circ y_{A,B}$$

$$y_{A,P} = y_{F,J} \circ y_{A,C}$$

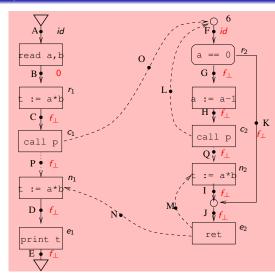
$$y_{A,D} = \mathbf{1} \circ y_{A,P}$$

$$y_{A,E} = id \circ y_{A,D}$$

$$y_{F,F} = id$$

$$y_{F,G} = id \circ y_{F,F}$$

 $y_{F,G}$ =  $id \circ y_{F,F}$  $y_{F,K}$  $\mathbf{0} \circ y_{F,G}$  $y_{F,H}$  $y_{F,J} \circ y_{F,H}$  $y_{F,Q}$  $\mathbf{1} \circ y_{F,Q}$  $y_{F,I} =$  $y_{F,J} = y_{F,I} \sqcup y_{F,K}$ 



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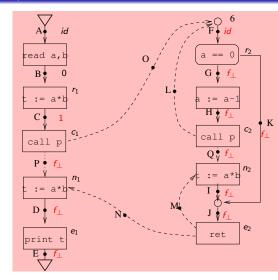
$$y_{A,E} = id \circ y_{A,D}$$

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 $y_{F,I} = \mathbf{1} \circ y_{F,Q}$  $y_{F,J} = y_{F,I} \sqcup y_{F,K}$  read a, b call p  $Q_{V}^{\bullet} f$ ret

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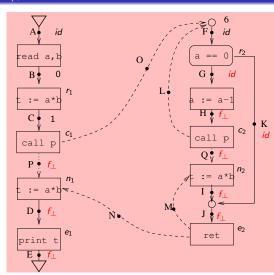
$$y_{A,P} = y_{F,J} \circ y_{A,C}$$

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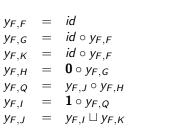
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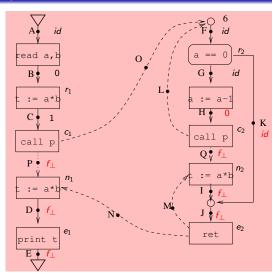
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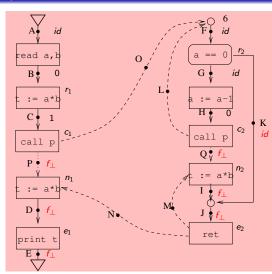
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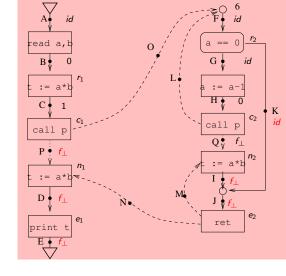
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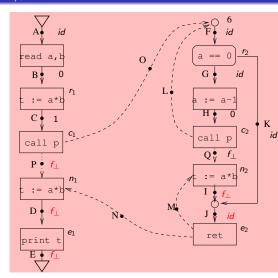
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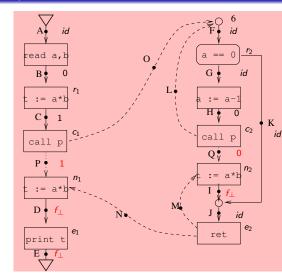
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 $y_{F,J} = y_{F,I} \sqcup y_{F,K}$ 



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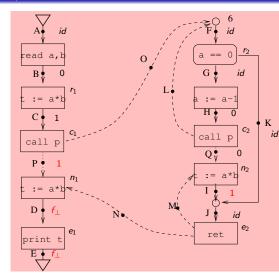
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$$y_{F,F} = id$$

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=  $id \circ y_{F,F}$  $y_{F,K}$  $\mathbf{0} \circ y_{F,G}$  $y_{F,H}$  $y_{F,J} \circ y_{F,H}$  $y_{F,Q}$  $y_{F,I} = \mathbf{1} \circ y_{F,Q}$  $y_{F,J} = y_{F,I} \sqcup y_{F,K}$ 



$$y_{A,A} = id$$

$$y_{A,B} = \mathbf{0} \circ y_{A,A}$$

$$y_{A,C} = \mathbf{1} \circ y_{A,B}$$

$$y_{A,P} = y_{F,J} \circ y_{A,C}$$

$$y_{A,D} = \mathbf{1} \circ y_{A,P}$$

$$y_{A,E} = id \circ y_{A,D}$$

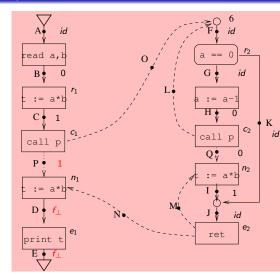
$$y_{F,F} = id$$

$$y_{F,G} = id \circ y_{F,F}$$

 $y_{F,G}$ =  $id \circ y_{F,F}$  $y_{F,K}$  $\mathbf{0} \circ y_{F,G}$  $y_{F,H}$  $y_{F,J} \circ y_{F,H}$  $y_{F,Q}$ 

 $y_{F,I} = \mathbf{1} \circ y_{F,Q}$ 

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$$y_{F,F} = id$$

$$y_{F,G} = id \circ y_{F,F}$$

=  $id \circ y_{F,F}$  $y_{F,K}$  $\mathbf{0} \circ y_{F,G}$  $y_{F,H}$ 

 $y_{F,J} \circ y_{F,H}$  $y_{F,Q}$  $y_{F,I} = \mathbf{1} \circ y_{F,Q}$ 

 $y_{F,J} = y_{F,I} \sqcup y_{F,K}$ 

read a, b call p call p Qv id ret print

$$y_{A,A} = id$$

$$y_{A,B} = \mathbf{0} \circ y_{A,A}$$

$$y_{A,C} = \mathbf{1} \circ y_{A,B}$$

$$y_{A,P} = y_{F,J} \circ y_{A,C}$$

$$y_{A,D} = \mathbf{1} \circ y_{A,P}$$

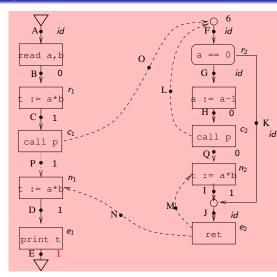
$$y_{A,E} = id \circ y_{A,D}$$

$$y_{F,F} = id$$

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 $y_{F,G}$ =  $id \circ y_{F,F}$  $y_{F,K}$  $\mathbf{0} \circ y_{F,G}$  $y_{F,H}$  $y_{F,J} \circ y_{F,H}$  $y_{F,Q}$  $y_{F,I} = \mathbf{1} \circ y_{F,Q}$ 

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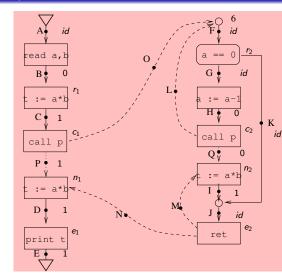
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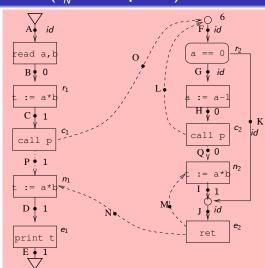
 $y_{F,I} = \mathbf{1} \circ y_{F,Q}$ 

 $y_{F,J} = y_{F,I} \sqcup y_{F,K}$ 



## Example: Computing JVP values $(x_N^*)$ 's to be precise

$$x_A = 0$$
  
 $x_B = \mathbf{0}(x_A)$   
 $x_C = \mathbf{1}(x_A)$   
 $x_P = \mathbf{1}(x_A)$   
 $x_D = \mathbf{1}(x_A)$   
 $x_E = \mathbf{1}(x_A)$   
 $x_E = \mathbf{1}(x_A)$   
 $x_K = id(x_F)$   
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 $x_K = 0(x_F)$   
 $x_Q = \mathbf{0}(x_F)$   
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 $x_E = \mathbf{1}(x_A)$   
 $x_F = x_C \sqcup x_H$   
 $x_G = id(x_F)$   
 $x_K = id(x_F)$   
 $x_H = \mathbf{0}(x_F)$   
 $x_Q = \mathbf{0}(x_F)$   
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Functional Approach

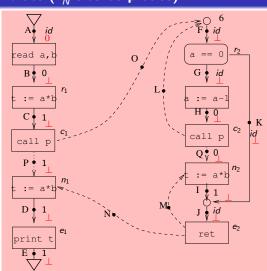


Fig shows initial (red) and final (blue) values.

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Functional Approach

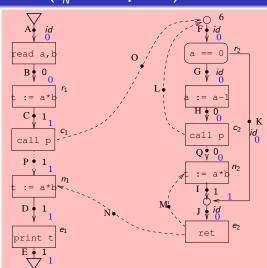


Fig shows initial (red) and final (blue) values.

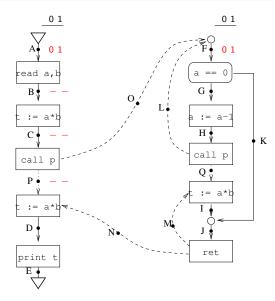
#### **Summary of functional approach**

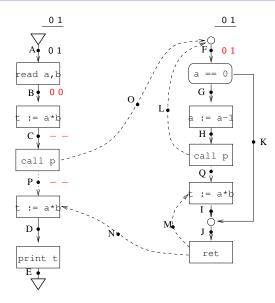
Functional Approach

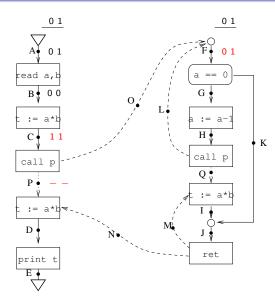
- Uses a two step approach
  - **1** Compute  $\phi_{r_p,N}$ 's (JVCPs for each function).
  - ② Compute  $x_n$ 's (JVPs) at each point.

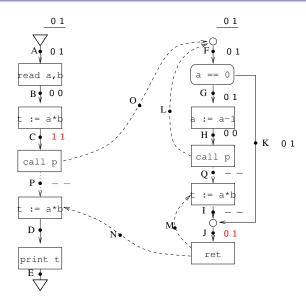
Summary of conditions: For each property (column heading), the conjunction of the ticked conditions (row headings) are sufficient to ensure the property.

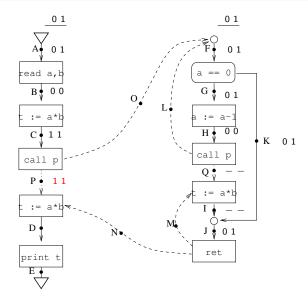
	Termination	Least Sol of Eq(2) $\geq$ JVP	Least Sol of Eq(2)= JVP
$f_{MN}$ 's monotonic Finite underlying lattice $f_{MN}$ 's distributive	<b>√</b>	√	√











#### Iterative/Tabulation Approach

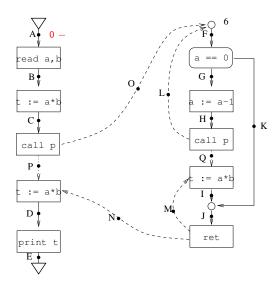
Functional Approach

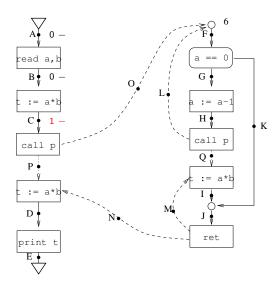
- Main idea: de-couple the propagation of function rows.
- Maintain a table of values representing the current value of  $\phi_{r_n,N}$  for each program point N in procedure p.
- Expand column for data value d in procedure p only if d is reachable at  $r_p$ .
- Informally, at N in procedure p, the table has an entry  $d \mapsto d'$ if we have seen
  - **1** valid paths  $\rho$  from  $r_1$  to  $r_p$  with  $\bigsqcup_{\rho} f_{\rho}(d_0) = d$ , and
  - 2 valid and complete paths  $\delta$  from  $r_p$  to N with  $| \cdot |_{\delta} f_{\delta}(d) = d'$ .

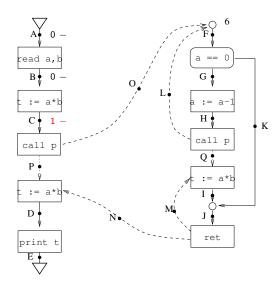
#### Iterative/Tabulation Approach

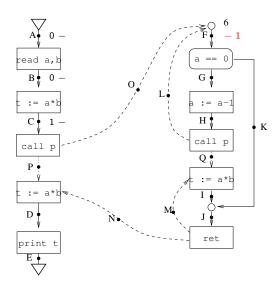
Functional Approach

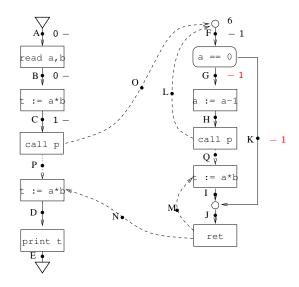
- Apply Kildall's algo with initial value of  $d_0 \mapsto d_0$  at  $r_1$ .
- Propagating value d across a call to procedure p: (a) begin a column for d at root of p if not already there; Also (b) if d is mapped to d' at the end of p, then propogate d' to the return site of the call.
- Propagating across return nodes from procedure p: value d'in column for d is propagated to each column at a return site of a call to procedure p that has the value d in the preceding entry.

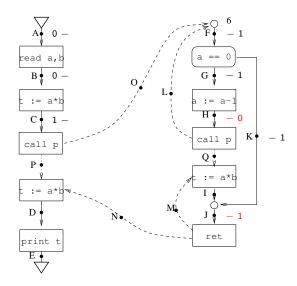


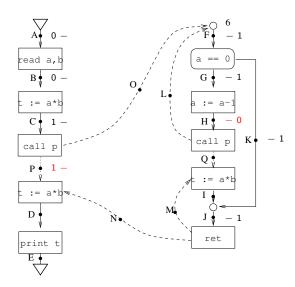


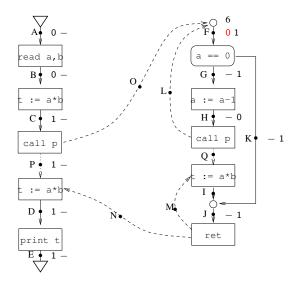


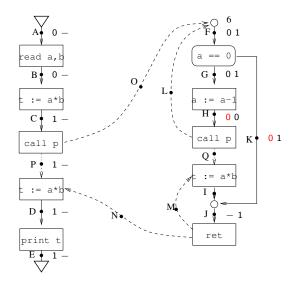


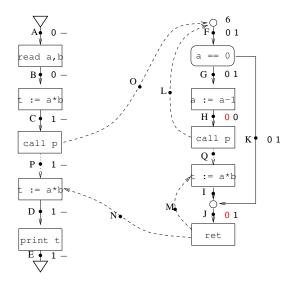


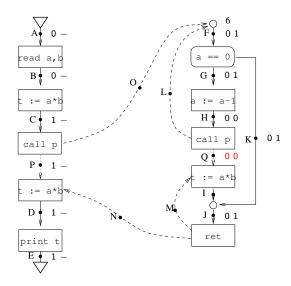


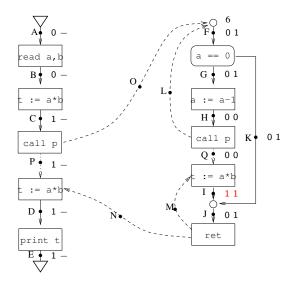


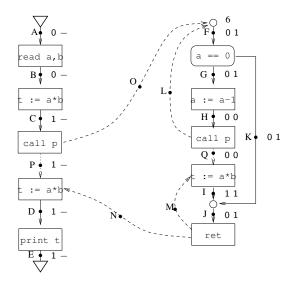






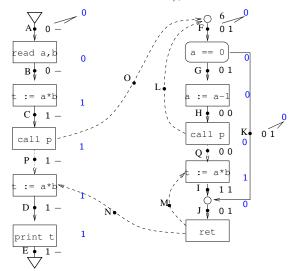






#### **Example:** Finally compute $x_N$ 's from $\phi$ values

At each point *N* take join of reachable  $\phi_{r_o,N}$  values.



## Correctness of iterative algo

Functional Approach

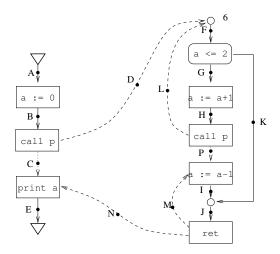
- Iterative algo terminates provided underlying lattice is finite.
- It computes the  $y_{r_0,N}^*$ 's (where  $y_{r_0,N}^*$ 's are the least solution to Eq (1)) "partially": If it maps d to  $d' \neq \bot$  then  $y_{r_0,N}^*(d) = d'$ .
- The JVP values it gives (say  $z_N$ 's) are such that

$$\mathrm{JVP}_N \leq z_N \leq x_N^*$$

(where  $x_N^*$ 's are the solution to Eq (2')).

- If underlying transfer functions are distributive it computes  $\phi_{r_p,N}$ 's correctly (though partially), and the JVP values correctly.
- It thus computes an overapproximation of JVP for monotonic transfer functions, and exact JVP when transfer functions are distributive.

Run the iterative algo to do constant propagation analysis for the program below with initial value Ø.



#### **Exercise 2: Functional vs Iterative algo**

Run the functional and iterative algos to do constant propagation analysis for the program below with initial value  $\emptyset$ :

#### Comparing functional vs iterative approach

Functional Approach

- Functional algo can terminate even when underlying lattice is infinite, provided we can represent and compose/join functions "symbolically".
- Iterative is typically more efficient than functional since it only computes  $\phi_{r_0,N}$ 's for values reachable at start of procedure.