

# Lecture # 9

## Counting Sort

# Theorem 8.1

- *Any comparison-based sorting algorithm has worst-case running time  $\Omega(n \log n)$*
- Proof: (Book Page No 167, 2<sup>nd</sup> Edition)

- The lower bound implies that if we hope to sort numbers faster than  $O(n \log n)$ , we cannot do it by making comparisons alone.
- Is it possible to sort without making comparisons?
- The answer is yes, but only under very restrictive circumstances.

# Counting Sort

- We will consider Counting Sort algorithm that is faster and work by not making comparisons.
- Counting sort assumes that the numbers to be sorted are in the range 1 to  $k$  where  $k$  is small. The basic idea is to determine the rank of each number in final sorted array.
- The rank of an item is the *number of elements that are less than or equal to it*.
- Once we know the ranks, we simply copy numbers to their final position in an output array.

# Counting Sort

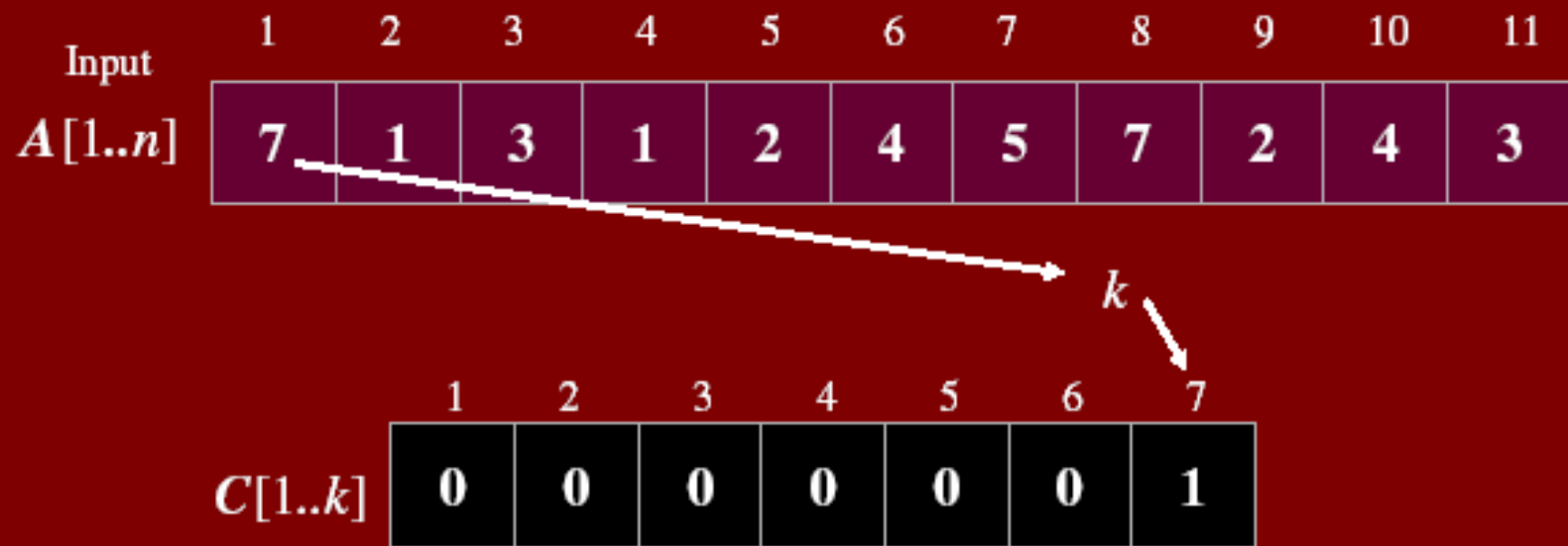
- The algorithm uses three arrays. As usual,
- $A[1..n]$  holds the initial input,
- $B[1..n]$  holds the sorted output and
- $C[1..k]$  is an array of integers.  $C[x]$  is the rank of  $x$  in  $A$ , where  $x \in [1..k]$ .

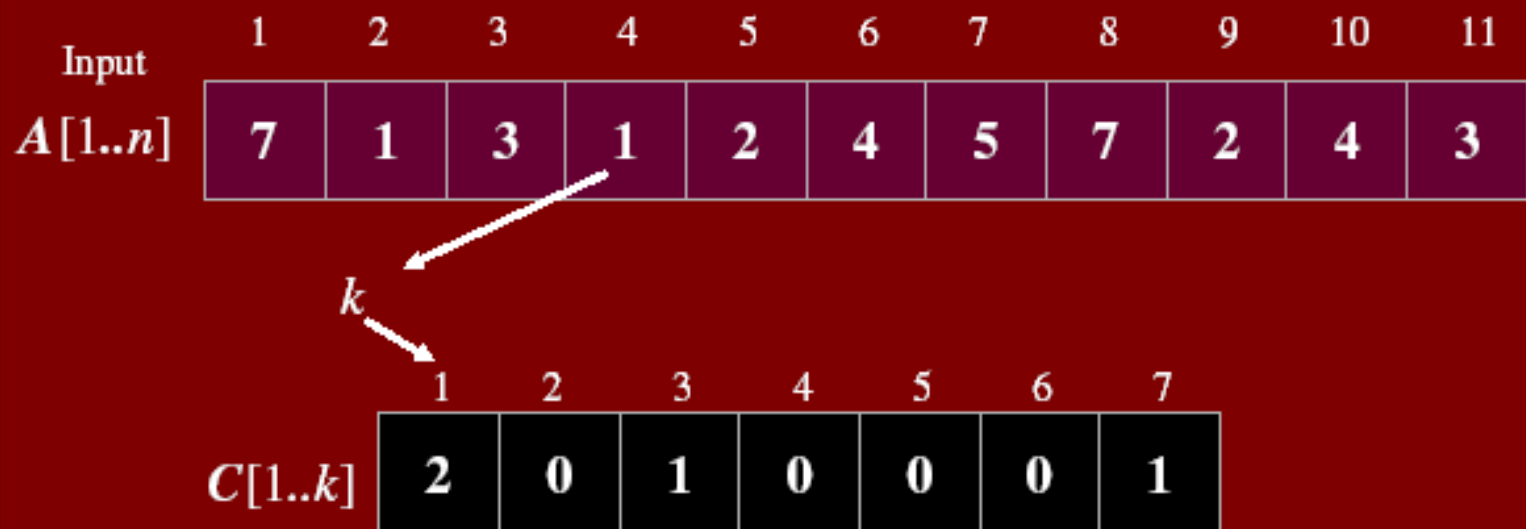
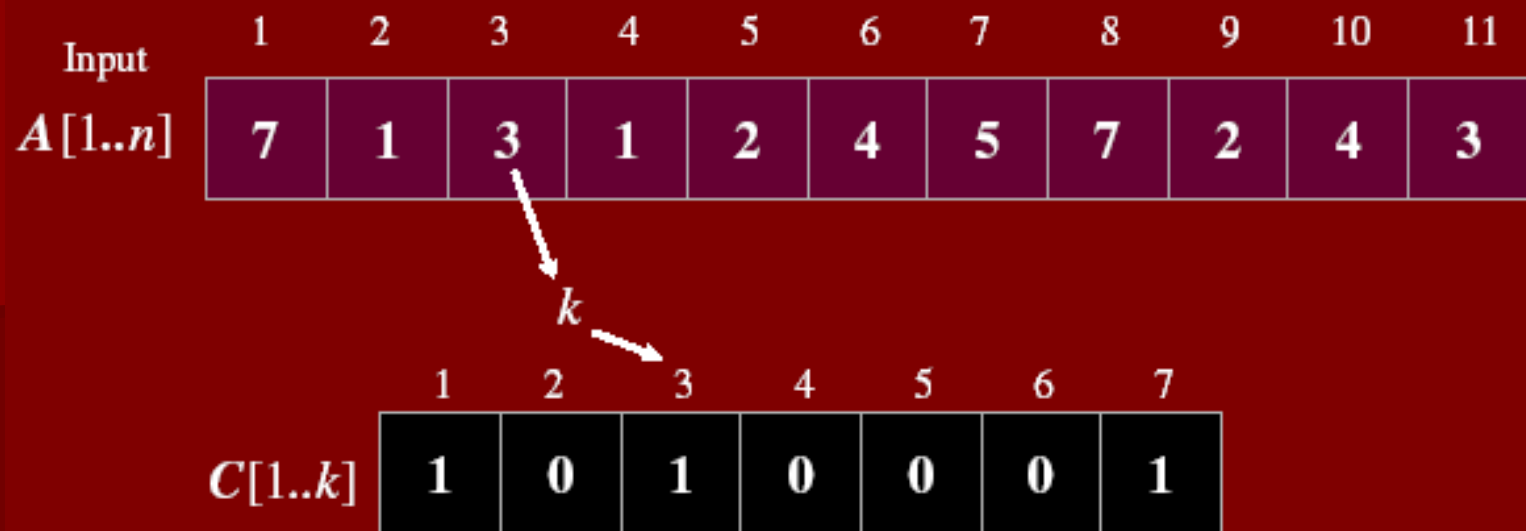
# Example

Input	1	2	3	4	5	6	7	8	9	10	11
$A[1..n]$	7	1	3	1	2	4	5	7	2	4	3

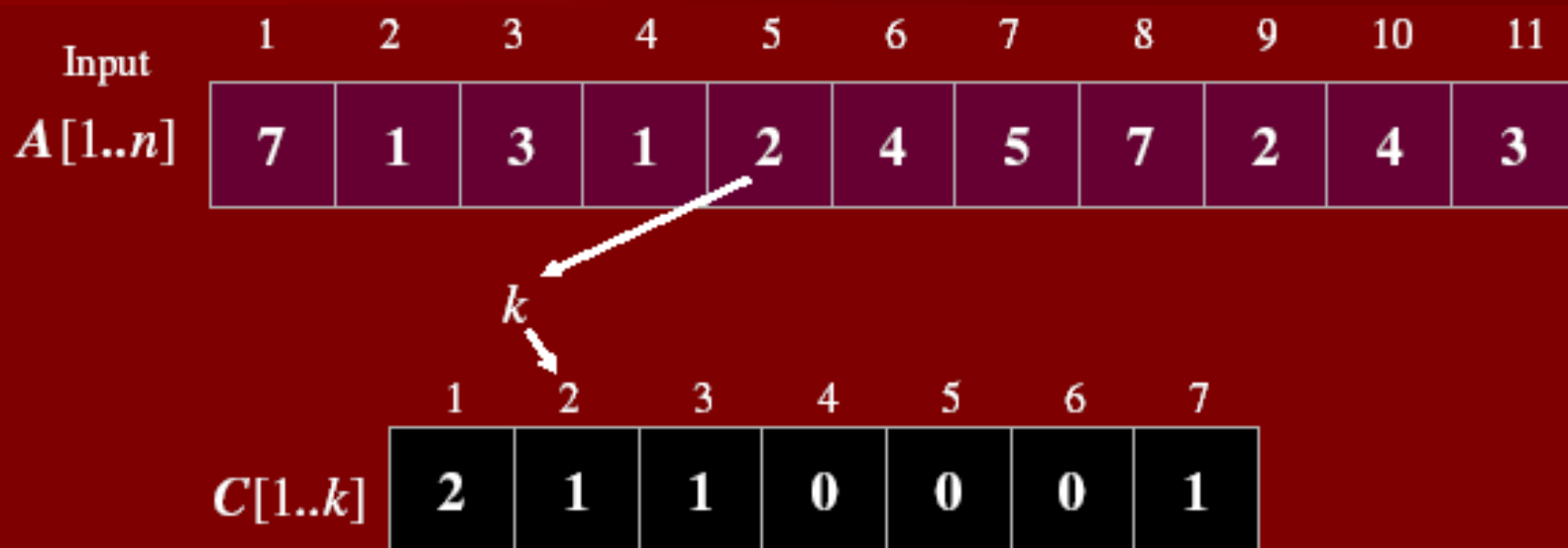
$k = 7$

	1	2	3	4	5	6	7
$C[1..k]$	0	0	0	0	0	0	0









Input	1	2	3	4	5	6	7	8	9	10	11
$A[1..n]$	7	1	3	1	2	4	5	7	2	4	3

	1	2	3	4	5	6	7
$C[1..k]$	2	2	2	2	1	0	2

for  $i = 2$  to 7  
 do  $C[i] = C[i] + C[i-1]$

	1	2	3	4	5	6	7
$C$	2	4	6	8	9	9	11

↓  
 6 elements  $\leq 3$

Input											
$A[1..n]$	7	1	3	1	2	4	5	7	2	4	3
	1	2	3	4	5	6	7	8	9	10	11
Output											
$B[1..n]$						3					

$$B[6] = B[C[3]] = B[C[A[11]]] = A[11] = 3$$

	1	2	3	4	5	6	7
$C$	2	4	6	8	9	9	11

$$C[A[11]] = C[A[11]] - 1$$

$C$	2	4	5	8	9	9	11
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Input											
$A[1..n]$	7	1	3	1	2	4	5	7	2	4	3
	1	2	3	4	5	6	7	8	9	10	11
Output											
$B[1..n]$						3		4			

$B[8] = B[C[4]] = B[C[A[10]]] = A[10] = 4$

	1	2	3	4	5	6	7
$C$	2	4	5	8	9	9	11

$C[A[10]] = C[A[10]] - 1$

$C$	2	4	5	7	9	9	11
-----	---	---	---	---	---	---	----

Input											
$A[1..n]$	7	1	3	1	2	4	5	7	2	4	3
	1	2	3	4	5	6	7	8	9	10	11
Output											
$B[1..n]$				2		3		4			

$B[4] = B[C[2]] = B[C[A[9]]] = A[9] = 2$

	1	2	3	4	5	6	7
$C$	2	4	5	7	9	9	11

$C[A[9]] = C[A[9]] - 1$

$C$	2	3	5	7	9	9	11
-----	---	---	---	---	---	---	----

Input											
$A[1..n]$	7	1	3	1	2	4	5	7	2	4	3
	1	2	3	4	5	6	7	8	9	10	11

Output											
$B[1..n]$				2		3		4			7

$$B[11] = B[C[7]] = B[C[A[8]]] = A[8] = 7$$

	1	2	3	4	5	6	7
$C$	2	3	5	7	9	9	11

$C$	2	3	5	7	9	9	10

Input											
$A[1..n]$	7	1	3	1	2	4	5	7	2	4	3
	1	2	3	4	5	6	7	8	9	10	11
Output											
$B[1..n]$				2		3		4	5		7

$$B[9] = B[C[5]] = B[C[A[7]]] = A[7] = 5$$

	1	2	3	4	5	6	7
$C$	2	3	5	7	9	9	10

$$C[A[5]] = C[A[5]] - 1$$

$C$	2	3	5	7	8	9	10
-----	---	---	---	---	---	---	----

Input											
$A[1..n]$	7	1	3	1	2	4	5	7	2	4	3
	1	2	3	4	5	6	7	8	9	10	11
Output											
$B[1..n]$				2		3	4	4	5		7

$$B[7] = B[C[4]] = B[C[A[6]]] = A[6] = 4$$

	1	2	3	4	5	6	7
$C$	2	3	5	7	8	9	10

$$C[A[6]] = C[A[6]] - 1$$

$C$	2	3	5	6	8	9	10
-----	---	---	---	---	---	---	----



Input											
$A[1..n]$	7	1	3	1	2	4	5	7	2	4	3
	1	2	3	4	5	6	7	8	9	10	11
Output											
$B[1..n]$			2	2		3	4	4	5		7

$$B[3] = B[C[2]] = B[C[A[5]]] = A[5] = 2$$

	1	2	3	4	5	6	7
$C$	2	3	5	7	8	9	10

$$C[A[5]] = C[A[5]] - 1$$

$C$	2	2	5	6	8	9	10
-----	---	---	---	---	---	---	----

Input											
$A[1..n]$	7	1	3	1	2	4	5	7	2	4	3
	1	2	3	4	5	6	7	8	9	10	11
Output											
$B[1..n]$		1	2	2		3	4	4	5		7

$$B[2] = B[C[1]] = B[C[A[4]]] = A[4] = 1$$

	1	2	3	4	5	6	7
$C$	2	2	5	7	8	9	10

$$C[A[4]] = C[A[4]] - 1$$

$C$	1	2	5	6	8	9	10
-----	---	---	---	---	---	---	----

Input											
$A[1..n]$	7	1	3	1	2	4	5	7	2	4	3
	1	2	3	4	5	6	7	8	9	10	11
Output											
$B[1..n]$		1	2	2	3	3	4	4	5		7

$$B[5] = B[C[3]] = B[C[A[3]]] = A[3] = 3$$

	1	2	3	4	5	6	7
$C$	1	2	5	7	8	9	10

$$C[A[3]] = C[A[3]] - 1$$

$C$	1	2	4	6	8	9	10
-----	---	---	---	---	---	---	----

Input											
$A[1..n]$	7	1	3	1	2	4	5	7	2	4	3
	1	2	3	4	5	6	7	8	9	10	11

Output											
$B[1..n]$	1	1	2	2	3	3	4	4	5		7

$B[1] = B[C[1]] = B[C[A[2]]] = A[2] = 1$

	1	2	3	4	5	6	7
$C$	1	2	4	7	8	9	10

$C[A[3]] = C[A[3]] - 1$

$C$	0	2	4	6	8	9	10
-----	---	---	---	---	---	---	----

Input											
$A[1..n]$	7	1	3	1	2	4	5	7	2	4	3
	1	2	3	4	5	6	7	8	9	10	11
Output											
$B[1..n]$	1	1	2	2	3	3	4	4	5	7	7

$$B[10] = B[C[7]] = B[C[A[1]]] = A[1] = 7$$

	1	2	3	4	5	6	7
$C$	0	2	4	7	8	9	10

$$C[A[1]] = C[A[1]] - 1$$

$C$	0	2	4	6	8	9	9
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# Counting Sort Algorithm

COUNTING-SORT( array  $A$ , array  $B$ , int  $k$ )

```
1  for  $i \leftarrow 1$  to  $k$ 
2  do  $C[i] \leftarrow 0$            k times
3  for  $j \leftarrow 1$  to  $\text{length}[A]$ 
4  do  $C[A[j]] \leftarrow C[A[j]] + 1$        n times
5  // C[i] now contains the number of elements = i
6  for  $i \leftarrow 2$  to  $k$ 
7  do  $C[i] \leftarrow C[i] + C[i - 1]$        k times
8  // C[i] now contains the number of elements  $\leq i$ 
9  for  $j \leftarrow \text{length}[A]$  downto 1
10 do  $B[C[A[j]]] \leftarrow A[j]$ 
11     $C[A[j]] \leftarrow C[A[j]] - 1$        n times
```

- There are four (unnested) loops, executed  $k$  times,  $n$  times,  $k - 1$  times, and  $n$  times, respectively,
- so the total running time is  $\Theta(n + k)$  time.
- If  $k = \Theta(n)$ , then the total running time is  $\Theta(n)$ .