

## Dynamic Programming Lecture 1

Sunday, 25 August 2024 2:04 PM

What is Dynamic Programming?

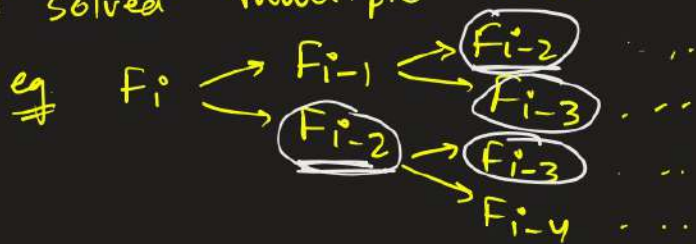
- > DP is an algorithmic technique to solve optimization problems where the solution can be constructed from solutions of subproblems
- > The key idea is to avoid recomputing solutions to subproblems that have already been solved.
- > Commonly used in the problems that exhibit overlapping subproblems and optimal substructure
- > Real-life applications of DP include shortest paths, knapsack, sequence alignment in bioinformatics.

eg. Find the  $n^{\text{th}}$  Fibonacci number.

$$F_0 = 0, \quad F_1 = 1, \quad F_i = \underline{F_{i-1}} + \underline{F_{i-2}}$$

$$F_i = \begin{cases} F_{i-1} + F_{i-2}, & i > 1 \\ 1, & i = 1 \\ 0, & i = 0 \end{cases}$$

Overlapping Subproblems :- Problems where smaller problems can be solved multiple times



... to the original problem

Optimal substructure:- An optimal solution to the original problem can be constructed using the optimal solution of the subproblems.

eg. Rod cutting problem

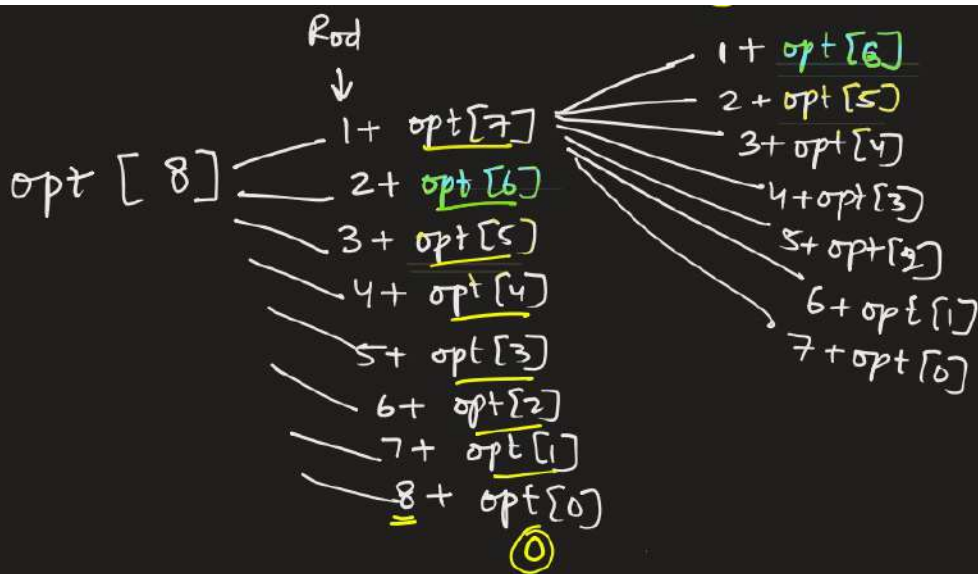
Given a rod of length  $n$  and a list of prices for each length, determine the maximum revenue that can be obtained by cutting the rod and selling the parts.



Rod, length = 8

price = [1, 5, 8, 9, 10, 17, 17, 20]

len 1 (2) 3 4 5 (6) 7 8



Cutting

$$\text{maxProfit}(n) = \begin{cases} \max_{1 \leq i \leq n} [\text{profit}[i] + \text{maxProfit}(n-i)] \\ 0, \quad n=0 \end{cases}$$

$$\text{maxProfit}(3) = \max \left[ \begin{aligned} &(\text{profit}(1) + \text{maxProfit}(2)), \\ &(\text{profit}(2) + \text{maxProfit}(1)), \\ &(\text{profit}(3) + \text{maxProfit}(0)) \end{aligned} \right]$$

=x=

Memoization (Top-Down approach)

↳ We store the results of subproblems to avoid redundant calculations. (implementing a cache for subproblem solutions)

## Fibonacci problem

$$F_i = \begin{cases} F_{i-1} + F_{i-2}, & i > 1 \\ 1, & i = 1 \\ 0, & i = 0 \end{cases}$$

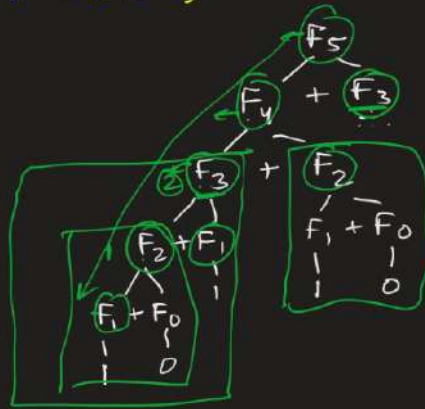
### Simple Recursion:-

function fib(n) :

```
if n == 0:
    return 0
```

```
if n == 1:
    return 1
```

```
return fib(n-1)+fib(n-2)
```



$$T = O(z^n)$$

$$S = O(2^n)$$

$$F_5 = F_1 + F_3$$

$$= F_3 + F_2 + F_2 + F_1$$

$$= F_2 + F_1 + F_1 + F_0 + F_1 + F_0 + F_1$$

$$= F_1 + F_0 + F_1 + F_1 + F_0 + F_1 + F_0 + F_1$$

$F_S \rightarrow 2$   
 $F_u \rightarrow 2$

$F_5 \rightarrow 2$   
 $F_4 \rightarrow 2$   
 $\vdots$

$2 \cdot 2 \cdot 2 \cdots 2$   
 $\underbrace{\hspace{1cm}}_n$   
 $O(2^n)$

$$O(2^n)$$

Pseudo code for memoization based fibonacci:

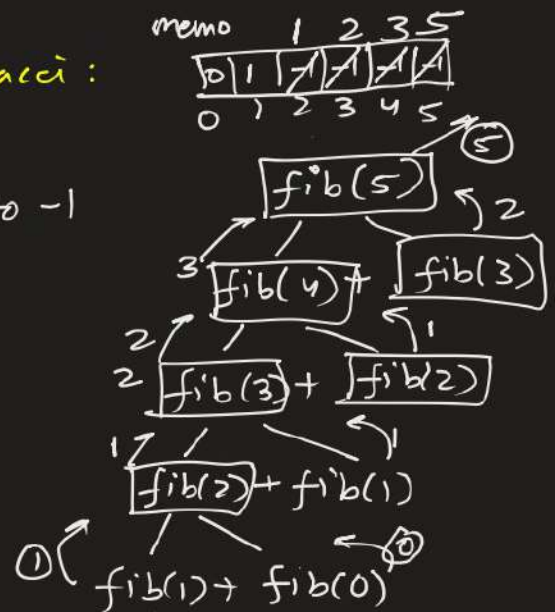
memo = array of size  $n+1$ , initialized to -1  
 $\text{memo}[0] = 0, \text{memo}[1] = 1$

function  $\text{fib}(n)$ :

if  $\text{memo}[n] \neq -1$ :  
return  $\text{memo}[n]$

$\text{memo}[n] = \text{fib}(n-1) + \text{fib}(n-2)$

return  $\text{memo}[n]$



$$T = O(n)$$

$$S = O(n)$$



## Rod-Cutting Problem

$$\text{maxProfit}(n) = \begin{cases} \max_{1 \leq i \leq n} [\text{profit}[i] + \text{maxProfit}(n-i)] & \text{Cutting} \\ 0, & n=0 \end{cases}$$

+++++ Rod, length = 8  
 price = [1, 5, 8, 9, 10, 17, 17, 20]  
 len 1 2 3 4 5 6 7 8

Simple Recursion:-

maxProfit(n, profit[]):

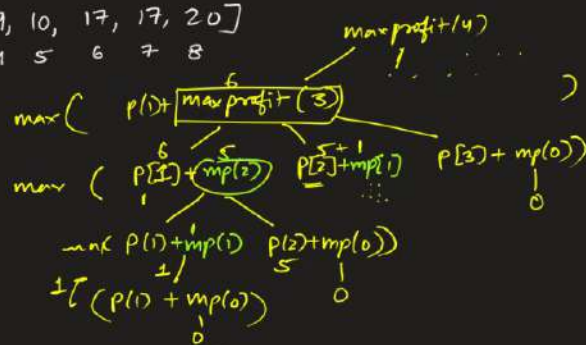
if n == 0:  
 return 0

mp = 0

for (i: 1 → n)

mp = max(mp, profit[i] + maxProfit(n-i))

return mp



mp(n) → n, n-1, n-2, ..., 1, 0  
 O(n<sup>2</sup>)



Pseudo code with memoization:

memo = array of size  $n+1$ , initialized to  $-1$   
memo[0] = 0

$$\begin{aligned} T &= O(n^2) \\ S &= O(n) \end{aligned}$$

maxProfit( $n$ , profit[]):

if memo[n]  $\neq -1$ :  
return memo[n]

for ( $i: 1 \rightarrow n$ )

memo[n] = max(memo[n], profit[i] + maxProfit(n-i))

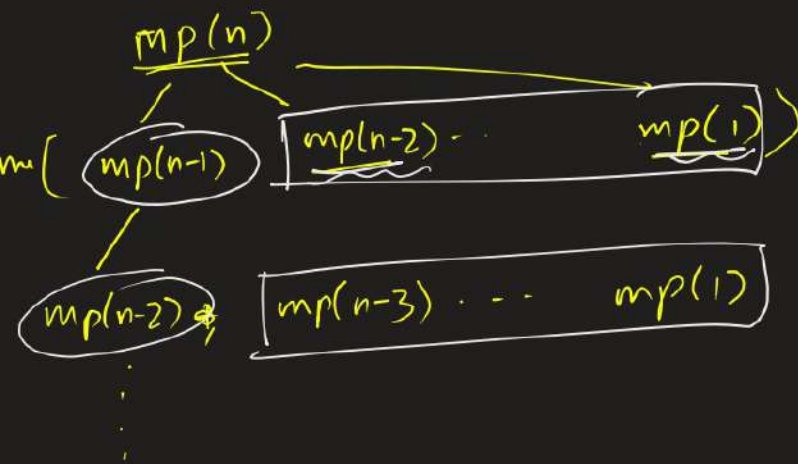
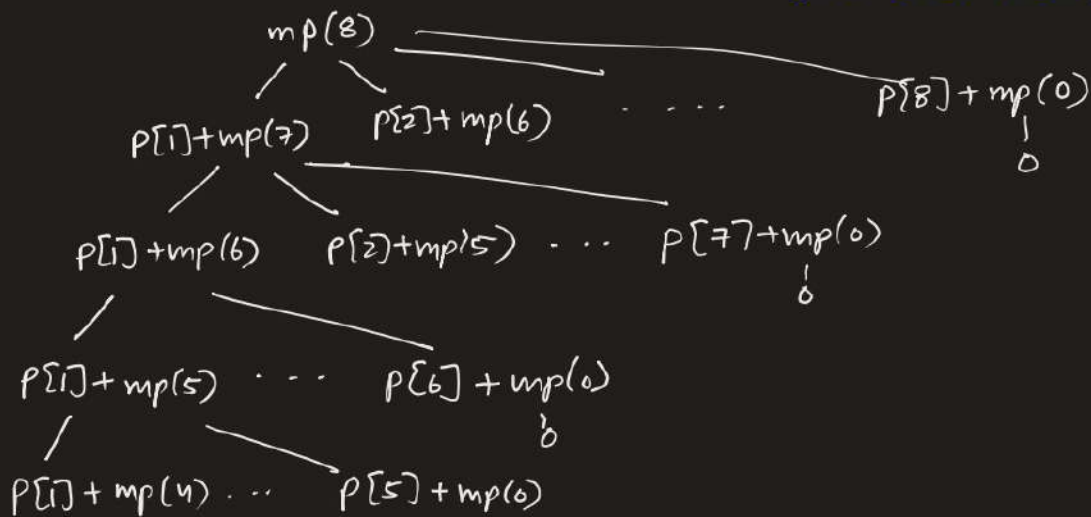
return memo[n]

Rod, length = 8

price = [1, 5, 8, 9, 10, 17, 17, 20]

len 1 2 3 4 5 6 7 8

0	1	5	8	10	13	17	18	22
0	/	/	/	/	/	/	/	/
0	1	2	3	4	5	6	7	8



$$\begin{aligned}
 & \frac{n-1}{2} \\
 & + \\
 & \frac{n-2}{2} \\
 & + \\
 & n-3 \\
 & + \\
 & \vdots \\
 & 1
 \end{aligned}$$

$$\begin{aligned}
 T &= \\
 1 + 2 + 3 + \dots + n-1 \\
 &= \boxed{O(n^2)}
 \end{aligned}$$

$$S = O(n)$$

## Tabulation Method (Bottom-up Approach)

↳ Solve the problem by iteratively solving subproblems & building up the solution from the base cases.

Fibonacci Problem:

$$f_i = \begin{cases} f_{i-1} + f_{i-2}, & i > 1 \\ 1, & i = 1 \\ 0, & i = 0 \end{cases}$$

function fib(n):

dp = array of length n+1, initialized to -1

dp[0] = 0

dp[1] = 1

for (i: 2 → n)

dp[i] = dp[i-1] + dp[i-2]

return dp[n]

0	1	1	2	3	5	8	13
0	1	2	3	4	5	6	7

T = O(n)  
S = O(n)

```

function fib(n):
    if (n ≤ 1) return n;
    last = 1, secondlast = 0, temp
    for (i: 2 → n)
        temp = last
        last = last + secondlast
        secondlast = temp
    return last

```

$$\boxed{T = O(n), S = O(1)}$$

fib(5)

last = ~~1~~ 2 <sup>3</sup> 5    sl = ~~0~~ 1 <sup>2</sup> 3

i=2   t=1,   last = 1,   sl = 1

i=3   t=1,   last = 2,   sl = 1

i=4   t=2,   last = 3,   sl = 2

i=5   t=3,   last = 5,   sl = 3

return 5

## Rod Cutting Problem

$$\text{maxProfit}(n) = \begin{cases} \max_{1 \leq i \leq n} [\text{profit}[i] + \text{maxProfit}(n-i)] & \text{Cutting} \\ 0, & n=0 \end{cases}$$

function maxProfit(n, profit[]):

dp = array of size n+1, initialized to -1

dp[0] = 0

for (k: 1 → n):

for (i: 1 → k):

dp[k] = max(dp[k], profit[i] + dp[k-i])

return dp[n]

$$\boxed{\begin{array}{l} T = O(n^2) \\ S = O(n) \end{array}}$$

What is the time complexity of calculating the  $n$ th Fibonacci number using a naive recursive approach?

- A.  $O(n)$
- B.  $O(n^2)$
- ☒ C.  $O(2^n)$
- D.  $O(\log n)$

Naive Recursion:  $O(2^n)$ , DP:  $O(n)$

-x-

Which of the following statements is/are true about dynamic programming?

- ☒ A. Dynamic Programming is an optimization technique.
  - ☒ B. Memoization is a technique used in dynamic programming.
  - ☒ C. Dynamic programming always provides the optimal solution.  $\leftarrow$  optimal substructure must exist.
  - ☒ D. Every problem that can be solved using backtracking can also be solved using dynamic programming.
- $\rightarrow$  optimal substructure + overlapping subproblems.

You are given a rod of length 5 units. The prices for lengths 1, 2, 3, 4, and 5 are as follows:

**Length Price**

1	4
2	5
3	6
4	$P_4$
5	$P_5$

What are the possible values of  $P_4$  and  $P_5$  that would allow you to achieve the maximum profit that can be obtained by cutting the rod and selling the pieces as 22 units?

- ☒ A.  $P_4 = 18, P_5 = 20$
- ☒ B.  $P_4 = 18, P_5 = 22$
- ☒ C.  $P_4 = 20, P_5 = 22$
- ☒ D.  $P_4 = 8, P_5 = 22$

Sol.

len	1	2	3	4	5
Profit	4	5	6	$P_4$	$P_5$

$$1+4 \rightarrow 4+P_4$$

$$5 \rightarrow P_5$$

A	B	C	D
<u>22</u>	<u>22</u>	<u>24</u>	12
20	<u>22</u>	22	<u>22</u>

S:

$$\left\{ \begin{array}{l} 1+4 \rightarrow 4+P_4 \\ 2+3 \rightarrow \textcircled{11} \times \\ 1+1+3 \rightarrow 4 \times 2 + 6 = \textcircled{14} \times \\ 1+2+2 \rightarrow 4+5 \times 2 = \textcircled{14} \times \\ 1+1+1+2 \rightarrow 4 \times 3 + 5 = \textcircled{17} \times \\ 1+1+1+1+1 \rightarrow 4 \times 5 = \textcircled{20} \times \\ \underline{5} \rightarrow P_5 \end{array} \right.$$