


# Miscellaneous Results

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## Abstract

Consider a computation on a massive random graph: Does one need to generate the whole random graph up front, prior to performing the computation? Or, is it possible to provide an oracle to answer queries to the random graph "on-the-fly" in a much more efficient manner overall? That is, to provide a *local access generator* which incrementally constructs the random graph locally, at the queried portions, in a manner consistent with the random graph model and all previous choices. Local access generators can be useful when studying the local behavior of specific random graph models. Our goal is to design local access generators whose required resource overhead for answering each query is significantly more efficient than generating the whole random graph.

Our results focus on undirected graphs with independent edge probabilities, that is, each edge is chosen as an independent Bernoulli random variable. We provide a general implementation for generators in this model. Then, we use this construction to obtain the first efficient local implementations for the Erdős-Rényi  $G(n, p)$  model, and the Stochastic Block model.

As in previous local-access implementations for random graphs, we support VERTEX-PAIR, NEXT-NEIGHBOR queries, and ALL-NEIGHBORS queries. In addition, we introduce a new RANDOM-NEIGHBOR query. We also give the first local-access generation procedure for ALL-NEIGHBORS queries in the (sparse and directed) Kleinberg's Small-World model. Note that, in the sparse case, an ALL-NEIGHBORS query can be used to simulate the other types of queries efficiently. All of our generators require no pre-processing time, and answer each query using  $\mathcal{O}(\text{poly}(\log n))$  time, random bits, and additional space.

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## 1 Introduction

The problem of computing local information of huge random objects was pioneered in [GGN03, GGN10]. Further work of [NN07] considers the generation of sparse random  $G(n, p)$  graphs from the Erdős-Rényi model [ER60], with  $p = O(\text{poly}(\log n)/n)$ , which answers  $\text{poly}(\log n)$  **ALL-NEIGHBORS** queries, listing the neighbors of queried vertices. While these generators use polylogarithmic resources over their entire execution, they generate graphs that are only guaranteed to *appear random* to algorithms that inspect a *limited portion* of the generated graph.

In [ELMR17], the authors construct an oracle for the generation of recursive trees, and BA preferential attachment graphs. Unlike [NN07], their implementation allows for an arbitrary number of queries. This result is particularly interesting – although the graphs in this model are generated via a sequential process, the oracle is able to locally generate arbitrary portions of it and answer queries in polylogarithmic time. Though preferential attachment graphs are sparse, they contain vertices of high degree, thus [ELMR17] provides access to the adjacency list through **NEXT-NEIGHBOR** queries.

In this work, we begin by *formalizing* a model of local-access generators implicitly used in [ELMR17]. We next construct oracles that allow queries to both the adjacency matrix and adjacency list representation of a basic class of random graph families, without generating the entire graph at the onset. Our oracles provide **VERTEX-PAIR**, **NEXT-NEIGHBOR**, and **RANDOM-NEIGHBOR** queries<sup>1</sup> for graphs with *independent edge probabilities*, that is, when each edge is chosen as an independent Bernoulli random variable. Using this framework, we construct the first *efficient* local-access generators for undirected graph models, supporting all three types of queries using  $\mathcal{O}(\text{poly}(\log n))$  time, space, and random bits per query, under assumptions on the ability to compute certain values pertaining to consecutive edge probabilities. In particular, our construction yields local-access generators for the Erdős-Rényi  $G(n, p)$  model (for *all* values of  $p$ ), and the Stochastic Block model with random community assignment. As in [ELMR17] (and unlike the generators in [GGN03, GGN10, NN07]), our techniques allow unlimited queries.

While **VERTEX-PAIR** and **NEXT-NEIGHBOR** queries, as well as **ALL-NEIGHBORS** queries for sparse graphs, have been considered in the prior works of [ELMR17, GGN03, GGN10, NN07], we provide the first implementation (to the best of our knowledge) of **RANDOM-NEIGHBOR** queries, which do not follow trivially from the **ALL-NEIGHBORS** queries in *non-sparse graphs*. Such queries are useful, for instance, for sub-linear algorithms that employ random walk processes. **RANDOM-NEIGHBOR** queries present particularly interesting challenges, since as we note in Section 2.1, (1) **RANDOM-NEIGHBOR** queries affect the conditional probabilities of the remaining neighbors in a non-trivial manner, and (2) our implementation does not resort to explicitly sampling the degree of any vertex in order to generate a random neighbor. First, sampling the degree of the query vertex, we suspect, is not viable for *sub-linear* generators, because this quantity alone imposes dependence on the existence of *all* of its potential incident edges. Therefore, our generator needs to return a random neighbor, with probability reciprocal to the query vertex’s degree, without resorting to “knowing” its degree. Second, even without committing to the degrees, answers to **RANDOM-NEIGHBOR** queries affect the conditional probabilities of the remaining adjacencies in a global and non-trivial manner – that is, from the

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<sup>1</sup> **VERTEX-PAIR**( $u, v$ ) returns whether  $u$  and  $v$  are adjacent, **NEXT-NEIGHBOR**( $v$ ) returns a new neighbor of  $v$  each time it is invoked (until none is left), and **RANDOM-NEIGHBOR**( $v$ ) returns a uniform random neighbor of  $v$  (if  $v$  is not isolated).

point of view of the *agent* interacting with the generator. The generator, however, must somehow maintain and leverage its additional *internal knowledge* of the partially-generated graph, to keep its computation tractable throughout the entire graph generation process.

We then consider local-access generators for directed graphs in Kleinberg’s Small World model. In this case, the probabilities are based on distances in a 2-dimensional grid. Using a modified version of our previous sampling procedure, we present such a generator supporting **ALL-NEIGHBORS** queries in  $\mathcal{O}(\text{poly}(\log n))$  time, space and random bits per query (since such graphs are sparse, the other queries follow directly).

For additional related work, see Section C.

## 2 Our Contributions and Techniques

We begin by formalizing a model of *local-access generators* (Section 3.1), implicitly used in [ELMR17]. Our work provides local-access generators for various basic classes of graphs described in the following, with **VERTEX-PAIR**, **NEXT-NEIGHBOR**, and **RANDOM-NEIGHBOR** queries. In all of our results, each query is processed using  $\text{poly}(\log n)$  time, random bits, and additional space, with *no initialization overhead*. These guarantees hold even in the case of adversarial queries. Our bounds assume constant computation time for each arithmetic operation with  $O(\log n)$ -bit precision. Each of our generators constructs a random graph drawn from a distribution that is  $1/\text{poly}(n)$ -close to the desired distribution in the  $L_1$ -distance.<sup>2</sup>

### 2.1 Undirected Graphs

In Section 5 we construct local access generators for the generic class of undirected graphs with *independent edge probabilities*  $\{p_{u,v}\}_{u,v \in V}$ , where  $p_{u,v}$  denote the probability that there is an edge between  $u$  and  $v$ . Throughout, we identify our vertices via their unique IDs from 1 to  $n$ , namely  $V = [n]$ . We assume that we can compute various values pertaining to consecutive edge probabilities for the class of graphs, as detailed below. We then show that such values can be computed for graphs generated according to the Erdős-Rényi  $G(n, p)$  model and the Stochastic Block model.

#### Next-Neighbor Queries

We note that the next neighbor of a vertex can be found trivially by generating consecutive entries of the adjacency matrix, but for small edge probabilities  $p_{u,v} = o(1)$  this implementation can be too slow. In our algorithms, we achieve speed-up by sampling multiple neighbor values at once for a given vertex  $u$ ; more specifically, we sample for the number of “non-neighbors” preceding the next neighbor. To do this, we assume that we have access to an oracle which can estimate the “skip” probabilities  $F(v, a, b) = \prod_{u=a}^b (1 - p_{v,u})$ , where  $F(v, a, b)$  is the probability that  $v$  has no neighbors in the range  $[a, b]$ . We later show that it is possible to compute this quantity efficiently for the  $G(n, p)$  and Stochastic block models.

A main difficulty in our setup, as compared to [ELMR17], arises from the fact that our graph is undirected, and thus we must design a data structure that “informs” all (potentially  $\Theta(n)$ ) non-neighbors once we decide on the query vertex’s next neighbor. More concretely, if  $u'$  is sampled as the next neighbor of  $v$  after its previous neighbor  $u$ , we must maintain consistency in subsequent steps by ensuring that none of the vertices in the range  $(u, u')$

<sup>2</sup> The  $L_1$ -distance between two probability distributions  $\mathbf{p}$  and  $\mathbf{q}$  over domain  $D$  is defined as  $\|\mathbf{p} - \mathbf{q}\|_1 = \sum_{x \in D} |p(x) - q(x)|$ . We say that  $\mathbf{p}$  and  $\mathbf{q}$  are  $\epsilon$ -close if  $\|\mathbf{p} - \mathbf{q}\|_1 \leq \epsilon$ .

return  $v$  as a neighbor. This update will become even more complicated as we later handle **RANDOM-NEIGHBOR** queries, where we may generate non-neighbors at random locations.

In Section 5.2, we present a very simple randomized generator (Algorithm 2) that supports **NEXT-NEIGHBOR** queries efficiently, albeit the analysis of its performance is rather complicated. We remark that this approach may be extended to support **VERTEX-PAIR** queries with superior performance (given that we do not to support **RANDOM-NEIGHBOR** queries) and to provide deterministic resource usage guarantee – the full analysis can be found in Section A and B, respectively.

### Random-Neighbor Queries

We provide efficient **RANDOM-NEIGHBOR** queries (Section 5.3). The ability to do so is surprising. First, note that after performing a **RANDOM-NEIGHBOR** query all other conditional probabilities will be affected in a non-trivial way.<sup>3</sup> This requires a way of implicitly keeping track of all the resulting changes. Second, we can sample a **RANDOM-NEIGHBOR** with the correct probability  $1/\deg(v)$ , even though we do not sample or know the degree of the vertex.

We formulate a *bucketing approach* (Section 5.3) which samples multiple consecutive edges at once, in such a way that the conditional probabilities of the unsampled edges remain independent and “well-behaved” during subsequent queries. For each vertex  $v$ , we divide the vertex set (potential neighbors) of  $v$  into consecutive ranges (buckets), so that each bucket contains, in expectation, roughly the same number of neighbors  $\sum_{u=a}^b p_{v,u}$  (which we must be able to compute efficiently). The subroutine of **NEXT-NEIGHBOR** may be applied to sample the neighbors within a bucket in expected constant time. Then, one may obtain a random neighbor of  $v$  by picking a random neighbor from a random bucket; probabilities of picking any neighbors may be normalized to the uniform distribution via rejection sampling, while stilling yielding  $\text{poly}(\log n)$  complexities overall. This bucketing approach also naturally leads to our data structure that requires constant space for each bucket and for each edge, using  $\Theta(n + m)$  overall memory requirement. The **VERTEX-PAIR** queries are implemented by sampling the relevant bucket.

We now consider the application of our construction above to actual random graph models, where we must realize the assumption that  $\prod_{u=a}^b (1 - p_{v,u})$  and  $\sum_{u=a}^b p_{v,u}$  can be computed efficiently. This holds trivially for the  $G(n, p)$  model via closed-form formulas, but requires an additional back-end data structure for the Stochastic Block models.

### Erdős-Rényi

In Section 6.1, we apply our construction to random  $G(n, p)$  graphs for arbitrary  $p$ , and obtain **VERTEX-PAIR**, **NEXT-NEIGHBOR**, and **RANDOM-NEIGHBOR** queries, using polylogarithmic resources (time, space and random bits) per query. We remark that, while  $\Omega(n+m) = \Omega(pn^2)$  time and space is clearly necessary to generate and represent a full random graph, our implementation supports local-access via all three types of queries, and yet can generate a full graph in  $\tilde{O}(n + m)$  time and space (Corollary 17), which is tight up to polylogarithmic factors.

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<sup>3</sup> Consider a  $G(n, p)$  graph with small  $p$ , say  $p = 1/\sqrt{n}$ , such that vertices will have  $\tilde{O}(\sqrt{n})$  neighbors with high probability. After  $\tilde{O}(\sqrt{n})$  **RANDOM-NEIGHBOR** queries, we will have uncovered all the neighbors (w.h.p.), so that the conditional probability of the remaining  $\Theta(n)$  edges should now be close to zero.

## Stochastic Block Model

We generalize our construction to the Stochastic Block Model. In this model, the vertex set is partitioned into  $r$  communities  $\{C_1, \dots, C_r\}$ . The probability that an edge exists depends on the communities of its endpoints: if  $u \in C_i$  and  $v \in C_j$ , then  $\{u, v\}$  exists with probability  $p_{i,j}$ , given in an  $r \times r$  matrix  $\mathbf{P}$ . As communities in the observed data are generally unknown a priori, and significant research has been devoted to designing efficient algorithm for community detection and recovery, these studies generally consider the *random community assignment* condition for the purpose of designing and analyzing algorithms (see e.g., [MNS15]). Thus, in this work, we aim to construct generators for this important case, where the community assignment of vertices are independently sampled from some given distribution  $\mathbf{R}$ .

Our approach is, as before, to sample for the next neighbor or a random neighbor directly, although our result does not simply follow closed-form formulas, as the probabilities for the potential edges now depend on the communities of endpoints. To handle this issue, we observe that it is sufficient to efficiently count the number of vertices of each community in any range of contiguous vertex indices. We then design a data structure extending a construction of [GGN10], which maintain these counts for ranges of vertices, and “sample” the partition of their counts only on an as-needed basis. This extension results in an efficient technique to sample counts from the *multivariate hypergeometric distribution* (Section 4.1). This sampling procedure may be of independent interest. For  $r$  communities, this yields an implementation with  $\mathcal{O}(r \cdot \text{poly}(\log n))$  overhead in required resources for each operation. This upholds all previous polylogarithmic guarantees when  $r = \text{poly}(\log n)$ .

## CDF Based Sampling

It is worth noting that our techniques for implementing local-access for the ER and SBM graphs can easily be extended to other similar models of random graphs. The only requirement is that the CDF of the probability sequences can be efficiently computed as in Section 3.3.

## 2.2 Directed Graphs

Lastly, we consider Kleinberg’s Small World model ([Kle00, MN04]) in Section 7. While Small-World models are proposed to capture properties of observed data such as small shortest-path distances and large clustering coefficients [WS98], this important special case of Kleinberg’s model, defined on two-dimensional grids, demonstrates underlying geographical structures of networks. The vertices are aligned on a  $\sqrt{n} \times \sqrt{n}$  grid, and the edge probabilities are a function of a two-dimensional distance metric. Since the degree of each vertex in this model is  $\mathcal{O}(\log n)$  with high probability, we design generators supporting **ALL-NEIGHBOR** queries.

# 3 Preliminaries

## 3.1 Local-Access Generators

We consider the problem of locally generating random graphs  $G = (V, E)$  drawn from the desired families of simple unweighted graphs, undirected or directed. We denote the number of vertices  $n = |V|$ , and refer to each vertex simply via its unique ID from  $[n]$ . For undirected  $G$ , the set of neighbors of  $v \in V$  is defined as  $\Gamma(v) = \{u \in V : \{v, u\} \in E\}$ ; denote its degree

by  $\deg(v) = |\Gamma(v)|$ . Inspired by the goals and results of [ELMR17], we define a model of local-access generators as follows.

► **Definition 1.** A local-access generator of a random graph  $G$  sampled from a distribution  $\mathcal{D}$ , is a data structure that provides access to  $G$  by answering various types of supported queries, while satisfying the following:

- **Consistency.** The responses of the local-access generator to all probes throughout the entire execution must be consistent with a single graph  $G$ .
- **Distribution equivalence.** The random graph  $G$  provided by the generator must be sampled from some distribution  $\mathcal{D}'$  that is  $\epsilon$ -close to the desired distribution  $\mathcal{D}$  in the  $L_1$ -distance. In this work we focus on supporting  $\epsilon = n^{-c}$  for any desired constant  $c > 0$ . As for  $\text{RANDOM-NEIGHBOR}(v)$ , the distribution from which a neighbor is returned must be  $\epsilon$ -close to the uniform distribution over neighbors of  $v$  with respect to the sampled random graph  $G$  (w.h.p  $1 - n^{-c}$  for each query).
- **Performance.** The resources, consisting of (1) computation time, (2) additional random bits required, and (3) additional space required, in order to compute an answer to a single query and update the data structure, must be sub-linear, preferably  $\text{poly}(\log n)$ .

In particular, we allow queries to be made adversarially and non-deterministically. The adversary has full knowledge of the generator's behavior and its past random bits.

For ease of presentation, we allow generators to create graphs with self-loops. When self-loops are not desired, it is sufficient to add a wrapper function that simply re-invokes  $\text{NEXT-NEIGHBOR}(v)$  or  $\text{RANDOM-NEIGHBOR}(v)$  when the generator returns  $v$ .

### Supported Queries in our Model

For undirected graphs, we consider queries of the following forms. now we might want to do  $\text{NEXT-NEIGHBOR}$  first for consistency.

- $\text{NEXT-NEIGHBOR}(v)$ : The generator returns the neighbor of  $v$  with the lowest ID that has not been returned during the execution of the generator so far. If all neighbors of  $u$  have already been returned, the generator returns  $n + 1$ .
- $\text{RANDOM-NEIGHBOR}(v)$ : The generator returns a neighbor of  $v$  uniformly at random (with probability  $1/\deg(v)$  each). If  $v$  is isolated,  $\perp$  is returned.
- $\text{VERTEX-PAIR}(u, v)$ : The generator returns either 1 or 0, indicating whether  $\{u, v\} \in E$  or not.
- $\text{ALL-NEIGHBORS}(v)$ : The generator returns the entire list of out-neighbors of  $v$ . We may use this query for relatively sparse graphs, specifically in the Small-World model.

## 3.2 Random Graph Models

### Erdős-Rényi Model

We consider the  $G(n, p)$  model: each edge  $\{u, v\}$  exists independently with probability  $p \in [0, 1]$ . Note that  $p$  is not assumed to be constant, but may be a function of  $n$ .

### Stochastic Block Model

This model is a generalization of the Erdős-Rényi Model. The vertex set  $V$  is partitioned into  $r$  communities  $C_1, \dots, C_r$ . The probability that the edge  $\{u, v\}$  exists is  $p_{i,j}$  when  $u \in C_i$  and  $v \in C_j$ , where the probabilities are given as an  $r \times r$  symmetric matrix  $\mathbf{P} = [p_{i,j}]_{i,j \in [r]}$ .



We assume that we are given explicitly the distribution  $R$  over the communities, and each vertex is assigned its community according to  $R$  independently at random.<sup>4</sup>

### Small-World Model

In this model, each vertex is identified via its 2D coordinate  $v = (v_x, v_y) \in [\sqrt{n}]^2$ . Define the Manhattan distance as  $\text{DIST}(u, v) = |u_x - v_x| + |u_y - v_y|$ , and the probability that each directed edge  $(u, v)$  exists is  $c/(\text{DIST}(u, v))^2$ . Here,  $c$  is an indicator of the number of long range directed edges present at each vertex. A common choice for  $c$  is given by normalizing the distribution so that there is exactly one directed edge emerging from each vertex ( $c = \Theta(1/\log n)$ ). We will however support a range of values of  $c = \log^{\pm\Theta(1)} n$ . While not explicitly specified in the original model description of [Kle00], we assume that the probability is rounded down to 1 if  $c/(\text{DIST}(u, v))^2 > 1$ .

## 3.3 Miscellaneous

### Arithmetic operations

Let  $N$  be a sufficiently large number of bits required to maintain a multiplicative error of at most a  $\frac{1}{\text{poly}(n)}$  factor over  $\text{poly}(n)$  elementary computations  $(+, -, \cdot, /, \exp)$ .<sup>5</sup> We assume that each elementary operation on words of size  $N$  bits can be performed in constant time. Likewise, a random  $N$ -bit integer can be acquired in constant time. We assume that the input is also given with  $N$ -bit precision.

### Sampling via a CDF

Consider a probability distribution  $X$  over  $O(n)$  consecutive integers, whose cumulative distribution function (CDF) for can be computed with at most  $n^{-c}$  additive error for constant  $c$ . Using  $\mathcal{O}(\log n)$  CDF evaluations, one can sample from a distribution that is  $\frac{1}{\text{poly}(n)}$ -close to  $X$  in  $L_1$ -distance.<sup>6</sup>

## 4 Some Basic Implementations

This section  
needs major  
overhaul

### 4.1 Sampling from the Multivariate Hypergeometric Distribution

Consider the following random experiment. Suppose that we have an urn containing  $B \leq n$  marbles (representing vertices), each occupies one of the  $r$  possible colors (representing communities) represented by an integer from  $[r]$ . The number of marbles of each color in the urn is known: there are  $C_k$  indistinguishable marbles of color  $k \in [r]$ , where  $C_1 + \dots + C_r = B$ . Consider the process of drawing  $\ell \leq B$  marbles from this urn *without replacement*. We would like to sample how many marbles of each color we draw.

<sup>4</sup> Our algorithm also supports the alternative specification where the community sizes  $\langle |C_1|, \dots, |C_r| \rangle$  are given instead, where the assignment of vertices  $V$  into these communities is chosen uniformly at random.

<sup>5</sup> In our application of  $\exp$ , we only compute  $a^b$  for  $b \in \mathbb{Z}^+$  and  $0 < a \leq 1 + \Theta(\frac{1}{b})$ , where  $a^b = \mathcal{O}(1)$ . For this,  $N = \mathcal{O}(\log n)$  bits are sufficient to achieve the desired accuracy, namely an additive error of  $n^{-c}$ .

<sup>6</sup> Generate a random  $N$ -bit number  $r$ , and binary-search for the smallest domain element  $x$  where  $\mathbb{P}[X \leq x] \geq r$ .

More formally, let  $\mathbf{C} = \langle c_1, \dots, c_r \rangle$ , then we would like to (approximately) sample a vector  $\mathbf{S}_\ell^{\mathbf{C}}$  of  $r$  non-negative integers such that

$$\Pr[\mathbf{S}_\ell^{\mathbf{C}} = \langle s_1, \dots, s_r \rangle] = \frac{\binom{C_1}{s_1} \cdot \binom{C_2}{s_2} \cdots \binom{C_r}{s_r}}{\binom{B}{C_1 + C_2 + \cdots + C_r}}$$

where the distribution is supported by all vectors satisfying  $s_k \in \{0, \dots, C_k\}$  for all  $k \in [r]$  and  $\sum_{k=1}^r s_k = \ell$ . This distribution is referred to as the *multivariate hypergeometric distribution*.

The sample  $\mathbf{S}_\ell^{\mathbf{C}}$  above may be generated easily by simulating the drawing process, but this may take  $\Omega(\ell)$  iterations, which have linear dependency in  $n$  in the worst case:  $\ell = \Theta(B) = \Theta(n)$ . Instead, we aim to generate such a sample in  $O(r \text{ poly}(\log n))$  time with high probability. We first make use of the following procedure from [GGN10].

► **Lemma 2.** *Suppose that there are  $T$  marbles of color 1 and  $B - T$  marbles of color 2 in an urn, where  $B \leq n$  is even. There exists an algorithm that samples  $\langle s_1, s_2 \rangle$ , the number of marbles of each color appearing when drawing  $B/2$  marbles from the urn without replacement, in  $O(\text{poly}(\log n))$  time and random words. Specifically, the probability of sampling a specific pair  $\langle s_1, s_2 \rangle$  where  $s_1 + s_2 = T$  is approximately  $\binom{B/2}{s_1} \binom{B/2}{T-s_1} / \binom{B}{T}$  with error of at most  $n^{-c}$  for any constant  $c > 0$ .*

In other words, the claim here only applies to the two-color case, where we sample the number of marbles when drawing exactly half of the marbles from the entire urn ( $r = 2$  and  $\ell = B/2$ ). First we generalize this claim to handle any desired number of drawn marbles  $\ell$  (while keeping  $r = 2$ ).

► **Lemma 3.** *Given  $C_1$  marbles of color 1 and  $C_2 = B - C_1$  marbles of color 2, there exists an algorithm that samples  $\langle s_1, s_2 \rangle$ , the number of marbles of each color appearing when drawing  $\ell$  marbles from the urn without replacement, in  $O(\text{poly}(\log B))$  time and random words.*

**Proof.** For the base case where  $B = 1$ , we trivially have  $\mathbf{S}_1^{\mathbf{C}} = \mathbf{C}_1$  and  $\mathbf{S}_0^{\mathbf{C}} = \mathbf{C}_2$ . Otherwise, for even  $B$ , we apply the following procedure.

- If  $\ell \leq B/2$ , generate  $\mathbf{C}' = \mathbf{S}_{B/2}^{\mathbf{C}}$  using Claim 2.
  - If  $\ell = B/2$  then we are done.
  - Else, for  $\ell < B/2$  we recursively generate  $\mathbf{S}_\ell^{\mathbf{C}'}$ .
- Else, for  $\ell > B/2$ , we generate  $\mathbf{S}_{B-\ell}^{\mathbf{C}'}$  as above, then output  $\mathbf{C} - \mathbf{S}_{B-\ell}^{\mathbf{C}'}$ .

On the other hand, for odd  $B$ , we simply simulate drawing a single random marble from the urn before applying the above procedure on the remaining  $B - 1$  marbles in the urn. That is, this process halves the domain size  $B$  in each step, requiring  $\log B$  iterations to sample  $\mathbf{S}_\ell^{\mathbf{C}}$ .  $\square$

Lastly we generalize to support larger  $r$ .

► **Theorem 4.** *Given  $B$  marbles of  $r$  different colors, such that there are  $C_i$  marbles of color  $i$ , there exists an algorithm that samples  $\langle s_1, s_2, \dots, s_r \rangle$ , the number of marbles of each color appearing when drawing  $\ell$  marbles from the urn without replacement, in  $O(r \cdot \text{poly}(\log B))$  time and random words.*

**Proof.** Observe that we may reduce  $r > 2$  to the two-color case by sampling the number of marbles of the first color, collapsing the rest of the colors together. Namely, define a pair  $\mathbf{D} = \langle C_1, C_2 + \dots + C_r \rangle$ , then generate  $\mathbf{S}_\ell^{\mathbf{D}} = \langle s_1, s_2 + \dots + s_r \rangle$  via the above procedure. At

this point we have obtained the first entry  $s_1$  of the desired  $\mathbf{S}_\ell^C$ . So it remains to generate the number of marbles of each color from the remaining  $r - 1$  colors in  $\ell - s_1$  remaining draws. In total, we may generate  $\mathbf{S}_\ell^C$  by performing  $r$  iterations of the two-colored case. The error in the  $L_1$ -distance may be established similarly to the proof of Lemma 15.  $\square$

faster  
sampling for  
first  $k$  colors

► **Theorem 5.** *Given  $B$  marbles of  $r$  different colors in  $[r]$ , such that there are  $C_i$  marbles of color  $i$  and a parameter  $k \leq r$ , there exists an algorithm that samples  $s_1 + s_2 + \dots + s_k$ , the number of marbles among the first  $k$  colors appearing when drawing  $\ell$  marbles from the urn without replacement, in  $O(\text{poly}(\log B))$  time and random words.*

**Proof.** Since we don't have to find the individual counts, we can be more efficient by grouping half the colors together at each step. Formally, we define a pair  $\mathbf{D} = \langle D_1, D_2 \rangle$  where  $D_1 = C_1 + C_2 + \dots + C_{r/2}$  and  $D_2 = C_{r/2+1} + \dots + C_{r-1} + C_r$ . We then generate  $\langle D'_1, D'_2 \rangle = \mathbf{S}_\ell^{\mathbf{D}}$ .

- If  $k < r/2$ , we recursively solve the problem with the first  $r/2$  colors,  $B \leftarrow D'_1$ , and the original value of  $k$ .
- If  $k > r/2$ , we recurse on the last  $r/2$  colors,  $B$  set to  $D'_2$ , and  $k$  set to  $k - r/2$ . In this case, we add  $D'_1$  to the returned value.
- Otherwise,  $k = r/2$  and we can return  $D'_1$ .

The number of recursive calls is  $\mathcal{O}(\log r) = \mathcal{O}(\log B)$  (since  $r \leq B$ ). So, the overall runtime is  $\mathcal{O}(\text{poly}(\log B))$ .  $\square$

#### 4.1.1 Data structure

We now show that Theorem 4 may be used in order to create the following data structure. Recall that  $\mathbf{R}$  denote the given distribution over integers  $[r]$  (namely, the random distribution of communities for each vertex). Our data structure generates and maintains random variables  $X_1, \dots, X_n$ , each of which is drawn independently at random from  $\mathbf{R}$ :  $X_i$  denotes the community of vertex  $i$ . Then given a pair  $(i, j)$ , it returns the vector  $\mathbf{C}(i, j) = \langle c_1, \dots, c_r \rangle$  where  $c_k$  counts the number of variables  $X_i, \dots, X_j$  that takes on the value  $k$ . Note that we may also find out  $X_i$  by querying for  $(i, i)$  and take the corresponding index.

We maintain a complete binary tree whose leaves corresponds to indices from  $[n]$ . Each node represents a range and stores the vector  $\mathbf{C}$  for the corresponding range. The root represents the entire range  $[n]$ , which is then halved in each level. Initially the root samples  $\mathbf{C}(1, n)$  from the multinomial distribution according to  $\mathbf{R}$  (see e.g., Section 3.4.1 of [Knu97]). Then, the children are generated on-the-fly using the lemma above. Thus, each query can be processed within  $O(r \text{ poly}(\log n))$  time, yielding Theorem 18. Then, by embedding the information stored by the data structure into the state (as in the proof of Lemma 15), we obtain the desired Corollary 19.

## 5 Local-Access Generators for Random Undirected Graphs

In this section, we provide an efficient implementation of local-access generators for random undirected graphs when the probabilities  $p_{u,v} = \mathbb{P}[\{u, v\} \in E]$  are given. More specifically, we assume that the following quantities can be efficiently computed: (1) the probability that there is no edge between a vertex  $u$  and a range of consecutive vertices from  $[a, b]$ , namely  $\prod_{u=a}^b (1 - p_{v,u})$ , and (2) the sum of the edge probabilities (i.e., the expected number of edges) between  $u$  and vertices from  $[a, b]$ , namely  $\sum_{u=a}^b p_{v,u}$ . We will later give subroutines for computing these values for the Erdős-Rényi model and the Stochastic Block model with

randomly-assigned communities in Section 6. We also begin by assuming perfect-precision arithmetic, until Section 5.5 where we show how to relax this assumption to  $N = \Theta(\log n)$ -bit precision.

First, we propose a simple implementation of our generator in Section 5.1 that sequentially fills out the adjacency matrix; while we do not focus on its efficiency, we establish some basic concepts for further analysis in this section. Next, we improve our subroutine for **NEXT-NEIGHBOR** queries in Section 5.2: this algorithm samples for the next candidate of the next neighbor in a more direct manner to speed-up the process. Extending this construction, we obtain our main algorithm in Section 5.3 via the bucketing technique: partition the vertex set into contiguous ranges to normalize the expected number of neighbors in each bucket, allowing an efficient **RANDOM-NEIGHBOR** implementation by picking a random neighbor from a random bucket. The subroutine that samples for neighbors within a bucket, along with the remaining analysis of the algorithm, is given later in Section 5.4. Lastly, Section 5.5 handles the errors that may occur due to the use of finite precision.

## 5.1 Naïve Generator with an Explicit Adjacency Matrix

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### Algorithm 1 Naïve Generator

---

```

procedure VERTEX-PAIR( $u, v$ )
  if  $\mathbf{A}[u][v] = \phi$  then
    draw  $X_{u,v} \sim \text{Bern}(p_{u,v})$ 
     $\mathbf{A}[v][u], \mathbf{A}[u][v] \leftarrow X_{u,v}$ 
  return  $\mathbf{A}[u][v]$ 

procedure NEXT-NEIGHBOR( $v$ )
  for  $u \leftarrow \text{last}[v] + 1$  to  $n$  do
    if VERTEX-PAIR( $v, u$ ) = 1 then
       $\text{last}[v] \leftarrow u$ 
      return  $u$ 
   $\text{last}[v] \leftarrow n + 1$ 
  return  $n + 1$ 

procedure RANDOM-NEIGHBOR( $v$ )
   $R \leftarrow V$ 
  repeat
    sample  $u \in R$  u.a.r.
    if VERTEX-PAIR( $v, u$ ) = 1 then
      return  $u$ 
    else
       $R \leftarrow R \setminus \{u\}$ 
  until  $R = \emptyset$ 
  return  $\perp$ 

```

---

First, consider a naïve implementation that simply fills out the cells of the  $n \times n$  adjacency matrix  $\mathbf{A}$  of  $G$  one-by-one as required by each query. Each entry  $\mathbf{A}[u][v]$  occupies exactly one of following three states:  $\mathbf{A}[u][v] = 1$  or  $0$  if the generator has determined that  $\{u, v\} \in E$  or  $\{u, v\} \notin E$ , respectively, and  $\mathbf{A}[u][v] = \phi$  if whether  $\{u, v\} \in E$  or not will be determined by future random choices. Aside from  $\mathbf{A}$ , our generator also maintains the vector **last**, where  $\text{last}[v]$  records the neighbor of  $v$  returned in the last call **NEXT-NEIGHBOR**( $v$ ), or  $\text{last}[v] = 0$

if no such call has been invoked. This definition of **last** was introduced in [ELMR17]. All cells of **A** and **last** are initialized to  $\phi$  and 0, respectively. We refer to Algorithm 1 for its straightforward implementation, but highlight some notations and useful observations here.

### Characterizing random choices via $X_{u,v}$ 's

Algorithm 1 updates the cell  $\mathbf{A}[u][v] = \phi$  to the value of the Bernoulli random variable (RV)  $X_{u,v} \sim \text{Bern}(p_{u,v})$  (i.e., flip a coin with bias  $p_{u,v}$ ) only when it needs to decide whether  $\{u, v\} \in E$ . For the sake of analysis, we will frequently consider the *entire* table of RVs  $X_{u,v}$  being sampled *up-front* (i.e., flip all coins), and the algorithm simply “uncovers” these variables instead of making coin-flips. Thus, every cell  $\mathbf{A}[u][v]$  is originally  $\phi$ , but will eventually take the value  $X_{u,v}$  once the graph generation is complete. An example application of this view of  $X_{u,v}$  is the following analysis.

### Sampling from $\Gamma(v)$ uniformly without knowing $\deg(v)$

Consider a **RANDOM-NEIGHBOR**( $v$ ) query. We create a *pool*  $R$  of vertices, draw from this pool one-by-one, until we find a neighbor of  $u$ . Then, for any fixed table  $X_{u,v}$ , the probability that a vertex  $u \in \Gamma(v)$  is returned is simply the probability that, in the sequence of vertices drawn from the pool  $R$ ,  $u$  appears first among all neighbors in  $\Gamma(v)$ . Hence, we sample each  $u \in \Gamma(v)$  with probability  $1/\deg(v)$ , even without *knowing* the specific value of  $\deg(v)$ .

### Capturing the state of the partially-generated graph with **A**

Under the presence of **RANDOM-NEIGHBOR** queries, the probability distribution of the random graphs conditioned on the past queries and answers can be very complex: for instance, the number of repeated returned neighbors of  $v$  reveals information about  $\deg(v) = \sum_{u \in V} X_{u,v}$ , which imposes dependencies on as many as  $\Theta(n)$  variables. Our generator, on the other hand, records the neighbors and also *non-neighbors* not revealed by its answers, yet surprisingly this internal information fully captures the state of the partially-generated graph. This suggests that we should design generators that maintain **A** as done in Algorithm 1, but in a more implicit and efficient fashion in order to achieve the desired complexities. Another benefit of this approach is that any analysis can be performed on the simple representation **A** rather than any complicated data structure we may employ.

### Obstacles for maintaining **A**

There are two problems in the current approach. Firstly, the algorithm only finds a neighbor, for a **RANDOM-NEIGHBOR** or **NEXT-NEIGHBOR** query, with probability  $p_{u,v}$ , which requires too many iterations: for  $G(n, p)$  this requires  $1/p$  iterations, which is already infeasible for  $p = o(1/\text{poly}(\log n))$ . Secondly, the algorithm may generate a large number of non-neighbors in the process, possibly in random or arbitrary locations.

## 5.2 Improved Next-Neighbor Queries via Run-of-0's Sampling

We now speed-up our **NEXT-NEIGHBOR**( $v$ ) procedure by attempting to sample for the first index  $u > \mathbf{last}[v]$  of  $X_{v,u} = 1$ , from a sequence of Bernoulli RVs  $\{X_{v,u}\}_{u > \mathbf{last}[v]}$ , in a direct fashion. To do so, we sample a consecutive “run” of 0's with probability  $\prod_{u=\mathbf{last}[v]+1}^{u'} (1 - p_{v,u})$ : this is the probability that there is no edge between a vertex  $v$  and any  $u \in (\mathbf{last}[v], u']$ , which can be computed efficiently by our assumption. The problem is that, some entries  $\mathbf{A}[v][u]$ 's

in this run may have already been determined (to be 1 or 0) by queries  $\text{NEXT-NEIGHBOR}(u)$  for  $u > \text{last}[v]$ . To this end, we give a succinct data structure that determines the value of  $\mathbf{A}[v][u]$  for  $u > \text{last}[v]$  and, more generally, captures the state  $\mathbf{A}$ , in Section 5.2.1. Using this data structure, we ensure that our sampled run does not skip over any 1. Next, for the sampled index  $u$  of the first occurrence of 1, we check against this data structure to see if  $\mathbf{A}[v][u]$  is already assigned to 0, in which case we re-sample for a new candidate  $u' > u$ . Section 5.2.2 discusses the subtlety of this issue.

We note that we do not yet try to handle other types of queries here yet. We also do not formally bound the number of re-sampling iterations of this approach here, because the argument is not needed by our final algorithm. Yet, we remark that  $O(\log n)$  iterations suffice with high probability, even if the queries are adversarial. This method can be extended to support  $\text{VERTEX-PAIR}$  queries (but unfortunately not  $\text{RANDOM-NEIGHBOR}$  queries). See Section A for full details.

### 5.2.1 Data structure

From the definition of  $X_{u,v}$ ,  $\text{NEXT-NEIGHBOR}(v)$  is given by  $\min\{u > \text{last}[v] : X_{v,u} = 1\}$  (or  $n+1$  if no satisfying  $u$  exists). Let  $P_v = \{u : \mathbf{A}[v][u] = 1\}$  be the set of known neighbors of  $v$ , and  $w_v = \min\{(P_v \cap (\text{last}[v], n]) \cup \{n+1\}\}$  be its first known neighbor not yet reported by a  $\text{NEXT-NEIGHBOR}(v)$  query, or equivalently, the next occurrence of 1 in  $v$ 's row on  $\mathbf{A}$  after  $\text{last}[v]$ . Note that  $w_v = n+1$  denotes that there is no known neighbor of  $v$  after  $\text{last}[v]$ . Consequently,  $\mathbf{A}[v][u] \in \{\phi, 0\}$  for all  $u \in (\text{last}[v], w_v)$ , so  $\text{NEXT-NEIGHBOR}(v)$  is either the index  $u$  of the first occurrence of  $X_{v,u} = 1$  in this range, or  $w_v$  if no such index exists.

We keep track of  $\text{last}[v]$  in a dictionary, where the key-value pair  $(v, \text{last}[v])$  is stored only when  $\text{last}[v] \neq 0$ : this removes any initialization overhead. Each  $P_v$  is maintained as an ordered set, which is also only instantiated when it becomes non-empty. We maintain  $P_v$  simply by adding  $u$  to  $v$  if a call  $\text{NEXT-NEIGHBOR}(v)$  returns  $u$ , and vice versa. Clearly,  $\mathbf{A}[v][u] = 1$  if and only if  $u \in P_v$  by construction.

As discussed in the previous section, we cannot maintain  $\mathbf{A}$  explicitly, as updating it requires replacing up to  $\Theta(n)$   $\phi$ 's to 0's for a single  $\text{NEXT-NEIGHBOR}$  query in the worst case. Instead, we argue that  $\text{last}$  and  $P_v$ 's provide a succinct representation of  $\mathbf{A}$  via the following observation. For simplicity, we say that  $X_{u,v}$  is *decided* if  $\mathbf{A}[u][v] \neq \phi$ , and call it *undecided* otherwise.

► **Lemma 6.** *The data structures  $\text{last}$  and  $P_v$ 's together provide a succinct representation of  $\mathbf{A}$  when only  $\text{NEXT-NEIGHBOR}$  queries are allowed. In particular,  $\mathbf{A}[v][u] = 1$  if and only if  $u \in P_v$ . Otherwise,  $\mathbf{A}[v][u] = 0$  when  $u < \text{last}[v]$  or  $v < \text{last}[u]$ . In all remaining cases,  $\mathbf{A}[v][u] = \phi$ .*

**Proof.** The condition for  $\mathbf{A}[v][u] = 1$  clearly holds by construction. Otherwise, observe that  $\mathbf{A}[v][u]$  becomes decided (that is, its value is changed from  $\phi$  to 0) precisely during the first call of  $\text{NEXT-NEIGHBOR}(v)$  that returns a value  $u' > u$  which thereby sets  $\text{last}[v]$  to  $u'$  yielding  $u < \text{last}[v]$ , or vice versa.  $\square$

### 5.2.2 Queries and Updates

---

**Algorithm 2** Sampling **NEXT-NEIGHBOR**


---

```

procedure NEXT-NEIGHBOR( $v$ )
   $u \leftarrow \mathbf{last}[v]$ 
   $w_v \leftarrow \min\{(P_v \cap (u, n]) \cup \{n + 1\}\}$ 
  repeat
    sample  $F \sim F(v, u, w_v)$ 
     $u \leftarrow F$ 
  until  $u = w_v$  or  $\mathbf{last}[u] < v$ 
  if  $u \neq w_v$  then
     $P_v \leftarrow P_v \cap \{u\}$ 
     $P_u \leftarrow P_u \cap \{v\}$ 
   $\mathbf{last}[v] \leftarrow u$ 
  return  $u$ 

```

---

We now provide our generator (Algorithm 2), and discuss the correctness of its sampling process. The argument here is rather subtle and relies on viewing the random process as an “uncovering” process on the table of RVs  $X_{u,v}$ ’s as previously introduced in Section 5.1. Algorithm 2, considers the following experiment for sampling the next neighbor of  $v$  in the range  $(\mathbf{last}[v], w_v)$ . Suppose that we generate a sequence of  $w_v - \mathbf{last}[v] - 1$  independent coin-tosses, where the  $i^{\text{th}}$  coin  $C_{v,u}$  corresponding to  $u = \mathbf{last}[v] + i$  has bias  $p_{v,u}$ , regardless of whether  $X_{v,u}$ ’s are decided or not. Then, we use the sequence  $\langle C_{v,u} \rangle$  to assign values to *undecided* random variable  $X_{v,u}$ . The crucial observation here is that, the *decided* random variables  $X_{v,u} = 0$  do not need coin-flips, and the corresponding coin result  $C_{v,u}$  can simply be discarded. Thus, we need to generate coin-flips up until we encounter some  $u$  satisfying both (i)  $C_{v,u} = 1$ , and (ii)  $\mathbf{A}[v][u] = \phi$ .

Let  $F(v, a, b)$  denote the probability distribution of the occurrence  $u$  of the first coin-flip  $C_{v,u} = 1$  among the neighbors in  $(a, b)$ . More specifically,  $F \sim F(v, a, b)$  represents the event that  $C_{v,a+1} = \dots = C_{v,F-1} = 0$  and  $C_{v,F} = 1$ , which happens with probability  $\mathbb{P}[F = f] = \prod_{u=a+1}^{f-1} (1 - p_{v,u}) \cdot p_{v,f}$ . For convenience, let  $F = b$  denote the event where all  $C_{v,u} = 0$ . Our algorithm samples  $F_1 \sim F(v, \mathbf{last}[v], w_v)$  to find the first occurrence of  $C_{v,F_1} = 1$ , then samples  $F_2 \sim F(v, F_1, w_v)$  to find the second occurrence  $C_{v,F_2} = 1$ , and so on. These values  $\{F_i\}$  are iterated as  $u$  in Algorithm 2. As this process generates  $u$  satisfying (i) in the increasing order, we repeat until we find one that also satisfies (ii). Note that once the process terminates at some  $u$ , we make no implications on the results of any uninspected coin-flips after  $C_{v,u}$ .

#### Obstacles for extending beyond Next-Neighbor queries

There are two main issues that prevent this method from supporting **RANDOM-NEIGHBOR** queries. Firstly, while one might consider applying **NEXT-NEIGHBOR** from some random location  $u$  to find the minimum  $u' \geq u$  where  $\mathbf{A}[v][u'] = 1$ , the probability of choosing  $u'$  will depend on the probabilities  $p_{v,u}$ ’s, and is generally not uniform. While a rejection sampling method may be applied to balance out the probabilities of choosing neighbors, these arbitrary  $p_{v,u}$ ’s may distribute the neighbors rather unevenly: some small contiguous locations may contain so many neighbors that the rejection sampling approach requires too many iterations to obtain a single uniform neighbor.



Secondly, in developing Algorithm 2, we observe that  $\mathbf{last}[v]$  and  $P_v$  together provide a succinct representation of  $\mathbf{A}[v][u] = 0$  only for contiguous cells  $\mathbf{A}[v][u]$  where  $u \leq \mathbf{last}[v]$  or  $v \leq \mathbf{last}[u]$ : they cannot handle 0 anywhere else. Unfortunately, in order to extend our construction to support **RANDOM-NEIGHBOR** queries using the idea suggested in Algorithm 1, we must unavoidably assign  $\mathbf{A}[v][u]$  to 0 in random locations beyond  $\mathbf{last}[v]$  or  $\mathbf{last}[u]$ , which cannot be captured by the current data structure. Furthermore, unlike 1's, we cannot record 0's using a data structure similarly to that of  $P_v$ . More specifically, to speed-up the sampling process for small  $p_{v,u}$ 's, we must generate many random non-neighbors at once as suggested in Algorithm 2, but we cannot afford to spend time linear in the number of created 0's to update our data structure. We remedy these issues via the following bucketing approach.

### 5.3 Final Generator via the Bucketing Approach

We now resolve both of the above issues via the bucketing approach, allowing our generator to support all remaining types of queries. We begin this section by focusing first on **RANDOM-NEIGHBOR** queries, then extend the construction to the remaining ones. In order to handle **RANDOM-NEIGHBOR**( $v$ ), we divide the neighbors of  $v$  into *buckets*  $B_v = \{B_v^{(1)}, B_v^{(2)}, \dots\}$ , so that each bucket contains, in expectation, roughly the same number of neighbors of  $v$ . We may then implement **RANDOM-NEIGHBOR**( $v$ ) by randomly selecting a bucket  $B_v^{(i)}$ , fill in entries  $\mathbf{A}[v][u]$  for  $u \in B_v^{(i)}$  with 1's and 0's, then report a random neighbor from this bucket. As the bucket size may be too large when the probabilities are small, instead of using a linear scan, our **FILL** subroutine will be implemented with the **NEXT-NEIGHBOR** subroutine in Algorithm 2 previously developed in Section 5.2. Since the number of iterations required by this subroutine is roughly proportional to the number of neighbors, we choose to allocate a constant number of neighbors in expectation to each bucket: with constant probability the bucket contains some neighbors, and with high probability it has at most  $O(\log n)$  neighbors.

Nonetheless, as the actual number of neighbors appearing in each bucket may be different, we balance out these discrepancies by performing *rejection sampling*, equalizing the probability of choosing any neighbor implicitly, again without the knowledge of  $\deg(v)$  as previously done in Section 5.1. Leveraging the fact that the maximum number of neighbors in any bucket is  $O(\log n)$ , we show not only that the probability of success in the rejection sampling process is at least  $1/\text{poly}(\log n)$ , but the number of iterations required by **NEXT-NEIGHBOR** is also bounded by  $\text{poly}(\log n)$ , achieving the overall  $\text{poly}(\log n)$  complexities. Here in this section, we will extensively rely on the assumption that the expected number of neighbors for consecutive vertices,  $\sum_{u=a}^b p_{v,u}$ , can be computed efficiently.

#### 5.3.1 Partitioning into buckets

More formally, we fix some sufficiently large constant  $L$ , and assign the vertex  $u$  to the  $\lceil \sum_{i=1}^u p_{v,i}/L \rceil^{\text{th}}$  bucket of  $v$ . Essentially, each bucket represents a contiguous range of vertices, where the expected number of neighbors of  $v$  in the bucket is (mostly) in the range  $[L-1, L+1]$  (for example, for  $G(n, p)$ , each bucket contains roughly  $L/p$  vertices). Let us define  $\Gamma^{(i)}(v) = \Gamma(v) \cap B_v^{(i)}$ , the actual neighbors appearing in bucket  $B_v^{(i)}$ . Our construction ensures that  $\mathbb{E} [|\Gamma^{(i)}(v)|] < L+1$  for every bucket, and  $\mathbb{E} > L-1$  for every  $i < |B_v|$  (i.e., the condition holds for all buckets but possibly the last one).

Now, we show that with high probability, all the bucket sizes  $|\Gamma^{(i)}(v)| = O(\log n)$ , and at least a  $1/3$ -fraction of the buckets are non-empty (i.e.,  $|\Gamma^{(i)}(v)| > 0$ ), via the following lemmas.



► **Lemma 7.** *With high probability, the number of neighbors in every bucket,  $|\Gamma^{(i)}(v)|$ , is at most  $\mathcal{O}(\log n)$ .*

**Proof.** Fix a bucket  $B_v^{(i)}$ , and consider the Bernoulli RVs  $\{X_{v,u}\}_{u \in B_v^{(i)}}$ . The expected number of neighbors in this bucket is  $\mathbb{E}[|\Gamma^{(i)}(v)|] = \mathbb{E}\left[\sum_{u \in B_v^{(i)}} X_{v,u}\right] < L + 1$ . Via the Chernoff bound,

$$\mathbb{P}\left[|\Gamma^{(i)}(v)| > (1 + 3c \log n) \cdot L\right] \leq e^{-\frac{3c \log n \cdot L}{3}} = n^{-\Theta(c)}$$

for any constant  $c > 0$ . □

► **Lemma 8.** *With high probability, for every  $v$  such that  $|B_v| = \Omega(\log n)$  (i.e.,  $\mathbb{E} = \Omega(\log n)$ ), at least a  $1/3$ -fraction of the buckets  $\{B_v^{(i)}\}_{i \in [|B_v|]}$  are non-empty.*

**Proof.** For  $i < |B_v|$ , since  $\mathbb{E}[|\Gamma^{(i)}(v)|] = \mathbb{E}\left[\sum_{u \in B_v^{(i)}} X_{v,u}\right] > L - 1$ , we bound the probability that  $B_v^{(i)}$  is empty:

$$\mathbb{P}[B_v^{(i)} \text{ is empty}] = \prod_{u \in B_v^{(i)}} (1 - p_{v,u}) \leq e^{-\sum_{u \in B_v^{(i)}} p_{v,u}} \leq e^{1-L} = c$$

for any arbitrary small constant  $c$  given sufficiently large constant  $L$ . Let  $T_i$  be the indicator for the event that  $B_v^{(i)}$  is *not* empty, so  $\mathbb{E} T_i = 1 - c$ . By the Chernoff bound, the probability that less than  $|B_v|/3$  buckets are non-empty is

$$\mathbb{P}\left[\sum_{i \in [|B_v|]} T_i < \frac{|B_v|}{3}\right] < \mathbb{P}\left[\sum_{i \in [|B_v|-1]} T_i < \frac{|B_v|-1}{2}\right] \leq e^{-\Theta(|B_v|-1)} = n^{-\Omega(1)}$$

as  $|B_v| = \Omega(\log n)$  by assumption. □

### 5.3.2 Filling a bucket

We consider buckets to be in two possible states – filled or unfilled. Initially, all buckets are considered unfilled. In our algorithm we will maintain, for each bucket  $B_v^{(i)}$ , the set  $P_v^{(i)}$  of known neighbors of  $u$  in bucket  $B_v^{(i)}$ ; this is a refinement of the set  $P_v$  in Section 5.2. We define the behaviors of the procedure **FILL**( $v, i$ ) as follows. When invoked on an unfilled bucket  $B_v^{(i)}$ , **FILL**( $v, i$ ) performs the following tasks:

- decide whether each vertex  $u \in B_v^{(i)}$  is a neighbor of  $v$  (implicitly setting  $\mathbf{A}[v][u]$  to 1 or 0) unless  $X_{v,u}$  is already decided; in other words, update  $P_v^{(i)}$  to  $\Gamma^{(i)}(v)$
- mark  $B_v^{(i)}$  as filled.

For the sake of presentation, we postpone our description of the implementation of **FILL** to Section 5.4. For now, let us use **FILL** as a black-box operation.

### 5.3.3 Putting it all together: Random-Neighbor queries

---

**Algorithm 3** Bucketing Generator
 

---

```

procedure RANDOM-NEIGHBOR( $v$ )
   $R \leftarrow [|B_v|]$ 
  repeat
    sample  $i \in R$  u.a.r.
    if  $B_v^{(i)}$  is not filled then
       $\text{FILL}(v, i)$ 
    if  $|P_v^{(i)}| > 0$  then
      with probability  $\frac{|P_v^{(i)}|}{M}$ 
        sample  $u \in P_v^{(i)}$  u.a.r.
        return  $u$ 
    else
       $R \leftarrow R \setminus \{i\}$ 
  until  $R = \emptyset$ 
  return  $\perp$ 

```

---

Consider Algorithm 3 for generating a random neighbor via rejection sampling, in a rather similar overall framework as the simple implementation in Section 5.1. For simplicity, throughout the analysis, we assume  $|B_v| = \Omega(\log n)$ ; otherwise, invoke  $\text{FILL}(v, i)$  for all  $i \in [|B_v|]$  to obtain the entire neighbor list  $\Gamma(v)$ . This does not affect the analysis because we will soon bound the number of calls that Algorithm 3 makes to  $\text{FILL}$  by  $O(\log n)$  (in expectation) for  $|B_v| = \Omega(\log n)$ .

To obtain a random neighbor, we first choose a bucket  $B_v^{(i)}$  uniformly at random. If the bucket is not yet filled, we invoke  $\text{FILL}(v, i)$  and fill this bucket. Then, we *accept* the sampled bucket for generating our random neighbor with probability proportional to  $|P_v^{(i)}|$ . More specifically, let  $M = \Theta(\log n)$  be the upper bound on the maximum number of neighbors in any bucket, as derived in Lemma 7; we accept this bucket with probability  $|P_v^{(i)}|/M$ , which is well-defined (i.e., does not exceed 1) with high probability. (Note that if  $P_v^{(i)} = \emptyset$ , we remove  $i$  from the pool, then repeat as usual.) If we choose to accept this bucket, we return a random neighbor from  $P_v^{(i)}$ . Otherwise, *reject* this bucket and repeat the process again.

Since the returned value is always a member of  $P_v^{(i)}$ , a valid neighbor is always returned. Further,  $i$  is removed from  $R$  only if  $B_v^{(i)}$  does not contain any neighbors. So, if  $v$  has any neighbor,  $\text{RANDOM-NEIGHBOR}$  does not return  $\perp$ . We now proceed to showing the correctness of the algorithm and bound the number of iterations required for the rejection sampling process.

► **Lemma 9.** *Algorithm 3 returns a uniformly random neighbor of vertex  $v$ .*

**Proof.** It suffices to show that the probability that any neighbor in  $\Gamma(v)$  is returned with uniform positive probability, within the same iteration. Fix a single iteration and consider a vertex  $u \in P_v^{(i)}$ : we compute the probability that  $u$  is accepted. The probability that  $i$  is picked is  $1/|R|$ , the probability that  $B_v^{(i)}$  is accepted is  $|P_v^{(i)}|/M$ , and the probability that  $u$  is chosen among  $P_v^{(i)}$  is  $1/|P_v^{(i)}|$ . Hence, the overall probability of returning  $u$  in a single iteration of the loop is  $1/(|R| \cdot M)$ , which is positive and independent of  $u$ . Therefore, each vertex is returned with the same probability.  $\square$

► **Lemma 10.** *Algorithm 3 terminates in  $\mathcal{O}(\log n)$  iterations in expectation, or  $\mathcal{O}(\log^2 n)$  iterations with high probability.*

**Proof.** Following the analysis above, the probability that some vertex from  $P_v^{(i)}$  is accepted in an iteration is at least  $1/(|R| \cdot M)$ . From Lemma 8, a  $(1/3)$ -fraction of the buckets are non-empty (with high probability), so the probability of choosing a non-empty bucket is at least  $1/3$ . Further,  $M = \Theta(\log n)$  by Lemma 7. Hence, the success probability of each iteration is at least  $1/(3M) = \Omega(1/\log n)$ . Thus, with high probability, the number of iterations required is  $\mathcal{O}(\log^2 n)$  with high probability.  $\square$

## 5.4 Implementation of Fill

---

### Algorithm 4 Sampling in a Bucket

---

```

procedure FILL( $v, i$ )
   $(a, b) \leftarrow B_j^{(i)}$ 
  repeat
    sample  $u \sim F(v, a, b)$ 
     $B_u^{(j)} \leftarrow u$ 's bucket containing  $v$ 
    if  $B_u^{(j)}$  is not filled then
       $P_v^{(i)} \leftarrow P_v^{(i)} \cup \{u\}$ 
       $P_u^{(j)} \leftarrow P_u^{(j)} \cup \{v\}$ 
     $a \leftarrow u$ 
  until  $a \geq b$ 
  mark  $B_u^{(j)}$  as filled

```

---

Lastly, we describe the implementation of the FILL procedure, employing the approach of skipping non-neighbors, as developed for Algorithm 2. We aim to simulate the following process: perform coin-tosses  $C_{v,u}$  with probability  $p_{v,u}$  for every  $u \in B_v^{(i)}$  and update  $\mathbf{A}[v][u]$ 's according to these coin-flips unless they are decided (i.e.,  $\mathbf{A}[v][u] \neq \phi$ ). We directly generate a sequence of  $u$ 's where the coins  $C_{v,u} = 1$ , then add  $u$  to  $P_v$  and vice versa if  $X_{v,u}$  has not previously been decided. Thus, once  $B_v^{(i)}$  is filled, we will obtain  $P_v^{(i)} = \Gamma^{(i)}(v)$  as desired.

As discussed in Section 5.2, while we have recorded all occurrences of  $\mathbf{A}[v][u] = 1$  in  $P_v^{(i)}$ , we need an efficient way of checking whether  $\mathbf{A}[v][u] = 0$  or  $\phi$ . In Algorithm 2, **last** serves this purpose by showing that  $\mathbf{A}[v][u]$  for all  $u \leq \mathbf{last}[v]$  are decided as shown in Lemma 6. Here instead, with our bucket structure, we maintain a single bit marking whether each bucket is filled or unfilled: a filled bucket implies that  $\mathbf{A}[v][u]$  for all  $u \in B_v^{(i)}$  are decided. The bucket structure along with mark bits, unlike **last**, are capable of handling intermittent ranges of intervals, namely buckets, which is sufficient for our purpose, as shown in the following lemma. This yields the implementation Algorithm 4 for the FILL procedure fulfilling the requirement previously given in Section 5.3.2.

► **Lemma 11.** *The data structures  $P_v^{(i)}$ 's and the bucket marking bits together provide a succinct representation of  $\mathbf{A}$  as long as modifications to  $\mathbf{A}$  are performed solely by the FILL operation in Algorithm 4. In particular, let  $u \in B_v^{(i)}$  and  $v \in B_u^{(j)}$ . Then,  $\mathbf{A}[v][u] = 1$  if and only if  $u \in P_v^{(i)}$ . Otherwise,  $\mathbf{A}[v][u] = 0$  when at least one of  $B_v^{(i)}$  or  $B_u^{(j)}$  is marked as filled. In all remaining cases,  $\mathbf{A}[v][u] = \phi$ .*

**Proof.** The condition for  $\mathbf{A}[v][u] = 1$  still holds by construction. Otherwise, observe that  $\mathbf{A}[v][u]$  becomes decided precisely during a  $\text{FILL}(v, i)$  or a  $\text{FILL}(u, j)$  operation, which thereby marks one of the corresponding buckets as filled.  $\square$

Note that  $P_v^{(i)}$ 's, maintained by our generator, are initially empty but may not still be empty at the beginning of the  $\text{FILL}$  function call. These  $P_v^{(i)}$ 's are again instantiated and stored in a dictionary once they become non-empty. Further, observe that the coin-flips are simulated independently of the state of  $P_v^{(i)}$ , so the number of iterations of Algorithm 4 is the same as the number of coins  $C_{v,u} = 1$  which is, in expectation, a constant (namely  $\sum_{u \in B_v^{(i)}} \mathbb{P}[C_{v,u} = 1] = \sum_{u \in B_v^{(i)}} p_{v,u} \leq L + 1$ ).

By tracking the resource required by Algorithm 4 we obtain the following lemma; note that “additional space” refers to the enduring memory that the generator must allocate and keep even after the execution, not its computation memory. The  $\log n$  factors in our complexities are required to perform binary-search for the range of  $B_v^{(i)}$ , or for the value  $u$  from the CDF of  $F(u, a, b)$ , and to maintain the ordered sets  $P_v^{(i)}$  and  $P_u^{(j)}$ .

► **Lemma 12.** *Each execution of Algorithm 4 (the  $\text{FILL}$  operation) on an unfilled bucket  $B_v^{(i)}$ , in expectation:*

- *terminates within  $\mathcal{O}(1)$  iterations (of its **repeat** loop);*
- *computes  $\mathcal{O}(\log n)$  quantities of  $\prod_{u \in [a,b]} (1 - p_{v,u})$  and  $\sum_{u \in [a,b]} p_{v,u}$  each;*
- *aside from the above computations, uses  $\mathcal{O}(\log n)$  time,  $\mathcal{O}(1)$  random  $N$ -bit words, and  $\mathcal{O}(1)$  additional space.*

Observe that the number of iterations required by Algorithm 4 only depends on its random coin-flips and independent of the state of the algorithm. Combining with Lemma 10, we finally obtain polylogarithmic resource bound for our implementation of  $\text{RANDOM-NEIGHBOR}$ .

► **Corollary 13.** *Each execution of Algorithm 3 (the  $\text{RANDOM-NEIGHBOR}$  query), with high probability,*

- *terminates within  $\mathcal{O}(\log^2 n)$  iterations (of its **repeat** loop);*
- *computes  $\mathcal{O}(\log^3 n)$  quantities of  $\prod_{u \in [a,b]} (1 - p_{v,u})$  and  $\sum_{u \in [a,b]} p_{v,u}$  each;*
- *aside from the above computations, uses  $\mathcal{O}(\log^3 n)$  time,  $\mathcal{O}(\log^2 n)$  random  $N$ -bit words, and  $\mathcal{O}(\log^2 n)$  additional space.*

### Extension to other query types

We finally extend our algorithm to support other query types as follows.

- **VERTEX-PAIR**( $u, v$ ): We simply need to make sure that Lemma 11 holds, so we first apply  $\text{FILL}(u, j)$  on bucket  $B_u^{(j)}$  containing  $v$  (if needed), then answer accordingly.
- **NEXT-NEIGHBOR**( $v$ ): We maintain **last**, and keep invoking  $\text{FILL}$  until we find a neighbor. Recall that by Lemma 8, the probability that a particular bucket is empty is a small constant. Then with high probability, there exists no  $\omega(\log n)$  consecutive empty buckets  $B_v^{(i)}$ 's for any vertex  $v$ , and thus  $\text{NEXT-NEIGHBOR}$  only invokes up to  $\mathcal{O}(\log n)$  calls to  $\text{FILL}$ .

We summarize the results so far with through the following theorem.

► **Theorem 14.** *Under the assumption of*

1. *perfect-precision arithmetic, including the generation of random real numbers in  $[0, 1)$ , and*
2. *the quantities  $\prod_{u=a}^b (1 - p_{v,u})$  and  $\sum_{u=a}^b p_{v,u}$  of the random graph family can be computed with perfect precision in logarithmic time, space and random bits,*

there exists a local-access generator for the random graph family that supports **RANDOM-NEIGHBOR**, **VERTEX-PAIR** and **NEXT-NEIGHBOR** queries that uses polylogarithmic running time, additional space, and random words per query.

Between these two assumptions, we first remove the assumption of perfect-precision arithmetic in the upcoming Section 5.5. Later in Section 6, we show applications of our generator to the  $G(n, p)$  model, and the Stochastic Block model under random community assignment, by providing formulas and by constructing data structures for computing the quantities specified in the second assumption, respectively.

## 5.5 Removing the Perfect-Precision Arithmetic Assumption

In this section we remove the perfect-precision arithmetic assumption. Instead, we only assume that it is possible to compute  $\prod_{u=a}^b (1 - p_{v,u})$  and  $\sum_{u=a}^b p_{v,u}$  to  $N$ -bit precision, as well as drawing a random  $N$ -bit word, using polylogarithmic resources. Here we will focus on proving that the family of the random graph we generate via our procedures is statistically close to that of the desired distribution. The main technicality of this lemma arises from the fact that, not only the generator is randomized, but the agent interacting with the generator may choose his queries arbitrarily (or adversarially): our proof must handle any sequence of random choices the generator makes, and any sequence of queries the agent may make.

Observe that the distribution of the graphs constructed by our generator is governed entirely by the samples  $u$  drawn from  $F(v, a, b)$  in Algorithm 4. By our assumption, the CDF of any  $F(v, a, b)$  can be efficiently computed from  $\prod_{u=a}^{u'} (1 - p_{v,u})$ , and thus sampling with  $\frac{1}{\text{poly}(n)}$  error in the  $L_1$ -distance requires a random  $N$ -bit word and a binary-search in  $\mathcal{O}(\log(b - a + 1)) = \mathcal{O}(\log n)$  iterations. Using this crucial fact, we prove our lemma that removes the perfect-precision arithmetic assumption.

► **Lemma 15.** *If Algorithm 4 (the **FILL** operation) is repeatedly invoked to construct a graph  $G$  by drawing the value  $u$  for at most  $S$  times in total, each of which comes from some distribution  $F'(v, a, b)$  that is  $\epsilon$ -close in  $L_1$ -distance to the correct distribution  $F(v, a, b)$  that perfectly generates the desired distribution  $G$  over all graphs, then the distribution  $G'$  of the generated graph  $G$  is  $(\epsilon S)$ -close to  $G$  in the  $L_1$ -distance.*

**Proof.** For simplicity, assume that the algorithm generates the graph to completion according to a sequence of up to  $n^2$  distinct buckets  $\mathcal{B} = \langle B_{v_1}^{(u_1)}, B_{v_2}^{(u_2)}, \dots \rangle$ , where each  $B_{v_i}^{(u_i)}$  specifies the unfilled bucket in which any query instigates a **FILL** function call. Define an *internal state* of our generator as the triplet  $s = (k, u, \mathbf{A})$ , representing that the algorithm is currently processing the  $k^{\text{th}}$  **FILL**, in the iteration (the **repeat** loop of Algorithm 4) with value  $u$ , and have generated  $\mathbf{A}$  so far. Let  $t_{\mathbf{A}}$  denote the *terminal state* after processing all queries and having generated the graph  $G_{\mathbf{A}}$  represented by  $\mathbf{A}$ . We note that  $\mathbf{A}$  is used here in the analysis but not explicitly maintained; further, it reflects the changes in every iteration: as  $u$  is updated during each iteration of **FILL**, the cells  $\mathbf{A}[v][u'] = \phi$  for  $u' < u$  (within that bucket) that has been skipped are also updated to 0.

Let  $\mathcal{S}$  denote the set of all (internal and terminal) states. For each state  $s$ , the generator samples  $u$  from the corresponding  $F'(v, a, b)$  where  $\|F(v, a, b) - F'(v, a, b)\|_1 \leq \epsilon = \frac{1}{\text{poly}(n)}$ , then moves to a new state according to  $u$ . In other words, there is an induced pair of collection of distributions over the states:  $(\mathcal{T}, \mathcal{T}')$  where  $\mathcal{T} = \{T_s\}_{s \in \mathcal{S}}$ ,  $\mathcal{T}' = \{T'_s\}_{s \in \mathcal{S}}$ , such that  $T_s(s')$  and  $T'_s(s')$  denote the probability that the algorithm advances from  $s$  to  $s'$  by using a sample from the correct  $F(v, a, b)$  and from the approximated  $F'(v, a, b)$ , respectively. Consequently,  $\|T_s - T'_s\|_1 \leq \epsilon$  for every  $s \in \mathcal{S}$ .

The generator begins with the initial (internal) state  $s_0 = (1, 0, \mathbf{A}_\phi)$  where all cells of  $\mathbf{A}_\phi$  are  $\phi$ 's, goes through at most  $S = O(n^3)$  other states (as there are up to  $n^2$  values of  $k$  and  $O(n)$  values of  $u$ ), and reach some terminal state  $t_{\mathbf{A}}$ , generating the entire graph in the process. Let  $\pi = \langle s_0^\pi = s_0, s_1^\pi, \dots, s_{\ell(\pi)}^\pi = t_{\mathbf{A}} \rangle$  for some  $\mathbf{A}$  denote a sequence (“path”) of up to  $S + 1$  states the algorithm proceeds through, where  $\ell(\pi)$  denote the number of transitions it undergoes. For simplicity, let  $T_{t_{\mathbf{A}}}(t_{\mathbf{A}}) = 1$ , and  $T_{t_{\mathbf{A}}}(s) = 0$  for all state  $s \neq t_{\mathbf{A}}$ , so that the terminal state can be repeated and we may assume  $\ell(\pi) = S$  for every  $\pi$ . Then, for the correct transition probabilities described as  $\mathcal{T}$ , each  $\pi$  occurs with probability  $q(\pi) = \prod_{i=1}^S T_{s_{i-1}}(s_i)$ , and thus  $G(\mathbf{G}_{\mathbf{A}}) = \sum_{\pi: s_S^\pi = t_{\mathbf{A}}} q(\pi)$ .

Let  $\mathcal{T}^{\min} = \{\mathbf{T}_s^{\min}\}_{s \in \mathcal{S}}$  where  $\mathbf{T}_s^{\min}(s') = \min\{\mathbf{T}_s(s'), \mathbf{T}'_s(s')\}$ , and note that each  $\mathbf{T}_s^{\min}$  is not necessarily a probability distribution. Then,  $\sum_{s'} \mathbf{T}_s^{\min}(s') = 1 - \|\mathbf{T}_s - \mathbf{T}'_s\|_1 \geq 1 - \epsilon$ . Define  $q', q^{\min}, G'(\mathbf{G}_{\mathbf{A}}), G^{\min}(\mathbf{G}_{\mathbf{A}})$  analogously, and observe that  $q^{\min}(\pi) \leq \min\{q(\pi), q'(\pi)\}$  for every  $\pi$ , so  $G^{\min}(\mathbf{G}_{\mathbf{A}}) \leq \min\{G(\mathbf{G}_{\mathbf{A}}), G'(\mathbf{G}_{\mathbf{A}})\}$  for every  $\mathbf{G}_{\mathbf{A}}$  as well. In other words,  $q^{\min}(\pi)$  lower bounds the probability that the algorithm, drawing samples from the correct distributions or the approximated distributions, proceeds through states of  $\pi$ ; consequently,  $G^{\min}(\mathbf{G}_{\mathbf{A}})$  lower bounds the probability that the algorithm generates the graph  $\mathbf{G}_{\mathbf{A}}$ .

Next, consider the probability that the algorithm proceeds through the prefix  $\pi_i = \langle s_0^\pi, \dots, s_i^\pi \rangle$  of  $\pi$ . Observe that for  $i \geq 1$ ,

$$\begin{aligned} \sum_{\pi} q^{\min}(\pi_i) &= \sum_{\pi} q^{\min}(\pi_{i-1}) \cdot \mathbf{T}_{s_{i-1}^\pi}^{\min}(s_i^\pi) = \sum_{s, s'} \sum_{\pi: s_{i-1}^\pi = s, s_i^\pi = s'} q^{\min}(\pi_{i-1}) \cdot \mathbf{T}_s^{\min}(s') \\ &= \sum_{s'} \mathbf{T}_s^{\min}(s') \cdot \sum_s \sum_{\pi: s_{i-1}^\pi = s} q^{\min}(\pi_{i-1}) \geq (1 - \epsilon) \sum_{\pi} q^{\min}(\pi_{i-1}). \end{aligned}$$

Roughly speaking, at least a factor of  $1 - \epsilon$  of the “agreement” between the distributions over states according to  $\mathcal{T}$  and  $\mathcal{T}'$  is necessarily conserved after a single sampling process. As  $\sum_{\pi} q^{\min}(\pi_0) = 1$  because the algorithm begins with  $s_0 = (1, 0, \mathbf{A}_\phi)$ , by an inductive argument we have  $\sum_{\pi} q^{\min}(\pi) = \sum_{\pi} q^{\min}(\pi_S) \geq (1 - \epsilon)^S \geq 1 - \epsilon S$ . Hence,  $\sum_{\mathbf{G}_{\mathbf{A}}} \min\{G(\mathbf{G}_{\mathbf{A}}), G'(\mathbf{G}_{\mathbf{A}})\} \geq \sum_{\mathbf{G}_{\mathbf{A}}} G^{\min}(\mathbf{G}_{\mathbf{A}}) \geq 1 - \epsilon S$ , implying that  $\|\mathbf{G} - \mathbf{G}'\|_1 \leq \epsilon S$ , as desired. In particular, by substituting  $\epsilon = \frac{1}{\text{poly}(n)}$  and  $S = O(n^3)$ , we have shown that Algorithm 4 only creates a  $\frac{1}{\text{poly}(n)}$  error in the  $L_1$ -distance.  $\square$

We remark that **RANDOM-NEIGHBOR** queries also require that the returned edge is drawn from a distribution that is close to a uniform one, but this requirement applies only *per query* rather than over the entire execution of the generator. Hence, the error due to the selection of a random neighbor may be handled separately from the error for generating the random graph; its guarantee follows straightforwardly from a similar analysis.

## 6 Applications to Erdős-Rényi Model and Stochastic Block Model

In this section we demonstrate the application of our techniques to two well known, and widely studied models of random graphs. That is, as required by Theorem 14, we must provide a method for computing the quantities  $\prod_{u=a}^b (1 - p_{v,u})$  and  $\sum_{u=a}^b p_{v,u}$  of the desired random graph families in logarithmic time, space and random bits. Our first implementation focuses on the well known Erdős-Rényi model –  $G(n, p)$ : in this case,  $p_{v,u} = p$  is uniform and our quantities admit closed-form formulas.

Next, we focus on the Stochastic Block model with randomly-assigned communities. Our implementation assigns each vertex to a community in  $\{C_1, \dots, C_r\}$  identically and independently at random, according to some given distribution  $\mathbf{R}$  over the communities. We

formulate a method of sampling community assignments locally. This essentially allows us to sample from the *multivariate hypergeometric distribution*, using  $\text{poly}(\log n)$  random bits, which may be of independent interest. We remark that, as our first step, we sample for the number of vertices of each community. That is, our construction can alternatively support the community assignment where the number of vertices of each community is given, under the assumption that the *partition* of the vertex set into communities is chosen uniformly at random.

## 6.1 Erdős-Rényi Model

As  $p_{v,u} = p$  for all edges  $\{u, v\}$  in the Erdős-Rényi  $G(n, p)$  model, we have the closed-form formulas  $\prod_{u=a}^b (1 - p_{v,u}) = (1 - p)^{b-a+1}$  and  $\sum_{u=a}^b p_{v,u} = (b - a + 1)p$ , which can be computed in constant time according to our assumption, yielding the following corollary.

► **Corollary 16.** *The final algorithm in Section 5 locally generates a random graph from the Erdős-Rényi  $G(n, p)$  model using  $\mathcal{O}(\log^3 n)$  time,  $\mathcal{O}(\log^2 n)$  random  $N$ -bit words, and  $\mathcal{O}(\log^2 n)$  additional space per query with high probability.*

We remark that there exists an alternative approach that picks  $F \sim F(v, a, b)$  directly via a closed-form formula  $a + \lceil \frac{\log U}{\log(1-p)} \rceil$  where  $U$  is drawn uniformly from  $[0, 1)$ , rather than binary-searching for  $U$  in its CDF. Such an approach may save some  $\text{poly}(\log n)$  factors in the resources, given the prefect-precision arithmetic assumption. This usage of the log function requires  $\Omega(n)$ -bit precision, which is not applicable to our computation model.

While we are able to generate our random graph on-the-fly supporting all three types of queries, our construction still only requires  $\mathcal{O}(m + n)$  space ( $N$ -bit words) in total at any state; that is, we keep  $\mathcal{O}(n)$  words for **last**,  $\mathcal{O}(1)$  words per neighbor in  $P_v$ 's, and one marking bit for each bucket (where there can be up to  $m + n$  buckets in total). Hence, our memory usage is nearly optimal for the  $G(n, p)$  model:

► **Corollary 17.** *The final algorithm in Section 5 can generate a complete random graph from the Erdős-Rényi  $G(n, p)$  model using overall  $\tilde{\mathcal{O}}(n + m)$  time, random bits and space, which is  $\tilde{\mathcal{O}}(pn^2)$  in expectation. This is optimal up to  $\mathcal{O}(\text{poly}(\log n))$  factors.*

## 6.2 Stochastic Block model

For the Stochastic Block model, each vertex is assigned to some community  $C_i$ ,  $i \in [r]$ . By partitioning the product by communities, we may rewrite the desired formulas, for  $v \in C_i$ , as  $\prod_{u=a}^b (1 - p_{v,u}) = \prod_{j=1}^r (1 - p_{i,j})^{|[a,b] \cap C_j|}$  and  $\sum_{u=a}^b p_{v,u} = \sum_{j=1}^r |[a,b] \cap C_j| \cdot p_{i,j}$ . Thus, it is sufficient to design a data structure, or a *generator*, that draws a community assignment for the vertex set according to the given distribution  $R$ . This data structure should be able to efficiently count the number of occurrences of vertices of each community in any contiguous range, namely the value  $|[a,b] \cap C_j|$  for each  $j \in [r]$ . To this end, we use the following lemma, yielding the generator for the Stochastic Block model that uses  $\mathcal{O}(r \text{poly}(\log n))$  resources per query.

► **Theorem 18.** *There exists a data structure (generator) that samples a community for each vertex independently at random from  $R$  with  $\frac{1}{\text{poly}(n)}$  error in the  $L_1$ -distance, and supports queries that ask for the number of occurrences of vertices of each community in any contiguous range, using  $\mathcal{O}(r \text{poly}(\log n))$  time, random  $N$ -bit words and additional space per query. Further, this data structure may be implemented in such a way that requires no overhead for initialization.*



► **Corollary 19.** *The final algorithm in Section 5 generates a random graph from the Stochastic Block model with randomly-assigned communities using  $O(r \text{ poly}(\log n))$  time, random  $N$ -bit words, and additional space per query with high probability.*

Update

We provide the full details of the construction in the following Section 4.1. Our construction extends upon a similar generator in the work of [GGN10] which only supports  $r = 2$ . Our overall data structure is a balanced binary tree, where the root corresponds to the entire range of indices  $\{1, \dots, n\}$ , and the children of each vertex corresponds to each half of the parent's range. Each node<sup>7</sup> holds the number of vertices of each community in its range. The tree initially contains only the root, with the number of vertices of each community sampled according to the multinomial distribution<sup>8</sup> (for  $n$  samples (vertices) from the probability distribution  $R$ ). The children are only generated top-down on an as-needed basis according to the given queries. The technical difficulties arise when generating the children, where one needs to sample "half" of the counts of the parent from the correct marginal distribution. To this end, we show how to sample such a count as described in the statement below. Namely, we provide an algorithm for sampling from the *multivariate hypergeometric distribution*.

Link back to previous section

## 7 Local-Access Generators for Random Directed Graphs

In this section, we consider Kleinberg's Small-World model [Kle00, MN04] where the probability that a *directed* edge  $(u, v)$  exists is  $\min\{c/(\text{DIST}(u, v))^2, 1\}$ . Here,  $\text{DIST}(u, v)$  is the Manhattan distance between  $u$  and  $v$  on a  $\sqrt{n} \times \sqrt{n}$  grid. We begin with the case where  $c = 1$ , then generalize to different values of  $c = \log^{\pm\Theta(1)}(n)$ . We aim to support **ALL-NEIGHBORS** queries using  $\text{poly}(\log n)$  resources. This returns the entire list of out-neighbors of  $v$ .

### 7.1 Generator for $c = 1$

Observe that since the graphs we consider here are directed, the answers to the **ALL-NEIGHBOR** queries are all independent: each vertex may determine its out-neighbors independently. Given a vertex  $v$ , we consider a partition of all the other vertices of the graph into sets  $\{\Gamma_1^v, \Gamma_2^v, \dots\}$  by distance:  $\Gamma_k^v = \{u : \text{DIST}(v, u) = k\}$  contains all vertices at a distance  $k$  from vertex  $v$ . Observe that  $|\Gamma_k^v| \leq 4k = O(k)$ . Then, the expected number of edges from  $v$  to vertices in  $\Gamma_k^v$  is therefore  $|\Gamma_k^v| \cdot 1/k^2 = O(1/k)$ . Hence, the expected degree of  $v$  is at most  $\sum_{k=1}^{2(\sqrt{n}-1)} O(1/k) = O(\log n)$ . It is straightforward to verify that this bound holds with high probability (use Hoeffding's inequality). Since the degree of  $v$  is small, in this model we can afford to perform **ALL-NEIGHBORS** queries instead of **NEXT-NEIGHBOR** queries using an additional  $\text{poly}(\log n)$  resources.

Nonetheless, internally in our generator, we sample for our neighbors one-by-one similarly to how we process **NEXT-NEIGHBOR** queries. We perform our sampling in two phases. In the first phase, we sample a distance  $d$ , such that the next neighbor closest to  $v$  is at distance  $d$ . We maintain  $\text{last}[v]$  to be the last sampled distance. In the second phase, we sample all neighbors of  $v$  at distance  $d$ , under the assumption that there must be at least one such neighbor. For simplicity, we sample these neighbors as if there are *full*  $4d$  vertices at distance  $d$  from  $v$ : some sampled neighbors may lie outside our  $\sqrt{n} \times \sqrt{n}$  grid, which are

<sup>7</sup> For clarity, "vertex" is only used in the generated graph, and "node" is only used in the internal data structures of the generator.

<sup>8</sup> See e.g., section 3.4.1 of [Knu97]



simply discarded. As the running time of our generator is proportional to the number of generated neighbors, then by the bound on the number of neighbors, this assumption does not asymptotically worsen the performance of the generator.

### 7.1.1 Phase 1: Sample the distance $D$

Let  $a = \text{last}[v] + 1$ , and let  $D(a)$  to denote the probability distribution of the distance where the next closest neighbor of  $v$  is located, or  $\perp$  if there is no neighbor at distance at most  $2(\sqrt{n}-1)$ . That is, if  $D \sim D(a)$  is drawn, then we proceed to Phase 2 to sample all neighbors at distance  $D$ . We repeat the process by sampling the next distance from  $D(a+D)$  and so on until we obtain  $\perp$ , at which point we return our answers and terminate.

To sample the next distance, we perform a binary search: we must evaluate the CDF of  $D(a)$ . The CDF is given by  $\mathbb{P}[D \leq d]$  where  $D \sim D(a)$ , the probability that there is *some* neighbor at distance at most  $d$ . As usual, we compute the probability of the negation: there is *no* neighbor at distance at most  $d$ . Recall that each distance  $i$  has exactly  $|\Gamma_i^v| = 4i$  vertices, and the probability of a vertex  $u \in \Gamma_i^v$  is not a neighbor is exactly  $1 - 1/i^2$ . So, the probability that there is no neighbor at distance  $i$  is  $(1 - 1/i^2)^{4i}$ . Thus, for  $D \sim D(a)$  and  $d \leq 2(\sqrt{n}-1)$ ,

$$\mathbb{P}[D \leq d] = 1 - \prod_{i=a}^d \left(1 - \frac{1}{i^2}\right) = 1 - \prod_{i=a}^d \left(\frac{(i-1)(i+1)}{i^2}\right)^{4i} = 1 - \left(\frac{(a-1)^a}{a^{a-1}} \cdot \frac{(d+1)^d}{d^{d+1}}\right)^4$$

where the product enjoys telescoping as the denominator  $(i^2)^{4i}$  cancels with  $(i^2)^{4(i-1)}$  and  $(i^2)^{4(i+1)}$  in the numerators of the previous and the next term, respectively. This gives us a closed form for the CDF, which we can compute with  $2^{-N}$  additive error in constant time (by our computation model assumption). Thus, we may sample for the distance  $D \sim D(a)$  with  $O(\log n)$  time and one random  $N$ -bit word.

### 7.1.2 Phase 2: Sampling neighbors at distance $D$

After sampling a distance  $D$ , we now have to sample all the neighbors at distance  $D$ . We label the vertices in  $\Gamma_D^v$  with unique indices in  $\{1, \dots, 4D\}$ . Note that now each of the  $4D$  vertices in  $\Gamma_D^v$  is a neighbor with probability  $1/D^2$ . However, by Phase 1, this is conditioned on the fact that there is at least one neighbor among the vertices in  $\Gamma_D^v$ , which may be difficult to sample when  $1/D^2$  is very small. We can emulate this naïvely by repeatedly sampling a “block”, composing of the  $4D$  vertices in  $\Gamma_D^v$ , by deciding whether each vertex is a neighbor of  $v$  with uniform probability  $1/D^2$  (i.e.,  $4D$  identical independent Bernoulli trials), and then discarding the entire block if it contains no neighbor. We repeat this process until we finally sample one block that contains at least one neighbor, and use this block as our output.

For the purpose of making the sampling process more efficient, we view this process differently. Let us imagine that we are given an infinite sequence of independent Bernoulli variables, each with bias  $1/D^2$ . We then divide the sequence into contiguous blocks of length  $4D$  each. Our task is to find the *first* occurrence of success (a neighbor), then report the whole block hosting this variable.

This first occurrence of a successful Bernoulli trial is given by sampling from the geometric distribution,  $X \sim \text{Geo}(1/D^2)$ . Since the vertices in each block are labeled by  $1, \dots, 4D$ , then this first occurrence has label  $X' = X \bmod 4D$ . By sampling  $X \sim \text{Geo}(1/D^2)$ , the first  $X'$  Bernoulli variables of this block is also implicitly determined. Namely, the vertices of labels

$1, \dots, X' - 1$  are non-neighbors, and that of label  $X'$  is a neighbor. The sampling for the remaining  $4D - X'$  vertices can then be performed in the same fashion we sample for next neighbors in the  $G(n, p)$  case: repeatedly find the next neighbor by sampling from  $\text{Geo}(1/D^2)$ , until the index of the next neighbor falls beyond this block.

Thus at this point, we have sampled all neighbors in  $\Gamma_D^v$ . We can then update  $\text{last}[v] \leftarrow D$  and continue the process of larger distances. Sampling each neighbor takes  $O(\log n)$  time and one random  $N$ -bit word; the resources spent sampling the distances is also bounded by that of the neighbors. As there are  $O(\log n)$  neighbors with high probability, we obtain the following theorem.

► **Theorem 20.** *There exists an algorithm that generates a random graph from Kleinberg's Small World model, where probability of including each directed edge  $(u, v)$  in the graph is  $1/(\text{DIST}(u, v))^2$  where  $\text{DIST}$  denote the Manhattan distance, using  $O(\log^2 n)$  time and random  $N$ -bit words per **ALL-NEIGHBORS** query with high probability.*

## 7.2 Generator for $c \neq 1$

Observe that to support different values of  $c$  in the probability function  $c/(\text{DIST}(u, v))^2$ , we do not have a closed-form formula for computing the CDF for Phase 1, whereas the process for Phase 2 remains unchanged. To handle the change in the probability distribution Phase 1, we consider the following, more general problem. Suppose that we have a process  $P$  that, one-by-one, provide occurrences of successes from the sequence of independent Bernoulli trials with success probabilities  $\langle p_1, p_2, \dots \rangle$ . We show how to construct a process  $\mathcal{P}^c$  that provide occurrences of successes from Bernoulli trials with success probabilities  $\langle c \cdot p_1, c \cdot p_2, \dots \rangle$  (truncated down to 1 as needed). For our application, we assume that  $c$  is given in  $N$ -bit precision, there are  $O(n)$  Bernoulli trials, and we aim for an error of  $\frac{1}{\text{poly}(n)}$  in the  $L_1$ -distance.

### 7.2.1 Case $c < 1$

We use rejection sampling in order to construct a new Bernoulli process.

► **Lemma 21.** *Given a process  $\mathcal{P}$  outputting the indices of successful Bernoulli trials with bias  $\langle p_i \rangle$ , there exists a process  $\mathcal{P}^c$  outputting the indices of successful Bernoulli trials with bias  $\langle c \cdot p_i \rangle$  where  $c < 1$ , using one additional  $N$ -bit word overhead for each answer of  $\mathcal{P}$ .*

**Proof.** Consider the following rejection sampling process to generating the Bernoulli trials. In addition to each Bernoulli variable  $X_i$  with bias  $p_i$ , we sample another coin-flip  $C_i$  with bias  $c$ . Set  $Y_i = X_i \cdot C_i$ , then  $\mathbb{P}[Y_i = 1] = \mathbb{P}[X_i = 1] \cdot \mathbb{P}[C_i] = c \cdot p_i$ , as desired. That is, we keep a success of a Bernoulli trial with probability  $c$ , or reject it with probability  $1 - c$ .

Now, we are already given the process  $\mathcal{P}$  that “handles”  $X_i$ 's, generating a sequence of indices  $i$  with  $X_i = 1$ . The new process  $\mathcal{P}^c$  then only needs to handle the  $C_i$ 's. Namely, for each  $i$  reported as success by  $\mathcal{P}$ ,  $\mathcal{P}^c$  flips a coin  $C_i$  to see if it should also report  $i$ , or discard it. As a result,  $\mathcal{P}^c$  can generate the indices of successful Bernoulli trials using only one random  $N$ -bit word overhead for each answer from  $\mathcal{P}$ .  $\square$

Applying this reduction to the distance sampling in Phase 1, we obtain the following corollary.

► **Corollary 22.** *There exists an algorithm that generates a random graph from Kleinberg's Small World model with edge probabilities  $c/(\text{DIST}(u, v))^2$  where  $c < 1$ , using  $O(\log^2 n)$  time and random  $N$ -bit words per **ALL-NEIGHBORS** query with high probability.*

### 7.2.2 Case $c > 1$

Since we aim to sample with larger probabilities, we instead consider making  $k \cdot c$  independent copies of each process  $\mathcal{P}$ , where  $k > 1$  is a positive integer. Intuitively, we hope that the probability that one of these process returns an index  $i$  will be at least  $c \cdot p_i$ , so that we may perform rejection sampling to decide whether to keep  $i$  or not. Unfortunately such a process cannot handle the case where  $c \cdot p_i$  is large, notably when  $c \cdot p_i > 1$  is truncated down to 1, while there is always a possibility that none of the processes return  $i$ .

► **Lemma 23.** *Let  $k > 1$  be a constant integer. Given a process  $\mathcal{P}$  outputting the indices of successful Bernoulli trials with bias  $\langle p_i \rangle$ , there exists a process  $\mathcal{P}^c$  outputting the indices of successful Bernoulli trials with bias  $\langle \min\{c \cdot p_i, 1\} \rangle$  where  $c > 1$  and  $c \cdot p_i \leq 1 - \frac{1}{k}$  for every  $i$ , using one additional  $N$ -bit word overhead for each answer of  $k \cdot c$  independent copies of  $\mathcal{P}$ .*

**Proof.** By applying the following form of Bernoulli's inequality, we have

$$(1 - p_i)^{k \cdot c} \leq 1 - \frac{k \cdot c \cdot p_i}{1 + (k \cdot c - 1) \cdot p_i} = 1 - \frac{k \cdot c \cdot p_i}{1 + k \cdot c \cdot p_i - p_i} \leq 1 - \frac{k \cdot c \cdot p_i}{1 + (k - 1)} = 1 - c \cdot p_i$$

That is, the probability that at least one of the generators report an index  $i$  is  $1 - (1 - p_i)^{k \cdot c} \geq c \cdot p_i$ , as required. Then, the process  $\mathcal{P}^c$  simply reports  $i$  with probability  $(c \cdot p_i) / (1 - (1 - p_i)^{k \cdot c})$  or discard  $i$  otherwise. Again, we only require  $N$ -bit of precision for each computation, and thus one random  $N$ -bit word suffices.  $\square$

In Phase 1, we may apply this reduction only when the condition  $c \cdot p_i \leq 1 - \frac{1}{k}$  is satisfied. For lower value of  $p_i = 1/D^2$ , namely for distance  $D < \sqrt{c/(1 - 1/k)} = O(\sqrt{c})$ , we may afford to sample the Bernoulli trials one-by-one as  $c$  is  $\text{poly}(\log n)$ . We also note that the degree of each vertex is clearly bounded by  $O(\log n)$  with high probability, as its expectation is scaled up by at most a factor of  $c$ . Thus, we obtain the following corollary.

► **Corollary 24.** *There exists an algorithm that generates a random graph from Kleinberg's Small World model with edge probabilities  $c/(\text{DIST}(u, v))^2$  where  $c = \text{poly}(\log n)$ , using  $O(\log^2 n)$  time and random  $N$ -bit words per **ALL-NEIGHBORS** query with high probability.*

## 8 Permutations

### Queries

In addition to a table (dictionary) containing all assigned values  $\pi(i)$ , we maintain the following binary trees, whose nodes are generated on-the-fly in response to queries.

$T_1$ : Each node of  $T_1$  corresponds to a specific range of indices, where the root represents the entire range  $\{1, \dots, N\}$ , and its two children represents each (approximately) half of the parent's range. Each node counts the indices  $i$  in the range, such that  $\pi(i) = \perp$ . Initially  $T_1$  only contains the root node, and the number of unassigned indices are  $N$ . The children are only generated when we need to traverse down from the root; as these nodes are generated, all of the indices in their ranges are unassigned. Once an index becomes assigned, we simply update the information along the path in  $O(\log n)$  time.

$T_2$ :  $T_2$  is similar to  $T_1$  but instead of maintaining the number of indices in the range that are still unassigned, it maintains the number of values in the range that are still unused (have not been assigned to an index). Similarly, we may sample an unused value or mark it as used within  $O(\log n)$  time.

To compute  $\pi(i)$ , first we check the table for  $\pi(i)$  and return its value if  $\pi(i) \neq \perp$ . Otherwise, sample an unused value  $j$  from  $T_2$  and mark that value as used. Add  $\pi(i) = j$  to the table, and mark index  $i$  as used on  $T_1$ .

If we wish to support  $\pi^{-1}(i)$ , then also store the table of  $\pi^{-1}(i)$ . To assign  $\pi^{-1}(i)$ , sample an unassigned index  $j$  from  $T_1$  then mark it as assigned, add  $\pi(j) = i$  and  $\pi^{-1}(i) = j$  to the table, and mark the value  $i$  as used on  $T_2$ .

### 8.1 Generating Permutations with given Cyclic Structure

We will use the technique from [NR02] to locally generate a random permutation with a given cyclic structure. This algorithm uses two permutations  $\pi$  and  $\sigma$ , where  $\pi$  is a uniformly random permutation and  $\sigma$  is a fixed permutation with the given structure. The resulting random permutation is formed by the composition  $\pi^{-1}(\sigma(\pi(\cdot)))$ . We will generate the permutation  $\pi$  as described in the previous section.;

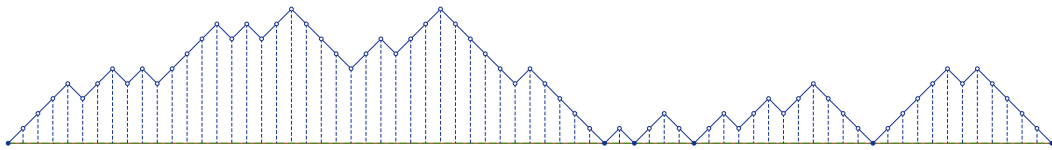
We receive as input a list of cycle sizes  $\{c_1, c_2, \dots, c_k\}$  with the restriction that  $\sum c_i = n$ . Now we need to locally generate the permutation  $\sigma$  with the prescribed structure. Define the indices  $C_j = \sum_{i=1}^j c_i$  with  $C_0 = 0$ . We will construct the cycle corresponding to  $c_i$  as all the elements in the interval  $\{c_{i-1} + 1, c_{i-1} + 2, \dots, c_i\}$ .

Of course we will not be computing  $\sigma$  explicitly. Instead, we will pre-compute the  $C_j$  indices, and when given a query  $\sigma(x)$ , we binary search amongst  $C_j$  to find the cycle that  $x$  belongs to. Then we can report the value of  $\sigma(x)$  accordingly.

So, we can now compose the generator oracles for  $\pi$ ,  $\sigma$ , and  $\pi^{-1}$  to get the full generator.

## 9 Sampling Catalan Objects

Earlier, we were interested in querying the following random object. In a random permutation of  $n$  white marbles and  $n$  black marbles, how many white marbles are present in the first  $k$  positions. As we have seen before, [GGN10] gives us a method of sampling from this (hypergeometric) distribution. In constructing a generator for the Stochastic Block model, we generalized this by adding more colors (multivariate hypergeometric distribution). We also took this to the extreme where all marbles are distinguishable (i.e. a random permutation), and saw that this could also be implemented efficiently. Now we focus on a more challenging variant of this question with more complicated conditional dependences among the placement of the marbles.



■ **Figure 1** Simple Dyck path with  $n = 35$ .

We consider a sequence of  $n$  white and  $n$  black marbles such that every prefix of the sequence has at least as many white marbles as black ones. The number of such sequences is the  $n^{\text{th}}$  Catalan number  $C_n$ . Many important combinatorial objects occur in Catalan families. Dyck paths are one such interpretation of the Catalan numbers that fits in nicely with our setup of white and black marbles. A Dyck path is constructed as a  $2n$  step one-dimensional walk with exactly  $n$  up and down steps. In Figure 1, each step in the walk moves one unit along the positive  $x$ -axis and one unit up or down the positive  $y$ -axis. Given these restrictions, we would obtain a 1D random walk pinned to zero on both sides. A Dyck path also has a restriction that the  $y$ -coordinate of any point on the random walk is  $\geq 0$  i.e.

Computing  $p_d$  values. Mention that this is imperfect sampling (close impl.)

Thresholding.

Mention that this is imperfect sampling (close impl.)

Fix  $\sqrt{B \log n}$  everywhere.

we have?

Connect with previous part on SBM

the walk never crosses the  $x$ -axis. The number of possible Dyck paths (see Theorem 57) is the  $n^{\text{th}}$  Catalan number  $C_n = \frac{1}{n+1} \cdot \binom{2n}{n}$ .

We will attempt to support queries to a uniformly random instance of a Dyck path. Specifically, we will want to answer the following queries:

- **HEIGHT**( $i$ ): Returns the position of the path after  $i$  steps
- **FIRST-RETURN**( $i$ ): Returns an index  $j > i$  such that **HEIGHT**( $j$ ) = **HEIGHT**( $i$ ) and for any  $k$  between  $i$  and  $j$ , **HEIGHT**( $k$ ) is strictly greater than **HEIGHT**( $i$ ).

The **HEIGHT** query seems natural for Dyck paths, but the **FIRST-RETURN** query is important in exploring other Catalan objects. For instance, consider a random well bracketed expression i.e. a uniform distribution over the Dyck language. There is a trivial bijection between Dyck paths and words in this language. The **HEIGHT** query corresponds to asking for the nesting depth at a certain position in the word, and **FIRST-RETURN**( $i$ ) returns the position of the matching bracket for position  $i$ .

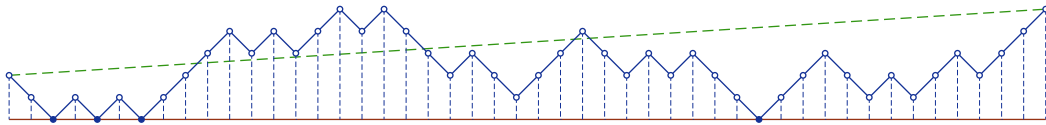
There is also a natural bijection between Dyck paths and rooted ordered trees by letting the path be a transcript of the DFS traversal of a tree. Starting with the root, for each up step we create a new child of the current node, and for each down step, we backtrack up the tree. Here, the **HEIGHT** query returns the depth of a node and the **FIRST-RETURN** query can be used to find the *next child* of a node. Moving forwards, we will focus on Dyck paths for the sake of clarity.

Figure? Degree queries by repeated application.

## 9.1 Catalan Trapezoids and Generalized Dyck Paths

In order to sample Dyck paths locally, we will need to analyze more general Catalan objects which correspond to numbers in the *Catalan Trapezoid* (presented in [Reu14]). Let  $C_k(n, m)$  be the  $(n, m)^{\text{th}}$  entry of the Catalan trapezoid of order  $k$ , where  $C_1(n, m)$  corresponds to the Catalan triangle. We can interpret  $C_k(n, m)$  as the number of *generalized* Dyck paths. Specifically, we consider a sequence of  $n$  up-steps and  $m$  down-steps, such that the sum of any initial sub-string is not less than  $1 - k$ . This means that we start our Dyck path at a height of  $k - 1$ , and we are never allowed to cross below zero (Figure 2). The total number of such paths is exactly  $C_k(n, m)$ . For  $k = 1$  and  $n = m$ , we obtain the definition of the simple Dyck path (Figure 1). Now, we state a result from [Reu14] without proof

$$C_k(n, m) = \begin{cases} \binom{n+m}{m} & 0 \leq m < k \\ \binom{n+m}{m} - \binom{n+m}{m-k} & k \leq m \leq n+k-1 \\ 0 & m > n+k-1 \end{cases}$$



■ **Figure 2** Complex Dyck path with  $n = 25$ ,  $m = 22$  and  $k = 3$ . Notice that the boundary is shifted.

## 9.2 Sampling the Height

Our general recursive step is as follows. We consider a random sequence of length  $2B$  comprising of  $2U$  up steps (+1) and  $2D$  down steps (−1) where the sum of any prefix

cannot be less than  $k - 1$ . Without loss of generality, let's assume that  $2D \leq B$ . If this were not the case, we could simply flip the sequence and negate the elements. This essentially means that the overall Dyck path is non-decreasing.

We want to sample the height of this path after  $B$  steps. This is the same as sampling the number of  $(+1)$ s that get assigned to the first half of the elements in the sequence. We define  $p_d$  as the probability that exactly  $D - d$   $(-1)$ s get assigned to the first half. This means that exactly  $U + d$   $(+1)$ s get assigned to the first half. Consequently, the second half will contain exactly  $D + d$   $(-1)$ s and  $U - d$   $(+1)$ s.

What is  $d$  is negative?

Let us first compute this probability.

$$p_d = \frac{D_{left} \cdot D_{right}}{D_{tot}}$$

Here,  $D_{left}$  denotes the number of valid starting sequences (first half) and  $D_{right}$  denotes the number of valid ending sequences. Here, *valid* means that each half sequence gets the appropriate number of ups and downs and the initial sums never drop below  $1 - k$ . For,  $D_{right}$ , we will start the Dyck path from the end of the  $2B$  sequence. In this case the invalidation threshold will be a different  $k'$ . This  $k'$  is the final height of the  $2B$  sequence. So,  $k' = k + 2U - 2D = k + 4B - 2D$ . We will use this fact extensively moving forward.

Also,  $D_{tot}$  is the total number of possible sequences of length  $2B$ , given the initial conditions. Note that in this case the threshold remains at  $k$ .

Frequently?

We will use the following rejection sampling lemma from [GGN10].

► **Lemma 25.** Let  $\{p_i\}$  and  $\{q_i\}$  be distributions satisfying the following conditions

1. There is a poly-time algorithm to approximate  $p_i$  and  $q_i$  up to  $\pm n^{-2}$
2. Generating an index  $i$  according to  $q_i$  is closely implementable.
3. There exists a poly( $\log n$ )-time recognizable set  $B$  such that
  - $1 - \sum_{i \in B} p_i$  is negligible
  - There exists a constant  $c$  such that for every  $i$ , it holds that  $p_i \leq \log^{O(1)} n \cdot q_i$

Then, generating an index  $i$  according to the distribution  $\{p_i\}$  is closely-implementable.

We also use the following lemmas to bound the deviation of the path.

► **Lemma 26.** Consider a contiguous sub-path of a simple Dyck path of length  $2n$  where the sub-path is of length  $2B$  comprising of  $U$  up-steps and  $D$  down-steps (with  $U + D = 2B$ ). Then there exists a constant  $c$  such that the quantities  $|B - U|$ ,  $|B - D|$ , and  $|U - D|$  are all  $< c\sqrt{B \log n}$  with probability at least  $1 - 1/n^2$  for every possible sub-path.

► **Lemma 27.** There exists a constant  $c$  such that if  $k > c\sqrt{B \log n}$ , then the distribution of paths sampled without a boundary (hypergeometric sampling) is statistically  $O(1/n^2)$ -close in  $L_1$  distance to the distribution of Dyck paths.

### 9.2.1 The Simple Case: Far Boundary

By Lemma 27, the problem reduces to the hypergeometric sampling case when  $k > O(\sqrt{B \log n})$ . In this case, we can simply approximate the probability as

$$\frac{\binom{B}{D-d} \cdot \binom{B}{D+d}}{\binom{2B}{2D}}$$

This is because unconstrained random walks will not dip below the  $1 - k$  threshold with high probability. This problem was solved in [GGN10] using  $O(\text{poly}(\log n))$  resources.

### 9.2.2 Path Segments Close to Zero

The problem arises when we  $k = \mathcal{O}(\sqrt{B \log n})$ . In this case we need to compute the actual probability, Using the formula from [Reu14], we find that.

$$D_{left} = \binom{B}{D-d} - \binom{B}{D-d-k} \quad D_{right} = \binom{B}{U-d} - \binom{B}{U-d-k'} \quad D_{tot} = \binom{2B}{2D} - \binom{2B}{2D-k} \quad (1)$$

Here,  $k' = k + 2U - 2D$ , and so  $k' = \mathcal{O}(\sqrt{B \log n})$  (using Lemma 26).

The final distribution we wish to sample from is given by  $\{p_d\}_d$  where  $p_d = \frac{D_{left} \cdot D_{right}}{D_{tot}}$ . To achieve this, we will use Lemma 25 from [GGN10]. An important point to note is that in order to apply this lemma, we must be able to compute the  $p_d$  values. For now, we will assume that we have access to an oracle that will compute the value for us. Later, in Section , we will see how to construct such an oracle. Fix reference

Next, we will construct an appropriate  $\{q_i\}$  and show that  $p_d < \text{poly}(\log n) \cdot q_d$  for all  $|d| = \mathcal{O}(\sqrt{B \log n})$  which will allow us to invoke Lemma 25. Note that Lemma 26 implies that the probability mass associated with  $|d| > \Theta(\sqrt{B \log n})$  is negligible. In fact we will be able to use the hypergeometric distribution for  $q_d$ :

$$q_d = \frac{\binom{B}{D-d} \cdot \binom{B}{D+d}}{\binom{2B}{2D}} = \frac{\binom{B}{D-d} \cdot \binom{B}{U-d}}{\binom{2B}{2D}}$$

First, we consider the case where  $k \cdot k' \leq 2U + 1$ . In this case, we use loose bounds for  $D_{left} < \binom{B}{D-d}$  and  $D_{right} < \binom{B}{U-d}$ . We also use the following lemma (proven in Section D).

► **Lemma 28.** *When  $kk' > 2U + 1$ ,  $D_{tot} > \frac{1}{2} \cdot \binom{2B}{2D}$ .*

Combining the three bounds we obtain  $p_d < \frac{1}{2} q_d$ . Intuitively, in this case the Dyck boundary is far away, and therefore the number of possible paths is only a constant factor away from the number of unconstrained paths (see Section 9.2.1). The case where the boundaries are closer (i.e.  $k \cdot k' \leq 2U + 1$ ) is trickier, since the individual counts need not be close to the corresponding binomial counts. However, in this case we can still ensure that the sampling probability is within poly-logarithmic factors of the binomial sampling probability. We use the following lemmas (proven in Section D).

► **Lemma 29.**  *$D_{left} \leq c_1 \frac{k \cdot \log n}{\sqrt{B}} \cdot \binom{B}{D-d}$  for some constant  $c_1$ .*

► **Lemma 30.**  *$D_{right} < c_2 \frac{k' \cdot \log n}{\sqrt{B}} \cdot \binom{B}{U-d}$  for some constant  $c_2$ .*

► **Lemma 31.** *When  $kk' \leq 2U + 1$ ,  $D_{tot} < c_3 \frac{k \cdot k'}{B} \cdot \binom{2B}{2D}$  for some constant  $c_3$ .*

We can now put these lemmas together to show that  $p_d/q_d \leq \Theta(\log^2 n)$  and invoke Lemma 25 to sample the value of  $d$ . This gives us the height of the Dyck path at the midpoint of the two given points.

► **Theorem 32.** *Given two positions  $a$  and  $b$  (and the associated heights) in a Dyck path of length  $2n$  with the guarantee that no position between  $a$  and  $b$  has been sampled yet, there is an algorithm that returns the height of the path halfway between  $a$  and  $b$ . Moreover, this algorithm only uses  $\mathcal{O}(\text{poly}(\log n))$  resources.*



**Proof.** If  $b - a$  is even, we can set  $B = (b - a)/2$ . Otherwise, we first sample a single step from  $a$  to  $a + 1$ , and then set  $B = (b - a - 1)/2$ . Since there are only two possibilities for a single step, we can explicitly compute an approximation of the probabilities, and then sample accordingly. Now, if  $B > \Theta(\log^2 n)$  we can simply use the rejection sampling procedure described above to obtain a  $\mathcal{O}(\text{poly}(\log n))$  algorithm. Otherwise, we sample each step individually. Since there are only  $2B = \Theta(\log^2 n)$  steps, the sampling is still efficient.  $\square$

► **Theorem 33.** *There is an algorithm that provides sample access to a Dyck path of length  $2n$ , by answering queries of the form  $\text{HEIGHT}(x)$  with the correctly sampled height of the Dyck path at position  $x$  using only  $\mathcal{O}(\text{poly}(\log n))$  resources per query.*

**Proof.** The algorithm maintains a successor-predecessor data structure (e.g. Van Emde Boas tree) to store all positions  $x$  that have already been queried. Each newly queried position is added to this structure. Given a query  $\text{HEIGHT}(x)$ , the algorithm first finds the successor and predecessor (say  $a$  and  $b$ ) of  $x$  among the already queried positions. This provides us the guarantee required to apply Theorem 32, which allows us to query the height at the midpoint of  $a$  and  $b$ . We then binary search by updating either the successor or predecessor of  $x$ . Once the interval length becomes less than  $\Theta(\log^2 n)$ , we perform the full sampling (as in Theorem 32) which provides us the height at position  $x$ .  $\square$

### 9.3 Supporting “First Return” Queries

We might want to support more complex queries to a Dyck path. Specifically, in addition to querying the height of a position, we might want to know the next time the path return to that height (if at all). We introduce a new query  $\text{FIRST-RETURN}(x)$  which returns the first time the walk returns to  $\text{HEIGHT}(x)$  if the step from  $x$  to  $x + 1$  is an up-step.

The utility of this kind of query can be seen in other interpretations of Catalan objects. For instance, if we interpret it as a well bracketed expression,  $\text{FIRST-RETURN}(x)$  returns the position of the bracket matching the one started at  $x$ . If we consider a uniformly random rooted tree, the function effectively returns the next child of a vertex.

Explain why

We will use the following asymptotic formula for *close-to-central* binomial coefficients.

► **Lemma 34.** *If  $k = \frac{n \pm c\sqrt{n}}{2}$  where  $c = o(n^{1/6})$ , we can approximate  $\binom{n}{k}$  up to constant factors by the expression  $\frac{2^n}{\sqrt{n}} \cdot e^{-c^2/2}$ .*

We maintain a threshold  $\mathcal{T} = \Theta(\log^4 n)$ . If an un-sampled interval in the Dyck path has length less than  $\mathcal{T}$ , then we sample the entire interval. So, for intervals with length  $B > \mathcal{T}$ , the maximum deviations are bounded by  $\mathcal{O}(\sqrt{B \log n}) = \mathcal{O}(\log^{2.5} n)$  with high probability. This means that if we write the deviation as  $c\sqrt{n}$ , we see that  $c = \mathcal{O}(\sqrt{\log n})$  which is  $o(B^{1/6})$ .

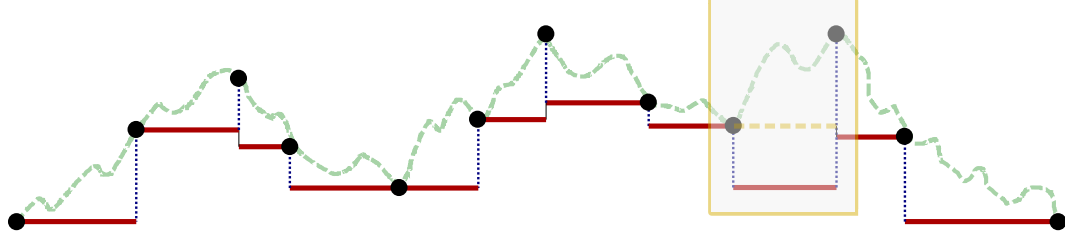
Why?

#### 9.3.1 Maintaining a Boundary Invariant

Consider all positions that have been queried already  $\langle x_1, x_2, \dots, x_m \rangle$  (in increasing order) along with their corresponding heights  $\langle h_1, h_2, \dots, h_m \rangle$ .

► **Proposition 35.** *For any two adjacent positions  $x_i, x_{i+1}$  whose heights have been sampled as  $h_i, h_{i+1}$ , the Dyck path between positions  $x_i$  and  $x_{i+1}$  is constrained to lie above  $\min(h_i, h_{i+1})$ .*





■ **Figure 3** Error in third segment.

It is not even clear that this is always possible. After sampling the height of a particular position  $x_i$  as  $h_i$  (with  $x_{i-1} < x_i < x_{i+1}$ ), the invariant is potentially broken on either side of  $x_i$ . We will re-establish the invariant by sampling an additional point on either side. This proceeds as follows for the interval between  $x_i$  and  $x_{i+1}$  (see error in Figure 3):

1. Sample the lowest height  $h$  achieved by the walk between  $x_i$  and  $x_{i+1}$ .
2. Sample a position  $x$  such that  $x_i < x < x_{i+1}$  and  $\text{HEIGHT}(x) = h$ .

Since  $h$  is the minimum height along this interval, sampling the point  $x$  suffices to preserve the invariant.

### 9.3.2 Sampling the Lowest Achievable Height in an Interval

For the first step, we need to sample the lowest height of the walk between  $x_i$  and  $x_{i+1}$ . Notice that we can assume  $x_i < x_{i+1}$  without loss of generality (if  $x_i > x_{i+1}$ , swap them and proceed). Let's say that the boundary is currently  $k' - 1$  units below  $h_i$ .

We know how to count the number of possible Dyck paths for any given boundary. Dividing by the total number of possible paths gives us precisely the CDF we need. This allows us to binary search to find the boundary.

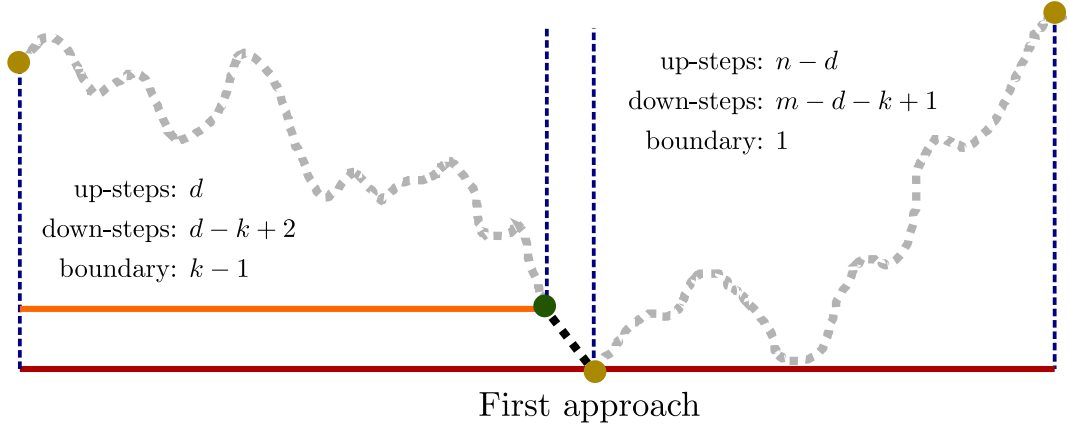
We will use  $D_k$  to denote the number of paths that respect a boundary which is  $k - 1$  units below  $h_i$ . So, in the first step, we compute  $p = D_{k'/2}/D_{k'}$ . This means that with probability  $p$ , the path never reaches height  $h_i - k'/2$ . Otherwise, the path must reach  $h_i - k'/2$  but not  $h_i - k'$ . Note that we can also calculate the total number of such paths as  $D_{k'} - D_{k'/2}$ . We repeat this procedure, essentially performing binary search, until we find a  $k$  such that the path reaches height  $h_i - k + 1$  (potentially multiple times), but never goes below it.

### 9.3.3 Sampling First Position that Touches the “Mandatory Boundary”

Now that we have a “mandatory boundary”  $k$ , we just need to sample a position  $x$  with height  $h = x_i - k + 1$ . In fact, we will do something stronger by sampling the *first* time the walk touches the boundary after  $x_i$ .

We will parameterize the position  $x$  the number of up-steps between  $x_i$  and  $x$  (See Figure 4). This quantity will be referred to as  $d$  such that  $x - x_{i+1} = 2d + k - 1$ . Given a specific  $d$ , we want to compute the number of valid paths that result in  $d$  up-steps before the first approach to the boundary. We will calculate this quantity by counting the total number of paths to the left and right of the first approach and multiplying them together.

Since we only care about getting an asymptotic (up to  $\text{poly}(\log n)$  factors) estimate of the probabilities, it suffices to estimate the number of paths asymptotically as well.



■ **Figure 4** Zooming into the error in Figure 3

► **Lemma 36.** If  $d > \log^4 n$ , then  $D_{left}(d) = \Theta\left(\frac{2^{2d+k}}{\sqrt{d}} e^{-r_{left}(d)} \cdot \frac{k-1}{d+k-1}\right)$  where  $r_{left}(d) = \frac{(k-2)^2}{2(2d+k-2)}$ .

Deal with  
smaller val-  
ues of  $d$

► **Lemma 37.** If  $U+D-2d-k > \log^4 n$ , then  $D_{right}(d) = \Theta\left(\frac{2^{U+D-2d-k}}{\sqrt{U+D-2d-k}} e^{-r_{right}(d)} \cdot \frac{U-D+k}{U-d+1}\right)$  where  $r_{right}(d) = \frac{(U-D-k-1)^2}{4(U+D-2d-k+1)}$ .

Deal with  
other values  
of  $d$

### 9.3.4 Estimating the CDF

► **Lemma 38.**  $D_{left}(d) \cdot D_{right}(d) = \Theta\left(\frac{2^{U+D}}{\sqrt{d(U+D-2d-k)}} e^{-r(d)} \cdot \frac{k-1}{d+k-1} \cdot \frac{U-D+k}{U-d+1}\right)$  where  $r(d) = \mathcal{O}(\log^2 n)$ .

**Proof.** This follows from the fact that both  $r_{left}(d)$  and  $r_{right}(d)$  are  $\mathcal{O}(\log^2 n)$ .  $\square$

► **Corollary 39.** The probability  $p_d$  of sampling  $d$  as the number of up-steps before the first approach to the boundary can be approximated as:

$$p_d = \Theta\left(\frac{2^{U+D} \cdot \frac{(k-1)(U-D+k)}{\sqrt{d(U+D-2d-k)(d+k-1)(U-d+1)}} \cdot e^{-\lfloor r(d) \rfloor}}{\binom{U+D}{U} - \binom{U+D}{D-k}}\right)$$

$D_{total}$  is not  
correct

This is because the floor function only affects the value of the exponential by a factor of at most  $e$ .

► **Corollary 40.** We define a piecewise continuous function

$$\hat{q}(d) = \frac{2^{U+D} \cdot \frac{(k-1)(U-D+k)}{\sqrt{d(U+D-2d-k)(d+k-1)(U-d+1)}} \cdot e^{-\lfloor r(d) \rfloor}}{\binom{U+D}{U} - \binom{U+D}{D-k}}$$

We claim that  $p_d = \Theta\left(\int_d^{d+1} \hat{q}(d)\right)$ . Note that this integral has a closed form for a fixed value of  $\lfloor r(d) \rfloor$ .

Let the maximum value of  $r(d)$  be  $r_{max} = \mathcal{O}(\log^2 n)$ .

► **Corollary 41.** *We can compute the approximate normalized probabilities*

$$q_d = \frac{\int_d^{d+1} \hat{q}(d)}{\int_1^n \hat{q}(d)}$$

such that  $p_d = \Theta(q_d)$ . Furthermore, we can also compute the CDF of  $q_d$  as:

$$Q_d = \frac{\int_1^d \hat{q}(d)}{\int_1^n \hat{q}(d)}$$

This allows us to sample from the distribution  $q_d$  and use Lemma 25 to indirectly sample from  $p_d$ .

Needs a theorem

► **Theorem 42.** *Kinesthetics*

### 9.3.5 Finding the Correct Interval: First-Return Query

As before, consider all positions that have been queried already  $\langle x_1, x_2, \dots, x_m \rangle$  (in increasing order) along with their corresponding heights  $\langle h_1, h_2, \dots, h_m \rangle$ .

► **Lemma 43.** *For any position  $x_i$ , assuming that Proposition 35 holds, we can find the interval  $(x_{k-1}, x_k]$  that contains  $\text{FIRST-RETURN}(x_i)$ . We do this by setting  $k$  to be either the smallest index  $f > i$  such that  $h_f \leq h_i$  or setting  $k = i + 1$ .*

**Proof.** We assume the contrary i.e. there exists some  $k \neq f$  and  $k \neq i + 1$  such that the correct interval is  $(x_{k-1}, x_k]$ . Since  $h_f < h_i$ , the position of first return to  $h_i$  happens in the range  $(x_i, x_f]$ . So, the only possibility is  $i + 1 < k \leq f - 1$ . By the definition of  $h_f$ , we know that both  $h_k$  and  $h_{k-1}$  are strictly larger than  $h_i$ . Proposition 35 implies that the boundary for this interval  $(h_{k-1}, h_k]$  is at  $\min(h_{k-1}, h_k) > h_i$ . So, it is not possible for the first return to be in this interval.  $\square$

The good news is that there are only two intervals that we need to worry about. Now the challenge is to find the smallest index  $f > i$  such that  $h_f \leq h_i$ . One solution is to maintain an interval tree over the range  $[2n]$  storing the position of the boundary. Specifically, we have a balanced binary tree with  $2n$  leaves with the  $i^{\text{th}}$  leaf storing the boundary at position  $i$ . Each internal node stores the minimum value amongst all the leaves in its sub-tree. In this setting, we can binary search for  $f$  by guessing a bound  $f'$  and performing a *range minimum query* over the interval  $(x_i, x_{f'}]$ . Overall, this requires  $\mathcal{O}(\log n)$  range queries each of which makes  $\mathcal{O}(\log n)$  probes to the binary tree.

cite

However, we cannot explicitly maintain or even construct this tree, and updates can be as expensive as  $\Theta(n)$ . To mitigate this, we start with just a root node (indicating that the initial boundary is 1 everywhere) and build the tree dynamically as needed. We perform updates using *lazy propagation* by only propagating updates down to the children (creating children if necessary) when needed. So, at any given time, some nodes in the tree may not hold the correct value, but the correct value must be present on the path to the root.

cite

This probably needs more explanation

► **Theorem 44.** *There is an algorithm using  $\mathcal{O}(\text{poly}(\log n))$  resources per query that provides sample access to a Dyck path of length  $2n$  by answering queries of the form **FIRST-RETURN**( $x_i$ ) with the correctly sampled position  $y$ ; where  $y > x_i$  is the position where the Dyck path first returns to **HEIGHT**( $x_i$ ) after position  $x_i$ .*

what?

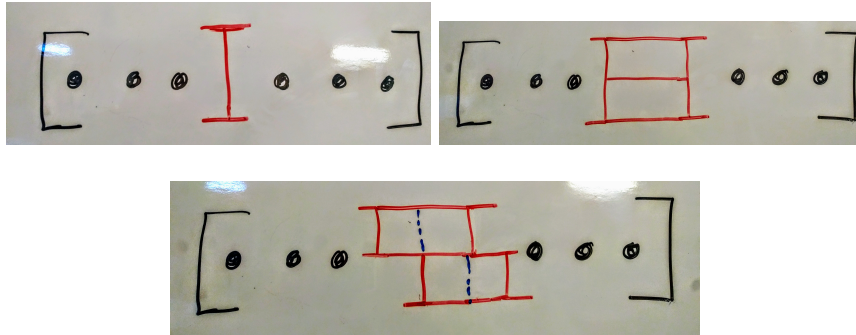
**Proof.** We first query the interval  $(x_i, x_{i+1}]$  to find a first return using Theorem 42. If a return is not found, we calculate  $f$  using . Since  $x_{f-1} < x_i \leq x_f$  by definition, the interval  $(x_{f-1}, x_f]$  must contain a position at height  $h_i$ . We sample a point in the middle of this interval and fix the boundary invariant by sampling another point, essentially breaking it up into  $\mathcal{O}(1)$  sub-intervals each at most half the size of the original. Based on the new samples, we find the sub-interval containing the first return in  $\mathcal{O}(1)$  time. We repeat up to  $\mathcal{O}(\log n)$  times until the current interval size drops below the threshold  $\mathcal{T}$ . Then we spend  $\tilde{\mathcal{O}}(\mathcal{T})$  time to brute force sample this interval and find the first return position (if it wasn't revealed in previous steps).  $\square$

## 10 Domino Tiling the $2 \times n$ grid

We will consider the problem of tiling a square grid with dominos. This problem has a long history and various important applications in statistical physics. Specifically, we will focus on the local generation of domino tilings of a  $2 \times n$  grid from the uniform distribution. The queries will be as an index, and the generator should report the orientation of the domino at the  $i^{\text{th}}$  position in the grid.

cite

It is a well known result that the number of tilings of a  $2 \times n$  grid is exactly  $F_n$ . To aid with generalization, we will instead allow the generator to respond with the splitting boundary of the current tiling instead. For example, in Figure ??, the boundary is a vertical line at the specified position. In Figure ??, the boundary is horizontal, indicating that there are two horizontal dominos at that location. Note that Figure ?? is impossible for a  $2 \times n$  grid. It should be clear that this query model is equivalent.



■ **Figure 5** Caption for this figure with two images

Now, consider a query to the location  $i$ , such that all positions between  $i - a$  and  $i + b$  have not been queried so far. So, there is a blank  $2 \times (a + b)$  size sub-grid that we have to sample from. Let us consider the number of possible tilings resulting from each possible splitting boundary.

1. **Vertical Boundary** – This indicates that we divide the region into two sub-grids with sizes  $2 \times a$  and  $2 \times b$ . So, the total number of possible tilings is exactly  $F_a \cdot F_b$ .

2. Horizontal Boundary – This indicates that we divide the region into two sub-grids with sizes  $2 \times (a - 1)$  and  $2 \times (b - 1)$ . So, the total number of possible tilings is exactly  $F_{a-1} \cdot F_{b-1}$ .

So the probabilities are computed as  $\frac{F_a \cdot F_b}{F_a \cdot F_b + F_{a-1} \cdot F_{b-1}}$  and  $\frac{F_{a-1} \cdot F_{b-1}}{F_a \cdot F_b + F_{a-1} \cdot F_{b-1}}$ . Now, we face the issue of approximating these fractions. If either of the values  $a$  or  $b$  are less than  $\Theta(\sqrt{n})$ , then we can compute the exact value of the corresponding  $F_a$  or  $F_b$ . Otherwise, we use Lemma ?? to approximate  $F_a = \phi \cdot F_{a-1}$  and  $F_b = \phi \cdot F_{b-1}$ . So, the probability of the vertical boundary becomes

$$\frac{F_a \cdot F_b}{F_a \cdot F_b + F_{a-1} \cdot F_{b-1}} = \frac{\phi^2}{\phi^2 + 1}$$

Similarly, the probability of a horizontal split with a top and bottom domino becomes  $1/(\phi^2 + 1)$ . Note that this also determines the two adjacent boundaries.

The only information we needed to make this query was the extent of the un-queried interval  $[i-a, i+b]$ . We can use any standard data-structure that allows insertion in positions  $\{1, 2, \dots, n+1\}$ , and provides successor and predecessor queries.

Here's we can be fancy and use Van-Emde-Boas trees to get a  $\mathcal{O}(\log \log n)$  query time. However, in some cases, the exact value of a Fibonacci number still needs to be computed, and this takes  $\mathcal{O}(\log n)$  time. The faster queries only work when the new query is "far enough" ( $\mathcal{O}(\log n)$  distance) away from all previous queries.

## 11 Random Coloring of a Graph

Query access

We wish to locally sample an uniformly random coloring of a graph. A  $q$ -coloring of a graph  $G = (V, E)$  is a function  $\sigma : V \rightarrow [q]$ , such that for all  $(u, v) \in E$ ,  $\sigma_u \neq \sigma_v$ . We will consider only bounded degree graphs, i.e. graphs with max degree  $\leq \Delta$ . Otherwise, the coloring problem becomes NP-hard.

cite

Using the technique of path-coupling, Vigoda showed that for  $q > 2\Delta$ , one can sample an uniformly random coloring by using a MCMC algorithm.

cite

The Markov Chain proceeds in  $T$  steps. The state of the chain at time  $t$  is given by  $\mathbf{X}^t \in [q]^{|V|}$ . Specifically, the color of vertex  $v$  at step  $t$  is  $\mathbf{X}_v^t$ .

In each step of the Markov process, a pair  $(v, c) \in V \times [q]$  is sampled uniformly at random. Subsequently, if the recoloring of vertex  $v$  with color  $c$  does not result in a conflict with  $v$ 's neighbors, i.e.  $c \notin \{X_u^t : u \in \Gamma(v)\}$ , then the vertex is recolored i.e.  $X_v^{t+1} \leftarrow c$ .

After running the MC for  $T = \mathcal{O}(n \log n)$  steps we reach the stationary distribution ( $\epsilon$  close), and the coloring is an uniformly random one.

**Exact Bound:**  $t_{mix}(\epsilon) \leq \left( \frac{q-\Delta}{q-2\Delta} \right) n (\log n + \log(1/\epsilon))$

cite book  
(Peres, Lyons)

### 11.1 Modified Glauber Dynamics

Now we define a modified Markov Chain that proceeds in epochs. We denote the initial coloring of the graph by  $\mathbf{X}^0$  and the state of the coloring after the  $k^{th}$  epoch by  $\mathbf{X}^k$ . In the  $k^{th}$  epoch  $\mathcal{E}_k$ :

- Sample  $n = |V|$  colors  $\langle c_1, c_2, \dots, c_n \rangle$  from  $[q]$ , where  $c_v$  is the proposed color for vertex  $v$ .
- For each vertex  $v$ , we set  $\mathbf{X}_v^k$  to  $c_v$  if for all neighbors  $w$  of  $v$ ,  $\mathbf{X}_w^k \neq c_v$  and  $\mathbf{X}_w^{k-1} \neq c_v$ .

This procedure is a special case of the *Local Glauber Dynamics* presented in [FG18]. The goal in [FG18] is to find a simultaneous update rule that causes few conflicts among neighbors (and converges to the correct distribution). Notice that we can have adjacent nodes update in the same epoch, and this can also be implemented locally using . However for the sake of succinctness and because this would only improve a constant factor in the exponent, we use their update rule and avoid a tedious path coupling argument.

what?

Wording: a vertex needs to avoid  $\leq 2\Delta$  colors in order to be accepted

Cite Path Coupling

For the path coupling argument, we define the standard pre-metric on the space for all possible colorings (not necessarily valid ones). Given two colorings  $X$  and  $Y$ , we define  $d(X, Y)$  as the number of vertices  $v$  such that  $X_v \neq Y_v$ .

We define a coupling  $(X, Y) \rightarrow (X', Y')$  where  $X$  and  $Y$  differ only at a single vertex  $v$  such that  $X_v = c_X$  and  $Y_v = c_Y$ . Now, we pick a random permutation of the vertices along with uniformly sampled colors:

$$\langle (v_1, c_1), (v_2, c_2), \dots, (v_n, c_n) \rangle = \langle (\pi_1, c_1), (\pi_2, c_2), \dots, (\pi_n, c_n) \rangle$$

Now, for each  $(v_i, c_i)$  in order, we update the coloring of  $X$  and  $Y$  as follows:

- If the current color of  $v_i$  as well as  $c_i$  is in  $\{c_X, c_Y\}$ , then the  $X$  chain picks the color  $c_i$  and the  $Y$  chain picks the other color.

► **Lemma 45.** *If  $q = 2\alpha\Delta$  and  $d(X, Y) = 1$ , then  $\mathbb{E}[d(X', Y')] \leq 1 - (1 - \frac{1}{2\alpha}) e^{-3/\alpha} + \frac{1/2\alpha}{1-1/\alpha}$*

► **Corollary 46.** *If  $q \geq 9\Delta$  and  $d(X, Y) = 1$ , then  $\mathbb{E}[d(X', Y')] < \frac{1}{e^{1/3}}$*

► **Theorem 47.** *If  $q \geq 9\Delta$ , then the Markov Chain is mixed after  $\tau_{mix}(\epsilon) = 3 \ln n + 3 \ln(1/\epsilon)$  epochs.*

Write proof

**Proof.**

□

## 11.2 Local Coloring Algorithm

Given a vertex  $v$ , the local-access generator has to output the color of  $v$  after running  $t \leq 2 \ln n$  epochs of *Modified Glauber Dynamics*. We will define the number of colors as  $q = \alpha\Delta$  where  $\alpha > 1$ .

Each epoch is a vector of color samples  $\mathbf{C}^i \sim_{\mathcal{U}} [q]^n$ . Note that these values are fully independent and as such any  $\mathbf{C}_v^i$  can be sampled trivially. We also use  $\mathbf{X}^i$  to denote the final vector of vertex colors at the end of the  $i^{th}$  epoch. Finally, we define indicator variables  $\chi_v^i$  to denote if the color for vertex  $v$  was accepted at the  $i^{th}$  epoch;  $\chi_v^i = 1$  if and only if for all neighbors  $w \in \Gamma(v)$ , we satisfy the condition  $\mathbf{C}_v^i \neq \mathbf{X}_w^{i-1}$  and  $\mathbf{C}_v^i \neq \mathbf{C}_w^i$ . So, the color of a vertex  $v$  after the  $t^{th}$  epoch  $\mathbf{X}_v^t$  is set to be  $\mathbf{C}_v^i$  where  $i \leq t$  is the largest index such that  $\chi_v^i = 1$ . Algorithm 5 shows the procedure for querying the value of  $\mathbf{X}_v^t$ .

**Algorithm 5** Generator

---

```

1: procedure COLOR( $v, t$ )
2:   for  $i \leftarrow [t, t-1, t-2 \dots 1]$  do
3:     if ACCEPTED( $i, v$ ) then
4:       return  $C_v^i$ 
5: procedure ACCEPTED( $v, t$ )
6:    $c \leftarrow C_v^t$ 
7:   for  $w \leftarrow \Gamma(v)$  do
8:      $flag \leftarrow 0$ 
9:     for  $t' \leftarrow [t, t-1, t-2, \dots, 1]$  do
10:      if  $t' \neq t$  or  $\mathcal{I}_w^{(t')} < \mathcal{I}_v^{(t')}$  then
11:        if  $C_w^{(t')} = c$  and ACCEPTED( $w, t'$ ) then
12:           $flag \leftarrow 1$ 
13:          while  $t' < t$  do
14:             $t' \leftarrow t' + 1$ 
15:            if ACCEPTED( $w, t'$ ) then
16:               $flag \leftarrow 0$ 
17:              break
18:          if  $flag = 1$  then
19:            return 0
20:          break
21:   return 1

```

---

When the algorithm is asked for the final color of  $v$ , it finds the last epoch in which  $v$  was accepted. Since there are only  $\mathcal{O}(\log n)$  epochs, we focus our attention on the subroutine **ACCEPTED** that samples the value of  $\chi_v^t$ . The algorithm iterates through the neighbors  $w$  of  $v$ , and check for conflicts with the proposed color  $c = C_v^t$ . The condition  $c \neq C_w^t$  is can easily be checked by sampling  $C_w^t$  in the current epoch. If no conflict is seen, the next step is to check whether  $c \neq X_w^{t-1}$ .

We first iterate through all the epochs in reverse order to check whether the color  $c$  was ever proposed for vertex  $w$ . If not, we can ignore  $w$ , and otherwise let's say that the last proposal for  $c$  was at epoch  $t'$  i.e.  $C_w^{t'} = c$ . Now, we need to recursively check if this proposal was **ACCEPTED**. If it was, we move to epoch  $t' + 1$  to see if  $w$ 's color was replaced. If not, we check epoch  $t' + 2$  and so on until we reach epoch  $t - 1$ . At this point we have seen that  $\chi_w^{t'} = 1$  (color  $c$  was accepted) and every subsequent proposal until the current epoch was rejected i.e.  $X_w^{t-1} = c$  and this leads to a conflict with  $v$ 's current proposal for color  $c$  and hence  $\chi_v^t = 0$ . If at any of the iterations, we see that a different proposal was accepted, then  $w$  does not cause a conflict and we can move on to the next neighbor. If we exhaust all the neighbors and don't find any conflicts then  $\chi_v^t = 1$ .

► **Lemma 48.** *The probability that any given proposal is rejected  $\mathbb{P}[\chi_v^t = 0]$  is at most  $1/\alpha$ . Moreover, this upper bound holds even if we condition on all the values in  $\mathbf{C}$  except  $C_v^t$ .*

**Proof.** A rejection can occur due to a conflict with at most  $2\Delta$  possible values in  $\{C_w^t, X_w^{t-1} | w \in \Gamma(v)\}$ . Since there are  $2\alpha\Delta$  colors, the rejection probability is at most  $1/\alpha$ .  $\square$

So, the number of probes required to check whether a color  $c$  (assigned at epoch  $t'$ ) was

overwritten at some epoch before  $t$  is:

$$\left[ T_{t'+1} + \mathcal{B}\left(\frac{1}{\alpha}\right) \cdot T_{t'+2} + \mathcal{B}\left(\frac{1}{\alpha^2}\right) \cdot T_{t'+3} + \cdots + \mathcal{B}\left(\frac{1}{\alpha^{t-t'-2}}\right) \cdot T_{t-1} \right] \quad (2)$$

► **Lemma 49.** For  $\alpha > 4$ , the expected time to sample a single  $\chi_v^t$  is  $\mathbb{E}[T_t] = \mathcal{O}(t\Delta e^{2t/\alpha})$ .

**Proof.** We formulate a recurrence for the expected number of probes to  $\{\chi^{t'}\}_{t' \in [t]}$  used by the algorithm. We will use  $\mathcal{B}(p)$  to refer to the Bernoulli random variable with bias  $p$ . When checking a single neighbor  $w$ , the algorithm iterates through all the epochs  $t'$  such that  $\mathbf{C}_w^{t'} = c$  (technically, only the last occurrence matters, but we are looking for an upper bound). If such a  $t'$  is found (this happens with probability  $1/q$  independently for each trial), there is one recursive call to  $T_{t'}$ . Regardless of what happens, let's say the algorithm queries  $T_{t'+1}, T_{t'+2}, \dots, T_{t-1}$  until an **ACCEPTED** proposal is found. Adding an extra  $T_{t'}$  term to Equation 2 and summing up over all neighbors and epochs we get the following:

$$T_t \leq \Delta \cdot \sum_{t'=1}^t \mathbb{P}[\mathbf{C}_w^{t'} = c] \cdot \left[ T_{t'} + T_{t'+1} + \mathcal{B}\left(\frac{1}{\alpha}\right) \cdot T_{t'+2} + \mathcal{B}\left(\frac{1}{\alpha^2}\right) \cdot T_{t'+3} + \cdots \right] \quad (3)$$

$$\cdots + \mathcal{B}\left(\frac{1}{\alpha^{t-t'-2}}\right) \cdot T_{t-1} \right] \quad (4)$$

$$\leq \Delta \cdot \mathcal{B}\left(\frac{1}{q}\right) \left[ \sum_{t'=1}^{t-1} T_{t'} + \sum_{t'=1}^{t-1} T_{t'} \cdot \left( 1 + \mathcal{B}\left(\frac{1}{\alpha}\right) + \mathcal{B}\left(\frac{1}{\alpha^2}\right) + \cdots \right) \right] \quad (5)$$

In the second step, we just group all the terms from the same epoch together. Using Lemma 48 and the fact that  $\mathbb{P}[\mathbf{C}_w^{t'} = c]$  is independent of all other events, we can write a recurrence for the expected number of probes.

$$\mathbb{E}[T_t] \leq \Delta \cdot \frac{1}{\alpha\Delta} \left[ \sum_{t'=1}^{t-1} T_{t'} + \sum_{t'=1}^{t-1} T_{t'} \cdot \left( 1 + \frac{1}{\alpha} + \frac{1}{\alpha^2} + \cdots \right) \right] \quad (6)$$

Now, we make the assumption that  $\mathbb{E}[T_{t'}] \leq e^{2t'/\alpha}$  and show that this satisfies the expectation recurrence. First, we sum the geometric series:

$$\sum_{t'=1}^{t-1} \mathbb{E}[T_{t'}] = \sum_{t'=1}^{t-1} e^{2t'/\alpha} < \frac{e^{2t/\alpha} - 1}{e^{2/\alpha} - 1} < \frac{e^{2t/\alpha}}{e^{2/\alpha} - 1}$$

The expectation recurrence to be satisfied then becomes:

$$\mathbb{E}[T_t] \leq e^{2t/\alpha} \cdot \frac{1}{\alpha} \cdot \frac{1}{e^{2/\alpha} - 1} \cdot \left[ 1 + \frac{\alpha}{\alpha - 1} \right] = e^{2t/\alpha} \cdot \frac{2\alpha - 1}{\alpha(\alpha - 1)(e^{2/\alpha} - 1)} = e^{2t/\alpha} \cdot f(\alpha)$$

We notice that  $f(\alpha)$  is upper bounded by 1 in the domain  $\alpha > 4$  (in fact  $\lim_{\alpha \rightarrow \infty} f(\alpha) = 1$ ). This can easily be verified by taking the derivatives and using L'Hôpital's rule. Thus, our recurrence is satisfied. Finally, we note that each probe potentially takes time  $\mathcal{O}(t\Delta)$  to iterate through all the neighbors in all epochs resulting in a total runtime of  $\mathcal{O}(t\Delta e^{2t/\alpha})$ .  $\square$

► **Corollary 50.** Instead of looking through all the epochs in order, we can use the coloring generator to find the locations directly.

where?



► **Theorem 51.** *Given adjacency list query access to a graph with  $n$  nodes, maximum degree  $\Delta$ , and  $q = 2\alpha\Delta$  colors, we can sample the color of any given node in an  $(1/n)$ -approximate uniformly random coloring of the graph in a consistent manner using only  $\mathcal{O}(n^{12/\alpha}\Delta \log n)$  time space and random bits. This is sublinear for  $\alpha > 12$  and the sampled coloring is  $1/n$ -close to the uniform distribution in  $L_1$  distance.*

**Proof.** We use the mixing time from Theorem 47  $\tau_{\text{mix}}(1/n) = 6 \ln n$  in conjunction with Lemma 49. So, the overall runtime becomes  $\mathcal{O}(n^{12/\alpha}\Delta \log n)$ .  $\square$

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## A

 Further Analysis and Extensions of Algorithm 2

### A.1 Performance Guarantee

This section is devoted to showing the following lemma that bounds the required resources per query of Algorithm 2. We note that we only require efficient computation of  $\prod_{u \in [a,b]} (1 - p_{v,u})$  (and not  $\sum_{u \in [a,b]} p_{v,u}$ ), and that for the  $G(n, p)$  model, the resources required for such computation is asymptotically negligible.

► **Theorem 52.** *Each execution of Algorithm 2 (the NEXT-NEIGHBOR query), with high probability,*

- *terminates within  $\mathcal{O}(\log n)$  iterations (of its **repeat** loop);*
- *computes  $\mathcal{O}(\log^2 n)$  quantities of  $\prod_{u \in [a,b]} (1 - p_{v,u})$ ;*
- *aside from the above computations, uses  $\mathcal{O}(\log^2 n)$  time,  $\mathcal{O}(\log n)$  random  $N$ -bit words, and  $\mathcal{O}(\log n)$  additional space.*

**Proof.** We focus on the number of iterations as the remaining results follow trivially. This proof is rather involved and thus is divided into several steps.

#### Specifying random choices

The performance of the algorithm depends on not only the random variables  $X_{v,u}$ 's, but also the unused coins  $C_{v,u}$ 's. We characterize the two collections of Bernoulli variables  $\{X_{v,u}\}$  and  $\{Y_{v,u}\}$  that cover all random choices made by Algorithm 2 as follows.

- Each  $X_{v,u}$  (same as  $X_{u,v}$ ) represents the result for the *first* coin-toss corresponding to cells  $\mathbf{A}[v][u]$  and  $\mathbf{A}[u][v]$ , which is the coin-toss obtained when  $X_{v,u}$  becomes decided: either  $C_{v,u}$  during a NEXT-NEIGHBOR( $v$ ) call when  $\mathbf{A}[v][u] = \phi$ , or  $C_{v,u}$  during a NEXT-NEIGHBOR( $u$ ) call when  $\mathbf{A}[u][v] = \phi$ , whichever occurs first. This description of  $X_{v,u}$  respects our invariant that, if the generation process is executed to completion, we will have  $\mathbf{A}[v][u] = X_{v,u}$  in all entries.
- Each  $Y_{v,u}$  represents the result for the *second* coin-toss corresponding to cell  $\mathbf{A}[v][u]$ , which is the coin-toss  $C_{v,u}$  obtained during a NEXT-NEIGHBOR( $v$ ) call when  $X_{v,u}$  is already decided. In other words,  $\{Y_{v,u}\}$ 's are the coin-tosses that should have been skipped but still performed in Algorithm 2 (if they have indeed been generated). Unlike the previous case,  $Y_{v,u}$  and  $Y_{u,v}$  are two independent random variables: they may be generated during a NEXT-NEIGHBOR( $v$ ) call and a NEXT-NEIGHBOR( $u$ ) call, respectively.

As mentioned earlier, we allow any sequence of probabilities  $p_{v,u}$  in our proof. The success probabilities of these indicators are therefore given by  $\mathbb{P}[X_{v,u} = 1] = \mathbb{P}[Y_{v,u} = 1] = p_{v,u}$ .

#### Characterizing iterations

Suppose that we compute NEXT-NEIGHBOR( $v$ ) and obtain an answer  $u$ . Then  $X_{v, \text{last}[v]+1} = \dots = X_{v, u-1} = 0$  as none of  $u' \in (\text{last}[v], u)$  is a neighbor of  $v$ . The vertices considered in the loop of Algorithm 2 that do not result in the answer  $u$ , are  $u' \in (\text{last}[v], u)$  satisfying  $\mathbf{A}[v][u'] = 0$  and  $Y_{v,u'} = 1$ ; we call the iteration corresponding to such a  $u'$  a *failed iteration*. Observe that if  $X_{v,u'} = 0$  but is undecided ( $\mathbf{A}[v][u'] = \phi$ ), then the iteration is not failed, even if  $Y_{v,u'} = 1$  (in which case,  $X_{v,u'}$  takes the value of  $C_{v,u'}$  while  $Y_{v,u'}$  is never used). Thus we assume the worst-case scenario where all  $X_{v,u'}$  are revealed:  $\mathbf{A}[v][u'] = X_{v,u'} = 0$

for all  $u' \in (\text{last}[v], u)$ . The number of failed iterations in this case stochastically dominates those in all other cases.<sup>9</sup>

Then, the upper bound on the number of failed iterations of a call **NEXT-NEIGHBOR**( $v$ ) is given by the maximum number of cells  $Y_{v,u'} = 1$  of  $u' \in (\text{last}[v], u)$ , over any  $u \in (\text{last}[v], n]$  satisfying  $X_{v,\text{last}[v]+1} = \dots = X_{v,u} = 0$ . Informally, we are asking "of all consecutive cells of 0's in a single row of  $\{X_{v,u}\}$ -table, what is the largest number of cells of 1's in the corresponding cells of  $\{Y_{v,u}\}$ -table?"

### Bounding the number of iterations required for a fixed pair $(v, \text{last}[v])$

We now proceed to bounding the number of iterations required over a sampled pair of  $\{X_{v,u}\}$  and  $\{Y_{v,u}\}$ , from any probability distribution. For simplicity we renumber our indices and drop the index  $(v, \text{last}[v])$  as follows. Let  $p_1, \dots, p_L \in [0, 1]$  denote the probabilities corresponding to the cells  $\mathbf{A}[v][\text{last}[v] + 1 \dots n]$  (where  $L = n - \text{last}[v]$ ), then let  $X_1, \dots, X_L$  and  $Y_1, \dots, Y_L$  be the random variables corresponding to the same cells on  $\mathbf{A}$ .

For  $i = 1, \dots, L$ , define the random variable  $Z_i$  in terms of  $X_i$  and  $Y_i$  so that

- $Z_i = 2$  if  $X_i = 0$  and  $Y_i = 1$ , which occurs with probability  $p_i(1 - p_i)$ .  
This represents the event where  $i$  is not a neighbor, and the iteration fails.
- $Z_i = 1$  if  $X_i = Y_i = 0$ , which occurs with probability  $(1 - p_i)^2$ .  
This represents the event where  $i$  is not a neighbor, and the iteration does not fail.
- $Z_i = 0$  if  $X_i = 1$ , which occurs with probability  $p_i$ .  
This represents the event where  $i$  is a neighbor.

For  $\ell \in [L]$ , define the random variable  $M_\ell := \prod_{i=1}^{\ell} Z_i$ , and  $M_0 = 1$  for convenience. If  $X_i = 1$  for some  $i \in [1, \ell]$ , then  $Z_i = 0$  and  $M_\ell = 0$ . Otherwise,  $\log M_\ell$  counts the number of indices  $i \in [\ell]$  with  $Y_i = 1$ , the number of failed iterations. Therefore,  $\log(\max_{\ell \in \{0, \dots, L\}} M_\ell)$  gives the number of failed iterations this **NEXT-NEIGHBOR**( $v$ ) call.

To bound  $M_\ell$ , observe that for any  $\ell \in [L]$ ,  $\mathbb{E}[Z_\ell] = 2p_\ell(1 - p_\ell) + (1 - p_\ell)^2 = 1 - p_\ell^2 \leq 1$  regardless of the probability  $p_\ell \in [0, 1]$ . Then,  $\mathbb{E}[M_\ell] = \mathbb{E}[\prod_{i=1}^{\ell} Z_i] = \prod_{i=1}^{\ell} \mathbb{E}[Z_i] \leq 1$  because  $Z_\ell$ 's are all independent. By Markov's inequality, for any (integer)  $r \geq 0$ ,  $\Pr[\log M_\ell > r] = \Pr[M_\ell > 2^r] < 2^{-r}$ . By the union bound, the probability that more than  $r$  failed iterations are encountered is  $\Pr[\log(\max_{\ell \in \{0, \dots, L\}} M_\ell) > r] < L \cdot 2^{-r} \leq n \cdot 2^{-r}$ .

### Establishing the overall performance guarantee

So far we have deduced that, for each pair of a vertex  $v$  and its  $\text{last}[v]$ , the probability that the call **NEXT-NEIGHBOR**( $v$ ) encounters more than  $r$  failed iterations is less than  $n \cdot 2^{-r}$ , which is at most  $n^{-c-2}$  for any desired constant  $c$  by choosing a sufficiently large  $r = \Theta(\log n)$ . As Algorithm 2 may need to support up to  $\Theta(n^2)$  **NEXT-NEIGHBOR** calls, one corresponding to each pair  $(v, \text{last}[v])$ , the probability that it ever encounters more than  $O(\log n)$  failed iterations to answer a single **NEXT-NEIGHBOR** query is at most  $n^{-c}$ . That is, with high probability,  $O(\log n)$  iterations are required per **NEXT-NEIGHBOR** call, which concludes the proof of Theorem 52.  $\square$

<sup>9</sup> There exists an adversary who can enforce this worst case. Namely, an adversary that first makes **NEXT-NEIGHBOR** queries to learn all neighbors of every vertex except for  $v$ , thereby filling out the whole  $\mathbf{A}$  in the process. The claimed worst case then occurs as this adversary now repeatedly makes **NEXT-NEIGHBOR** queries on  $v$ . In particular, a committee of  $n$  adversaries, each of which is tasked to perform this series of calls corresponding to each  $v$ , can always expose this worst case.

## A.2 Supporting Vertex-Pair Queries

We extend our generator (Algorithm 2) to support the VERTEX-PAIR queries: given a pair of vertices  $(u, v)$ , decide whether there exists an edge  $\{u, v\}$  in the generated graph. To answer a VERTEX-PAIR query, we must first check whether the value  $X_{u,v}$  for  $\{u, v\}$  has already been assigned, in which case we answer accordingly. Otherwise, we must make a coin-flip with the corresponding bias  $p_{u,v}$  to assign  $X_{u,v}$ , deciding whether  $\{u, v\}$  exists in the generated graph. If we maintained the full  $\mathbf{A}$  as done in the naive Algorithm 1, we would have been able to simply set  $\mathbf{A}[u][v]$  and  $\mathbf{A}[v][u]$  to this new value. However, our more efficient Algorithm 2 that represents  $\mathbf{A}$  compactly via **last** and  $P_v$ 's cannot record arbitrary modifications to  $\mathbf{A}$ .

Observe that if we were to apply the trivial implementation of VERTEX-PAIR in Algorithm 1, then by Lemma 6, **last** and  $P_v$ 's will only fail capture the state  $\mathbf{A}[v][u] = 0$  when  $u > \mathbf{last}[v]$  and  $v > \mathbf{last}[u]$ . Fortunately, unlike NEXT-NEIGHBOR queries, a VERTEX-PAIR query can only set one cell  $\mathbf{A}[v][u]$  to 0 per query, and thus we may afford to store these changes explicitly.<sup>10</sup> To this end, we define the set  $Q = \{\{u, v\} : X_{u,v} \text{ is assigned to 0 during a VERTEX-PAIR query}\}$  maintained as a hash table. Updating  $Q$  during VERTEX-PAIR queries is trivial: we simply add  $\{u, v\}$  to  $Q$  before we finish processing the query if we set  $\mathbf{A}[u][v] = 0$ . Conversely, we need to add  $u$  to  $P_v$  and add  $v$  to  $P_u$  if the VERTEX-PAIR query sets  $\mathbf{A}[u][v] = 1$  as usual, yielding the following observation. It is straightforward to verify that each VERTEX-PAIR query requires  $O(\log n)$  time,  $O(1)$  random  $N$ -bit word, and  $O(1)$  additional space per query.

► **Lemma 53.** *The data structures **last**,  $P_v$ 's and  $Q$  together provide a succinct representation of  $\mathbf{A}$  when NEXT-NEIGHBOR queries (modified Algorithm 2) and VERTEX-PAIR queries (modified Algorithm 1) are allowed. In particular,  $\mathbf{A}[v][u] = 1$  if and only if  $u \in P_v$ . Otherwise,  $\mathbf{A}[v][u] = 0$  if  $u < \mathbf{last}[v]$ ,  $v < \mathbf{last}[u]$ , or  $\{v, u\} \in Q$ . In all remaining cases,  $\mathbf{A}[v][u] = \phi$ .*

We now explain other necessary changes to Algorithm 2. In the implementation of NEXT-NEIGHBOR, an iteration is not failed when the chosen  $X_{v,u}$  is still undecided:  $\mathbf{A}[v][u]$  must still be  $\phi$ . Since  $X_{v,u}$  may also be assigned to 0 via a VERTEX-PAIR( $v, u$ ) query, we must also consider an iteration where  $\{v, u\} \in Q$  failed. That is, we now require one additional condition  $\{v, u\} \notin Q$  for termination (which only takes  $O(1)$  time to verify per iteration). As for the analysis, aside from handling the fact that  $X_{v,u}$  may also become decided during a VERTEX-PAIR call, and allowing the states of the algorithm to support VERTEX-PAIR queries, all of the remaining analysis for correctness and performance guarantee still holds.

Therefore, we have established that our augmentation to Algorithm 2 still maintains all of its (asymptotic) performance guarantees for NEXT-NEIGHBOR queries, and supports VERTEX-PAIR queries with complexities as specified above, concluding the following corollary. We remark that, as we do not aim to support RANDOM-NEIGHBOR queries, this simple algorithm here provides significant improvement over the performance of RANDOM-NEIGHBOR queries (given in Corollary 13).

► **Corollary 54.** *Algorithm 2 can be modified to allow an implementation of VERTEX-PAIR query as explained above, such that the resource usages per query still asymptotically follow those of Theorem 52.*

<sup>10</sup> The disadvantage of this approach is that the generator may allocate more than  $\Theta(m)$  space over the entire graph generation process, if VERTEX-PAIR queries generate many of these 0's.

## B Alternative Generator with Deterministic Performance Guarantee

In this section, we construct data structures that allow us to sample for the next neighbor directly by considering only the cells  $\mathbf{A}[v][u] = \phi$  in the Erdős-Rényi model and the Stochastic Block model. This provides  $\text{poly}(\log n)$  *worst-case* performance guarantee for generators supporting only the **NEXT-NEIGHBOR** queries. We may again extend this data structure to support **VERTEX-PAIR** queries, however, at the cost of providing  $\text{poly}(\log n)$  *amortized* performance guarantee instead.

In what follows, we first focus on the  $G(n, p)$  model, starting with **NEXT-NEIGHBOR** queries (Section B.1) then extend to **VERTEX-PAIR** queries (Section B.2). We then explain how this result may be generalized to support the Stochastic Block model with random community assignment in Section B.3.

### B.1 Data structure for next-neighbor queries in the Erdős-Rényi model

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**Algorithm 6** Alternative Generator
 

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procedure NEXT-NEIGHBOR( $v$ )
   $w \leftarrow \min K_v$ , or  $n + 1$  if  $K_v = \emptyset$ 
   $t \leftarrow \text{COUNT}(v)$ 
  sample  $F \sim \text{ExactF}(p, t)$ 
  if  $F \leq t$  then
     $u \leftarrow \text{PICK}(v, F)$ 
     $K_u \leftarrow K_u \cup \{v\}$ 
  else
     $u \leftarrow w$ 
    if  $u \neq n + 1$  then
       $K_v \leftarrow K_v \setminus \{u\}$ 
  UPDATE( $v, u$ )
  last[ $v$ ]  $\leftarrow u$ 
  return  $u$ 

```

---

Recall that **NEXT-NEIGHBOR**( $v$ ) is given by  $\min\{u > \text{last}[v] : X_{v,u} = 1\}$  (or  $n + 1$  if no satisfying  $u$  exists). To aid in computing this quantity, we define:

$$\begin{aligned}
 K_v &= \{u \in (\text{last}[v], n] : \mathbf{A}[v][u] = 1\}, \\
 w_v &= \min K_v, \text{ or } n + 1 \text{ if } K_v = \emptyset, \\
 T_v &= \{u \in (\text{last}[v], w_v) : \mathbf{A}[v][u] = \phi\}.
 \end{aligned}$$

The ordered set  $K_v$  is only defined for ease of presentation within this section: it is equivalent to  $(\text{last}[v], n] \cap P_v$ , recording the known neighbors of  $v$  after **last**[ $v$ ] (i.e., those that have not been returned as an answer by any **NEXT-NEIGHBOR**( $v$ ) query yet). The quantity  $w_v$  remains unchanged but is simply restated in terms of  $K_v$ .  $T_v$  specifies the list of candidates  $u$  for **NEXT-NEIGHBOR**( $v$ ) with  $\mathbf{A}[v][u] = \phi$ ; in particular, all candidates  $u$ 's, such that the corresponding RVs  $X_{v,u} = 0$  are decided, are explicitly excluded from  $T_v$ .

Unlike the approach of Algorithm 2 that simulates coin-flips even for decided  $X_{v,u}$ 's, here we only flip undecided coins for the indices in  $T_v$ : we have  $|T_v|$  Bernoulli trials to simulate. Let  $F$  be the random variable denoting the first index of a successful trial out of  $|T_v|$  coin-flips, or  $|T_v| + 1$  if all fail; denote the distribution of  $F$  by  $\text{ExactF}(p, |T|)$ . The CDF of  $F$  is



given by  $\mathbb{P}[F = f] = 1 - (1 - p)^f$  for  $f \leq |T_v|$  (i.e., there is some success trial in the first  $f$  trials), and  $\mathbb{P}[F = |T_v| + 1] = 1$ . Thus, we must design a data structure that can compute  $w_v$ , compute  $|T_v|$ , find the  $F^{\text{th}}$  minimum value in  $T_v$ , and update  $\mathbf{A}[v][u]$  for the  $F$  lowest values  $u \in T_v$  accordingly.

Let  $k = \lceil \log n \rceil$ . We create a range tree, where each node itself contains a balanced binary search tree (BBST), storing **last** values of its corresponding range. Formally, for  $i \in [0, n/2^j)$  and  $j \in [0, k]$ , the  $i^{\text{th}}$  node of the  $j^{\text{th}}$  level of the range tree, stores **last** $[v]$  for every  $v \in (i \cdot 2^{k-j}, (i+1) \cdot 2^{k-j}]$ . Denote the range tree by  $\mathbf{R}$ , and each BBST corresponding to the range  $[a, b]$  by  $\mathbf{B}_{[a,b]}$ . We say that the range  $[a, b]$  is *canonical* if it corresponds to a range of some  $\mathbf{B}_{[a,b]}$  in  $\mathbf{R}$ .

Again, to allow fast initialization, we make the following adjustments from the given formalization above: (1) values **last** $[v] = 0$  are never stored in any  $\mathbf{B}_{[a,b]}$ , and (2) each  $\mathbf{B}_{[a,b]}$  is created on-the-fly during the first occasion it becomes non-empty. Further, we augment each  $\mathbf{B}_{[a,b]}$  so that each of its node maintains the size of the subtree rooted at that node: this allows us to count, in  $O(\log n)$  time, the number of entries in  $\mathbf{B}_{[a,b]}$  that is no smaller than a given threshold.

Observe that each  $v$  is included in exactly one  $\mathbf{B}_{[a,b]}$  per level in  $\mathbf{R}$ , so  $k+1 = O(\log n)$  copies of **last** $[v]$  are stored throughout  $\mathbf{R}$ . Moreover, by the property of range trees, any interval can be decomposed into a disjoint union of  $O(\log n)$  canonical ranges. From these properties we implement the data structure  $\mathbf{R}$  to support the following operations. (Note that  $\mathbf{R}$  is initially an empty tree, so initialization is trivial.)

■ **COUNT** $(v)$ : compute  $|T_v|$ .

We break  $(\mathbf{last}[v], w_v)$  into  $O(\log n)$  disjoint canonical ranges  $[a_i, b_i]$ 's each corresponding to some  $\mathbf{B}_{[a_i, b_i]}$ , then compute  $t_{[a_i, b_i]} = |\{u \in [a_i, b_i] : \mathbf{last}[u] < v\}|$ , and return  $\sum_i t_{[a_i, b_i]}$ . The value  $t_{[a_i, b_i]}$  is obtained by counting the entries of  $\mathbf{B}_{[a_i, b_i]}$  that is at least  $v$ , then subtract it from  $b_i - a_i + 1$ ; we cannot count entries less than  $v$  because **last** $[u] = 0$  are not stored.

■ **PICK** $(v, F)$ : find the  $F^{\text{th}}$  minimum value in  $T_v$  (assuming  $F \leq |T_v|$ ).

We again break  $(\mathbf{last}[v], w_v)$  into  $O(\log n)$  canonical ranges  $[a_i, b_i]$ 's, compute  $t_{[a_i, b_i]}$ 's, and identify the canonical range  $[a^*, b^*]$  containing the  $i^{\text{th}}$  smallest element (i.e.,  $[a_i, b_i]$  with the smallest  $b$  satisfying  $\sum_{j \leq i} t_{[a_j, b_j]} \geq F$  assuming ranges are sorted). Binary-search in  $[a^*, b^*]$  to find exactly the  $i^{\text{th}}$  smallest element of  $T$ . This is accomplished by traversing  $\mathbf{R}$  starting from the range  $[a^*, b^*]$  down to a leaf, at each step computing the children's  $T_{[a,b]}$ 's and deciding which child's range contains the desired element.

■ **UPDATE** $(v, u)$ : simulate coin-flips, assigning  $X_{v,u} \leftarrow 1$ , and  $X_{v,u'} \leftarrow 0$  for  $u' \in (\mathbf{last}[v], u) \cap T_v$ .

This is done implicitly by handling the change **last** $[v] \leftarrow u$ : for each BBST  $\mathbf{B}_{[a,b]}$  where  $v \in [a, b]$ , remove the old value of **last** $[v]$  and insert  $u$  instead.

It is straightforward to verify that all operations require at most  $O(\log^2 n)$  time and  $O(\log n)$  additional space per call. The overall implementation is given in Algorithm 6, using the same asymptotic time and additional space. Recall also that sampling  $F \sim \text{ExactF}(p, t)$  requires  $O(\log n)$  time and one  $N$ -bit random word for the  $G(n, p)$  model.

## B.2 Data structure for Vertex-Pair queries in the Erdős-Rényi model

Recall that we define  $Q$  in Algorithm 2 as the set of pairs  $(u, v)$  where  $X_{u,v}$  is assigned to 0 during a VERTEX-PAIR query, allowing us to check for modifications of  $\mathbf{A}$  not captured by **last** $[v]$  and  $K_v$ . Here in Algorithm 6, rather than checking, we need to be able to count such

entries. Thus, we instead create a BBST  $Q'_v$  for each  $v$  defined as:

$$Q'_v = \{u : u > \mathbf{last}[v], v > \mathbf{last}[u], \text{ and } X_{u,v} \text{ is assigned to 0 during a VERTEX-PAIR query}\}.$$

This definition differs from that of  $Q$  in Section A.2 in two aspects. First, we ensure that each  $\mathbf{A}[v][u] = 0$  is recorded by either  $\mathbf{last}$  (via Lemma 6) or  $Q'_v$  (explicitly), but *not both*. In particular, if  $u$  were to stay in  $Q'_v$  when  $\mathbf{last}[v]$  increases beyond  $u$ , we would have double-counted these entries 0 not only recorded by  $Q'_v$  but also implied by  $\mathbf{last}[v]$  and  $K_v$ . By having a BBST for each  $Q'_v$ , we can compute the number of 0's that must be excluded from  $T_v$ , which cannot be determined via  $\mathbf{last}[v]$  and  $K_v$  alone: we subtract these from any counting process done in the data structure  $\mathbf{R}$ .

Second, we maintain  $Q'_v$  separately for each  $v$  as an ordered set, so that we may identify non-neighbors of  $v$  within a specific range – this allows us to remove non-neighbors in specific range, ensuring that the first aspect holds. More specifically, when we increase  $\mathbf{last}[v]$ , we must go through the data structure  $Q'_v$  and remove all  $u < \mathbf{last}[v]$ , and for each such  $u$ , also remove  $v$  from  $Q'_u$ . There can be as many as linear number of such  $u$ , but the number of removals is trivially bounded by the number of insertions, yielding an amortized time performance guarantee in the following theorem. Aside from the deterministic guarantee, unsurprisingly, the required amount of random words for this algorithm is lower than that of the algorithm from Section A (given in Theorem 52 and Corollary 54).

► **Theorem 55.** *Consider the Erdős-Rényi  $G(n, p)$  model. For NEXT-NEIGHBOR queries only, Algorithm 6 is a generator that answers each query using  $O(\log^2 n)$  time,  $O(\log n)$  additional space, and one  $N$ -bit random word. For NEXT-NEIGHBOR and VERTEX PAIR queries, an extension of Algorithm 6 answers each query using  $O(\log^2 n)$  amortized time,  $O(\log n)$  additional space, and one  $N$ -bit random word.*

### B.3 Data structure for the Stochastic Block model

We employ the data structure for generating and counting the number of vertices of each community in a specified range from Section 6.2. We create  $r$  different copies of the data structure  $\mathbf{R}$  and  $Q'_v$ , one for each community, so that we may implement the required operations separately for each color, including using the COUNT subroutine to sample  $F \sim \text{ExactF}$  via the corresponding CDF, and picking the next neighbor according to  $F$ . Recall that since we do not store  $\mathbf{last}[v] = 0$  in  $\mathbf{R}$ , and we only add an entry to  $K_v$ ,  $P_v$  or  $Q'_v$  after drawing the corresponding  $X_{u,v}$ , the communities of the endpoints, which cover all elements stored in these data structures, must have already been determined. Thus, we obtain the following corollary for the Stochastic Block model.

► **Corollary 56.** *Consider the Stochastic Block model with randomly-assigned communities. For NEXT-NEIGHBOR queries only, Algorithm 6 is a generator that answers each query using  $O(r \text{ poly}(\log n))$  time, random words, and additional space per query. For NEXT-NEIGHBOR and VERTEX-PAIR queries, Algorithm 6 answers each query using  $O(r \text{ poly}(\log n))$  amortized time,  $O(r \text{ poly}(\log n))$  random words, and  $O(r \text{ poly}(\log n))$  additional space per query additional space, and one  $N$ -bit random word.*

## C Additional related work

### Random graph models

The Erdős-Rényi model, given in [ER60], is one of the most simple theoretical random graph model, yet more specialized models are required to capture properties of real-world data. The Stochastic Block model (or the planted partition model) was proposed in [HLL83] originally for modeling social networks; nonetheless, it has proven to be an useful general statistical model in numerous fields, including recommender systems [LSY03, SC11], medicine [SPT<sup>+</sup>01], social networks [For10, NWS02], molecular biology [CY06, MPN<sup>+</sup>99], genetics [CAT16, JTZ04, CSC<sup>+</sup>07], and image segmentation [SM00]. Canonical problems for this model are the community detection and community recovery problems: some recent works include [CRV15, MNS15, AS15, ABH16]; see e.g., [Abb16] for survey of recent results. The study of Small-World networks is originated in [WS98] has frequently been observed, and proven to be important for the modeling of many real world graphs such as social networks [DMW03, TM67], brain neurons [BB06], among many others. Kleinberg’s model on the simple lattice topology (as considered in this paper) imposes a geographical that allows navigations, yielding important results such as routing algorithms (decentralized search) [Kle00, MN04]. See also e.g., [New00] and Chapter 20 of [EK10].

### Generation of random graphs

The problem of local-access implementation of random graphs has been considered in the aforementioned work [GGN03, NN07, ELMR17], as well as in [MRVX12] that locally generates out-going edges on bipartite graphs while minimizing the maximum in-degree. The problem of generating full graph instances for random graph models have been frequently considered in many models of computations, such as sequential algorithms [MKI<sup>+</sup>03, BB05, NLKB11, MH11], and the parallel computation model [AK17].

### Query models

In the study of sub-linear time graph algorithms where reading the entire input is infeasible, it is necessary to specify how the algorithm may access the input graph, normally by defining the type of queries that the algorithm may ask about the input graph; the allowed types of queries can greatly affect the performance of the algorithms. While **NEXT-NEIGHBOR** query is only recently considered in [ELMR17], there are other query models providing a neighbor of a vertex, such as asking for an entry in the adjacency-list representation [GR97], or traversing to a random neighbor [BK10]. On the other hand, the **VERTEX-PAIR** query is common in the study of dense graphs as accessing the adjacency matrix representation [GGR98]. The **ALL-NEIGHBORS** query has recently been explicitly considered in local algorithms [FPSV17].

## D Dyck Path Generator

► **Theorem 57.** *There are  $\frac{1}{n+1} \binom{2n}{n}$  Dyck paths for length  $2n$  (construction from [Sta15]).*

**Proof.** Consider all possible sequences containing  $n+1$  up-steps and  $n$  down-steps with the restriction that the first step is an up-step. We say that two sequences belong to the same *class* if they are cyclic shifts of each other. Because of the restriction, the total number of sequences is  $\binom{2n}{n}$  and each class is of size  $n+1$ . Now, within each class, exactly one of the sequences is such that the prefix sums are *strictly greater* than zero. From such a sequence, we can obtain a Dyck sequence by deleting the first up-step. Similarly, we can start with a Dyck sequence, add an initial up-step and consider all  $n+1$  cyclic shifts to obtain a *class*. This bijection shows that the number of Dyck paths is  $\frac{1}{n+1} \binom{2n}{n}$ .  $\square$

### D.1 Approximating Close-to-Central Binomial Coefficients

We start with Stirling's approximation which states that

$$m! = \sqrt{2\pi m} \left(\frac{m}{e}\right)^m \left(1 + \mathcal{O}\left(\frac{1}{m}\right)\right)$$

We will also use the logarithm approximation when a better approximation is required:

$$\log(m!) = m \log m - m + \frac{1}{2} \log(2\pi m) + \frac{1}{12m} - \frac{1}{360m^3} + \frac{1}{1260m^5} - \dots \quad (7)$$

This immediately gives us an asymptotic formula for the central binomial coefficient as:

► **Lemma 58.** *The central binomial coefficient can be approximated as:*

$$\binom{n}{n/2} = \sqrt{\frac{2}{\pi n}} 2^n \left(1 + \mathcal{O}\left(\frac{1}{n}\right)\right)$$

Now, we consider a “off-center” Binomial coefficient  $\binom{n}{k}$  where  $k = \frac{n+c\sqrt{n}}{2}$ .

Cite Asymptopia

► **Lemma 59.** *Proof from [Spe14]*

$$\binom{n}{k} = \binom{n}{n/2} e^{-c^2/2} \exp(\mathcal{O}(c^3/\sqrt{n}))$$

**Proof.** We consider the ratio:  $R = \binom{n}{k} / \binom{n}{n/2}$ :

$$R = \frac{\binom{n}{k}}{\binom{n}{n/2}} = \frac{(n/2)!(n/2)!}{k!(n-k)!} = \prod_{i=1}^{c\sqrt{n}/2} \frac{n/2 - i + 1}{n/2 + i} \quad (8)$$

$$\Rightarrow \log R = \sum_{i=1}^{c\sqrt{n}/2} \log \left( \frac{n/2 - i + 1}{n/2 + i} \right) \quad (9)$$

$$= \sum_{i=1}^{c\sqrt{n}/2} -\frac{4i}{n} + \mathcal{O}\left(\frac{i^2}{n^2}\right) = -\frac{c^2 n}{2} + \mathcal{O}\left(\frac{(c\sqrt{n})^3}{n^2}\right) = -\frac{c^2}{2} + \mathcal{O}\left(\frac{c^3}{\sqrt{n}}\right) \quad (10)$$

$$\Rightarrow \binom{n}{k} = \binom{n}{n/2} e^{-c^2/2} \exp(\mathcal{O}(c^3/\sqrt{n})) \quad (11)$$

$\square$

## D.2 Dyck Path Boundaries and Deviations

► **Lemma 60.** *Given a random walk of length  $2n$  with exactly  $n$  up and down steps, consider a contiguous sub-path of length  $2B$  that comprises of  $U$  up-steps and  $D$  down-steps i.e.  $U + D = 2B$ . Both  $|B - U|$  and  $|B - D|$  are  $\mathcal{O}(\sqrt{B \log n})$  with probability at least  $1 - 1/n^4$ .*

**Proof.** We consider the random walk as a sequence of unbiased random variables  $\{X_i\}_{i=1}^{2n} \in \{0, 1\}^{2n}$  with the constraint  $\sum_{i=1}^{2n} X_i = n$ . Here, 1 corresponds to an up-step and 0 corresponds to a down step. Because of the constraint,  $X_i, X_j$  are negatively correlated for  $i \neq j$  which allows us to apply Chernoff bounds. Now we consider a sub-path of length  $2B$  and let  $U$  denote the sum of the  $X_i$ s associated with this subpath. Using Chernoff bound with  $\mathbb{E}[X] = B$ , we get:

$$\mathbb{P}\left[|U - B| < 3\sqrt{B \log n}\right] = \mathbb{P}\left[|U - B| < 3\frac{\sqrt{\log n}}{\sqrt{B}}B\right] < e^{\frac{9 \log n}{3}} \approx \frac{1}{n^3}$$

Since  $U$  and  $D$  are symmetric, the same argument applies.  $\square$

► **Corollary 61.** *With high probability, every contiguous sub-path in the random walk (with  $U$  up and  $D$  down steps) satisfies the property with high probability. Specifically, if  $U + D = 2B$ , then  $|B - U|$  and  $|B - D|$  are upper bounded by  $c\sqrt{B \log n}$  w.h.p.  $1 - 1/n^2$  for all contiguous sub-paths (for some constant  $c$ ).*

**Proof.** We can simply apply Lemma 60 and union bound over all  $n^2$  possible contiguous sub-paths.  $\square$

► **Lemma 26.** *Consider a contiguous sub-path of a simple Dyck path of length  $2n$  where the sub-path is of length  $2B$  comprising of  $U$  up-steps and  $D$  down-steps (with  $U + D = 2B$ ). Then there exists a constant  $c$  such that the quantities  $|B - U|$ ,  $|B - D|$ , and  $|U - D|$  are all  $< c\sqrt{B \log n}$  with probability at least  $1 - 1/n^2$  for every possible sub-path.*

**Proof.** As a consequence of Theorem 57, we can sample a Dyck path by first sampling a *balanced* random walk with  $n$  up steps and  $n$  down steps and adding an initial up step. We can then find the corresponding Dyck path by taking the unique cyclic shift that satisfies the Dyck constraint (after removing the initial up-step). Any interval in a cyclic shift is the union of at most two intervals in the original sequence. This affects the bound only by a constant factor. So, we can simply use Corollary 61 to finish the proof. Notice that since  $|U - D| \leq |B - U| + |B - D|$ ,  $|U - D| = \mathcal{O}(\sqrt{B \log n})$  comes for free.  $\square$

► **Lemma 27.** *There exists a constant  $c$  such that if  $k > c\sqrt{B \log n}$ , then the distribution of paths sampled without a boundary (hypergeometric sampling) is statistically  $\mathcal{O}(1/n^2)$ -close in  $L_1$  distance to the distribution of Dyck paths.*

**Proof.** We use  $\mathcal{D}$  and  $\mathcal{R}$  to denote the set of all valid Dyck paths and all random sequences respectively. Clearly,  $\mathcal{D} \subseteq \mathcal{R}$ . Let  $c$  be a constant satisfying Corollary 61. Since the random walk/sequence distribution is uniform on  $\mathcal{R}$ , and by Corollary 61 we see that at least  $1 - 1/n^2$  fraction of the elements of  $\mathcal{R}$  do not violate the boundary constraint. Therefore,  $|\mathcal{D}| \geq (1 - 1/n^2)|\mathcal{R}|$  and so the  $L_1$  distance between  $\mathcal{U}_{\mathcal{D}}$  and  $\mathcal{U}_{\mathcal{R}}$  is  $\mathcal{O}(1/n^2)$ .  $\square$

### D.3 Computing Probabilities

Oracle for estimating probabilities:

► **Lemma 62.** *Given a Dyck sub-path problem within a global Dyck path of size  $2n$  and a probability expression of the form  $p_d = \frac{D_{left} \cdot D_{right}}{D_{total}}$ , there exists a  $\text{poly}(\log n)$  time oracle that returns a  $(1 \pm 1/n^2)$  multiplicative approximation to  $p_d$  if  $p_d = \Omega(1/n^2)$  and returns 0 otherwise.*

**Proof.** We first compute a  $1 + 1/n^3$  multiplicative approximation to  $\ln p_d$ . Using  $\mathcal{O}(\log n)$  terms of the series in Equation 7, it is possible to estimate the logarithm of a factorial up to  $1/n^c$  additive error. So, we can use the series expansion from Equation 7 up to  $\mathcal{O}(\log n)$  terms. The additive error can also be cast as multiplicative since factorials are large positive integers.

The probability  $p_d$  can be written as an arithmetic expression involving sums and products of a constant number of factorial terms. Given a  $1 \pm 1/n^c$  multiplicative approximation to  $l_a = \ln a$  and  $l_b = \ln b$ , we wish to approximate  $\ln(ab)$  and  $\ln(a+b)$ . The former is trivial since  $\ln(ab) = \ln a + \ln b$ . For the latter, we assume  $a > b$  and use the identity  $\ln(a+b) = \ln a + \ln(1+b/a)$  to note that it suffices to approximate  $\ln(1+b/a)$ . We define  $\hat{l}_a = l_a \cdot (1 \pm \mathcal{O}(1/n^c))$  and  $\hat{l}_b = l_b \cdot (1 \pm \mathcal{O}(1/n^c))$ . In case  $\hat{l}_b - \hat{l}_a < c \ln n \implies b/a < 1/n^c$ , we approximate  $\ln(a+b)$  by  $\ln a$  since  $\ln(1+b/a) = \mathcal{O}(1/n^c)$  in this case. Otherwise, using the fact that  $l_a - l_b = o(n^2)$ , we compute:

$$1 + e^{\hat{l}_b - \hat{l}_a} = 1 + \frac{b}{a} \cdot e^{\mathcal{O}(\frac{l_b - l_a}{n^c})} = 1 + \frac{b}{a} \cdot \left(1 \pm \mathcal{O}\left(\frac{1}{n^{c-2}}\right)\right) = \left(1 + \frac{b}{a}\right) \cdot \left(1 \pm \mathcal{O}\left(\frac{1}{n^{c-2}}\right)\right)$$

In other words, the value of  $c$  decreases every time we have a sum operation. Since there are only a constant number of such arithmetic operations in the expression for  $p_d$ , we can set  $c$  to be a high enough constant (when approximating the factorials) and obtain the desired  $1 \pm 1/n^3$  approximation to  $\ln p_d$ . If  $\ln p_d < -3 \ln n$ , we approximate  $p_d = 0$ . Otherwise, we can exponentiate the approximation to obtain  $p_d \cdot e^{-\mathcal{O}(\ln n/n^3)} = p_d (1 \pm \mathcal{O}(1/n^2))$ .  $\square$

### D.4 Sampling the Height

fix

- $d < c \cdot \sqrt{B} \log n$
- $k < c \cdot \sqrt{B} \log n \implies U - D < c \cdot \sqrt{B} \log n$
- $k' < c \cdot \sqrt{B} \log n$
- $B > \log^2 n \implies \sqrt{B} \log n < B$

► **Lemma 63.** *For  $x < 1$  and  $k \geq 1$ ,*

$$1 - kx < (1 - x)^k < 1 - kx + \frac{k(k-1)}{2} x^2.$$

► **Lemma 29.**  $D_{left} \leq c_1 \frac{k \cdot \log n}{\sqrt{B}} \cdot \binom{B}{D-d}$  for some constant  $c_1$ .

**Proof.** This involves some simple manipulations.

$$D_{left} = \binom{B}{D-d} - \binom{B}{D-d-k} \quad (12)$$

$$= \binom{B}{D-d} \cdot \left[ 1 - \frac{(D-d)(D-d-1) \cdots (D-d-k+1)}{(B-D-d+k)(B-D-d+k-1) \cdots (B-D-d+1)} \right] \quad (13)$$

$$\leq \binom{B}{D-d} \cdot \left[ 1 - \left( \frac{D-d-k+1}{B-D-d+k} \right)^k \right] \quad (14)$$

$$\leq \binom{B}{D-d} \cdot \left[ 1 - \left( \frac{U+d+k-(U-D+d+k-1)}{U+d+k} \right)^k \right] \quad (15)$$

$$\leq \binom{B}{D-d} \cdot \left[ 1 - \left( \frac{U+d+k-\mathcal{O}(\log n \sqrt{B})}{U+d+k} \right)^k \right] \quad (16)$$

$$\leq \Theta\left(\frac{k \log n}{\sqrt{B}}\right) \cdot \binom{B}{D-d} \quad (17)$$

□

► **Lemma 30.**  $D_{right} < c_2 \frac{k' \log n}{\sqrt{B}} \cdot \binom{B}{U-d}$  for some constant  $c_2$ .

**Proof.**

$$D_{right} = \binom{B}{U-d} - \binom{B}{U-d-k'} \quad (18)$$

$$= \binom{B}{U-d} \cdot \left[ 1 - \frac{(U-d)(U-d-1) \cdots (U-d-k'+1)}{(B-U-d+k')(B-U-d+k'-1) \cdots (B-U-d+1)} \right] \quad (19)$$

$$\leq \binom{B}{U-d} \cdot \left[ 1 - \left( \frac{U-d-k'+1}{B-U-d+k'} \right)^{k'} \right] \quad (20)$$

$$\leq \binom{B}{U-d} \cdot \left[ 1 - \left( \frac{2D-U-d-k+1}{2U-D+k+d} \right)^{k'} \right] \quad (21)$$

$$\leq \binom{B}{U-d} \cdot \left[ 1 - \left( \frac{U+k+d-(2U-2D+2d+2k-1)}{U+k+d} \right)^{k'} \right] \quad (22)$$

$$\leq \binom{B}{U-d} \cdot \left[ 1 - \left( \frac{U+k+d-\mathcal{O}(\log n \sqrt{B})}{U+k+d} \right)^{k'} \right] \quad (23)$$

$$\leq \Theta\left(\frac{k' \log n}{\sqrt{B}}\right) \cdot \binom{B}{U-d} \quad (24)$$

□

change state-  
ment

► **Lemma 64.**  $D_{tot} \geq \binom{2B}{2D} \cdot \left[ 1 - \left( 1 - \frac{k'}{2U+1} \right)^k \right]$ .



**Proof.**

$$D_{tot} = \binom{2B}{2D} - \binom{2B}{2D-k} \quad (25)$$

$$= \binom{2B}{2D} \cdot \left[ 1 - \frac{(2D)(2D-1) \cdots (2D-k+1)}{(2B-2D+k)(2B-2D+k-1) \cdots (2B-2D+1)} \right] \quad (26)$$

$$\geq \binom{2B}{2D} \cdot \left[ 1 - \left( \frac{2D-k+1}{2B-2D+1} \right)^k \right] \quad (27)$$

$$\geq \binom{2B}{2D} \cdot \left[ 1 - \left( \frac{2U - (2U-2D+k-1)}{2U+1} \right)^k \right] \quad (28)$$

$$\geq \binom{2B}{2D} \cdot \left[ 1 - \left( \frac{(2U+1)-k'}{2U+1} \right)^k \right] \quad (29)$$

$$\geq \binom{2B}{2D} \cdot \left[ 1 - \left( 1 - \frac{k'}{2U+1} \right)^k \right] \quad (30)$$

$$(31)$$

□

Reference previous lemma

► **Lemma 28.** When  $kk' > 2U+1$ ,  $D_{tot} > \frac{1}{2} \cdot \binom{2B}{2D}$ .

**Proof.** When  $kk' > 2U+1 \implies k > \frac{2U+1}{k'}$ , we will show that the above expression is greater than  $\frac{1}{2} \binom{2B}{2D}$ . Defining  $\nu = \frac{2U+1}{k'} > 1$ , we see that  $(1 - \frac{1}{\nu})^k \leq (1 - \frac{1}{\nu})^\nu$ . Since this is an increasing function of  $\nu$  and since the limit of this function is  $\frac{1}{e}$ , we conclude that

$$1 - \left( 1 - \frac{k'}{2U+1} \right)^k > \frac{1}{2}$$

□

► **Lemma 31.** When  $kk' \leq 2U+1$ ,  $D_{tot} < c_3 \frac{k \cdot k'}{B} \cdot \binom{2B}{2D}$  for some constant  $c_3$ .

**Proof.** Now we bound the term  $1 - \left( 1 - \frac{k'}{2U+1} \right)^k$ , given that  $kk' \leq 2U+1 \implies \frac{kk'}{2U+1} \leq 1$ . Using Taylor expansion, we see that

$$1 - \left( 1 - \frac{k'}{2U+1} \right)^k \quad (32)$$

$$\leq \frac{kk'}{2U+1} - \frac{k(k-1)}{2} \cdot \frac{k'^2}{(2U+1)^2} \quad (33)$$

$$\leq \frac{kk'}{2U+1} - \frac{k^2 k'^2}{2(2U+1)^2} \quad (34)$$

$$\leq \frac{kk'}{2U+1} \left( 1 - \frac{kk'}{2(2U+1)} \right) \quad (35)$$

$$\leq \frac{kk'}{2(2U+1)} \leq \frac{kk'}{\Theta(B)} \quad (36)$$

$$(37)$$

□

### D.5 First Return Sampling

► **Lemma 36.** *If  $d > \log^4 n$ , then  $D_{left}(d) = \Theta\left(\frac{2^{2d+k}}{\sqrt{d}} e^{-r_{left}(d)} \cdot \frac{k-1}{d+k-1}\right)$  where  $r_{left}(d) = \frac{(k-2)^2}{2(2d+k-2)}$ .*

**Proof.** In what follows, we will drop constant factors: Refer to Figure 4 for the setup. The left section of the path reaches one unit above the boundary (the next step would make it touch the boundary). The number of up-steps on the left side is  $d$  and therefore the number of down steps must be  $d + k - 2$ . This includes  $d$  down steps to cancel out the upwards movement, and  $k - 2$  more to get to one unit above the boundary. The boundary for this section is  $k' = k - 1$ . This gives us:

$$D_{left}(d) = \binom{2d+k-2}{d} - \binom{2d+k-2}{d-1} \quad (38)$$

$$= \binom{2d+k-2}{d} \left[1 - \frac{d}{d+k-1}\right] = \binom{2d+k-2}{d} \frac{k-1}{d+k-1} \quad (39)$$

Now, letting  $z = 2d + k - 2$ , we can write  $d = \frac{z-(k-2)}{2} = \frac{z-\frac{k-2}{\sqrt{z}}\sqrt{z}}{2}$ . Using Lemma 26, we see that  $\frac{k-2}{\sqrt{z}}$  should be  $\mathcal{O}(\sqrt{\log n})$ . If this is not the case, we can simply return 0 because the probability associated with this value of  $d$  is negligible. Since  $z > \log^4 n$ , we can apply Lemma 59 to get:

$$D_{left}(d) = \Theta\left(\left(\frac{z}{z/2}\right) e^{\frac{(k-2)^2}{2z}} \frac{k-1}{d+k-1}\right) = \Theta\left(\frac{2^{2d+k}}{\sqrt{d}} e^{\frac{(k-2)^2}{2(2d+k-2)}} \frac{k-1}{d+k-1}\right)$$

□

► **Lemma 37.** *If  $U+D-2d-k > \log^4 n$ , then  $D_{right}(d) = \Theta\left(\frac{2^{U+D-2d-k}}{\sqrt{U+D-2d-k}} e^{-r_{right}(d)} \cdot \frac{U-D+k}{U-d+1}\right)$  where  $r_{right}(d) = \frac{(U-D-k-1)^2}{4(U+D-2d-k+1)}$ .*

**Proof.** The right section of the path starts from the original boundary. Consequently, the boundary for this section is at  $k' = 1$ . The number of up-steps on the right side is  $U - d$  and the number of down steps is  $D - d - k + 1$ . This gives us:

$$D_{right}(d) = \binom{U+D-2d-k+1}{U-d} - \binom{U+D-2d-k+1}{U-d+1} \quad (40)$$

$$= \binom{U+D-2d-k+1}{U-d} \left[1 - \frac{D-d-k-1}{U-d+1}\right] \quad (41)$$

$$= \binom{U+D-2d-k+1}{U-d} \frac{U-D+k}{U-d+1} \quad (42)$$

Now, letting  $z = U + D - 2d - k + 1$ , we can write  $U - d = \frac{z+(U-D+k-1)}{2} = \frac{z+\frac{U-D+k-1}{\sqrt{z}}\sqrt{z}}{2}$ . Using Lemma 26, we see that  $\frac{U-D+k-1}{\sqrt{z}}$  should be  $\mathcal{O}(\sqrt{\log n})$ . If this is not the case, we can simply return 0 because the probability associated with this value of  $d$  is negligible. Since  $z > \log^4 n$ , we can apply Lemma 59 to get:

$$D_{right}(d) = \Theta\left(\left(\frac{z}{z/2}\right) e^{\frac{(U-D+k-1)^2}{2z}} \frac{U-D+k}{U-d+1}\right) \quad (43)$$

$$= \Theta\left(\frac{2^{U+D-2d-k}}{\sqrt{U+D-2d-k}} e^{\frac{(U-D+k-1)^2}{2(U+D-2d-k+1)}} \frac{U-D+k}{U-d+1}\right) \quad (44)$$

□