

Session One

Introduction & Overview

CHCLEG003 Manage Legal and Ethical Compliance CHC52015: Diploma of Community Services

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Session 1 of 10







Assessments

- Assessment No 1: Questioning
 - Due in week 4 02.03.2023
 - Refer to your assessment guide
- Assessment No 2: Questioning
 - Due in week 7 23.03.2023
 - This is in a form of a class activity
 - Refer to your assessment guide
- Assessment No 3: Report
 - Due in week 11 04.05.2023
 - Refer to your assessment guide



Manage legal & ethical compliance

- Why do you think we need to teach you this unit?
- What is the purpose of this unit?
- What is the relevance of legal and ethical compliance within the community services sector?
- What do you want to learn from this unit?
- Discuss







 This unit describes the skills and knowledge required to research information about compliance and ethical practice responsibilities, and then develop and monitor policies and procedures to meet those responsibilities.



Elements

- 1. Research information required for legal compliance.
- 2. Determine ethical responsibilities.
- 3. Develop and communicate policies and procedures.
- 4. Monitor compliance.
- 5. Maintain knowledge of compliance requirements



Law

- What is law?
- Why do we have laws?
- Have you had any experience with law?
 - only discuss if you feel comfortable
- Discuss



The Commonwealth of Australia is a constitutional monarchy: the States federated in 1901





The official photograph of the Queen and her husband to mark the diamond jubilee of her accession to the throne on 6th February 1952 – in 2012 60 years doing the same job!

www.dailymail.co.uk/travel/article-2097121/Queens-Diamond-Jubilee-Plans-river-pageant-unveiled-1m-expected-line-route.html?ito=feeds-newsxml

States

- New South Wales
- Victoria
- Queensland
- South Australia
- Western Australia
- Tasmania

Territories

- Northern Territory
- Australian Capital Territory
- Islands: Norfolk, Christmas, Cocos

Constitution of Australia

- The States formed a federation in 1901 and divided up powers between the Commonwealth and the States according to the constitution.
- Sometimes the States agree not to exercise their constitutional powers and the let the Commonwealth take over the function alone in return for agreed benefits, for example income tax.



Three levels of governments



Australia is a constitutional monarchy but the Queen doesn't live in Australia

Level	Туре	Head	
1	Commonwealth	Governor General	
2	State	Governor	
	Territory	Administrator	
3	Local: city or shire	Mayor	

Three types of laws from each level of government

Level	Law making authority	Type of law	Judiciary
Commonwealth (Federal)	Parliament of Australia	Federal law	High Court Federal Court Family Court
State / Territory	State or Territory Parliaments	State law or Territory law	Magistrates Court County Court or District Court Supreme Court
Local council or shire	Local council with limitations from the State or Territory	By-laws	Magistrates Court



Australian Legal System

Watch: How laws are made - Courts

https://www.youtube.com/watch?v=Vb9JjncNq3k



Laws from each level of government

Level	Type of law	Examples of laws at each level
Commonwealth (Federal)	Federal law	Family Law Social Security Law Income Tax Law
State / Territory	State law or Territory law	Child Protection Laws Criminal Law Traffic Laws OHS Laws
Local council or shire	By-laws	Parking Laws, Laws about pets such as dogs. Use of public spaces such as parks







"A system of rules and principles, formally created, which governs specified areas of human activity."

Bates et al (1985) The Australian Social Worker and the Law, 2nd ed, Law Book Co. p.1



Australian legal system

- Discuss what you know about the Australian legal system
- Constitution
- Referendum
- Plebiscite
- Head of Australia
- Levels of Government
- Elections



Australian Parliaments: Structure and Functions

- Commonwealth
- Parliament

Queen's Representative

Governor General

Upper House (76)

Senate

Lower House (150)

House of Representatives

Victorian
 Parliament

Queen's Representative

Governor

Upper House (40)

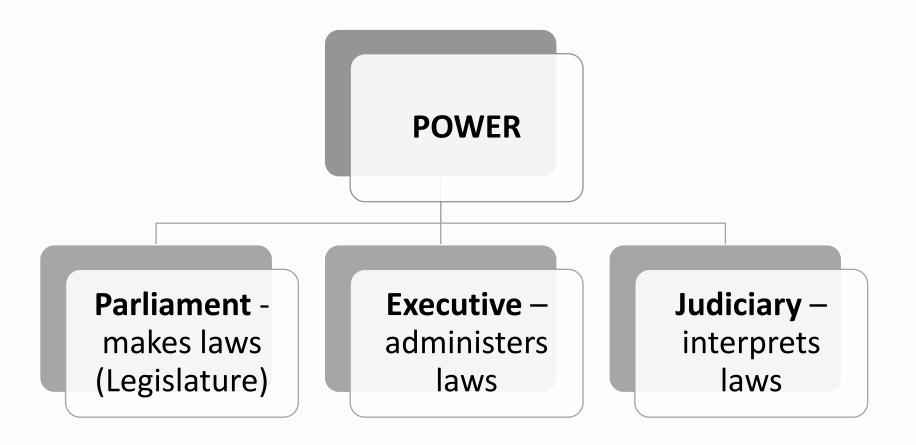
Legislative Council

Lower House (88)

Legislative Assembly



Separation of Powers





What are rights?

- "...generally a benefit or claim entitling a person to be treated in a certain way."
- In terms of the rights of clients of community services organisations —the term rights may be used to describe the fundamental and basic entitlements or expectations of the person (and others) in relation to the way an organisation operates, how it treats people and the services it provides.



Rights & Community service organisations

 How do community services organisation's and workers assist service users to find out about and understand what their rights are?



- Know that they exist and comply with them
- Inform clients –through written information such as client charters and service information
- Inform clients -through verbal information such as explaining in appropriate language at appropriate times and giving examples
- Refer service users to other workers/organisations, if necessary,
- Refer service users to other workers/organisations, if necessary, to help them understand and protect their rights.
- Why do community service organisations need to do all this for the service users?



Legal compliance







... Next session

- Information required for legal compliance
- Evaluating your work area
- Breaches of compliance
- Compliance and seeking specialist legal advice



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