# Cedille2: A proof theoretic redesign of the Calculus of Dependent Lambda Eliminations

by

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# ACKNOWLEDGMENTS

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#### Chapter 1

#### INTRODUCTION

Type theory is a tool for reasoning about assertions of some domain of discourse. When applied to programming languages, that domain is the expressible programs and their properties. Of course, a type theory may be rich enough to express detailed properties about a program, such that it halts or returns an even number. Therein lies a tension between what properties a type theory can faithfully (i.e. consistently) encode and the complexity of the type theory itself. If the theory is too complex then it may be untenable to prove that the type theory is well-behaved. Indeed, the design space of type theories is vast, likely infinite. When incorporating features the designer must balance complexity against capability.

Modern type theory arguably began with Martin-Löf in the 1970s and 1980s when he introduced a dependent type theory with the philosophical aspirations of being an alternative foundation of mathematics [29, 30]. Soon after in 1985, the Calculus of Constructions (CC) was introduced by Coquand [11, 12]. Inductive data (e.g. natural numbers, lists, trees) was shown by Guevers to be impossible to derive in CC [20]. Nevertheless, inductive data was added as an extension by Pfenning [36] and the Calculus of Inductive Constructions (CIC) became the basis for the proof assistant Rocq [34].

In the early 1990s Barendregt introduced a generalization to Pure Type Systems (PTS) and studied CC under his now famous  $\lambda$ -cube [5, 4]. The  $\lambda$ -cube demonstrated how CC could be deconstructed into four essential sorts of functions. At its base was the Simply Typed Lambda Calculus (STLC) a type theory introduced in the 1940s by Church to correct logical consistency issues in his (untyped)  $\lambda$ -calculus [8]. The STLC has only basic functions found in all programming languages. System F, a type theory introduced by Girard [22, 23] and independently by Reynolds [39], is obtained from STLC by adding quantification over types (i.e. polymorphic functions). Adding a

copy of STLC at the type-layer, functions from types to types, yields System  $F^{\omega}$ . Finally, the addition of quantification over terms or functions from terms to types, completes CC. While this is not the only path through the  $\lambda$ -cube to arrive at CC it is the most well-known and the most immediately relevant.

Perhaps surprisingly, all the systems of the  $\lambda$ -cube correspond to a logic. In the 1970s Curry circulated his observations about the STLC corresponding to intuitionistic propositional logic [24]. Reynolds and Girard's combined work demonstrated that System F corresponds to second-order intuitionistic propositional logic [22, 39, 40]. Indeed, Barendregt extended the correspondence to all systems in his  $\lambda$ -cube noting System  $F^{\omega}$  as corresponding to higher-order intuitionistic propositional logic and CC as corresponding to higher-order intuitionistic predicate logic [4]. Fundamentally, the Curry-Howard correspondence associates programs of a type theory with proofs of a logic, and types with formula. However, the correspondence is not an isomorphism because the logical view does not possess a unique assignment of proofs. The type theory contains potentially *more* information than the proof derivation.

Cedille is a programming language with a core type theory based on CC [42, 43]. However, Cedille took an alternative road to obtaining inductive data than what was done in the 1980s. Instead, CC was modified to add the implicit products of Miquel [31], the dependent intersections of Kopylov [26], and an equality type over untyped terms. The initial goal of Cedille was to find an efficient way to encode inductive data. This was achieved in 2018 with Mendler-style lambda encodings [14]. However, the design of Cedille sacrificed certain properties such as the decidability of type checking. Decidability of type checking was stressed by Kreisel to Scott as necessary to reduce proof checking to type checking because a proof does not, under Kreisel's philosophy, diverge [41]. This puts into contention if Cedille corresponds to a logic at all. What remains is to describe the redesign of Cedille such that it does have decidability of type checking and to argue why this state of affairs is preferable. However, completing this journey requires a deeper introduction into the type theories of the  $\lambda$ -cube.

$$t ::= x \mid \mathfrak{b}(\kappa_{1}, x : t_{1}, t_{2}) \mid \mathfrak{c}(\kappa_{2}, t_{1}, \dots, t_{\mathfrak{a}(\kappa_{2})})$$

$$\kappa_{1} ::= \lambda \mid \Pi$$

$$\kappa_{2} ::= \star \mid \square \mid \text{app}$$

$$\mathfrak{a}(\star) = \mathfrak{a}(\square) = 0$$

$$\mathfrak{a}(\text{app}) = 2$$

$$\lambda x : t_{1} \cdot t_{2} := \mathfrak{b}(\lambda, x : t_{1}, t_{2})$$

$$(x : t_{1}) \to t_{2} := \mathfrak{b}(\Pi, x : t_{1}, t_{2})$$

$$t_{1} t_{2} := \mathfrak{c}(\text{app}, t_{1}, t_{2})$$

$$\square := \mathfrak{c}(\square)$$

Figure 1.1: Syntax for System  $F^{\omega}$ .

# 1.1 System $F^{\omega}$

The following description of System  $F^{\omega}$  differs from the standard presentation in a few important ways:

- 1. the syntax introduced is of a generic form which makes certain definitions more economical,
- 2. a bidirectional PTS style is used but weakening is replaced with a well-formed context relation.

These changes do not affect the set of proofs or formula that are derivable internally in the system.

Syntax consists of three forms: variables (x, y, z, ...), binders  $(\mathfrak{b})$ , and constructors  $(\mathfrak{c})$ . Every binder and constructor has an associated discriminate or tag to determine the specific syntactic form. Constructor tags have an associated arity  $(\mathfrak{a})$  which determines the number of arguments, or subterms, the specific constructor contains. A particular syntactic expression will be interchangeably called a syntactic form, a term, or a subterm if it exists inside another term in context. See Figure 1.1 for the complete syntax of  $F^{\omega}$ . Note that the grammar for the syntax is defined using a BNF-style [15] where  $t := f(t_1, t_2, ...)$  represents a recursive definition defining a category of syntax, t, by its allowed subterms. For convenience a shorthand form is defined for each tag to maintain a more familiar appearance with standard syntactic definitions. Thus, instead of writing  $\mathfrak{b}(\lambda, (x : A), t)$  the more common form is used:  $\lambda x$ :

$$FV(x) = \{x\}$$

$$FV(\mathfrak{b}(\kappa_1, x : t_1, t_2)) = FV(t_1) \cup (FV(t_2) - \{x\})$$

$$FV(\mathfrak{c}(\kappa_2, t_1, \dots, t_{\mathfrak{a}(\kappa_2)})) = FV(t_1) \cup \dots \cup FV(t_{\mathfrak{a}(\kappa_2)})$$

$$[y := t]x = x$$

$$[y := t]y = t$$

$$[y := t]\mathfrak{b}(\kappa_1, x : t_1, t_2) = \mathfrak{b}(\kappa_1, x : [y := t]t_1, [y := t]t_2)$$

$$[y := t]\mathfrak{c}(\kappa_2, t_1, \dots, t_{\mathfrak{a}(\kappa_2)}) = \mathfrak{c}(\kappa_2, [y := t]t_1, \dots, [y := t]t_{\mathfrak{a}(\kappa_2)})$$

Figure 1.2: Operations on syntax for System  $F^{\omega}$ , including computing free variables and susbtitution.

A. t. Whenever the tag for a particular syntactic form is known the shorthand will always be used instead.

Free variables of syntax is defined by a straightforward recursion that collects variables that are not bound in a set. Likewise, substitution is recursively defined by searching through subterms and replacing the associated free variable with the desired term. See Figure 1.2 for the definitions of substitution and computing free variables. However, there are issues with variable renaming that must be solved. A syntactic form is renamed by consistently replacing bound and free variables such that there is no variable capture. For example, the syntax  $\lambda x: A.y$  x cannot be renamed to  $\lambda y: A.y$  y because it captures the free variable y with the binder  $\lambda$ . More critically, variable capture changes the meaning of a term. There are several rigorous ways to solve variable renaming including (non-exhaustively): De Bruijn indices (or levels) [13], locally-nameless representations [7], nominal sets [37], locally-nameless sets [38], etc. All techniques incorporate some method of representing syntax uniquely with respect to renaming. For this work the variable bureaucracy will be dispensed with. It will be assumed that renaming is implicitly applied whenever necessary to maintain the meaning of a term. For example,  $\lambda x : A.y = \lambda z : A.y = \lambda x : A.y = \lambda x$  $\lambda x : [x := t] A. [z := t] (y x).$ 

$$\frac{t_1 \leadsto t_1'}{\mathfrak{b}(\kappa, x: t_1, t_2) \leadsto \mathfrak{b}(\kappa, x: t_1', t_2)} \qquad \frac{t_2 \leadsto t_2'}{\mathfrak{b}(\kappa, x: t_1, t_2) \leadsto \mathfrak{b}(\kappa, x: t_1, t_2')}$$

$$\frac{t_i \leadsto t_i' \qquad i \in 1, \dots, \mathfrak{a}(\kappa)}{\mathfrak{c}(\kappa, t_1, \dots t_i, \dots t_{\mathfrak{a}(\kappa)}) \leadsto \mathfrak{c}(\kappa, t_1, \dots t_i', \dots t_{\mathfrak{a}(\kappa)})}$$

$$(\lambda \, x: A. \, b) \, t \leadsto [x:=t] b$$

Figure 1.3: Reduction rules for System  $F^{\omega}$ .

The syntax of  $F^{\omega}$  has a well understood notion of reduction (or dynamics, or computation) defined in Figure 1.3. This is an *inductive* definition of a two-argument relation on terms. A given rule of the definition is represented by a collection of premises  $(P_1, \ldots, P_n)$  written above the horizontal line and a conclusion (C) written below the line. An optional name for the rule (EXAMPLE) appears to the right of the horizontal line. An inductive definition induces a structural induction principle allowing reasoning by cases on the rules and applying the induction hypothesis on the premises. During inductive proofs it is convenient to name the derivation of a premise  $(\mathcal{D}_1, \ldots, \mathcal{D}_n)$ . Moreover, to minimize clutter during proofs the name of the rule is removed.

$$\frac{P_1 \quad \dots \quad P_n}{C} \quad \text{Example} \quad \frac{P_1}{P_1} \quad \dots \quad \frac{P_n}{P_n}$$

Inductive definitions build a finite tree of rule applications concluding with axioms (or leafs). Axioms are written without premises and optionally include the horizontal line. The reduction relation for  $F^{\omega}$  consists of three rules and one axiom. Relations defined in this manner are always the *least* relation that satisfies the definition. In other words, any related terms must have a corresponding inductive tree witnessing the relation.

The reduction relation (or step relation) models function application anywhere in a term via its axiom, called the  $\beta$ -rule. This relation is antisymmetric.

$$\frac{t \; R \; t' \quad t' \; R^* \; t''}{t \; R^* \; t''} \; \text{Transitive}$$

Figure 1.4: Reflexive-transitive closure of a relation R.

There is a source term s and a target term t,  $s \sim t$ , where t is the result of one function evaluation in s. Alternatively,  $s \sim t$  is read as s steps to t. Note that if there is no  $\lambda$ -term applied to an argument (i.e. no function ready to be evaluated) for a given term t then that term cannot be the source term in the reduction relation. A term that cannot be a source is called a value. If there exists some sequence of terms related by reduction that end with a value, then all source terms in the sequence are normalizing. If all possible sequences of related terms end with a value for a particular source term s, then s is strongly normalizing. Restricting the set of terms to a normalizing subset is critical to achieve decidability of the reduction relation.

For any relation -R-, the reflexive-transitive closure  $(-R^*-)$  is inductively defined with two rules as shown in Figure 1.4. In the case of the step relation the reflexive-transitive closure,  $s \rightsquigarrow^* t$ , is called the *multistep relation*. Additionally, when  $s \rightsquigarrow^* t$  then s multisteps to t. It is easy to show that any reflexive-transitive closure is itself transitive.

**Lemma 1.1.** Let R be a relation on a set A and let  $a, b, c \in A$ . If a  $R^*$  b and b  $R^*$  c then a  $R^*$  c

*Proof.* By induction on  $a R^* b$ .

Case: 
$$\frac{\phantom{a}}{t R^* t}$$

It must be the case the a = b.

Case: 
$$\frac{t\stackrel{\mathcal{D}_1}{R}t'}{t\stackrel{\mathcal{D}_2}{R^*}t''}$$

Let z = t', then we have a R z and  $z R^* b$ . By the inductive hypothesis (IH) we have  $z R^* c$  and by the transitive rule we have  $a R^* c$  as desired.

Two terms are *convertible*, written  $t_1 \equiv t_2$ , if  $\exists t'$  such that  $t_1 \sim^* t'$  and  $t_2 \sim^* t'$ . Note that this is not the only way to define convertibility in a type theory, but it is the standard method for a PTS. Convertibility is used in the typing rules to allow syntax forms to have continued valid types as terms reduce. It may be tempting to view conversion as the reflexive-symmetric-transitive closure of the step relation, but transitivity is not an obvious property. In fact, proving transitivity of conversion is often a significant effort, beginning with the confluence lemma.

**Lemma 1.2** (Confluence). If  $s \rightsquigarrow^* t_1$  and  $s \rightsquigarrow^* t_2$  then  $\exists t'$  such that  $t_1 \rightsquigarrow^* t'$  and  $t_2 \rightsquigarrow^* t'$ 

*Proof.* See Appendix A for a proof of confluence involving a larger reduction relation. Note that  $F^{\omega}$ 's step relation is a subset of this relation and thus is confluent.

**Theorem 1.3** (Transitivity of Conversion). If  $a \equiv b$  and  $b \equiv c$  then  $a \equiv c$ 

*Proof.* By premises we know  $\exists u, v$  such that  $a \rightsquigarrow^* u, b \rightsquigarrow^* u, b \rightsquigarrow^* v$ , and  $c \rightsquigarrow^* v$ . By confluence,  $\exists z$  such that  $u \rightsquigarrow^* z$  and  $v \rightsquigarrow^* z$ . By transitivity of multistep reduction,  $a \rightsquigarrow^* z$  and  $c \rightsquigarrow^* z$ . Therefore,  $a \equiv c$ .

Figure 1.5 defines the typing relation on terms for  $F^{\omega}$ . As previously mentioned this formulation is different from standard presentations. Four relations are defined mutually:

- 1.  $\Gamma \vdash t \rhd T$ , to be read as T is the inferred type of the term t in the context  $\Gamma$  or, t infers T in  $\Gamma$ ;
- 2.  $\Gamma \vdash t \triangleright T$ , to be read as T is the inferred type, possibly after some reduction, of the term t in the context  $\Gamma$  or, t reduction-infers T in  $\Gamma$ ;

Figure 1.5: Typing rules for System  $F^{\omega}$ . The variable K is a metavariable representing either  $\star$  or  $\square$ .

- 3.  $\Gamma \vdash t \vartriangleleft T$ , to be read as T is checked against the inferred type of the term t in the context  $\Gamma$  or, t checks against T in  $\Gamma$ ;
- 4.  $\vdash \Gamma$ , to be read as the context  $\Gamma$  is well-formed, and thus consists only of types that themselves have a type

Note that there are two PI rules that restrict the domain and codomain pairs of function types to three possibilities:  $(\Box, \Box)$ ,  $(\star, \star)$ , and  $(\Box, \star)$ . This is exactly what is required by the  $\lambda$ -cube for this definition to be  $F^{\omega}$ . For the unfamiliar reading these rules is arcane, thus exposition explaining a small selected set is provided.

$$\frac{\vdash \Gamma}{\Gamma \vdash \star \rhd \Box} \text{ Axiom}$$
 The axiom rule has one premise, requiring that the context is well-formed. It concludes that the constant term  $\star$  has type  $\Box$ . Intuitively, the term  $\star$  should be viewed as a universe of types, or a type of types, often referred to as a *kind*. Likewise, the term  $\Box$  should be viewed as a universe of kinds, or a kind of kinds. An alternative idea would be to

change the conclusion to  $\Gamma \vdash \star \rhd \star$ . This is called the *type-in-type* rule, and it causes the type theory to be inconsistent [22, 25]. Note that there is no way to determine a type for  $\square$ . It plays the role of a type only.

$$\frac{\vdash \Gamma \quad (x:A) \in \Gamma}{} \text{ Var}$$

 $\frac{\vdash \Gamma \qquad (x:A) \in \Gamma}{\Gamma \vdash x \rhd A} \text{ VAR} \quad \text{The variable rule is a context lookup. It scans}$ the context to determine if the variable is anywhere in context and then the associated type is what that variable infers. This rule is what requires the typing relation to mention a context. Whenever a type is inferred or checked it is always desired that the context is well-formed. That is why the variable rule also requires the context to be well-formed as a premise, because it is a leaf relative to the inference relation. Without this additional premise there could be typed terms in ill-formed contexts.

$$\frac{\Gamma \vdash f \blacktriangleright (x:A) \to B \qquad \Gamma \vdash a \lhd A}{\Gamma \vdash f \ a \rhd [x:=a]B} \text{ App} \quad \text{The application rule infers the}$$

type of the term f and reduces that type until it looks like a function-type. Once a function type is required it is clear that the type of the term a must match the function-type's argument-type. Thus, a is checked against the type A. Finally, the inferred result of the application is the codomain of the function-type B with the term a substituted for any free occurrences of x in B. This substitution is necessary because this application could be a type application to a type function. For example, let  $f = \lambda X : \star . id X$  where id is the identity term. The inferred type of f is then  $(X:\star)\to X\to X$ . Let  $a = \mathbb{N}$  (any type constant), then  $f \mathbb{N} \triangleright [X := \mathbb{N}](X \to X)$  or  $f \mathbb{N} \triangleright \mathbb{N} \to \mathbb{N}$ .

While this presentation of  $F^{\omega}$  is not standard Lennon-Bertrand demonstrated that it is equivalent to the standard formulation [27]. In fact, Lennon-Bertrand showed that a similar formulation is logically equivalent for the stronger CIC. Thus, standard metatheoretical results such as preservation and strong normalization still hold.

**Lemma 1.4** (Preservation of 
$$F^{\omega}$$
). If  $\Gamma \vdash s \triangleleft T$  and  $s \rightsquigarrow^* t$  then  $\Gamma \vdash t \triangleleft T$ 

*Proof.* See Appendix B for a proof of preservation of a conservative extension of  $F^{\omega}$ , and thus a proof of preservation for  $F^{\omega}$  itself.  **Theorem 1.5** (Strong Normalization of  $F^{\omega}$ ). If  $\Gamma \vdash t \rhd T$  then t and T are strongly normalizing

*Proof.* System  $F^{\omega}$  is a subsystem of CC which has several proofs of strong normalization. See (non-exhaustively) proofs using saturated sets [19], model theory [44], realizability [33], etc.

With strong normalization the convertibility relation is decidable, and moreover, type checking is decidable. Let red be a function that reduces its input until it is either  $\star$ ,  $\Box$ , a binder, or in normal form. Note that this function is defined easily by applying the outermost reduction and matching on the resulting term. Let conv test the convertibility of two terms. Note that this function may be defined by reducing both terms to normal forms and comparing them for syntactic identity. Both functions are well-defined because  $F^{\omega}$  is strongly normalizing. Then the functions infer, check, and wf can be mutually defined by following the typing rules. Thus, type inference and type checking is decidable for  $F^{\omega}$ .

While it is true that  $F^{\omega}$  only has function types as primitives several other data types are internally derivable using function types. For example, the type of natural numbers is defined:

$$\mathbb{N} = (X : \star) \to X \to (X \to X) \to X$$

Likewise, pairs and sum types are defined:

$$A \times B = (X : \star) \to (A \to B \to X) \to X$$
 
$$A + B = (X : \star) \to ((A \to X) \times (B \to X)) \to X$$

The logical constants true and false are defined:

$$\top = (X : \star) \to X \to X$$
$$\bot = (X : \star) \to X$$

Negation is defined as implying false:

$$\neg A = A \rightarrow \bot$$

These definitions are called *Church encodings* and originate from Church's initial encodings of data in the  $\lambda$ -calculus [9, 10]. Note that if there existed a term such that  $\vdash t \lhd \bot$  then trivially for any type T we have  $\vdash t T \lhd T$ . Thus,  $\bot$  is both the constant false and the proposition representing the principle of explosion from logic. Moreover, this allows a concise statement of the consistency of  $F^{\omega}$ .

**Theorem 1.6** (Consistency of System  $F^{\omega}$ ). There is no term t such that  $\vdash t \lhd \bot$ 

*Proof.* Suppose  $\vdash t \lhd \bot$ . Let n be the value of t after it is normalized. By preservation  $\vdash n \lhd \bot$ . Deconstructing the checking judgment we know that  $\vdash n \rhd T$  and  $T \equiv \bot$ , but  $\bot$  is a value and values like n infer types that are also values. Thus,  $T = \bot$  and we know that  $\vdash n \rhd \bot$ . By inversion on the typing rules  $n = \lambda X : \star .b$ , and we have  $X : \star \vdash b \rhd X$ . The term b can only be  $\star$ ,  $\Box$ , or X, but none of these options infer type X. Therefore, there does not exist a term b, nor a term n, nor a term t.

Recall that induction principles cannot be derived internally for any encoding of data [20]. This is not only cumbersome but unsatisfactory as the natural numbers are in their essence the least set satisfying induction. Ultimately, the issue is that these encodings are too general. They admit theoretical elements that  $F^{\omega}$  is not flexible enough to express nor strong enough to exclude.

# 1.2 Calculus of Constructions and Cedille

As previously mentioned, CC is one extension away from  $F^{\omega}$  on the  $\lambda$ -cube. Indeed, the two rules PI1 and PI2 can be merged to form CC:

$$\frac{\Gamma \vdash A \blacktriangleright K_1 \qquad \Gamma, x : A \vdash B \blacktriangleright K_2}{\Gamma \vdash (x : A) \to B \rhd K_2} \text{ PI}$$

where now both  $K_1$  and  $K_2$  are metavariables representing either  $\star$  or  $\square$ . Note that no other rules, syntax, or reductions need to be changed. Replacing PI1 and PI2 with this new PI rule is enough to obtain a complete and faithful definition of CC.

With this merger types are allowed to depend on terms. From a logical point of view, this is a quantification over terms in formula. Hence, why CC is a predicate logic instead of a propositional one according to the Curry-Howard correspondence. Yet, there is a question about what exactly quantification over terms means. Surely it does not mean quantification over syntactic forms.

It means, at minimum, quantification over well-typed terms, but from a logical perspective these terms correspond to proofs. In first order predicate logic the domain of quantification ranges over a set of *individuals*. The set of individuals represents any potential set of interest with specific individuals identified through predicates expressing their properties. With proofs the situation is different. A proof has meaning relative to its formula, but this meaning may not be relevant as an individual in predicate logic. For example, the proof 2 for a Church encoded natural number is intuitively data, but a proof that 2 is even is intuitively not. In CC, both are merely proofs that can be quantified over.

Cedille alters the domain of quantification from proofs to (untyped)  $\lambda$ -caluclus terms. Thus, for Cedille, the proof 2 becomes the encoding of 2 and the proof that 2 is even can also be the encoding of 2. This is achieved through a notion of erasure which removes type information and auxiliary syntactic forms from a term. Additionally, convertibility is modified to be convertibility of  $\lambda$ -calculus terms. However, erasure as it is defined in Cedille enables diverging terms in inconsistent contexts. The result by Abel and Coquand, which applies to a wide range of type theories including Cedille, is one way to construct a diverging term [1].

If terms are able to diverge, in what sense are they a proof? What a proof is or is not is difficult to say. As early as Aristotle there are documented forms of argument, Aristotle's syllogisms [3]. More than a millennium later Euclid's *Elements* is the most well-known example of a mathematical text containing what a modern audience would call proofs. Moreover, visual renditions of *Elements*, initiated by Byrne, challenge the notion of a proof being an algebraic object [6]. However, the study of proof as a mathematical object dates first to Frege [16] followed soon after by Peano's formalism of arithmetic [35] and Whitehead and Russell's *Principia Mathematica* [46]. For the kinds of logics

discussed by the Curry-Howard correspondence, structural proof theories, the originator is Gentzen [17, 18]. Gentzen's natural deduction describes proofs as finite trees labelled by rules. Note that this is, of course, a very brief history of mathematical proof.

All of these formulations may be justified as acceptable notions of proof, but the purpose of proof from an epistemological perspective is to provide justification. It is unsatisfactory to have a claimed proof and be unable to check that it is constructed only by the rules of the proof theory. This is the situation with Cedille, although rare, there are terms where reduction diverges making it impossible to check a type. However, it is unfair to levy this criticism against Cedille alone, as well-known type theories also lack decidability of type checking. For example, Nuprl with its equality reflection rule [2], and the proof assistant Lean with its notion of casts [32]. Moreover, Lean has been incredibly successful in formalizing research mathematics including the Liquid Tensor Experiment [28] and Tao's formalization of The Polynomial Freiman-Ruzsa Conjecture [45]. Indeed, not having decidability of type checking does to necessarily prevent a tool from producing convincing arguments.

Ultimately, the definition of proof is a philosophical one with no absolute answer, but this work will follow Gentzen and Kreisel in requiring that a proof is a finite tree, labelled by rules, supporting decidable proof checking. The reader need only asks themselves which proof they would prefer if the option was available: one that potentially diverges, or one that definitely does not. If it is the latter, then striving for decidable type theories that are capable enough to reproduce the results obtained by proof assistants like Lean is a worthy goal.

#### 1.3 Thesis

Cedille is a powerful type theory capable of deriving inductive data with relatively modest extension and modification to CC. However, this capability comes at the cost of decidability of type checking and thus, in the opinion of Kreisel, the cost of a Curry-Howard correspondence to a proof theory. A redesign of Cedille that focuses on maintaining a proof-theoretic view recovers

decidability of type checking while still solving the original goals of Cedille. Although this redesign does prevent some constructions from being possible, the new balance struck between capability and complexity is desirable because of a well-behaved metatheory.

#### 1.4 Contributions

**Chapter 2** defines the Cedille Core (CC2) theory, including its syntax, and typing rules. Erasure from Cedille is rephrased as a projection from proofs to objects. Basic metatheoretical results are proven including: confluence, preservation, and classification.

Chapter 3 models CC2 in  $F^{\omega}$  obtaining a strong normalization result for proof normalization. This model is a straightforward extension of a similar model for CC. Critically, proof normalization is not powerful enough to show consistency nor object normalization. Additionally, CC2 is shown to be a conservative extension of  $F^{\omega}$ .

Chapter 4 models CC2 in CDLE obtaining consistency for CC2. Although CDLE is not strongly normalizing it still possess a realizability model which justifies its logical consistency. CC2 is closely related to CDLE which makes this models straightforward to accomplish. Moreover, a selection of axioms added to CC2 is shown to recover much of CDLEs features.

Chapter 5 proves object normalization from proof normalization and consistency. The  $\varphi$ , or cast, rule is the only difficulty after proof normalization and consistency. However, any proof can be translated into a new proof that contains no cast rules. Applying this observation yields an argument to obtain full object normalization.

Chapter 6 with normalization for both proofs and objects a well-founded type checker is defined. This implementation leverages normalization-by-evaluation and other basic techniques like pattern-based unification. The tool it benchmarked to demonstrate reasonable performance.

Chapter 7 contains derivations of generic inductive data, quotient types, large eliminations, constructor subtyping, and inductive-inductive data. All

of these constructions are possible in Cedille but require modest modifications to derive in Cedille2.

Chapter 8 concludes with a collection of open conjectures and questions. Cedille2 at the conclusion of this work is still in its infancy.

### Chapter 2

# THEORY DESCRIPTION AND BASIC METATHEORY

#### 2.1 Syntax and Reduction

**Lemma 2.1.** If 
$$x \neq y$$
 then  $[x := a][y := b]t = [y := [x := a]b][x := a]t$ 

*Proof.* By induction on t. If t is a binder or a constructor, then substitution unfolds and the IH applied to subterms concludes those cases. Suppose t is a variable, z. If z = x, then  $z \neq y$  and t = a on both sides. If z = y, then  $z \neq x$  and t = [x := a]b on both sides. If  $z \neq x$  and  $z \neq y$ , then t = z on both sides.

**Lemma 2.2.** If  $t_i \sim^* t'_i$  for any i then,

1. 
$$\mathfrak{b}(\kappa, (x:t_1), t_2) \rightsquigarrow^* \mathfrak{b}(\kappa, (x:t_1'), t_2')$$

2. 
$$\mathfrak{c}(\kappa, t_1, \dots, t_{\mathfrak{a}(\kappa)}) \rightsquigarrow^* \mathfrak{c}(\kappa, t'_1, \dots, t'_{\mathfrak{a}(\kappa)})$$

*Proof.* Pick any i and apply the reductions to the associate subterm. A straightforward induction on  $t_i \sim^* t'_i$  demonstrates that the reductions apply only to the associated subterm. Repeat until all i reductions are applied.  $\square$ 

**Lemma 2.3.** If 
$$a \rightsquigarrow b$$
 then  $[x := t]a \rightsquigarrow [x := t]b$ 

*Proof.* By induction on  $a \rightsquigarrow b$ .

Case: 
$$(\lambda_m x: A.b) \bullet_m t \leadsto [x:=t]b$$
  

$$[x:=s]((\lambda_m y: A.b) \bullet_m t) = (\lambda_m x: [x:=s]A. [x:=s]b) \bullet_m [x:=s]t \leadsto [y:=[x:=s]t][x:=s]b = [x:=s][y:=t]b$$
Note that the final equality holds by Lemma 2.1.

Case: 
$$[t_1, t_2; A].1 \rightsquigarrow t_1$$
 
$$[x := t][t_1, t_2, A].1 = [[x := t]t_1, [x := t]t_2, [x := A].1 \rightsquigarrow [x := t]t_1$$

```
t ::= x \mid \mathfrak{b}(\kappa_1, x : t_1, t_2) \mid \mathfrak{c}(\kappa_2, t_1, \dots, t_{\mathfrak{a}(\kappa_2)})

\kappa_1 ::= \lambda_m \mid \Pi_m \mid \cap

\kappa_2 ::= \diamond \mid \star \mid \square \mid \bullet_m \mid \text{pair} \mid \text{proj}_1 \mid \text{proj}_2 \mid \text{eq} \mid \text{refl} \mid \psi \mid \vartheta_1 \mid \vartheta_2 \mid \delta \mid \varphi

 m := \omega \mid 0 \mid \tau
      \mathfrak{a}(\diamond) = \mathfrak{a}(\star) = \mathfrak{a}(\square) = 0
      \mathfrak{a}(\text{proj}_1) = \mathfrak{a}(\text{proj}_2) = \mathfrak{a}(\text{refl}) = \mathfrak{a}(\delta) = 1
      \mathfrak{a}(\bullet_m) = \mathfrak{a}(\psi) = \mathfrak{a}(\varphi) = 2
      \mathfrak{a}(\text{pair}) = \mathfrak{a}(\text{eq}) = \mathfrak{a}(\vartheta_1) = \mathfrak{a}(\vartheta_2) = 3
                               \diamond := \mathfrak{c}(\diamond)
                                                                                                           [t_1, t_2; t_3] := \mathfrak{c}(\text{pair}, t_1, t_2, t_3)
                               \star := \mathfrak{c}(\star)
                                                                                                                          t.1 := \mathfrak{c}(\text{proj}_1, t)
                              \square := \mathfrak{c}(\square)
                                                                                                                          t.2 := \mathfrak{c}(\text{proj}_2, t)
        \lambda_m x : t_1 \cdot t_2 := \mathfrak{b}(\lambda_m, x : t_1, t_2)
                                                                                                           t_1 =_{t_2} t_3 := \mathfrak{c}(eq, t_1, t_2, t_3)
(x:t_1) \to_m t_2 := \mathfrak{b}(\Pi_m, x:t_1, t_2)
                                                                                                                  refl(t) := \mathfrak{c}(refl, t)
       (x:t_1) \cap t_2 := \mathfrak{b}(\cap, x:t_1, t_2)
                                                                                                    \vartheta_1(t_1, t_2, t_3) := \mathfrak{c}(\vartheta_1, t_1, t_2, t_3)
               t_1 \bullet_m t_2 := \mathfrak{c}(\bullet_m, t_1, t_2)
                                                                                                    \vartheta_2(t_1, t_2, t_3) := \mathfrak{c}(\vartheta_2, t_1, t_2, t_3)
               \varphi(t_1, t_2) := \mathfrak{c}(\varphi, t_1, t_2)
                                                                                                                       \delta(t) := \mathfrak{c}(\delta, t)
               \psi(t_1, t_2) := \mathfrak{c}(\psi, t_1, t_2)
```

Figure 2.1: Generic syntax, there are three constructors, variables, a generic binder, and a generic non-binder. Each are parameterized with a constant tag to specialize to a particular syntactic construct. The non-binder constructor has a vector of subterms determined by an arity function computed on tags. Standard syntactic constructors are defined in terms of the generic forms.

$$\begin{split} \frac{t_1 \leadsto t_1'}{\mathfrak{b}(\kappa, x: t_1, t_2) \leadsto \mathfrak{b}(\kappa, x: t_1', t_2)} & \frac{t_2 \leadsto t_2'}{\mathfrak{b}(\kappa, x: t_1, t_2) \leadsto \mathfrak{b}(\kappa, x: t_1, t_2')} \\ & \frac{t_i \leadsto t_i' \qquad i \in 1, \dots, \mathfrak{a}(\kappa)}{\mathfrak{c}(\kappa, t_1, \dots t_i, \dots t_{\mathfrak{a}(\kappa)}) \leadsto \mathfrak{c}(\kappa, t_1, \dots t_i', \dots t_{\mathfrak{a}(\kappa)})} \\ & (\lambda_m \, x\!:\! A; B. \, b) \bullet_m \, t \leadsto [x:=t] b \\ & [t_1, t_2; t_3].1 \leadsto t_1 \\ & [t_1, t_2; t_3].2 \leadsto t_2 \\ & \psi(\mathrm{refl}(a), P) \leadsto \lambda_\omega \, x\!:\! P \bullet_\tau \, a. \, x \\ & \vartheta_1(\mathrm{refl}(t_1), t_2, t_3) \leadsto \mathrm{refl}(t_2) \\ & \vartheta_2(\mathrm{refl}(t_1), t_2, t_3) \leadsto \mathrm{refl}(t_2) \end{split}$$

$$s_1 \rightleftharpoons s_2 \text{ iff } \exists t. \ s_1 \rightsquigarrow^* t \text{ and } s_2 \rightsquigarrow^* t$$
  
 $s_1 \equiv s_2 \text{ iff } \exists t_1, t_2. \ s_1 \rightsquigarrow^* t_1, s_2 \rightsquigarrow^* t_2, \text{ and } |t_1| \rightleftharpoons |t_2|$ 

Figure 2.2: Reduction and conversion for arbitrary syntax.

Case: 
$$[t_1, t_2; A].2 \leadsto t_2$$
  
 $[x := t][t_1, t_2, A].2 = [[x := t]t_1, [x := t]t_2, [x := A].2 \leadsto [x := t]t_2$   
Case:  $\psi(\text{refl}(t), P) \leadsto \lambda_{\omega} x : P \bullet_{\tau} t . x$   
 $[x := s]\psi(\text{refl}(t), P) = \psi(\text{refl}([x := s]t), [x := s]P) \leadsto \lambda_{\omega} y : [x := s]P \bullet_{\tau} [x := s]t . y = [x := s](\lambda_{\omega} y : P \bullet_{\tau} t . y)$   
Case:  $\vartheta_1(\text{refl}(t_1), t_2, t_3) \leadsto \text{refl}(t_2)$   
 $[x := s]\vartheta_1(\text{refl}(t_1), t_2, t_3) = \vartheta_1(\text{refl}(([x := s]t_1)), [x := s]t_2, [x := s]t_3) \leadsto \text{refl}([x := s]t_2) = [x := s]\text{refl}(t_2)$   
Case:  $\vartheta_2(\text{refl}(t_1), t_2, t_3) \leadsto \text{refl}(t_2)$ 

$$[x := s]\vartheta_2(\text{refl}(t_1), t_2, t_3) = \vartheta_2(\text{refl}(([x := s]t_1)), [x := s]t_2, [x := s]t_3) \rightsquigarrow \text{refl}([x := s]t_2) = [x := s]\text{refl}(t_2)$$

Case: 
$$\frac{t_i \stackrel{\mathcal{D}_1}{\leadsto} t_i' \quad i \in 1, \dots, \mathfrak{a}(\kappa)}{\mathfrak{c}(\kappa, t_1, \dots t_i, \dots t_{\mathfrak{a}(\kappa)}) \leadsto \mathfrak{c}(\kappa, t_1, \dots t_i', \dots t_{\mathfrak{a}(\kappa)})}$$

By the IH,  $[x := t]t_i \rightsquigarrow [x := t]t'_i$ . Note that

$$[x := t]\mathfrak{c}(\kappa, t_1, \dots, t_{\mathfrak{a}}(\kappa)) = \mathfrak{c}(\kappa, [x := t]t_1, \dots, [x := t]t_{\mathfrak{a}}(\kappa))$$

Applying the constructor reduction rule and reversing the previous equality concludes the case.

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\sim} t_1'}{\mathfrak{b}(\kappa, x: t_1, t_2) \sim \mathfrak{b}(\kappa, x: t_1', t_2)}$$

By the IH,  $[x:=t]t_1 \sim [x:=t]t_1'$ . Note that

$$[x := t]\mathfrak{b}(\kappa, (y : t_1), t_2) = \mathfrak{b}(\kappa, (y : [x := t]t_1), [x := t]t_2)$$

Applying the first binder reduction rule and reversing the previous equality concludes the case.

**Lemma 2.4.** If  $a \rightsquigarrow^* b$  then  $[x := t]a \rightsquigarrow^* [x := t]b$ 

*Proof.* By induction on  $a \sim^* b$ . The reflexivity case is trivial. Case:  $\frac{t \stackrel{\mathcal{D}_1}{R} t' \qquad t' \stackrel{\mathcal{D}_2}{R^*} t''}{t \stackrel{R^*}{R^*} t''}$ 

Case: 
$$\frac{t R t'}{t R^* t'} \frac{t' R^* t''}{t R^* t''}$$

Let z = t'. By the IH applied to  $\mathcal{D}_2$ :  $[x := t]z \rightsquigarrow^* [x := t]b$ . By Lemma 2.3 applied to  $\mathcal{D}_1$ :  $[x := t]a \rightsquigarrow [x := t]b$ . Applying the transitivity rule yields  $[x := t]a \leadsto^* [x := t]b$ .

**Lemma 2.5.** If  $s \rightsquigarrow t$  then  $[x := s]a \rightsquigarrow^* [x := t]a$ 

*Proof.* By induction on a.

Case: x

Rename y. Suppose x=y, then  $[x:=s]y=s \rightsquigarrow t=[x:=t]y$ . Thus,  $[x:=s]y \rightsquigarrow^* [x:=t]y$ . Suppose  $x\neq y$ , then  $[x:=s]y=y \rightsquigarrow^* y=[x:=t]y$ .

Case:  $\mathfrak{b}(\kappa_1, x: t_1, t_2)$ 

By the IH  $[x:=s]t_1 \rightsquigarrow^* [x:=t]t_1$  and  $[x:=s]t_2 \rightsquigarrow^* [x:=t]t_2$ . Lemma 2.2 concludes the case.

Case:  $\mathfrak{c}(\kappa_2, t_1, \dots, t_{\mathfrak{a}(\kappa_2)})$ 

By the IH  $[x := s]t_i \rightsquigarrow^* [x := t]t_i$  for all i. Lemma 2.2 concludes the case.

**Lemma 2.6.** If  $s \rightsquigarrow^* t$  and  $a \rightsquigarrow^* b$  then  $[x := s]a \rightsquigarrow^* [x := t]b$ 

*Proof.* By induction on  $s \sim^* t$ . The reflexivity case is Lemma 2.4.

Case:  $\frac{t R t'}{R t'} \frac{t' R^* t''}{t R^* t''}$ 

Let z=t'. By the IH applied to  $\mathcal{D}_2$ :  $[x:=z]a \rightsquigarrow^* [x:=t]b$ . Lemma 2.5 yields  $[x:=s]a \rightsquigarrow^* [x:=z]a$ . Transitivity concludes with  $[x:=s]a \rightsquigarrow^* [x:=t]b$ .

**Lemma 2.7.** If  $s \rightleftharpoons t$  and  $a \rightleftharpoons b$  then  $[x := s]a \rightleftharpoons [x := t]b$ 

*Proof.* By definition  $\exists z_1, z_2$  such that  $t \rightsquigarrow^* z_1$ ,  $s \rightsquigarrow^* z_1$ ,  $a \rightsquigarrow^* z_2$ , and  $b \rightsquigarrow^* z_2$ . Applying Lemma 2.6 twice yields  $[x := s]a \rightsquigarrow^* [x := z_1]z_2$  and  $[x := t]b \rightsquigarrow^* [x := z_1]z_2$ .

#### 2.2 Confluence

**Lemma 2.8** (Confluence). If  $s \rightsquigarrow^* t_1$  and  $s \rightsquigarrow^* t_2$  then  $\exists t'$  such that  $t_1 \rightsquigarrow^* t'$  and  $t_2 \rightsquigarrow^* t'$ 

*Proof.* See Appendix A.  $\Box$ 

**Lemma 2.9.** For any s and t the relation  $s \rightleftharpoons t$  is an equivalence.

*Proof.* Reflexivity is immediate because  $s \rightsquigarrow^* s$ . Symmetry is also immediate because if  $s \rightleftharpoons t$  then  $\exists z$  such that  $s \rightsquigarrow^* z$  and  $t \rightsquigarrow^* z$ , but logical conjunction is commutative. Transitivity is a consequence of confluence, see Theorem 1.3.

**Lemma 2.10.** If  $t_i \rightleftharpoons t'_i$  for any i then,

1.  $\mathfrak{b}(\kappa, (x:t_1), t_2) \rightleftharpoons \mathfrak{b}(\kappa, (x:t_1'), t_2')$ 

2.  $\mathfrak{c}(\kappa, t_1, \dots, t_{\mathfrak{a}(\kappa)}) \rightleftharpoons \mathfrak{c}(\kappa, t'_1, \dots, t'_{\mathfrak{a}(\kappa)})$ 

*Proof.* By Lemma 2.2 applied on both sides.

# 2.3 Erasure and Pseudo-objects

**Lemma 2.11.** |[x := t]b| = [x := |t|]|b|

*Proof.* By induction on the size of b.

Case:  $\mathfrak{b}(\kappa, (x:t_1), t_2)$ 

If  $b = \lambda_0 y : A.b'$ , then |b| = |b'| which is a smaller term. Then, by the IH |[x := t|b'] = [x := |t|]|b'|. Thus,

$$|[x := t]\lambda_0 y : A. b'| = |\lambda_0 y : [x := t]A. [x := t]b'|$$
  
=  $|[x := t]b'| = [x := |t|]|b'| = [x := |t|]|\lambda_0 y : A. b'|$ 

For the remaining tags, assume w.l.o.g.  $\kappa = \cap$ . Then  $b = (y : A) \cap B$ , and by the IH |[x := t]A| = [x := |t|]|A| and |[x := t]B| =

$$|x| = x \qquad | \diamond | = \diamond$$

$$|\star| = \star \qquad |[t_1, t_2; t_3]| = |t_1|$$

$$|\Box| = \Box \qquad |t.1| = |t|$$

$$|\lambda_0 x : A \cdot t| = |t| \qquad |t.2| = |t|$$

$$|\lambda_{\omega} x : A \cdot t| = \lambda_{\omega} x : \diamond \cdot |t| \qquad |x =_A y| = |x| =_{|A|} |y|$$

$$|\lambda_{\tau} x : A \cdot t| = \lambda_{\tau} x : |A| \cdot |t| \qquad |\text{refl}(t)| = \lambda_{\omega} x : \diamond \cdot x$$

$$|(x : A) \rightarrow_m B| = (x : |A|) \rightarrow_m |B| \qquad |\psi(e, P)| = |e|$$

$$|(x : A) \cap B| = (x : |A|) \cap |B| \qquad |\psi(e, P)| = |e|$$

$$|(x : A) \cap B| = (x : |A|) \cap |B| \qquad |\psi(e, P)| = |e|$$

$$|f \bullet_0 a| = |f| \qquad |\psi(e, P)| = |e|$$

$$|f \bullet_0 a| = |f| \qquad |\psi(e, P)| = |e|$$

$$|f \bullet_0 a| = |f| \qquad |\psi(e, P)| = |e|$$

$$|f \bullet_0 a| = |f| \Rightarrow_{\omega} |a| \qquad |\phi(e, P)| = |e|$$

$$|f \bullet_0 a| = |f| \Rightarrow_{\omega} |a| \qquad |\phi(e, P)| = |e|$$

$$|f \bullet_0 a| = |f| \Rightarrow_{\omega} |a| \qquad |\phi(e, P)| = |e|$$

Figure 2.3: Erasure of syntax, for type-like and kind-like syntax erasure is homomorphic, for term-like syntax erasure reduces to the untyped lambda calculus.

$$\begin{split} &[x:=|t|]|B|. \text{ Thus,} \\ &|[x:=t]((y:A)\cap B)| = |(y:[x:=t]A)\cap [x:=t]B| \\ &= (y:|[x:=t]A|)\cap |[x:=t]B| = (y:[x:=|t|]|A|)\cap [x:=|t|]|B| \\ &\text{And, } [x:=|t|]|(y:A)\cap B| = (y:[x:=|t|]|A|)\cap [x:=|t|]|B|. \end{split}$$
 Thus, both sides are equal.

Case:  $\mathfrak{c}(\kappa, t_1, \dots, t_{\mathfrak{a}(\kappa)})$ 

If  $\kappa \in \{\star, \square\}$  then the equality is trivial.

If  $\kappa \in \{\bullet_0, \operatorname{pair}, \operatorname{proj}_1, \operatorname{proj}_2, \psi, \vartheta, \delta\}$  then  $|\mathfrak{c}(\kappa, t_1, \ldots)| = |t_1|$ . Moreover, substitution commutes and both sides of the equality are equal.

If  $\kappa \in \{\text{refl}, \varphi\}$  then the equality is trivial.

If  $\kappa \in \{ \bullet_{\omega}, \bullet_{\tau}, \text{eq} \}$  then w.l.o.g. assume  $\kappa = \text{eq}$ . Now  $|[x := t](a =_A b)| = |[x := t]a| =_{|[x := t]A|} |[x := t]b|$ . By the IH this becomes  $[x := |t|]|a| =_{|x := |t|||A|} [x := |t|]|b|$ . On the right-hand

$$\frac{t_1 \text{ pseobj}}{\mathfrak{b}(\kappa, x : t_1, t_2) \text{ pseobj}} \qquad \frac{\forall i \in 1, \dots, \mathfrak{a}(\kappa). \ t_i \text{ pseobj}}{\kappa \neq \text{pair}} \\
\frac{A \text{ pseobj}}{\mathfrak{c}(\kappa, t_1, \dots, t_{\mathfrak{a}(\kappa)}) \text{ pseobj}} \\
\frac{t \text{ pseobj}}{\lambda_0 \ x : A. \ t \text{ pseobj}} \qquad \frac{t_1 \text{ pseobj}}{t_2 \text{ pseobj}} \\
\frac{t_3 \text{ pseobj}}{[t_1, t_2; t_3] \text{ pseobj}}$$

Figure 2.4: Definition of Pseudo Objects.

side,  $[x := |t|]|a =_A b| = [x := |t|]|a| =_{[x := |t|]|A|} [x := |t|]|b|$ . Thus, both sides are equal.

Case: b variable

Suppose b = x, then |[x := t]x| = |t| and [x := |t|]|x| = |t|. Suppose b = y, then |[x := t]y| = y and [x := |t|]|y| = y. Thus, both sides are equal.

**Lemma 2.12.** If  $|s| \rightleftharpoons |t|$  and  $|a| \rightleftharpoons |b|$  then  $|[x := s]a| \rightleftharpoons |[x := t]b|$ 

*Proof.* By definition  $\exists z_1, z_2$  such that  $|s| \rightsquigarrow^* z_1, |t| \rightsquigarrow^* z_1, |a| \rightsquigarrow^* z_2$  and  $|b| \rightsquigarrow^* z_2$ . By Lemma 2.6 applied twice  $[x := |s|] |a| \rightsquigarrow^* [x := |z_1|] z_2$  and  $[x := |t|]|b| \sim^* [x := |z_1|]z_2$ . Finally, by Lemma 2.11 [x := |s|]|a| = |[x := s]a|and [x := |t|]|b| = |[x := t]b|. 

**Lemma 2.13.** If s pseobj and  $s \sim t$  then  $|s| \rightleftharpoons |t|$ 

Proof. By induction on s pseobj.

Case: 
$$\frac{\mathcal{D}_1}{t_1 \text{ pseobj}} \frac{\mathcal{D}_2}{t_2 \text{ pseobj}} \frac{\mathcal{D}_3}{\kappa \neq \lambda_0}$$

$$b(\kappa, x: t_1, t_2) \text{ pseobj}$$

By cases on  $s \sim t$ , applying the IH and Corollary ??.

Case: 
$$\frac{A \text{ pseobj}}{A \text{ pseobj}} \frac{\mathcal{D}_2}{t \text{ pseobj}} x \notin FV(|t|)$$
$$\lambda_0 x : A. t \text{ pseobj}$$

By cases on  $s \sim t$ , applying the IH and Corollary ??.

Case: 
$$\frac{\forall i \in 1, \dots, \mathfrak{a}(\kappa). \ t_i \text{ pseobj}}{\mathfrak{c}(\kappa, t_1, \dots, t_{\mathfrak{a}(\kappa)}) \text{ pseobj}} \frac{\mathcal{D}_2}{\kappa \neq \text{pair}}$$

By cases on  $s \rightsquigarrow t$ .

Case: 
$$(\lambda_m x : A. b) \bullet_m t \rightsquigarrow [x := t]b$$

Note that  $\lambda_m x: A.b$  pseobj. If m=0 then  $x \notin FV(b)$  and |[x:=t]b|=|b|. Thus,  $|(\lambda_0 x: A.b) \bullet_0 t|=|\lambda_0 x: A.b|=|b|$ . If  $m=\omega$ , then  $|(\lambda_\omega x: A.b) \bullet_\omega t|=|(\lambda_\omega x.b) \bullet_\omega t|$ . By definition of reduction  $(\lambda_\omega x.b) \bullet_\omega t|$   $|t| \rightleftharpoons [x:=|t|]|b|$ . Finally, by Lemma 2.11 the goal is obtained. The case of  $m=\tau$  is almost exactly the same.

Case: 
$$[t_1, t_2; A].1 \sim t_1$$
  
 $|[t_1, t_2; A].1| = |[t_1, t_2; A]| = |t_1|$ 

Case: 
$$[t_1, t_2; A].2 \rightsquigarrow t_2$$

Observe that  $|[t_1, t_2; A].2| = |t_1|$  and  $[t_1, t_2; A]$  pseobj. Thus,  $|s| = |t_1| \rightleftharpoons |t_2|$ .

Case: 
$$\psi(\operatorname{refl}(t), P) \leadsto \lambda_{\omega} x : P \bullet_{\tau} t . x$$
  

$$|\psi(\operatorname{refl}(t), P)| = |\operatorname{refl}(t)| = \lambda_{\omega} x . x = |\lambda_{\omega} x : P \bullet_{\tau} t . x|$$

Case: 
$$\vartheta_1(\operatorname{refl}(t_1), t_2, t_3) \rightsquigarrow \operatorname{refl}(t_2)$$
  
 $|\vartheta_1(\operatorname{refl}(t_1), t_2, t_3)| = |\operatorname{refl}(t_1)| = \lambda_\omega x. x = |\operatorname{refl}(t_2)|$ 

Case: 
$$\vartheta_2(\operatorname{refl}(t_1), t_2, t_3) \leadsto \operatorname{refl}(t_2)$$

Same as previous case.

Case: 
$$\frac{t_i \stackrel{\mathcal{D}_1}{\leadsto} t_i' \quad i \in 1, \dots, \mathfrak{a}(\kappa)}{\mathfrak{c}(\kappa, t_1, \dots t_i, \dots t_{\mathfrak{a}(\kappa)}) \leadsto \mathfrak{c}(\kappa, t_1, \dots t_i', \dots t_{\mathfrak{a}(\kappa)})}$$

By the IH,  $|t_i| \rightleftharpoons |t_i'|$ . The goal is achieved by Corollary ??

Case: 
$$\frac{t_1 \text{ pseobj}}{t_2 \text{ pseobj}} \frac{\mathcal{D}_2}{t_2 \text{ pseobj}} \frac{\mathcal{D}_3}{t_3 \text{ pseobj}} \frac{|t_1| \stackrel{\mathcal{D}_4}{\rightleftharpoons} |t_2|}{[t_1, t_2; t_3] \text{ pseobj}}$$

By cases on  $s \sim t$ , applying the IH and Corollary ??.

Case: s variable

By cases on  $s \rightsquigarrow t$ , t must be a variable. Thus, |s| = |t|.

**Lemma 2.14.** If s pseobj,  $|s| \rightleftharpoons |b|$ , and  $s \leadsto t$  then  $|t| \rightleftharpoons |b|$ 

*Proof.* By Lemma 2.13 
$$|s| \rightleftharpoons |t|$$
 and by Lemma 2.9  $|t| \rightleftharpoons |b|$ .

**Lemma 2.15.** If b pseobj and t pseobj then [x := t]b pseobj

*Proof.* By induction on b pseobj. The  $\lambda_0$  and pair cases are no different from the respective  $\mathfrak{b}$  and  $\mathfrak{c}$  cases.

Case: 
$$\frac{\mathcal{D}_{1}}{\mathbf{\mathfrak{b}}(\kappa, x: t_{1}, t_{2}) \text{ pseobj}} \frac{\mathcal{D}_{2}}{\kappa \neq \lambda_{0}}$$

By the IH  $[x := t]t_1$  pseobj and  $[x := t]t_2$  pseobj. Thus,  $\mathfrak{b}(\kappa, (y : [x := t]t_1), [x := t]t_2)$  pseobj.

Case: 
$$\frac{\forall \ i \in 1, \dots, \mathfrak{a}(\kappa). \ t_i \text{ pseobj}}{\mathfrak{c}(\kappa, t_1, \dots, t_{\mathfrak{a}(\kappa)}) \text{ pseobj}} \qquad \kappa \neq \text{pair}$$

By the IH 
$$[x := t]t_i$$
 pseobj.  
Thus,  $\mathfrak{c}(\kappa, [x := t]t_1, \dots [x := t]t_{\mathfrak{a}(\kappa)})$  pseobj.

Case: s variable

If s = x then [x := t]x = t, and t pseobj. Otherwise, s = y with y a variable and y pseobj.

**Lemma 2.16.** If s pseobj and  $s \rightsquigarrow t$  then t pseobj

*Proof.* By induction on s pseobj.

Case: 
$$\frac{t_1 \text{ pseobj}}{\mathfrak{b}(\kappa, x : t_1, t_2) \text{ pseobj}} \frac{\mathcal{D}_2}{\kappa \neq \lambda_0}$$

By cases on  $s \sim t$ . Suppose w.l.o.g. that  $t_2 \sim t'_2$ . Observe that  $t_2$  pseobj because it is a subterm of s. Then by the IH  $t'_2$  pseobj. Thus,  $\mathfrak{b}(\kappa, x: t_1, t'_2)$  pseobj.

Case: 
$$\frac{A \text{ pseobj}}{\sum_{h=0}^{D_1} \frac{D_2}{t \text{ pseobj}}} x \notin FV(|t|)$$

By cases on  $s \sim t$ . Suppose w.l.o.g that  $t \sim t'$ . Note that if  $x \notin FV(|t|)$  then  $x \notin FV(|t'|)$ , reduction only reduces the amount of free variables. Observe that t pseobj. Then by the IH t' pseobj. Thus,  $\lambda_0 x : A \cdot t'$  pseobj.

Case: 
$$\frac{\forall i \in 1, ..., \mathfrak{a}(\kappa). \ t_i \text{ pseobj}}{\mathfrak{c}(\kappa, t_1, ..., t_{\mathfrak{a}(\kappa)}) \text{ pseobj}}$$

By cases on  $s \sim t$ .

Case: 
$$(\lambda_m x : A. b) \bullet_m t \rightsquigarrow [x := t]b$$

Observe that b pseobj and t pseobj because both are subterms of s. By Lemma 2.15 [x := t]b pseobj.

Case:  $[t_1, t_2; A].1 \rightsquigarrow t_1$ 

Observe that  $t_1$  pseobj because it is a subterm of s.

Case:  $[t_1, t_2; A].2 \rightsquigarrow t_2$ 

Observe that  $t_2$  pseobj.

Case:  $\psi(\text{refl}(t), P) \rightsquigarrow \lambda_{\omega} x : P \bullet_{\tau} t. x$ 

Observe that t pseobj and P pseobj. By application of constructor and binder rules  $\lambda_{\omega} x : P \bullet_{\tau} t \cdot x$  pseobj.

Case:  $\vartheta_1(\operatorname{refl}(t_1), t_2, t_3) \rightsquigarrow \operatorname{refl}(t_2)$ 

Observe that  $t_2$  pseobj. By application of constructor rule refl $(t_2)$  pseobj.

Case:  $\vartheta_2(\operatorname{refl}(t_1), t_2, t_3) \rightsquigarrow \operatorname{refl}(t_2)$ 

Same as previous case.

Case: 
$$\frac{t_i \sim t_i' \quad i \in 1, \dots, \mathfrak{a}(\kappa)}{\mathfrak{c}(\kappa, t_1, \dots t_i, \dots t_{\mathfrak{a}(\kappa)}) \sim \mathfrak{c}(\kappa, t_1, \dots t_i', \dots t_{\mathfrak{a}(\kappa)})}$$

By the IH  $t_i'$  pseobj. By application of the constructor rule the goal is obtained.

Case: 
$$\frac{t_1 \text{ pseobj}}{\text{pseobj}} \quad \frac{\mathcal{D}_2}{t_2 \text{ pseobj}} \quad \frac{\mathcal{D}_3}{t_3 \text{ pseobj}} \quad |t_1| \stackrel{\mathcal{D}_4}{\rightleftharpoons} |t_2|}{[t_1, t_2; t_3] \text{ pseobj}}$$

By cases on  $s \sim t$ . Suppose w.l.o.g.  $t_1 \sim t_1'$ . Note that  $t_1$  pseobj because it is a subterm of s. By the IH  $t_1'$  pseobj. By Lemma 2.14  $|t_1'| \rightleftharpoons |t_2|$ . Thus,  $[t_1', t_2; A]$  pseobj.

Case: s variable

By cases on  $s \sim t$ , t must be a variable. Thus, t pseobj.

**Lemma 2.17.** If s pseobj,  $|s| \rightleftharpoons |b|$ , and  $s \rightsquigarrow^* t$  then  $|t| \rightleftharpoons |b|$ *Proof.* By induction on  $s \sim^* t$ . The reflexivity case is trivial. The transitivity case is obtained from Lemma 2.14 and Lemma 2.16 and applying the IH.  $\square$ **Theorem 2.18.** If s pseobj and  $s \sim^* t$  then t pseobj *Proof.* By induction on  $s \sim^* t$ . The reflexivity case is trivial. The transitivity case is obtained from Lemma 2.16 and applying the IH. **Lemma 2.19.** If s pseobj,  $|t| \rightleftharpoons |b|$ , and  $s \rightsquigarrow^* t$  then  $|s| \rightleftharpoons |b|$ *Proof.* By induction on  $s \sim^* t$ . Consequence of Lemma 2.13 and Lemma 2.18. **Lemma 2.20.** If s pseobj,  $s \equiv b$ , and  $s \rightsquigarrow^* t$  then  $t \equiv b$ *Proof.* Note that  $\exists z_1, z_2$  such that  $s \rightsquigarrow^* z_1, b \rightsquigarrow^* z_2, \text{ and } |z_1| \rightleftharpoons |z_2|$ . By confluence  $\exists z_1'$  such that  $z_1 \rightsquigarrow^* z_1'$  and  $t \rightsquigarrow^* z_1'$ . Then, by Lemma 2.18  $z_1$  pseobj. Finally, by Lemma 2.17  $|z_1'| \rightleftharpoons |z_2|$ . Therefore,  $t \equiv b$ . **Theorem 2.21.** If b pseobj,  $a \equiv b$ , and  $b \equiv c$  then  $a \equiv c$ *Proof.* Note that  $\exists u_1, u_2$  such that  $a \rightsquigarrow^* u_1, b \rightsquigarrow^* u_2,$  and  $|u_1| \rightleftharpoons |u_2|$ . Additionally,  $\exists v_1, v_2$  such that  $b \rightsquigarrow^* v_1, c \rightsquigarrow^* v_2$ , and  $|v_1| \rightleftharpoons |v_2|$ . By confluence,  $\exists z$  such that  $u_2 \rightsquigarrow^* z$  and  $v_1 \rightsquigarrow^* z$ . Then, by Lemma 2.18  $u_2$  pseobj and  $v_1$  pseobj. Next, by Lemma 2.17  $|u_1| \rightleftharpoons |z|$  and  $|z| \rightleftharpoons |v_2|$ . Thus,  $|u_1| \rightleftharpoons |v_2|$  by Lemma 2.9 and  $a \equiv c$ . **Theorem 2.22.** Suppose s pseobj and t pseobj, then  $|s| \rightleftharpoons |t|$  iff  $s \equiv t$ 

*Proof.* Case ( $\Rightarrow$ ): Suppose  $|s| \rightleftharpoons |t|$ . By definition  $s \rightsquigarrow^* s$  and  $t \rightsquigarrow^* t$ . Thus,  $s \equiv t$ . Case ( $\Leftarrow$ ): Suppose  $s \equiv t$ , then  $\exists z_1, z_2$  such that  $s \rightsquigarrow^* z_1, t \rightsquigarrow^* z_2$ , and

 $|z_1| \rightleftharpoons |z_2|$ . By two applications of Lemma 2.19  $|s| \rightleftharpoons |t|$ .

$$\begin{aligned} \operatorname{dom}_{\Pi}(\omega,K) &= \star & \operatorname{codom}_{\Pi}(\omega) &= \star \\ \operatorname{dom}_{\Pi}(\tau,K) &= K & \operatorname{codom}_{\Pi}(\tau) &= \square \\ \operatorname{dom}_{\Pi}(0,K) &= K & \operatorname{codom}_{\Pi}(0) &= \star \end{aligned}$$

Figure 2.5: Domain and codomains for function types. The variable K is either  $\star$  or  $\square$ .

**Lemma 2.23.** If 
$$s, t, a, b$$
 pseobj,  $s \equiv t$ , and  $a \equiv b$  then  $[x := s]a \equiv [x := t]b$ 

*Proof.* By Lemma 2.22  $|s| \rightleftharpoons |t|$  and  $|a| \rightleftharpoons |b|$ . Then, by Lemma 2.12  $|[x := s|a| \rightleftharpoons |[x := t|b|$ . Finally, by Lemma 2.22 again,  $[x := s]a \equiv [x := t]b$ .

# 2.4 Judgments

### Lemma 2.24.

- 1. If  $\Gamma \vdash t \rhd A$  then t pseobj
- 2. If  $\Gamma \vdash t \triangleright A$  then t pseobj
- 3. If  $\Gamma \vdash t \lhd A$  then t pseobj

*Proof.* By mutual induction. There are only two non-trivial, the rest hold immediately by the IH and applying the associated rule. Well-formed context cases are omitted because they are not used in the induction.

cases are omitted because they are not used in the induction. 
$$\Gamma \vdash (x:A) \cap B \rhd \star$$
 Case: 
$$\frac{\Gamma \vdash t \lhd A \qquad \Gamma \vdash s \lhd [x:=t]B \qquad t \stackrel{\mathcal{D}_4}{\equiv} s}{\Gamma \vdash [t,s;(x:A) \cap B] \rhd (x:A) \cap B}$$

By the IH t pseobj, s pseobj, and  $(x:A) \cap B$  pseobj. By Theorem 2.22  $|t| \rightleftharpoons |s|$ . Applying the pair rule yields  $[t,s;(x:A) \cap B]$  pseobj.

$$\frac{\vdash \Gamma}{\Gamma \vdash k \rhd \Box} \text{ Axiom} \qquad \qquad \frac{\vdash \Gamma \qquad (x_m : A) \in \Gamma}{\Gamma \vdash k \rhd A} \text{ Var}$$

$$\frac{\vdash \Gamma}{\Gamma \vdash k \rhd A} \qquad A \rightsquigarrow^* B \qquad \text{RedInf} \qquad \qquad B = \Box \lor \Gamma \vdash B \blacktriangleright K$$

$$\frac{\vdash \Gamma \vdash b \land A \qquad A \Rightarrow B}{\Gamma \vdash t \rhd A \qquad A \Rightarrow B} \text{ Chk}$$

$$\frac{\vdash \Gamma \qquad \Gamma \vdash A \blacktriangleright A \qquad C \vdash K}{\vdash \Gamma, x_m : A} \qquad C \vdash K$$

$$\frac{\vdash \Gamma \qquad \Gamma \vdash A \blacktriangleright K}{\vdash \Gamma, x_m : A} \qquad C \vdash K$$

$$\frac{\vdash \Gamma \qquad \Gamma \vdash A \blacktriangleright K}{\vdash \Gamma, x_m : A} \qquad C \vdash K$$

$$\frac{\vdash \Gamma \qquad \Gamma \vdash A \blacktriangleright C \vdash K}{\vdash \Gamma, x_m : A \vdash B \blacktriangleright C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \blacktriangleright C \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash B \blacktriangleright C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \blacktriangleright C \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash B \blacktriangleright C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \blacktriangleright C \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash B \blacktriangleright C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \blacktriangleright C \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash B \blacktriangleright C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \blacktriangleright C \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash B \blacktriangleright C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \blacktriangleright C \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \blacktriangleright C \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \blacktriangleright C \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma, x_m : A \vdash C \vdash K} \qquad PI$$

$$\frac{\vdash \Gamma \qquad A \vdash K \rightarrow K}{\vdash \Gamma,$$

Figure 2.6: Inference rules for function types, including erased functions. The variable K is either  $\star$  or  $\square$ .

$$\frac{\Gamma \vdash A \blacktriangleright \star \qquad \Gamma, x_{\tau} : A \vdash B \blacktriangleright \star}{\Gamma \vdash (x : A) \cap B \rhd \star} \text{ Int}$$

$$\frac{\Gamma \vdash (x : A) \cap B \rhd \star \qquad \Gamma \vdash t \lhd A \qquad \Gamma \vdash s \lhd [x := t]B \qquad t \equiv s}{\Gamma \vdash [t, s; (x : A) \cap B] \rhd (x : A) \cap B} \text{ PAIR}$$

$$\frac{\Gamma \vdash t \blacktriangleright (x : A) \cap B}{\Gamma \vdash t.1 \rhd A} \text{ FST} \qquad \frac{\Gamma \vdash t \blacktriangleright (x : A) \cap B}{\Gamma \vdash t.2 \rhd [x := t.1]B} \text{ SND}$$

Figure 2.7: Inference rules for intersection types.

$$\frac{\Gamma \vdash A \blacktriangleright \star}{\Gamma \vdash a \lhd A} \frac{\Gamma \vdash b \lhd A}{\Gamma \vdash b \lhd A} \to \frac{\Gamma \vdash b \lhd A}{\Gamma \vdash refl(t) \rhd t =_A t} \to \frac{\Gamma \vdash e \blacktriangleright a =_A b}{\Gamma \vdash \psi(e, P) \rhd P \bullet_{\tau} a \to_{\omega} P \bullet_{\tau} b} \to \frac{\Gamma \vdash b \lhd (x : A) \cap B}{\Gamma \vdash b \lhd (x : A) \cap B} \xrightarrow{\Gamma \vdash b \lhd (x : A) \cap B} \frac{\Gamma \vdash b \lhd (x : A) \cap B}{\Gamma \vdash b \lhd (x : A) \cap B} \xrightarrow{\Gamma \vdash e \lhd a.1 =_A b.1} \Pr{\text{PRMFST}}$$

$$\frac{\Gamma \vdash b \lhd (x : A) \cap B}{\Gamma \vdash b \lhd (x : A) \cap B} \xrightarrow{\Gamma \vdash e \lhd a.2 =_{[x := a.1]B} b.2} \Pr{\text{RMSND}}$$

$$\frac{\Gamma \vdash b \lhd (x : A) \cap B}{\Gamma \vdash b \lhd (a : A) \to_{\omega} (x : A') \cap B} \xrightarrow{\Gamma \vdash b \lhd (a : A) \to_{\omega} (x : A') \cap B} \xrightarrow{\Gamma \vdash e \lhd (a : A) \to_{\omega} a =_A (f \bullet_{\omega} a).1} \xrightarrow{FV(|e|) = \varnothing} \Pr{\Gamma \vdash e \lhd \text{ctt} =_{cBool} \text{cff}}$$

$$\frac{\Gamma \vdash e \lhd \text{ctt} =_{cBool} \text{cff}}{\Gamma \vdash \delta(e) \rhd (X : \star) \to_0 X} \xrightarrow{\text{SEP}}$$

Figure 2.8: Inference rules for equality types where cBool :=  $(X : \star) \to_0 (x : X) \to_{\omega} (y : X) \to_{\omega} X$ ; ctt :=  $\lambda_0 X : \star . \lambda_{\omega} x : X . \lambda_{\omega} y : X . x$ ; and cff :=  $\lambda_0 X : \star . \lambda_{\omega} x : X . \lambda_{\omega} y : X . y$ . Also,  $i, j \in \{1, 2\}$ 

$$\Gamma \vdash A \blacktriangleright \operatorname{dom}_{\Pi}(m, K)$$

$$\Gamma, x_m : A \vdash t \rhd B \qquad \Gamma, x_m : A \vdash B \blacktriangleright \operatorname{codom}_{\Pi}(m)$$

$$x \notin FV(|t|) \text{ if } m = 0$$

$$\Gamma \vdash \lambda_m x : A \cdot t \rhd (x : A) \to_m B$$

Consider only the case when m = 0 as the other cases are trivial. By the IH A pseobj and t pseobj. Because m = 0,  $x \notin FV(|t|)$ . Thus, applying the lambda rule yields  $\lambda_0 x : A.t$  pseobj.

Define the lifting of reduction to contexts

**Lemma 2.25.** If 
$$\Gamma \vdash t \rhd A$$
 or  $\Gamma \vdash t \blacktriangleright A$  or  $\Gamma \vdash t \lhd A$  then  $\vdash \Gamma$ 

*Proof.* Straightforward by induction, all leaves of a derivation have  $\vdash \Gamma$  as a premise.

**Lemma 2.26** (Weakening). Suppose  $\Gamma \vdash B \triangleright K$  for  $K = \square$  or  $K = \star$ .

1. If 
$$\Gamma, \Delta \vdash t \rhd A$$
 then  $\Gamma, x : B, \Delta \vdash t \rhd A$ 

2. If 
$$\Gamma, \Delta \vdash t \triangleright A$$
 then  $\Gamma, x : B, \Delta \vdash t \triangleright A$ 

3. If 
$$\Gamma, \Delta \vdash t \lhd A$$
 then  $\Gamma, x : B, \Delta \vdash t \lhd A$ 

4. 
$$if \vdash \Gamma, \Delta \ then \vdash \Gamma, x : B, \Delta$$

*Proof.* By mutual induction. Omitted cases follow immediately from application of the IH to all subderivations and applying the associated rule of the case.

Case: 
$$\frac{ \overset{\mathcal{D}_1}{\vdash \Gamma}}{\Gamma \vdash \star \rhd \Box}$$

By the IH on  $\mathcal{D}_1$ :  $\vdash \Gamma, x : B, \Delta$ . Applying the AXIOM rule concludes this case.

Case: 
$$\frac{\mathcal{D}_1}{\vdash \Gamma} \quad (x_m : A) \in \Gamma$$
$$\Gamma \vdash x \rhd A$$

By the IH on  $\mathcal{D}_1$ :  $\vdash \Gamma, x : B, \Delta$ . If  $(y : A) \in \Gamma$  then clearly  $(y : A) \in \Gamma, x : B, \Delta$ . Thus, applying the VAR rule concludes.

Case: 
$$\frac{\Gamma \vdash A \blacktriangleright \operatorname{dom}_{\Pi}(m, K) \qquad \Gamma, x_m : A \vdash B \blacktriangleright \operatorname{codom}_{\Pi}(m)}{\Gamma \vdash (x : A) \to_m B \rhd \operatorname{codom}_{\Pi}(m)}$$

Applying the IH to  $\mathcal{D}_2$  gives  $\Gamma, x : B, \Delta, y : A \vdash B \triangleright \operatorname{codom}_{\Pi}(m)$ . Notice that  $\Delta$  is generalized in the induction hypothesis, thus allowing for the capture of the additional context assumption introduced in  $\mathcal{D}_2$ . Applying the IH to  $\mathcal{D}_1$  and the PI rule concludes.

Case: 
$$\vdash \varepsilon$$

By the CTXEM rule.

Case: 
$$\frac{x \notin \overset{\mathcal{D}_1}{FV}(\Gamma) \qquad \overset{\mathcal{D}_2}{\vdash \Gamma} \qquad \Gamma \vdash \overset{\mathcal{D}_3}{A} \blacktriangleright K}{\vdash \Gamma, x_m : A}$$

By the IH on  $\mathcal{D}_2$ :  $\vdash \Gamma, x : B, \Delta$ . By the IH on  $\mathcal{D}_3$ :  $\Gamma, x : B, \Delta \vdash A \blacktriangleright K$ . Recall that renaming is applied implicitly to preserve meaning. In this case, either  $\Gamma$  and  $\Delta$  could be renamed so that x is unique, or x itself renamed so that  $x \notin FV(\Gamma, \Delta)$ . Either way some conclusion of the following form is obtained: Pick  $y \notin FV(\Gamma, \Delta)$  and  $y \neq x$ . Thus,  $\vdash \Gamma, x : B, \Delta, y : A$ .

**Lemma 2.27.** If  $\vdash \Gamma$  and  $(x : A) \in \Gamma$  then  $\exists K$  such that  $\Gamma \vdash A \triangleright K$ , where  $K = \Box$  or  $K = \star$ 

*Proof.* By definition,  $\exists \Delta_1, \Delta_2$  such that  $\Gamma = \Delta_1, x : A, \Delta_2$  and  $\Delta_1 \vdash A \blacktriangleright K$ . By weakening,  $\Delta_1, x : A \vdash A \blacktriangleright K$ . Induction on  $\Delta_2$  and repeated application of weakening concludes the proof.

## Lemma 2.28.

- 1. If  $\Gamma \vdash t \rhd A$  then A pseobj
- 2. If  $\Gamma \vdash t \triangleright A$  then A pseobj
- 3. If  $\Gamma \vdash t \triangleleft A$  then A pseobj

*Proof.* By mutual induction. Cases where A pseobj trivially by application of a finite number of rules are omitted. The well-formed context cases are omitted because they are not used in the mutual induction.

Case: 
$$\frac{\mathcal{D}_1}{\vdash \Gamma} \quad (x_m : A) \in \Gamma$$
$$\Gamma \vdash x \triangleright A$$

By Lemma 2.27 and Lemma 2.24.

Case: 
$$\Gamma \vdash A \blacktriangleright \operatorname{dom}_{\Pi}(m, K) \qquad \Gamma, x_m : \stackrel{\mathcal{D}_2}{A} \vdash t \rhd B$$
$$\frac{\Gamma, x_m : A \vdash B \blacktriangleright \operatorname{codom}_{\Pi}(m) \qquad x \notin FV(|t|) \text{ if } m = 0}{\Gamma \vdash \lambda_m \, x : A . \, t \rhd (x : A) \to_m B}$$

Applying the PI rule with  $\mathcal{D}_1$  and  $\mathcal{D}_3$  gives  $\Gamma \vdash (x : A) \to_m B \rhd \operatorname{codom}_{\Pi}(m)$ . By Lemma 2.24 the case concludes.

Case: 
$$\frac{\Gamma \vdash f \blacktriangleright (x : A) \to_m B \qquad \Gamma \vdash \stackrel{\mathcal{D}_2}{a} \lhd A}{\Gamma \vdash f \bullet_m a \rhd [x := a]B}$$

Deconstruction and inversion on  $\mathcal{D}_1$  yields the judgment  $\Gamma, x : A \vdash B \triangleright \operatorname{codom}_{\Pi}(m)$ . Thus, by Lemma 2.24: B pseobj and a pseobj. Finally, by Lemma 2.15 [x := a]B pseobj.

$$\text{Case:} \quad \frac{\Gamma \vdash (x:\overset{\mathcal{D}_1}{A}) \cap B \rhd \star \qquad \Gamma \vdash \overset{\mathcal{D}_2}{t} \lhd A \qquad \Gamma \vdash s \lhd [x:=t]B \qquad t \overset{\mathcal{D}_4}{\equiv} s}{\Gamma \vdash [t,s;(x:A) \cap B] \rhd (x:A) \cap B}$$

By Lemma 2.24 applied to  $\mathcal{D}_1$ .

Case: 
$$\frac{\Gamma \vdash t \blacktriangleright (x : A) \cap B}{\Gamma \vdash t.1 \rhd A}$$

By the IH  $(x:A) \cap B$  pseobj which means A pseobj.

Case: 
$$\frac{\Gamma \vdash t \blacktriangleright (x : A) \cap B}{\Gamma \vdash t.2 \triangleright [x := t.1]B}$$

By the IH  $(x:A) \cap B$  pseobj which means B pseobj. The FST rule applied to  $\mathcal{D}_1$  gives  $\Gamma \vdash t.1 \rhd A$ . By Lemma 2.24: t.1 pseobj. Finally, by Lemma 2.15 [x:=t.1]B pseobj.

Case: 
$$\frac{\Gamma \vdash t \rhd A \qquad \Gamma \vdash A^{\mathcal{D}_2} \star}{\Gamma \vdash \operatorname{refl}(t) \rhd t =_A t}$$

By Lemma 2.24 t pseobj and A pseobj. Applying the constructor rule concludes the case.

Case: 
$$\frac{\Gamma \vdash e \stackrel{\mathcal{D}_1}{\blacktriangleright} a =_A b \qquad \Gamma \vdash P \stackrel{\mathcal{D}_2}{\lhd} A \to_{\tau} \star}{\Gamma \vdash \psi(e, P) \rhd P \bullet_{\tau} a \to_{\omega} P \bullet_{\tau} b}$$

By the IH  $a =_A b$  pseobj which means a pseobj, b pseobj, and A pseobj. By Lemma 2.24: P pseobj. The constructor rule yields  $P \bullet_{\tau} a$  pseobj and  $P \bullet_{\tau} b$  pseobj. The binder rule concludes the case.

$$\text{Case:} \quad \frac{\Gamma \vdash a \blacktriangleright \overset{\mathcal{D}_1}{(x:A)} \cap B \qquad \Gamma \vdash b \lhd \overset{\mathcal{D}_2}{(x:A)} \cap B \qquad \Gamma \vdash e \lhd \overset{\mathcal{D}_3}{a.1} =_A b.1}{\Gamma \vdash \vartheta_1(e,a,b) \rhd a =_{(x:A)\cap B} b}$$

By the IH  $a.1 =_A b.1$  pseobj and  $(x : A) \cap B$  pseobj. Which means a pseobj and b pseobj. Applying the constructor rule finishes the case.

Case: 
$$\Gamma \vdash a \blacktriangleright \stackrel{\mathcal{D}_1}{(x:A)} \cap B$$
$$\Gamma \vdash b \lhd \stackrel{\mathcal{D}_2}{(x:A)} \cap B \qquad \Gamma \vdash e \lhd a.2 \stackrel{\mathcal{D}_3}{=_{[x:=a.1]B}} b.2$$
$$\Gamma \vdash \vartheta_2(e,a,b) \rhd a =_{(x:A)\cap B} b$$

By the IH  $a.2 =_{[x:=a.1]B} b.2$  pseobj and  $(x:A) \cap B$  pseobj. Which means a pseobj and b pseobj. Applying the constructor rule finishes the case.

$$\Gamma \vdash f \blacktriangleright (a:A) \xrightarrow{\mathcal{D}_1} (x:A') \cap B$$
 Case: 
$$\underbrace{A \equiv A' \qquad \Gamma \vdash e \vartriangleleft (a:A) \xrightarrow{\mathcal{D}_3} a =_A (f \bullet_{\omega} a).1 \qquad FV(|e|) = \varnothing}_{\Gamma \vdash \varphi(f,e) \rhd (a:A) \xrightarrow{\mathcal{D}_4} (x:A') \cap B}$$

Immediate by the IH applied to  $\mathcal{D}_1$ .

Case: 
$$\frac{\Gamma \vdash t \vdash A \qquad A \stackrel{\mathcal{D}_2}{\leadsto} B}{\Gamma \vdash t \blacktriangleright B}$$

By the IH applied to  $\mathcal{D}_1$ : A pseobj. By Lemma 2.18: B pseobj.

$$\text{Case:} \quad \frac{B = \Box \vee \overset{\mathcal{D}_1}{\Gamma} \vdash B \blacktriangleright K \qquad \Gamma \vdash \overset{\mathcal{D}_2}{t} \rhd A \qquad A \stackrel{\mathcal{D}_3}{\equiv} B}{\Gamma \vdash t \vartriangleleft B}$$

By Lemma 2.24 on  $\mathcal{D}_2$ : B pseobj.

**Lemma 2.29** (Substitution of Inference). Suppose  $\Gamma \vdash b \rhd B$ .

1. If 
$$\Gamma, x : B, \Delta \vdash t \rhd A$$
 then  $\Gamma, [x := b] \Delta \vdash [x := b] t \rhd [x := b] A$ 

2. If 
$$\Gamma, x : B, \Delta \vdash t \blacktriangleright A$$
 then  $\Gamma, [x := b] \Delta \vdash [x := b] t \blacktriangleright [x := b] A$ 

3. If 
$$\Gamma, x : B, \Delta \vdash t \lhd A$$
 then  $\Gamma, [x := b]\Delta \vdash [x := b]t \lhd [x := b]A$ 

4. If 
$$\vdash \Gamma, x : B, \Delta \ then \vdash \Gamma, [x := b]\Delta$$

*Proof.* By mutual induction. Omitted cases are obtained by applying the IH to all subderivations and applying the associated rule.

Case: 
$$\frac{\overset{\mathcal{D}_1}{\vdash \Gamma}}{\Gamma \vdash \star \rhd \Box}$$

Applying the IH to  $\mathcal{D}_1$  gives  $\vdash \Gamma$ ,  $[x := b]\Delta$ . Using the AXIOM rule concludes the case.

Case: 
$$\frac{\mathcal{D}_1}{\vdash \Gamma} \qquad (x_m : A) \in \Gamma$$
$$\Gamma \vdash x \rhd A$$

Rename the case to  $\Gamma \vdash y \rhd A$ . By the IH applied to  $\mathcal{D}_1$ :  $\vdash \Gamma$ ,  $[x:=b]\Delta$ . Suppose  $y \neq x$ . Then  $(y:A) \in \Gamma$ ,  $x:B,\Delta$  implies  $(y:A) \in \Gamma$ ,  $[x:=b]\Delta$ . Applying the VAR rule concludes this case. Suppose y=x. Then [x:=b]y=b and A=B. Recall that  $\Gamma \vdash b \rhd B$ . It must be the case that  $x \notin FV(\Gamma)$  by  $\mathcal{D}_1$ . Thus,  $x \notin FV(B)$  and [x:=b]B=B. Finally, by weakening  $\Gamma$ ,  $[x:=b]\Delta \vdash b \rhd B$ .

Case: 
$$\frac{\Gamma \vdash A \blacktriangleright \operatorname{dom}_{\Pi}(m, K) \qquad \Gamma, x_m : A \vdash B \blacktriangleright \operatorname{codom}_{\Pi}(m)}{\Gamma \vdash (x : A) \to_m B \rhd \operatorname{codom}_{\Pi}(m)}$$

By the IH applied to  $\mathcal{D}_1$ :  $\Gamma$ ,  $[x := b]\Delta \vdash [x := b]A \blacktriangleright \operatorname{dom}_{\Pi}(m, K)$ . By the IH applied to  $\mathcal{D}_2$ :  $\Gamma$ ,  $[x := b]\Delta$ ,  $y : [x := b]A \vdash [x := b]B \blacktriangleright \operatorname{codom}_{\Pi}(m)$ . Applying the PI rule gives  $\Gamma$ ,  $[x := b]\Delta \vdash (y : [x := b]A) \rightarrow_m [x := b]B \rhd \operatorname{codom}_{\Pi}(m)$ . Folding substitution concludes the case.

Case: 
$$\Gamma \vdash A \blacktriangleright \operatorname{dom}_{\Pi}(m, K) \qquad \Gamma, x_m : \stackrel{\mathcal{D}_2}{A} \vdash t \rhd B$$
$$\frac{\mathcal{D}_3}{\Gamma, x_m : A \vdash B \blacktriangleright \operatorname{codom}_{\Pi}(m)} \qquad x \notin FV(|t|) \text{ if } m = 0$$
$$\Gamma \vdash \lambda_m \, x : A . \, t \rhd (x : A) \to_m B$$

As with the previous case, applying the IH to  $\mathcal{D}_1$ ,  $\mathcal{D}_2$ , and  $\mathcal{D}_3$  yield the necessary subderivations to build the desired term with the LAM rule. Note that the substituted variable, x, cannot be equal to the bound variable, y, by implicit renaming. Moreover,  $y \notin FV([x:=b]|t|)$  if m=0.

Case: 
$$\frac{\Gamma \vdash f \blacktriangleright (x : A) \to_m B \qquad \Gamma \vdash \overset{\mathcal{D}_2}{a} \lhd A}{\Gamma \vdash f \bullet_m a \rhd [x := a]B}$$

Applying the IH gives, for  $\mathcal{D}_1$ :  $\Gamma, [x:=b]\Delta \vdash [x:=b]f \blacktriangleright (y:a)$ 

 $[x := b]A) \to_m [x := b]B$ , and for  $\mathcal{D}_2$ :  $\Gamma, [x := b]\Delta \vdash [x := b]a \triangleleft [x := b]A$ . Thus, applying the APP rule gives  $\Gamma, [x := b]\Delta \vdash ([x := b]f) \bullet_m ([x := b]a) \triangleright [y := [x := b]a][x := b]B$ . By Lemma 2.1 [y := [x := b]a][x := b] = [x := b][y := a]. Thus,  $\Gamma, [x := b]\Delta \vdash [x := b](f \bullet_m a) \triangleright [x := b][y := a]B$ .

$$\text{Case:} \quad \frac{\Gamma \vdash (x : A) \cap B \rhd \star \qquad \Gamma \vdash t \lhd A \qquad \Gamma \vdash s \lhd [x := t]B \qquad t \stackrel{\mathcal{D}_4}{\equiv} s}{\Gamma \vdash [t, s; (x : A) \cap B] \rhd (x : A) \cap B}$$

Applying the IH to  $\mathcal{D}_3$  gives  $\Gamma$ ,  $[x:=b]\Delta \vdash [x:=b]s \lhd [x:=b][y:=t]B$ . Notice that the bound variable is renamed to y implicitly. By Lemma 2.1 [x:=b][y:=t]B = [y:=[x:=b]t][x:=b]B. Applying Lemma 2.24 to  $\mathcal{D}_2$  and  $\mathcal{D}_3$  gives t pseobj and s pseobj. Then, by Lemma 2.23 applied to  $\mathcal{D}_4$ :  $[x:=b]t \equiv [x:=b]s$ . The IH applied to  $\mathcal{D}_1$  and  $\mathcal{D}_2$  and the application of the PAIR rule concludes the case.

Case: 
$$\frac{\Gamma \vdash t \blacktriangleright \stackrel{\mathcal{D}_1}{(x:A) \cap B}}{\Gamma \vdash t.2 \rhd [x:=t.1]B}$$

Applying the IH to  $\mathcal{D}_1$  gives  $\Gamma$ ,  $[x := b]\Delta \vdash [x := b]t \blacktriangleright (y : [x := b]A) \cap [x := b]B$ . The SND rule and some folding of substitution yields  $\Gamma$ ,  $[x := b]\Delta \vdash [x := b]t.2 \triangleright [y := [x := b]t.1][x := b]B$ . Finally, by Lemma 2.1 [y := [x := b]t.1][x := b]B = [x := b][y := t.1]B.

Case: 
$$\Gamma \vdash f \blacktriangleright (a:A) \xrightarrow{\mathcal{D}_1} (x:A') \cap B$$

$$A \equiv A' \qquad \Gamma \vdash e \vartriangleleft (a:A) \xrightarrow{\mathcal{D}_3} a =_A (f \bullet_{\omega} a).1 \qquad FV(|e|) = \varnothing$$

$$\Gamma \vdash \varphi(f,e) \rhd (a:A) \xrightarrow{\omega} (x:A') \cap B$$

Deconstructing  $\mathcal{D}_1$  and using inversion yields  $\Gamma \vdash A \blacktriangleright \star$  and  $\Gamma \vdash A' \blacktriangleright \star$ . Applying Lemma 2.24 means that A pseobj and A' pseobj. Then, by Lemma 2.23 applied to  $\mathcal{D}_2$ :  $[x := b]A \equiv [x := b]A$ 

b]A'. To see why FV(|[x:=b]e|) is empty, consider that if x is in a free position in e, then necessarily  $x \in FV(|e|)$ , which is not true. Thus, x can only be in an erased position in e, but then |[x:=b]e|=|e|, because x is erased, so its substituted term must also be erased. Applying the IH to  $\mathcal{D}_1$  and  $\mathcal{D}_3$  and using the CAST rule concludes the case.

Case: 
$$\frac{\Gamma \vdash e \lhd \operatorname{ctt}^{\mathcal{D}_1} =_{\operatorname{cBool}} \operatorname{cff}}{\Gamma \vdash \delta(e) \rhd (X : \star) \to_0 X}$$

By the IH applied to  $\mathcal{D}_1$ :  $\Gamma$ ,  $[x := b]\Delta \vdash [x := b]e \triangleleft [x := b]$ ctt  $=_{[x:=b]cBool} [x := b]$ cff. However, ctt, cff and cBool are all closed terms, thus [x := b]ctt = ctt, etc. Moreover,  $[x := b]((X : \star) \rightarrow_0 X) = (X : \star) \rightarrow_0 X$ . Thus, applying the SEP rule concludes.

Case: 
$$\frac{\Gamma \vdash t \rhd A \qquad A \stackrel{\mathcal{D}_2}{\leadsto^*} B}{\Gamma \vdash t \blacktriangleright B}$$

By Lemma 2.6  $[x := b]A \rightsquigarrow^* [x := b]B$ .

Case: 
$$\frac{B = \Box \vee \Gamma \vdash B \blacktriangleright K \qquad \Gamma \vdash t \triangleright A \qquad A \stackrel{\mathcal{D}_3}{=} B}{\Gamma \vdash t \vartriangleleft B}$$

By Lemma 2.28: A pseobj. By Lemma 2.24: B pseobj. Then, by Lemma 2.23  $[x:=b]A \equiv [x:=b]B$ . Note that [x:=b]K = K because  $K = \star$  or  $K = \square$ . The case concludes by applying the IH to  $\mathcal{D}_1$  and  $\mathcal{D}_2$ .

Case: 
$$-$$

Impossible by inversion, context is not empty.

$$\text{Case:} \quad \frac{x \notin \overset{\mathcal{D}_1}{FV}(\Gamma) \qquad \overset{\mathcal{D}_2}{\vdash \Gamma} \qquad \Gamma \vdash \overset{\mathcal{D}_3}{A} \blacktriangleright K}{\vdash \Gamma, x_m : A}$$

By the IH applied to  $\mathcal{D}_2$ :  $\vdash \Gamma$ ,  $[x := b]\Delta$ . The IH applied to  $\mathcal{D}_3$ :  $\Gamma$ ,  $[x := b]\Delta \vdash [x := b]A \blacktriangleright K$ . Pick  $y \notin FV(\Gamma)$ . Applying the CTXAPP rule gives  $\vdash \Gamma$ ,  $[x := b]\Delta$ , y : [x := b]A.

## 2.5 Pseudo-kinds and Pseudo-types

Lemma 2.30.

- 1. If  $\Gamma \vdash t \rhd A$  then  $t \neq \Box$  and  $\Box$  is not a subexpression of t
- 2. If  $\Gamma \vdash t \triangleright A$  then  $t \neq \Box$  and  $\Box$  is not a subexpression of t
- 3. If  $\Gamma \vdash t \triangleleft A$  then  $t \neq \square$  and  $\square$  is not a subexpression of t

*Proof.* By mutual induction. The well-formed context cases are omitted because they are not used in the induction. Other omitted cases are immediate by the IH.

Case: 
$$\frac{\overset{\mathcal{D}_1}{\vdash \Gamma}}{\Gamma \vdash \star \rhd \Box}$$

Have  $\star \neq \square$ , hence trivial.

Case: 
$$\frac{\mathcal{D}_1}{\vdash \Gamma} \quad (x_m : A) \in \Gamma$$
$$\Gamma \vdash x \rhd A$$

Have  $x \neq \square$ , hence trivial.

Case: 
$$\frac{\Gamma \vdash A \blacktriangleright \mathrm{dom}_{\Pi}(m,K) \qquad \Gamma, x_m : A \vdash B \blacktriangleright \mathrm{codom}_{\Pi}(m)}{\Gamma \vdash (x : A) \to_m B \rhd \mathrm{codom}_{\Pi}(m)}$$

By the IH  $A = \neq \square$ ,  $B \neq \square$ , and  $\square$  is not a subexpression of A or B. Thus,  $\square$  is not a subexpression of  $(x : A) \rightarrow_m B$ .

Lemma 2.31.

1. If 
$$\Gamma \vdash t \rhd A$$
 then  $A = \square$  or  $\square$  is not a subexpression of A

2. If 
$$\Gamma \vdash t \triangleright A$$
 then  $A = \square$  or  $\square$  is not a subexpression of A

3. If 
$$\Gamma \vdash t \triangleleft A$$
 then  $A = \square$  or  $\square$  is not a subexpression of A

*Proof.* By mutual induction. The well-formed context cases are omitted because they are not used in the induction.

Case: 
$$\frac{\overset{\mathcal{D}_1}{\vdash \Gamma}}{\Gamma \vdash \star \rhd \Box}$$

Have  $A = \square$ , hence trivial.

Case: 
$$\frac{\mathcal{D}_1}{\vdash \Gamma} \qquad (x_m : A) \in \Gamma$$
$$\Gamma \vdash x \rhd A$$

By Lemma 2.27:  $\Gamma \vdash A \triangleright K$ . Thus, by Lemma 2.30  $\square$  is not a subexpression of A.

Case: 
$$\frac{\Gamma \vdash A \blacktriangleright \mathrm{dom}_{\Pi}(m,K) \qquad \Gamma, x_m : A \vdash B \blacktriangleright \mathrm{codom}_{\Pi}(m)}{\Gamma \vdash (x : A) \to_m B \rhd \mathrm{codom}_{\Pi}(m)}$$

If  $m = \tau$  then  $\operatorname{codom}_{\Pi}(m) = \square$ . Otherwise,  $\operatorname{codom}_{\Pi}(m) = \star$  and  $\star \neq \square$ .

$$\Gamma \vdash A \blacktriangleright \operatorname{dom}_{\Pi}(m, K) \qquad \Gamma, x_m : A \vdash t \rhd B$$

$$\Gamma, x_m : A \vdash B \blacktriangleright \operatorname{codom}_{\Pi}(m) \qquad x \notin FV(|t|) \text{ if } m = 0$$

$$\Gamma \vdash \lambda_m \, x : A : t \rhd (x : A) \to_m B$$

By Lemma 2.30 applied to  $\mathcal{D}_1$  and  $\mathcal{D}_3$ :  $\square$  is not a subexpression of A or B. Thus,  $\square$  is not a subexpression of  $(x:A) \to_m B$ .

Case: 
$$\frac{\Gamma \vdash f \blacktriangleright (x:A) \to_m B \qquad \Gamma \vdash \overset{\mathcal{D}_2}{a} \lhd A}{\Gamma \vdash f \bullet_m a \rhd [x:=a]B}$$

Applying the IH to  $\mathcal{D}_1$  yields that  $\square$  is not a subexpression of A or B. By Lemma 2.30  $a \neq \square$  and  $\square$  is not a subexpression of a. Thus,  $\square$  is not a subexpression of [x := a]B.

Case: 
$$\frac{\Gamma \vdash A \blacktriangleright \star \qquad \Gamma, x_{\tau} : A \vdash B \blacktriangleright \star}{\Gamma \vdash (x : A) \cap B \rhd \star}$$

Have  $\star \neq \square$ , hence trivial.

Case: 
$$\frac{\Gamma \vdash (x:A) \cap B \rhd \star \qquad \Gamma \vdash t \lhd A \qquad \Gamma \vdash s \lhd [x:=t]B \qquad t \stackrel{\mathcal{D}_{4}}{\equiv} s}{\Gamma \vdash [t,s;(x:A) \cap B] \rhd (x:A) \cap B}$$

By Lemma 2.30 applied to  $\mathcal{D}_1$ .

Case: 
$$\frac{\Gamma \vdash t \blacktriangleright \stackrel{\mathcal{D}_1}{(x:A) \cap B}}{\Gamma \vdash t.1 \rhd A}$$

By the IH applied to  $\mathcal{D}_1$ .

Case: 
$$\frac{\Gamma \vdash t \blacktriangleright \overset{\mathcal{D}_1}{(x:A) \cap B}}{\Gamma \vdash t.2 \rhd [x:=t.1]B}$$

By the IH applied to  $\mathcal{D}_1$ :  $\square$  is not a subexpression of B. Using Lemma 2.30 with  $\mathcal{D}_1$  gives that  $\square$  is not a subexpression of t. Thus,  $\square$  is not a subexpression of [x:=t.1]B.

Case: 
$$\frac{\Gamma \vdash A \blacktriangleright \star \qquad \Gamma \vdash a \lhd A \qquad \Gamma \vdash b \lhd A}{\Gamma \vdash a =_A b \rhd \star}$$

Have  $\star \neq \square$ , hence trivial.

Case: 
$$\frac{\Gamma \vdash t \rhd A \qquad \Gamma \vdash A^{\mathcal{D}_2} \blacktriangleright \star}{\Gamma \vdash \operatorname{refl}(t) \rhd t =_A t}$$

By Lemma 2.30 applied to  $\mathcal{D}_1$  and  $\mathcal{D}_2$ :  $t \neq \square$ ,  $A \neq \square$ , and  $\square$  is not a subexpression of t or A. Thus,  $\square$  is not a subexpression of  $t =_A t$ .

Case: 
$$\frac{\Gamma \vdash e \overset{\mathcal{D}_1}{\blacktriangleright} a =_A b \qquad \Gamma \vdash P \overset{\mathcal{D}_2}{\lhd} A \to_{\tau} \star}{\Gamma \vdash \psi(e, P) \rhd P \bullet_{\tau} a \to_{\omega} P \bullet_{\tau} b}$$

Applying the IH to  $\mathcal{D}_1$  gives that  $\square$  is not a subexpression of a, b, or A. By Lemma 2.30 applied to  $\mathcal{D}_2$ :  $P \neq \square$  and  $\square$  is not a subexpression of P. Thus,  $\square$  is not a subexpression of  $P \bullet_{\tau} a \to_{\tau} P \bullet_{\tau} b$ .

Case: 
$$\frac{\Gamma \vdash a \blacktriangleright \stackrel{\mathcal{D}_1}{(x:A)} \cap B \qquad \Gamma \vdash b \vartriangleleft \stackrel{\mathcal{D}_2}{(x:A)} \cap B \qquad \Gamma \vdash e \vartriangleleft \stackrel{\mathcal{D}_3}{a.1} =_A b.1}{\Gamma \vdash \vartheta_1(e,a,b) \rhd a =_{(x:A)\cap B} b}$$

By the IH on  $\mathcal{D}_1$ :  $\square$  is not a subexpression of A or B. Using Lemma 2.30 with  $\mathcal{D}_1$  and  $\mathcal{D}_2$  yields that  $a \neq \square$ ,  $b \neq \square$  and  $\square$  is not a subexpression of a or b. Thus,  $\square$  is not a subexpression of  $a =_{(x:A)\cap B} b$ .

Case: 
$$\Gamma \vdash a \blacktriangleright \stackrel{\mathcal{D}_1}{(x:A)} \cap B$$
$$\frac{\Gamma \vdash b \vartriangleleft (x:A) \cap B}{\Gamma \vdash b \vartriangleleft (x:A) \cap B} \qquad \Gamma \vdash e \vartriangleleft a.2 \stackrel{\mathcal{D}_3}{=_{[x:=a.1]B}} b.2$$
$$\Gamma \vdash \vartheta_2(e,a,b) \rhd a =_{(x:A)\cap B} b$$

Similar to previous case.

Case: 
$$\Gamma \vdash f \blacktriangleright (a:A) \xrightarrow{\mathcal{D}_1} (x:A') \cap B$$

$$\frac{A \equiv A'}{\Gamma \vdash e \vartriangleleft (a:A) \xrightarrow{\mathcal{D}_2} a =_A (f \bullet_{\omega} a).1 \qquad FV(|e|) = \varnothing}{\Gamma \vdash \varphi(f,e) \rhd (a:A) \xrightarrow{\mathcal{D}_{\omega}} (x:A') \cap B}$$

Immediate by the IH applied to  $\mathcal{D}_1$ .

$$\text{Case:} \quad \frac{\Gamma \vdash e \lhd \operatorname{ctt}^{\mathcal{D}_1}_{=_{\operatorname{cBool}}} \operatorname{cff}}{\Gamma \vdash \delta(e) \rhd (X:\star) \to_0 X}$$

Immediate by inspection.

Case: 
$$\frac{\Gamma \vdash t \rhd A \qquad A \stackrel{\mathcal{D}_2}{\leadsto^*} B}{\Gamma \vdash t \blacktriangleright B}$$

By the IH applied to  $\mathcal{D}_1$ :  $A = \square$  or  $\square$  is not a subexpression of A. If  $A = \square$  then inversion on  $\square \leadsto^* B$  forces  $B = \square$ . If  $A \neq \square$  and  $\square$  is not a subexpression of A then  $\square$  is not a subexpression of B because reduction only reduces the set of singleton subexpressions. That is, the leafs of A must be only variables and  $\star$  and reduction cannot change this.

$$\text{Case:} \quad \frac{B = \Box \vee \overset{\mathcal{D}_1}{\Gamma} \vdash B \blacktriangleright K \qquad \Gamma \vdash \overset{\mathcal{D}_2}{t} \rhd A \qquad A \stackrel{\mathcal{D}_3}{\equiv} B}{\Gamma \vdash t \lhd B}$$

By Lemma 2.30 applied to  $\mathcal{D}_2$ .

$$\mathfrak{sub}_{\star}(\square) = \top$$

$$\mathfrak{sub}_{\star}(\star) = \bot$$

$$\mathfrak{sub}_{\star}(x) = \top$$

$$\mathfrak{sub}_{\star}((x:\star) \to_{m} \star) = \top$$

$$\mathfrak{sub}_{\star}((x:\star) \to_{m} B) = \mathfrak{sub}_{\star}(B)$$

$$\mathfrak{sub}_{\star}((x:A) \to_{m} \star) = \mathfrak{sub}_{\star}(A)$$

$$\mathfrak{sub}_{\star}((x:A) \to_{m} \star) = \mathfrak{sub}_{\star}(A)$$

$$\mathfrak{sub}_{\star}(\lambda_{m} x : \star . t) = \mathfrak{sub}_{\star}(t)$$

$$\mathfrak{sub}_{\star}(\mathfrak{b}(\kappa, (x:t_{1}), t_{2})) = \mathfrak{sub}_{\star}(t_{1}) \wedge \mathfrak{sub}_{\star}(t_{2})$$

$$\mathfrak{sub}_{\star}(\mathfrak{c}(\kappa, t_{1}, \dots, t_{\mathfrak{a}(\kappa)})) = \mathfrak{sub}_{\star}(t_{1}) \wedge \dots \wedge \mathfrak{sub}_{\star}(t_{\mathfrak{a}(\kappa)})$$

#### Lemma 2.32.

1. If 
$$\Gamma \vdash t \rhd A$$
 then  $t = \star$  or  $\mathfrak{sub}_{\star}(t)$ 

2. If 
$$\Gamma \vdash t \triangleright A$$
 then  $t = \star$  or  $\mathfrak{sub}_{\star}(t)$ 

3. If 
$$\Gamma \vdash t \lhd A$$
 then  $t = \star$  or  $\mathfrak{sub}_{\star}(t)$ 

*Proof.* By mutual induction. Well-formed context cases are omitted because they are not used in the induction.

Case: 
$$\frac{\mathcal{D}_1}{\Gamma \vdash \star \rhd \Box}$$

Have  $t = \star$ , hence trivial.

$$\text{Case:} \quad \frac{\overset{\mathcal{D}_1}{\vdash \Gamma} \qquad (x_m: \overset{\mathcal{D}_2}{A}) \in \Gamma}{\Gamma \vdash x \rhd A}$$

Have  $\mathfrak{sub}_{\star}(x) = \top$ , hence trivial.

Case: 
$$\frac{\Gamma \vdash A \blacktriangleright \operatorname{dom}_{\Pi}(m, K) \qquad \Gamma, x_m : A \vdash B \blacktriangleright \operatorname{codom}_{\Pi}(m)}{\Gamma \vdash (x : A) \to_m B \rhd \operatorname{codom}_{\Pi}(m)}$$

Applying the IH to both cases gives  $A = \star$  or  $\mathfrak{sub}_{\star}(A)$  and  $B = \star$  or  $\mathfrak{sub}_{\star}(B)$ . In all four configurations  $\mathfrak{sub}_{\star}((x:A) \to_m B)$  holds.

Case: 
$$\Gamma \vdash A \blacktriangleright \operatorname{dom}_{\Pi}(m, K) \qquad \Gamma, x_m : \stackrel{\mathcal{D}_2}{A} \vdash t \rhd B$$

$$\stackrel{\mathcal{D}_3}{} \vdash x_m : A \vdash B \blacktriangleright \operatorname{codom}_{\Pi}(m) \qquad x \notin FV(|t|) \text{ if } m = 0$$

$$\Gamma \vdash \lambda_m \, x : A . \, t \rhd (x : A) \to_m B$$

By the IH applied to  $\mathcal{D}_2$ :  $t = \star$  or  $\mathfrak{sub}_{\star}(t)$ . If  $t = \star$  then by inversion on  $\mathcal{D}_2$ :  $B = \square$ . However, this is impossible by Lemma 2.30 applied to  $\mathcal{D}_3$ , thus  $t \neq \star$ . Applying the IH to  $\mathcal{D}_1$  gives  $A = \star$  or  $\mathfrak{sub}_{\star}(A)$ . In either case  $\mathfrak{sub}_{\star}(\lambda_m x : A, t)$  holds.

Case: 
$$\frac{\Gamma \vdash f \blacktriangleright (x : A) \to_m B \qquad \Gamma \vdash \stackrel{\mathcal{D}_2}{a} \lhd A}{\Gamma \vdash f \bullet_m a \rhd [x := a]B}$$

Applying the IH to  $\mathcal{D}_2$  gives  $a = \star$  or  $\mathfrak{sub}_{\star}(a)$ . If  $a = \star$  then by inversion on  $\mathcal{D}_2 \exists T$  such that  $\Gamma \vdash a \rhd T$  and  $A \equiv \Box$ . Thus,  $|T| \leadsto^* \Box$ , but this is only possible if  $\Box$  is a subexpression of T. Now by Lemma 2.31 used on  $\mathcal{D}_1$  this situation is impossible, thus  $a \neq \star$ . Using the IH on  $\mathcal{D}_1$  gives  $f = \star$  or  $\mathfrak{sub}_{\star}(f)$ . Similarly, by inversion  $\exists T_2$  such that  $\Gamma \vdash f \rhd T$  and  $(x : A) \to_m B \equiv \Box$ , but this is only possible if  $\Box$  is a subexpression.

Case: 
$$\frac{\Gamma \vdash A \triangleright \star \qquad \Gamma, x_{\tau} : A \vdash B \triangleright \star}{\Gamma \vdash (x : A) \cap B \rhd \star}$$

Applying the IH to both  $\mathcal{D}_1$  and  $\mathcal{D}_2$  gives  $A = \star$  or  $\mathfrak{sub}_{\star}(A)$  and  $B = \star$  or  $\mathfrak{sub}_{\star}(B)$ . However, if  $A = \square$  or  $B = \square$  then by inversion  $\square \equiv \star$  which is impossible. Thus,  $\mathfrak{sub}_{\star}((x:A) \cap B)$  holds.

$$\text{Case:} \quad \frac{\Gamma \vdash (x : A) \cap B \rhd \star \qquad \Gamma \vdash t \lhd A \qquad \Gamma \vdash s \lhd [x := t]B \qquad t \equiv s}{\Gamma \vdash [t, s; (x : A) \cap B] \rhd (x : A) \cap B}$$

Similar to previous cases, apply the IH and notice that by inversion

if  $t = \star$  or  $s = \star$  then  $\square$  must be a subexpression of A and B respectively. Thus,  $\mathfrak{sub}_{\star}([t, s; (x : A) \cap B])$  holds.

Case: 
$$\frac{\Gamma \vdash t \blacktriangleright \stackrel{\mathcal{D}_1}{(x:A) \cap B}}{\Gamma \vdash t.1 \rhd A}$$

Similar to previous cases.

Case: 
$$\frac{\Gamma \vdash t \blacktriangleright \stackrel{\mathcal{D}_1}{(x:A) \cap B}}{\Gamma \vdash t.2 \rhd [x:=t.1]B}$$

Similar to previous cases.

$$\text{Case:} \quad \frac{\Gamma \vdash \overset{\mathcal{D}_1}{A} \blacktriangleright \star \qquad \Gamma \vdash \overset{\mathcal{D}_2}{a} \lhd A \qquad \Gamma \vdash \overset{\mathcal{D}_2}{b} \lhd A}{\Gamma \vdash a =_A b \rhd \star}$$

Similar to previous cases.

Case: 
$$\frac{\Gamma \vdash t \rhd A \qquad \Gamma \vdash A \blacktriangleright \star}{\Gamma \vdash \operatorname{refl}(t) \rhd t =_{A} t}$$

Similar to previous cases.

Case: 
$$\frac{\Gamma \vdash e \overset{\mathcal{D}_1}{\blacktriangleright} a =_A b \qquad \Gamma \vdash P \overset{\mathcal{D}_2}{\lhd} A \to_{\tau} \star}{\Gamma \vdash \psi(e, P) \rhd P \bullet_{\tau} a \to_{\omega} P \bullet_{\tau} b}$$

Similar to previous cases.

Case: 
$$\frac{\Gamma \vdash a \blacktriangleright (x:A) \cap B \qquad \Gamma \vdash b \vartriangleleft (x:A) \cap B \qquad \Gamma \vdash e \vartriangleleft a.1 =_A b.1}{\Gamma \vdash \vartheta_1(e,a,b) \rhd a =_{(x:A) \cap B} b}$$

Similar to previous cases.

Case: 
$$\Gamma \vdash a \blacktriangleright \stackrel{\mathcal{D}_1}{(x:A)} \cap B$$
$$\frac{\Gamma \vdash b \vartriangleleft (x:A) \cap B}{\Gamma \vdash b \vartriangleleft (x:A) \cap B} \qquad \frac{\Gamma \vdash e \vartriangleleft a.2 =_{[x:=a.1]B} b.2}{\Gamma \vdash \vartheta_2(e,a,b) \rhd a =_{(x:A)\cap B} b}$$

Similar to previous cases.

Case: 
$$\Gamma \vdash f \blacktriangleright (a:A) \xrightarrow{\mathcal{D}_1} (x:A') \cap B$$

$$\frac{A \equiv A'}{\Gamma \vdash e \vartriangleleft (a:A) \xrightarrow{\mathcal{D}_2} a =_A (f \bullet_{\omega} a).1 \qquad FV(|e|) = \varnothing}{\Gamma \vdash \varphi(f,e) \rhd (a:A) \xrightarrow{\mathcal{D}_{\omega}} (x:A') \cap B}$$

Similar to previous cases.

Case: 
$$\frac{\Gamma \vdash e \lhd \operatorname{ctt} =_{\operatorname{cBool}} \operatorname{cff}}{\Gamma \vdash \delta(e) \rhd (X : \star) \to_0 X}$$

Similar to previous cases.

$$\text{Case:} \quad \frac{\Gamma \vdash t \rhd A \qquad A \stackrel{\mathcal{D}_2}{\leadsto^*} B}{\Gamma \vdash t \blacktriangleright B}$$

Immediate by the IH applied to  $\mathcal{D}_1$ .

$$\text{Case:} \quad \frac{B = \square \vee \Gamma \vdash B \blacktriangleright K \qquad \Gamma \vdash t \rhd A \qquad A \stackrel{\mathcal{D}_3}{\equiv} B}{\Gamma \vdash t \vartriangleleft B}$$

Immediate by the IH applied to  $\mathcal{D}_1$ .

**Lemma 2.33.** If  $\mathfrak{sub}_{\star}(t)$  and  $\mathfrak{sub}_{\star}(b)$  then  $\mathfrak{sub}_{\star}([x:=t]b)$ 

*Proof.* Straightforward by induction on b.

**Lemma 2.34.** If  $\mathfrak{sub}_{\star}(s)$  and  $s \sim t$  then  $\mathfrak{sub}_{\star}(t)$ 

*Proof.* By induction on  $s \sim t$ . All axiom cases are trivial except  $\beta$ -reduction. The inductive case for constructors is immediate by the IH except  $\kappa = \star$ . Note that  $s \neq \star$  because  $\neg \mathfrak{sub}_{\star}(\star)$ . The remaining cases are shown as follows:

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\leadsto} t_1'}{\mathfrak{b}(\kappa, x : t_1, t_2) \leadsto \mathfrak{b}(\kappa, x : t_1', t_2)}$$

Suppose  $\kappa = \Pi_m$ . Note that  $t_1 = \star$  is impossible by inversion on  $\star \leadsto t_1'$ . By the IH applied to  $\mathcal{D}_1$ :  $\mathfrak{sub}_{\star}(t_1')$ . Thus,  $\mathfrak{sub}_{\star}((x:t_1) \to_m t_2)$  holds. If  $\kappa = \lambda_m$  then the proof is the same as for  $\Pi_m$ . Otherwise, the subexpressions cannot be  $\star$  and the IH concludes the remaining cases.

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\leadsto} t_1'}{\mathfrak{b}(\kappa, x: t_1, t_2) \leadsto \mathfrak{b}(\kappa, x: t_1', t_2)}$$

Suppose  $\kappa = \Pi_m$  Note that  $t_2 = \star$  is impossible by inversion on  $\star \sim t_2'$ . By the IH applied to  $\mathcal{D}_1$ :  $\mathfrak{sub}_{\star}(t_1')$ . Thus,  $\mathfrak{sub}_{\star}((x:t_1) \to_m t_2)$  holds. In all other cases applying the IH is sufficient.

Case: 
$$(\lambda_m x : A.b) \bullet_m t \leadsto [x := t]b$$

Note that  $\mathfrak{sub}_{\star}(t)$  and  $\mathfrak{sub}_{\star}(b)$  by computation. Thus,  $\mathfrak{sub}_{\star}([x:=t]b)$  holds by Lemma 2.33.

**Lemma 2.35.** If  $\mathfrak{sub}_{\star}(s)$  and  $s \rightsquigarrow^* t$  then  $\mathfrak{sub}_{\star}(t)$ 

*Proof.* By induction on  $s \rightsquigarrow^* t$ . The reflexivity case is trivial. Suppose  $s \rightsquigarrow z$  and  $z \rightsquigarrow^* t$ . By Lemma 2.34  $\mathfrak{sub}_{\star}(z)$  holds. Now by the IH  $\mathfrak{sub}_{\star}(t)$  holds.  $\square$ 

Lemma 2.36.

1. If 
$$\Gamma \vdash t \rhd A$$
 then  $A = \star$  or  $\mathfrak{sub}_{\star}(A)$ 

2. If 
$$\Gamma \vdash t \triangleright A$$
 then  $A = \star$  or  $\mathfrak{sub}_{\star}(A)$ 

3. If 
$$\Gamma \vdash t \lhd A$$
 then  $A = \star$  or  $\mathfrak{sub}_{\star}(A)$ 

*Proof.* By mutual induction. Well-formed context cases are omitted because they are not used in the induction.

Case: 
$$\frac{\stackrel{\mathcal{D}_1}{\vdash \Gamma}}{\Gamma \vdash \star \rhd \Box}$$

Have  $\mathfrak{sub}_{\star}(\square)$ , hence trivial.

Case: 
$$\frac{\mathcal{D}_1}{\vdash \Gamma} \qquad (x_m : A) \in \Gamma$$
$$\Gamma \vdash x \rhd A$$

By Lemma 2.27:  $\Gamma \vdash A \triangleright K$ . Now using Lemma 2.32,  $A = \star$  or  $\mathfrak{sub}_{\star}(A)$  as required.

Case: 
$$\frac{\Gamma \vdash A \blacktriangleright \operatorname{dom}_{\Pi}(m, K) \qquad \Gamma, x_m : A \vdash B \blacktriangleright \operatorname{codom}_{\Pi}(m)}{\Gamma \vdash (x : A) \to_m B \rhd \operatorname{codom}_{\Pi}(m)}$$

Either  $\operatorname{codom}_{\Pi}(m)$  is  $\square$  or  $\star$ , in either case the goal is reached.

Case: 
$$\Gamma \vdash A \blacktriangleright \operatorname{dom}_{\Pi}(m, K) \qquad \Gamma, x_m : \stackrel{\mathcal{D}_2}{A} \vdash t \rhd B$$
$$\frac{\Gamma, x_m : A \vdash B \blacktriangleright \operatorname{codom}_{\Pi}(m) \qquad x \notin FV(|t|) \text{ if } m = 0}{\Gamma \vdash \lambda_m \, x : A . \, t \rhd (x : A) \to_m B}$$

Applying the IH to  $\mathcal{D}_1$  and  $\mathcal{D}_3$  gives  $A = \star$  or  $\mathfrak{sub}_{\star}(A)$  and  $B = \star$  or  $\mathfrak{sub}_{\star}(B)$ . In all four configurations  $\mathfrak{sub}_{\star}((x:A) \to_m B)$  holds.

Case: 
$$\frac{\Gamma \vdash f \blacktriangleright (x:A) \to_m B \qquad \Gamma \vdash \overset{\mathcal{D}_2}{a} \lhd A}{\Gamma \vdash f \bullet_m a \rhd [x:=a]B}$$

Applying the IH to  $\mathcal{D}_1$  gives  $\mathfrak{sub}_{\star}((x:A) \to_m B)$  which means  $B = \star$  or  $\mathfrak{sub}_{\star}(B)$ . If  $B = \star$  then  $[x:=a]B = \star$ . Suppose  $\mathfrak{sub}_{\star}(B)$ . Applying Lemma 2.32 to  $\mathcal{D}_2$  yields  $a = \star$  or  $\mathfrak{sub}_{\star}(a)$ . If  $a = \star$  then by inversion  $\square \equiv A$  which is impossible by Lemma 2.31. Suppose  $\mathfrak{sub}_{\star}(a)$ . Finally, by Lemma 2.33:  $\mathfrak{sub}_{\star}([x:=a]B)$  holds.

Case: 
$$\frac{\Gamma \vdash A \blacktriangleright \star \qquad \Gamma, x_{\tau} : \stackrel{\mathcal{D}_2}{A \vdash B} \blacktriangleright \star}{\Gamma \vdash (x : A) \cap B \rhd \star}$$

Have  $A = \star$ , hence trivial.

$$\text{Case:} \quad \frac{\Gamma \vdash (x : A) \cap B \rhd \star \qquad \Gamma \vdash t \lhd A \qquad \Gamma \vdash s \lhd [x := t]B \qquad t \stackrel{\mathcal{D}_{4}}{\equiv} s}{\Gamma \vdash [t, s; (x : A) \cap B] \rhd (x : A) \cap B}$$

Immediate by Lemma 2.32 applied to  $\mathcal{D}_1$ .

Case: 
$$\frac{\Gamma \vdash t \blacktriangleright \stackrel{\mathcal{D}_1}{(x:A) \cap B}}{\Gamma \vdash t.1 \rhd A}$$

By the IH applied to  $\mathcal{D}_1$ :  $\mathfrak{sub}_{\star}((x:A) \cap B)$ . Thus,  $\mathfrak{sub}_{\star}(A)$ .

Case: 
$$\frac{\Gamma \vdash t \blacktriangleright \overset{\mathcal{D}_1}{(x:A) \cap B}}{\Gamma \vdash t.2 \rhd [x:=t.1]B}$$

As with the previous case,  $\mathfrak{sub}_{\star}(B)$ . Using Lemma 2.32 with  $\mathcal{D}_1$ :  $\mathfrak{sub}_{\star}(t)$  holds, and thus  $\mathfrak{sub}_{\star}(t.1)$  holds. Finally, by Lemma 2.33:  $\mathfrak{sub}_{\star}([x:=t.1]B)$  holds.

$$\text{Case:} \quad \frac{\Gamma \vdash \overset{\mathcal{D}_1}{A} \blacktriangleright \star \qquad \Gamma \vdash \overset{\mathcal{D}_2}{a} \lhd A \qquad \Gamma \vdash \overset{\mathcal{D}_2}{b} \lhd A}{\Gamma \vdash a =_A b \rhd \star}$$

Have  $A = \star$ , hence trivial.

Case: 
$$\frac{\Gamma \vdash t \rhd A \qquad \Gamma \vdash A^{\mathcal{D}_2} \star}{\Gamma \vdash \operatorname{refl}(t) \rhd t =_A t}$$

Applying the IH to  $\mathcal{D}_1$  gives  $A = \square$  or  $\mathfrak{sub}_{\star}(A)$ . If  $A = \square$  then  $\star \equiv \square$  which is impossible. Applying Lemma 2.32 to  $\mathcal{D}_1$  gives  $\mathfrak{sub}_{\star}(t)$  and note that  $t \neq \star$  for the same reasons as other cases.

Case: 
$$\frac{\Gamma \vdash e \overset{\mathcal{D}_1}{\blacktriangleright} a =_A b \qquad \Gamma \vdash P \overset{\mathcal{D}_2}{\lhd} A \to_{\tau} \star}{\Gamma \vdash \psi(e, P) \rhd P \bullet_{\tau} a \to_{\omega} P \bullet_{\tau} b}$$

By the IH applied to  $\mathcal{D}_1$ :  $\mathfrak{sub}_{\star}(a =_A b)$ . Computing gives that  $\mathfrak{sub}_{\star}(a)$  and  $\mathfrak{sub}_{\star}(b)$ . Using Lemma 2.32 with  $\mathcal{D}_2$  yields  $\mathfrak{sub}_{\star}(P)$ , note that  $P \neq \star$  for similar reasons as previous cases. Now by computation  $\mathfrak{sub}_{\star}(P \bullet_{\tau} a)$  and  $\mathfrak{sub}_{\star}(P \bullet_{\tau} a)$ . Finally, by computation  $\mathfrak{sub}_{\star}(P \bullet_{\tau} a \to_{\tau} P \bullet_{\tau} b)$  holds.

$$\text{Case:} \quad \frac{\Gamma \vdash a \blacktriangleright \stackrel{\mathcal{D}_1}{(x:A)} \cap B \qquad \Gamma \vdash b \vartriangleleft \stackrel{\mathcal{D}_2}{(x:A)} \cap B \qquad \Gamma \vdash e \vartriangleleft \stackrel{\mathcal{D}_3}{a.1} =_A b.1}{\Gamma \vdash \vartheta_1(e,a,b) \rhd a =_{(x:A)\cap B} b}$$

Immediate by the IH applied to  $\mathcal{D}_1$ .

Case: 
$$\Gamma \vdash a \blacktriangleright \stackrel{\mathcal{D}_1}{(x:A) \cap B}$$
$$\frac{\Gamma \vdash b \vartriangleleft (x:A) \cap B}{\Gamma \vdash b \vartriangleleft (x:A) \cap B} \qquad \Gamma \vdash e \vartriangleleft a.2 \stackrel{\mathcal{D}_3}{=_{[x:=a.1]B}} b.2$$
$$\frac{\Gamma \vdash \vartheta_2(e,a,b) \rhd a =_{(x:A) \cap B} b}{(x:A) \cap B}$$

Same as previous case.

$$\Gamma \vdash f \blacktriangleright (a:A) \xrightarrow{\mathcal{D}_1} (x:A') \cap B$$
 Case: 
$$\underbrace{A \stackrel{\mathcal{D}_2}{\equiv} A'}_{\Gamma \vdash e \vartriangleleft (a:A) \xrightarrow{\mathcal{D}_\omega} a =_A (f \bullet_\omega a).1}_{\Gamma \vdash \varphi(f,e) \rhd (a:A) \xrightarrow{\mathcal{D}_\omega} (x:A') \cap B}_{\mathcal{D}_4}$$

Immediate by the IH applied to  $\mathcal{D}_1$ .

Case: 
$$\frac{\Gamma \vdash e \lhd \operatorname{ctt}^{\mathcal{D}_1} =_{\operatorname{cBool}} \operatorname{cff}}{\Gamma \vdash \delta(e) \rhd (X : \star) \to_0 X}$$

Immediate by computation.

Case: 
$$\frac{\Gamma \vdash t \triangleright A \qquad A \stackrel{\mathcal{D}_2}{\leadsto} B}{\Gamma \vdash t \triangleright B}$$

Applying the IH to  $\mathcal{D}_1$  gives  $A = \star$  or  $\mathfrak{sub}_{\star}(A)$ . If  $A = \star$  then  $\star \leadsto^* B$  which forces  $B = \star$ . Otherwise,  $\mathfrak{sub}_{\star}(B)$  by Lemma 2.35.

Case: 
$$\frac{B = \square \vee \Gamma \vdash B \blacktriangleright K \qquad \Gamma \vdash \stackrel{\mathcal{D}_2}{t \rhd A} \qquad A \stackrel{\mathcal{D}_3}{\equiv} B }{\Gamma \vdash t \vartriangleleft B}$$

By Lemma 2.32:  $\mathfrak{sub}_{\star}(B)$  holds.

## Lemma 2.37. If $\mathfrak{sub}_{\star}(t)$ then $\mathfrak{sub}_{\star}(|t|)$

*Proof.* By induction on t. Note that  $t \neq \star$  because  $\neg \mathfrak{sub}_{\star}(\star)$ . Suppose t is a constructor. If  $\kappa = \operatorname{eq}$ ,  $\bullet_o mega$  or  $\bullet_{\tau}$  then erasure is a homomorphism and thus the individual components satisfy the condition by computation. If  $\kappa = \operatorname{refl}$  or  $\kappa = \varphi$  then  $|t| = \lambda_{\omega} x.x$  and  $\mathfrak{sub}_{\star}(\lambda_{\omega} x.x)$  holds. Otherwise, the erasure computes to a subexpression and thus by computation the condition holds.

Suppose t is a binder. If  $\kappa = \lambda_0$  or  $\lambda_\omega$  then |t| = |b| where b is the body of the  $\lambda$ -expression, but now  $\mathfrak{sub}_{\star}(b)$  by computation. If  $\kappa = \lambda_{\tau}$  then either  $A = \square$  or  $\mathfrak{sub}_{\star}(A)$  where A is the annotation of  $\lambda$ -expression. However, in either case  $\mathfrak{sub}_{\star}(\lambda_{\tau} x : A.b)$ . A similar argument works for when  $\kappa = \Pi_m$ . Note that if  $\kappa = 0$  then erasure is a homomorphism.

Suppose that t is a variable. Then 
$$|x| = x$$
 and  $\mathfrak{sub}_{\star}(|x|)$  holds.

# **Lemma 2.38.** If $\mathfrak{sub}_{\star}(t)$ then $\neg(t \leadsto \star)$

*Proof.* Suppose  $t \sim \star$  and proceed by induction. Note that the inductive cases are impossible by inversion. The first projection case is omitted because they are very similar to the second projection case. The substitution, first promotion projection, and second promotion projection cases is omitted by inversion because the result of reduction cannot be equal to  $\star$ .

Case: 
$$(\lambda_m x : A.b) \bullet_m t \leadsto [x := t]b$$

Note that  $[x := t]b = \star$ , thus  $b = \star$  or b = x and  $t = \star$ . In either case  $\neg \mathfrak{sub}_{\star}((\lambda_m x : A.b) \bullet_m t)$ , but this is assumed. Hence, contradiction.

Case:  $[t_1, t_2; A].2 \rightsquigarrow t_2$ 

Note that  $t_2 = \star$ , but then  $\neg \mathfrak{sub}_{\star}([t_1, \star; A].2)$ . Hence, contradiction.

**Lemma 2.39.** If  $\mathfrak{sub}_{\star}(t)$  then  $\neg(t \leadsto^* \star)$ 

*Proof.* Suppose  $t \rightsquigarrow^* \star$  and proceed by induction. Now  $t \rightsquigarrow z$  and  $z \rightsquigarrow^* \star$ . By Lemma 2.34  $\mathfrak{sub}_{\star}(z)$ . Now the IH applied to  $z \rightsquigarrow^* \star$  produces a contradiction.

**Lemma 2.40.** *If*  $\Gamma \vdash A \triangleleft K$  *then*  $\Gamma \vdash A \rhd K$  *where*  $K = \square$  *or*  $K = \star$ 

*Proof.* Deconstructing the premise gives  $\exists T$  such that  $\Gamma \vdash A \rhd T$ ,  $\Gamma \vdash K \blacktriangleright K'$  and  $K \equiv T$ . Suppose  $K = \Box$ , but this is impossible by Lemma 2.30. Suppose  $K = \star$ . Using Lemma 2.36:  $T = \star$  or  $\mathfrak{sub}_{\star}(T)$ . Note that  $\star \equiv T$  implies that  $|T| \leadsto^* \star$ . Suppose  $\mathfrak{sub}_{\star}(T)$ , but then  $\mathfrak{sub}_{\star}(|T|)$  by Lemma 2.37. Thus, this case is impossible by Lemma 2.39. Now  $T = \star$  and  $\Gamma \vdash A \rhd \star$ .  $\Box$ 

# 2.6 Preservation

## Theorem 2.41.

- 1.  $\Gamma \vdash t \rhd A \text{ and } \Gamma \leadsto \Gamma' \text{ then } \exists A'. A \leadsto^* A' \text{ and } \Gamma' \vdash t \rhd A'$
- 2.  $\Gamma \vdash t \triangleright A \text{ and } \Gamma \leadsto \Gamma' \text{ then } \exists A'. A \leadsto^* A' \text{ and } \Gamma' \vdash t \triangleright A'$
- 3.  $\Gamma \vdash t \lhd A \text{ and } \Gamma \leadsto \Gamma' \text{ then } \Gamma' \vdash t \lhd A$
- 4.  $\vdash \Gamma$  and  $\Gamma \leadsto \Gamma'$  then  $\vdash \Gamma'$
- 5.  $\Gamma \vdash t \rhd A \text{ and } t \leadsto t' \text{ then } \exists A'. A \equiv A' \text{ and } \Gamma \vdash t' \rhd A'$

6. 
$$\Gamma \vdash t \triangleright A \text{ and } t \rightsquigarrow t' \text{ then } \exists A'. A \equiv A' \text{ and } \Gamma \vdash t' \triangleright A'$$

7. 
$$\Gamma \vdash t \lhd A \text{ and } t \leadsto t' \text{ then } \Gamma \vdash t' \lhd A$$

8. 
$$\Gamma \vdash t \lhd A \text{ and } A \leadsto A' \text{ then } \Gamma \vdash t \lhd A'$$

9. 
$$\Gamma \vdash t \rhd A \text{ and } \Gamma \leadsto^* \Gamma' \text{ then } \exists A'. A \leadsto^* A' \text{ and } \Gamma' \vdash t \rhd A'$$

10. 
$$\Gamma \vdash t \triangleright A$$
 and  $\Gamma \leadsto^* \Gamma'$  then  $\exists A'$ .  $A \leadsto^* A'$  and  $\Gamma' \vdash t \triangleright A'$ 

11. 
$$\vdash \Gamma$$
 and  $\Gamma \leadsto^* \Gamma'$  then  $\vdash \Gamma'$ 

12. 
$$\Gamma \vdash t \rhd A$$
,  $\Gamma \leadsto^* \Gamma'$ , and  $t \leadsto^* t'$  then  $\exists A'$ .  $A \equiv A'$  and  $\Gamma' \vdash t' \rhd A'$ 

13. 
$$\Gamma \vdash t \triangleright A$$
,  $\Gamma \leadsto^* \Gamma'$ , and  $t \leadsto^* t'$  then  $\exists A'$ .  $A \equiv A'$  and  $\Gamma' \vdash t' \triangleright A'$ 

14. 
$$\Gamma \vdash t \lhd A$$
,  $\Gamma \leadsto^* \Gamma'$ ,  $t \leadsto^* t'$ , and  $A \leadsto^* A'$  then  $\Gamma' \vdash t' \lhd A'$ 

*Proof.* The proof proceeds by well-founded mutual recursion.

1. By pattern-match on  $\Gamma \vdash t \rhd A$ . The Refl, Int, Fst, and Prmfst cases are omitted because they are very similar to the Lam, Pi, Snd, and PrmSnd cases respectively.

Case: 
$$\frac{\stackrel{\mathcal{D}_1}{\vdash \Gamma}}{\Gamma \vdash \star \rhd \Box}$$

Applying 4 to  $\mathcal{D}_1$  gives  $\vdash \Gamma'$ . The Ax rule concludes the case.

Case: 
$$\frac{\Gamma_1}{\Gamma} \frac{(x_m : A) \in \Gamma}{\Gamma \vdash x \rhd A}$$

Applying 4 to  $\mathcal{D}_1$  gives  $\vdash \Gamma'$ . Suppose wlog that  $A \rightsquigarrow A'$  in  $\Gamma \rightsquigarrow \Gamma'$ . Then  $(x_m : A') \in \Gamma'$ . Thus,  $\Gamma' \vdash x \rhd A'$ .

Case: 
$$\frac{\Gamma \vdash A \blacktriangleright \operatorname{dom}_{\Pi}(m, K) \qquad \Gamma, x_m : A \vdash B \blacktriangleright \operatorname{codom}_{\Pi}(m)}{\Gamma \vdash (x : A) \to_m B \rhd \operatorname{codom}_{\Pi}(m)}$$

Applying 2 on  $\mathcal{D}_1$  gives  $\Gamma' \vdash A \blacktriangleright T$  with  $\operatorname{dom}_{\Pi}(m,K) \rightsquigarrow^* T$ , but this reduction forces  $T = \operatorname{dom}_{\Pi}(m,K)$ . Note that  $\Gamma, x_m : A \rightsquigarrow \Gamma', x_m : A$ . Applying 2 on  $\mathcal{D}_2$  with the previous reduction gives  $\Gamma', x_m : A \vdash B \blacktriangleright \operatorname{codom}_{\Pi}(m)$ . Where the reduction-inferred type is  $\operatorname{codom}_{\Pi}(m)$  for the same reason as previously. The PI rule concludes.

Case: 
$$\Gamma \vdash A \blacktriangleright \operatorname{dom}_{\Pi}(m, K) \qquad \Gamma, x_m : \stackrel{\mathcal{D}_2}{A} \vdash t \rhd B$$

$$\Gamma, x_m : A \vdash B \blacktriangleright \operatorname{codom}_{\Pi}(m) \qquad x \notin FV(|t|) \text{ if } m = 0$$

$$\Gamma \vdash \lambda_m \, x : A \cdot t \rhd (x : A) \to_m B$$

As with the previous case:  $\Gamma' \vdash A \blacktriangleright \operatorname{dom}_{\Pi}(m, K)$ . Applying 1 on  $\mathcal{D}_2$  gives  $\Gamma', x_m : A \vdash t \rhd B'$  with  $B \leadsto^* B'$ . Now, applying 13 to  $\mathcal{D}_3$  yields  $\Gamma', x_m : A \vdash B' \blacktriangleright \operatorname{codom}_{\Pi}(m)$  noting that the reduction-inferred type is unchanged for the same reasons as before. Thus,  $\Gamma' \vdash \lambda_m x : A.t \rhd (x : A) \to_m B'$  with  $(x : A) \to_m B \leadsto^* (x : A) \to_m B'$ .

Case: 
$$\frac{\Gamma \vdash f \blacktriangleright (x : A) \to_m B \qquad \Gamma \vdash \stackrel{\mathcal{D}_2}{a} \lhd A}{\Gamma \vdash f \bullet_m a \rhd [x := a]B}$$

Applying 2 on  $\mathcal{D}_1$  gives  $\Gamma' \vdash f \blacktriangleright T$  with  $(x:A) \to_m B \leadsto^* T$ . By inversion on the previous reduction  $T = (x:A') \to_m B'$  with  $A \leadsto^* A'$  and  $B \leadsto^* B'$ . Using 14 on  $\mathcal{D}_2$  gives  $\Gamma' \vdash a \lhd A'$ . Now by the APP rule  $\Gamma' \vdash f \bullet_m a \rhd [x:=a]B'$ . Finally, by Lemma 2.6  $[x:=a]B \leadsto^* [x:=a]B'$ .

$$\text{Case:} \quad \frac{\Gamma \vdash (x:\overset{\mathcal{D}_1}{A}) \cap B \rhd \star \qquad \Gamma \vdash \overset{\mathcal{D}_2}{t} \lhd A \qquad \Gamma \vdash s \lhd \overset{\mathcal{D}_3}{[x:=t]B} \qquad t \stackrel{\mathcal{D}_4}{\equiv} s}{\Gamma \vdash [t,s;(x:A) \cap B] \rhd (x:A) \cap B}$$

Using 1 on  $\mathcal{D}_1$  gives  $\Gamma' \vdash (x : A) \cap B \rhd \star$ . Again, note that technically a new term is introduced such that  $\star \leadsto^* T$ , but this requires  $T = \star$ . Applying 4 with  $\mathcal{D}_2$ ,  $\mathcal{D}_3$ , and applying the PAIR

rule conclude the case.

Case: 
$$\frac{\Gamma \vdash t \blacktriangleright \stackrel{\mathcal{D}_1}{(x:A) \cap B}}{\Gamma \vdash t.2 \rhd [x:=t.1]B}$$

Using 2 on  $\mathcal{D}_1$  gives  $\Gamma' \vdash t \blacktriangleright T$  with  $(x : A) \cap B \rightsquigarrow^* T$ . By inversion on this reduction  $T = (x : A') \cap B'$  with  $A \rightsquigarrow^* A'$  and  $B \rightsquigarrow^* B'$ . Now with Lemma 2.6  $[x := t.1]B \rightsquigarrow^* [x := t.1]B'$ . The SND rule concludes.

Case: 
$$\frac{\Gamma \vdash A^{\mathcal{D}_1} \blacktriangleright \star \qquad \Gamma \vdash a^{\mathcal{D}_2} \land A \qquad \Gamma \vdash b^{\mathcal{D}_2} \land A}{\Gamma \vdash a =_A b \rhd \star}$$

Applying 2 on  $\mathcal{D}_1$  gives  $\Gamma' \vdash A \triangleright \star$ . Now using 3 on  $\mathcal{D}_2$ ,  $\mathcal{D}_3$ , and applying the EQ rule concludes the case.

Case: 
$$\frac{\Gamma \vdash e \overset{\mathcal{D}_1}{\blacktriangleright} a =_A b \qquad \Gamma \vdash P \overset{\mathcal{D}_2}{\lhd} A \to_{\tau} \star}{\Gamma \vdash \psi(e, P) \rhd P \bullet_{\tau} a \to_{\omega} P \bullet_{\tau} b}$$

Using 2 on  $\mathcal{D}_1$  yields  $\Gamma' \vdash e \blacktriangleright T$  with  $a =_A b \rightsquigarrow^* T$ . By inversion on the previous reduction  $T = a' =_{A'} b'$  with  $a \rightsquigarrow^* a'$ ,  $A \rightsquigarrow^* A'$ , and  $b \rightsquigarrow^* b'$ . Note that  $A \to_{\tau} \star \rightsquigarrow^* A' \to_{\tau} \star$  and using this with 14 on  $\mathcal{D}_2$  gives  $\Gamma' \vdash P \vartriangleleft A' \to_{\tau} \star$ . Finally, note that  $P \bullet_{\tau} a \to_{\omega} P \bullet_{\tau} b \rightsquigarrow^* P \bullet_{\tau} a' \to_{\omega} P \bullet_{\tau} b'$ . Thus, the SUBST rule concludes.

Case: 
$$\Gamma \vdash a \blacktriangleright \stackrel{\mathcal{D}_1}{(x:A)} \cap B$$
$$\frac{\Gamma \vdash b \vartriangleleft (x:A) \cap B}{\Gamma \vdash b \vartriangleleft (x:A) \cap B} \qquad \Gamma \vdash e \vartriangleleft a.2 \stackrel{\mathcal{D}_3}{=_{[x:=a.1]B}} b.2$$
$$\Gamma \vdash \vartheta_2(e,a,b) \rhd a =_{(x:A)\cap B} b$$

Using 2 on  $\mathcal{D}_1$  gives  $\Gamma' \vdash a \triangleright T$  with  $(x:A) \cap B \rightsquigarrow^* T$ . Inversion on this reduction gives  $T = (x:A') \cap B'$  with  $A \rightsquigarrow^* A'$  and  $B \rightsquigarrow^* B'$ . By Lemma 2.6  $[x:=a.1]B \rightsquigarrow^* [x:=a.1]B'$ . Thus,  $a.2 =_{[x:=a.1]B} = b.2 \rightsquigarrow^* a.2 =_{[x:=a.1]B'} = b.2$ . Using 14 with the appropriate reductions and applying the PRMSND rule concludes

the case.

Case: 
$$\Gamma \vdash f \blacktriangleright (a:A) \xrightarrow{\mathcal{D}_1} (x:A') \cap B$$

$$\frac{A \equiv A'}{\Gamma \vdash e \vartriangleleft (a:A) \xrightarrow{\mathcal{D}_2} a =_A (f \bullet_{\omega} a).1 \qquad FV(|e|) = \varnothing}{\Gamma \vdash \varphi(f,e) \rhd (a:A) \xrightarrow{\mathcal{D}_{\omega}} (x:A') \cap B}$$

Using 2 on  $\mathcal{D}_1$  gives  $\Gamma' \vdash f \triangleright T$  with  $(a:A) \rightarrow_{\omega} (x:C) \cap B \rightsquigarrow^* T$ . Inversion on this previous reduction gives  $T = (a : A') \rightarrow_{\omega} (x : A')$  $(C') \cap B'$  with  $A \leadsto^* A'$ ,  $C \leadsto^* C'$ , and  $B \leadsto^* B'$ . Note that  $A \equiv C$ and thus by Lemma 2.20  $A' \equiv C'$ . Now  $(a:A) \rightarrow_{\omega} a =_A (f \bullet_{\omega})$  $a).1 \sim^* (a:A') \rightarrow_{\omega} a =_{A'} (f \bullet_{\omega} a).1$ . Using 14 on  $\mathcal{D}_3$  also with the previous reduction gives  $\Gamma' \vdash e \lhd (a : A') \to_{\omega} a =_{A'} (f \bullet_{\omega} a).1$ . The Cast rule concludes the case.

Case: 
$$\frac{\Gamma \vdash e \lhd \operatorname{ctt}^{\mathcal{D}_1} =_{\operatorname{cBool}} \operatorname{cff}}{\Gamma \vdash \delta(e) \rhd (X : \star) \to_0 X}$$

Immediate by applying 3 to  $\mathcal{D}_1$  and using the SEP rule.

**2.** By pattern-match on  $\Gamma \vdash t \triangleright A$ .

Case: 
$$\frac{\Gamma \vdash t \rhd A}{\Gamma \vdash t \rhd A} \xrightarrow{D_2} B$$

Using 1 on  $\mathcal{D}_1$  gives  $\Gamma' \vdash t \rhd A'$  with  $A \leadsto^* A'$ . Confluence yields B' such that  $A' \rightsquigarrow^* B'$  and  $B \rightsquigarrow^* B'$ . Thus,  $A \rightsquigarrow^* B'$  and the REDINF rule concludes.

3. By pattern-match on 
$$\Gamma \vdash t \lhd A$$
.

Case: 
$$\frac{B = \Box \lor \overset{\mathcal{D}_1}{\Gamma} \vdash B \blacktriangleright K \qquad \Gamma \vdash \overset{\mathcal{D}_2}{t} \rhd A \qquad A \stackrel{\mathcal{D}_3}{\equiv} B}{\Gamma \vdash t \lhd B}$$

Using 1 on  $\mathcal{D}_2$  gives  $\Gamma' \vdash t \triangleright A'$  with  $A \leadsto^* A'$ . Suppose  $B \neq \square$ wlog. Applying 2 on  $\mathcal{D}_1$  yields  $\Gamma' \vdash B \triangleright K$ . Now by Lemma 2.20:  $A' \equiv B$ . Thus,  $\Gamma' \vdash t \triangleleft B$ .

**4.** By pattern-match on  $\vdash \Gamma$ .

Case: -

Have  $\varepsilon \rightsquigarrow^* \Gamma'$ , but this forces  $\Gamma' = \varepsilon$ . The CTXEM rule concludes the case.

 $\text{Case:} \quad \frac{x \notin \overset{\mathcal{D}_1}{FV}(\Gamma) \qquad \overset{\mathcal{D}_2}{\vdash \Gamma} \qquad \Gamma \vdash \overset{\mathcal{D}_3}{A \blacktriangleright K}}{\vdash \Gamma, x_m : A}$ 

Applying 5 on  $\mathcal{D}_2$  gives  $\vdash \Gamma'$ . Suppose wlog that  $A \leadsto A'$ . Using 13 on  $\mathcal{D}_3$  gives  $\Gamma' \vdash A' \blacktriangleright T$  where  $T \equiv K$ . Thus,  $|T| \leadsto^* K$ . However, by Lemmas 2.39 and  $\square$  not being a subexpression, this forces T = K. Note that context reduction does not create free variables, thus  $x \notin FV(\Gamma')$ . The CTXAPP rule concludes.

- **5.** By pattern-match on  $\Gamma \vdash t \rhd A$ .
- **6.** By pattern-match on  $\Gamma \vdash t \triangleright A$ .
- **7.** By pattern-match on  $\Gamma \vdash t \triangleleft A$ .
- **8.** By pattern-match on  $\Gamma \vdash t \lhd A$ .
- 9.
- *10.*
- *11*.
- *12.*
- *13*.
- 14.

2.7 Classification

**Theorem 2.42** (Classification). If  $\Gamma \vdash t \rhd A$  then one (and only one) of the following statements holds:

- 1. A is  $\square$  (i.e. t is a kind)
- 2.  $\Gamma \vdash A \blacktriangleright \Box$  (i.e. t is a  $\Gamma$ -constructor)

3.  $\Gamma \vdash A \triangleright \star (i.e. \ t \ is \ a \ \Gamma \text{-}term)$ 

*Proof.* By induction on the proof derivation of t with motives

•  $\Gamma \vdash t \rhd A$ ,  $\Gamma \vdash t \triangleright A$ , and  $\Gamma \vdash t \lhd A$  motives is

$$(A = \square) \vee (\Gamma \vdash A \blacktriangleright \square) \vee (\Gamma \vdash A \blacktriangleright \star)$$

•  $\vdash \Gamma$  motive is  $\top$ 

Case: 
$$\frac{\overset{\mathcal{D}_1}{\vdash \Gamma}}{\Gamma \vdash \star \rhd \Box}$$

 $A = \square$ , trivial.

Case: 
$$\frac{\mathcal{D}_1}{\vdash \Gamma} \quad (x_m : A) \in \Gamma$$
$$\Gamma \vdash x \rhd A$$

Obtained from Lemma 2.27.

Case: 
$$\frac{\Gamma \vdash A \blacktriangleright \operatorname{dom}_{\Pi}(m, K) \qquad \Gamma, x_m : A \vdash B \blacktriangleright \operatorname{codom}_{\Pi}(m)}{\Gamma \vdash (x : A) \to_m B \rhd \operatorname{codom}_{\Pi}(m)}$$

If  $\operatorname{codom}_{\Pi}(m) = \square$  then trivial. Otherwise,  $\operatorname{codom}_{\Pi}(m) = \star$  and the AXIOM rule with Lemma 2.25 applied to  $\mathcal{D}_1$  conclude the case.

Case: 
$$\Gamma \vdash A \blacktriangleright \operatorname{dom}_{\Pi}(m, K) \qquad \Gamma, x_m : A \vdash t \rhd B$$

$$\Gamma, x_m : A \vdash B \blacktriangleright \operatorname{codom}_{\Pi}(m) \qquad x \notin FV(|t|) \text{ if } m = 0$$

$$\Gamma \vdash \lambda_m x : A : t \rhd (x : A) \to_m B$$

Apply the PI rule with  $\mathcal{D}_1$  and  $\mathcal{D}_3$ .

Case: 
$$\frac{\Gamma \vdash f \blacktriangleright (x : A) \to_m B \qquad \Gamma \vdash \overset{\mathcal{D}_2}{a} \lhd A}{\Gamma \vdash f \bullet_m a \rhd [x := a]B}$$

By the IH applied to  $\mathcal{D}_1$  it is the case that  $\exists K$  such that  $\Gamma \vdash (x : A) \rightarrow_m B \blacktriangleright K$ . Note that it cannot be the case that it

is equal  $\square$  by inversion on syntax. However, by inversion on the internal inference rule  $\Gamma \vdash (x:A) \to_m B \rhd \operatorname{codom}_{\Pi}(m)$ . By the PI rule  $\Gamma, x:A \vdash B \blacktriangleright \operatorname{codom}_{\Pi}(m)$ . Applying Lemma ?? yields  $\Gamma \vdash [x:=a]B \vartriangleleft \operatorname{codom}_{\Pi}(m)$ . Thus, by Lemma ??:  $\Gamma \vdash [x:=a]B \blacktriangleright \operatorname{codom}_{\Pi}(m)$ .

Case: 
$$\frac{\Gamma \vdash \overset{\mathcal{D}_1}{A} \blacktriangleright \star \qquad \Gamma, x_\tau : \overset{\mathcal{D}_2}{A} \vdash B \blacktriangleright \star}{\Gamma \vdash (x : A) \cap B \rhd \star}$$

Immediate by the AXIOM rule and Lemma 2.27.

Case: 
$$\frac{\Gamma \vdash (x:A) \cap B \rhd \star \qquad \Gamma \vdash t \lhd A \qquad \Gamma \vdash s \lhd [x:=t]B \qquad t \equiv s}{\Gamma \vdash [t,s;(x:A) \cap B] \rhd (x:A) \cap B}$$

Apply the INT rule with  $\mathcal{D}_2$  and  $\mathcal{D}_3$ .

Case: 
$$\frac{\Gamma \vdash t \blacktriangleright (x : A) \cap B}{\Gamma \vdash t.1 \rhd A}$$

Apply the IH to  $\mathcal{D}_1$ . Inversion on syntax gives  $\exists K$  such that  $(x:A) \cap B \triangleright K$ . Inversion on the internal inference rule yields  $(x:A) \cap B \triangleright \star$ . Thus,  $K = \star$ . Deconstructing the previous rule concludes the proof with  $\Gamma \vdash A \triangleright \star$ .

Case: 
$$\frac{\Gamma \vdash t \blacktriangleright \stackrel{\mathcal{D}_1}{(x:A) \cap B}}{\Gamma \vdash t.2 \rhd [x:=t.1]B}$$

Exactly as the previous case  $(x:A) \cap B \rhd \star$  by the IH and two inversions. Deconstructing the previous rule gives  $\Gamma, x:A \vdash B \blacktriangleright \star$ . By the FST rule,  $\Gamma \vdash t.1 \rhd A$ . Then, by Lemma 2.29 it is the case that  $\Gamma \vdash [x:=t.1]B \blacktriangleright \star$ .

Case: 
$$\frac{\Gamma \vdash \stackrel{\mathcal{D}_1}{A} \blacktriangleright \star \qquad \Gamma \vdash \stackrel{\mathcal{D}_2}{a} \lhd A \qquad \Gamma \vdash \stackrel{\mathcal{D}_2}{b} \lhd A}{\Gamma \vdash a =_A b \rhd \star}$$

Immediate by the AXIOM rule and Lemma 2.25.

Case: 
$$\frac{\Gamma \vdash t \rhd A \qquad \Gamma \vdash A^{\mathcal{D}_2} \blacktriangleright \star}{\Gamma \vdash \operatorname{refl}(t) \rhd t =_A t}$$

Note that  $\Gamma \vdash t \lhd A$ , thus by the EQ rule  $\Gamma \vdash t =_A t \rhd \star$ .

Case: 
$$\frac{\Gamma \vdash e \overset{\mathcal{D}_1}{\blacktriangleright} a =_A b \qquad \Gamma \vdash P \overset{\mathcal{D}_2}{\lhd} A \to_{\tau} \star}{\Gamma \vdash \psi(e, P) \rhd P \bullet_{\tau} a \to_{\omega} P \bullet_{\tau} b}$$

Apply the IH to  $\mathcal{D}_1$ . By inversion on syntax  $\exists K$  such that  $\Gamma \vdash a =_A b \blacktriangleright K$ . By inversion on the internal inference rule,  $\Gamma \vdash a =_A b \triangleright \star$ , thus  $K = \star$ . Deconstructing the previous rule yields  $\Gamma \vdash A \blacktriangleright \star$ ,  $\Gamma \vdash a \lhd A$ , and  $\Gamma \vdash b \lhd A$ . By Lemma  $\ref{eq:construction}$  and  $\Gamma \vdash P \bullet_{\tau} b \lhd \star$ . However, this means that  $\Gamma \vdash P \bullet_{\tau} a \blacktriangleright \star$  and  $\Gamma \vdash P \bullet_{\tau} b \blacktriangleright \star$  by Lemma  $\ref{eq:construction}$ . Using weakening,  $\Gamma, x : P \bullet_{\tau} a \vdash P \bullet_{\tau} b \blacktriangleright \star$ . Applying the PI rule concludes the proof.

Case: 
$$\frac{\Gamma \vdash a \blacktriangleright (x:A) \cap B \qquad \Gamma \vdash b \vartriangleleft (x:A) \cap B \qquad \Gamma \vdash e \vartriangleleft a.1 =_A b.1}{\Gamma \vdash \vartheta_1(e,a,b) \rhd a =_{(x:A) \cap B} b}$$

Exactly as the FST and SND cases,  $\Gamma \vdash (x : A) \cap B \blacktriangleright \star$  by the IH and two inversions. By  $\mathcal{D}_2$ ,  $\Gamma \vdash a \lhd (x : A) \cap B$ . Applying the EQ rule yields  $\Gamma \vdash a =_{(x:A)\cap B} b \rhd \star$ .

$$\Gamma \vdash f \blacktriangleright (a:A) \xrightarrow{\mathcal{D}_1} (x:A') \cap B$$
 Case: 
$$\underbrace{A \stackrel{\mathcal{D}_2}{\equiv} A'}_{\Gamma \vdash e \vartriangleleft (a:A) \xrightarrow{\mathcal{D}_\omega} a =_A (f \bullet_\omega a).1}_{\Gamma \vdash \varphi(f,e) \rhd (a:A) \xrightarrow{\mathcal{D}_\omega} (x:A') \cap B}_{\mathcal{D}_4}$$

By the IH on  $\mathcal{D}_1$ .

Case: 
$$\frac{\Gamma \vdash e \lhd \operatorname{ctt}^{\mathcal{D}_1} =_{\operatorname{cBool}} \operatorname{cff}}{\Gamma \vdash \delta(e) \rhd (X : \star) \to_0 X}$$

It is clear that  $\Gamma \vdash (X : \star) \to_0 X \rhd \star$  by applying a short sequence of inference rules.

Case: 
$$\frac{\Gamma \vdash t \rhd A \qquad A \stackrel{\mathcal{D}_2}{\leadsto^*} B}{\Gamma \vdash t \blacktriangleright B}$$

Apply the IH on  $\mathcal{D}_1$ . By inversion on  $\mathcal{D}_2$ ,  $A \neq \square$ . Thus,  $\exists K$  such that  $\Gamma \vdash A \triangleright K$ . By preservation  $\Gamma \vdash B \triangleright K$ .

Case: 
$$\frac{B = \square \vee \Gamma \vdash B \blacktriangleright K \qquad \Gamma \vdash t \triangleright A \qquad A \stackrel{\mathcal{D}_3}{\equiv} B}{\Gamma \vdash t \vartriangleleft B}$$

Immediate by  $\mathcal{D}_2$ .

Case: 
$$-$$

Trivial.

Case: 
$$\frac{x \notin \overset{\mathcal{D}_1}{FV}(\Gamma) \qquad \overset{\mathcal{D}_2}{\vdash \Gamma} \qquad \Gamma \vdash \overset{\mathcal{D}_3}{A \blacktriangleright K}}{\vdash \Gamma, x_m : A}$$

Trivial.

# PROOF NORMALIZATION AND RELATIONSHIP TO SYSTEM $\mathbf{F}^\omega$

# CONSISTENCY AND RELATIONSHIP TO CDLE

#### **OBJECT NORMALIZATION**

A  $\varphi_i$ -proof is a proof that allows i nested  $\varphi$  syntactic constructs. For example, a  $\varphi_0$ -proof allows no  $\varphi$  subterms, a  $\varphi_1$ -proof allows  $\varphi$  subterms but no nested  $\varphi$  subterms, and a  $\varphi_2$ -proof allows  $\varphi_1$  subterms. Defined inductively, a  $\varphi_0$ -proof is a proof with no  $\varphi$  syntactic constructs and a  $\varphi_{i+1}$ -proof is a proof with  $\varphi_i$ -proof subterms.

For any  $\varphi_i$ -proof p there is a strictification s(p) that is a  $\varphi_0$ -proof in Figure 5.1.

**Lemma 5.1** (Strictification Preserves Inference). Given  $\Gamma \vdash t \rhd A$  then  $\Gamma \vdash s(t) \rhd A$ 

*Proof.* By induction on the typing rule, the  $\varphi$  rule is the only one of interest:

Case: 
$$\begin{array}{c}
\Gamma \vdash f \blacktriangleright (a:A) \to_{\omega} (x:A') \cap B \\
\frac{A \equiv A'}{\Gamma} \vdash e \vartriangleleft (a:A) \to_{\omega} a =_A (f \bullet_{\omega} a).1 \quad FV(|e|) = \varnothing \\
\Gamma \vdash \varphi(f,e) \rhd (a:A) \to_{\omega} (x:A') \cap B
\end{array}$$

Need to show that  $\Gamma \vdash s(\varphi(a, f, e)) \rhd (x : A) \cap B$  which reduces to:  $\Gamma \vdash s(f) \bullet_{\omega} s(a) \rhd (x : A) \cap B$ . By the IH we know that s(f) infers the same function type, and that s(a) infers the same argument type, therefore the application rule concludes the proof.

**Lemma 5.2** (Strict Proofs are Normalizing). Given  $\Gamma \vdash t \rhd A$  then s(t) is strongly normalizing

*Proof.* Direct consequence of strong normalization of proofs  $\Box$ 

$$s(x) = x \qquad \qquad s([s,t,T]) = [s(s),s(t),s(T)]$$

$$s(\star) = \star \qquad \qquad s(t.1) = s(t).1$$

$$s(\Box) = \Box \qquad \qquad s(t.2) = s(t).2$$

$$s(\lambda_m x : A. t) = \lambda_m x : s(A). s(t) \qquad \qquad s(x =_A y) = s(x) =_{s(A)} s(y)$$

$$s((x : A) \rightarrow_m B) = (x : s(A)) \rightarrow_m s(B) \qquad \qquad s(\text{refl}(t)) = \text{refl}(s(t))$$

$$s((x : A) \cap B) = (x : s(A)) \cap s(B) \qquad \qquad s(\vartheta(e)) = \vartheta(s(e))$$

$$s(f \bullet_m a) = s(f) \bullet_m s(a) \qquad \qquad s(\delta(e)) = \delta(s(e))$$

$$s(J(A, P, x, y, r, w)) = J(s(A), s(P), s(x), s(y), s(r), s(w))$$

$$s(\varphi(a, f, e)) = s(f) \bullet_\omega s(a)$$

Figure 5.1: Strictification of a proof.

**Lemma 5.3** (Strict Objects are Normalizing). Given  $\Gamma \vdash t \rhd A$  then |s(t)| is strongly normalizing

*Proof.* Proof Idea:

Proof reduction tracks object reduction in the absence of  $\varphi$  constructs. Thus, the normalization of a proof provides an upper-bound on the number of reductions an object can take to reach a normal form.

A proof,  $\Gamma \vdash t_1 \rhd A$ , is contextually equivalent to another proof,  $\Gamma \vdash t_2 \rhd A$ , if there is no context with hole of type A whose object reduction diverges for  $t_1$  but not  $t_2$ . In other words, if a context can be constructed that distinguishes the terms based on their object reduction.

**Lemma 5.4.** A  $\varphi_1$ -proof, p, is contextually equivalent to its strictification, s(p)

*Proof.* Proof by induction on the typing rule for p, focus on the application rule:

Case: 
$$\frac{\Gamma \vdash f \blacktriangleright (x : A) \to_m B \qquad \Gamma \vdash \stackrel{\mathcal{D}_2}{a} \lhd A}{\Gamma \vdash f \bullet_m a \rhd [x := a]B}$$

In particular, we care about when  $f = \varphi(v, b, e).2$  and  $m = \omega$ . Note that the first projection has a proof-reduction that yields a which makes it unproblematic.

We know that s(v) = v because f is a  $\varphi_1$ -proof. Let  $v_n$  be the normal form of v and note that  $|v_n|$  is also normal. Likewise, we have  $e_n$  and  $|e_n|$  normal.

Suppose there is a context  $C[\cdot]$  where |p| diverges but |s(p)| normalizes. (Note that the opposite assumption is impossible). If  $|v_n|$  is a variable, then reduction in |p| is blocked (contradiction). Otherwise  $|v_n| = \lambda x. x \ t_1 \cdots t_n$  where  $t_i$  are normal.

Now it must be the case that  $|e \bullet_{\omega} v| = |e_n| \bullet_{\omega} |v_n|$  is normalizing. Thus, we have a refl proof that  $v_n = (f \bullet_{\omega} v_n).1$ . (Note, this proof must be refl because  $\mathrm{FV}(|e|) = \varnothing$ ). But, this implies convertibility, thus  $|v_n| =_{\beta} |f| \bullet_{\omega} |v_n|$ , but this must mean more concretely that  $|f| \bullet_{\omega} |v_n| \leadsto |v_n|$ . Yet  $|f| \bullet_{\omega} |v_n| \bullet_{\omega} a$  is strongly normalizing because it is s(p). Therefore, p in this case is strongly normalizing which refutes the assumption yielding a contradiction.

**Lemma 5.5.** If  $t_1$  is strongly normalizing and contextually equivalent to  $t_2$  then  $t_2$  is strongly normalizing

*Proof.* Immediate by the definition of contextual equivalence.  $\Box$ 

**Theorem 5.6.** A  $\varphi_i$ -proof p is strongly normalizing for all i

*Proof.* By induction on i.

Case: i = 0

Immediate because s(p) = p and strict proofs are strongly normalizing.

Inductive Case:

Suppose that  $\varphi_i$ -proof is strongly normalizing. Goal: show that  $\varphi_{i+1}$ -proof is strongly normalizing.

# CEDILLE2: SYSTEM IMPLEMENTATION

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# CEDILLE2: INTERNALLY DERIVABLE CONCEPTS

# CONCLUSION AND FUTURE WORK

#### Appendix A

#### PROOF OF CONFLUENCE

**Lemma A.1.** For any  $t, t \Rightarrow t$ 

*Proof.* Straightforward by induction on t.

**Lemma A.2.** If  $s \leadsto t$  then  $s \Rrightarrow t$ 

*Proof.* By induction on  $s \sim t$ .

Case:  $(\lambda_m x : A.b) \bullet_m t \sim [x := t]b$ 

By Lemma A.1:  $t \Rightarrow t$  and  $b \Rightarrow b$ . Applying the PARBETA rule concludes the case.

Case:  $[t_1, t_2; A].1 \rightsquigarrow t_1$ 

As above,  $t_1 \Rightarrow t_1$ , applying the PARFST rule concludes the case.

Case:  $[t_1, t_2; A].2 \rightsquigarrow t_2$ 

Same as previous case using PARSND rule.

Case:  $\psi(\operatorname{refl}(t), P) \leadsto \lambda_{\omega} x : P \bullet_{\tau} t. x$ 

Using Lemma A.1:  $t \Rightarrow t$  and  $P \Rightarrow P$ . Applying the PARSUBST rule concludes the case.

Case:  $\vartheta_1(\operatorname{refl}(t_1), t_2, t_3) \leadsto \operatorname{refl}(t_2)$ 

As with previous cases,  $t_2 \Rightarrow t_2$ . Applying the PARPRMFST rule concludes the case.

Case:  $\vartheta_2(\operatorname{refl}(t_1), t_2, t_3) \rightsquigarrow \operatorname{refl}(t_2)$ 

Same as above using PARPRMSND.

$$\frac{t_i \Rrightarrow t_i' \quad \forall \ i \in \{1, \dots, \mathfrak{a}(\kappa)\}}{\mathfrak{c}(\kappa, t_1, \dots, t_i, \dots, t_{\mathfrak{a}(\kappa)}) \Rrightarrow \mathfrak{c}(\kappa, t_1', \dots, t_i', \dots, t_{\mathfrak{a}(\kappa)}')} \text{ ParCtor}$$

$$\frac{t_1 \Rrightarrow t_1' \quad t_2 \Rrightarrow t_2'}{\mathfrak{b}(\kappa, x : t_1, t_2) \Rrightarrow \mathfrak{b}(\kappa, x : t_1', t_2')} \text{ ParBind}$$

$$\frac{t_1 \Rrightarrow t_1' \quad t_2 \Rrightarrow t_2' \quad t_3 \Rrightarrow t_3'}{(\lambda_m \, x : t_1, t_2) \bullet_m \, t_3 \Rrightarrow [x := t_3'] t_2'} \text{ ParBeta}$$

$$\frac{t_1 \Rrightarrow t_1' \quad t_2 \Rrightarrow t_2' \quad t_3 \Rrightarrow t_3'}{\psi(\text{refl}(t_1), t_2) \Rrightarrow \lambda_\omega \, x : t_2' \bullet_\tau \, t_1'. \, x} \text{ ParSubst}$$

$$\frac{t_1 \Rrightarrow t_1' \quad t_2 \Rrightarrow t_2' \quad t_3 \Rrightarrow t_3'}{[t_1, t_2; t_3].1 \Rrightarrow t_1'} \text{ ParFst}$$

$$\frac{t_1 \Rrightarrow t_1' \quad t_2 \Rrightarrow t_2' \quad t_3 \Rrightarrow t_3'}{[t_1, t_2; t_3].2 \Rrightarrow t_2'} \text{ ParSnd}$$

$$\frac{t_1 \Rrightarrow t_1' \quad t_2 \Rrightarrow t_2' \quad t_3 \Rrightarrow t_3'}{\eta_1(\text{refl}(t_1), t_2, t_3) \Rrightarrow \text{refl}(t_2')} \text{ ParPrmFst}$$

$$\frac{t_1 \Rrightarrow t_1' \quad t_2 \Rrightarrow t_2' \quad t_3 \Rrightarrow t_3'}{\vartheta_2(\text{refl}(t_1), t_2, t_3) \Rrightarrow \text{refl}(t_2')} \text{ ParPrmSnd}$$

Figure A.1: Parallel reduction rules for arbitrary syntax.

$$\begin{split} & \{(\lambda_m \, x\!:\!t_1.\,t_2) \, \bullet_m \, t_3\} = [x := \langle t_3 \rangle] \langle t_2 \rangle \\ & \langle \psi(\operatorname{refl}(t_1),t_2)\rangle = \lambda_\omega \, x\!:\! \langle t_2 \rangle \, \bullet_\tau \, \langle t_1 \rangle.\, x \\ & \langle [t_1,t_2;t_3].1\rangle = \langle t_1 \rangle \\ & \langle [t_1,t_2;t_3].2\rangle = \langle t_2 \rangle \\ & \langle \vartheta_1(\operatorname{refl}(t_1),t_2,t_3)\rangle = \operatorname{refl}(\langle t_2 \rangle) \\ & \langle \vartheta_2(\operatorname{refl}(t_1),t_2,t_3)\rangle = \operatorname{refl}(\langle t_2 \rangle) \\ & \langle (\mathfrak{c}(\kappa,t_1,\ldots,t_{\mathfrak{a}(\kappa)})) = \mathfrak{c}(\kappa,\langle t_1 \rangle,\ldots,\langle t_{\mathfrak{a}(\kappa)} \rangle) \\ & \langle \mathfrak{b}(\kappa,\langle x:t_1\rangle,t_2)\rangle = \mathfrak{b}(\kappa,\langle x:\langle t_1 \rangle),\langle t_2 \rangle) \\ & \langle \mathfrak{c}(\kappa,\langle t_1 \rangle,t_2)\rangle = \mathfrak{c}(\kappa,\langle t_1 \rangle,\langle t_2 \rangle) \\ & \langle \mathfrak{c}(\kappa,\langle t_1 \rangle,t_2)\rangle = \mathfrak{c}(\kappa,\langle t_1 \rangle,\langle t_2 \rangle) \end{split}$$

Figure A.2: Definition of a reduction completion function (-) for parallel reduction. Note that this function is defined by pattern matching, applying cases from top to bottom. Thus, the cases at the very bottom are catch-all for when the prior cases are not applicable.

$$\text{Case:} \quad \frac{t_i \overset{\mathcal{D}_1}{\leadsto} t_i' \qquad i \in 1, \dots, \mathfrak{a}(\kappa)}{\mathfrak{c}(\kappa, t_1, \dots t_i, \dots t_{\mathfrak{a}(\kappa)}) \leadsto \mathfrak{c}(\kappa, t_1, \dots t_i', \dots t_{\mathfrak{a}(\kappa)})}$$

By the IH applied to  $\mathcal{D}_1$ :  $t_i \Rightarrow t'_i$ . Note that there is only one subderivation. For all  $j \neq i$   $t_j \Rightarrow t_j$  by Lemma A.1. Using the PARCTOR rule concludes the case.

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\leadsto} t_1'}{\mathfrak{b}(\kappa, x: t_1, t_2) \leadsto \mathfrak{b}(\kappa, x: t_1', t_2)}$$

Applying the IH to  $\mathcal{D}_1$  yields  $t_1 \Rightarrow t'_1$ . By Lemma A.1:  $t_2 \Rightarrow t_2$ . Using the PARBIND rule concludes the case.

**Lemma A.3.** If  $s \rightsquigarrow^* t$  then  $s \Rrightarrow^* t$ 

*Proof.* By induction on  $s \rightsquigarrow^* t$  applying Lemma A.2 in the inductive case.  $\square$ 

**Lemma A.4.** If  $s \Rightarrow t$  then  $s \sim^* t$ 

*Proof.* By induction on  $s \Rightarrow t$ .

Case: 
$$x \Rightarrow x$$

By reflexivity of reduction.

Case: 
$$t_i \Rightarrow t_i' \quad \forall \ i \in \{1, \dots, \mathfrak{a}(\kappa)\}$$
$$\mathfrak{c}(\kappa, t_1, \dots, t_i, \dots, t_{\mathfrak{a}(\kappa)}) \Rightarrow \mathfrak{c}(\kappa, t_1', \dots, t_i', \dots, t_{\mathfrak{a}(\kappa)}')$$

By the IH applied to each  $\mathcal{D}_i$ :  $t_i \sim^* t'_i$  for all i. Applying Lemma 2.2 concludes the case.

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2'}{\mathfrak{b}(\kappa, x: t_1, t_2) \Rightarrow \mathfrak{b}(\kappa, x: t_1', t_2')}$$

As the previous case, the IH yields  $t_1 \rightsquigarrow^* t_1$  and  $t_2 \rightsquigarrow^* t_2'$ . Again using Lemma 2.2 concludes the case.

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2' \qquad t_3 \stackrel{\mathcal{D}_3}{\Rightarrow} t_3'}{(\lambda_m \, x \colon t_1 \colon t_2) \bullet_m t_3 \Rightarrow [x \coloneqq t_3'] t_2'}$$

Applying the IH to all available derivations and using Lemma 2.2 gives  $(\lambda_m x:t_1.t_2) \bullet_m t_3 \rightsquigarrow^* (\lambda_m x:t_1'.t_2') \bullet_m t_3'$ . Applying the beta rule of reduction with transitivity concludes the case.

$$\text{Case:} \quad \frac{t_1 \overset{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \overset{\mathcal{D}_2}{\Rightarrow} t_2'}{\psi(\text{refl}(t_1), t_2) \Rightarrow \lambda_{\omega} \, x \colon t_2' \bullet_{\tau} t_1' \cdot x}$$

Same as the previous case but using the substitution rule.

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2' \qquad t_3 \stackrel{\mathcal{D}_3}{\Rightarrow} t_3'}{[t_1, t_2; t_3].1 \Rightarrow t_1'}$$

Same as the previous case but using the first rule.

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2' \qquad t_3 \stackrel{\mathcal{D}_3}{\Rightarrow} t_3'}{[t_1, t_2; t_3].2 \Rightarrow t_2'}$$

Same as the previous case but using the second rule.

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2' \qquad t_3 \stackrel{\mathcal{D}_3}{\Rightarrow} t_3'}{\vartheta_1(\operatorname{refl}(t_1), t_2, t_3) \Rightarrow \operatorname{refl}(t_2')}$$

Same as the previous case but using the first promotion rule.

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2' \qquad t_3 \stackrel{\mathcal{D}_3}{\Rightarrow} t_3'}{\vartheta_2(\operatorname{refl}(t_1), t_2, t_3) \Rightarrow \operatorname{refl}(t_2')}$$

Same as the previous case but using the second promotion rule.

**Lemma A.5.** If  $s \Rightarrow^* t$  then  $s \rightsquigarrow^* t$ 

*Proof.* By induction on  $s \Rightarrow^* t$  applying Lemma A.4 in the inductive case.  $\square$ 

**Lemma A.6.** If  $s \Rightarrow s'$  and  $t \Rightarrow t'$  then  $[x := s]t \Rightarrow [x := s']t'$ 

*Proof.* By induction on  $t \Rightarrow t'$ .

Case: 
$$x \Rightarrow x$$

Rename to y. If x = y then  $s \Rightarrow s'$  which is a premise. If  $x \neq y$  then no substitution is performed and  $y \Rightarrow y$ .

Case: 
$$t_i \Rightarrow t_i' \quad \forall \ i \in \{1, \dots, \mathfrak{a}(\kappa)\}$$
$$\mathfrak{c}(\kappa, t_1, \dots, t_i, \dots, t_{\mathfrak{a}(\kappa)}) \Rightarrow \mathfrak{c}(\kappa, t_1', \dots, t_i', \dots, t_{\mathfrak{a}(\kappa)}')$$

Applying the IH to  $\mathcal{D}_i$  yields  $[x := s]t_i \Rightarrow [x := s']t'_i$  for all i. Unfolding substitution for  $\mathfrak{c}$  and applying the PARCTOR rule concludes the case.

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2'}{\mathfrak{b}(\kappa, x: t_1, t_2) \Rightarrow \mathfrak{b}(\kappa, x: t_1', t_2')}$$

As above the IH gives  $[x := s]t_i \Rightarrow [x := s']t'_i$  for i = 1 and i = 2. Unfolding substitution for  $\mathfrak{b}$  and applying the PARBIND rule concludes.

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2' \qquad t_3 \stackrel{\mathcal{D}_3}{\Rightarrow} t_3'}{(\lambda_m \, x \colon t_1 \colon t_2) \bullet_m t_3 \Rightarrow [x \coloneqq t_3'] t_2'}$$

By the IH:  $[x := s]t_i \Rightarrow [x := s']t'_i$  for i = 1, 2, 3. The Parbeta rule gives the following:  $[x := s](\lambda_m y : t_1 \cdot t_2) \bullet_m t_3 = (\lambda_m y : [x := s]t_1 \cdot [x := s]t_2) \bullet_m [x := s]t_3 \Rightarrow [y := t'_3][x := s']t'_2$ . Note that y is bound and thus not a free variable in s' and, moreover, by implicit renaming  $x \neq y$ . Thus, by Lemma 2.1  $[y := t'_3][x := s']t'_2 = [x := s'][y := t'_3]t'_2$ .

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t'_1}{\psi(\operatorname{refl}(t_1), t_2) \Rightarrow \lambda_{\omega} \, x \colon t'_2 \bullet_{\tau} t'_1 \cdot x}$$

By the IH:  $[x := s]t_i \Rightarrow [x := s']t'_i$  for i = 1, 2. The PARSUBST rule gives:  $[x := s](\psi(\operatorname{refl}(t_1), t_2)) = \psi(\operatorname{refl}([x := s]t_1), t_2) \Rightarrow \lambda_{\omega} x$ :  $[x := s']t'_2 \bullet_{\tau} [x := s']t'_1 \cdot x = [x := s']\lambda_{\omega} x : t'_2 \bullet_{\tau} t'_1 \cdot x$ .

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2' \qquad t_3 \stackrel{\mathcal{D}_3}{\Rightarrow} t_3'}{[t_1, t_2; t_3].1 \Rightarrow t_1'}$$

By the IH:  $[x := s]t_i \Rightarrow [x := s']t'_i$  for i = 1, 2, 3. The PARFST rule gives:  $[x := s][t_1, t_2; t_3].1 = [[x := s]t_1, [x := s]t_2; [x := s]t_3].1 \Rightarrow [x := s']t'_1$ .

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2' \qquad t_3 \stackrel{\mathcal{D}_3}{\Rightarrow} t_3'}{[t_1, t_2; t_3].2 \Rightarrow t_2'}$$

Similar to previous case.

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2' \qquad t_3 \stackrel{\mathcal{D}_3}{\Rightarrow} t_3'}{\vartheta_1(\operatorname{refl}(t_1), t_2, t_3) \Rightarrow \operatorname{refl}(t_2')}$$

By the IH:  $[x := s]t_i \Rightarrow [x := s']t'_i$  for i = 1, 2, 3. The PARFST rule gives:  $[x := s]\vartheta_1(\text{refl}(t_1), t_2, t_3) = \vartheta_1(\text{refl}([x := s]t_1), [x := s]t_2, [x := s]t_3) \Rightarrow \text{refl}([x := s']t'_2) = [x := s']\text{refl}(t'_2).$ 

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2' \qquad t_3 \stackrel{\mathcal{D}_3}{\Rightarrow} t_3'}{\vartheta_2(\text{refl}(t_1), t_2, t_3) \Rightarrow \text{refl}(t_2')}$$

Similar to previous case.

**Lemma A.7** (Parallel Triangle). If  $s \Rightarrow t$  then  $t \Rightarrow \{s\}$ 

*Proof.* By induction on  $s \Rightarrow t$ .

Case:  $\frac{}{x \Rightarrow x}$ 

Have (x) = x. Thus, this case is trivial.

Case: 
$$t_i \Rightarrow t_i' \quad \forall \ i \in \{1, \dots, \mathfrak{a}(\kappa)\}$$
$$\mathfrak{c}(\kappa, t_1, \dots, t_i, \dots, t_{\mathfrak{a}(\kappa)}) \Rightarrow \mathfrak{c}(\kappa, t_1', \dots, t_i', \dots, t_{\mathfrak{a}(\kappa)}')$$

By the IH applied to  $\mathcal{D}_i$ :  $t_i' \Rightarrow (t_i)$  for all i. Proceed by cases of  $(\mathfrak{c}(\kappa, t_1, \dots t_{\mathfrak{a}(\kappa)}))$ .

Case:  $((\lambda_m x:t_1.t_2) \bullet_m t_3) = [x:=(t_3)](t_2)$ 

Note that  $\mathfrak{c}(\kappa, t_1', \dots t_{\mathfrak{a}(\kappa)}') = (\lambda_m \, x : t_1' \cdot t_2') \bullet_m t_3'$ . Using the Parbeta rule yields  $(\lambda_m \, x : t_1' \cdot t_2') \bullet_m t_3' \Rrightarrow [x := (t_3)](t_2)$ .

Case:  $(\psi(\operatorname{refl}(t_1), t_2)) = \lambda_{\omega} x : (t_2) \bullet_{\tau} (t_1) \cdot x$ 

Note that  $\mathfrak{c}(\kappa, t'_1, \dots t'_{\mathfrak{a}(\kappa)}) = \psi(\operatorname{refl}(t'_1), t'_2)$ . Using the PARSUBST rule yields  $\psi(\operatorname{refl}(t'_1), t'_2) \Rightarrow \lambda_{\omega} x : (t_2) \bullet_{\tau} (t_1). x$ .

Case: 
$$([t_1, t_2; t_3].1) = (t_1)$$

Note that  $\mathfrak{c}(\kappa, t_1', \dots t_{\mathfrak{a}(\kappa)}') = [t_1', t_2'; t_3'].1$ . Using the PARFST rule yields  $[t_1', t_2'; t_3'].1 \Rightarrow (t_1)$ .

Case: 
$$([t_1, t_2; t_3].2) = (t_2)$$

Similar to previous case.

Case: 
$$\{\theta_1(\text{refl}(t_1), t_2, t_3)\} = \{t_2\}$$

Note that  $\mathfrak{c}(\kappa, t'_1, \dots t'_{\mathfrak{a}(\kappa)}) = \vartheta_1(\operatorname{refl}(t'_1), t'_2, t'_3)$ . Using the Parpring rule yields  $\vartheta_1(\operatorname{refl}(t'_1), t'_2, t'_3) \Rrightarrow \{t_2\}$ .

Case: 
$$\{\theta_2(\text{refl}(t_1), t_2, t_3)\} = \{t_2\}$$

Similar to previous case.

Case: 
$$(\mathfrak{c}(\kappa, t_1, \dots t_{\mathfrak{a}(\kappa)})) = \mathfrak{c}(\kappa, (t_1), \dots (t_{\mathfrak{a}(\kappa)}))$$

Using the PARCTOR rule concludes the case.

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2'}{\mathfrak{b}(\kappa, x: t_1, t_2) \Rrightarrow \mathfrak{b}(\kappa, x: t_1', t_2')}$$

Note that  $(\mathfrak{b}(\kappa, (x:t_1), t_2)) = \mathfrak{b}(\kappa, (x:(t_1)), (t_2))$ . By the IH applied to  $\mathcal{D}_i$ :  $t_i' \Rightarrow (t_i)$  for i = 1, 2. Thus, by the PARBIND rule  $\mathfrak{b}(\kappa, (x:t_1'), t_2') \Rightarrow \mathfrak{b}(\kappa, (x:(t_1)), (t_2))$ .

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2' \qquad t_3 \stackrel{\mathcal{D}_3}{\Rightarrow} t_3'}{(\lambda_m \, x \colon t_1 \colon t_2) \bullet_m \, t_3 \Rightarrow [x \coloneqq t_3'] t_2'}$$

Note that  $((\lambda_m x:t_1.t_2) \bullet_m t_3) = [x:=(t_3)](t_2)$ . By the IH applied to  $\mathcal{D}_i$ :  $t_i' \Rightarrow (t_i)$  for i=1,2,3. Thus, by Lemma A.6  $[x:=t_3']t_2' \Rightarrow [x:=(t_3)](t_2)$ .

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2'}{\psi(\operatorname{refl}(t_1), t_2) \Rightarrow \lambda_{\omega} \, x \colon t_2' \bullet_{\tau} t_1' \cdot x}$$

Note that  $(\psi(\text{refl}(t_1), t_2)) = \lambda_{\omega} x : (t_2) \bullet_{\tau} (t_1) \cdot x$ . By the IH applied to  $\mathcal{D}_i$ :  $t_i' \Rightarrow (t_i)$  for i = 1, 2. Applying the PARBIND rule yields  $\lambda_{\omega} x : t_2' \bullet_{\tau} t_1' \cdot x \Rightarrow \lambda_{\omega} x : (t_2) \bullet_{\tau} (t_1) \cdot x$ .

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2' \qquad t_3 \stackrel{\mathcal{D}_3}{\Rightarrow} t_3'}{[t_1, t_2; t_3].1 \Rightarrow t_1'}$$

Note that  $([t_1, t_2; t_3].1) = (t_1)$ . By the IH applied to  $\mathcal{D}_i$ :  $t_i' \Rightarrow (t_i)$  for i = 1, 2, 3. Thus,  $t_1' \Rightarrow (t_1)$ .

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2' \qquad t_3 \stackrel{\mathcal{D}_3}{\Rightarrow} t_3'}{[t_1, t_2; t_3].2 \Rightarrow t_2'}$$

Similar to previous case.

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2' \qquad t_3 \stackrel{\mathcal{D}_3}{\Rightarrow} t_3'}{\vartheta_1(\operatorname{refl}(t_1), t_2, t_3) \Rightarrow \operatorname{refl}(t_2')}$$

Note that  $(\vartheta_1(\operatorname{refl}(t_1), t_2, t_3)) \Rightarrow (t_2)$ . By the IH applied to  $\mathcal{D}_i$ :  $t_i' \Rightarrow (t_i)$  for i = 1, 2, 3. Thus,  $t_2' \Rightarrow (t_2)$ .

Case: 
$$\frac{t_1 \stackrel{\mathcal{D}_1}{\Rightarrow} t_1' \qquad t_2 \stackrel{\mathcal{D}_2}{\Rightarrow} t_2' \qquad t_3 \stackrel{\mathcal{D}_3}{\Rightarrow} t_3'}{\vartheta_2(\operatorname{refl}(t_1), t_2, t_3) \Rightarrow \operatorname{refl}(t_2')}$$

Similar to previous case.

**Lemma A.8** (Paralell Strip). If  $s \Rightarrow t_1$  and  $s \Rightarrow^* t_2$  then  $\exists t$  such that  $t_1 \Rightarrow^* t$  and  $t_2 \Rightarrow t$ 

*Proof.* By induction on  $s \Rightarrow^* t_2$ , pick  $t = t_1$  for the reflexivity case. Consider the transitivity case,  $\exists z_1$  such that  $s \Rightarrow z_1$  and  $z_1 \Rightarrow^* t_2$ . Applying Lemma A.7 to  $s \Rightarrow z_1$  yields  $z_1 \Rightarrow (s)$ . By the IH with  $z_1 \Rightarrow (s)$ :  $\exists z_2$  such that  $(s) \Rightarrow^* z_2$  and  $t_2 \Rightarrow z_2$ . Using Lemma A.7 again on  $s \Rightarrow t_1$  yields  $t_1 \Rightarrow (s)$ . Now by transitivity  $t_1 \Rightarrow^* z_2$ .

**Lemma A.9** (Parallel Confluence). If  $s \Rightarrow^* t_1$  and  $s \Rightarrow^* t_2$  then  $\exists t$  such that  $t_1 \Rightarrow^* t$  and  $t_2 \Rightarrow^* t$ 

*Proof.* By induction on  $s \Rightarrow^* t_1$ , pick  $t = t_2$  for the reflexivity case. Consider the transitivity case,  $\exists z_1$  such that  $s \Rightarrow z_1$  and  $z_1 \Rightarrow^* t_1$ . By Lemma A.8 applied with  $s \Rightarrow z_1$  and  $s \Rightarrow^* t_2$  yields  $\exists z_2$  such that  $z_1 \Rightarrow^* z_2$  and  $t_2 \Rightarrow z_2$ . Using the IH with  $z_1 \Rightarrow z_2$  gives  $\exists z_3$  such that  $t_1 \Rightarrow^* z_3$  and  $z_2 \Rightarrow^* z_3$ . By transitivity  $t_2 \Rightarrow^* z_3$ .

**Lemma A.10** (Confluence). If  $s \rightsquigarrow^* t_1$  and  $s \rightsquigarrow^* t_2$  then  $\exists t$  such that  $t_1 \rightsquigarrow^* t$  and  $t_2 \rightsquigarrow^* t$ 

*Proof.* By Lemma A.3 applied twice:  $s \Rightarrow^* t_1$  and  $s \Rightarrow^* t_2$ . Now by parallel confluence (Lemma A.9)  $\exists t$  such that  $t_1 \Rightarrow^* t$  and  $t_2 \Rightarrow^* t$ . Finally, two applications of Lemma A.5 conclude the proof.

## Appendix B

# PROOF OF PRESERVATION

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