# **Functional Data Structures**

#### Exercise Sheet 2

## Exercise 2.1 Folding over Trees

Define a datatype for binary trees that store data only at leafs.

datatype 'a ltree =

Define a function that returns the list of elements resulting from an in-order traversal of the tree.

**fun** inorder :: "'a  $ltree \Rightarrow$  'a list"

Have a look at Isabelle/HOL's standard function fold.

thm fold.simps

In order to fold over the elements of a tree, we could use  $fold\ f\ (inorder\ t)\ s$ . However, from an efficiency point of view, this has a problem. Which?

Define a more efficient function fold\_ltree, and show that it is correct

```
fun fold_ltree :: "('a \Rightarrow 's \Rightarrow 's) \Rightarrow 'a \ ltree \Rightarrow 's \Rightarrow 's"
lemma "fold f (inorder t) s = fold_ltree f t s"
```

Define a function *mirror* that reverses the order of the leafs, i.e., that satisfies the following specification:

**lemma** "inorder (mirror t) = rev (inorder t)"

## Exercise 2.2 Shuffle Product

To shuffle two lists, we repeat the following step until both lists are empty: Take the first element from one of the lists, and append it to the result.

That is, a shuffle of two lists contains exactly the elements of both lists in the right order.

Define a function *shuffles* that returns a list of all shuffles of two given lists

**fun**  $shuffles :: "'a list <math>\Rightarrow 'a list \Rightarrow 'a list list"$ 

Show that the length of any shuffle of two lists is the sum of the length of the original lists.

```
\mathbf{lemma} \ "l \in set \ (shuffles \ xs \ ys) \Longrightarrow length \ l = length \ xs \ + \ length \ ys"
```

Note: The set function converts a list to the set of its elements.

### Exercise 2.3 Fold function

The fold function is a very generic function, that can be used to express multiple other interesting functions over lists.

Write a function to compute the sum of the elements of a list. Specify two versions, one direct recursive specification, and one using fold. Show that both are equal.

```
fun list\_sum :: "nat \ list \Rightarrow nat"
definition list\_sum' :: "nat \ list \Rightarrow nat"
lemma "list\_sum \ l = \ list\_sum' \ l"
```

### Homework 2.1 Association Lists

Submission until Friday, Apr 27, 11:59am.

An association list is a list of pairs. An entry (k, v) means that key k is associated to value v.

For an association list xs, the collect k xs operation returns a list of all values associated to key k, in the order stored in the list. Specify the function collect by a set of recursion equations:

```
fun collect :: "'a \Rightarrow ('a \times 'b) list \Rightarrow 'b list" where
```

Test cases

```
definition ctest :: "(int * int) list" where "ctest \equiv [(2,3),(2,5),(2,7),(2,9),(3,2),(3,4),(3,5),(3,7),(3,8),(4,3),(4,5),(4,7),(4,9),(5,2),(5,3),(5,4),(5,6),(5,7),(5,8),(5,9),(6,5),(6,7),(7,2),(7,3),(7,4),(7,5),(7,6),(7,8),(7,9),(8,3),(8,5),(8,7),(8,9),(9,2),(9,4),(9,5),(9,7),(9,8)]
```

**value** "collect 3 ctest = [2,4,5,7,8]"

value " $collect \ 1 \ ctest = []$ "

An experienced functional programmer might also write this function as

```
map \ snd \ [kv \leftarrow ys \ . \ fst \ kv = x]
```

Show that this specifies the same function:

```
lemma "collect x ys = map snd (filter (\lambda kv. fst \ kv = x) \ ys)"
```

Note that Isabelle pretty-prints the filter function to  $[kv \leftarrow ys \ . \ fst \ kv = x]$ , which is just a pretty-printing conversion, but does not change the term in the underlying logic.

When the lists get bigger, efficiency might be a concern. To avoid stack overflows, you might want to specify a tail-recursive version of *collect*. The first parameter is the accumulator, that accumulates the elements to be returned, and is returned at the end.

Note: To avoid appending to the accumulator, we accumulate the elements in reverse order, and reverse the accumulator at the end.

Complete the second equation!

```
fun collect\_tr :: "'a \ list \Rightarrow 'b \Rightarrow ('b \times 'a) \ list \Rightarrow 'a \ list" where "collect\_tr \ acc \ x \ [] = rev \ acc" | "collect\_tr \ acc \ x \ ((k,v)\#ys) = undefined"
```

Show correctness of your tail-recursive version. Hint: Generalization!

```
lemma "collect_tr [] x ys = collect x ys"
```

### Homework 2.2 Perfectly Balanced Trees

Submission until Friday, Apr 27, 11:59am.

Recall the tree datatype 'a ltree from the tutorial. Define functions to return the height (A leaf has height 0) and the number of leafs:

```
fun lheight:: "'a \ ltree \Rightarrow nat" where fun num\_leafs:: "'a \ ltree \Rightarrow nat" where
```

A tree is balanced iff, for each node, the left and right subtree have the same height. Specify a function to check that a tree is balanced.

```
fun balanced :: "'a ltree ⇒ bool" where
```

Show that, for a balanced tree with height h and number of leafs l, we have  $l = 2^h$ :

```
lemma "balanced t \Longrightarrow num\_leafs \ t = 2 \hat{\ }lheight \ t"
```

### Homework 2.3 Bonus: Delta-Encoding

Submission until Friday, Apr 27, 11:59am.

This is a bonus homework, worth 5 bonus points.

(When computing your homework performance as a percentage, bonus points will only count on your side, but not towards the total score.)

We want to encode a list of integers as follows: The first element is unchanged, and every next element only indicates the difference to its predecessor.

For example: (Hint: Use this as test cases for your spec!)

```
enc [1,2,4,8] = [1,1,2,4]
enc [3,4,5] = [3,1,1]
enc [5] = [5]
enc [] = []
```

Background: This algorithm may be used in lossless data compression, when the difference between two adjacent values is expected to be small, e.g., audio data, image data, sensor data.

It typically requires much less space to store the small deltas, than the absolute values. Disadvantage: If the stream gets corrupted, recovery is only possible when the next absolute value is transmitted. For this reason, in practice, one will submit the current absolute value from time to time. (This is not modeled in this exercise!)

Specify a function to encode a list with delta-encoding. The first argument is used to represent the previous value, and can be initialized to 0.

```
fun denc :: "int \Rightarrow int \ list \Rightarrow int \ list" where
```

Specify the decoder. Again, the first argument represents the previous decoded value, and can be initialized to 0.

```
fun ddec :: "int \Rightarrow int \ list \Rightarrow int \ list" where
```

Show that encoding and then decoding yields the same list. HINT: The lemma will need generalization.

```
lemma "ddec \ \theta \ (denc \ \theta \ l) = l"
```