

Lecture 3

CIS 3410/7000: COMPILERS



OCaml Demo

simple.ml
translate.ml

Concepts from the Demo

- “Object” vs. “Meta” language:
 - Object language: the language being represented, manipulated, analyzed and transformed
 - Metalanguage: the language in which the object language representation and transformations are implemented
 - SIMPLE vs. OCaml
- “Interpretation” vs. “Compilation”
 - Interpreter: uses the features of the metalanguage to evaluate an object-language program, producing a result
 - Compiler: translates the object language to another (often lower level) object language
- “Static” vs. “Dynamic”:
 - Static = determined before the program is executed
 - Dynamic = determined while the program is running

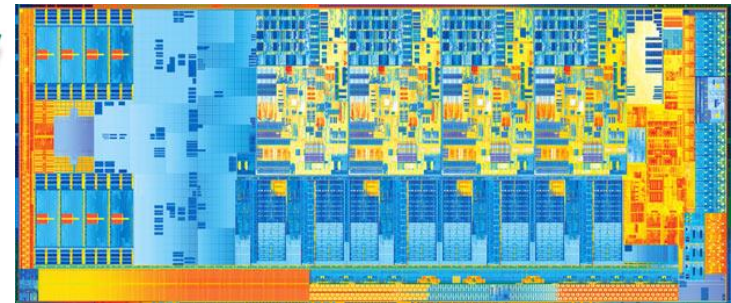
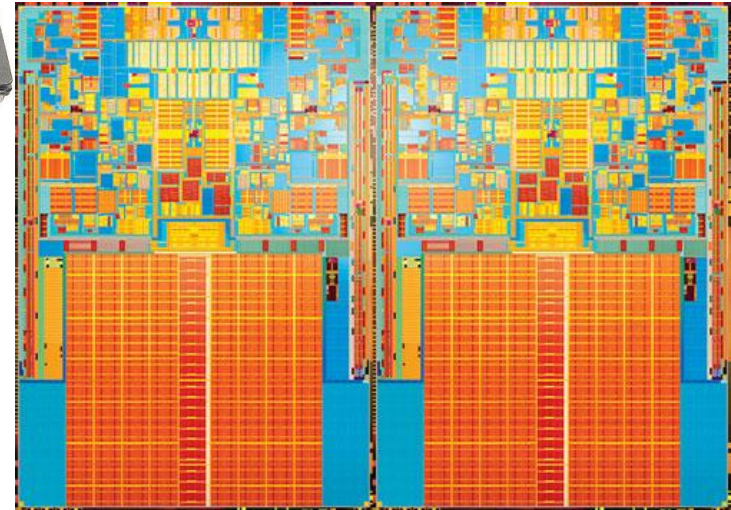
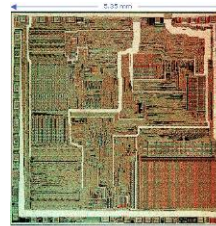
The target architecture for CIS3410

X86LITE

Intel's X86 Architecture



- 1978: Intel introduces 8086
- 1982: 80186, 80286
- 1985: 80386
- 1989: 80486 (100MHz, 1 μ m)
- 1993: Pentium
- 1995: Pentium Pro
- 1997: Pentium II/III
- 2000: Pentium 4
- 2003: Pentium M, Intel Core
- 2006: Intel Core 2
- 2008: Intel Core i3/i5/i7
- 2011: SandyBridge / IvyBridge
- 2013: Haswell
- 2014: Broadwell
- 2015: Skylake (core i3/i5/i7/i9 4GHz, 14nm)
- 2016: Xeon Phi
- 2017-2021: Intel Sunny Cove (Ice Lake-U and Y), Cypress Cove (Rocket Lake)
- 2020: Willow Cove
- 2022: Raptor Cove



My first Intel was a
Pentium 4.



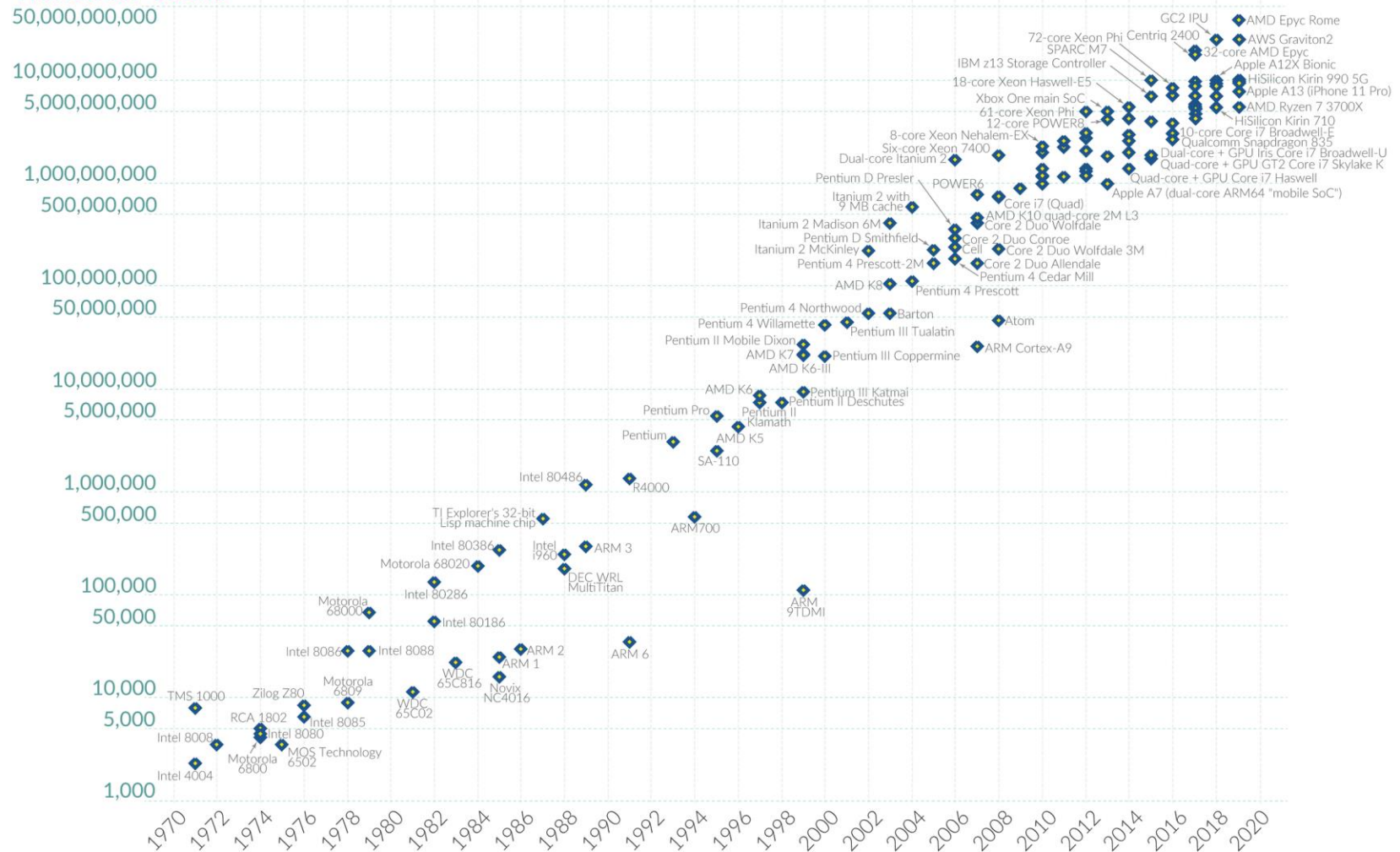
X86 Evolution & Moore's Law

Moore's Law: The number of transistors on microchips doubles every two years

Moore's law describes the empirical regularity that the number of transistors on integrated circuits doubles approximately every two years. This advancement is important for other aspects of technological progress in computing – such as processing speed or the price of computers.

Our World
in Data

Transistor count



Data source: Wikipedia (wikipedia.org/wiki/Transistor_count)

OurWorldinData.org – Research and data to make progress against the world's largest problems.

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X86 vs. X86lite

- X86 assembly is *very* complicated:
 - 8-, 16-, 32-, 64-bit values + floating points, etc.
 - Intel 64 and IA 32 architectures have a *huge* number of functions
 - "CISC" - complex instructions
 - Machine code: instructions range in size from 1 byte to 17 bytes
 - Lots of hold-over design decisions for backwards compatibility
 - Hard to understand
- X86lite is a *very* simple subset of X86:
 - Only 64-bit signed integers (no floating point, no 16bit, no ...)
 - Only about 20 instructions
 - Sufficient as a target language for general-purpose computing



Intel® 64 and IA-32 Architectures Software Developer's Manual

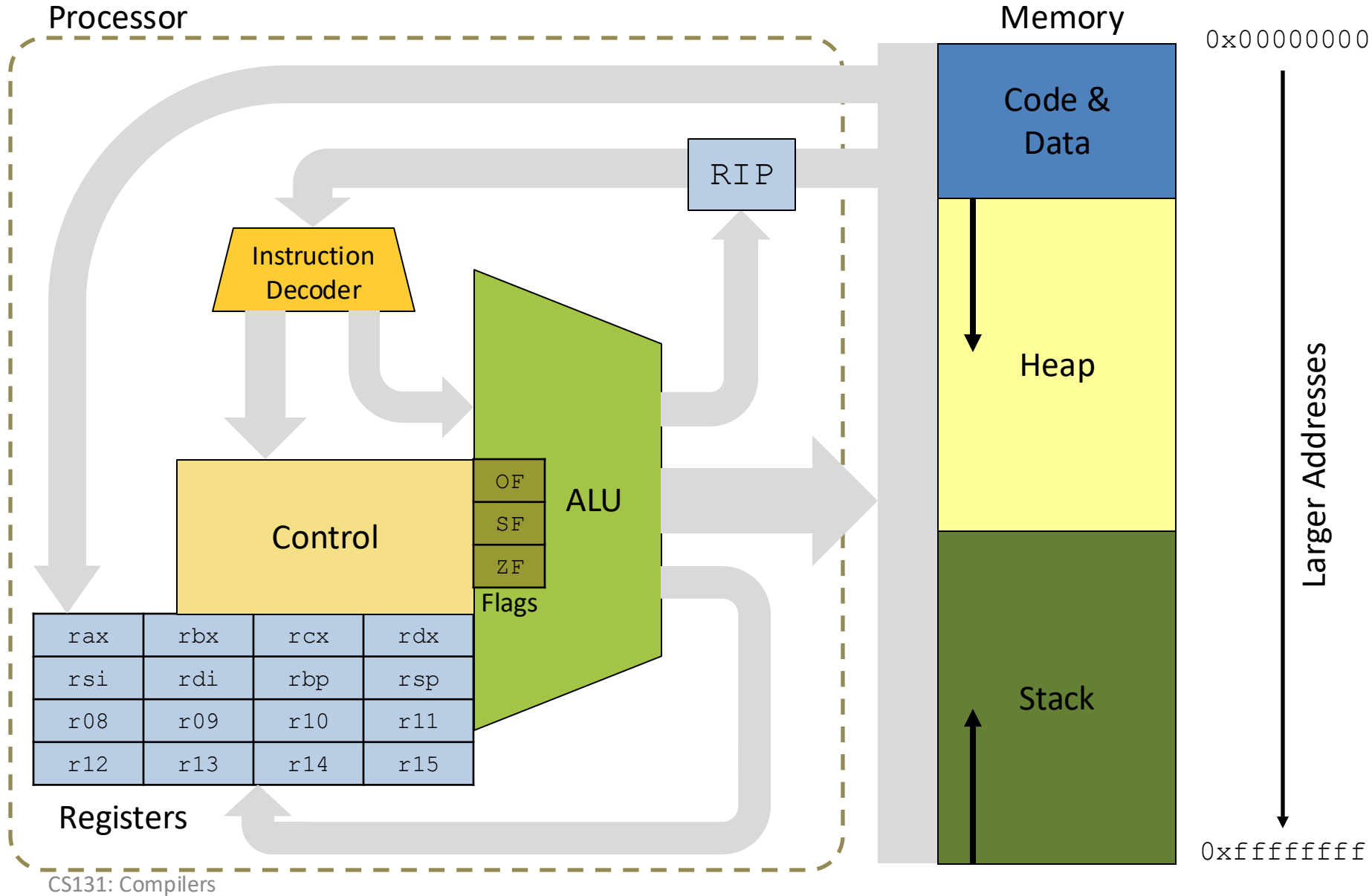
Volume 1:
Basic Architecture

NOTE: The Intel® 64 and IA-32 Architectures Software Developer's Manual consists of ten volumes: Basic Architecture, Order Number 253665; Instruction Set Reference, A-L, Order Number 253666; Instruction Set Reference, M-U, Order Number 253667; Instruction Set Reference, V, Order Number 326018; Instruction Set Reference, W-Z, Order Number 334569; System Programming Guide, Part 1, Order Number 253668; System Programming Guide, Part 2, Order Number 253669; System Programming Guide, Part 3, Order Number 326019; System Programming Guide, Part 4, Order Number 332831; Model-Specific Registers, Order Number 335592. Refer to all ten volumes when evaluating your design needs.

Order Number: 253665-082US
December 2023

~500 Pages for
Volume 1
"Basic Architecture"

X86 Schematic



X86lite Machine State: Registers

- Register File: 16 64-bit registers
 - `rax` general purpose accumulator
 - `rbx` base register, pointer to data
 - `rcx` counter register for strings & loops
 - `rdx` data register for I/O
 - `rsi` pointer register, string source register
 - `rdi` pointer register, string destination register
 - `rbp` base pointer, points to the stack frame
 - `rsp` stack pointer, points to the top of the stack
 - `r08–r15` general purpose registers
- `rip` a “virtual” register, points to the current instruction
 - `rip` is modified only indirectly via jumps and return, but it can be mentioned as a register elsewhere

Simplest instruction: mov

- `movq SRC, DEST` copy SRC into DEST
- Here, DEST and SRC are *operands*
- DEST is treated as a *location*
 - A location can be a register or a memory address
- SRC is treated as a *value*
 - A value is the *contents* of a register or memory address
 - A value can also be an *immediate* (constant) or a label
- `movq $4, %rax` `// move the 64-bit immediate value 4 into rax`
- `movq %rbx, %rax` `// move the contents of rbx into rax`

A Note About Instruction Syntax

- X86 presented in *two* common syntax formats

- AT&T notation: source *before* destination

- Prevalent in the Unix/Mac ecosystems
- Immediate values prefixed with '\$'
- Registers prefixed with '%'
- Mnemonic suffixes: `movq` vs. `mov`
 - q = quadword (4 words)
 - l = long (2 words)
 - w = word
 - b = byte

```
movq $5, %rax
```

```
movl $5, %eax
```

src dest

Note: X86lite uses the AT&T notation and the 64-bit only version of the instructions and registers.

- Intel notation: destination *before* source
 - Used in the Intel specification / manuals
 - Prevalent in the Windows ecosystem
 - Instruction variant determined by register name

```
mov rax, 5
```

```
mov eax, 5
```

dest src

X86lite Arithmetic instructions

- `negq DEST` two's complement negation
- `addq SRC, DEST` $DEST \leftarrow DEST + SRC$
- `subq SRC, DEST` $DEST \leftarrow DEST - SRC$
- `imulq SRC, Reg` $Reg \leftarrow Reg * SRC$ (truncated 128-bit mult.)

Examples as written in:

```
addq %rbx, %rax    // rax ← rax + rbx
subq $4,  rsp      // rsp ← rsp - 4
```

- Note: `Reg` (in `imulq`) must be a register, not a memory address

X86lite Logic/Bit manipulation Operations

- `notq DEST` logical negation
- `andq SRC, DEST` $DEST \leftarrow DEST \&\& SRC$
- `orq SRC, DEST` $DEST \leftarrow DEST \|\| SRC$
- `xorq SRC, DEST` $DEST \leftarrow DEST \text{ xor } SRC$

- `sarq Amt, DEST` $DEST \leftarrow DEST \gg amt$ (arithmetic shift right)
- `shlq Amt, DEST` $DEST \leftarrow DEST \ll amt$ (arithmetic shift left)
- `shrq Amt, DEST` $DEST \leftarrow DEST \ggg amt$ (bitwise shift right)

X86 Operands

- Operands are the values operated on by the assembly instructions
- Imm 64-bit literal signed integer “immediate”
- Lbl a “label” representing a machine address
the assembler/linker/loader resolve labels
- Reg One of the 16 registers, the value of a register is
its contents
- Ind [base:Reg][index:Reg,scale:int32][disp]
machine address (see next slide)

X86 Addressing

- In general, there are three components of an indirect address
 - **Base:** a machine address stored in a register
 - **Index * scale:** a variable offset from the base
 - **Disp:** a constant offset (displacement) from the base
- $\text{addr}(\text{ind}) = \text{Base} + [\text{Index} \times \text{scale}] + \text{Disp}$
 - When used as a *location*, ind denotes the address $\text{addr}(\text{ind})$
 - When used as a *value*, ind denotes $\text{Mem}[\text{addr}(\text{ind})]$, the contents of the memory address
- Example: $-4(\%rsp)$ denotes address: $rsp - 4$
- Example: $(\%rax, \%rcx, 4)$ denotes address: $rax + 4 * rcx$
- Example: $12(\%rax, \%rcx, 4)$ denotes address: $rax + 4 * rcx + 12$
- Note: Index cannot be `rsp`

Note: X86lite does not need this full generality. It does not use $\text{index} \times \text{scale}$.

X86lite Memory Model

- The X86lite memory consists of 2^{64} bytes numbered `0x00000000` through `0xffffffff`.
- X86lite treats the memory as consisting of 64-bit (8-byte) quadwords.
- Therefore: legal X86lite memory addresses consist of 64-bit, quadword-aligned pointers.
 - All memory addresses are evenly divisible by 8
- `leaq Ind, DEST` $DEST \leftarrow \text{addr}(\text{Ind})$ loads a pointer into DEST
- By convention, there is a stack that grows from high addresses to low addresses
- The register `rsp` points to the top of the stack
 - `pushq SRC` $rsp \leftarrow rps - 8; \text{Mem}[rsp] \leftarrow \text{SRC}$
 - `popq DEST` $DEST \leftarrow \text{Mem}[rsp]; rsp \leftarrow rsp + 8$

X86lite State: Condition Flags & Codes

- X86 instructions set flags as a side effect
- X86lite has only 3 flags:
 - OF: “**overflow**” set when the result is too big/small to fit in 64-bit reg.
 - SF: “**sign**” set to the sign of the result (0=positive, 1 = negative)
 - ZF: “**zero**” set when the result is 0
- From these flags, we can define *Condition Codes*
 - To compare SRC1 and SRC2, compute SRC1 – SRC2 to set the flags
 - `eq` equality holds when ZF is set
 - `ne` inequality holds when (not ZF)
 - `gt` greater than holds when (not `le`) holds,
 - i.e. $(SF = OF) \ \&\& \ \text{not}(ZF)$
 - `lt` less than holds when $SF \neq OF$
 - Equivalently: $((SF \ \&\& \ \text{not } OF) \ || \ (\text{not } SF \ \&\& \ OF))$
 - `ge` greater or equal holds when (not `lt`) holds, i.e. $(SF = OF)$
 - `le` less than or equal holds when $SF \neq OF$ or ZF

Code Blocks & Labels

- X86 assembly code is organized into *labeled blocks*:

```
label1:  
    <instruction>  
    <instruction>  
    ...  
    <instruction>  
  
label2:  
    <instruction>  
    <instruction>  
    ...  
    <instruction>
```

- Labels indicate code locations that can be jump targets (either through conditional branch instructions or function calls).
- Labels are translated away by the linker and loader – instructions live in the heap in the “code segment”
- An X86 program begins executing at a designated code label (usually “main”).

Conditional Instructions

- `cmpq SRC1, SRC2` Compute $SRC2 - SRC1$, set condition flags
- `setbCC DEST` $DEST's\ lower\ byte \leftarrow$ if CC then 1 else 0
- `jCC SRC` $rip \leftarrow$ if CC then SRC else fallthrough

- Example:

```
cmpq %rcx, %rax  
je __truelbl
```

Compare `rax` to `ecx`
If `rax = rcx` then jump to `__truelbl`

Jumps, Call and Return

- `jmp SRC` $rip \leftarrow SRC$ Jump to location in SRC
- `callq SRC` Push `rip`; $rip \leftarrow SRC$
 - Call a procedure: Push the program counter to the stack (decrementing `rsp`) and then jump to the machine instruction at the address given by SRC.
- `retq` Pop into `rip`
 - Return from a procedure: Pop the current top of the stack into `rip` (incrementing `rsp`).
 - This instruction effectively jumps to the address at the top of the stack

See file: x86.ml

IMPLEMENTING X86LITE

See: runtime.c

DEMO: HANDCODING X86LITE

Compiling, Linking, Running

- To use hand-coded X86:
 1. Compile main.ml (or something like it) to either native or bytecode
 2. Run it, redirecting the output to some .s file, e.g.:
`./main.exe >> test.s`
 3. Use gcc to compile & link with runtime.c:
`gcc -o test runtime.c test.s`
 4. You should be able to run the resulting executable:
`./test`
- If you want to debug in gdb:
 - Call gcc with the `-g` flag too