

## Unit - ②

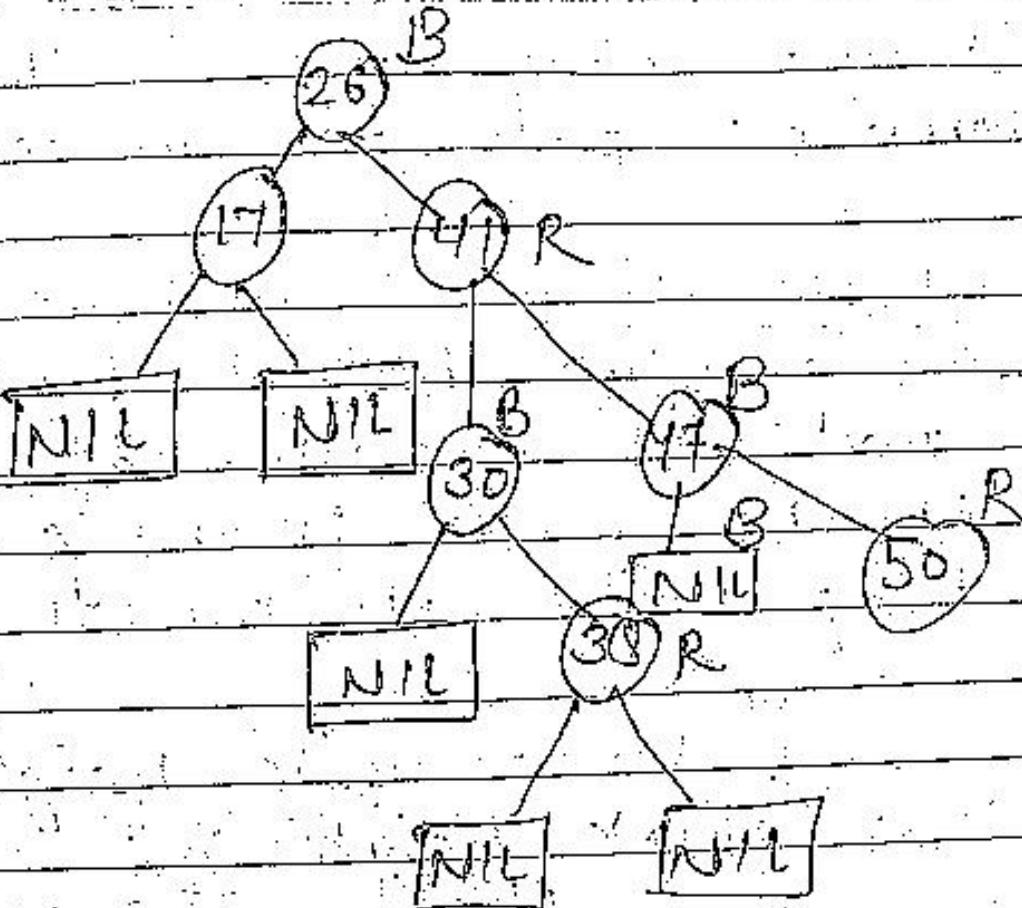
### Advanced data structures

Red-black tree:  $\Rightarrow$  Binary tree with extra attributes, its node color is red & black is as Red-black tree. R-B tree inherit all the attribute from binary search tree.

attribute: key, left, right, parent.

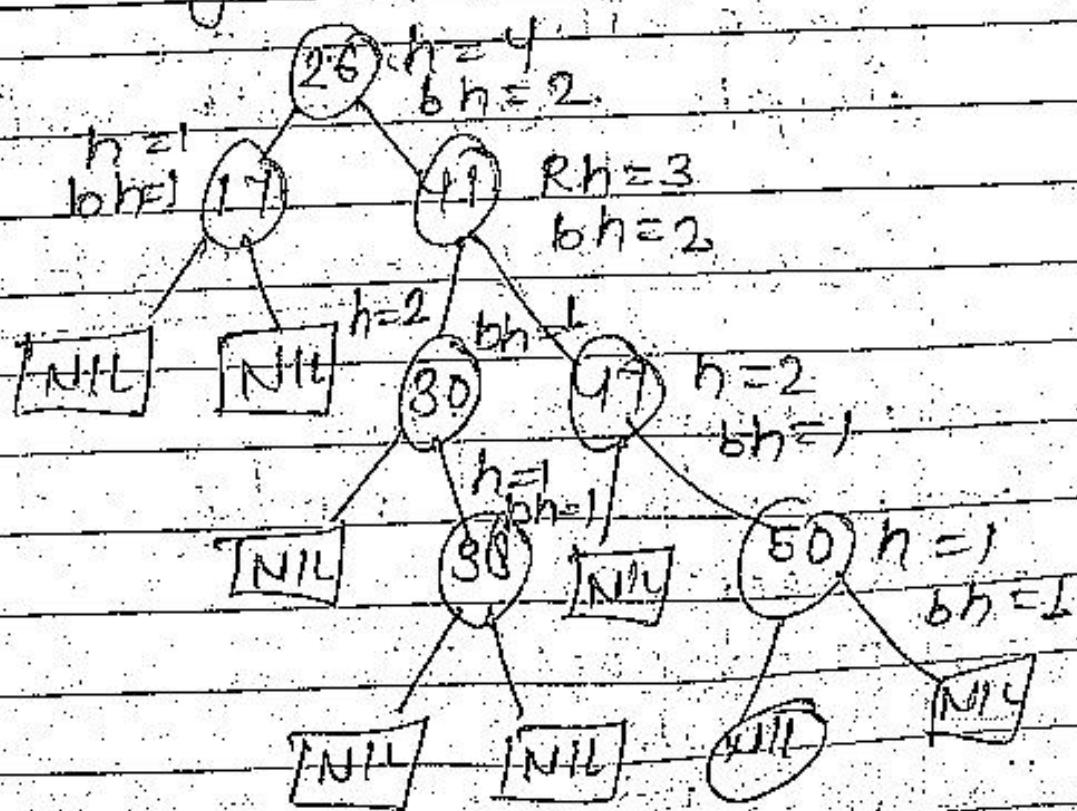
#### Properties of R-B tree: $\Rightarrow$

- ① Every node is either black or red.
- ② Root is always black.
- ③ Every leaf node is black.
- ④ If a node is red then both of its children is black.
- ⑤ Not two red node is a leaf can exist.
- ⑥ Each node from the root path root to leaf node will contain same no. of black node.



$\text{color}[\text{NIL}] = \text{BLACK}$

\* Black height of a node  $\Rightarrow$



no. of edges

Height of a node is the no. of edges in the longest path to the leaf.

black height :- is the no. of black height of b<sub>h</sub>(x). Node is the no. of leaf on the path from x to leaf node. No counting at x.

any node with height h has black height  $\geq \frac{h}{2}$

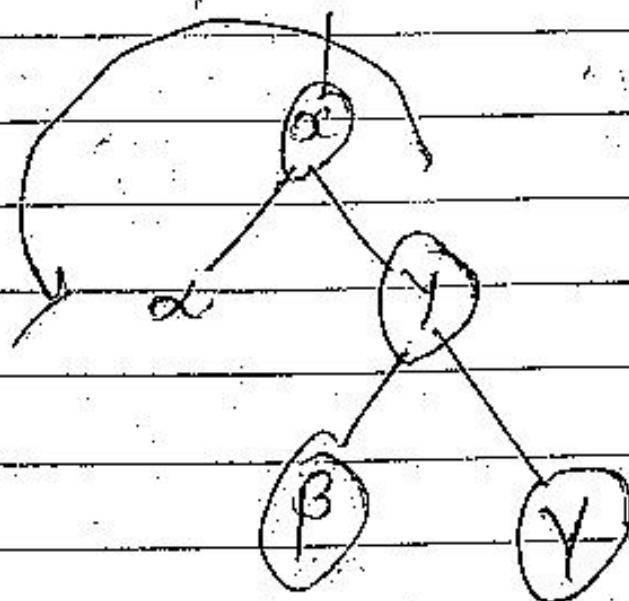
because the property of RB tree (if the node is red both of children is black) hence two red node in a row on a simple path can't exist).

Operations on RB trees :-

- minimum find
- maximum node
- successor
- predecessor
- search
- insert
- delet.

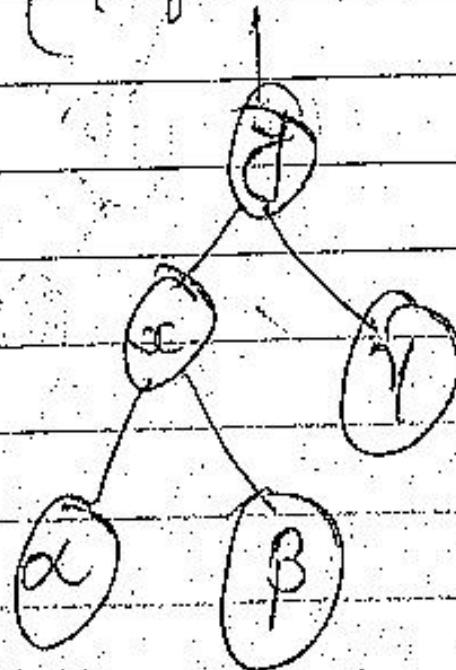
rotation  $\Rightarrow$  To maintain the property of R-B tree & B-S tree.

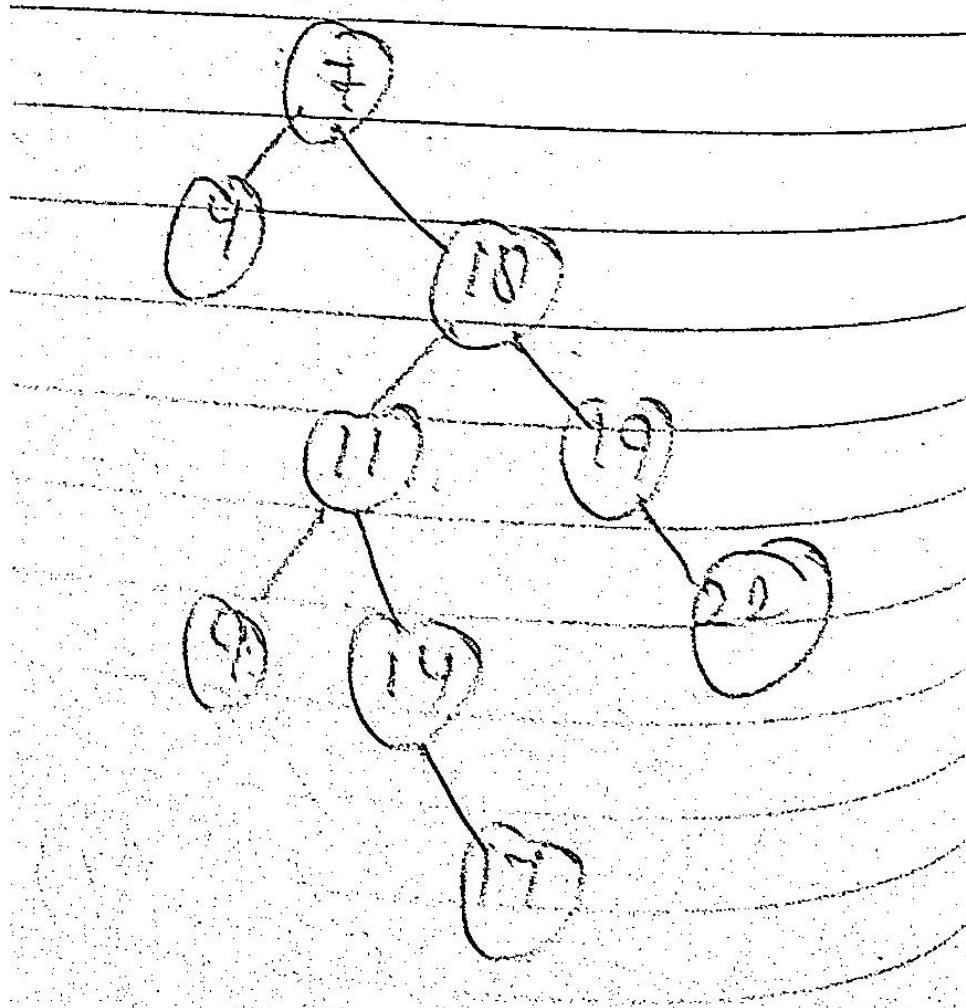
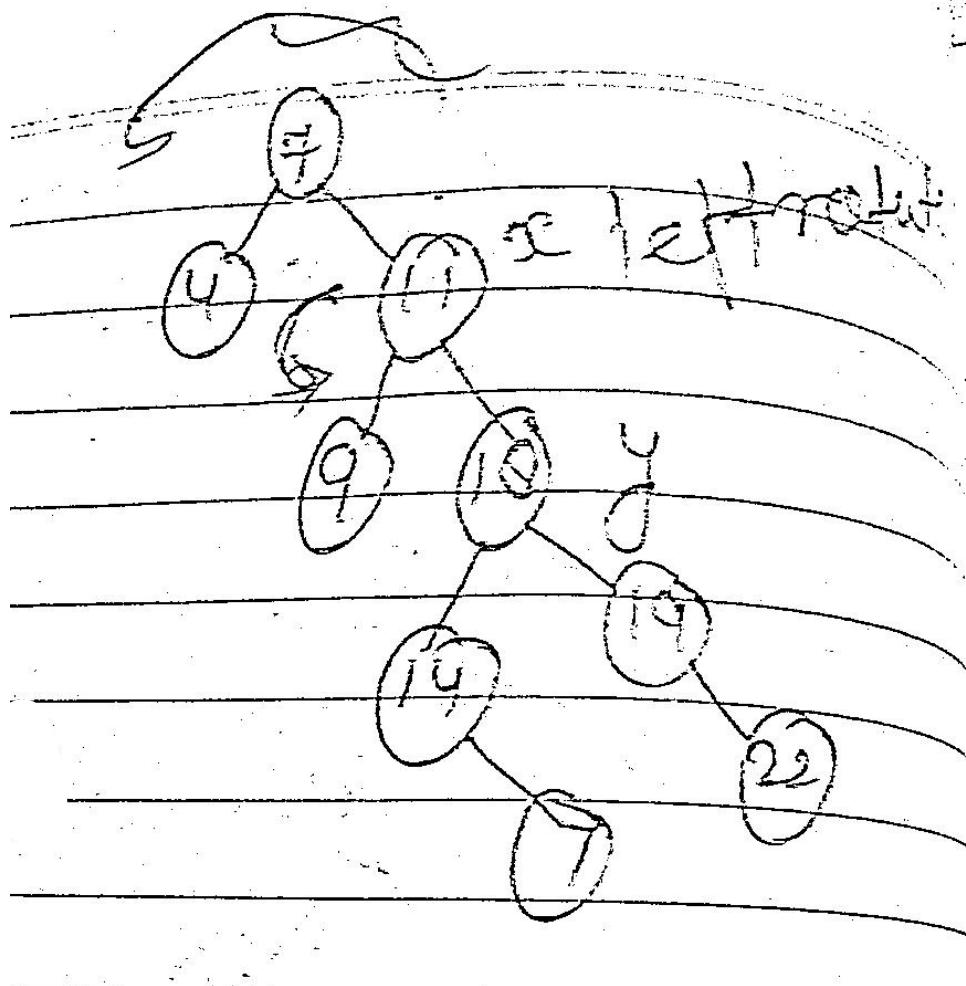
left rotation  $\Rightarrow$



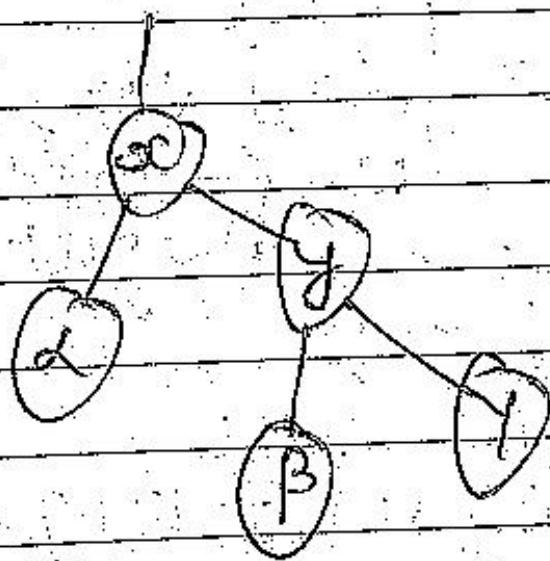
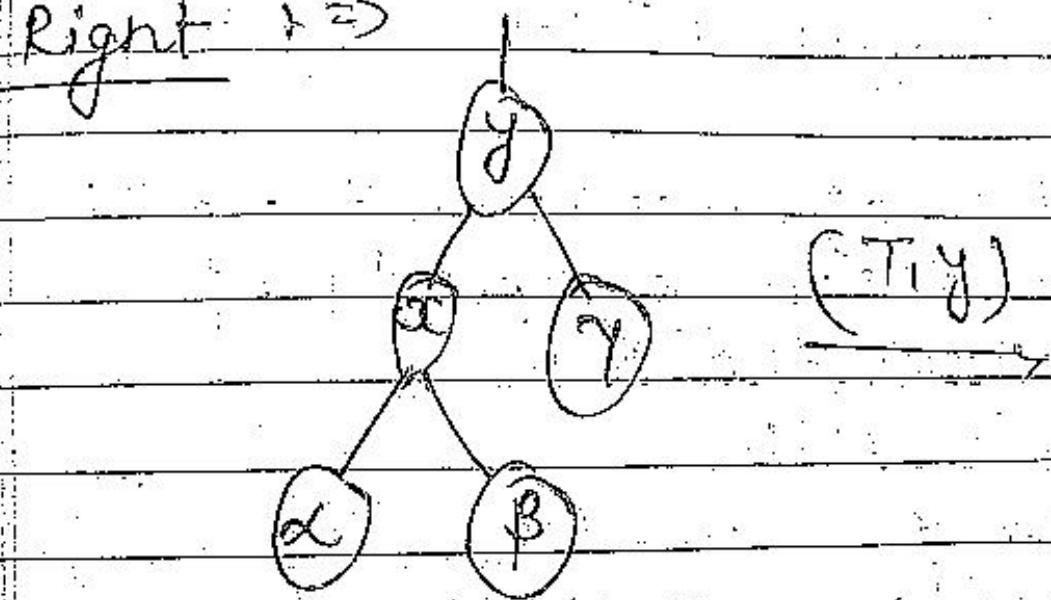
$(T, x)$

(left rotate)





$\rightarrow$  Right  $\Rightarrow$

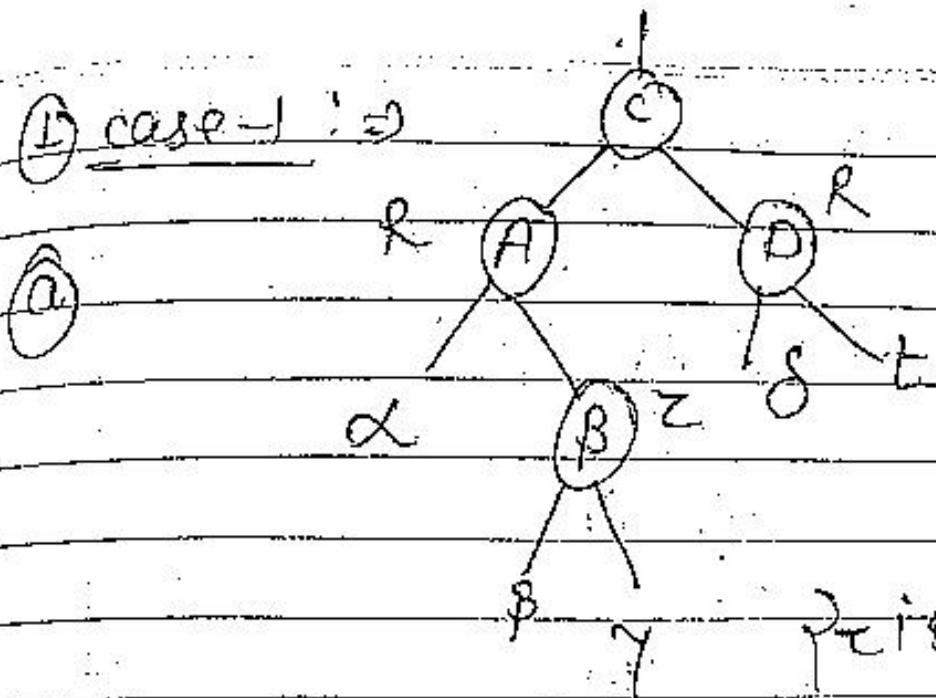


## \* RB - INSERT :-

- 1 color of new node = RED
- 2 maintain property of
- 3 restore the RB

```
1 y ← NIL
2 x ← root[T]
3 while x ≠ NIL
4   do y ← x
5     if key[z] < key[x]
6       then xc ← left[x]
7       else xc ← right[x]
8     p[z] ← y
9     if y = NIL
10    then root[T] ← z
11    else if key[z] < key[y]
12      then left[y] ← z
13      else right[y] ← z
14    left[z] ← NIL
15    right[z] ← NIL
16    color[z] ← RED
17    RB - INSERT - FIX UP(T, z)
```

④ case-1 :  $\Rightarrow$



$z$  is the right child

$p[p[z]]$  must be

block &  $p[z]$  are red

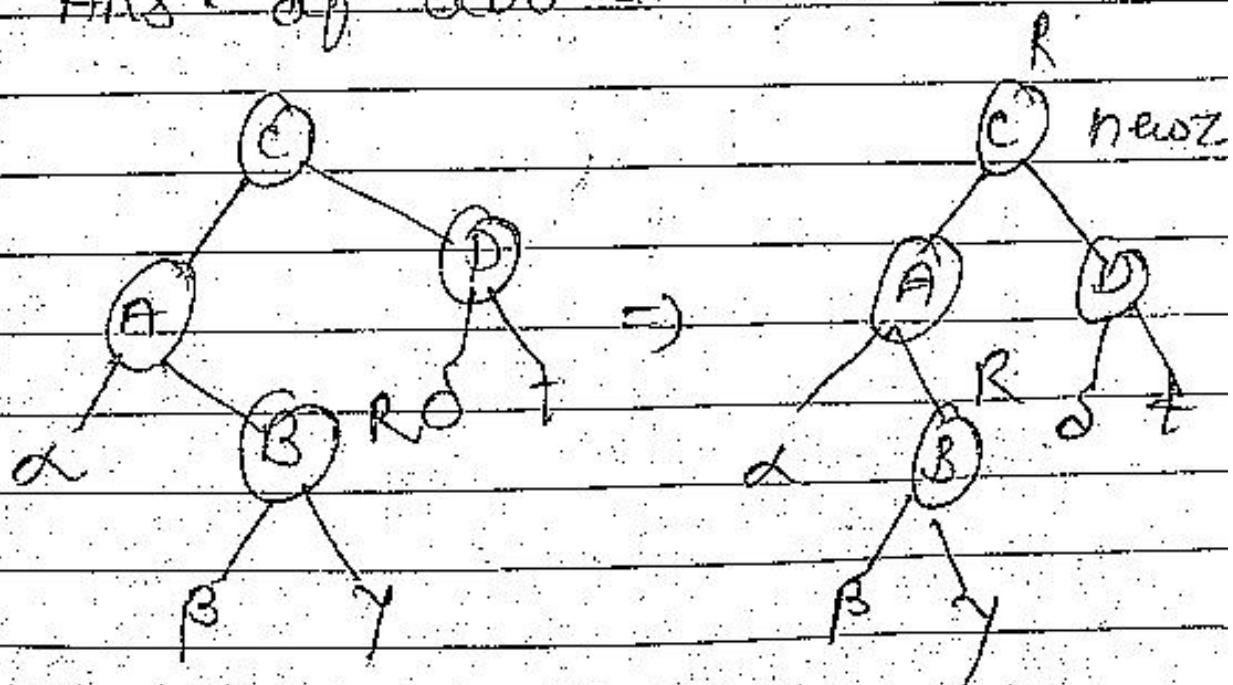
color  $p[z]$  black

color  $y$  black

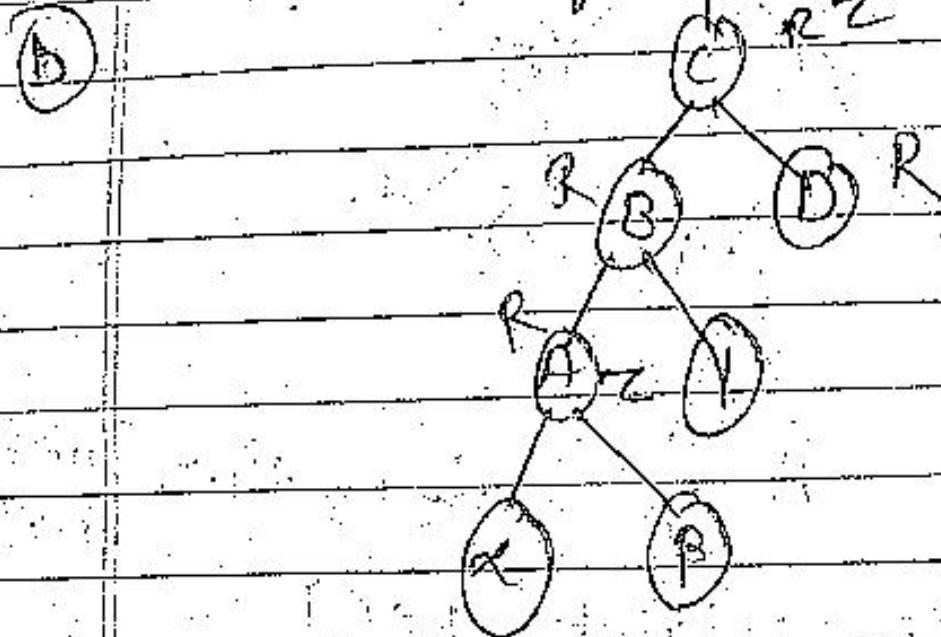
color  $p[p[z]] = \text{Red}$

make  $z = p[p[z]]$

Ans. of above tree



$\tau$  is left child



$\tau$  is left child

$p[p[\tau]]$  must be black

&  $\tau$  &  $p[\tau]$   
are red

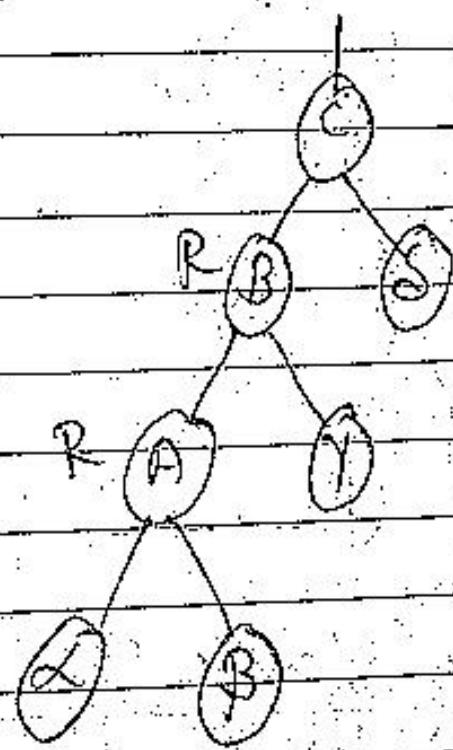
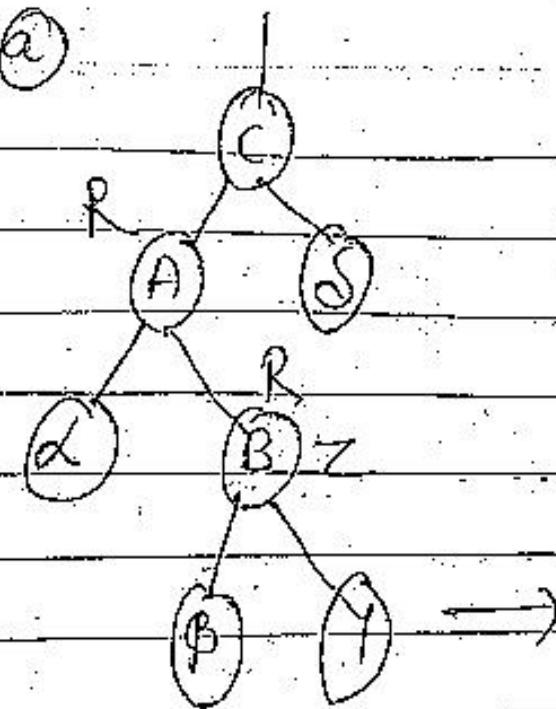
color  $p[\tau]$  = black

color of  $\tau$  = black

color  $p[p[\tau]]$  = red

match  $\tau = p[p[\tau]]$

case - 2 :-



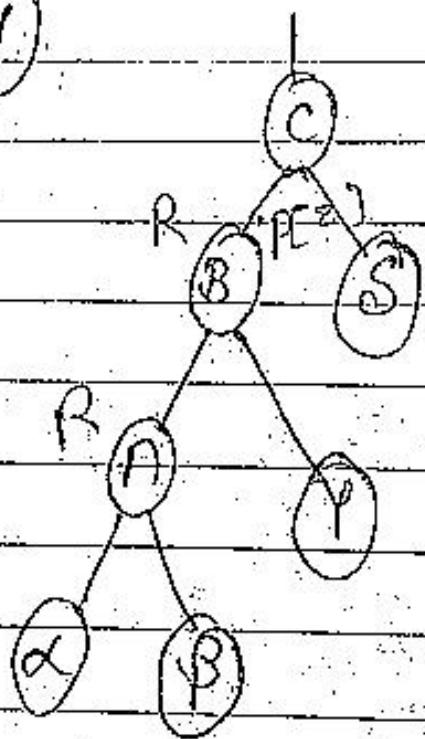
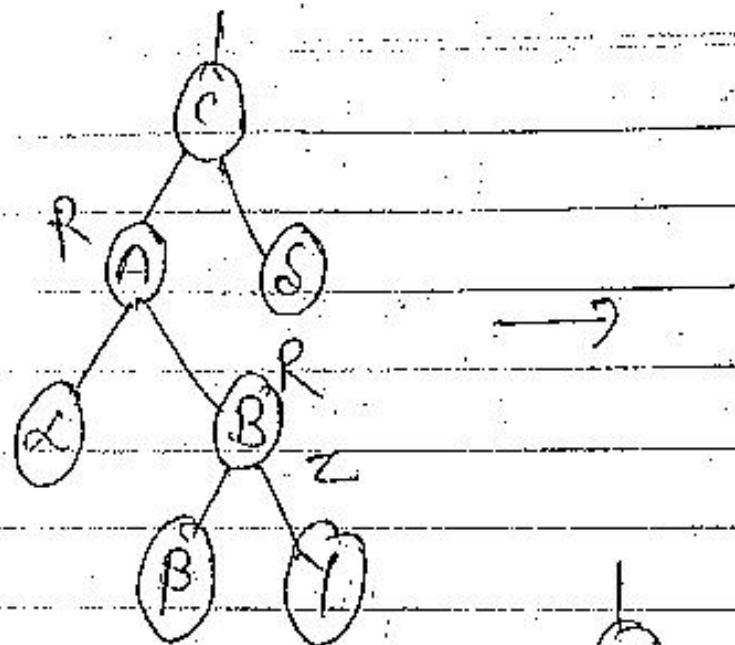
z uncle is black ] →  
z right child ]

z ↙ P(z)

left ROTATE(z)

case 3 called

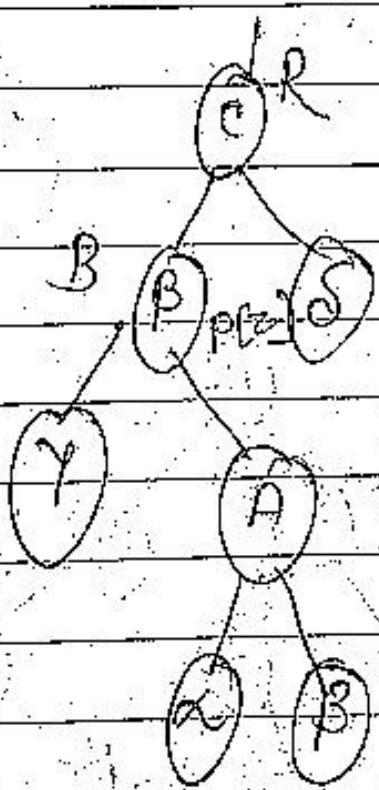
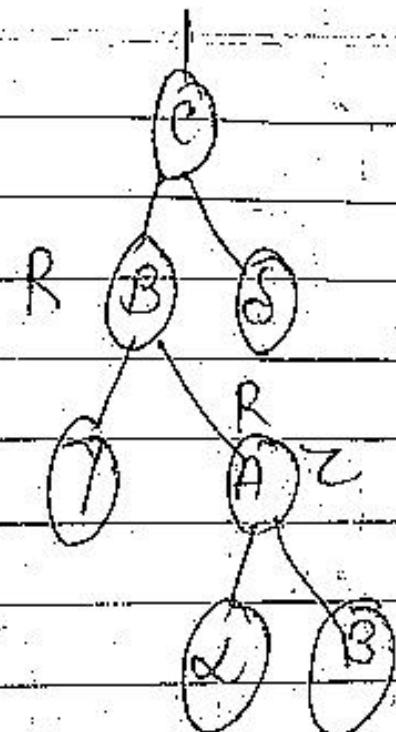
6



$z$  uncle is black  
&  $z$  left child

$z \neq p[z]$   
Right ROTATE ( $\pi_2$ )  
case 3 called

case - 3 :-

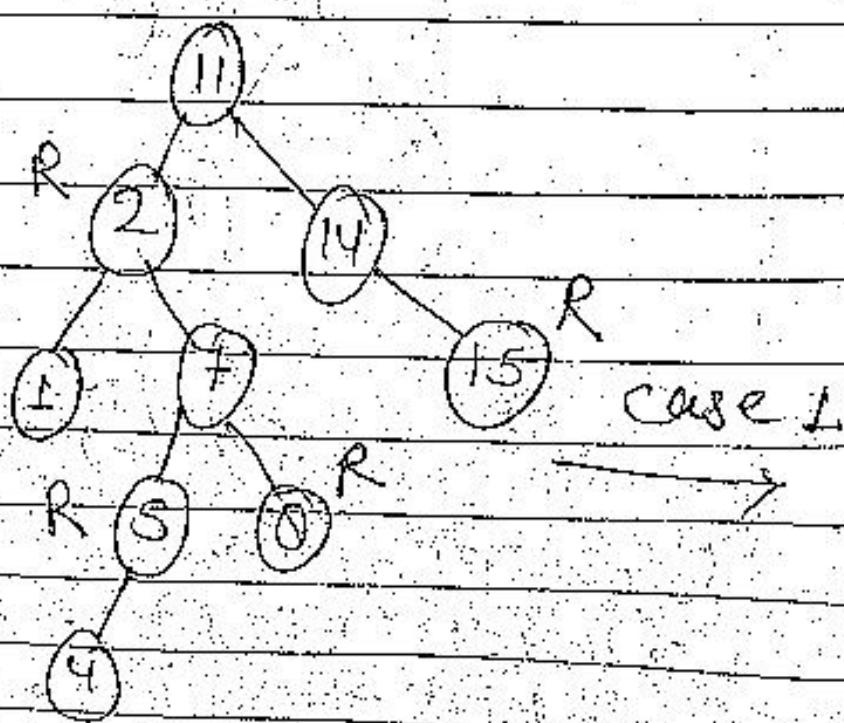
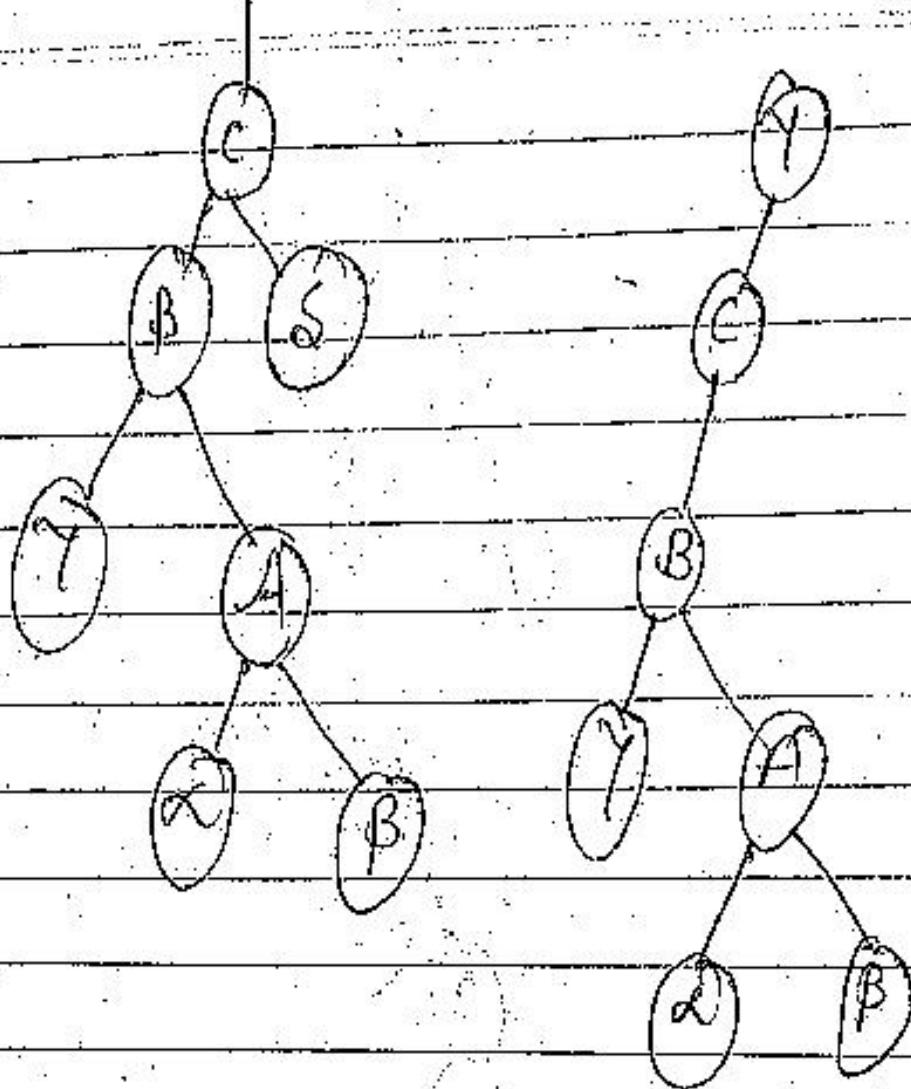


color  $P[z] \leftarrow$  Black

color  $P[P[z]] \leftarrow$  red

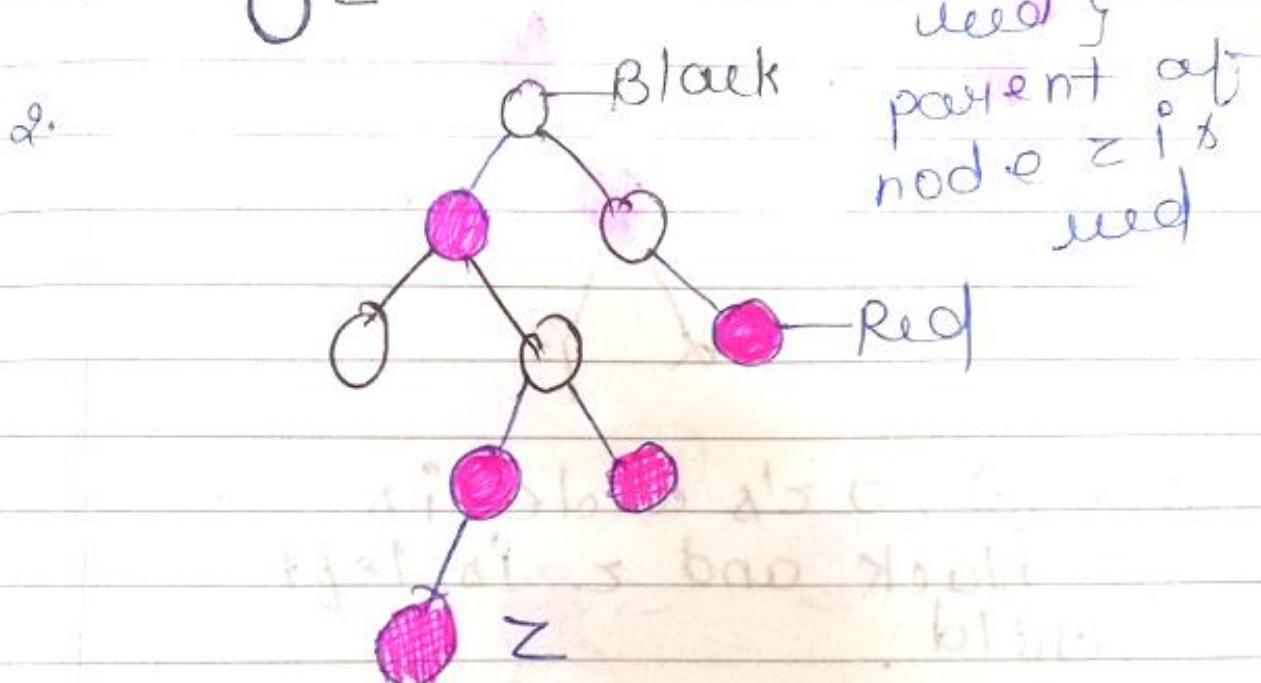
left Rotate [  $T, P[P[z]]$  ]

$P[z]$  is now black

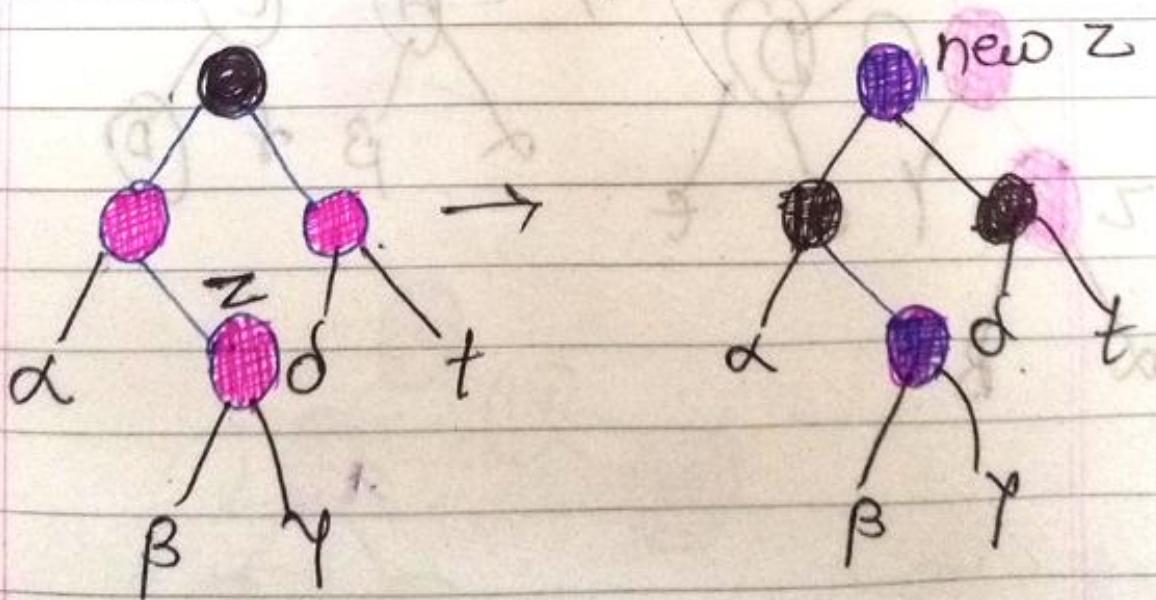


## R-B tree Insertion:

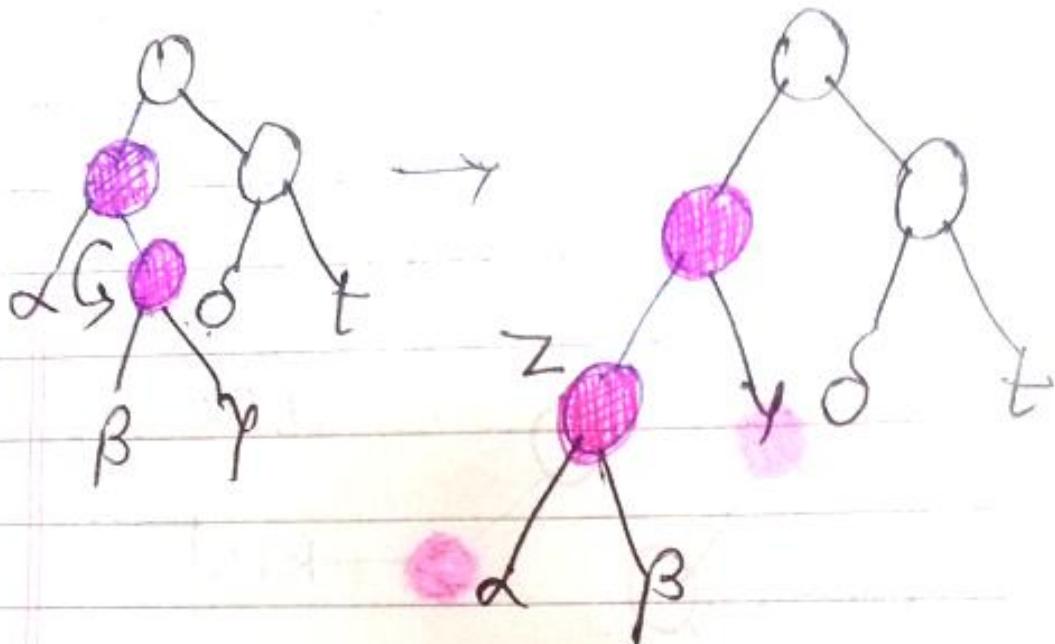
+ if  $t$  is root & a new node then  
it will be color at red



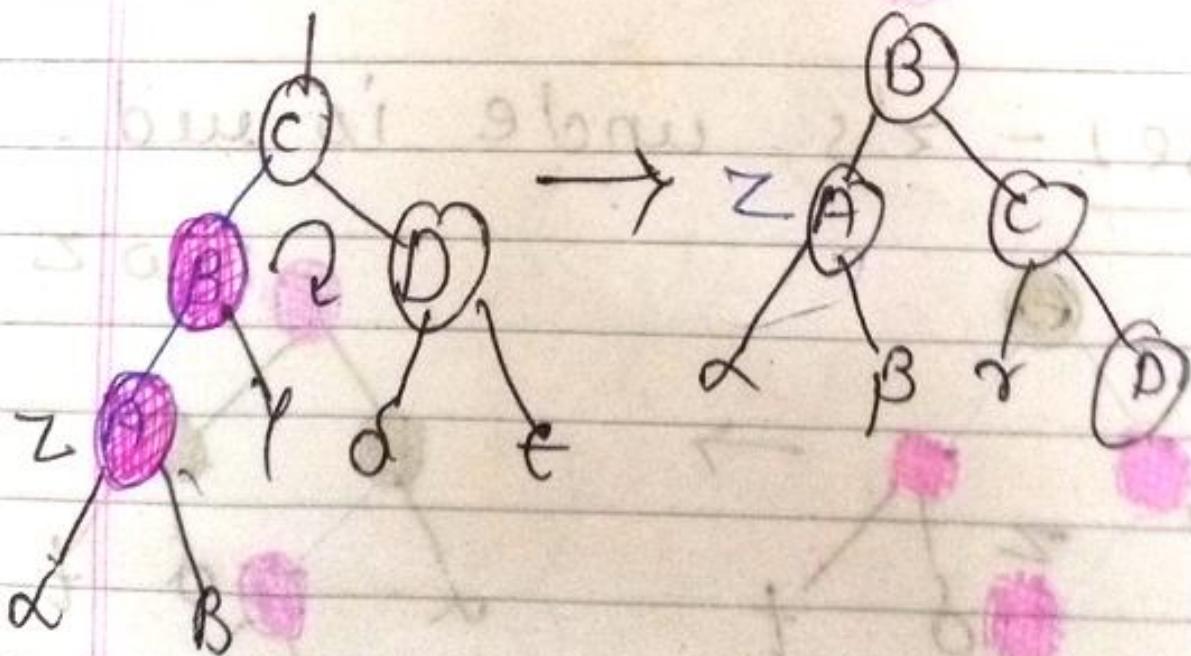
case 1 - z's uncle is red.

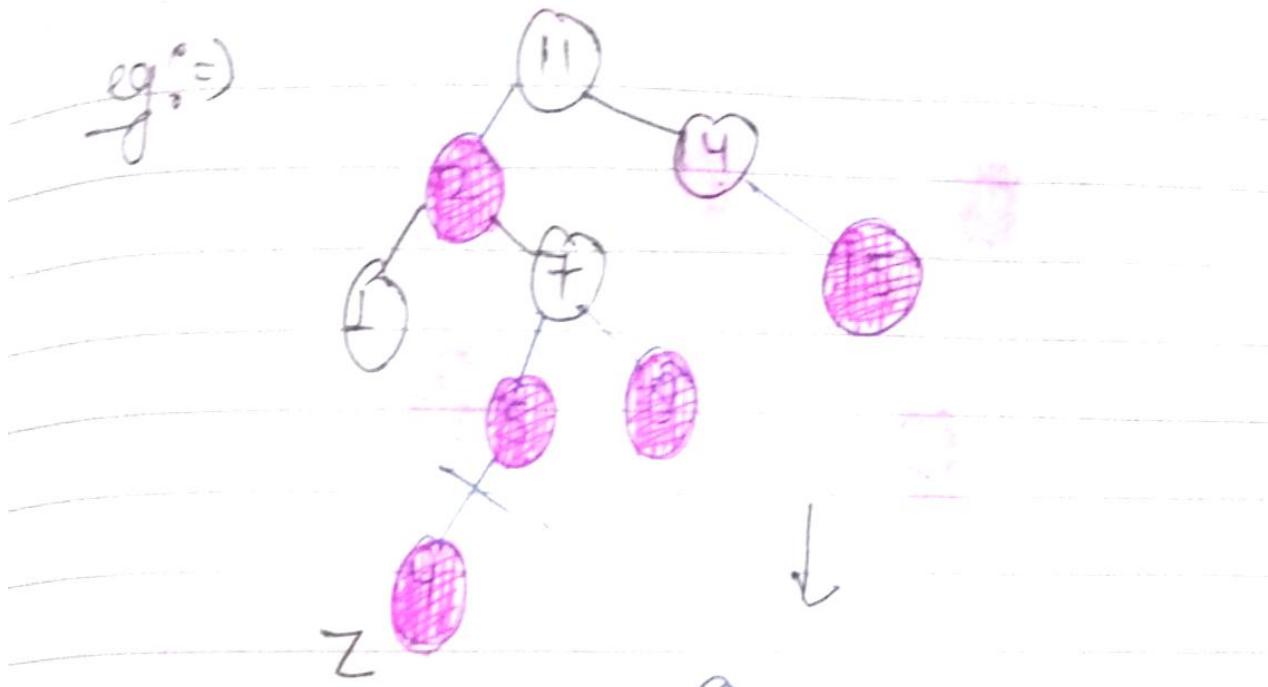


case-2: - z's uncle is black  
and z is a right child.

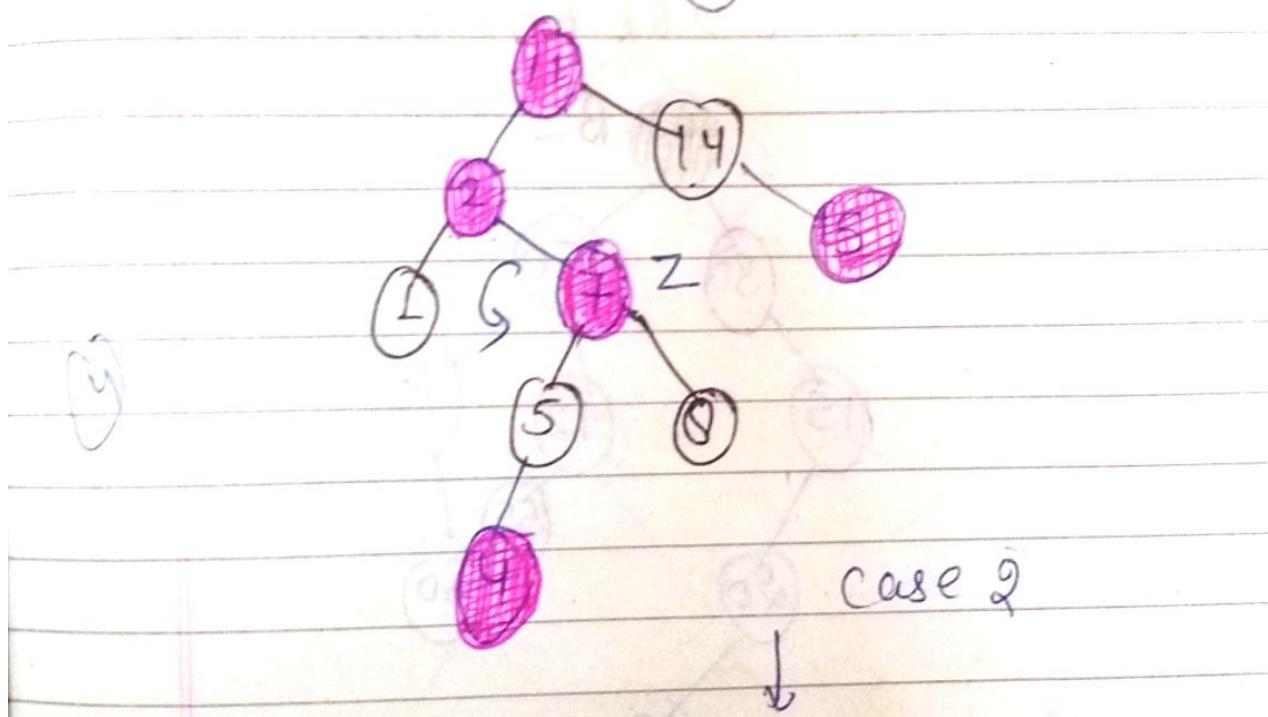


case-3:  $\Rightarrow$  z's uncle is black and z is left child.

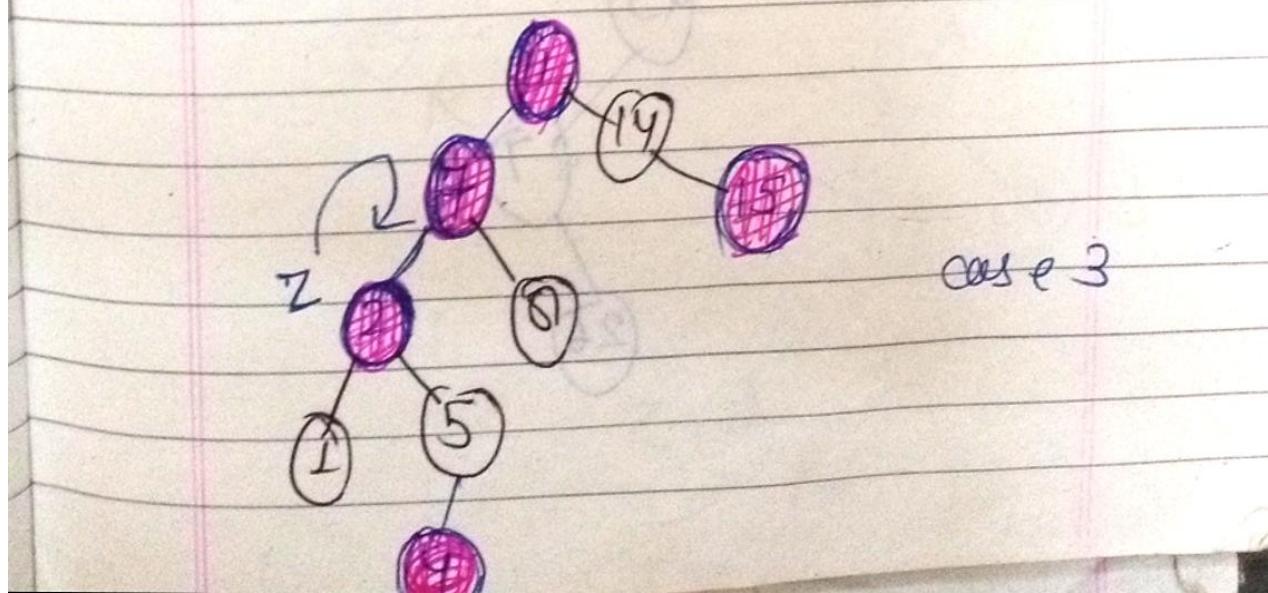




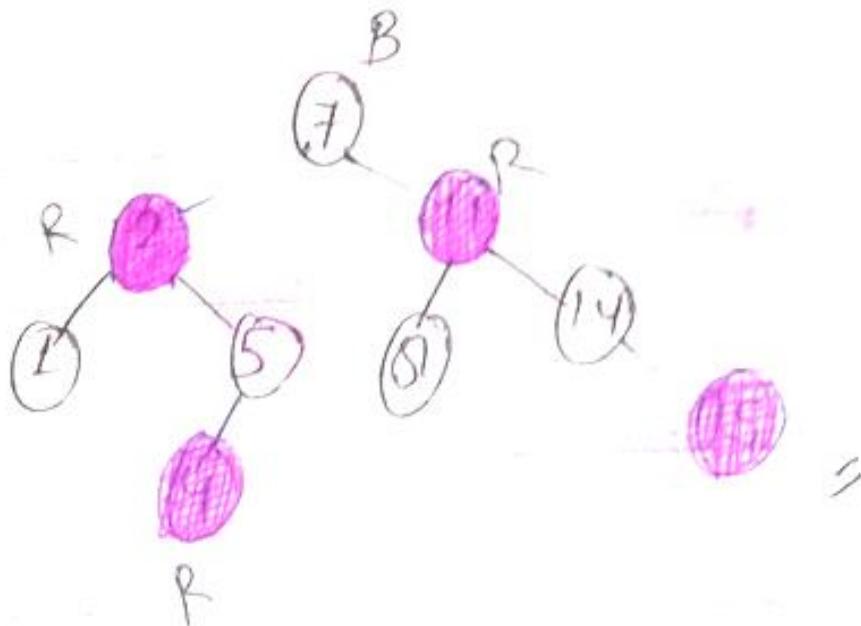
case → 1



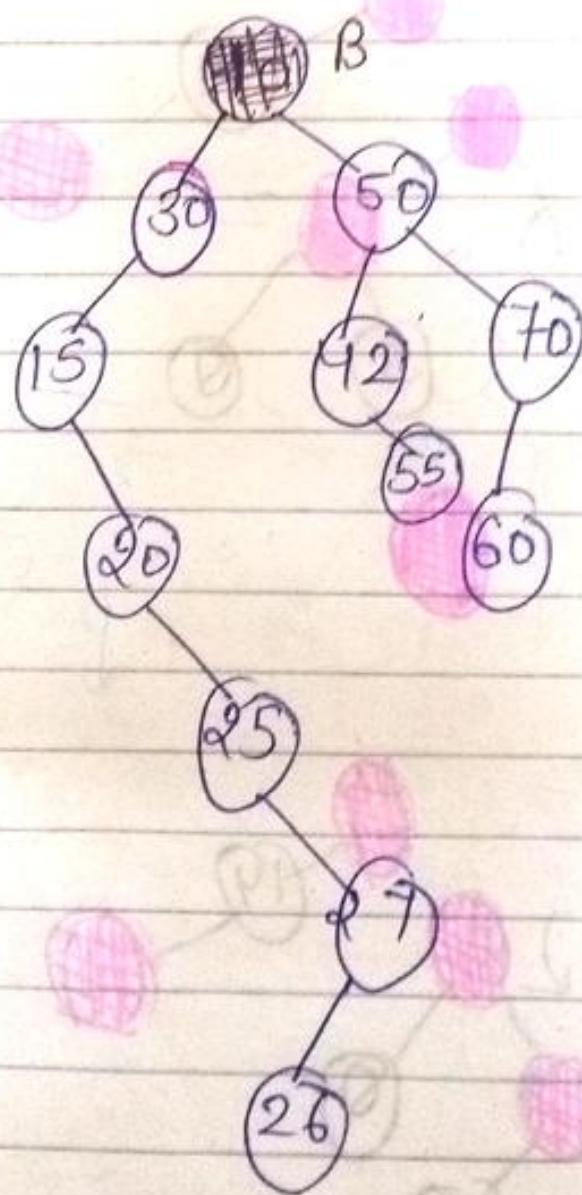
case 2



case 3



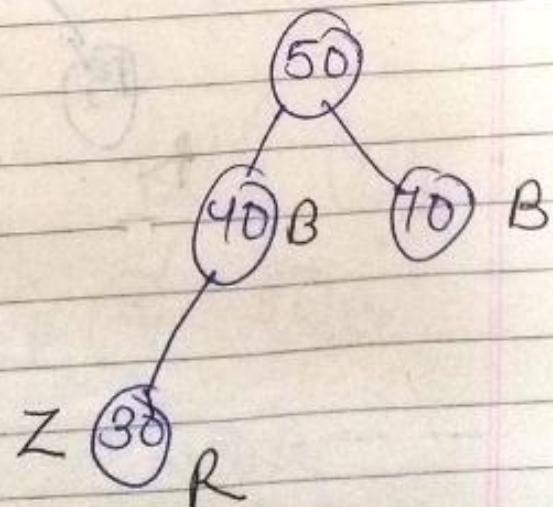
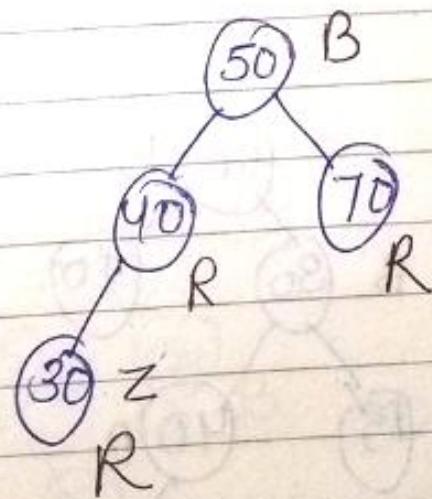
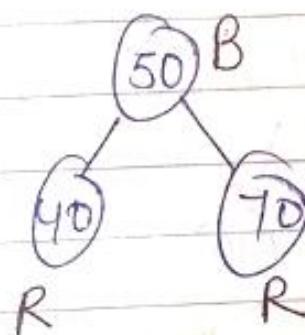
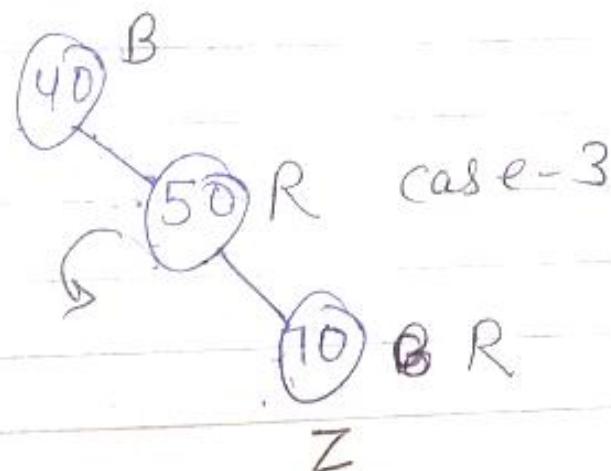
②  $40, 50, 70, 30, 42, 15, 20, 25,$   
 $27, 26, 60, 55$

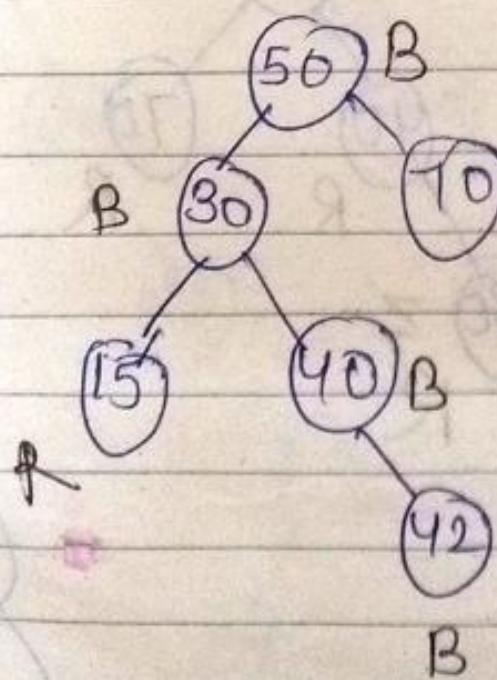
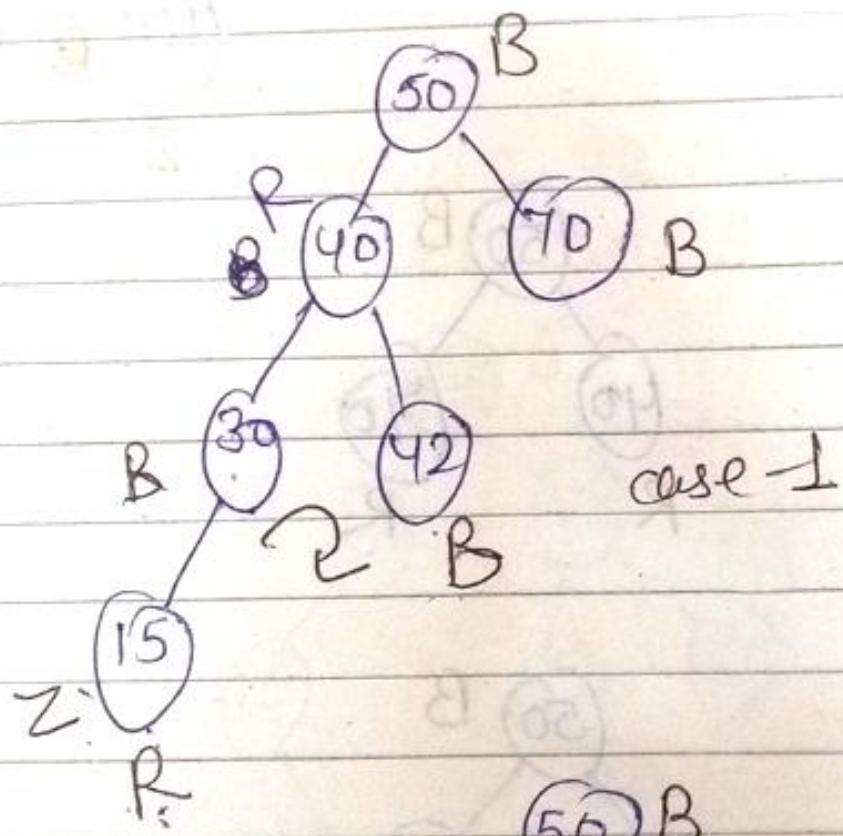
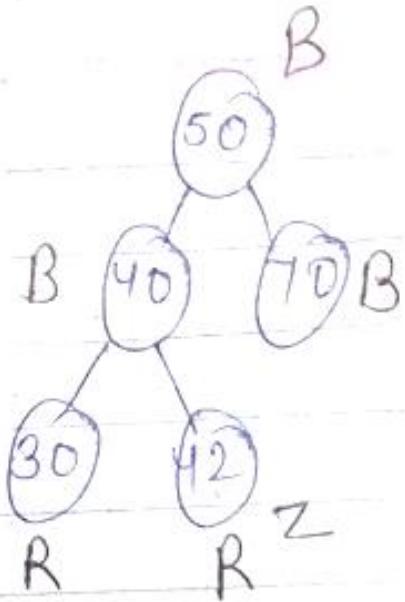


## RB-INSERTION

40, 50, 70, 30, 42, 15, 20, 25, 27, 26, 60, 55

① Insert 40 → 





## Deletion R-B tree

### Top down deletion

(1) Examine the root node if both children are black then

(a) make the root node red

(b) move  $x$  to the appropriate child of the node root

(c) proceed to step (2)

(d) otherwise designate the root as an  $x$  & proceed to step (2)(b).

(2) traverse down the tree, continuously until we reach the node to be deleted.

Now  $x$  is black,  $P$  is red,

$T$  is black

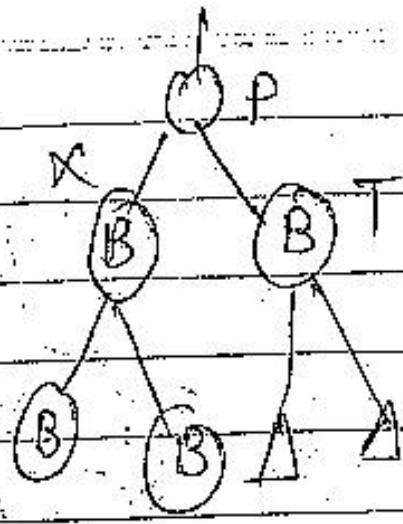
color  $x = R$   $R$   $B$

the root or other node  $x$  do rotation

2A

2B

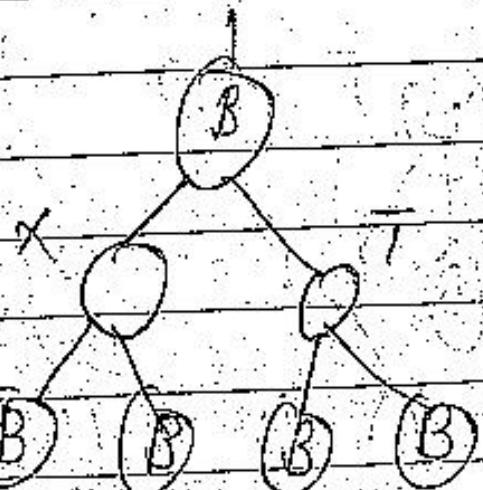
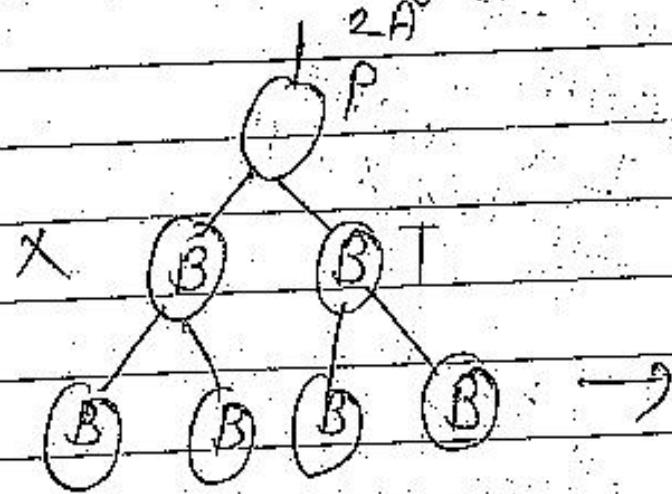
(a)  $x$  has two black child



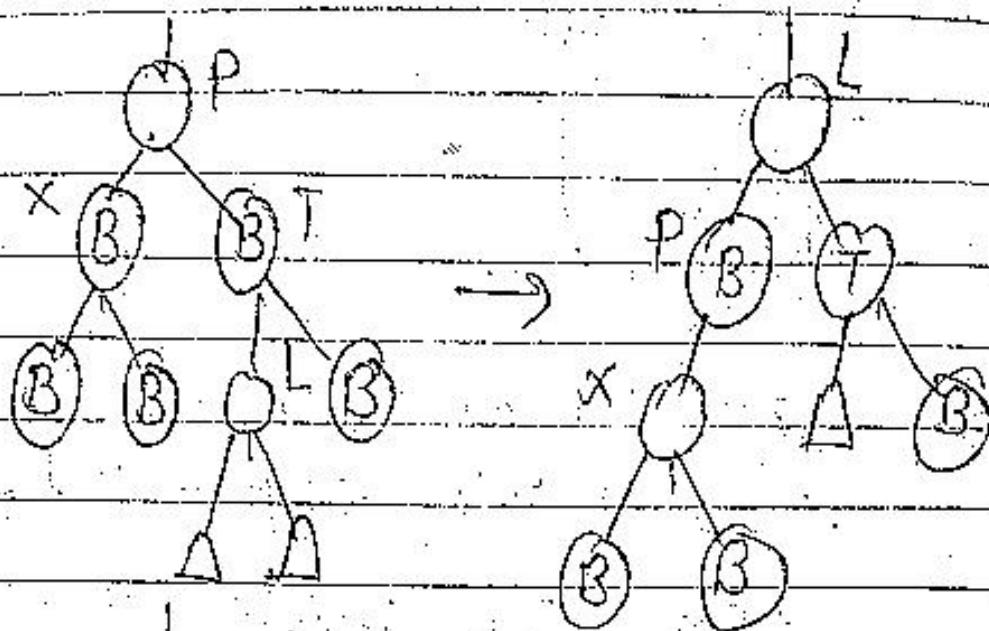
Q1 : Thas 4 black child

Q2 : T's left child need

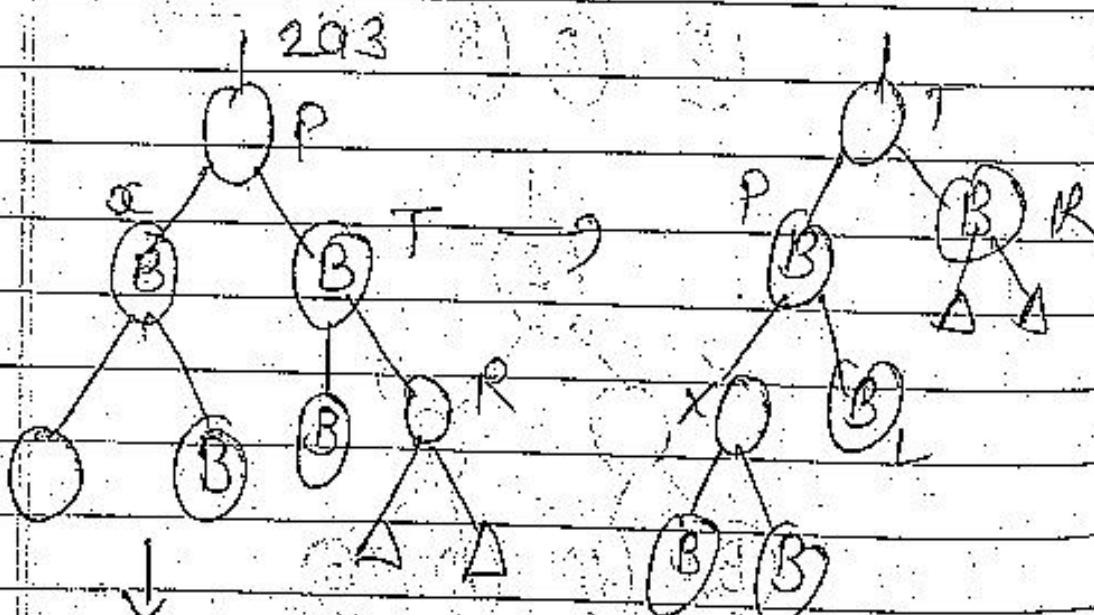
Q3 : T's right child need



2A2



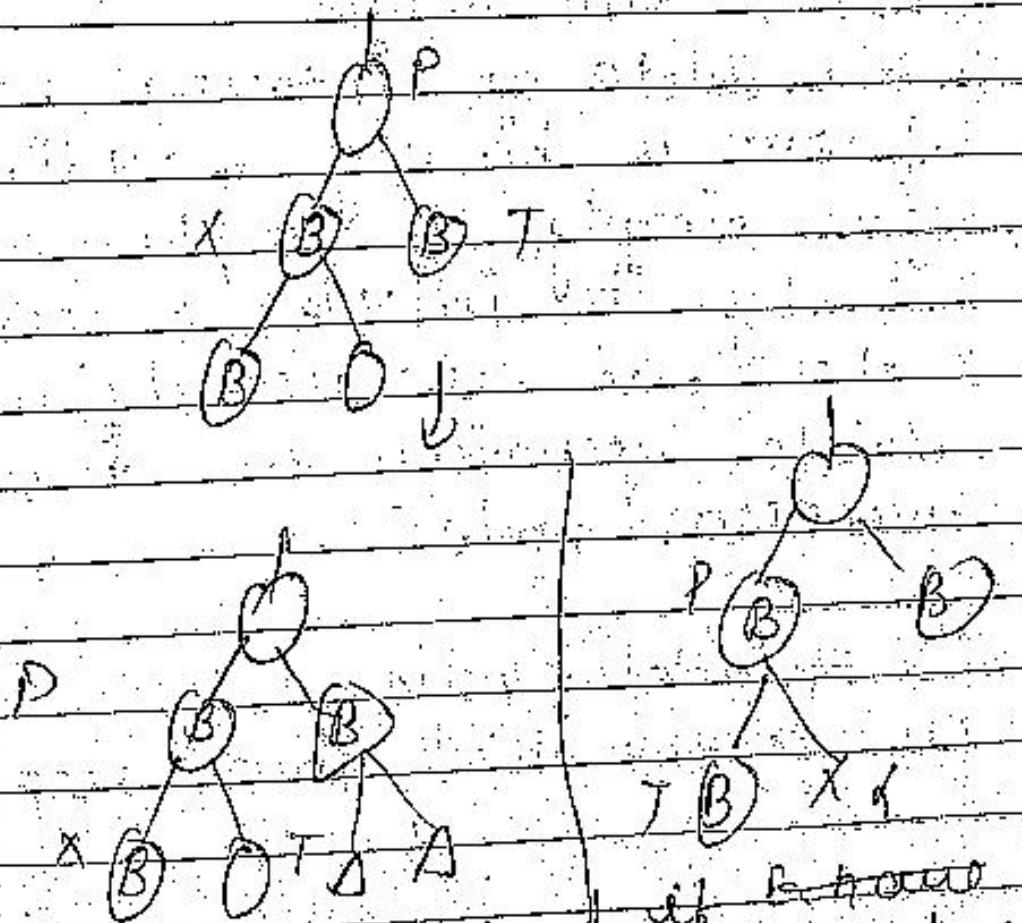
rotate L  
around T  
then L around P  
P moves X



rotate T  
around P  
then R & continue  
down

case - 2 B' =

- x has at least one red child
- if the new x is Red, continue down again
- if the new x is red, continue down again.
- if the new x is black (T is Red, P is black)
  - rotate T around P
  - recolor P & T
- Back to main case-2



If we have to flip  
a black child

Note T around P,

10<sup>th</sup> Back to CP2

if we have  
to move to red  
child move  
down again

case - (3) — Now you will find  
the ~~deleting~~ node as a leaf  
node.

case - (4)  $\Rightarrow$  Color the root as black.

### ③ Deletion :

Delete as we delete from  
binary search tree.

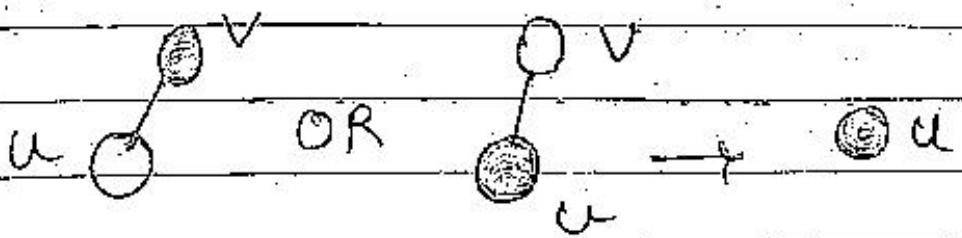
$\rightarrow$  End up deleting the node  
which is either a leaf  
or has one child.

$\rightarrow$  We delete an internal node  
from a binary search  
tree simply by replacing  
it by its in-order successor.  
& then recursively call  
delete operation on in-order  
successor node.

$\rightarrow$   $v \rightarrow$  deleted node

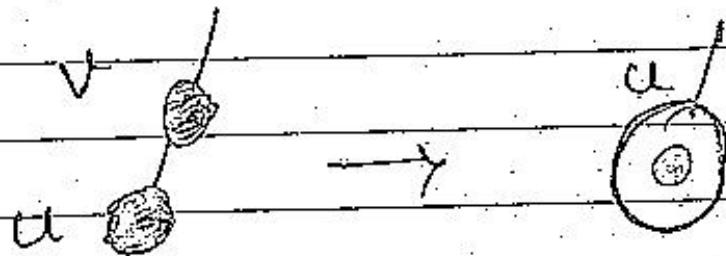
$u \rightarrow$  the child that replaces  $v$

either 'u' or 'v' is red

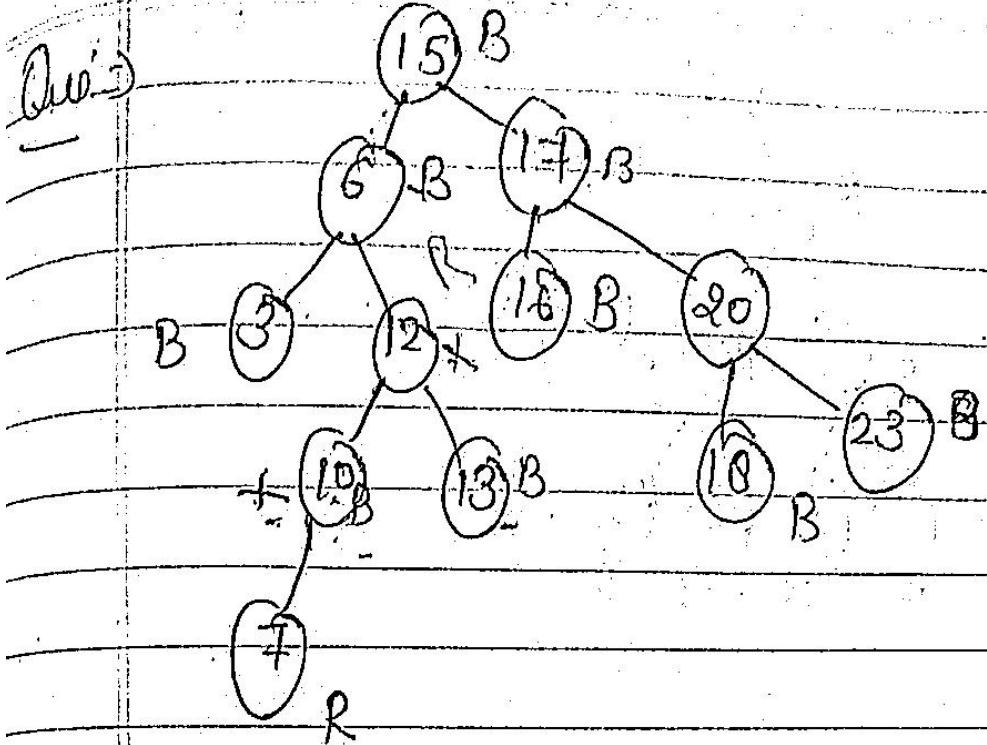


→ replace v by u, u will be a black node

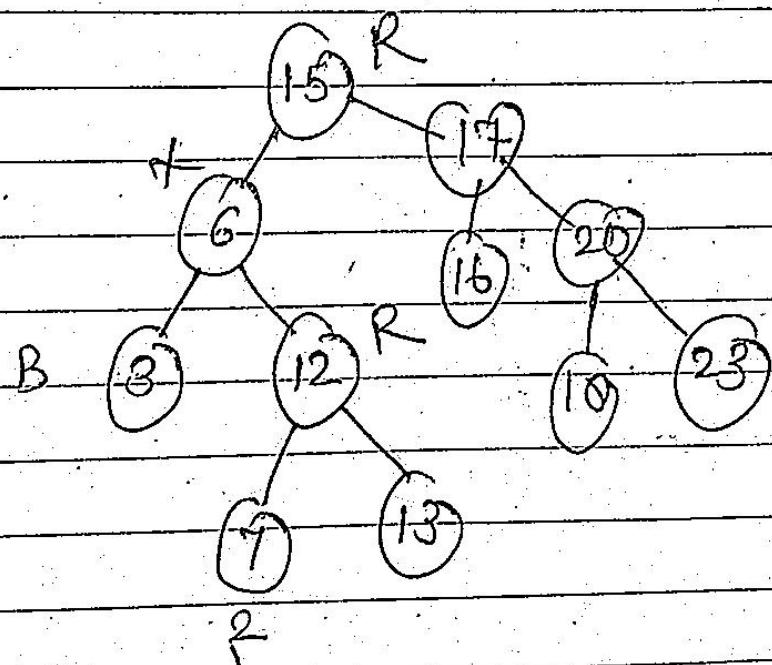
→ Both u & v are black



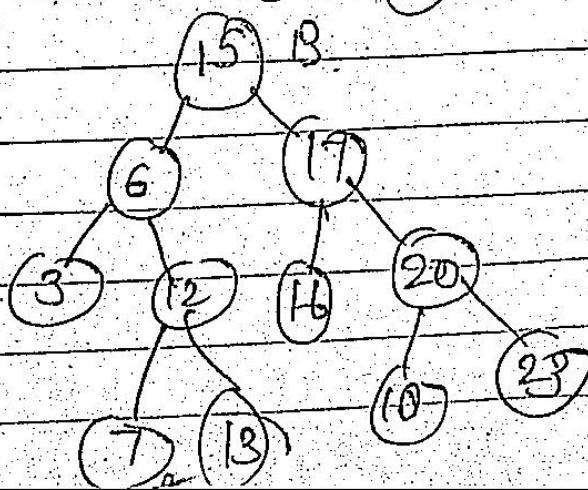
→ if node u is root, make it single black

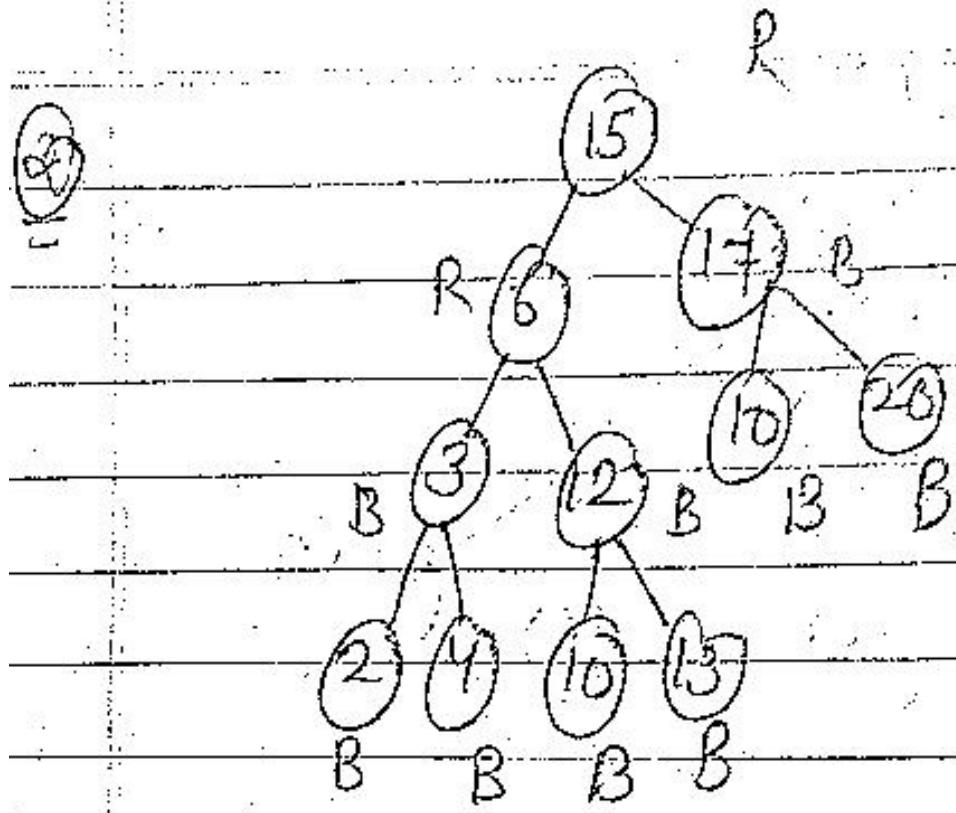


Delete 10



case - 4





(0,1) (1,2)

$\lambda = 2$

0  $\times$  1  $\vee$  2

## B Tree

→ B-tree is the m-way tree  
(a tree where each node  
can may have upto m children  
(max - 5 children))

→ Height of tree must be minimum

→ (1) tree subtrees shouldn't  
be empty

(2) balanced leaf nodes of the  
trees should be at same  
level.

(3) all nodes have excepting  
leaf should have at least few  
children

(4) If the B-tree of order m  
then root has two child  
and almost m child

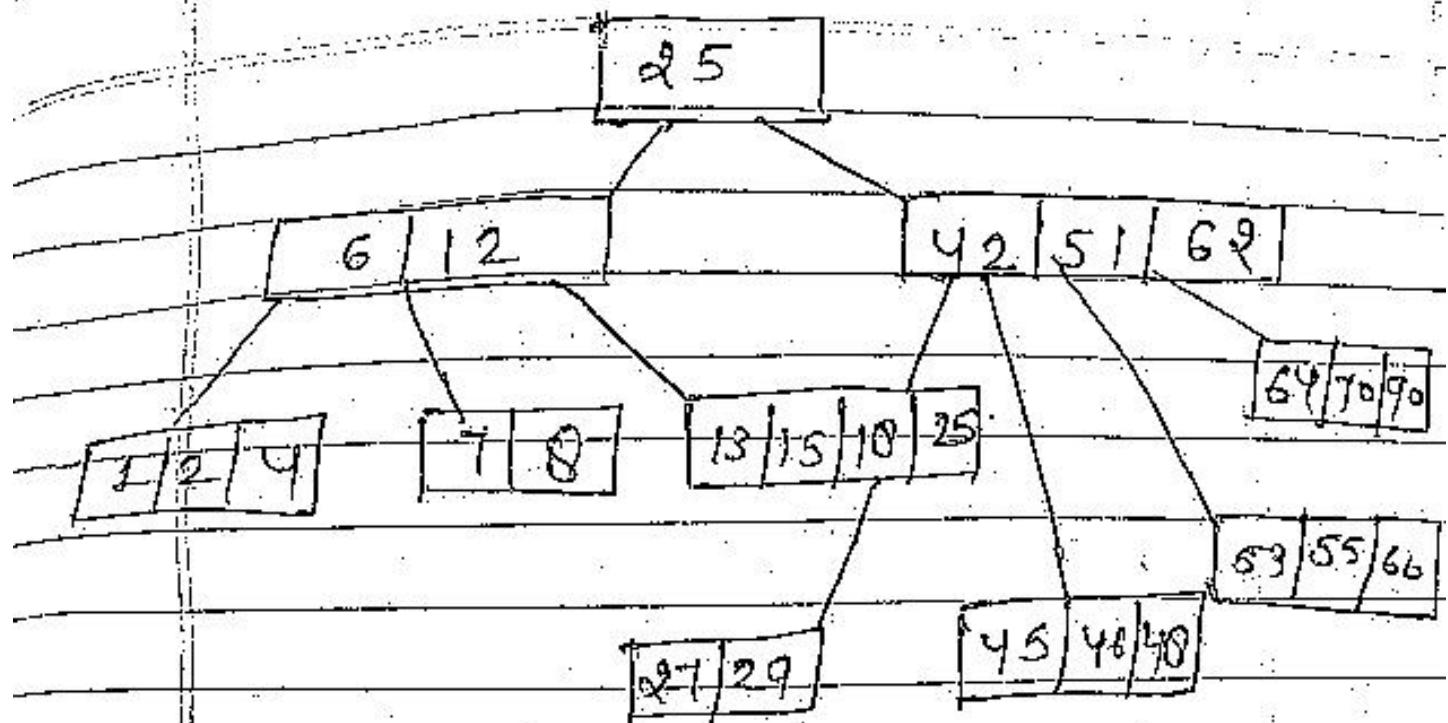
(5) no of keys in each internal  
node is one less than the no.  
of child node

every node may contain max.  
at 1 keys & every  
node other then root  
at least (+) key

B tree  $\Rightarrow$  B - Tree is special case of m - way search tree

$\rightarrow$  B - Tree of order m satisfies the following properties.

- (1) Each node has at most m children.
- (2) Each internal nodes has at least ceiling of  $m/2$  children.
- (3) Root node has at least 2 children if it not leaf.
- (4) A non leaf node with k children has  $k-1$  keys.
- (5) All leaf nodes appear in the same level.



It is the binary tree of  $m=5$

Q4 Insert the following keys 5-way  
 3, 7, 9, 13, 45, 1, 5, 14, 26, 24  
 13, 11, 8, 19, 4, 34, 35, 56

Q5 Insert the following keys

1, 12, 8, 2, 45, 6, 14, 28, 17, 7, 52,  
 16, 48, 60, 3, 26, 29, 53, 55, 45  
 order 5 tree.

1 2 0 12 25

0

1 1 2 6 12 14 17 25 20

8 17

1 2 6

12 14

25 20

8 17

1 2 6 7

12 14 16

25 20 40 52

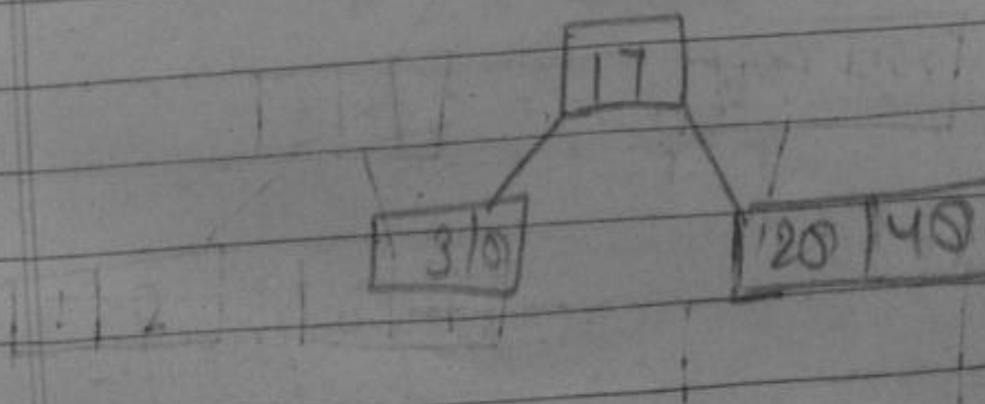
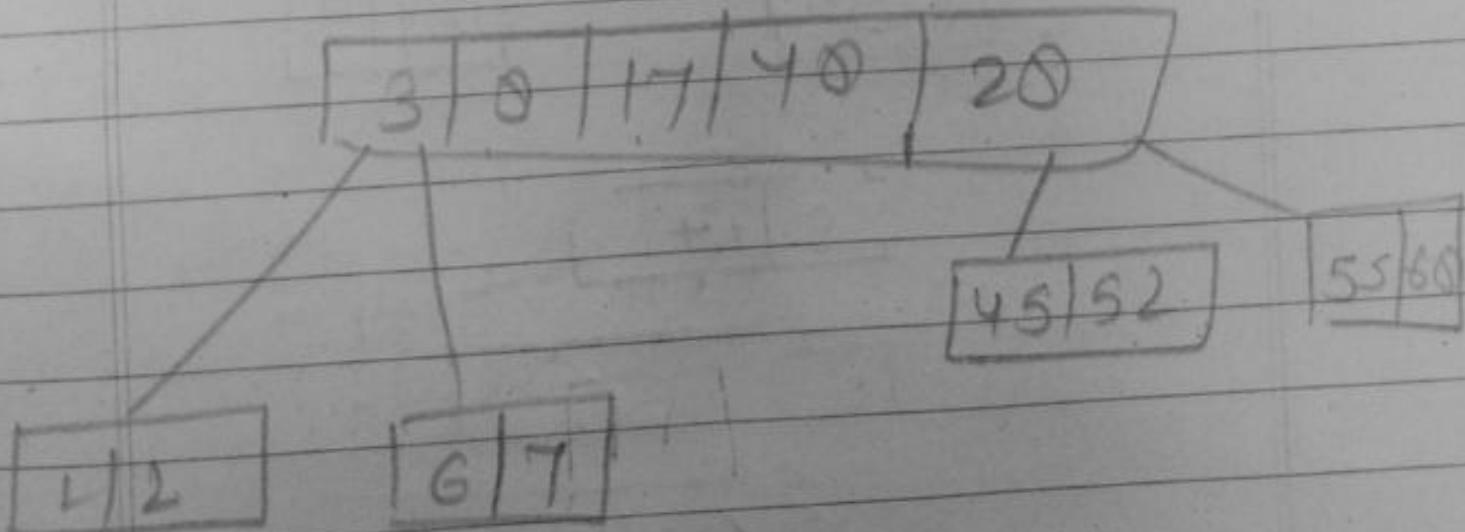
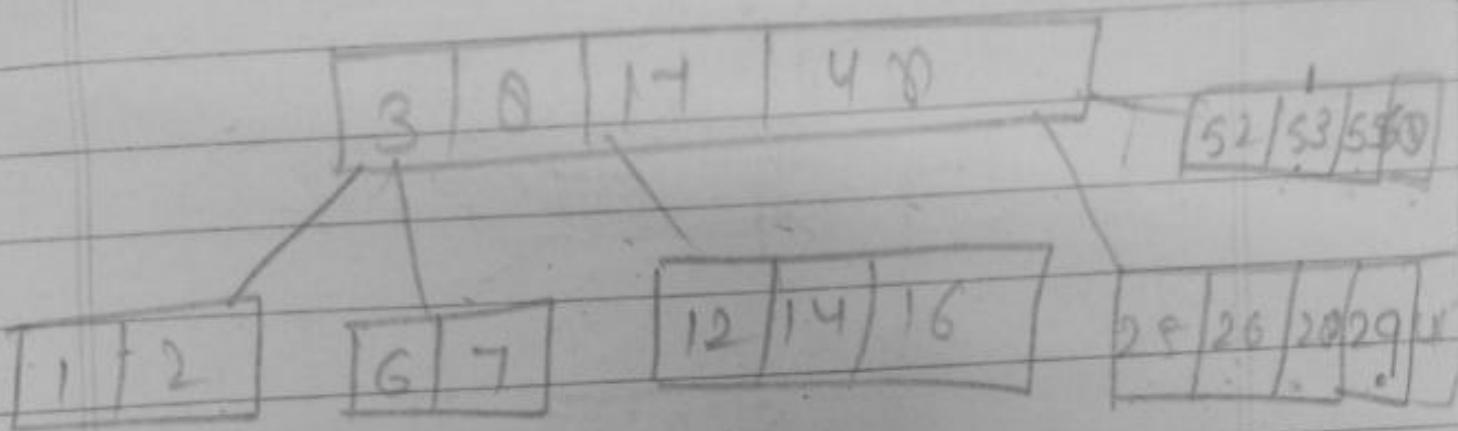
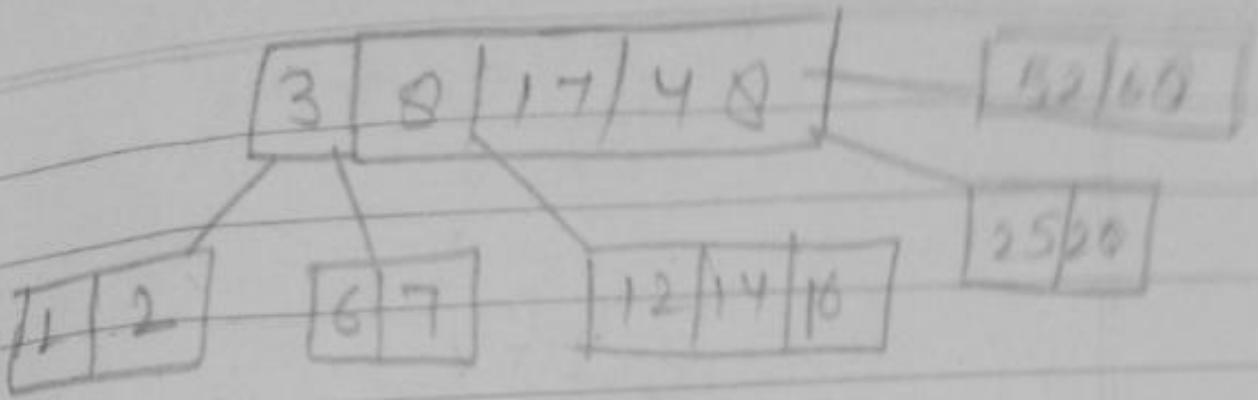
8 17 40

1 2 3 6 7

12 14 16

25 20

52 68



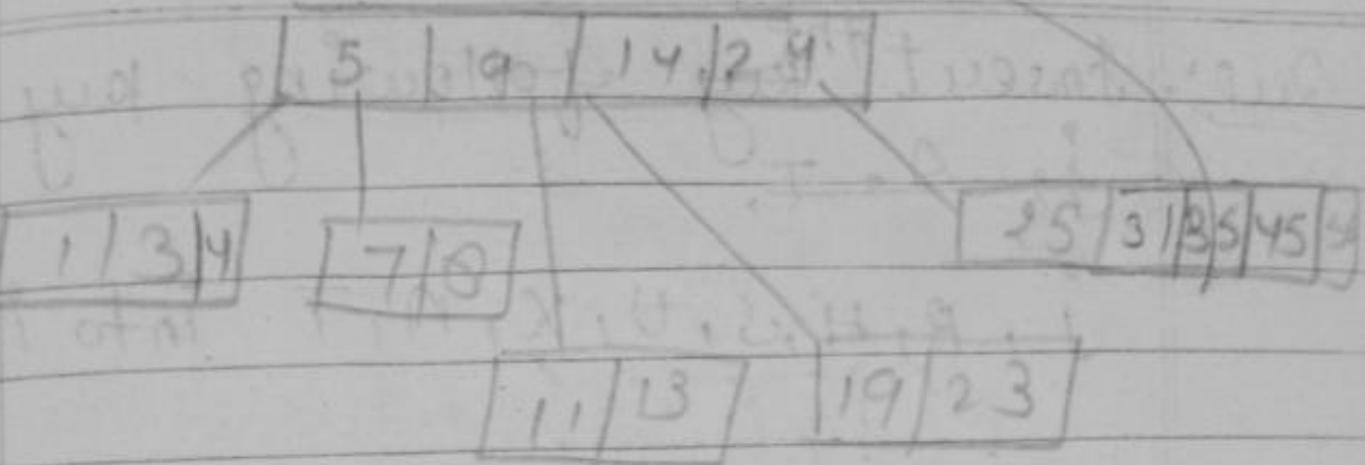
3	7	9	23	45
---	---	---	----	----

		9		
1	3	5	7	14 23 24 25 45

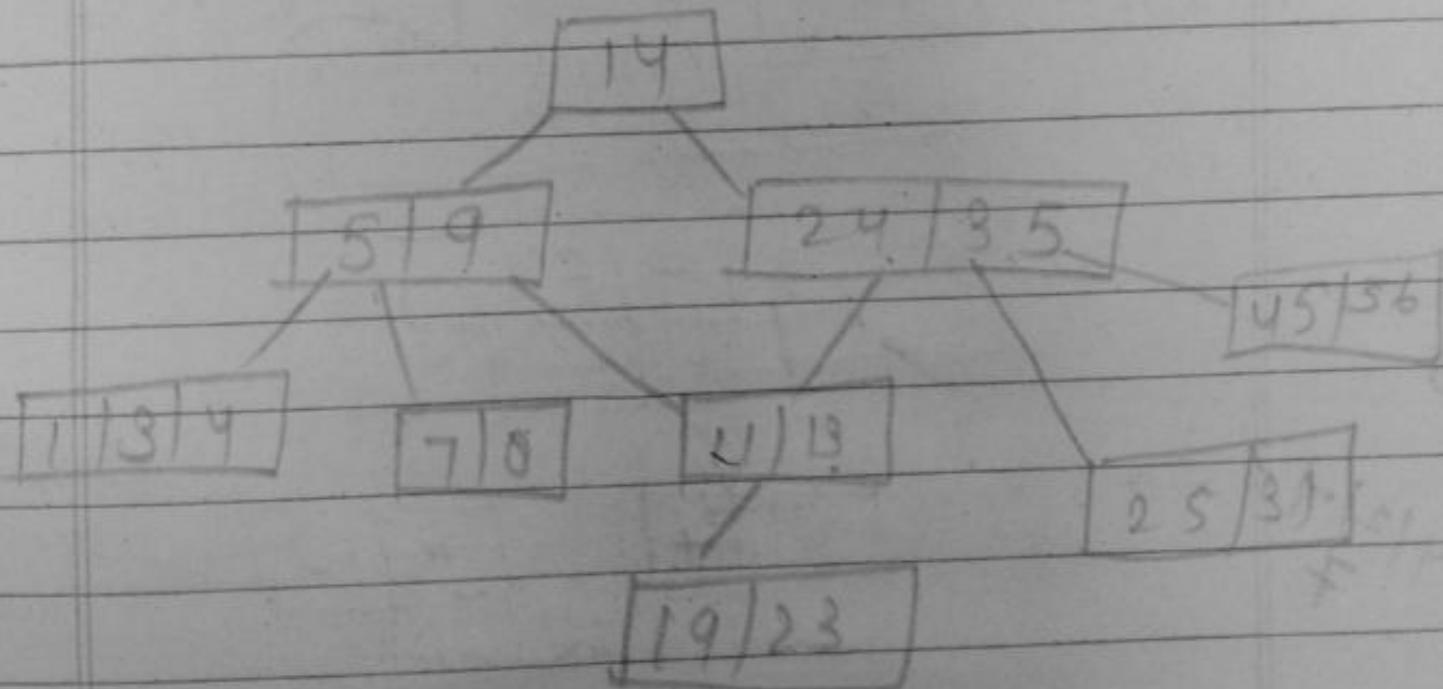
		9	24	
1	3	5	7 10	11 13 14 23 25 45

	5	9	24	
1	3	7	10	25 45
11	13	14	23	

	5	9	24	
1	3	7	10	
11	13	14	19	23



samples      5 | 9 | 14 | 24 | 35



Ques: Insert the key following key  
j, A, T,

j, B, H, S, U, X, A, T into it

D | M | R | W

& make the order of degree 3.

D | M | R | W

M

B | M | R | W

M

B | D | M | R | W

M

B | D | M | S | R | W

o|m

B|D

H|J

R|S|U

N|X

B|P

H|J

R|S

W|X

A|B|D

H|J

R|S

W|S

A|B|D

H|J

R|S|T

W|X

30, 45, 75, 10, 00, 40, 90, 61, 15

22, 33, 91, 5, 12, 77, 43, 37, 3

11, 49

$m=5$

n

[10] 30 | 45 | 75 | 00

break  $m=3$

195

[10] 30

75 00

insert

195

6 [10] 30 | 40 |

75 00 | 90

195

6) 10 | 15 | 30 | 40

75 | 00 | 90

15 | 45

6 | 10

30 | 40

75 | 00 | 90

15 | 45

6 | 10

22 | 30 | 33 | 40

75 | 00 | 90

15 | 45

15 | 00 | 90

5 | 6 | 9 | 10 | 12

22 | 30 | 33 | 40

15 | 45 | 9

15 | 00 | 90

5 | 6

10 | 12

22 | 30 | 33 | 40

9 | 15 | 45 | 9

15 | 77 | 00 | 90

5 | 6

10 | 12

22 | 30 | 33 | 40 | 43

9 | 15 | 33 | 45

15 | 77 | 00 | 90

3 | 5 | 6

6 | 10 | 12

22 | 30

37 | 40 | 43 | 49

## Deletion of B Tree $\Rightarrow$ During insertion

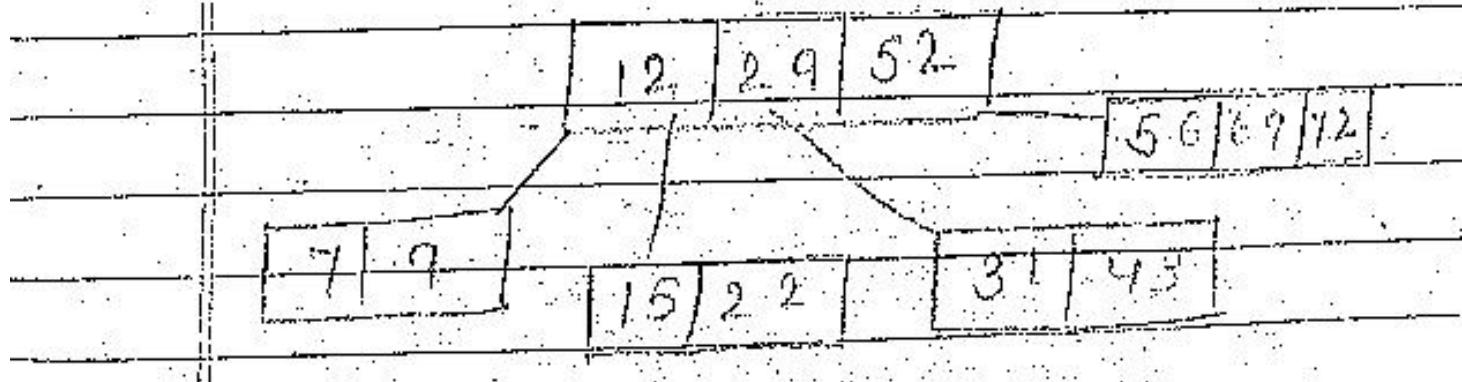
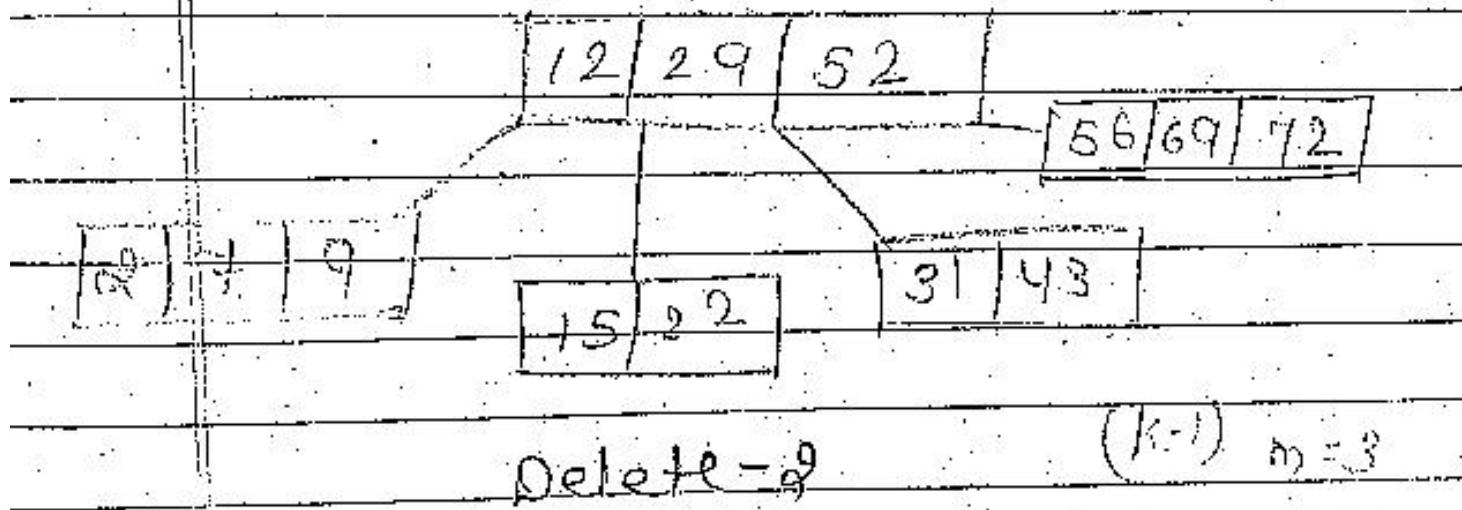
key always goes into a leaf node. If deletion will wish to remove from a leaf then there are three possible ways we can do.

- If key is already in a root node. leaf node remove
- If it will not affect b-tree (two keys)
- If key is not leaf node then it is guaranteed that its predecessor & successor will be a leaf node.
- In this case we can delete the key promote predecessor or successor to the non-leaf deleted key position.
- If one & two case lead to a leaf node containing less no. of keys than we have to look at the sibling immediately adjacent to the leaf.

- ① if one of them has more than minimum no.

of keys than we can promote  
 1. if the key to be parent  
 2. take the parent key into  
 our leafing leaf.

- ② if neither of them has more  
 than min. no. of keys  
 then the leafing leaf and  
 one of its neighbour can be  
 combine with their shared  
 parent. and the new leaf  
 will have correct no. of  
 leaf keys.



13

4 7

17 20

1/3

5 6

8 11 12

23 24 25 26

18 19

14 16

Delete 20 0

19

17 20

23 24 25 26

1/3

5 6

11 12

10 10

14 16

delete - 20

13

11 12

17 23

20 21 24

13

5 6

11 12

14 16

18 19

delete -10

88

78



delete - 5

13

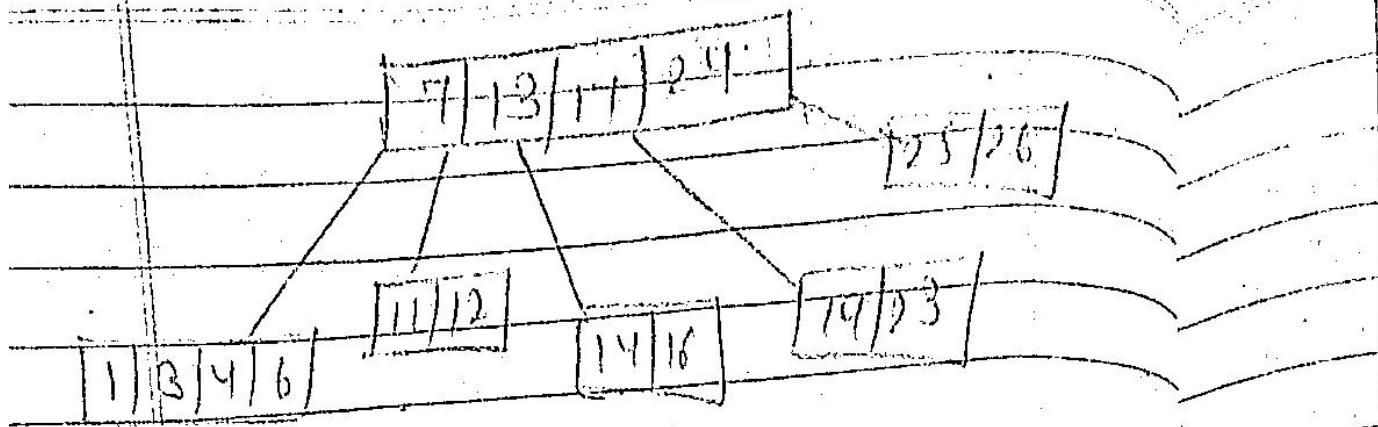


13



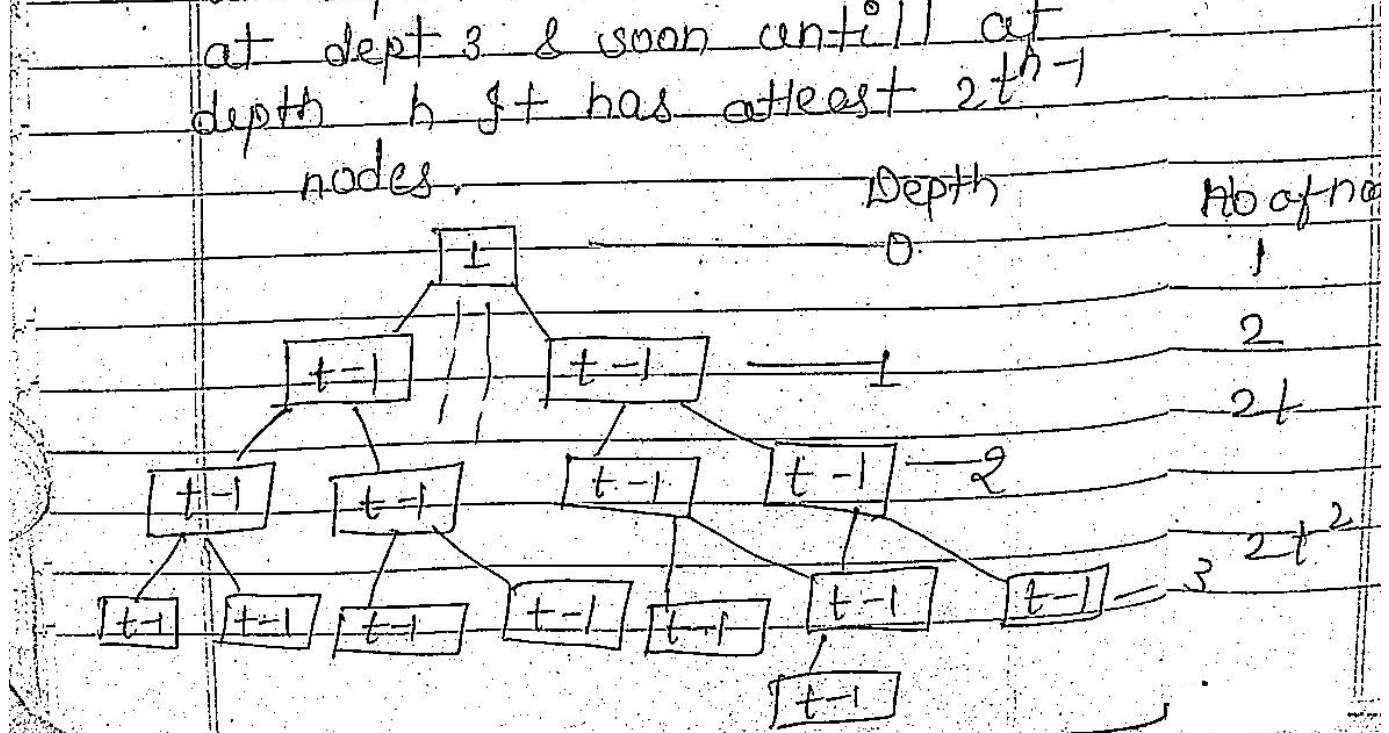
19

19



Ques: State & prove that if  $n \leq 2^t - 1$ , then for  $n$  keys binary tree of height  $h$  and minimum degree  $t$  is  $h \leq \log_{t+2}^{n+1}$

Proof: - The root of B-Tree contains at least one key & all other nodes contain at least  $t+1$  keys whose height  $h$  has at least  $t$  nodes at depth 1, at least  $t^2$  nodes at depth 2 & at least  $t^3$  nodes at depth 3 & soon until at depth  $h$  it has at least  $t^{h-1}$  nodes.



$$b^n = a \quad \log_b a = n \quad \log_2 \left(\frac{n+1}{2}\right) = h$$

no. of keys satisfies the inequality

$$n \geq 1 + (t-1) \sum_{i=1}^h i \quad (\text{L.P})$$

$$n \geq 1 + (t-1) \left[ \frac{t^h - 1}{t-1} \right]$$

$$\geq 2^{t^h - 1}$$

$$t^h \leq (h+1)$$

taking log at base t

$$h \leq \log_t \left( \frac{h+1}{2} \right)$$

$$h \leq 1$$

~~RB~~ no. of internal nodes  $\leq 2$

$$n \geq 2$$

$$n+1 \geq 2 \cdot \frac{h}{2} \log_2 2$$

$$\log_2(n+1) \geq \frac{h}{2}$$

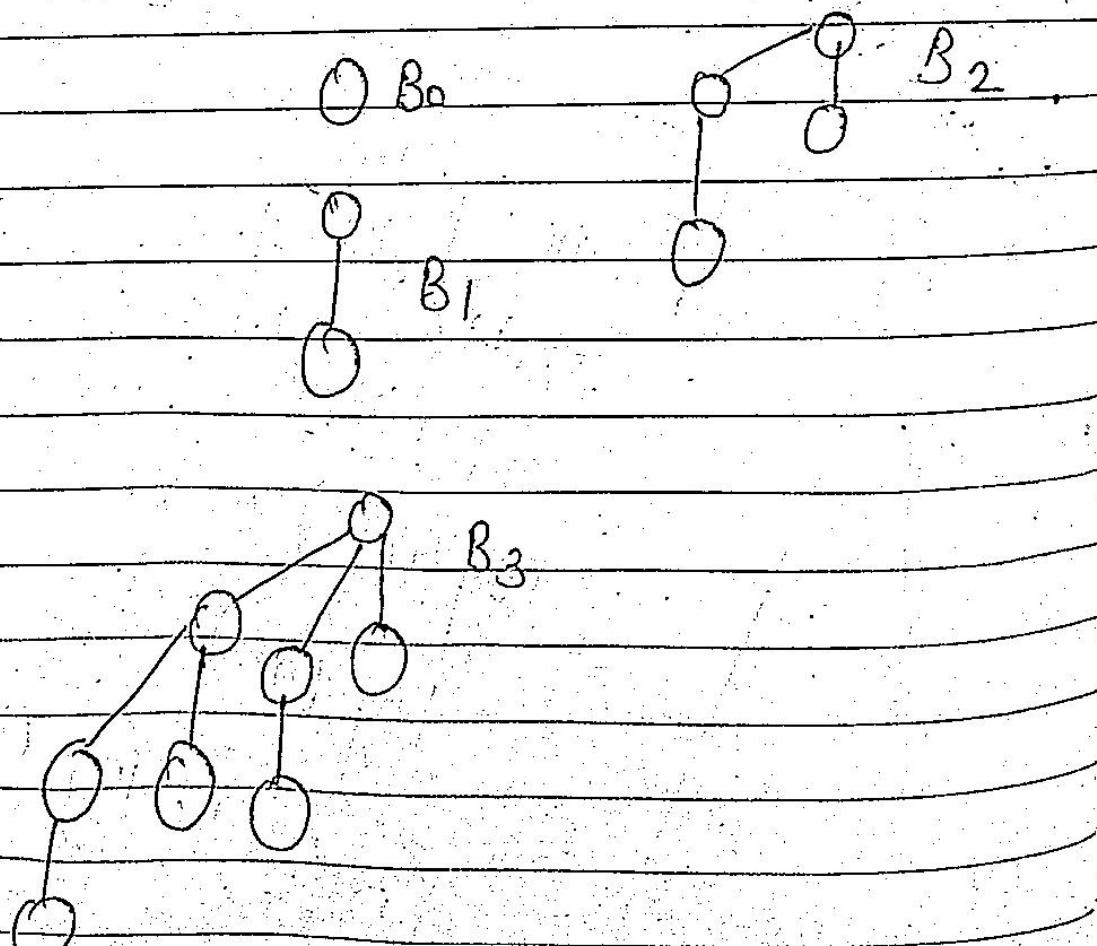
$$\log_2(n+1) \geq \frac{h}{2}$$

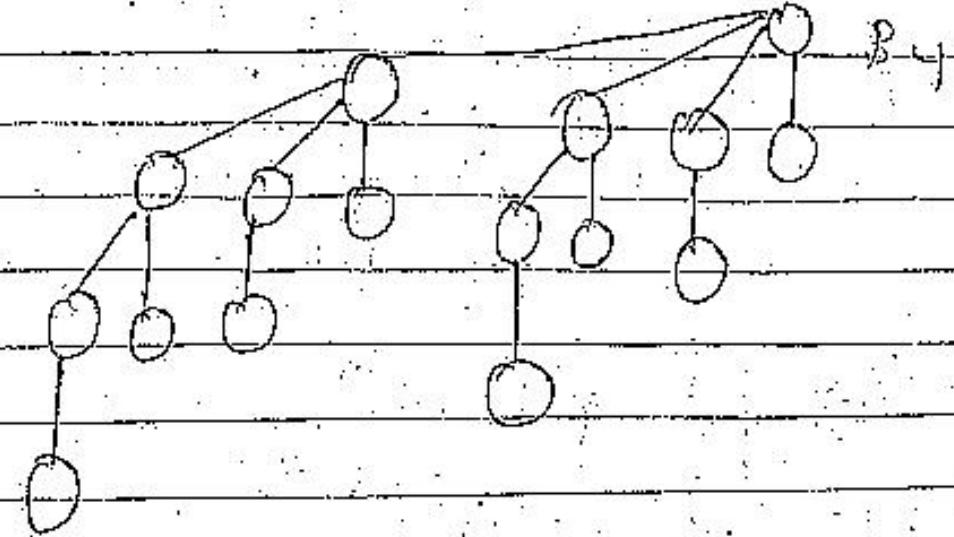
$$h \geq 2$$

## Binomial Heap

Binomial heap is the way of implementing mergeable heap. There are following operations that are performed on by binomial heap.

- (1) make heap
- (2) Insert a node
- (3) minimum of h
- (4) Union of two heap
- (5) merge
- (6) Decrease a key.

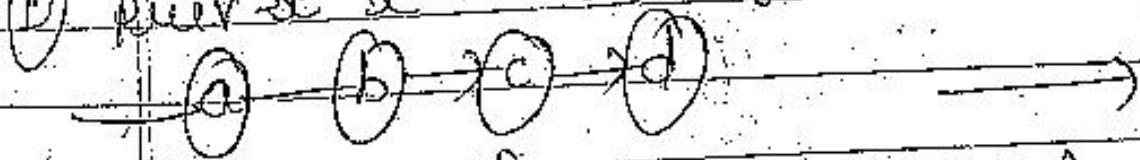




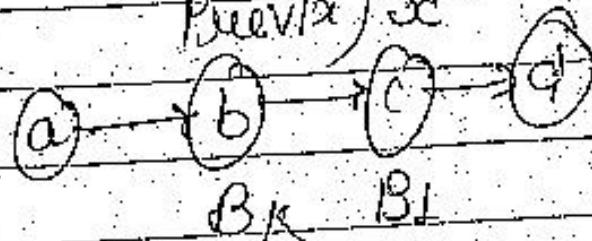
Depth	no. of nodes
0	1
1	4
2	6
3	4
4	1

Union :-

(i)  $\text{prev}[\alpha] \leftarrow \text{next}[\alpha]$  sibling( $\text{next}[\alpha]$ )

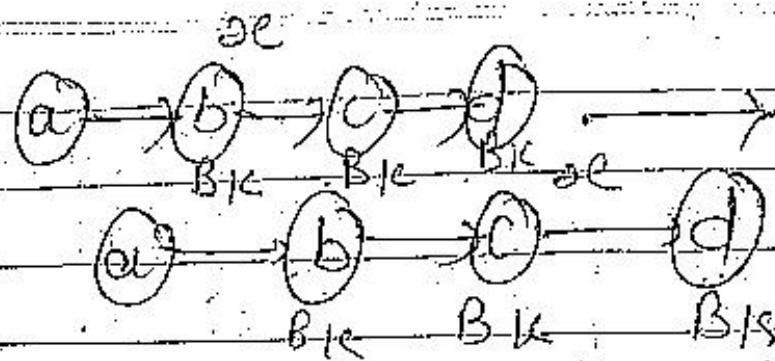


$B_K \quad B_1$        $\text{next}(\alpha)$   
 $\text{prev}(\alpha) \leftarrow$

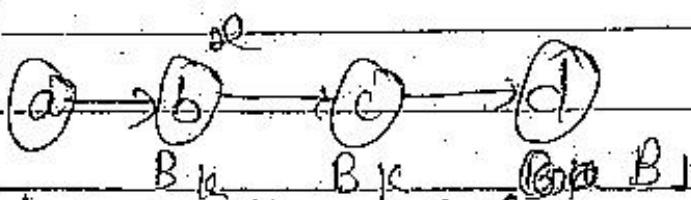


$B_K \quad B_1$

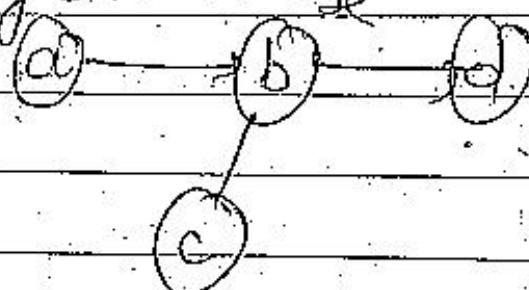
②



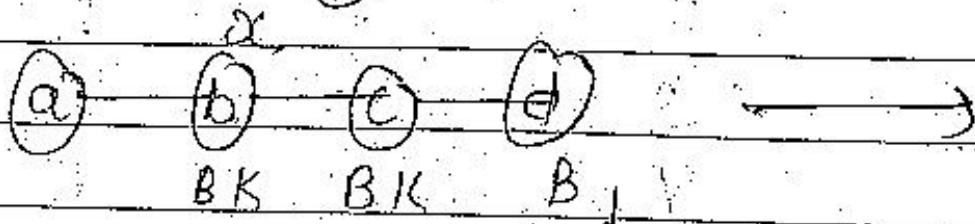
③



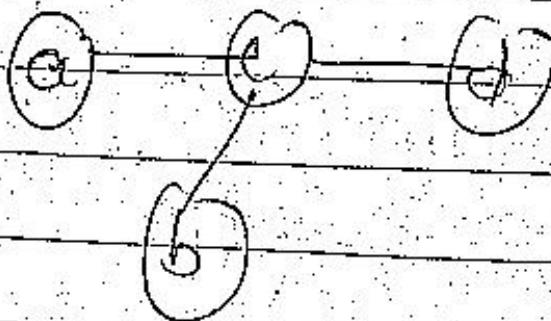
$$\text{key}(x) = \text{key}[\text{next}(x)]$$

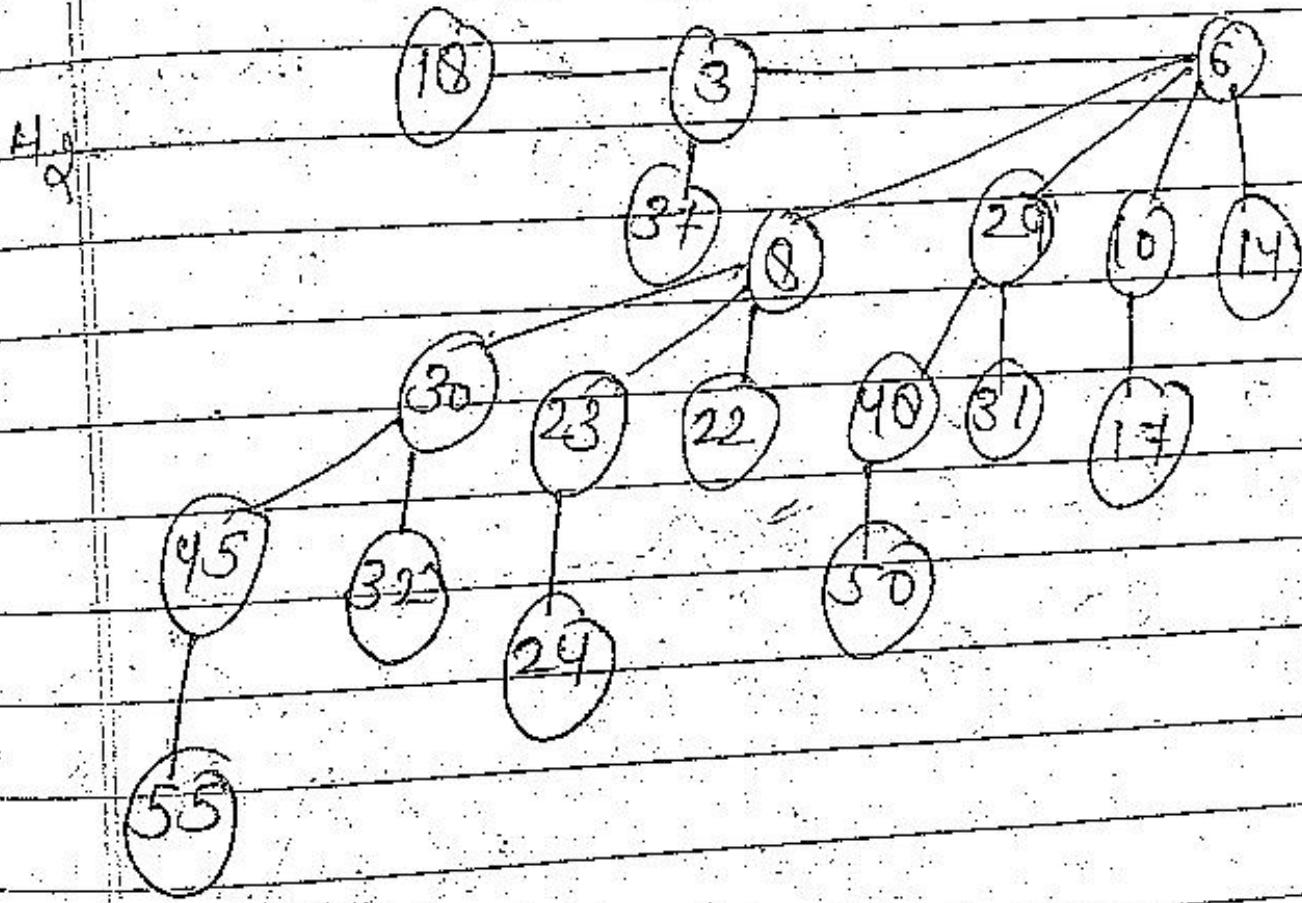
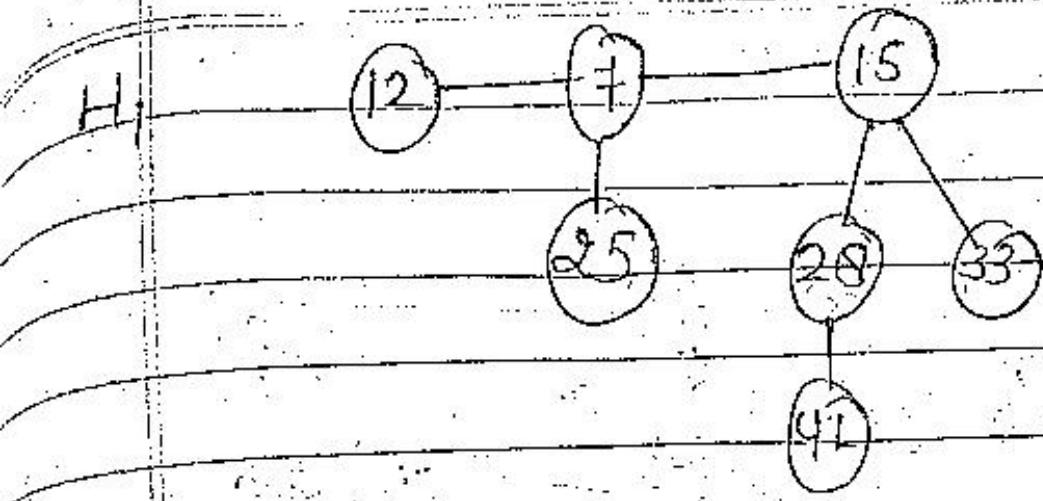


④



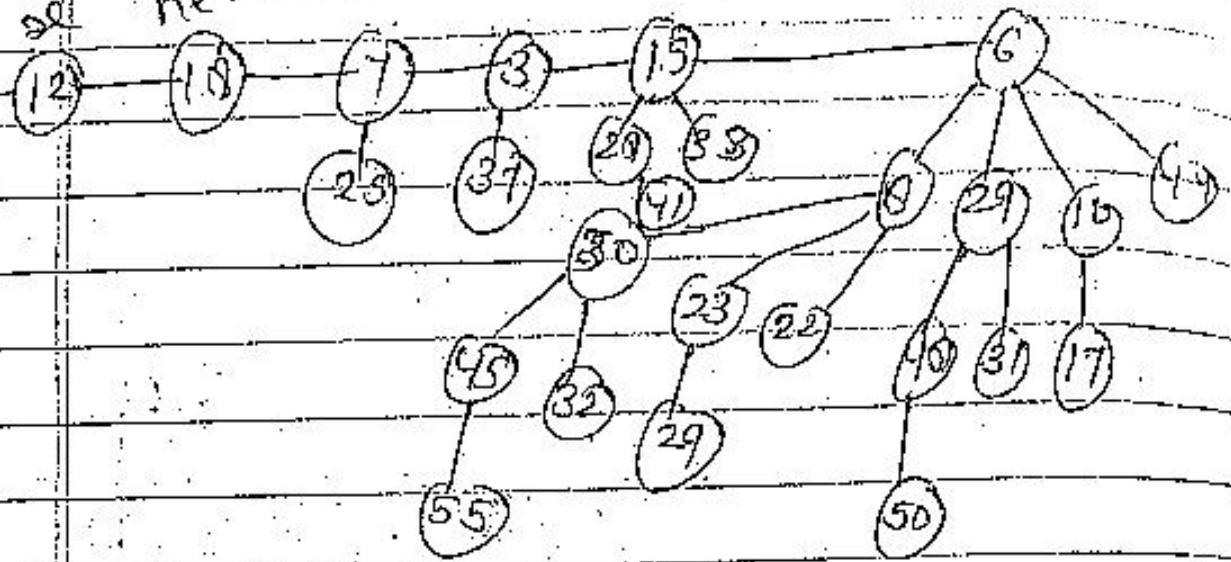
$$\text{key}(x) \geq \text{key}(\text{next}(x))$$



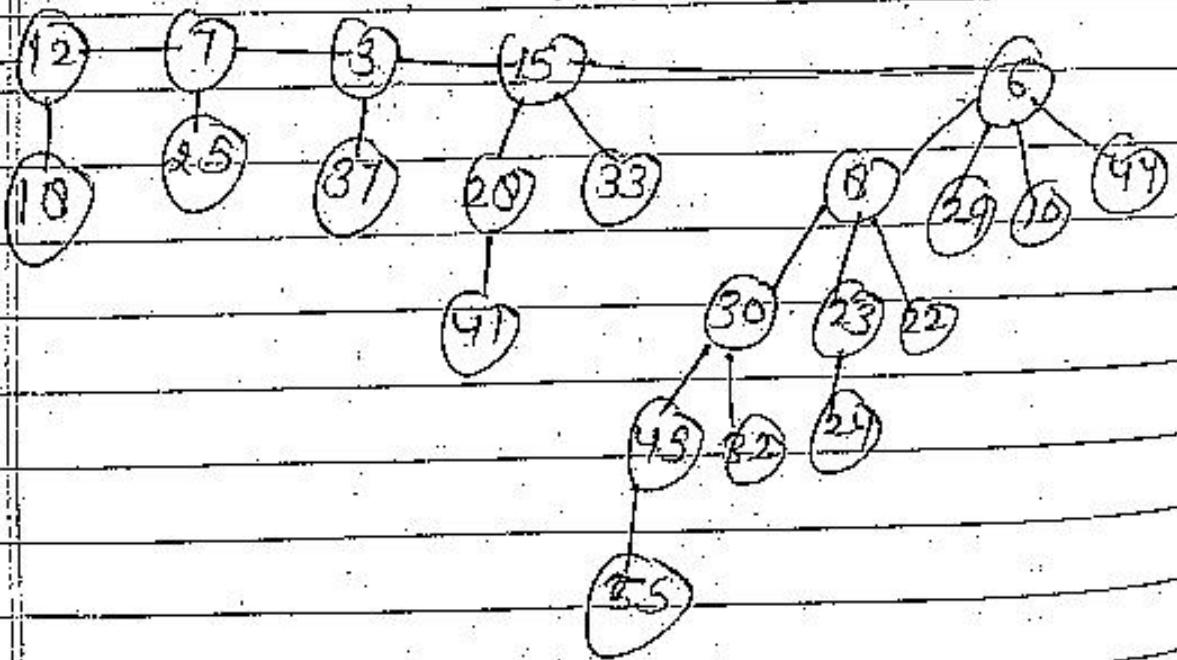


# Binomial Heap

se next fa)



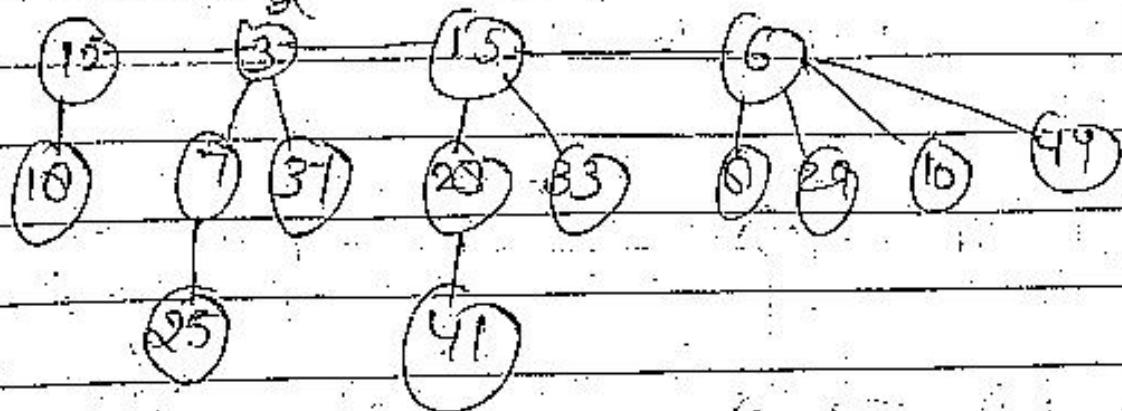
a next fa)



prev

ge

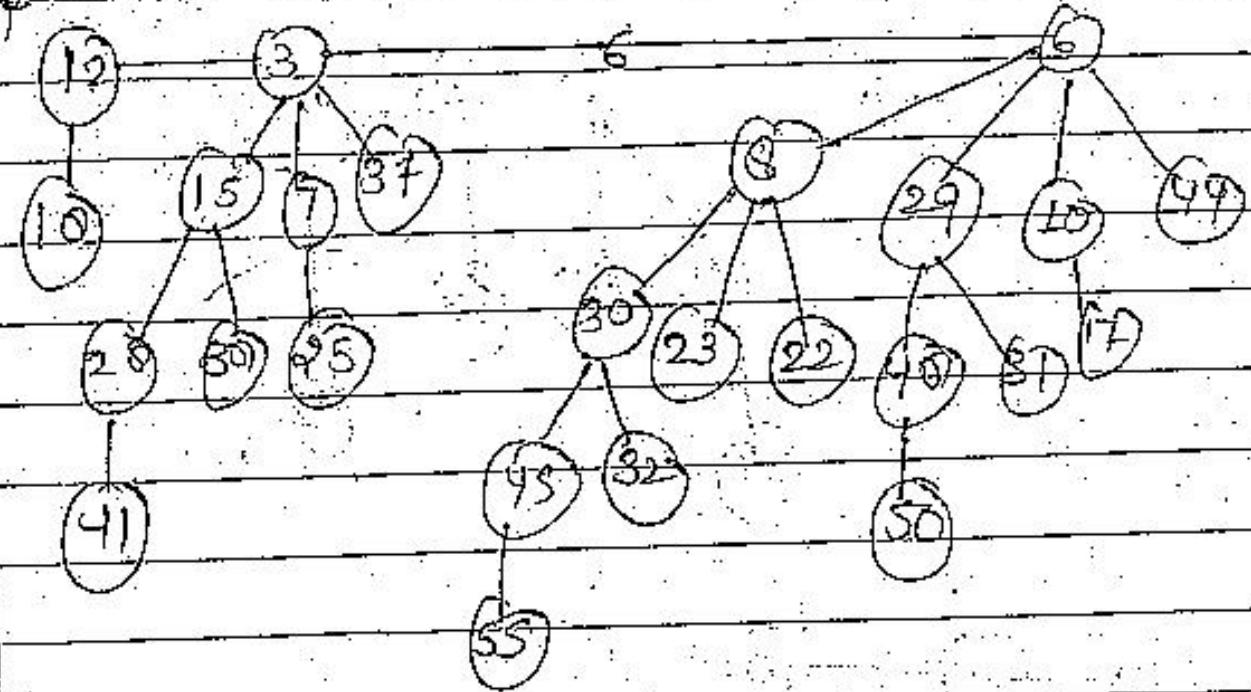
next x



prev

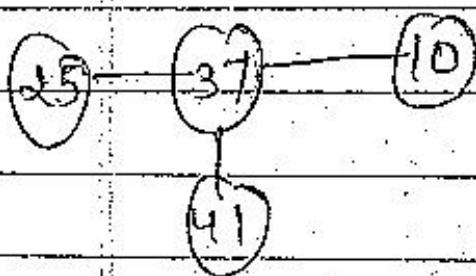
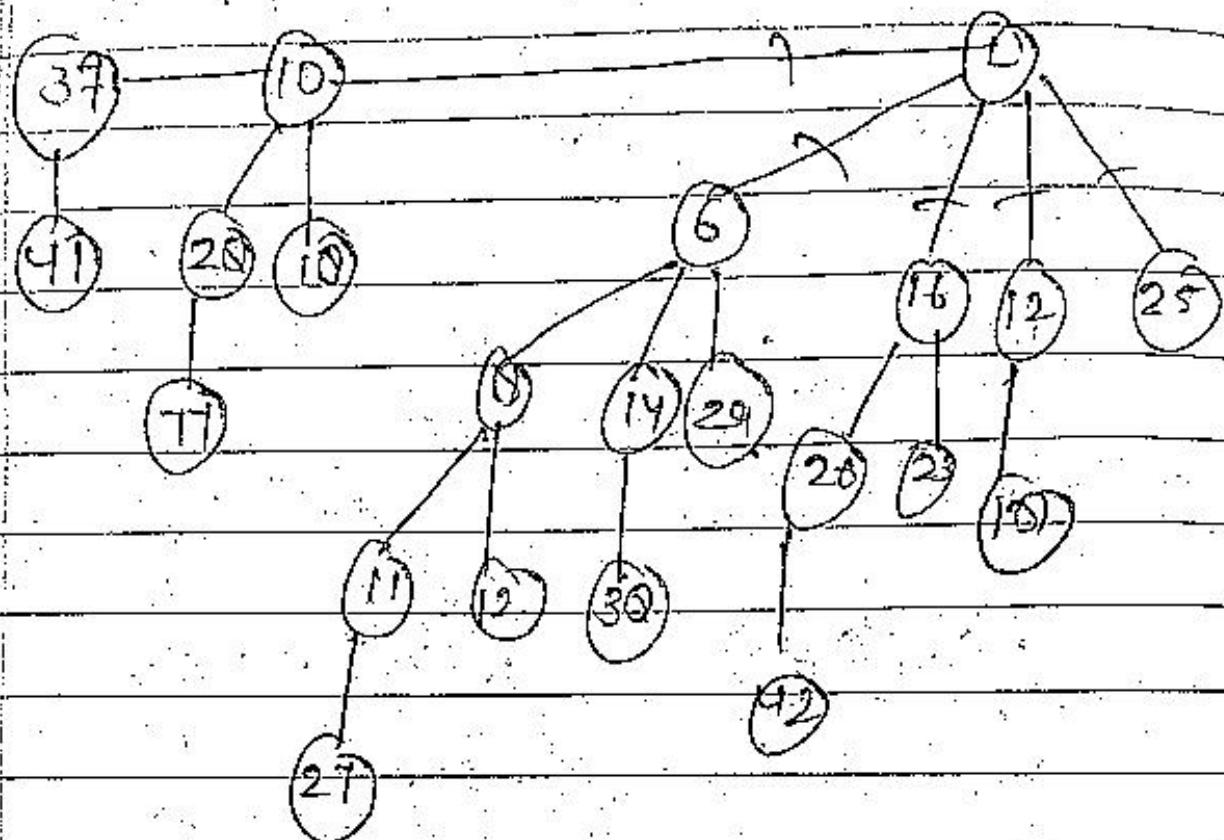
x

greater[x]



②

Extract Min :-



walk

left

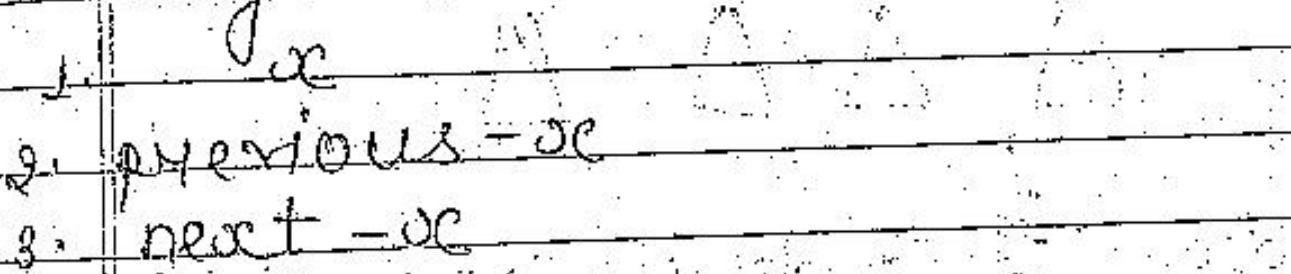
walk

right

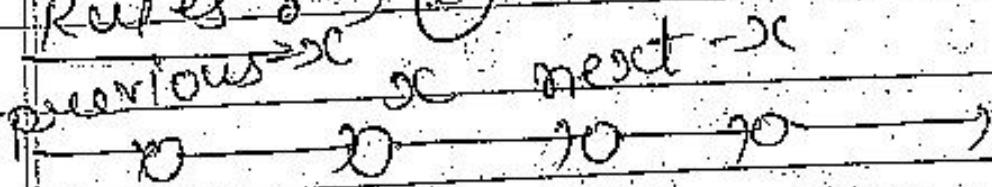
## UNITING TWO BINOMIAL HEAPS

Unite two binomial heaps  $H_1$  &  $H_2$ :

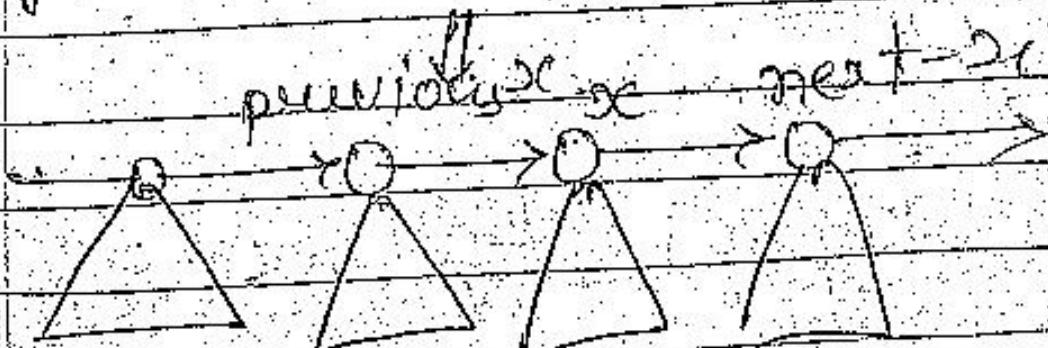
- merge the root lists of  $H_1$  &  $H_2$  into a single linked list  $H$ .
- roots of binomial trees in  $H$  must be in order of increasing degree.



Rules  $\Rightarrow$  ①



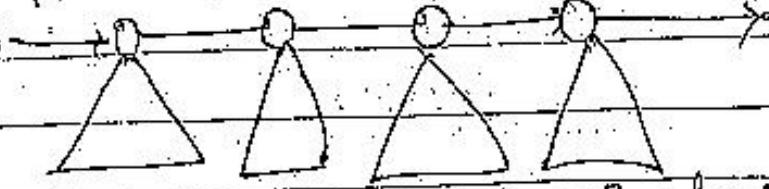
$\text{degree}[\text{prev}] \neq \text{degree}[\text{next}]$



(2)

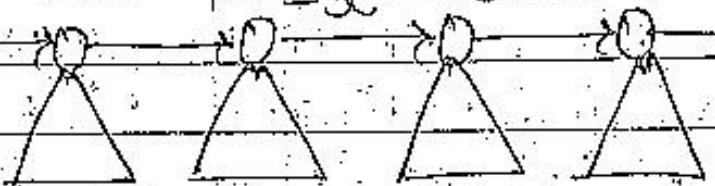
previous  
x next x sibling [next- $\rightarrow$ ]

(2)



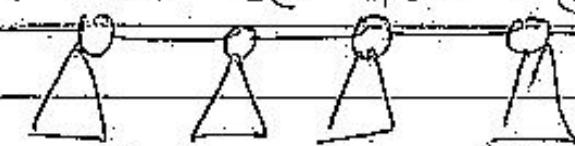
$\text{degree}[x] = \text{degree}[\text{next}-x] = \text{degree}[\text{sibling}[\text{next}-x]]$

previous x next-x



previous x next-x sibling [next- $\rightarrow$ ]

(3)

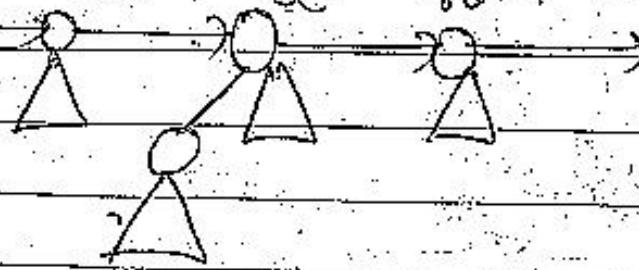


$\text{degree}[x] = \text{degree}[\text{next}-x] \neq$

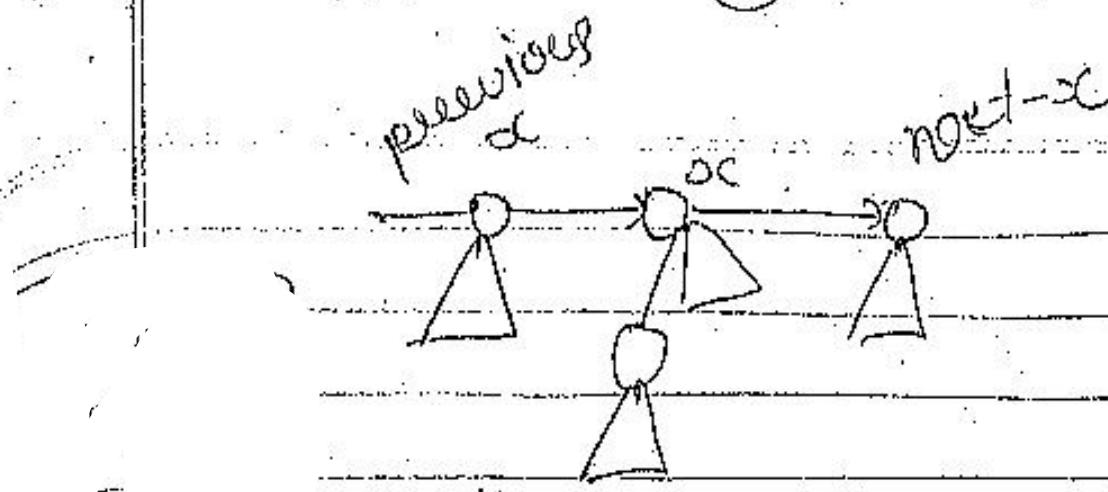
$\text{degree}[\text{sibling}[\text{next}-x]]$

previous ↓

x next-x



$\text{key}[x] \neq \text{key}[\text{next}-x]$



$\text{key}[x] > \text{key}[\text{next}-x]$

STOP

$[\text{next}-x = \text{NIL}]$

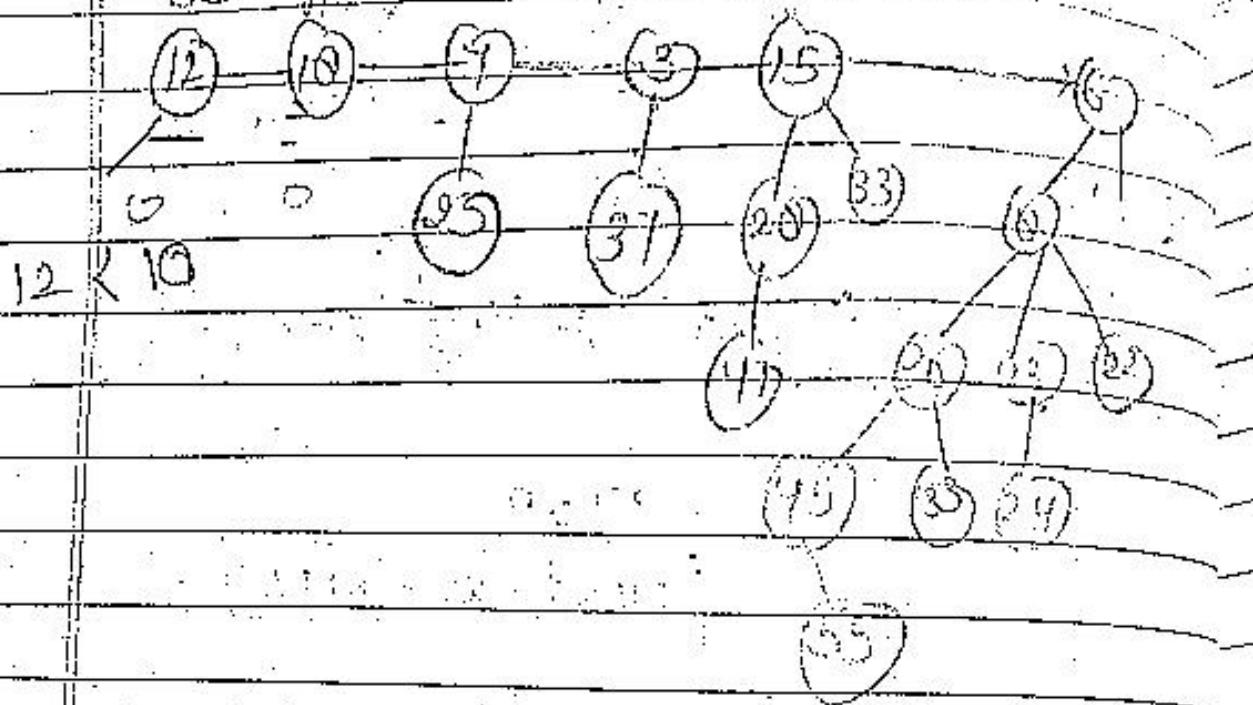
\* properties of binomial tree

- ① B<sub>K</sub> tree has  $2^K$  nodes
- ② degree of root is K
- ③ Height is K
- ④ There are exactly  $K_i$  nodes at depth i

where  $K_i = \frac{K!}{i!(K-i)!}$

$$(K-1)!!$$

2007-0000

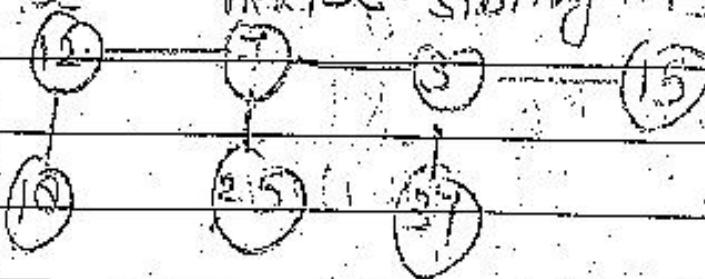


Honeydew melon for the winter

$\text{degree}[x] \geq \text{degree}[\text{next\_sibling}[x]]$

12 < 16

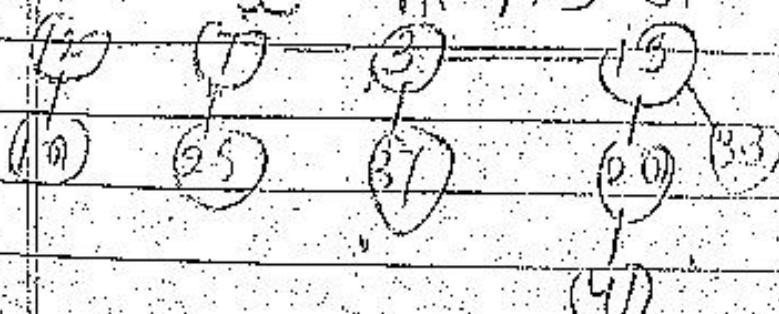
~~next~~ sibling of)



$\text{degree}(x) = \text{degree}(\text{parent}(x))$   
 $= \text{degree of sibling}$

100

~~sc~~ met for) sv



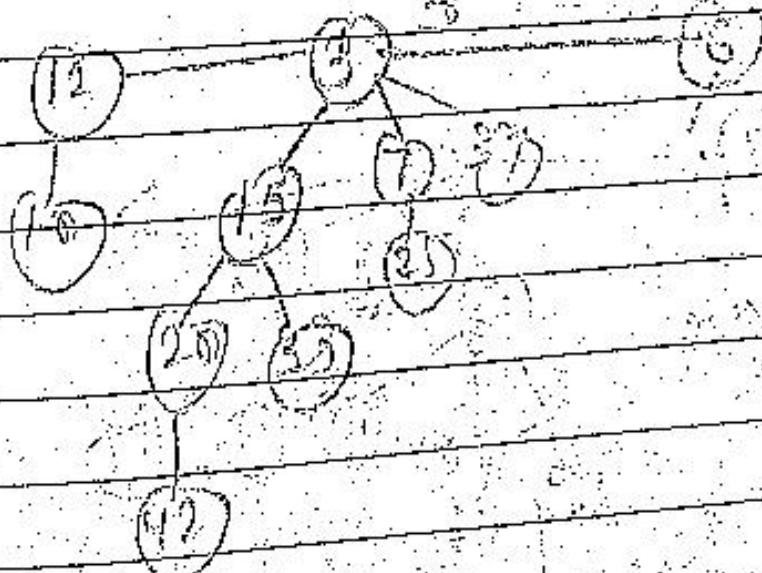
783

parent



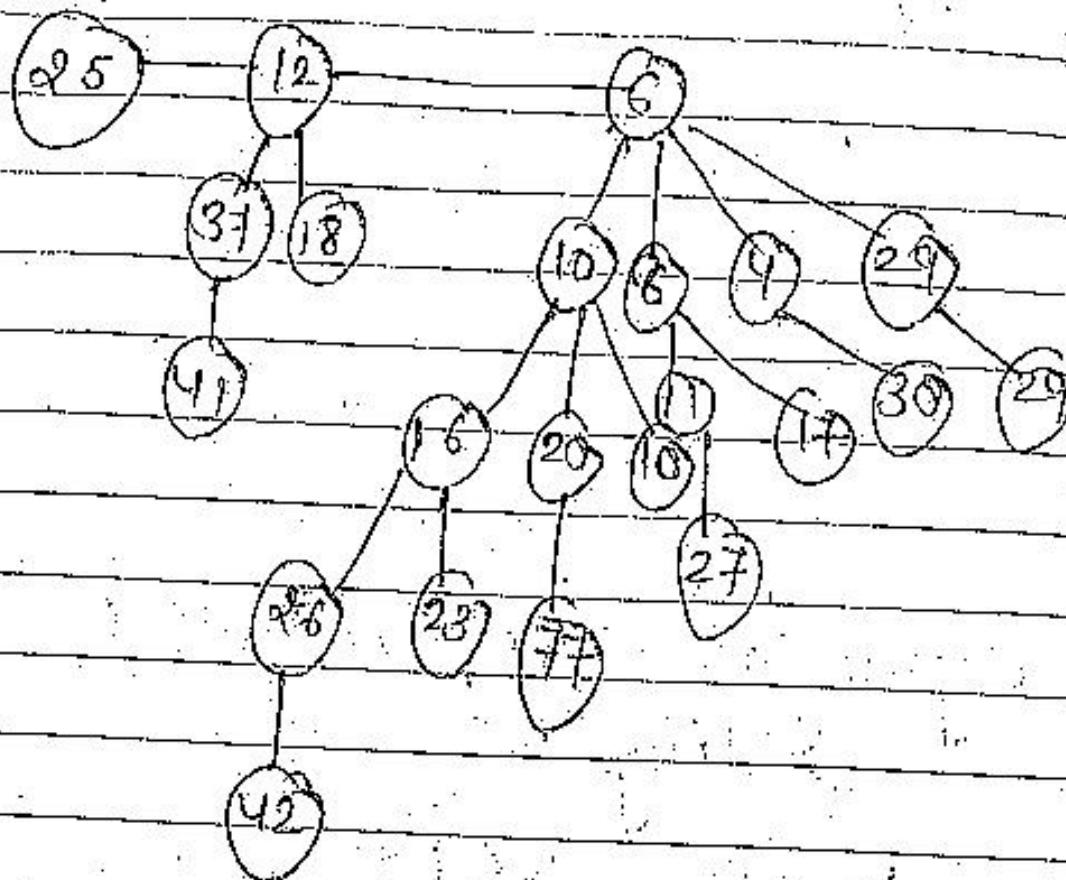
$\text{degree}(\alpha) = \text{degree}(\text{next}[\alpha]) +$   
sibling

15 & 6      3 & 15

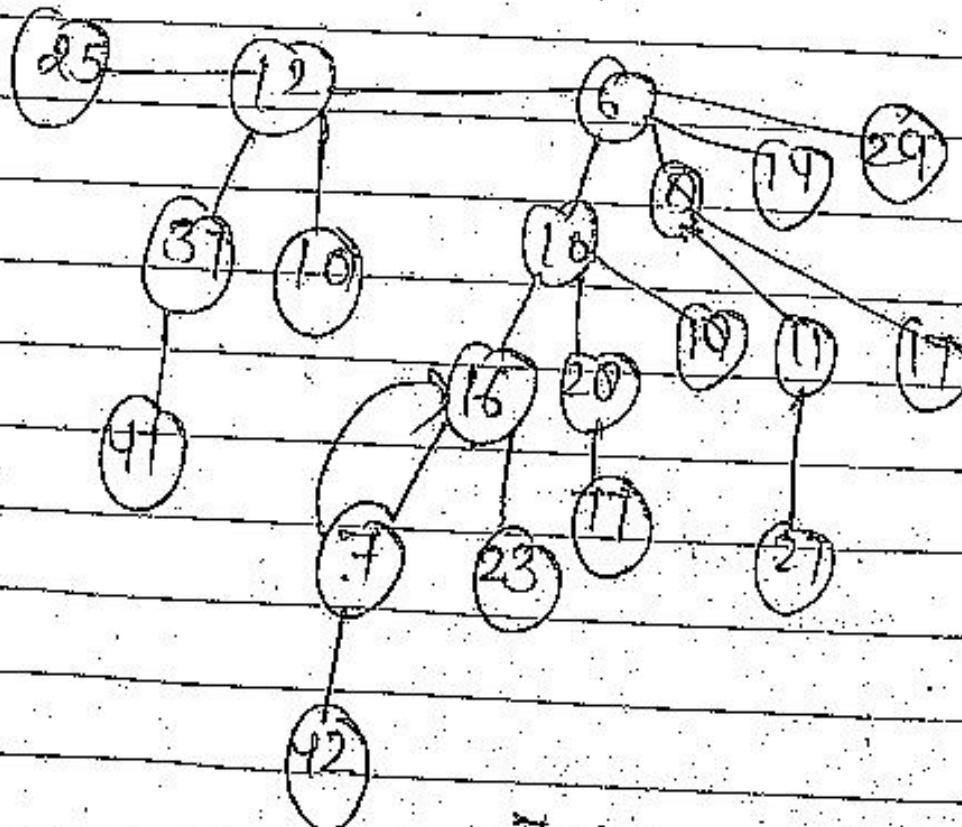


degree

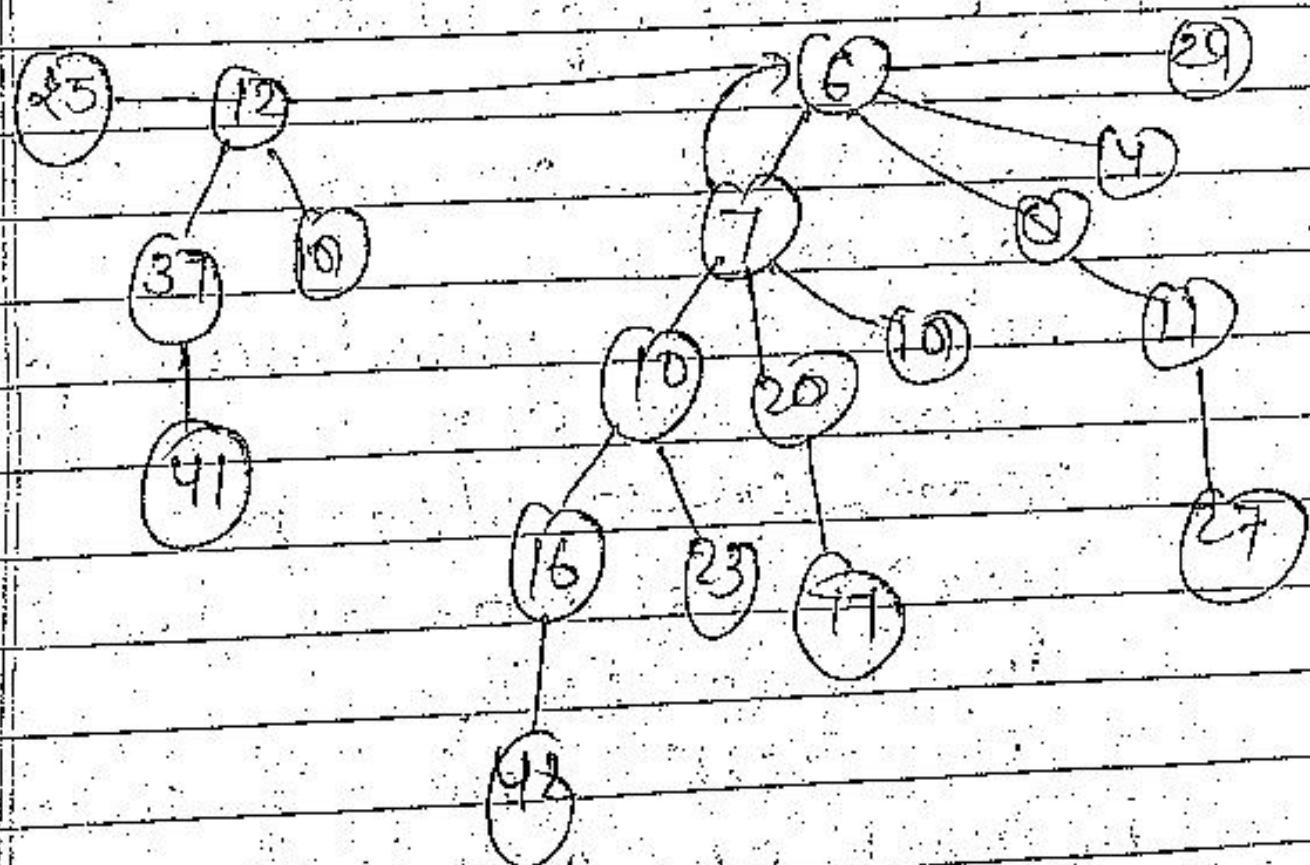
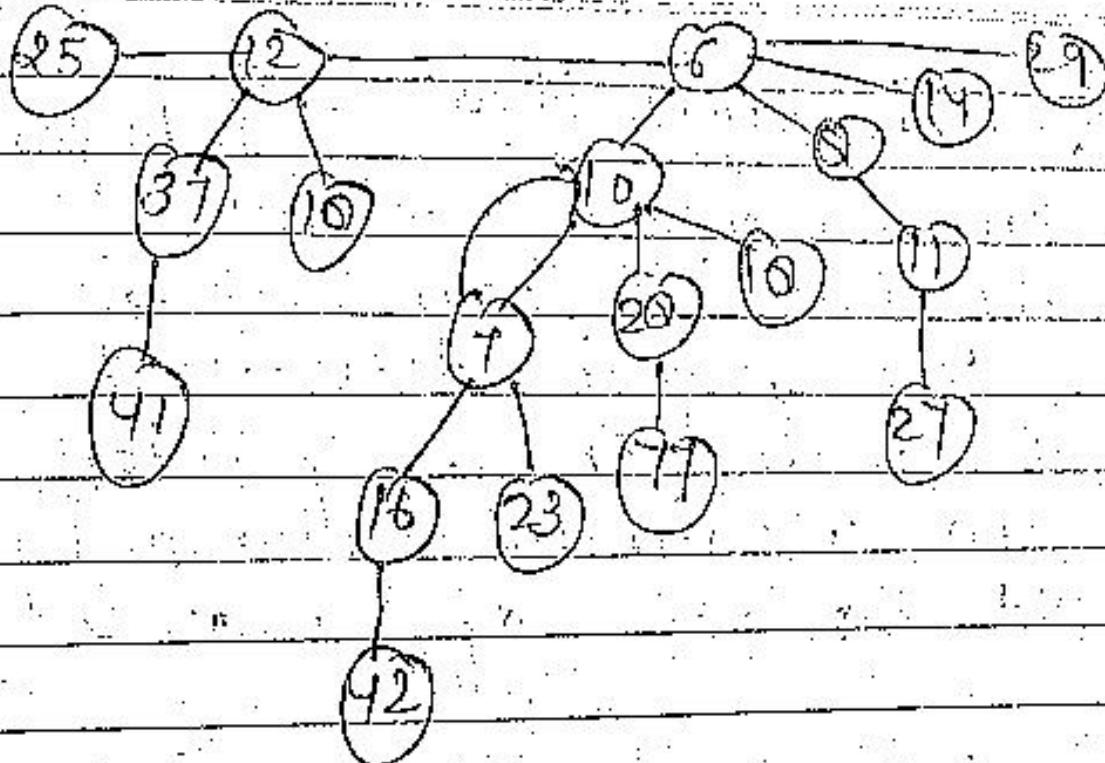
# Decrease key



$26 \rightarrow 7$



7



Delete binomial heap  $\rightarrow$  do  
ourselves

## Fibonacci Heap $\Rightarrow$ fibonacci

Heap is very similar to binomial heap but it has less rigid structure.

- It maintains a pointer to the minimum element.
- It has a set of marked nodes.

notations of Fibonacci Heap  $\Rightarrow$

$m = \text{no. of nodes in a heap}$

$\text{rank}(x) = \text{no. of children of node } x$

$\text{rank}(H) = \text{maximum of rank of any node in a heap } H$

$\text{tree}(H) = \text{no. of trees in heap } H$

$\text{marks}(H) = \text{no. of marks in a heap } H$

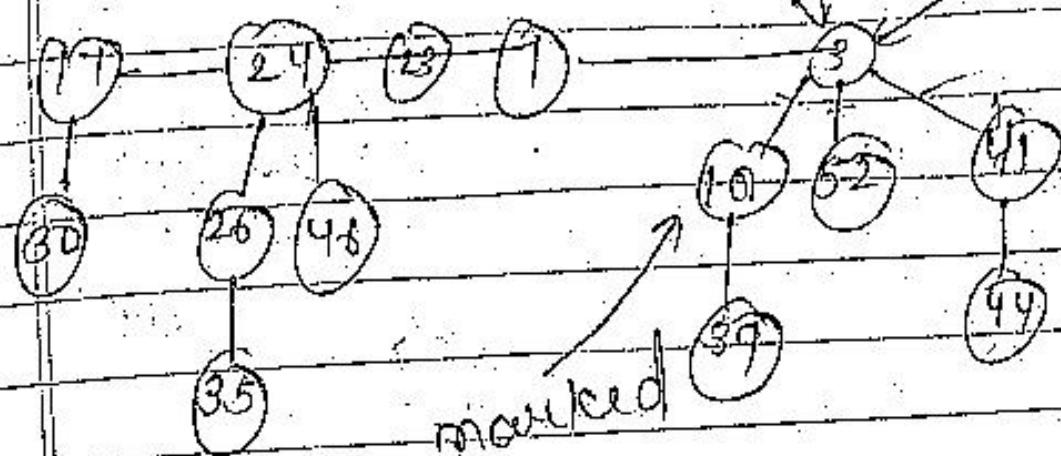
potential function

$$\phi(n) = \cancel{\text{Heap tree}(H)} + 2 * \text{mark}(H)$$

$$= 5 + 2 \times 2$$

$$\boxed{\phi(n) = 9}$$

$\text{fele}(\text{H})^5 \text{ } \text{ch}^3$   
 don't  $n=14$  rank = 3  
 $n=14$



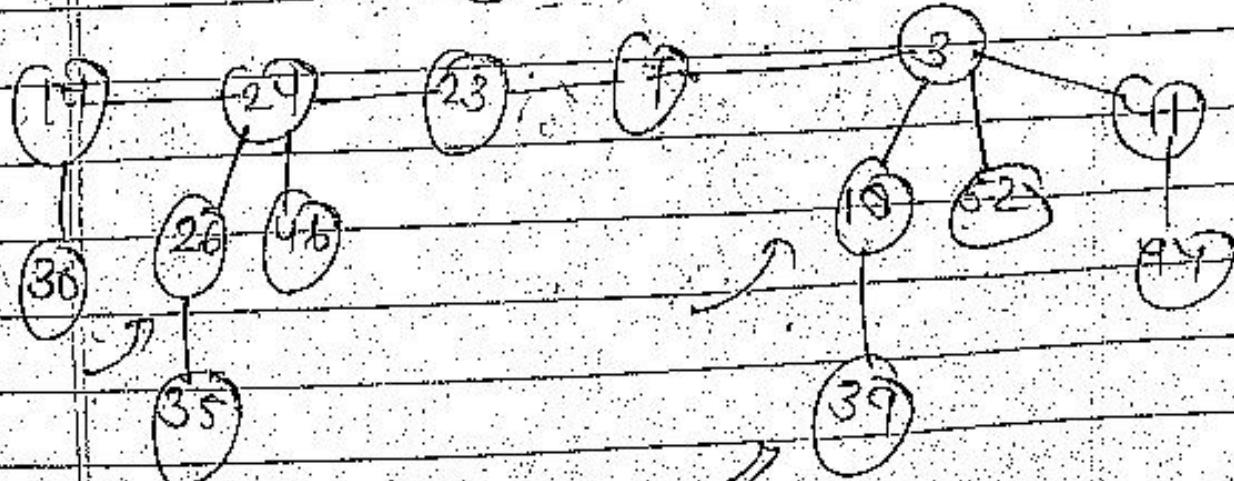
## \* Operations on Fibonacci $\Rightarrow$

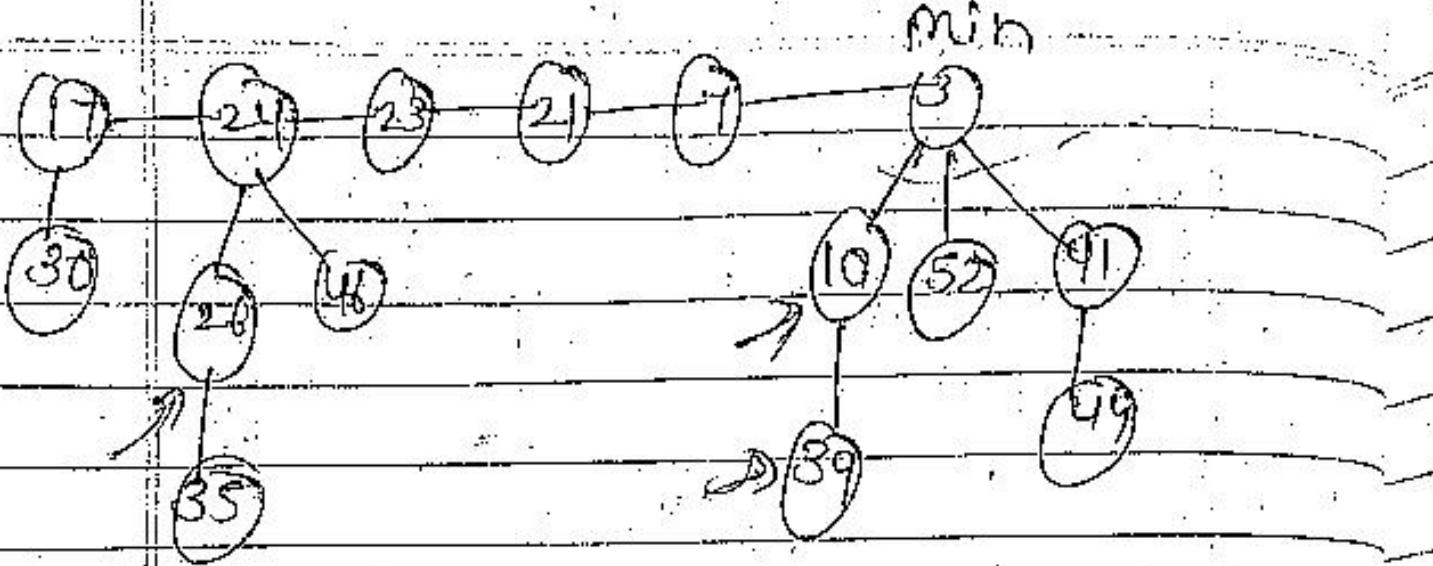
$\rightarrow$  Insertion:  $\Rightarrow$  Insertion in a fibonacci heap can be done,

in two way:

- (1) create a new singleton tree,
- (2) add to the root list & update minimum pointer & name it.

Insert 21

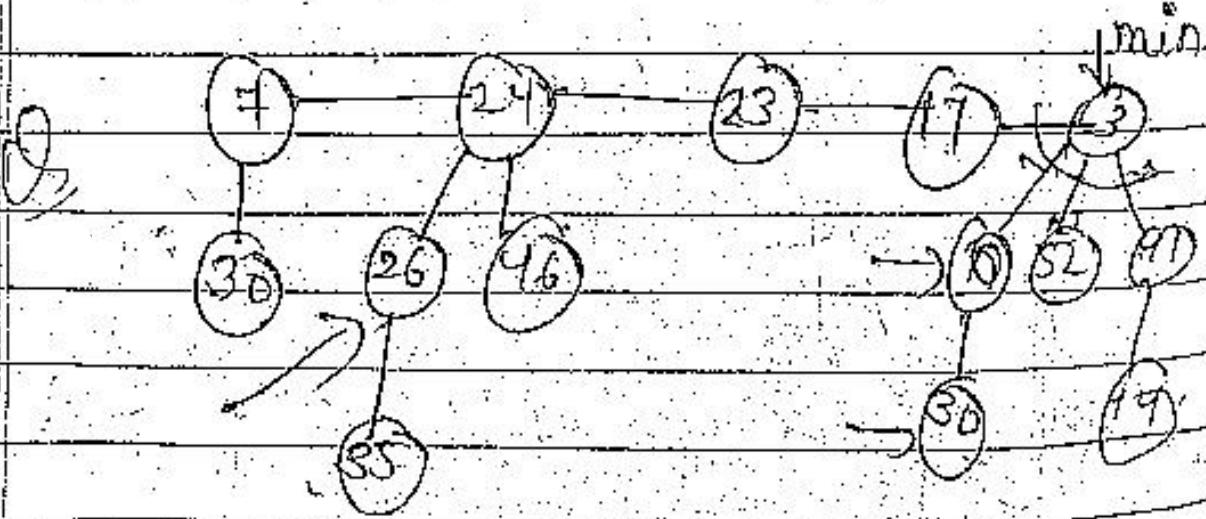




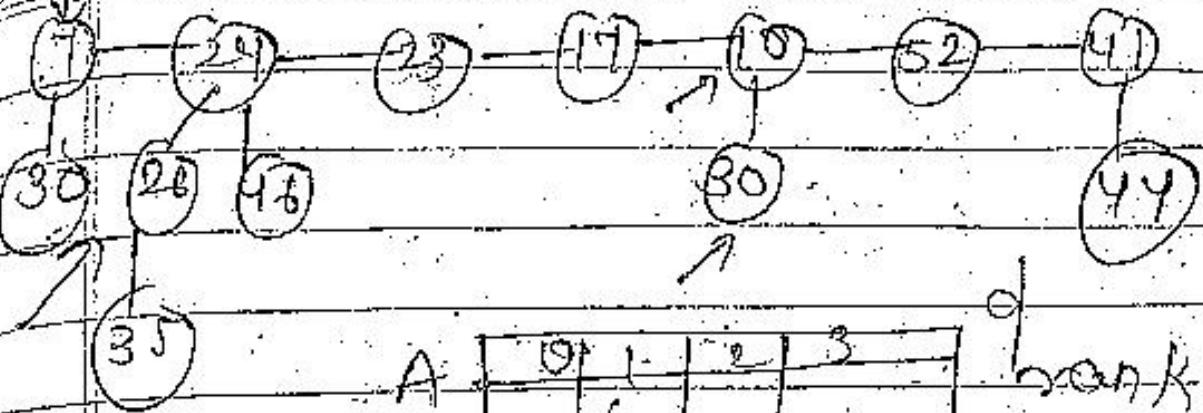
$\rightarrow$  Delete minimum :  $\Rightarrow$  Delete minimum can be done

into two -

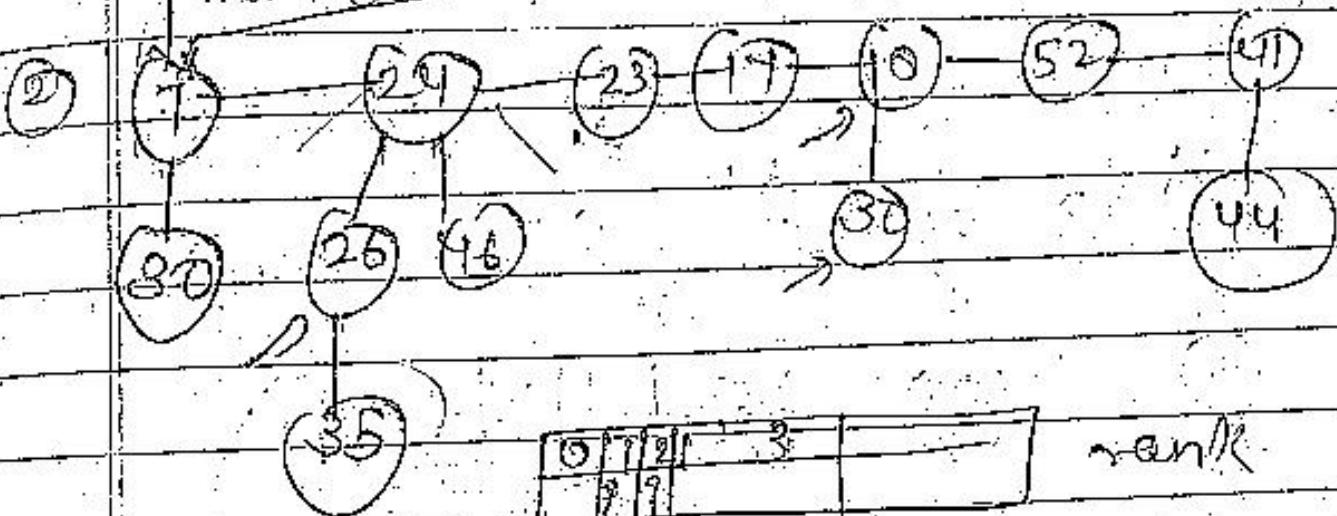
- (1) delete minimum, all matches melt its children into root list and update minimum.
- (2) consolidate tree so that no two roots have same rank.



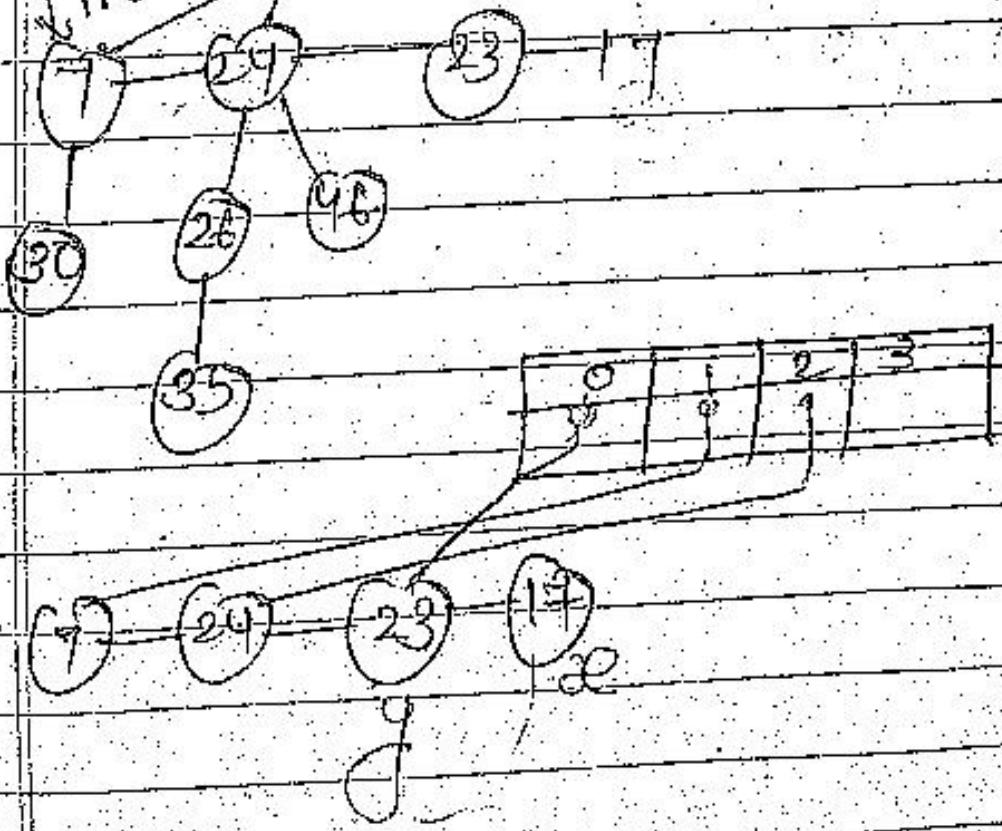
min

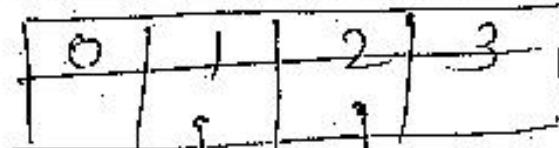


min current

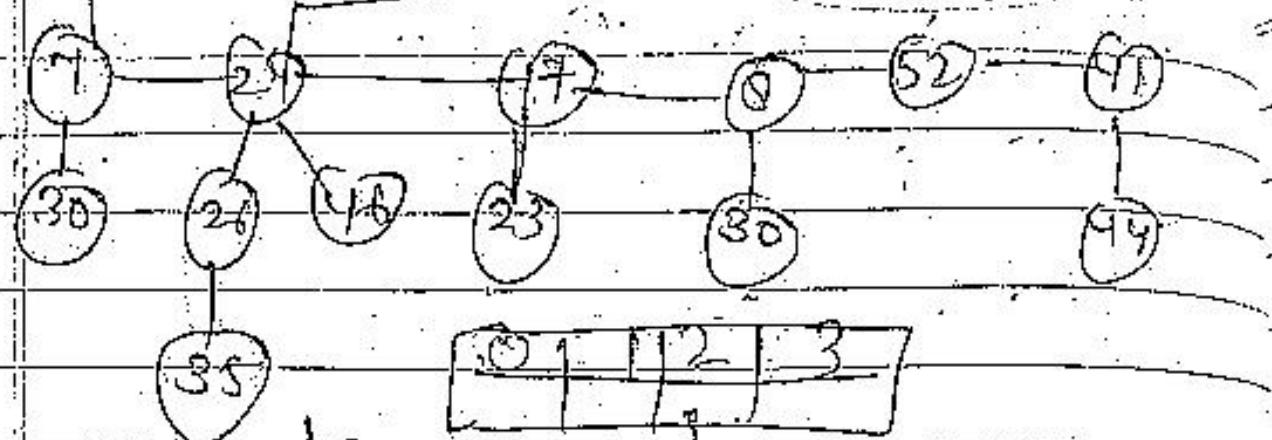


min

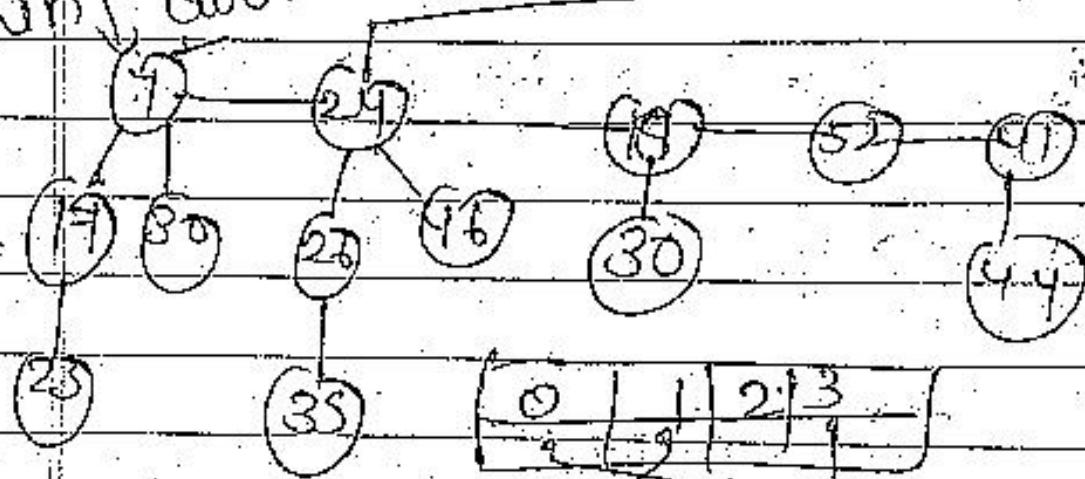




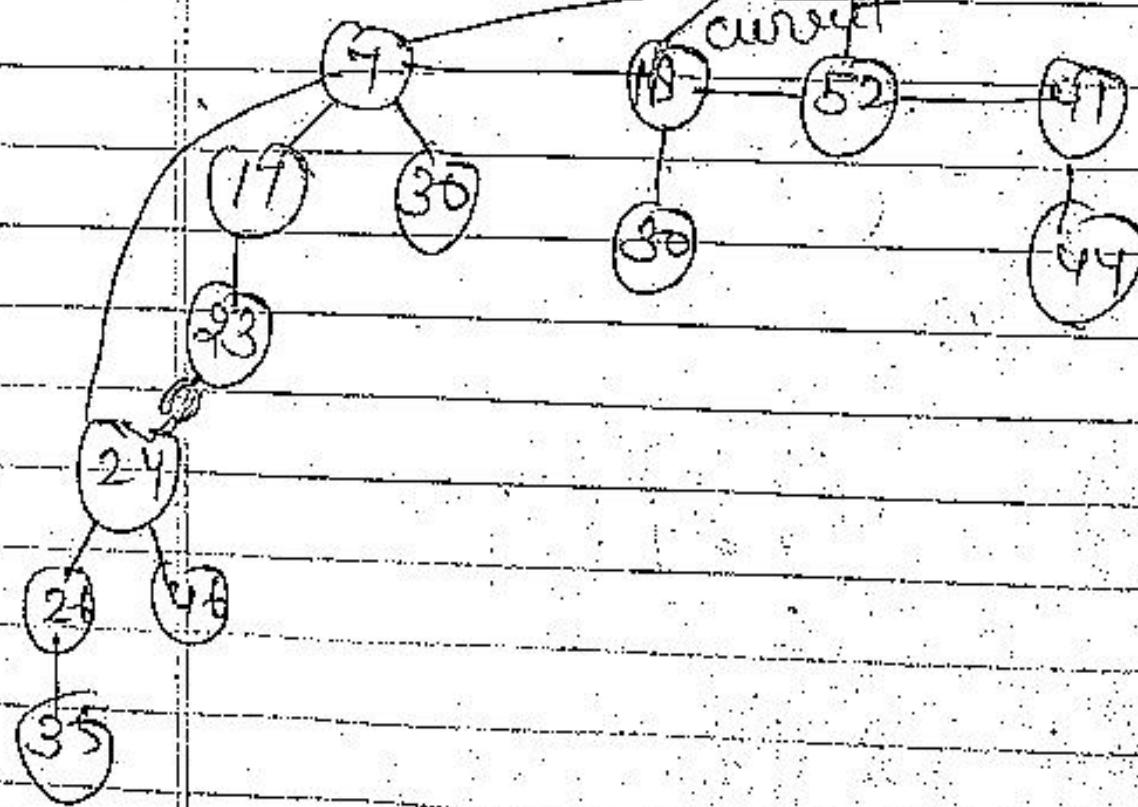
rang

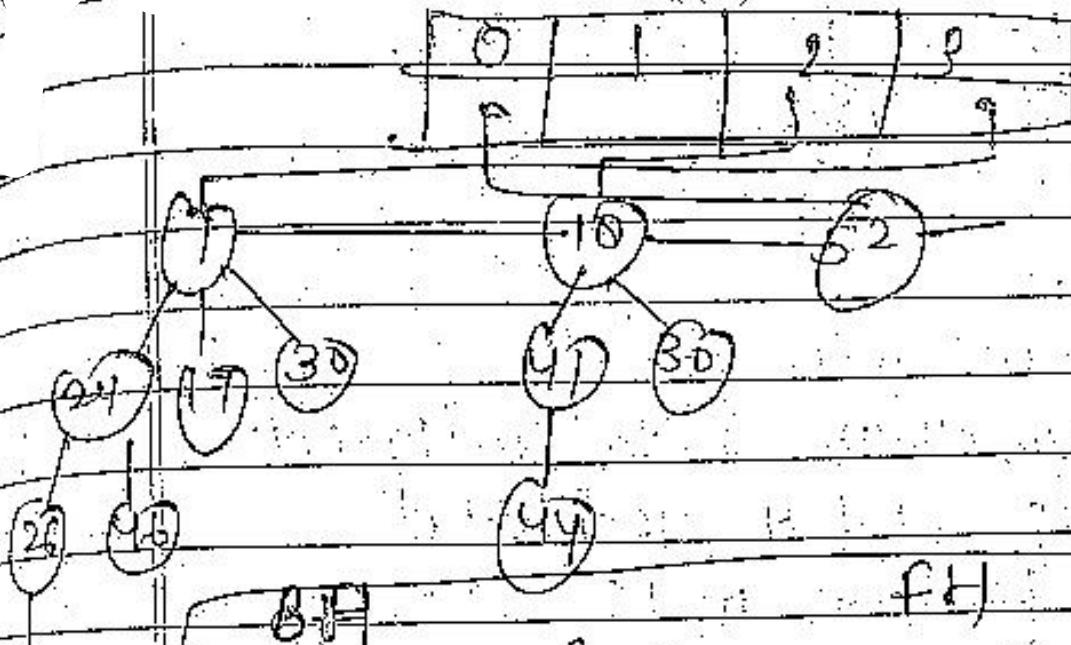


min current



current





(3) In BHs we use a singly linked circular list  
in fibonacci heap we use a doubly linked list

(2) every BH is a fibonacci heap.

(2) but every fibo

heap is not BH.

(3) Delete-min in BHs

(3) whereas delete-min in

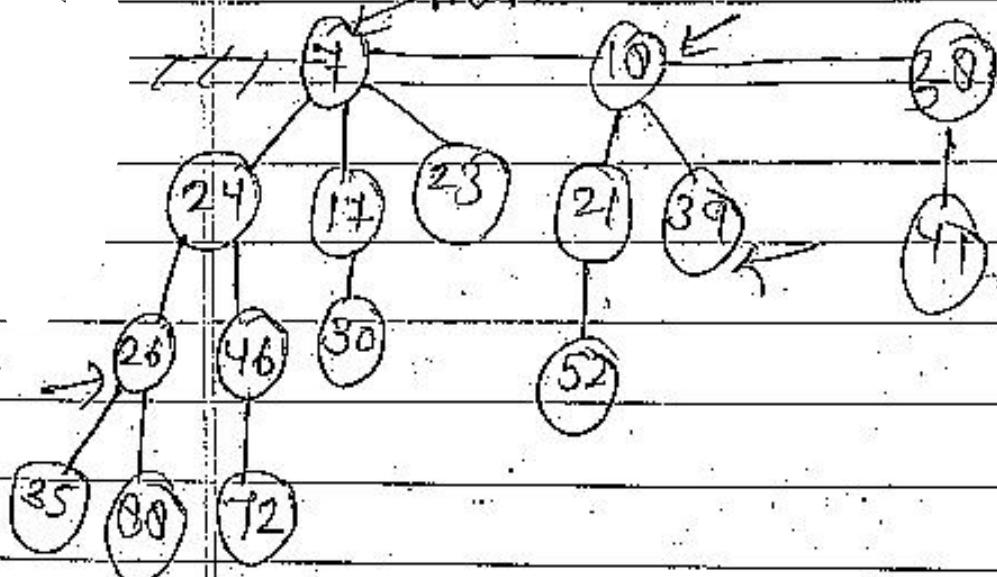
involves the combining of trees performed without joining two trees obtained after deletion

(4) Data members in a node for Binomial heaps are data, link, degree and child,

(4) whereas in fibonacci heaps it's parent, child count, child links degree.

→ Decrease key

min



two main cond<sup>n</sup>

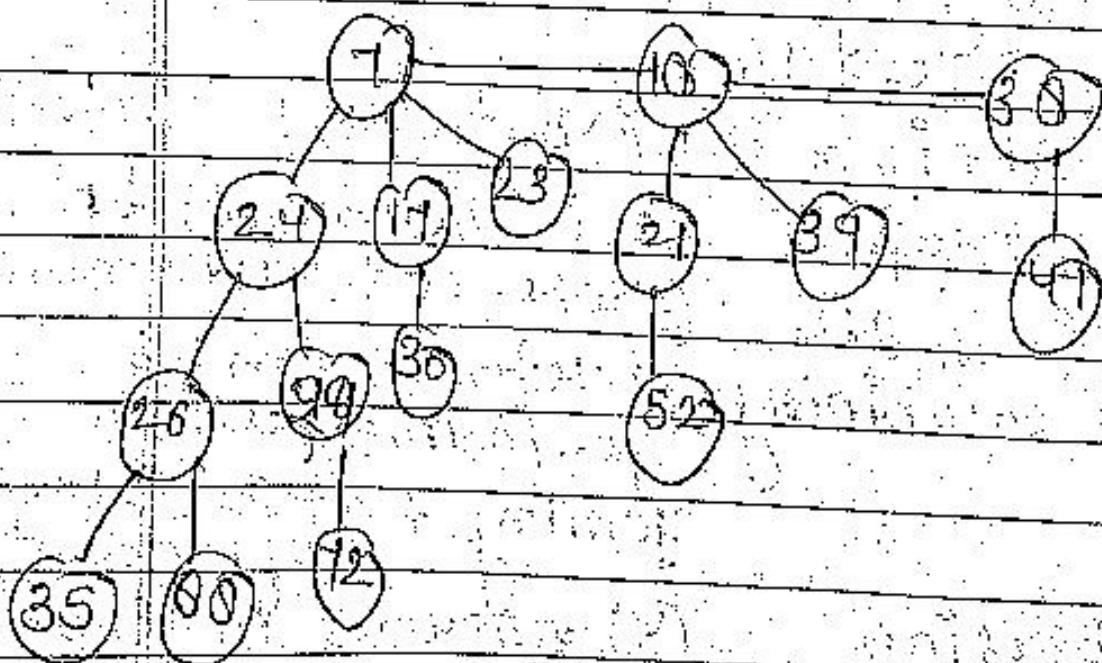
① Heap order not violated

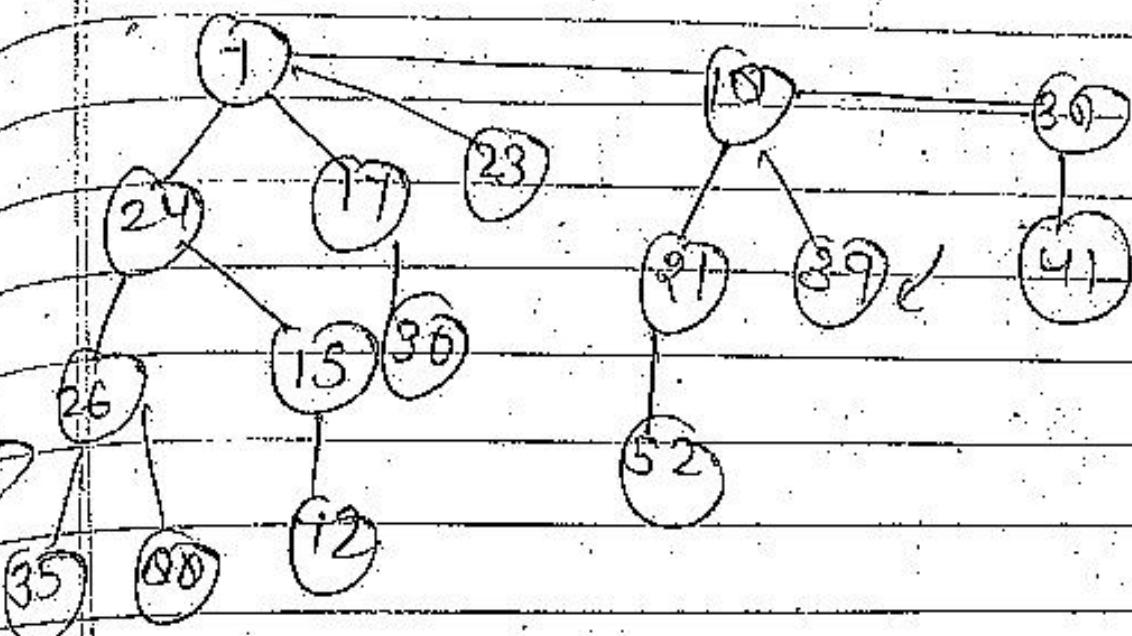
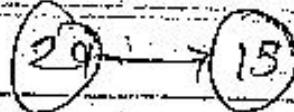
② Heap order violated

mark node

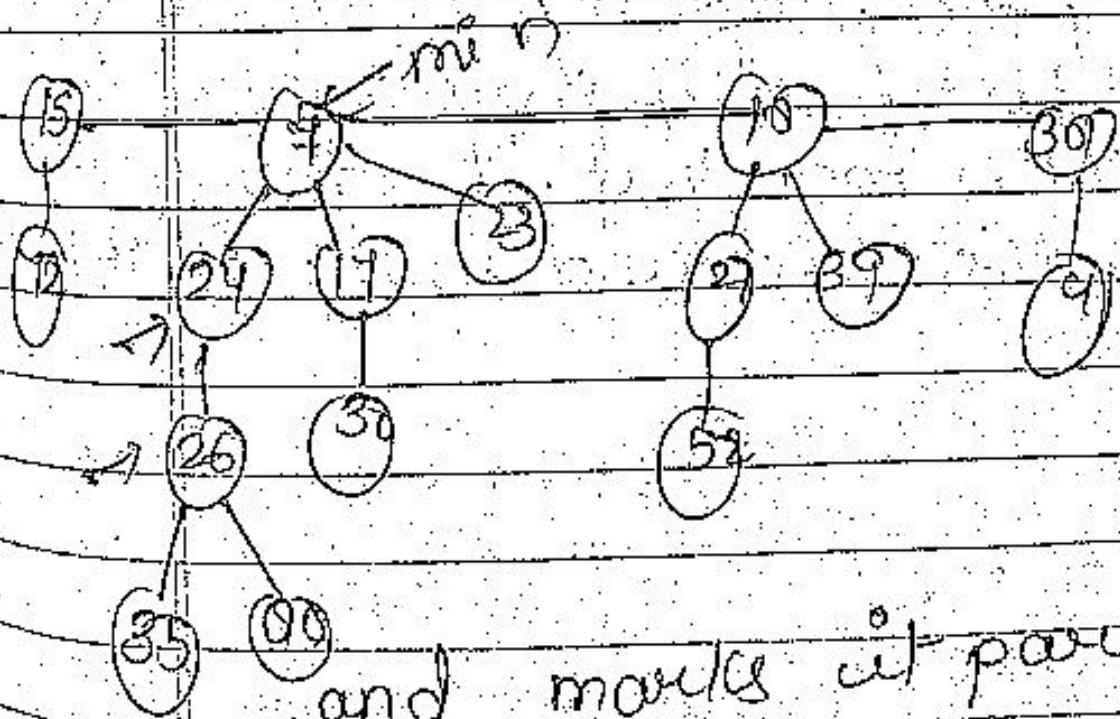
Not a mark node

decrease 46 → 29

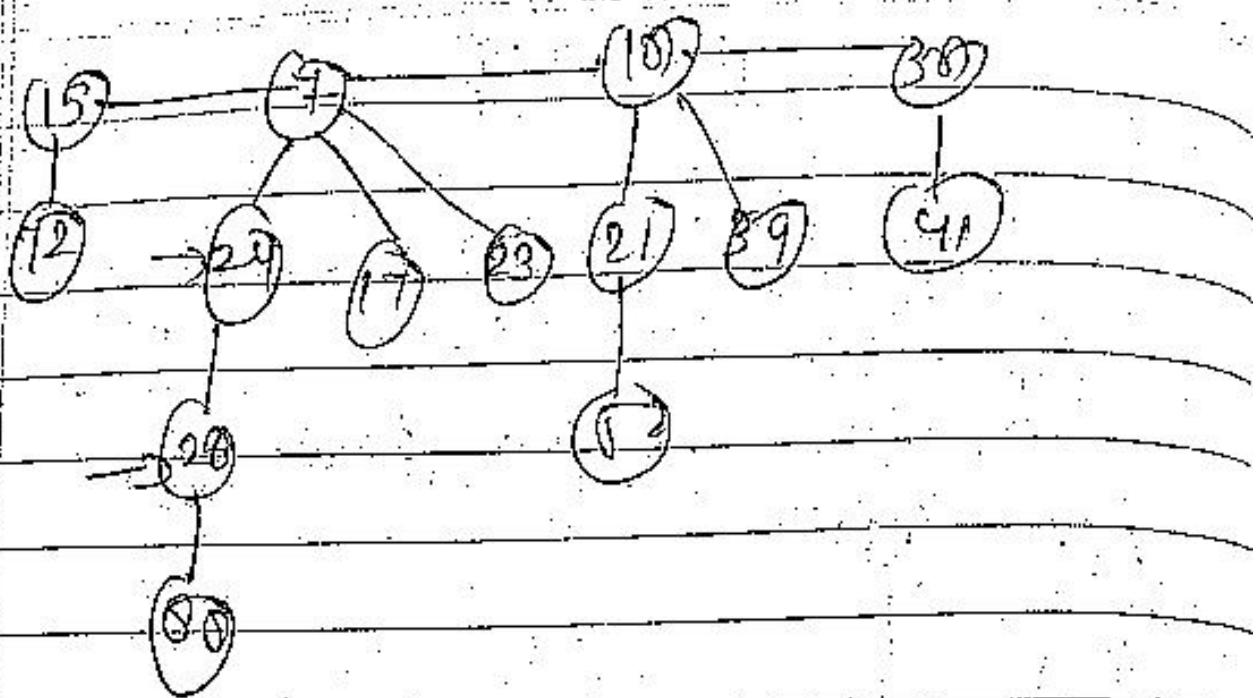




If decrease node is node a mark key & its parent also not a mark node then cut it & add to the root list.



and marks it parent.



If such a case exist if the parent is mark node then cut the child & add it to the root list  
 make parent as unmarked  
 if violated the property then cut and make it root list and if parent at x is unmarked than move it otherwise if marked then cut and make it into root list.