

# Functional Data Structures

## Exercise Sheet 5

- Import *Complex.Main* and `~~/src/HOL/Library/Tree`
- For this exercise sheet (and Homework 1), you are not allowed to use sledgehammer! Proofs using the *smt*, *metis*, *meson*, or *moura* methods are forbidden!

### Exercise 5.1 Bounding power-of-two by factorial

Prove that, for all natural numbers  $n > 3$ , we have  $2^n < n!$ . We have already prepared the proof skeleton for you.

**lemma** *exp\_fact\_estimate*: “ $n > 3 \implies (2::nat) ^ n < fact\ n$ ”

**proof** (*induction n*)

**case** 0 **then show** ?*case* **by** *auto*

**next**

**case** (*Suc n*)

**assume** *IH*: “ $3 < n \implies (2::nat) ^ n < fact\ n$ ”

**assume** *PREM*: “ $3 < Suc\ n$ ”

**show** “ $(2::nat) ^ Suc\ n < fact\ (Suc\ n)$ ”

Fill in a proof here. Hint: Start with a case distinction whether  $n > 3$  or  $n = 3$ .

**qed**

**Warning!** Make sure that your numerals have the right type, otherwise proofs will not work! To check the type of a numeral, hover the mouse over it with pressed CTRL (Mac: CMD) key. Example:

**lemma** “ $2 ^ n \leq 2 ^ Suc\ n$ ”

**apply** *auto* **oops** — Leaves the subgoal  $2 ^ n \leq 2 * 2 ^ n$

You will find out that the numeral 2 has type *'a*, for which you do not have any ordering laws. So you have to manually restrict the numeral's type to, e.g., *nat*.

**lemma** “ $(2::nat) ^ n \leq 2 ^ Suc\ n$ ” **by** *simp* — Note: Type inference will infer *nat* for the unannotated numeral, too. Use CTRL+hover to double check!

## Exercise 5.2 Sum Squared is Sum of Cubes

- Define a recursive function  $\text{sumto } f \ n = \sum_{i=0 \dots n} f(i)$ .
- Show that  $(\sum_{i=0 \dots n} i)^2 = \sum_{i=0 \dots n} i^3$ .

**fun**  $\text{sumto} :: "(nat \Rightarrow nat) \Rightarrow nat \Rightarrow nat"$

You may need the following lemma:

**lemma**  $\text{sum\_of\_naturals}$ : “ $2 * \text{sumto } (\lambda x. x) \ n = n * \text{Suc } n$ ”  
**by** ( $\text{induction } n$ )  $\text{auto}$

**lemma** “ $\text{sumto } (\lambda x. x) \ n \ ^2 = \text{sumto } (\lambda x. x \ ^3) \ n$ ”

**proof** ( $\text{induct } n$ )

**case** 0 **show** ?case **by**  $\text{simp}$

**next**

**case** ( $\text{Suc } n$ )

**assume**  $\text{IH}$ : “ $(\text{sumto } (\lambda x. x) \ n)^2 = \text{sumto } (\lambda x. x \ ^3) \ n$ ”

**note** [ $\text{simp}$ ] =  $\text{algebra\_sims}$  — Extend the simpset only in this block

**show** “ $(\text{sumto } (\lambda x. x) \ (\text{Suc } n))^2 = \text{sumto } (\lambda x. x \ ^3) \ (\text{Suc } n)$ ”

Insert a proof here

**qed**

## Exercise 5.3 Paths in Graphs

A graph is described by its adjacency matrix, i.e.,  $G :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ .

Define a predicate  $\text{path } G \ u \ p \ v$  that is true if  $p$  is a path from  $u$  to  $v$ , i.e.,  $p$  is a list of nodes, not including  $u$ , such that the nodes on the path are connected with edges. In other words,  $\text{path } G \ u \ (p_1 \dots p_n) \ v$ , iff  $G \ u \ p_1$ ,  $G \ p_i \ p_{i+1}$ , and  $p_n = v$ . For the empty path ( $n=0$ ), we have  $u=v$ .

**fun**  $\text{path} :: "( 'a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow 'a \text{ list} \Rightarrow 'a \Rightarrow \text{bool}"$

Test cases

**definition** “ $\text{nat\_graph } x \ y \longleftrightarrow y = \text{Suc } x$ ”

**value**  $\langle \text{path } \text{nat\_graph } 2 \ [] \ 2 \rangle$

**value**  $\langle \text{path } \text{nat\_graph } 2 \ [3,4,5] \ 5 \rangle$

**value**  $\langle \neg \text{path } \text{nat\_graph } 3 \ [3,4,5] \ 6 \rangle$

**value**  $\langle \neg \text{path } \text{nat\_graph } 2 \ [3,4,5] \ 6 \rangle$

Show the following lemma, that decomposes paths. Register it as  $\text{simp-lemma}$ .

**lemma**  $\text{path\_append}[\text{simp}]$ : “ $\text{path } G \ u \ (p1 @ p2) \ v \longleftrightarrow (\exists w. \text{path } G \ u \ p1 \ w \wedge \text{path } G \ w \ p2 \ v)$ ”

Show that, for a non-distinct path from  $u$  to  $v$ , we find a longer non-distinct path from  $u$  to  $v$ . Note: This can be seen as a simple pumping-lemma, allowing to pump the length of the path.

Hint: Theorem *not\_distinct\_decomp*.

```
lemma pump_nondistinct_path:
  assumes P: "path G u p v"
  assumes ND: "¬distinct p"
  shows "∃ p'. length p' > length p ∧ ¬distinct p' ∧ path G u p' v"
```

## Homework 5.1 Split Lists

*Submission until Friday, May 18, 11:59am.* Recall: Use Isar where appropriate, proofs using *metis*, *smt*, *meson*, or *moura* (as generated by sledgehammer) are forbidden!

Show that every list can be split into a prefix and a suffix, such that the length of the prefix is  $1/n$  of the original list's length.

```
lemma
  assumes "n ≥ 0" — Note: This assumption is actually not needed, as  $n \text{ div } 0 = 0$ , so don't be
  puzzled if you do not use it at all in your proof.
  shows "∃ ys zs. length ys = length xs div n ∧ xs = ys @ zs"
```

## Homework 5.2 Estimate Recursion Equation

*Submission until Friday, May 18, 11:59am.*

(Sledgehammer allowed again)

Show that the function defined by  $a\ 0 = 0$  and  $a\ (n+1) = (a\ n)^2 + 1$  is bounded by the double-exponential function  $2^{(2^n)}$

```
fun a :: "nat ⇒ int" where
  "a 0 = 0" |
  "a (Suc n) = a n ^ 2 + 1"
```

We have given you a proof skeleton, setting up the induction. To complete your proof, you should come up with a chain of inequations. You may try to solve the intermediate steps with sledgehammer.

Hint: It is a bit tricky to get the approximation right. We strongly recommend to sketch the inequations on paper first.

Hint: Have a look at the lemma *power\_mono*, in particular its instance for squares:

```
thm power_mono[where n=2]
```

```
lemma "a n ≤ 2 ^ (2 ^ n) - 1"
proof(induction n)
  case 0 thus ?case by simp
next
  case (Suc n)
```

**assume** *IH*: “ $a\ n \leq 2^{\wedge} 2^{\wedge} n - 1$ ”

— Refer to the induction hypothesis by name *IH* or *Suc.IH*

**show** “ $a\ (Suc\ n) \leq 2^{\wedge} 2^{\wedge} Suc\ n - 1$ ”

**proof** —

Insert your proof here

**qed**

**qed**