## Toric Code

University of Florida, August 13, 2020

# Two approaches to Kitaev toric code (Kitaev, 2003)

### As a discrete gauge theory

- ▶ It's a  $\mathbb{Z}_2$  gauge theory
- It has anyonic excitations
- Long range entanglement and topological order

### As a quantum code

- It implements a specific type of quantum code
- Allow error detection and error correction
- Allows for a restricted qubit operations

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This talk will focus on the quantum code aspect.

### Outline

#### 1. Introduction: Noise reduction

Classical analog
Quantum codes
The three-qubit code

#### 2. Kitaev toric code

The model
The code
How to perform logical operations

3. Anyonic nature of the excitations

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# The classical analog of the problem

### Communication over a noisy channel,

- ▶ We have a string of *k* bits that we want to *send* over a noisy channel.
- ightharpoonup Each bit has a probability,  $p_f$ , to flip its value as it go through the channel. Errors can happen when sending data.
- ▶ How can we make this communication reliable?

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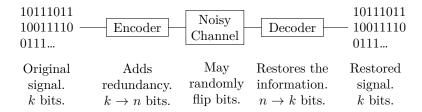
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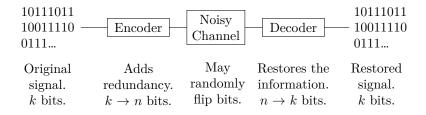
#### Solutions:

- 1. Physically build a more reliable channel. (Not always possible)
- Add redundancy to the sent signal, such that when errors happen we would still have a way of retrieving the original information (the original string of bits).

# Classical coding theory



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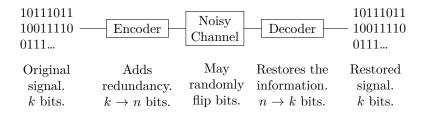


Example: The repetition code.

Encoder:	Decoder (majority vote):
$0 \rightarrow 000$	$\{000,001,010,100\} \to 0$
$1 \rightarrow 111$	$\{111, 110, 101, 011\} \rightarrow 1$

If we had a probability  $p_f$  of making an error (per bit) before, now we have a probability of  $p_f^2$  of making an error.

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- ▶ The repetition code is not efficient.
- Other problems can be seen as a noisy channel problem.

# The (quantum) problem

The same problem is amplified in the quantum world. A qubit is a two level quantum system,

$$|\psi\rangle = c_0 |0\rangle + c_1 |1\rangle$$

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Difficulties with the quantum system:

- ▶ A qubit cannot duplicated.  $|\Psi\rangle \to |\Psi\rangle \otimes |\Psi\rangle$
- A measurement destroys the original state.
- Errors are not just flips.

## Big picture of error correction

- To correct an error, we first need to detect an error.
- ➤ To detect an error, some sort of measurement must be done. (Measuring the error.)
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A breakthrough came in 1995, (Shor, 1995), to first entangle the system to ancillary qubits in such a way that measuring the ancillary qubits would measure the error. After which the appropriate correction can be done. Steps to error correction:

- 1. Add redundancy,  $k \rightarrow n$  qubits. A quantum code.
- 2. Possible errors get introduced to the system.
- 3. Couple the system ancillary qubits.
- 4. Measure the errors by measuring the ancillary qubits. Called a syndrome measurement.
- 5. Correct the error.

### **Errors**

1. Coherent errors. They act as a unitary operator on the state. They don't entangle the qubits to the environment.

$$|\psi\rangle \to E |\psi\rangle$$

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$$|\psi\rangle \otimes |\Phi\rangle \to D(|\psi\rangle \otimes |\Phi\rangle) = a_{ij}E^i |\psi\rangle \otimes L^j |\Phi\rangle$$
  
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Measuring the ancillary qubits destroys any entanglement between the qubits and the environment. For now we will focus on coherent errors.

### Coherent Errors

$$E = \alpha_0 1 + \alpha_x X + \alpha_z Z + \alpha_{xy} X Z$$

There are unaccountably many errors that can occur to one qubit. Part of Shor's breakthrough is that by measuring the error, one force the system into either an X-type error, or a Z-type error.

- X is a bit flip.
- ▶ *Z* is a *phase* flip.

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- ▶ Z is a phase flip.

Being able to measure X, and Z-type errors is enough to account for all errors.

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- $\blacktriangleright$   $|000\rangle$  is codeword for  $|0\rangle$ ,  $|111\rangle$  is codeword for  $|1\rangle$ .
- The codeword space is a 2D subspace of the 8D logic state space,

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•  $\{Z_1Z_2,Z_2Z_3\}$  are the (generators of) logic qubit stabilizers,  $\{Z_1Z_2,Z_2Z_3\}\,|\psi\rangle_L=|\psi\rangle_L\quad\text{for }|\psi\rangle_L\in\mathcal{C}$ 

Stabilizers can be used to define C.

- 1. Adding redundancy
  - $(Z_1Z_2)^2=1$  and  $(Z_2Z_3)^2=1$ , both have eigenvalues of  $\pm 1$ .
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For an error to be detected it needs to take the state out of the codeword subspace. For  $|\psi\rangle_L\in\mathcal{C}$ ,

$$\left\{X_{1}, X_{2}, X_{3}\right\} \left|\psi\right\rangle_{L} \in \mathcal{F}$$
$$\left\{Z_{1}, Z_{2}, Z_{3}\right\} \left|\psi\right\rangle_{L} \in \mathcal{C}$$

The three-qubit code is very limited, it can only detect bit flips errors, and not phase flip errors.

2. Errors, and 3. Adding ancillary qubits Errors:

$$\begin{split} |\psi\rangle_L &\to E_L \, |\psi\rangle_L \\ E_L &= E_1 E_2 E_3 \\ E_{1,2,3} &= \alpha_0 1 + \alpha_x X_{1,2,3} + \alpha_z Z_{1,2,3} + \alpha_{xz} X Z_{1,2,3} \end{split}$$

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Adding ancillary qubits:

$$E_{L} |\psi\rangle_{L} \rightarrow (1 + Z_{1}Z_{2})(1 + Z_{2}Z_{3})E_{L} |\psi\rangle_{L} \otimes |00\rangle$$

$$+ (1 + Z_{1}Z_{2})(1 - Z_{2}Z_{3})E_{L} |\psi\rangle_{L} \otimes |01\rangle$$

$$+ (1 - Z_{1}Z_{2})(1 + Z_{2}Z_{3})E_{L} |\psi\rangle_{L} \otimes |10\rangle$$

$$+ (1 - Z_{1}Z_{2})(1 - Z_{2}Z_{3})E_{L} |\psi\rangle_{L} \otimes |11\rangle$$

A syndrome measurement *projects* the logical state into one of the four subspaces defined by the stabilizers, (Shor, 1995).

## Measuring the errors

4. Syndrome measurement, and 5. Making corrections

To first order in  $|\alpha_x|$ ,  $|\alpha_z|$ ,  $|\alpha_{xz}|$  (which we like to think they are not larger numbers as compared to 1):

Syndrome	Possible errors
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- As mentioned, it cannot detect phase flips.
- ► For X-errors, we can detect which qubit had its value flipped, hence we can perform a unitary transformation that would undo the error.
- Our communication over the noisy channel has improved assuming that errors act independently on each qubit.
- ▶ More elaborate code can detect Z-errors while being also more efficient.

## Outline

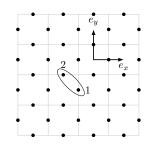
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 How to perform logical operations

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### Kitaev Toric Model

- ► A lattice model of spin-1/2 particles.
- ► Each unit cell has 2 spin sites, 1 and 2.
- ▶ Local operators:  $\{\vec{\sigma}_1(\mathbf{R}_i), \vec{\sigma}_2(\mathbf{R}_i)\}$
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#### The Hamiltonian:

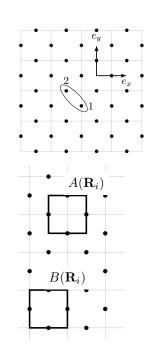
$$H = -\sum_{\mathbf{R}_i} (A(\mathbf{R}_i) + B(\mathbf{R}_i))$$

$$A(\mathbf{R}_i) = \sigma_2^x(\mathbf{R}_i)\sigma_1^x(\mathbf{R}_i)$$

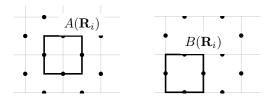
$$\sigma_2^x(\mathbf{R}_i + e_x)\sigma_1^x(\mathbf{R}_i + e_y),$$

$$B(\mathbf{R}_i) = \sigma_1^z(\mathbf{R}_i)\sigma_2^z(\mathbf{R}_i)$$

$$\sigma_1^z(\mathbf{R}_i - e_x)\sigma_2^z(\mathbf{R}_i - e_y)$$



### Ground state of the Kitaev model

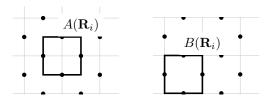


#### Notation:

 $\sigma^x$ : line perpendicular to unit cell edge at the spin site.

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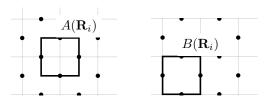
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- ▶  $A(\mathbf{R}_i)$  and  $B(\mathbf{R}_i)$  are two different *loops* in the system.
- ▶ They only look like loops because of our choice of notation.
- ▶ No need for arrows on the loops.
- ▶  $A^2(\mathbf{R}_i) = 1$  and  $B^2(\mathbf{R}_i) = 1$ . Both have eigenvalues of  $\pm 1$ .
- $[A(\mathbf{R}_i), B(\mathbf{R}_i)] = 0$

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Ground sates  $|\Omega_0\rangle$  of  $H=-\sum_{m{R}_i}\left(A(m{R}_i)+B(m{R}_i)\right)$  is defined by,

$$A(\mathbf{R}_i) |\Omega_0\rangle = |\Omega_0\rangle, \ B(\mathbf{R}_i) |\Omega_0\rangle = |\Omega_0\rangle$$

#### The code

We consider a  $N \times N$  lattice on a torus.

- ▶ The Hilbert space,  $\mathcal{H}$ , is  $2^{2N^2}$  dimensional.
- ightharpoonup Codeword space, C, is defined as

$$\mathcal{C} = \operatorname{span}\{\left|\Omega_{0}\right\rangle \in \mathcal{H} : A(\boldsymbol{R}_{i})\left|\Omega_{0}\right\rangle = \left|\Omega_{0}\right\rangle, \ B(\boldsymbol{R}_{i})\left|\Omega_{0}\right\rangle = \left|\Omega_{0}\right\rangle\}$$

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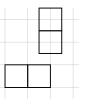
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- ▶  $A(\mathbf{R}_i)$ , and  $B(\mathbf{R}_i)$  are the code stabilizers.
- ▶ There are  $2N^2-2$  independent stabilizers. There are  $N^2$   $A(\mathbf{R}_i)$ , and  $N^2$   $B(\mathbf{R}_i)$  operators, but we have the following dependencies,

$$\prod_{{\bm{R}}_i} A({\bm{R}}_i) = 1, \prod_{{\bm{R}}_i} B({\bm{R}}_i) = 1 \leftarrow \text{No edges left}.$$

 $\blacktriangleright \ \mathcal{C} \text{ is } (2^{2N^2})/(2^{2N^2-2})=2^2 \text{ dimensional. It can encode 2 qubits.}$ 



## What labels the ground states

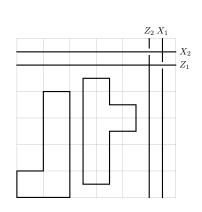
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- ▶ Every contractible loop can be decomposed into smaller loops of  $A(\mathbf{R}_i)$  or  $B(\mathbf{R}_i)$ .
- There are 4 different non-contractible loops.
- ▶  $\{Z_1, Z_2, X_1, X_2\}$  commute with all contractible loops.
- ► The entire  $2^{2N^2}$  Hilbert space can be labeled by the eigenvalues of,

$$\{Z_1, Z_1, A(\mathbf{R}_i), B(\mathbf{R}_i)\}$$



### **Errors**

A general error can be any linear combination of,

$$E(\{\alpha_i^l, \beta_j^m\}) = \prod_{\substack{\mathbf{R}_i, \mathbf{R}_i \\ l, m}} (\sigma_l^x(\mathbf{R}_i))^{\alpha_i^l} (\sigma_m^z(\mathbf{R}_j))^{\beta_j^m},$$

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These can be divided broadly into 3 categories:

- 1. Contain only closed contractible loops.  $E_1$ .
- 2. Contain one or more open strings.  $E_2$ .
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- Errors of type 3 cannot be detected.

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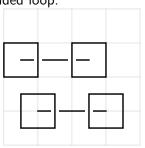
Errors of type 3, must at least be N long. And assuming errors act independently on each qubit, these errors would be exponentially suppressed,  $e^{-\alpha N}$ 

## Error detection, and correction

Open string operations anticommute with two stabilizer operators, one surrounding each end of the open ended loop.

$$B(\mathbf{R}_i)E_2|\Omega_0\rangle = -E_2|\Omega_0\rangle,$$

$$A(\mathbf{R}_j)E_2|\Omega_0\rangle = -E_2|\Omega_0\rangle.$$

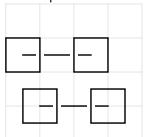


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- ▶ These errors can be detected using a syndrome measurements.
- Notice that  $E_2 |\Omega_0\rangle$  are excited states of the Hamiltonian, with  $\Delta E \geqslant 2$ .
- ► Kitaev also suggested fixing these errors by coupling the system to a heat bath and cooling the system down.

### Excitations of the toric code

Let's look at low energy excitations of the toric code.

ightharpoonup We cannot have excitation with  $\Delta E=1$ . This would violate

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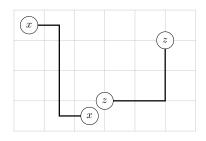
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$$S^{x}(t)\left|\Omega_{0}\right\rangle ,\ S^{z}(t)\left|\Omega_{0}\right\rangle ,$$
 which depend on

- 1. The two end points
- The homotopy of string connecting the two ends, how many non-contractible loops it make. Not the detailed path.



# Allowed logic operaion using kitaev model

Remember, on a torus geometry, the ground state encode 2 qubits. We can do the following operation in the qubits:

- 1. Z operation.
  - Create an a z-type particles pair.
  - Move one around one non-contractible loop. The direction determine which qubit get acted on.
  - Annihilate the two particles.
- 2. X operation. Using the same steps but with an x-type particle.

These operations do not give us a universal quantum computer.

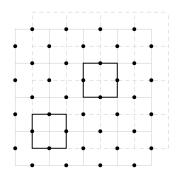
#### The dual lattice

For the same arrangement of spins there are two ways of defining the lattice. Both of them are equally valid.

► This highlights an important property of the system.

Let  $R_y(\theta)$  be the rotation operation around the y-axis then:

$$R_y(90^\circ)A(\mathbf{R}_i)R_y^{-1}(90^\circ) = B'(\mathbf{R}_i)$$
  
 $R_y(90^\circ)B(\mathbf{R}_i)R_y^{-1}(90^\circ) = A'(\mathbf{R}_i)$ 



This operation takes an x-type particle to a z-type particle.

### Outline

#### 1. Introduction: Noise reduction

Classical analog
Quantum codes
The three-qubit code

#### 2. Kitaev toric code

The model
The code
How to perform logical operations

### 3. Anyonic nature of the excitations

# The toric code in different geomtries

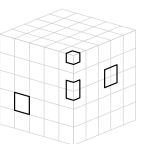
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On a sphere there are no non-contractible loops.  $A(\mathbf{R}_i)$  and  $B(\mathbf{R}_i)$  can label the entire Hilbert space.

- ► Hilbert space is  $2^{12N^2}$  dimensional.
- ▶  $6N^2 B(\mathbf{R}_i)$  operators.
- $ightharpoonup 6N^2 + 2 A(\mathbf{R}_i)$  operators.

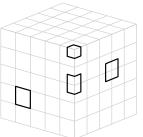


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The dependance of the ground state degeneracy on the geometry of the manifold is one of the defining features of topological order.

### Particle content of the toric code

- ▶ No particles, 1.
- ▶ An z-type particle is referred to as electric charge, e.
- ▶ A *x*-type particle is referred to as a magnetic vortex, *m*.
- $\blacktriangleright$  A combinations of an e and an m particle,  $\psi=e\times m$

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Next we ask what is the statistics of these particles.

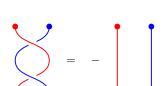
- It's Natural to consider a braid group because of the strings attached to the particles.
- ► For convince we drop the lattice from the background, and distinguish different strings by different colors.
- ► There are three kinds of strings. The group is then said to be a colored braid group.





# Rules of the braid, and how particles fuse

- ▶ The electric charge, e is a boson.
- ightharpoonup The magnetic vortex, m is a boson.
- ightharpoonup e going around m gives a -1.
- $\blacktriangleright \psi$  is a fermion.
- ► The braid group is Abelian.



$$\times$$







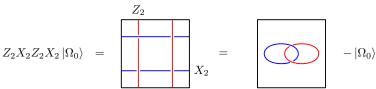


# Anyons front and center

Anyons implies the ground state degeneracy.

We want to think of the how the anyons braid, as defining the topological order in the system.

▶ The ground state(s) is a sate with no particles in it. If  $|\Omega_0\rangle$  is a ground state so it  $Z_i |\Omega_0\rangle$  and  $X_i |\Omega_0\rangle$ .



- Braiding rules implies
  - 1.  $Z_i^2 = X_i^2 = 1$
  - 2.  $[Z_1, Z_2] = [X_1, X_2] = [X_1, Z_2] = [Z_1, X_2] = 0$
  - 3.  $\{Z_1, X_1\} = \{Z_2, X_2\} = 0$ .
- ▶ This implies the ground state is fourfold degenerate.

## Summary

- Quantum codes encode k qubits into n qubits.
- Quantum codes allow for error correction
- ightharpoonup The Kitaev toric code encode 2g qubits into a spin lattice.
- ▶ The Kitaev code has  $e^{-\alpha N}$  probability of missing errors.
- By moving anyons around, one can perform quantum operations on the qubits.
- ► The anyon content of a theory is enough to define its topological order.

- A.Yu. Kitaev. Fault-tolerant quantum computation by anyons. Annals of Physics, 303(1):2-30, Jan 2003. ISSN 00034916. doi: 10.1016/S0003-4916(02)00018-0.
- Peter W. Shor. Scheme for reducing decoherence in quantum computer memory. *Physical Review A*, 52(4):R2493–R2496, Oct 1995. ISSN 1050-2947, 1094-1622. doi: 10.1103/PhysRevA.52.R2493.