

# CEDILLE2: A PROOF THEORETIC REDESIGN OF THE CALCULUS OF DEPENDENT LAMBDA ELIMINATIONS

by

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– Some wise dude

## ACKNOWLEDGMENTS

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## ABSTRACT

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## PREFACE

## CHAPTER 1

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### INTRODUCTION TO SYSTEM $F^\omega$

Type Theory as a discipline is a difficult subject to thoroughly introduce because it in essence captures a wide variety of programming languages (if not all programming languages currently defined). To trim the fat this thesis will focus on a particular type theory, System  $F^\omega$ , and the various bits of machinery that are required to describe it. However, even with this focus there are different equivalent methods of presenting the theory: Pure Type Systems, Martin-Löf style presentations, Bidirectional systems, etc. An extrinsic bidirectional presentation will be used with only summary remarks, if any, for the other styles. This introduction is far from complete and is instead focused on providing the reader with enough background to understand the later chapters.

## CHAPTER 2

### THEORY DESCRIPTION AND BASIC METATHEORY

The theory described in this chapter is a variation of the core theory of Cedille [3]. It is closely related with the significant differences occurring with the equality type. This variation has two primary goals. First, to have decidable type checking (and thus decidable conversion checking). Second, to retain as many constructions as possible from Cedille. This chapter focuses on the description of the theory and some basic metatheory. By basic, we mean properties that are provable by induction on the various derivations or are otherwise provable using straightforward methods.

Syntax for the theory is described in Figure 2.1. Unlike other presentations a generic syntax tree is used with a tag to indicate different syntactic forms. There are three basic syntactic constructs: variables, binders, and constructors. A generic presentation enables occasional economic benefits in presenting other derivations. However, a more standard syntax is defined in terms of the generic one. The specific syntactic forms and the generic forms are used interchangeably whichever is more convenient.

Formally, syntax is worked with as a locally nameless set following the axioms of Pitts [1]. For the sake of presentation these details are elided. This means that freshness of variables and capture avoiding substitution are largely taken for granted in the exposition of the theory. Moreover, identity of syntactic terms is assumed to be alpha equivalence. Meaning that, again, bureaucracy around variables is taken for granted. Thus, substitution is defined simply:

$$\begin{aligned} [x := v]y &= v \text{ if } x = y \\ [x := v]y &= y \text{ if } x \neq y \\ [x := v]\mathbf{b}(\kappa_1, x : t_1, t_2) &= \mathbf{b}(\kappa_1, x : [x := v]t_1, [x := v]t_2) \\ [x := v]\mathbf{c}(\kappa_2, t_1, \dots, t_{\mathbf{a}(\kappa_2)}) &= \mathbf{c}(\kappa_2, [x := v]t_1, \dots, [x := v]t_{\mathbf{a}(\kappa_2)}) \end{aligned}$$

$$\begin{aligned}
t &::= x \mid \mathbf{b}(\kappa_1, x : t_1, t_2) \mid \mathbf{c}(\kappa_2, t_1, \dots, t_{\mathbf{a}(\kappa_2)}) \\
\kappa_1 &::= \lambda_m \mid \Pi_m \mid \cap \\
\kappa_2 &::= \star \mid \square \mid \bullet_m \mid \text{pair} \mid \text{proj}_1 \mid \text{proj}_2 \mid \text{eq} \mid \text{refl} \mid J \mid \vartheta \mid \delta \mid \phi \\
m &::= \omega \mid 0 \mid \tau \\
\mathbf{a}(\star) &= \mathbf{a}(\square) = 0 \\
\mathbf{a}(\text{proj}_1) &= \mathbf{a}(\text{proj}_2) = \mathbf{a}(\text{refl}) = \mathbf{a}(\vartheta) = \mathbf{a}(\delta) = 1 \\
\mathbf{a}(\bullet_m) &= 2 \\
\mathbf{a}(\text{pair}) &= \mathbf{a}(\text{eq}) = \mathbf{a}(\varphi) = 3 \\
\mathbf{a}(J) &= 6 \\
\star &:= \mathbf{c}(\star) & t.1 &:= \mathbf{c}(\text{proj}_1, t) \\
\square &:= \mathbf{c}(\square) & t.2 &:= \mathbf{c}(\text{proj}_2, t) \\
\lambda_m x : t_1. t_2 &:= \mathbf{b}(\lambda_m, x : t_1, t_2) & t_1 =_{t_2} t_3 &:= \mathbf{c}(\text{eq}, t_1, t_2, t_3) \\
(x : t_1) \rightarrow_m t_2 &:= \mathbf{b}(\Pi_m, x : t_1, t_2) & \text{refl}(t) &:= \mathbf{c}(\text{refl}, t) \\
(x : t_1) \cap t_2 &:= \mathbf{b}(\cap, x : t_1, t_2) & \vartheta(t) &:= \mathbf{c}(\vartheta, t) \\
t_1 \bullet_m t_2 &:= \mathbf{c}(\bullet_m, t_1, t_2) & \delta(t) &:= \mathbf{c}(\delta, t) \\
[t_1, t_2, t_3] &:= \mathbf{c}(\text{pair}, t_1, t_2, t_3) & \varphi(t) &:= \mathbf{c}(\varphi, t) \\
J(t_1, t_2, t_3, t_4, t_5, t_6) &:= \mathbf{c}(J, t_1, t_2, t_3, t_4, t_5, t_6)
\end{aligned}$$

Figure 2.1: Generic syntax, there are three constructors, variables, a generic binder, and a generic non-binder. Each are parameterized with a constant tag to specialize to a particular syntactic construct. The non-binder constructor has a vector of subterms determined by an arity function computed on tags. Standard syntactic constructors are defined in terms of the generic forms.

$$\begin{array}{ll}
|x| = x & |f \bullet_\tau a| = |f| \bullet_\tau |a| \\
|\star| = \star & |[t_1, t_2, T]| = |t_1| \\
|\square| = \square & |t.1| = |t| \\
|\lambda_0 x : A. t| = |t| & |t.2| = |t| \\
|\lambda_\omega x : A. t| = \lambda_\omega x. |t| & |x =_A y| = |x| =_{|A|} |y| \\
|\lambda_\tau x : A. t| = \lambda_\tau x : |A|. |t| & |\text{refl}(t)| = \lambda_\omega x. x \\
|(x : A) \rightarrow_m B| = (x : |A|) \rightarrow_m |B| & |J(A, P, x, y, e, w)| = |e| \bullet_\omega |w| \\
|(x : A) \cap B| = (x : |A|) \cap |B| & |\vartheta(e)| = |e| \\
|f \bullet_0 a| = |f| & |\delta(e)| = |e| \\
|f \bullet_\omega a| = |f| \bullet_\omega |a| & |\varphi(a, f, e)| = |a|
\end{array}$$

Figure 2.2: Erasure of syntax, for type-like and kind-like syntax erasure is homomorphic, for term-like syntax erasure reduces to the untyped lambda calculus.

$$\begin{array}{c}
\frac{t_1 \rightsquigarrow_\beta t'_1}{\mathbf{b}(\kappa, x : t_1, t_2) \rightsquigarrow_\beta \mathbf{b}(\kappa, x : t'_1, t_2)} \quad \frac{t_2 \rightsquigarrow_\beta t'_2}{\mathbf{b}(\kappa, x : t_1, t_2) \rightsquigarrow_\beta \mathbf{b}(\kappa, x : t_1, t'_2)} \\
\\
\frac{t_i \rightsquigarrow_\beta t'_i \quad i \in 1, \dots, \mathbf{a}(\kappa)}{\mathbf{c}(\kappa, t_1, \dots, t_i, \dots, t_{\mathbf{a}(\kappa)}) \rightsquigarrow_\beta \mathbf{c}(\kappa, t_1, \dots, t'_i, \dots, t_{\mathbf{a}(\kappa)})} \\
\\
\begin{array}{l}
(\lambda_m x : A. b) \bullet_m t \rightsquigarrow_\beta [x := t]b \\
[t_1, t_2, A].1 \rightsquigarrow_\beta t_1 \\
[t_1, t_2, A].2 \rightsquigarrow_\beta t_2 \\
J(A, P, x, y, \text{refl}(z), w) \rightsquigarrow_\beta w \bullet_0 z \\
\vartheta(\text{refl}(t.1)) \rightsquigarrow_\beta \text{refl}(t) \\
\vartheta(\text{refl}(t.2)) \rightsquigarrow_\beta \text{refl}(t) \\
\varphi(a, f, e).1 \rightsquigarrow_\beta a
\end{array}
\end{array}$$

Figure 2.3: Reduction rules for arbitrary syntax.

$$\begin{array}{ll}
\text{dom}_{\Pi}(\omega, K) = \star & \text{codom}_{\Pi}(\omega) = \star \\
\text{dom}_{\Pi}(\tau, K) = K & \text{codom}_{\Pi}(\tau) = \square \\
\text{dom}_{\Pi}(0, K) = K & \text{codom}_{\Pi}(0) = \star
\end{array}$$

Figure 2.4: Domain and codomains for function types. The variable  $K$  is either  $\star$  or  $\square$ .

$$\begin{array}{c}
\frac{\vdash \Gamma}{\Gamma \vdash \star \triangleright \square} \text{AXIOM} \qquad \frac{\vdash \Gamma \quad (x : A) \in \Gamma}{\Gamma \vdash x \triangleright A} \text{VAR} \\
\\
\frac{\Gamma \vdash t \triangleright A \quad A \rightsquigarrow_{\beta}^* B}{\Gamma \vdash t \triangleright B} \text{HDINF} \qquad \frac{\Gamma \vdash t \triangleright A \quad \Gamma \vdash B \triangleright K \quad A \equiv B}{\Gamma \vdash t \triangleleft B} \text{CHK} \\
\\
\frac{}{\vdash \varepsilon} \text{CTXEM} \qquad \frac{\vdash \Gamma \quad \Gamma \vdash A \triangleright K \quad x \notin \text{FV}(\Gamma)}{\vdash \Gamma, x : A} \text{CTXAPP} \\
\\
\frac{\Gamma \vdash A \triangleright \text{dom}_{\Pi}(m, K) \quad \Gamma, x : A \vdash B \triangleright \text{codom}_{\Pi}(m)}{\Gamma \vdash (x : A) \rightarrow_m B \triangleright \text{codom}_{\Pi}(m)} \text{PI} \\
\\
\frac{\Gamma \vdash A \triangleright \text{dom}_{\Pi}(m, K) \quad \Gamma, x : A \vdash t \triangleright B \quad x \notin \text{FV}(|t|) \text{ if } m = 0}{\Gamma \vdash \lambda_m x : A. t : (x : A) \rightarrow_m B} \text{LAM} \\
\\
\frac{\Gamma \vdash f \triangleright (x : A) \rightarrow_m B \quad \Gamma \vdash a \triangleleft A}{\Gamma \vdash f \bullet_m a \triangleright [x := a]B} \text{APP}
\end{array}$$

Figure 2.5: Inference rules for function types, including erased functions. The variable  $K$  is either  $\star$  or  $\square$ .

$$\begin{array}{c}
\frac{\Gamma \vdash A \Vdash \star \quad \Gamma, x : A \vdash B \Vdash \star}{\Gamma \vdash (x : A) \cap B \triangleright \star} \text{INT} \quad \frac{\Gamma \vdash T \Vdash (x : A) \rightarrow_{\tau} B \quad \Gamma \vdash t \triangleleft A}{\Gamma \vdash s \triangleleft [x := t]B \quad |t| =_{\beta} |s|} \text{PAIR} \\
\frac{\Gamma \vdash t \Vdash (x : A) \cap B}{\Gamma \vdash t.1 \triangleright A} \text{FST} \quad \frac{\Gamma \vdash t \Vdash (x : A) \cap B}{\Gamma \vdash t.2 \triangleright [x := t.1]B} \text{SND}
\end{array}$$

Figure 2.6: Inference rules for intersection types.

$$\begin{array}{c}
\frac{\Gamma \vdash A \Vdash \star \quad \Gamma \vdash a \triangleleft A \quad \Gamma \vdash b \triangleleft A}{\Gamma \vdash a =_A b \triangleright \star} \text{EQ} \quad \frac{\Gamma \vdash t \triangleright A}{\Gamma \vdash \text{refl}(t) \triangleright t =_A t} \text{REFL} \\
\frac{\Gamma \vdash A \Vdash \star \quad \Gamma \vdash P \triangleleft (x \ y : A) \rightarrow_{\tau} (e : x =_A y) \rightarrow_{\tau} \star \quad \Gamma \vdash x \triangleleft A \quad \Gamma \vdash y \triangleleft A \quad \Gamma \vdash e \triangleleft x =_A y \quad \Gamma \vdash w \triangleleft (a : A) \rightarrow_0 P \bullet_{\tau} a \bullet_{\tau} a \bullet_{\tau} \text{refl}(a)}{\Gamma \vdash J(A, P, x, y, e, w) \triangleright P \bullet_{\tau} x \bullet_{\tau} y \bullet_{\tau} e} \text{J} \\
\frac{\Gamma \vdash e \Vdash a.i =_T b.j \quad \Gamma \vdash a \Vdash (x : A) \cap B \quad \Gamma \vdash b \triangleleft (x : A) \cap B}{\Gamma \vdash \vartheta(e) \triangleright a =_{(x:A) \cap B} b} \text{PRM} \\
\frac{\Gamma \vdash a \triangleleft A \quad \Gamma \vdash f \Vdash (a : A) \rightarrow_{\omega} (x : A) \cap B \quad \Gamma \vdash e \triangleleft (a : A) \rightarrow_{\omega} a =_A (f \bullet_{\omega} a).1 \quad \text{FV}(|e|) = \emptyset}{\Gamma \vdash \varphi(a, f, e) \triangleright (x : A) \cap B} \text{CAST} \\
\frac{\Gamma \vdash e \triangleleft \text{ctt} =_{\text{cBool}} \text{cff}}{\Gamma \vdash \delta(e) \triangleright (X : \star) \rightarrow_0 X} \text{SEP}
\end{array}$$

Figure 2.7: Inference rules for equality types where  $\text{cBool} := (X : \star) \rightarrow_0 (x : X) \rightarrow_{\omega} (y : X) \rightarrow_{\omega} X$ ;  $\text{ctt} := \lambda_0 X : \star. \lambda_{\omega} x : X. \lambda_{\omega} y : X. x$ ; and  $\text{cff} := \lambda_0 X : \star. \lambda_{\omega} x : X. \lambda_{\omega} y : X. y$ . Also,  $i, j \in \{1, 2\}$



## CHAPTER 3

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# PROOF NORMALIZATION AND RELATIONSHIP TO SYSTEM $F^\omega$

## CHAPTER 4

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### CONSISTENCY AND RELATIONSHIP TO CDLE

## CHAPTER 5

### OBJECT NORMALIZATION

A  $\varphi_i$ -proof is a proof that allows  $i$  nested  $\varphi$  syntactic constructs. For example, a  $\varphi_0$ -proof allows no  $\varphi$  subterms, a  $\varphi_1$ -proof allows  $\varphi$  subterms but no nested  $\varphi$  subterms, and a  $\varphi_2$ -proof allows  $\varphi_1$  subterms. Defined inductively, a  $\varphi_0$ -proof is a proof with no  $\varphi$  syntactic constructs and a  $\varphi_{i+1}$ -proof is a proof with  $\varphi_i$ -proof subterms.

For any  $\varphi_i$ -proof  $p$  there is a strictification  $s(p)$  that is a  $\varphi_0$ -proof in Figure 5.1.

**Lemma 1** (Strictification Preserves Inference). *Given  $\Gamma \vdash t \triangleright A$  then  $\Gamma \vdash s(t) \triangleright A$*

*Proof.* By induction on the typing rule, the  $\varphi$  rule is the only one of interest:

$$\text{Case: } \frac{\Gamma \vdash a \triangleleft A \quad \Gamma \vdash e \triangleleft (a : A) \xrightarrow{\mathcal{D}_3} a =_A (f \bullet_\omega a).1 \quad \text{FV}(|e|) = \emptyset}{\Gamma \vdash \varphi(a, f, e) \triangleright (x : A) \cap B} \quad \Gamma \vdash f \triangleright (a : A) \xrightarrow{\mathcal{D}_1} (x : A) \cap B$$

Need to show that  $\Gamma \vdash s(\varphi(a, f, e)) \triangleright (x : A) \cap B$  which reduces to:  $\Gamma \vdash s(f) \bullet_\omega s(a) \triangleright (x : A) \cap B$ . By the IH we know that  $s(f)$  infers the same function type, and that  $s(a)$  infers the same argument type, therefore the application rule concludes the proof.

□

**Lemma 2** (Strict Proofs are Normalizing). *Given  $\Gamma \vdash t \triangleright A$  then  $s(t)$  is strongly normalizing*

*Proof.* Direct consequence of strong normalization of proofs

□

$$\begin{array}{ll}
s(x) = x & s([s, t, T]) = [s(s), s(t), s(T)] \\
s(\star) = \star & s(t.1) = s(t).1 \\
s(\square) = \square & s(t.2) = s(t).2 \\
s(\lambda_m x : A. t) = \lambda_m x : s(A). s(t) & s(x =_A y) = s(x) =_{s(A)} s(y) \\
s((x : A) \rightarrow_m B) = (x : s(A)) \rightarrow_m s(B) & s(\text{refl}(t)) = \text{refl}(s(t)) \\
s((x : A) \cap B) = (x : s(A)) \cap s(B) & s(\vartheta(e)) = \vartheta(s(e)) \\
s(f \bullet_m a) = s(f) \bullet_m s(a) & s(\delta(e)) = \delta(s(e)) \\
\\ 
s(J(A, P, x, y, r, w)) = J(s(A), s(P), s(x), s(y), s(r), s(w)) & \\
s(\varphi(a, f, e)) = s(f) \bullet_\omega s(a) & 
\end{array}$$

Figure 5.1: Strictification of a proof.

**Lemma 3** (Strict Objects are Normalizing). *Given  $\Gamma \vdash t \triangleright A$  then  $|s(t)|$  is strongly normalizing*

*Proof.* Proof Idea:

Proof reduction tracks object reduction in the absence of  $\varphi$  constructs. Thus, the normalization of a proof provides an upper-bound on the number of reductions an object can take to reach a normal form.  $\square$

A proof,  $\Gamma \vdash t_1 \triangleright A$ , is contextually equivalent to another proof,  $\Gamma \vdash t_2 \triangleright A$ , if there is no context with hole of type  $A$  whose object reduction diverges for  $t_1$  but not  $t_2$ . In other words, if a context can be constructed that distinguishes the terms based on their object reduction.

**Lemma 4.** *A  $\varphi_1$ -proof,  $p$ , is contextually equivalent to its strictification,  $s(p)$*

*Proof.* Proof by induction on the typing rule for  $p$ , focus on the application rule:

$$\text{Case: } \frac{\Gamma \vdash f \triangleright (x : A) \rightarrow_m B \quad \Gamma \vdash a \triangleleft A}{\Gamma \vdash f \bullet_m a \triangleright [x := a]B}$$

In particular, we care about when  $f = \varphi(v, b, e).2$  and  $m = \omega$ . Note that the first projection has a proof-reduction that yields  $a$  which makes it unproblematic.

We know that  $s(v) = v$  because  $f$  is a  $\varphi_1$ -proof. Let  $v_n$  be the normal form of  $v$  and note that  $|v_n|$  is also normal. Likewise, we have  $e_n$  and  $|e_n|$  normal.

Suppose there is a context  $C[\cdot]$  where  $|p|$  diverges but  $|s(p)|$  normalizes. (Note that the opposite assumption is impossible). If  $|v_n|$  is a variable, then reduction in  $|p|$  is blocked (contradiction). Otherwise  $|v_n| = \lambda x. x \ t_1 \ \cdots \ t_n$  where  $t_i$  are normal.

Now it must be the case that  $|e \bullet_\omega v| = |e_n| \bullet_\omega |v_n|$  is normalizing. Thus, we have a refl proof that  $v_n = (f \bullet_\omega v_n).1$ . (Note, this proof *must* be refl because  $\text{FV}(|e|) = \emptyset$ ). But, this implies convertibility, thus  $|v_n| =_\beta |f| \bullet_\omega |v_n|$ , but this must mean more concretely that  $|f| \bullet_\omega |v_n| \rightsquigarrow_\beta |v_n|$ . Yet  $|f| \bullet_\omega |v_n| \bullet_\omega a$  is strongly normalizing because it is  $s(p)$ . Therefore,  $p$  in this case is strongly normalizing which refutes the assumption yielding a contradiction.

□

**Lemma 5.** *If  $t_1$  is strongly normalizing and contextually equivalent to  $t_2$  then  $t_2$  is strongly normalizing*

*Proof.* Immediate by the definition of contextual equivalence. □

**Theorem 1.** *A  $\varphi_i$ -proof  $p$  is strongly normalizing for all  $i$*

*Proof.* By induction on  $i$ .

Case:  $i = 0$

Immediate because  $s(p) = p$  and strict proofs are strongly normalizing.

Inductive Case:

Suppose that  $\varphi_i$ -proof is strongly normalizing. Goal: show that  $\varphi_{i+1}$ -proof is strongly normalizing.



## CHAPTER 6

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### **CEDILLE2: SYSTEM IMPLEMENTATION**

## CHAPTER 7

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### **CEDILLE2: INTERNALLY DERIVABLE CONCEPTS**



## CHAPTER 8

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### CONCLUSION AND FUTURE WORK

APPENDIX A

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**PROOFS OF CHAPTER 1**

## APPENDIX B

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### UNDECIDED

Hello!

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