## Nondeterministic Program (Continue)

(Denotational Semantics of Nondeterministic Program)

- Because of the nature of nondeterminism, we can end up with more than one state when we finish the execution of a nondeterministic program.
  - O We use Σ to denote the set of all (well-formed) states. We denote  $\Sigma_{\perp} = \Sigma \cup \{\bot\} = \Sigma \cup \{\bot_d, \bot_e\}$ .
  - The denotational semantics of a deterministic program is a "single (possibly pseudo) state":  $M(S, \sigma) = \{\tau\}$  where  $\langle S, \sigma \rangle \to^* \langle E, \tau \rangle$  and  $\tau \in \Sigma_\perp$ .
  - The denotational semantics of a nondeterministic program is a set of (possibly pseudo) states:  $M(S, \sigma) = \{\tau \in \Sigma_{\perp} \mid \langle S, \sigma \rangle \rightarrow^* \langle E, \tau \rangle \}$ .
- 1. Calculate denotational semantics for each of the following nondeterministic statements and states.
  - a.  $S \equiv \mathbf{if} \ T \to x \coloneqq 0 \ \Box \ T \to x \coloneqq 1 \ \mathbf{fi}, \ \sigma = \emptyset$ Since it is possible to have  $\langle S, \sigma \rangle \to^* \langle E, \{x = 0\} \rangle$  and  $\langle S, \sigma \rangle \to^* \langle E, \{x = 1\} \rangle$ , thus  $M(S, \sigma) = \{\{x = 0\}, \{x = 1\}\}$ .
  - b.  $S \equiv \mathbf{if} \ F \to x \coloneqq 0 \square F \to x \coloneqq 1 \square T \to \mathbf{skip} \ \mathbf{fi}, \sigma = \emptyset$ There is only one true guard, so  $\langle S, \sigma \rangle \to \langle \mathbf{skip}, \sigma \rangle \to \langle E, \emptyset \rangle$ , thus  $M(S, \sigma) = \{\emptyset\}$ . Remind that, we cannot omit the " $\{\}$ " when there is only  $\emptyset$ , " $M(S, \sigma) = \emptyset$ " looks like we are saying S doesn't have denotational semantics.
  - c.  $S \equiv \mathbf{if} \ x \ge y \to max := x \square x \le y \to max := y \ \mathbf{fi}, \ \sigma = \{x = 1, \ y = 1\}$ No matter which arm, we have max gets bind with value 1. Thus  $M(S, \sigma) = \{\{x = 1, y = 1, max = 1\}\}$ .
  - d.  $S \equiv \operatorname{do} x + y = 2 \rightarrow x \coloneqq y/x \square x + y = 2 \rightarrow x \coloneqq x + 1 \square x + y = 4 \rightarrow y \coloneqq x \square x + y = 4 \rightarrow x \coloneqq x 1; \ y \coloneqq y 1 \ \operatorname{od}$ , and  $\sigma = \{x = 1, \ y = 3\}$ 
    - After the first iteration of the  $\mathbf{do} \mathbf{od}$  loop, we can have these states:  $\{x = 1, y = 1\}, \{x = 0, y = 2\}$ .
    - After the second iteration of the  $\mathbf{do} \mathbf{od}$  loop, we can have these states:  $\{x = 1, y = 1\}$ ,  $\{x = 2, y = 1\}$ ,  $\{x = 1, y = 2\}$ . We noticed that state  $\{x = 1, y = 1\}$  appears again, and it is the only state that can pass some guard in the next iteration; if we keep evaluating S with  $\{x = 1, y = 1\}$  the program will diverge.
    - Thus,  $M(S, \sigma) = \{ \{x = 2, y = 1\}, \{x = 1, y = 2\}, \bot_e, \bot_d \}.$
- From the above examples, we can see that:
  - The denotational semantics of a nondeterministic program can be only one state. Thus, we can say that "if  $M(S, \sigma)$  is a set with more than one state, then S is nondeterministic" but its converse is not true.
  - o For a nondeterministic program S,  $M(S, \sigma)$  might contain pseudo states, and possibly more than one type of pseudo states.
  - We can say  $\tau \in M(S, \sigma)$ , it means that  $\tau$  is one of the possible (pseudo) state after evaluating S in  $\sigma$ ; there might be other states. Similarly, we can also say  $\{\tau_1, \tau_2\} \subseteq M(S, \sigma)$ , it means that  $\tau_1, \tau_2$  are two of the possible (pseudo) states after evaluating S in  $\sigma$ .

- 2. Given three sorted (into non-decreasing order) size-n arrays  $b_0, b_1$  and  $b_2$ , are there valid indices  $k_0, k_1$  and  $k_2$  such that  $b_0[k_0] = b_1[k_1] = b_2[k_2]$ ? Create a program in our language that can find a set of such  $k_0, k_1$  and  $k_2$  if they exist.
  - O Here we use the most naïve idea: use  $k_0$ ,  $k_1$  and  $k_2$  as pointers and scan three arrays until we find a solution or one of them reaches n.
  - o If we use a deterministic program, I can expect that there will be many different cases in each iteration: we are looking at three values:  $b_0[k_0]$ ,  $b_1[k_1]$  and  $b_2[k_2]$ , each two of them can be =, < or >.
  - $\circ$  It will be much easier if we only have two arrays, for example let's look at only  $b_0[k_0]$  and  $b_1[k_1]$ :
    - If  $b_0[k_0] = b_1[k_1]$ , we are done.
    - If  $b_0[k_0] < b_1[k_1]$ , since arrays are sorted, increasing  $k_1$  can only get a larger number in  $b_1$ , so we should increase  $k_0$ .
    - If  $b_0[k_0] > b_1[k_1]$ , like the previous case, we should increase  $k_1$ .
  - Thus, if we ignore  $b_2$  and ignore the array size, we can come up with a partial solution to this question using a nondeterministic  $\mathbf{do} \mathbf{od}$  statement immediately:

**do** 
$$b_0[k_0] < b_1[k_1] \to k_0 \coloneqq k_0 + 1 \square b_0[k_0] > b_1[k_1] \to k_1 \coloneqq k_1 + 1$$
 **od**

o Similarly, for the other combinations of values, we can come up with some other partial solutions:

**do** 
$$b_0[k_0] < b_2[k_2] \rightarrow k_0 \coloneqq k_0 + 1 \square b_0[k_0] > b_2[k_2] \rightarrow k_2 \coloneqq k_2 + 1$$
 **od do**  $b_1[k_1] < b_2[k_2] \rightarrow k_1 \coloneqq k_1 + 1 \square b_1[k_1] > b_2[k_2] \rightarrow k_2 \coloneqq k_2 + 1$  **od**

Then, we can see the beauty of using a nondeterministic program: we don't need to worry about the overlapping cases, and it is very easy to combine partial solutions. We can come up with the following program:

$$KKK \equiv$$

$$\begin{array}{l} k_0 \coloneqq 0; k_1 \coloneqq 0; k_2 \coloneqq 0; \\ \mathbf{do} \ b_0[k_0] < b_1[k_1] \to k_0 \coloneqq k_0 + 1 \\ \square \ b_0[k_0] > b_1[k_1] \to k_1 \coloneqq k_1 + 1 \\ \square \ b_0[k_0] < b_2[k_2] \to k_0 \coloneqq k_0 + 1 \\ \square \ b_0[k_0] > b_2[k_2] \to k_2 \coloneqq k_2 + 1 \\ \square \ b_1[k_1] < b_2[k_2] \to k_1 \coloneqq k_1 + 1 \\ \square \ b_1[k_1] > b_2[k_2] \to k_2 \coloneqq k_2 + 1 \ \mathbf{od} \end{array}$$

Before discussing the possible outcomes, let's modify KKK. First, let's merge some arms, since each  $k_i := k_i + 1$  appears twice. And we have:

$$\begin{split} \mathit{KKK}_1 &\equiv \\ k_0 &\coloneqq 0; k_1 \coloneqq 0; k_2 \coloneqq 0; \\ \mathbf{do} \ b_0[k_0] &< b_1[k_1] \lor b_0[k_0] < b_2[k_2] \to k_0 \coloneqq k_0 + 1 \end{split}$$

$$\Box b_0[k_0] > b_1[k_1] \lor b_1[k_1] < b_2[k_2] \to k_1 \coloneqq k_1 + 1$$

$$\Box b_0[k_0] > b_2[k_2] \lor b_1[k_1] > b_2[k_2] \to k_2 \coloneqq k_2 + 1 \text{ od}$$

 $\circ$   $KKK_1$  is already pretty good, but we can still simplify each guard. For example, in the first arm, we only need  $b_0[k_0]$  less than something to increase  $k_0$ , so we can come up with:

$$KKK_2 \equiv$$

$$\begin{array}{l} k_0 \coloneqq 0; k_1 \coloneqq 0; k_2 \coloneqq 0; \\ \mathbf{do} \ b_0[k_0] < b_1[k_1] \to k_0 \coloneqq k_0 + 1 \\ \square \ b_1[k_1] < b_2[k_2] \to k_1 \coloneqq k_1 + 1 \\ \square \ b_2[k_2] < b_0[k_0] \to k_2 \coloneqq k_2 + 1 \ \mathbf{od} \end{array}$$

If all three guards are False, then we have  $b_0[k_0] \ge b_1[k_1] \ge b_2[k_2] \ge b_0[k_0]$  which implies an equality among all three values.

- Does  $\bot_e \in M(KKK_2, \sigma)$ ? It is possible. We can increase the value some  $k_i$  from n-1 to n and have runtime error in the next iteration.
- Now, let's take care of  $\perp_e$ . We can simply add some bounds checks in each guard, then:

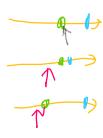
$$\begin{array}{l} \mathit{KKK}_3 \equiv \\ k_0 \coloneqq 0; k_1 \coloneqq 0; k_2 \coloneqq 0; \\ \mathbf{do} \ k_0 < n \land k_1 < n \land b_0[k_0] < b_1[k_1] \to k_0 \coloneqq k_0 + 1 \\ \ \Box \ k_1 < n \land k_2 < n \land b_1[k_1] < b_2[k_2] \to k_1 \coloneqq k_1 + 1 \\ \ \Box \ k_2 < n \land k_0 < n \land b_2[k_2] < b_0[k_0] \to k_2 \coloneqq k_2 + 1 \ \ \mathbf{od} \end{array}$$

O What can we get if we calculate  $M(KKK_3, \sigma)$ , where  $b_0, b_1$  and  $b_2$  are already bind in the state  $\sigma$ ?

- If there is at least one set of  $k_0, k_1$  and  $k_2$  that satisfies the requirements, why is there a  $\tau \in M(KKK_3, \sigma)$  with  $\tau(b_0[k_0]) = \tau(b_1[k_1]) = \tau(b_2[k_2])$ ? For any  $\tau \in M(KKK_3, \sigma)$ , where none of  $\tau(k_i) = n$ ; we must have  $\tau(b_0[k_0]) = \tau(b_1[k_1]) = \tau(b_2[k_2])$ , otherwise at least one of the guards is true.
- If there are multiple solutions, does the program find all of them? No. For each  $k_i$ , its value can increase by at most 1 after each iteration, so to reach the set of "larger" solutions we must go through the set of "smaller" solutions. This is true for all i, so every  $k_i$  will reach the smaller solution first, and when they reach the smaller solution, the loop terminates.
- Does  $\perp_e \in M(KKK_3, \sigma)$ ? No.
- For any  $\tau \in M(KKK_3, \sigma)$ , is it possible that  $\exists 0 \leq i \leq 2. \tau(k_i) > n$ ? None of  $k_0, k_1$  and  $k_2$  can be bind with a value > n; because the value of some  $k_i$  can only increases after it passes the guard, and if the evaluation of  $k_i \geq n$ , then evaluating a guard will return  $\bot_e$ .
- Does  $M(KKK_3, \sigma)$  contain more than one state? If there is at least one solution, then  $M(KKK_3, \sigma) = \{\tau\}$  is only one state, and it contains the smallest solution. If there is no solution, then  $M(KKK_3, \sigma)$  will be a set of states, where each state in the set contains one  $k_i$  binds with n.

## **Correctness Triples**

- A **correctness triple** (a.k.a. "Hoare triple," after C.A.R. Hoare; or usually simplified to "**triple**"), written as  $\{p\}$  S  $\{q\}$  is a program S plus its specification predicates p and q. Note that,  $\{p\}$  and  $\{q\}$  are not states, they are predicates wrapped in " $\{\}$ ".
  - $\circ$  The **precondition** p (not " $\{p\}$ ") describes what we're assuming is true about the state before the program begins.
  - The **postcondition** q (not " $\{q\}$ ") describes what should be true about the state after the program terminates.
  - o Informally, a triple  $\{p\}$  S  $\{q\}$  means "if program S runs in a state that satisfies p, then we can expect the execution of S satisfies q".



- 3. Here are some correctness triple examples.
  - a.  $\{x = 2\} x := x + 3 \{x < 6\}$
  - b.  $\{y = 2\} x := 2; x := 2 + x \{x = 4\}$
  - c.  $\{x \ge 0\} S \{y^2 \le x < (y+1)^2\}$

## (Satisfaction and Validity)

- Informally, a state  $\sigma$  satisfies a triple  $\{p\}$  S  $\{q\}$ , written as  $\sigma \models \{p\}$  S  $\{q\}$ , it means "if  $\sigma$  satisfies p, then after running S in  $\sigma$  we can get a state  $\tau$  who satisfies q".
  - o If  $\sigma \not\models p$ , we don't claim anything about the execution of S in  $\sigma$ . For example, if have state  $\{x=-5\}$  and triple  $\{x \ge 0\}$  S  $\{y^2 \le x < (y+1)^2\}$ . Running S with  $\{x=-5\}$  might give a runtime error or diverge or give a state that doesn't satisfy the postcondition, but here we don't consider any of those situations since  $\{x=-5\}$  doesn't satisfy the precondition  $x \ge 0$ , so  $\{x=-5\} \models \{x \ge 0\}$  S  $\{y^2 \le x < (y+1)^2\}$ .
  - From the above example, we can see that " $\sigma \vDash \{p\} S \{q\}$ " might not give us much information about executing S in  $\sigma$ . But on the other hand, " $\sigma \nvDash \{p\} S \{q\}$ " shows that  $\sigma \vDash p$  and the execution of S in  $\sigma$  doesn't give us a state satisfies q.
- If triple  $\{p\}$  S  $\{q\}$  is satisfied by all states, then we say  $\{p\}$  S  $\{q\}$  is **valid**, written as  $\models \{p\}$  S  $\{q\}$ .
- To sum up, we have:
  - o  $\sigma \models \{p\} S \{q\}$  means  $\sigma$  satisfies the triple.
  - o  $\sigma \not\models \{p\} S \{q\}$  means  $\sigma$  does not satisfy the triple.
  - $\models \{p\} S \{q\}$  means the triple is valid:  $\forall \sigma. \sigma \models \{p\} S \{q\}$ .
  - $\forall \{p\} S \{q\}$  means the triple is invalid:  $\exists \sigma. \sigma \not\models \{p\} S \{q\}$ .
- 4. True or False
  - a.  $\{x = -5\} \models \{x > 0\} x := x + 1 \{x > 0\}$  True
  - b.  $\models \{x > 0\} x := x + 1 \{x > 0\}$  True
  - c.  $\{x = -5\} \models \{x > 0\} x := x 1 \{x > 0\}$  True
  - d.  $\models \{x > 0\} \ x := x 1 \ \{x > 0\}$  False, we can find  $\{x = 1\} \not\models \{x > 0\} \ x := x 1 \ \{x > 0\}$