Higher-order Arities, Signatures and Equations via Modules

Ambroise Lafont

joint work with Benedikt Ahrens, André Hirschowitz, Marco Maggesi

Work submitted to FSCD 2019

Keywords associated with syntax

Recursion

Substitution



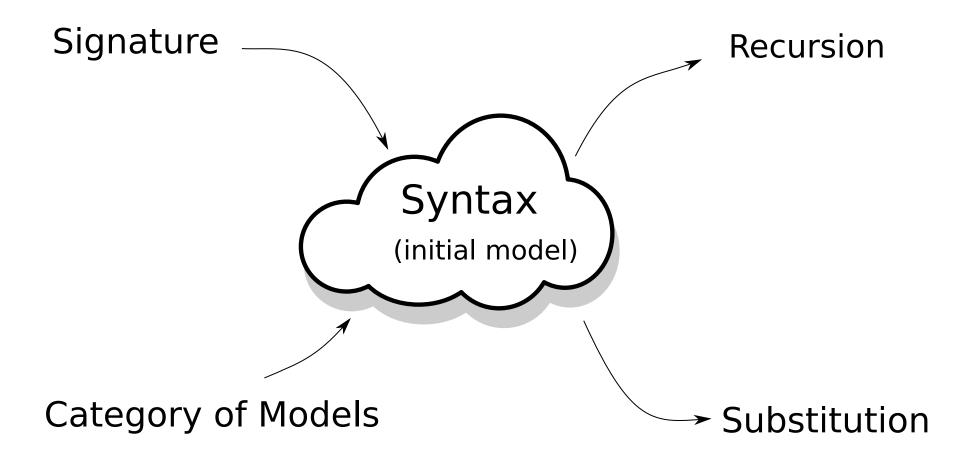
Model

Operation/Construction

Arity/Signature

This talk: give a mathematical framework which catch 'em all

What is a syntax?



generates a syntax = existence of the initial model

Our target: LCD

Syntax of the differentiable λ-calculus:

```
Simple terms s,t \in \Lambda
```

```
\begin{array}{lll} s,t & ::= & x & \text{(variable)} \\ & \mid & \lambda x.t & \text{(modulo $\alpha$-renaming of $x$)} \\ & \mid & s t & \\ & \mid & Ds \cdot t & \\ & \mid & s+t & \text{(modulo associativity and commutativity)} \\ & \mid & 0 & \text{(neutral element for } +) \end{array}
```

Our target: LCD

Syntax of the differentiable λ-calculus:

```
Simple terms s,t \in \Lambda
```

```
\begin{array}{lll} s,t & ::= & x & \text{(variable)} \\ & \mid & \lambda x.t & \text{(modulo $\alpha$-renaming of $x$)} \\ & \mid & s t & \\ & \mid & Ds \cdot t & \\ & \mid & s+t & \text{(modulo associativity and commutativity)} \\ & \mid & 0 & \text{(neutral element for } +) \end{array}
```

subject to the following equation:

$$D(Ds \cdot t) \cdot u = D(Ds \cdot u) \cdot t$$

Our target: LCD

Syntax of the differentiable λ-calculus:

```
Simple terms s,t \in \Lambda
```

```
\begin{array}{lll} s,t & ::= & x & \text{(variable)} \\ & \mid & \lambda x.t & \text{(modulo $\alpha$-renaming of $x$)} \\ & \mid & s t & \\ & \mid & Ds \cdot t & \\ & \mid & s+t & \text{(modulo associativity and commutativity)} \\ & \mid & 0 & \text{(neutral element for } +) \end{array}
```

subject to the following equation:

$$D(Ds \cdot t) \cdot u = D(Ds \cdot u) \cdot t$$

and linearity of constructors with respect to +:

$$\lambda x.(s+t) = \lambda x.s + \lambda x.t$$
 ...

Overview

Topic: specification and construction of untyped syntaxes with variables and a well-behaved substitution (e.g. lambda calculus).

Our work:

- 1. general notion of *1-signature* based on *monads* and *modules*.
 - Caveat: Not all of them do generate a syntax
 - special case: classical *algebraic 1-signatures* generate a syntax
- 2. notion of **2-signature**: a pair of a 1-signature and a set of equations.
 - special case: *algebraic 2-signatures* generate a syntax

Some examples covered by our result

Operations:

Commutative binary operation

$$m: T \times T \to T$$
 s.t. $m(t, u) = m(u, t)$

Fixed point operation

Some examples covered by our result

Operations:

Commutative binary operation

$$m: T \times T \to T$$
 s.t. $m(t, u) = m(u, t)$

Fixed point operation

More extensive examples (set of operations with equations):

- λ-calculus modulo βη
- differential λ-calculus

Table of contents

1. Review: Binding signatures and their models

2. 1-Signatures and models based on monads and modules

3. Equations

4. Recursion

Table of contents

1. Review: Binding signatures and their models

- Categorical formulation of term languages
- Initial semantics for binding signatures

- 2. 1-Signatures and models based on monads and modules
- 3. Equations
- 4. Recursion

Categorical formulation of a term language

Example: syntax with a binary operation \star , a constant 0, and variables

$$egin{array}{ll} ext{expr} ::= x & ext{(variable)} \ & |t_1 \bigstar t_2 & ext{(binary operation)} \ & |0 & ext{(constant)} \end{array}$$

The syntax can be considered as the endofunctor B (on Set):

$$B: X \mapsto \{\text{expressions over } X\}$$

For example:

$$B(\emptyset) = \{0, 0 \star 0, \dots\}$$

$$B(\{x, y\}) = \{0, 0 \star 0, \dots, x, y, x \star y, \dots\}$$

Categorical formulation of a term language

Then we have:

$$\bigstar: B \times B \stackrel{\centerdot}{\rightarrow} B$$

$$0: \quad 1 \quad \stackrel{\centerdot}{\rightarrow} B$$

$$\operatorname{var}: \operatorname{Id}_{\operatorname{Set}} \to B$$

Putting all together:

$$B \times B + 1 + \operatorname{Id}_{\operatorname{Set}} \to B$$

i.e. B is an algebra for the endofunctor $F\mapsto F imes F+1+{
m Id}_{
m Set}$ on the category ${
m End}_{
m Set}$.

Actually, B can be **characterized** as the initial algebra.

Binding Signatures

Definition

Binding signature = a family of lists of natural numbers.

Each list specifies one operation in the syntax:

- length of the list = number of arguments of the operation
- natural number in the list = number of bound variables in the corresponding argument

Syntax with 0, ★:

Lambda calculus:

Initial semantics for binding signatures

Reminder

The syntax $(0, \star)$ is the initial algebra for the endofunctor:

$$F \mapsto F \times F + 1 + \operatorname{Id}_{\operatorname{Set}}$$

More generally, any binding signature gives rise to an endofunctor Σ .

Definition

Model = $(\Sigma + Id_{Set})$ -algebra

Classical Theorem

The initial $(\Sigma + \mathrm{Id}_{\mathrm{Set}})$ -algebra of a binding signature Σ always exists.

Question: Does this initial algebra come with a well-behaved

substitution?

Answer: Yes: see e.g. [Fiore, Plotkin, Turi 1999], [Ghani & Uustalu 2003]

Table of contents

1. Review: Binding signatures and their models

2. 1-Signatures and models based on monads and modules

- Our take on substitution
- Our take on 1-signatures, models and syntax
- Our take on binding 1-signatures
- 3. Equations
- 4. Recursion

Binding signatures \hookrightarrow Our 1-signatures

A **1-signature** Σ is a functorial assignment:

$$R \mapsto \Sigma(R)$$

A **model of** Σ is a pair:

$$(R, \rho: \Sigma(R) \to R)$$

monad := endofunctor with substitution

module over a monad := endofunctor with substitution

Binding signatures \hookrightarrow Our 1-signatures

A **1-signature** Σ is a functorial assignment:

$$R\mapsto \Sigma(R)$$

A **model of** Σ is a pair:

$$(R, \rho: \Sigma(R) \to R)$$

monad := endofunctor with substitution

module over a monad := endofunctor with substitution

Binding signatures \hookrightarrow Our 1-signatures

A **1-signature** Σ is a functorial assignment:

$$R\mapsto \Sigma(R)$$
 module over R

A **model of** Σ is a pair:

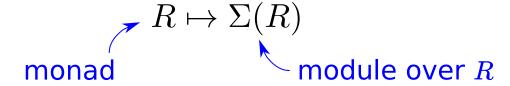
$$(R, \rho: \Sigma(R) \to R)$$

monad := endofunctor with substitution

module over a monad := endofunctor with substitution

Binding signatures \hookrightarrow Our 1-signatures

A **1-signature** Σ is a functorial assignment:



A **model of** Σ is a pair:

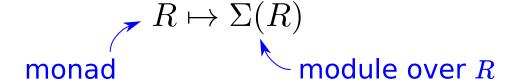
$$(R, \quad \rho: \Sigma(R) \to R)$$
 monad

monad := endofunctor with substitution

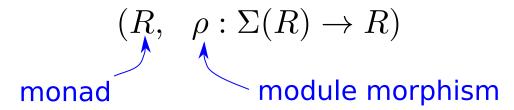
module over a monad := endofunctor with substitution

Binding signatures \hookrightarrow Our 1-signatures

A **1-signature** Σ is a functorial assignment:



A **model of** Σ is a pair:



monad := endofunctor with substitution

module over a monad := endofunctor with substitution

Substitution and monads

Reminder:

- B(X) = expressions built out of 0, \star and variables taken in X
- Variables induce a natural transformation $\mathrm{var}:\mathrm{Id}_{\mathrm{Set}} o B$

Substitution:

$$\mathrm{bind}: B(X) o (X o B(Y)) o B(Y)$$
 + laws

A triple (B, var, bind) is called a **monad**.

monad morphism = mapping preserving var and bind.

Monads

- 1. $B : Set \rightarrow Set$ $B(X) = expressions \ built \ out \ of \ 0, \ \star \ and \ variables \ taken \ in \ X$
- 2. A collection of functions $(\operatorname{var}_X:X\to B(X))_X$ Variables are expressions
- 3. For each function $u: X \to B(Y)$, a function $\operatorname{bind}_u: B(X) \to B(Y)$ Parallel substitution

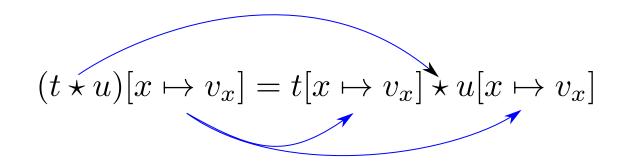
Notation:
$$\operatorname{bind}_{\mathbf{u}}(\mathbf{t}) = \mathbf{t}[\mathbf{x} \mapsto \mathbf{u}(\mathbf{x})]$$

4. Monadic laws:

$$egin{aligned} & \mathrm{var}(\mathbf{y})[\mathbf{x}\mapsto\mathbf{u}(\mathbf{x})] = \mathbf{u}(\mathbf{y}) \\ & \mathbf{t}[\mathbf{x}\mapsto\mathbf{var}(\mathbf{x})] = \mathbf{t} \\ & \mathbf{t}[\mathbf{x}\mapsto\mathbf{f}(\mathbf{x})][\mathbf{y}\mapsto\mathbf{g}(\mathbf{y})] = \mathbf{t}[\mathbf{x}\mapsto\mathbf{f}(\mathbf{x})[\mathbf{y}\mapsto\mathbf{g}(\mathbf{y})] \] \end{aligned}$$

Preview: Operations are module morphisms

★ commutes with substitution



Categorical formulation

 $B \times B$ supports B-substitution $\bigcirc \bigcirc \longrightarrow B \times B$ is a **module over** B

 \star commutes with substitution \frown $\star: B \times B \to B$ is a **module morphism**

Modules VS Monads

Monad

- 1. $B : Set \rightarrow Set$ $B(X) = expressions \ built \ out \ of \ 0, \ \star \ and \ variables \ taken \ in \ X$
- 2. A collection of functions $(\operatorname{var}_X:X\to B(X))_X$ Variables are expressions
- 3. For each function $u: X \to B(Y)$, a function $\operatorname{bind}_u: B(X) \to B(Y)$ Parallel substitution

Notation:
$$\operatorname{bind}_{\mathrm{u}}(\mathrm{t}) = \mathrm{t}[\mathrm{x} \mapsto \mathrm{u}(\mathrm{x})]^{\mathrm{B}}$$

4. Substitution laws:

$$egin{aligned} & \operatorname{var}(y)[x \mapsto u(x)]^B = u(y) \\ & t[x \mapsto \operatorname{var}(x)]^B = t \\ & t[x \mapsto f(x)]^B[y \mapsto g(y)]^B = t[x \mapsto f(x)[y \mapsto g(y)]^B]^B \end{aligned}$$

Modules VS Monads

Monad Module over a monad B (e.g. $B \times B, 2, ...$)

- 1. $M : Set \rightarrow Set$ $M(X) = expressions \ taking \ variables \ in \ X$
- 2. A collection of functions $(var_X : X \to M(X))_X$
- 3. For each function $u: X \to B(Y)$, a function $\operatorname{bind}_u: M(X) \to M(Y)$ Parallel substitution

Notation:
$$\operatorname{bind}_{\mathbf{u}}(\mathbf{t}) = \mathbf{t}[\mathbf{x} \mapsto \mathbf{u}(\mathbf{x})]^{\mathbf{M}}$$

4. Substitution laws:

$$\begin{split} \frac{var(y)[x\mapsto u(x)] = u(y)}{t[x\mapsto var(x)]^M = t} \\ t[x\mapsto f(x)]^M[y\mapsto g(y)]^M = t[x\mapsto f(x)[y\mapsto g(y)]^B \,]^M \end{split}$$

Building blocks for binding signatures

Essential constructions of **modules over a monad** R:

- R itself
- $M \times N$ for any modules M and N (in particular, $R \times R$)
- The **derivative of a module** M is the module M' defined by $M'(X) = M(X + \{ \diamond \}).$

The derivative is used to model an operation binding a variable (Cf next slide).

Syntactic operations are module morphisms

module morphism = maps commuting with substitution.

$$id_{M}:M
ightarrow M$$

$$0:1 \rightarrow B$$

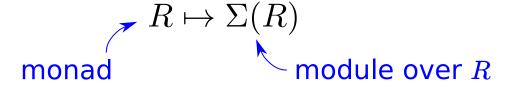
$$\bigstar: B \times B \rightarrow B$$

$$app: \varLambda \times \varLambda \to \varLambda$$

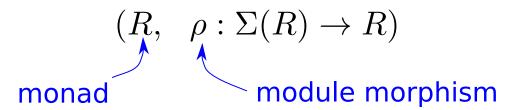
$$abs: arLambda^{\scriptscriptstyle{\mathsf{I}}} o arLambda$$

The Big Picture again

A **1-signature** Σ is a functorial assignment:



A **model of** Σ is a pair:



A **model morphism** $m:(R,\rho)\to (S,\sigma)$ is a monad morphism commuting with the module morphism: $\Sigma(R) \xrightarrow{\rho} R$

$$\Sigma(R) \xrightarrow{\rho} R$$

$$\Sigma(m) \downarrow \qquad \qquad \downarrow m$$

$$\Sigma(S) \xrightarrow{\sigma} S$$

Syntax

Definition

Given a 1-signature Σ , its **syntax** is an initial object in its category of models.

Question: Does the syntax exist for every 1-signature?

Answer: No.

Counter-example: the 1-signature $R \mapsto \mathscr{P} \circ R$

powerset endofunctor on Set

Examples of 1-signatures generating syntax

(0,★) language:

```
Signature: R \mapsto \mathbf{1} + R \times R
```

Model:
$$(R , 0: 1 \rightarrow R, \bigstar : R \times R \rightarrow R)$$

Syntax:
$$(B, 0: 1 \rightarrow B, \star : B \times B \rightarrow B)$$

lambda calculus:

Signature: $R \mapsto R' + R \times R$

Model: $(R \text{ , } abs: R^{\textbf{\tiny{I}}}
ightarrow R \text{ , } app: R imes R
ightarrow R)$

Syntax: (Λ , $abs: \Lambda' o \Lambda$, $app: \Lambda imes \Lambda o \Lambda$)

Can we generalize this pattern?

Initial semantics for algebraic 1-signatures

Syntax exists for any **algebraic 1-signature**, i.e. 1-signature built out of derivatives, products, coproducts, and the trivial 1-signature $R \mapsto R$.

Algebraic 1-signatures correspond to binding signatures through the embedding:

Binding signatures \hookrightarrow Our 1-signatures

Question: Can we enforce some equations in the syntax?

For example: lambda calculus modulo beta and eta.

Table of contents

- 1. Review: Binding 1-signatures and their models
- 2. 1-Signatures and models based on monads and modules

3. Equations

4. Recursion

Example: a commutative binary operation

Specification of a binary operation

1-Signature: $R \mapsto R \times R$

Model: $(R, + : R \times R \rightarrow R)$

What is an appropriate notion of model for a commutative binary operation ?

Example: a commutative binary operation

Specification of a commutative binary operation

1-Signature: $R \mapsto R \times R$

Model: $(R, +: R \times R \rightarrow R)$ s.t. t+u=u+t (1)

What is an appropriate notion of model for a commutative binary operation ?

Answer: a monad equipped with a commutative binary operation

Example: a commutative binary operation

Specification of a commutative binary operation

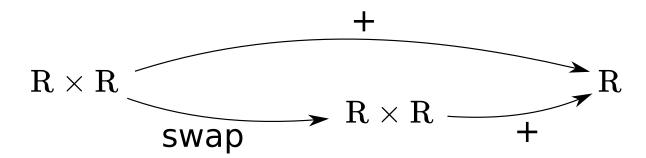
1-Signature: $R \mapsto R \times R$

Model: $(R, +: R \times R \rightarrow R)$ s.t. t+u=u+t (1)

What is an appropriate notion of model for a commutative binary operation ?

Answer: a monad equipped with a commutative binary operation

Equation (1) states an equality between R-module morphisms:



Review: Signatures with equations

• [Fiore-Hur 2010]: existence of an initial model for an inductively defined (with a specific syntax) set of possible equations.

• [AHLM CSL 2018]: "quotients" of algebraic 1-signatures generate a syntax (e.g. a binary commutative operation).

Review: Signatures with equations

• [Fiore-Hur 2010]: existence of an initial model for an inductively defined (with a specific syntax) set of possible equations.

Our framework: alternative approach where monads and modules are the central notions.

• [AHLM CSL 2018]: "quotients" of algebraic 1-signatures generate a syntax (e.g. a binary commutative operation).

Review: Signatures with equations

• [Fiore-Hur 2010]: existence of an initial model for an inductively defined (with a specific syntax) set of possible equations.

Our framework: alternative approach where monads and modules are the central notions.

• [AHLM CSL 2018]: "quotients" of algebraic 1-signatures generate a syntax (e.g. a binary commutative operation).

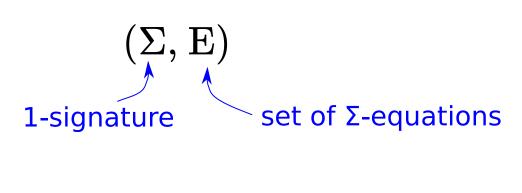
This work: more general equations (e.g. λ -calculus modulo $\beta\eta$).

Equations

Given a 1-signature Σ , a Σ -equation $A \Rightarrow B$ is a functorial assignment

$$R \mapsto \left(\begin{array}{c} A(R) \Longrightarrow B(R) \\ & \text{parallel pair of module} \\ & \text{morphisms over } R \end{array}\right)$$

A 2-signature is a pair



model of a 2-signature (Σ, E) :

- a model R of Σ
- s.t. \forall (A \Rightarrow B) \in E, the two morphisms $A(R) \Rightarrow B(R)$ are equal

Algebraic 2-signatures

Given a 1-signature Σ , a Σ -equation $A \Rightarrow B$ is **elementary** if:

- 1. A "preserves pointwise epimorphisms"
 - (e.g., any "algebraic 1-signature")
- 2. B is of the form $R \mapsto R'^{...}$ (e.g. $R \mapsto R$)

Algebraic 2-signature: (Σ, E) set of **elementary** algebraic 1-signature

Syntax exists for any algebraic 2-signature

Σ-equations

Example: λ-calculus modulo βη

The algebraic 2-signature $(\Sigma_{LC\beta\eta}, E_{LC\beta\eta})$ of λ -calculus modulo $\beta\eta$:

$$\mathbf{\Sigma}_{\mathrm{LCBn}}\left(\mathrm{R}
ight) := \Sigma_{\mathrm{LC}}(\mathrm{R}) = \mathrm{R} imes \mathrm{R} + \mathrm{R}'$$

model of Σ_{1C} = monad R with module morphisms:

$$app: R \times R \to R$$
 $abs: R' \to R$

β-equation:
$$(\lambda x.t) u = \underline{t[x \mapsto u]}$$
 η-equation: $t = \lambda x.(t x)$ $\sigma_R(t,u)$

$$\mathbf{E}_{\mathbf{LC}\boldsymbol{\beta}\boldsymbol{\eta}} = \{ \beta \text{-equation}, \eta \text{-equation} \}$$

Example: λ-calculus modulo βη

The algebraic 2-signature $(\Sigma_{LC\beta\eta}, E_{LC\beta\eta})$ of λ -calculus modulo $\beta\eta$:

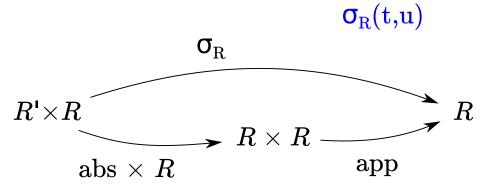
$$\mathbf{\Sigma}_{\mathrm{LCBn}}\left(\mathrm{R}
ight):=\Sigma_{\mathrm{LC}}(\mathrm{R})=\mathrm{R} imes\mathrm{R}+\mathrm{R}^{\prime}$$

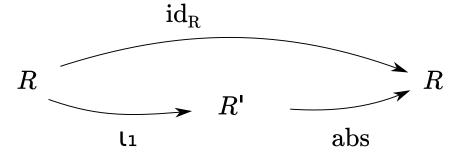
model of Σ_{1C} = monad R with module morphisms:

$$app: R \times R \to R$$
 $abs: R' \to R$

$$\pmb{\beta\text{-equation: } (\lambda x.t) \ u = t[x \mapsto u]}$$

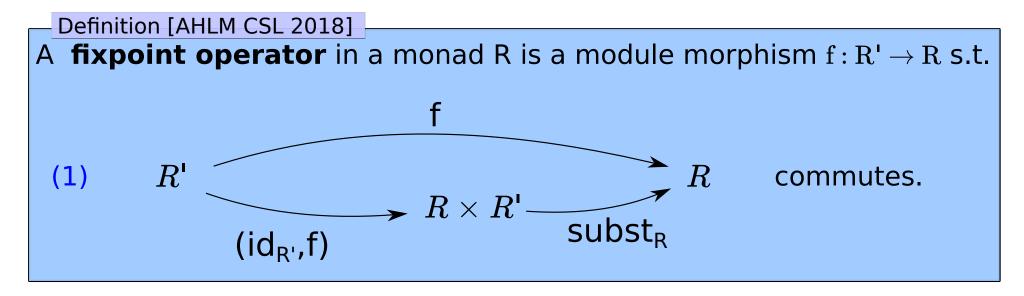
η-equation: $t = \lambda x.(t x)$





$$\mathbf{E}_{\mathbf{LC}\boldsymbol{\beta}\boldsymbol{\eta}} = \{ \beta \text{-equation}, \eta \text{-equation} \}$$

Example: fixpoint operator



The algebraic 2-signature (Σ_{fix}, E_{fix}) of a fixpoint operator:

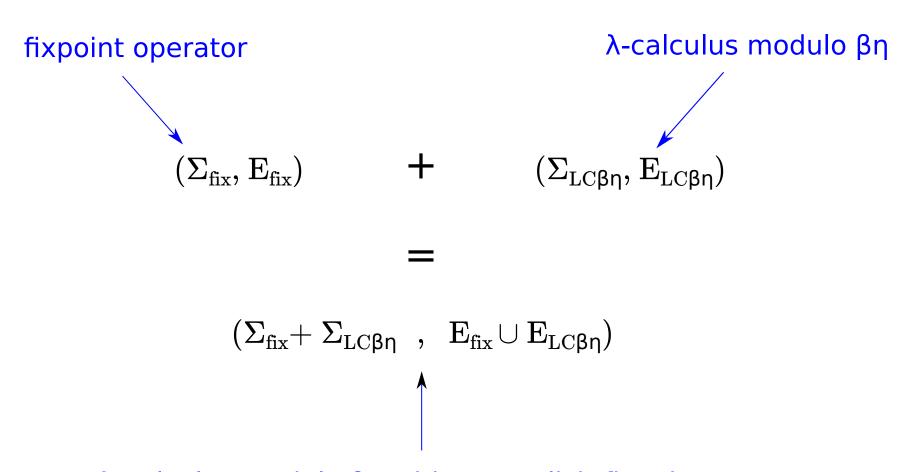
$$\Sigma_{ ext{fix}}\left(\mathrm{R}
ight) := \mathrm{R'} \qquad \qquad \mathrm{E}_{ ext{fix}} = \left\{ \ egin{pmatrix} egin{pmatrix}$$

Proposition [AHLM CSL 2018]

Fixpoint operators in $LC_{\beta\eta}$ are in one to one correspondance with fixpoint combinators (i.e. λ -terms Y s.t. t (Yt) = Yt for any t).

Combining algebraic 2-signatures

Algebraic 2-signatures can be combined:



 λ -calculus modulo $\beta\eta$ with an explicit fixpoint operator

Example: free monoid

An algebraic 2-signature $(\Sigma_{\mathrm{mon}}\,,\,\mathrm{E}_{\mathrm{mon}})$ for the free monoid monad $\mathrm{X}\mapsto\coprod_{\mathrm{n}}\mathrm{X}^{\mathrm{n}}$

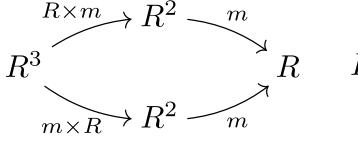
$$\Sigma_{\text{mon}}(R) := 1 + R \times R$$

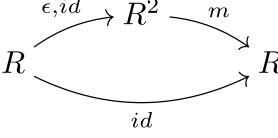
model of Σ = monad R with module morphisms:

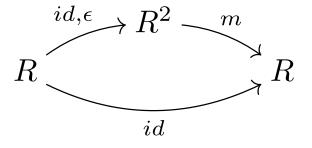
$$\epsilon: 1 \to R$$

$$\epsilon: 1 \to R$$
 $m: R \times R \to R$

3 elementary Σ-equations:







associativity

left unit

right unit

Our target: LCD

Syntax of the differentiable λ-calculus:

Simple terms $s,t \in \Lambda$

$$\left.\begin{array}{c} s,t ::= & x \\ & \mid & \lambda x.t \\ & \mid & s t \end{array}\right\} \quad \lambda\text{-calculus}$$

$$\left.\begin{array}{c} \mid & s + t \\ & \mid & 0 \end{array}\right\} \quad \text{free commutative monoid}$$

subject to the following equation:

$$D(Ds \cdot t) \cdot u = D(Ds \cdot u) \cdot t$$

and linearity of constructors with respect to +:

$$\lambda x.(s+t) = \lambda x.s + \lambda x.t$$
 ...

Algebraic 1-signature for LCD

Syntax of the differentiable λ-calculus:

Simple terms $s,t \in \Lambda$ Corresponding 1-signature

Algebraic 1-signature for LCD

Syntax of the differentiable λ-calculus:

Simple terms $s,t \in \Lambda$ Corresponding 1-signature

Resulting algebraic 1-signature:

$$\Sigma_{
m LCD}({
m R}) = \Sigma_{
m LC}({
m R}) + {
m R} imes {
m R} + \Sigma_{
m mon}({
m R})$$

Elementary equations for LCD

Commutative monoidal structure:

$$\begin{array}{c} s+t=t+s & R\times R \rightrightarrows R \\ \\ E_{mon} & \begin{cases} s+(t+u)=(s+t)+u & R\times R\times R \rightrightarrows R \\ 0+t=t & R\rightrightarrows R \\ t+0=t & R\rightrightarrows R \end{array}$$

Differentiation:

$$D(Ds \cdot t) \cdot u = D(Ds \cdot u) \cdot t \qquad \qquad R \times R \times R \rightrightarrows R$$

Linearity:

$$\begin{split} \lambda x.(s+t) &= \lambda x.s + \lambda x.t & R \times R \rightrightarrows R \\ D(s+t) \cdot u &= Ds \cdot u + Dt \cdot u & R \times R \times R \rightrightarrows R \end{split}$$

. . .

Table of contents

- 1. Review: Binding signatures and their models
- 2. 1-Signatures and models based on monads and modules
- 3. Equations
- 4. Recursion

Recursion on the syntax \approx Initiality in the category of models

Recipe for constructing "by recursion" a monad morphism:

$$f:R\to S$$
 initial model of a 2-signature (Σ,E)

Recursion on the syntax \simeq Initiality in the category of models

Recipe for constructing "by recursion" a monad morphism:

$$f:R\to S$$
 initial model of a 2-signature (Σ,E)

1. Give a module morphism $s : \Sigma(S) \to S$

Recursion on the syntax \approx Initiality in the category of models

Recipe for constructing "by recursion" a monad morphism:

$$f:R\to S$$
 initial model of a 2-signature (Σ,E)

1. Give a module morphism $s : \Sigma(S) \to S$ \Rightarrow induces a Σ -model (S, s)

Recursion on the syntax \approx Initiality in the category of models

Recipe for constructing "by recursion" a monad morphism:

$$f:R\to S$$
 initial model of a 2-signature (Σ,E)

- 1. Give a module morphism $s:\Sigma(S)\to S$
 - \Rightarrow induces a Σ -model (S, s)
- 2. Show that all the equations in E are satisfied for this model

Recursion on the syntax \approx Initiality in the category of models

Recipe for constructing "by recursion" a monad morphism:

$$f:R\to S$$
 initial model of a 2-signature (Σ,E)

- 1. Give a module morphism $s:\Sigma(S)\to S$
 - \Rightarrow induces a Σ -model (S, s)
- 2. Show that all the equations in ${\bf E}$ are satisfied for this model
 - \Rightarrow induces a model of (Σ, E)

Recursion on the syntax \approx Initiality in the category of models

Recipe for constructing "by recursion" a monad morphism:

$$f:R\to S$$
 initial model of a 2-signature (Σ,E)

- 1. Give a module morphism $s: \Sigma(S) \to S$
 - \Rightarrow induces a Σ -model (S, s)
- 2. Show that all the equations in E are satisfied for this model \Rightarrow induces a model of (Σ, E)

Initiality of R \Rightarrow model morphism $R \to S \Rightarrow$ monad morphism $R \to S$

Example: Computing the set of free variables

$$LC = initial model of (\Sigma_{LC}, \emptyset)$$

$$\Sigma_{\mathrm{LC}}(\mathrm{R}) = \mathrm{R} \times \mathrm{R} + \mathrm{R}'$$

 \mathcal{P} = power set monad

Definition of a (monad) morphism $\mathrm{fv}:\mathrm{LC}\to\mathcal{P}$ s.t.

$$\mathrm{fv}(\mathrm{app}(\mathrm{t},\!\mathrm{u}))=\mathrm{fv}(\mathrm{t})\cup\mathrm{fv}(\mathrm{u})$$

$$\mathrm{fv}(\mathrm{abs}(\mathrm{t}))=\mathrm{fv}(\mathrm{t})\setminus\{\diamond\}$$

Example: Computing the set of free variables

$$LC = initial model of (\Sigma_{LC}, \emptyset)$$

$$\Sigma_{\rm LC}({
m R}) = {
m R} imes {
m R} + {
m R}'$$

 \mathcal{P} = power set monad

Definition of a (monad) morphism $\mathrm{fv}:\mathrm{LC}\to\mathcal{P}$ s.t.

$$\mathrm{fv}(\mathrm{app}(\mathrm{t},\!\mathrm{u})) = \mathrm{fv}(\mathrm{t}) \cup \mathrm{fv}(\mathrm{u})$$

$$fv(abs(t)) = fv(t) \setminus \{\diamond\}$$

 \Rightarrow make \mathcal{P} a model of Σ_{LC} :

$$\cup:~\mathcal{P} imes\mathcal{P} o\mathcal{P}$$

$$_\setminus \{\, \diamond \, \}: \, \mathcal{P}^{\scriptscriptstyle \mathsf{I}} \, o \mathcal{P}$$

Example: Computing the set of free variables

$$LC = initial model of (\Sigma_{LC}, \emptyset)$$

$$\Sigma_{
m LC}({
m R})={
m R} imes{
m R}+{
m R}^{
m r}$$

 \mathcal{P} = power set monad

Definition of a (monad) morphism $\mathrm{fv}:\mathrm{LC}\to\mathcal{P}$ s.t.

$$\mathrm{fv}(\mathrm{app}(\mathrm{t},\!\mathrm{u}))=\mathrm{fv}(\mathrm{t})\cup\mathrm{fv}(\mathrm{u})$$

$$\mathrm{fv}(\mathrm{abs}(\mathrm{t}))=\mathrm{fv}(\mathrm{t})\setminus\{\diamond\}$$

 \Rightarrow make \mathcal{P} a model of Σ_{LC} :

$$\cup:~\mathcal{P} imes\mathcal{P} o\mathcal{P}$$

$$_\setminus \{\, \diamond \, \}: \, \mathcal{P}^{\scriptscriptstyle \mathsf{I}} \, o \mathcal{P}$$

Initiality of $LC \Rightarrow fv : LC \rightarrow P$ satisfying the above equations (as a model morphism).

Example: Translating λ-calculus with fixpoint

Example: Translating λ-calculus with fixpoint

$$\begin{split} \mathsf{LC}_{\beta\eta\mathrm{fix}} &= \mathsf{initial} \,\, \mathsf{model} \,\, \mathsf{of} \,\, (\Sigma\,, E) = (\Sigma_{\mathrm{LC}\beta\eta} + \Sigma_{\mathrm{fix}}\,, E_{\mathrm{LC}\beta\eta} \cup E_{\mathrm{fix}}) \\ &\quad \lambda\text{-calculus modulo} \,\, \beta\eta \,\, \mathsf{with} \,\, \mathsf{a} \,\, \mathsf{fixpoint} \,\, \mathsf{operator} \,\, \mathsf{fix} : \mathrm{LC}_{\beta\eta\mathrm{fix}} \ \to \mathrm{LC}_{\beta\eta\mathrm{fix}} \end{split}$$

$$LC_{\beta\eta}$$
 = initial model of $(\Sigma_{LC\beta\eta}, E_{LC\beta\eta})$

λ-calculus modulo βη

monad morphism

Definition of a translation $f: LC_{\beta\eta fix} \to LC_{\beta\eta}$ s.t.

$$f(u) = "u[fix(t) \mapsto app(Y, abs(t))]"$$

a chosen fixpoint combinator

 \Rightarrow make LC_{$\beta\eta$} a model of (Σ, E) :

$$\begin{split} \operatorname{app} : \operatorname{LC}_{\beta\eta} \times \operatorname{LC}_{\beta\eta} \to \ \operatorname{LC}_{\beta\eta} & \qquad \qquad \hat{Y} : \operatorname{LC}_{\beta\eta}' \to \operatorname{LC}_{\beta\eta} \\ \operatorname{abs} : \operatorname{LC}_{\beta\eta}' \to \operatorname{LC}_{\beta\eta} & \qquad \qquad t \mapsto \operatorname{app}(Y, \operatorname{abs}(t)) \end{split}$$

Example: Translating λ-calculus with fixpoint

$$\mathsf{LC}_{\beta\eta\mathsf{fix}} = \mathsf{initial} \; \mathsf{model} \; \mathsf{of} \; (\Sigma \, , E) = (\Sigma_{\mathsf{LC}\beta\eta} + \Sigma_{\mathsf{fix}} \, , E_{\mathsf{LC}\beta\eta} \cup E_{\mathsf{fix}}) \\ \lambda \text{-calculus modulo } \beta\eta \; \mathsf{with} \; \mathsf{a} \; \mathsf{fixpoint} \; \mathsf{operator} \; \mathsf{fix} : \mathsf{LC}_{\beta\eta\mathsf{fix}} \ \to \mathsf{LC}_{\beta\eta\mathsf{fix}}$$

$$LC_{\beta\eta}$$
 = initial model of $(\Sigma_{LC\beta\eta}, E_{LC\beta\eta})$

λ-calculus modulo βη

monad morphism

Definition of a translation $f: LC_{\beta\eta fix} \to LC_{\beta\eta}$ s.t.

$$f(u) = "u[fix(t) \mapsto app(Y, abs(t))]"$$

a chosen fixpoint combinator

 \Rightarrow make LC_{$\beta\eta$} a model of (Σ, E) :

$$\begin{split} \operatorname{app} : \operatorname{LC}_{\beta\eta} \times \operatorname{LC}_{\beta\eta} \to \ \operatorname{LC}_{\beta\eta} & \qquad \qquad \hat{Y} : \operatorname{LC}_{\beta\eta}' \to \operatorname{LC}_{\beta\eta} \\ \operatorname{abs} : \operatorname{LC}_{\beta\eta}' \to \operatorname{LC}_{\beta\eta} & \qquad \qquad t \mapsto \operatorname{app}(Y, \operatorname{abs}(t)) \end{split}$$

Initiality of $LC_{\beta\eta \mathrm{fix}} \ \Rightarrow \ f: LC_{\beta\eta \mathrm{fix}} o LC_{\beta\eta}$

LC = initial model of
$$(\Sigma_{LC}, \emptyset)$$

$$\Sigma_{\mathrm{LC}}(\mathrm{R}) = \mathrm{R} \times \mathrm{R} + \mathrm{R}'$$

Definition of a (monad) morphism $s : LC \rightarrow \mathbb{N}$ **s.t.**

$$s(app(t,u)) = 1 + s(t) + s(u) \qquad \qquad s(abs(t)) = 1 + s(t)$$

$$s(abs(t)) = 1 + s(t)$$

$$LC = initial model of (\Sigma_{LC}, \emptyset)$$

$$\Sigma_{\mathrm{LC}}(\mathrm{R}) = \mathrm{R} \times \mathrm{R} + \mathrm{R}'$$

Definition of a (monad) morphism $s: LC \to \mathbb{N}$ **s.t.**

$$s(app(t,u)) = 1 + s(t) + s(u) \qquad \qquad s(abs(t)) = 1 + s(t)$$

$$s(abs(t)) = 1 + s(t)$$



 \mathbb{N} is not a monad!

$$LC = initial model of (\Sigma_{LC}, \emptyset)$$

$$\Sigma_{\mathrm{LC}}(\mathrm{R}) = \mathrm{R} \times \mathrm{R} + \mathrm{R}'$$

Definition of a (monad) morphism $s : LC \to \mathbb{N}$ **s.t.**

$$s(app(t,u)) = 1 + s(t) + s(u) \qquad \qquad s(abs(t)) = 1 + s(t)$$

$$s(abs(t)) = 1 + s(t)$$



 \mathbb{N} is not a monad!

Solution: replace $\mathbb N$ with the continuation monad $C(X) = \mathbb N^{(\mathbb N^{\mathbf A})}$

Then, give the relevant ([CSL AHLM 2010]) morphism $\Sigma_{\mathrm{LC}}(\mathrm{C}) o \mathrm{C}$

Initiality of LC \Rightarrow f:LC \rightarrow C

$$LC = initial model of (\Sigma_{LC}, \emptyset)$$

$$\Sigma_{
m LC}({
m R})={
m R} imes{
m R}+{
m R}^{
m I}$$

Definition of a (monad) morphism $s : LC \to \mathbb{N}$ **s.t.**

$$s(app(t,u)) = 1 + s(t) + s(u) \qquad \qquad s(abs(t)) = 1 + s(t)$$

$$s(abs(t)) = 1 + s(t)$$



 \mathbb{N} is not a monad!

Solution: replace $\mathbb N$ with the continuation monad $C(X) = \mathbb N^{(\mathbb N^{\mathbf A})}$

Then, give the relevant ([CSL AHLM 2010]) morphism $\Sigma_{\mathrm{LC}}(\mathrm{C}) o \mathrm{C}$

Initiality of LC \Rightarrow f:LC \rightarrow C

affects an arbitrary size to each variable

Intuition: uncurrying $f_x : LC(X) \to \mathbb{N}^{(\mathbb{N}^X)}$ yields $g : LC(X) \times \mathbb{N}^X \to \mathbb{N}$

$$LC = initial model of (\Sigma_{LC}, \emptyset)$$

$$\Sigma_{
m LC}({
m R})={
m R} imes{
m R}+{
m R}^{
m I}$$

Definition of a (monad) morphism $s : LC \to \mathbb{N}$ **s.t.**

$$s(app(t,u)) = 1 + s(t) + s(u) \qquad \qquad s(abs(t)) = 1 + s(t)$$

$$s(abs(t)) = 1 + s(t)$$



 \mathbb{N} is not a monad!

Solution: replace $\mathbb N$ with the continuation monad $C(X) = \mathbb N^{(\mathbb N^{\mathbf A})}$

Then, give the relevant ([CSL AHLM 2010]) morphism $\Sigma_{\mathrm{LC}}(\mathrm{C}) o \mathrm{C}$

Initiality of LC \Rightarrow f:LC \rightarrow C

affects an arbitrary size to each variable

 $\textbf{Intuition} \colon \text{uncurrying } f_X : LC(X) \to \mathbb{N}^{(\mathbb{N}^X)} \ \ \, \text{yields } g : LC(X) \times \overset{\bullet}{\mathbb{N}^X} \to \mathbb{N}$

$$s(t) = g(t, (x \mapsto 0))$$

Conclusion

Summary of the talk:

- presented a notion of 1-signature and models
- defined a 2-signature as a 1-signature and a set of equations
- identified a class of 2-signatures that generate a syntax

The main theorem has been formalized in Coq using the UniMath library.

Future work:

- add the notion of reductions;
- extend our framework to simply typed syntaxes.

Conclusion

Summary of the talk:

- presented a notion of 1-signature and models
- defined a 2-signature as a 1-signature and a set of equations
- identified a class of 2-signatures that generate a syntax

The main theorem has been formalized in Coq using the UniMath library.

Future work:

- add the notion of reductions;
- extend our framework to simply typed syntaxes.

Thank you!

Garbage

| Syntax of the differentiable λ-calculus odule M over a monad B | | | |
|--|---|--|--|
| | Monadamogrphism B → C B-Module marphism M → N | | |
| Simple term | $s : t \in \Lambda$ Corresponding 1-signature $(m_X : B(X) \to C(X))_X$ $(m_X : M(X) \to N(X))_X$ | | |
| Vatiablesian, Dinding signatures and their models | | | |
| Variables | $\frac{\text{w: Binding signatures and their models}}{\text{m}(\text{var}^{\text{B}}(\text{x})) = \text{var}^{\text{C}}(\text{x})} \\ \mid \lambda \text{x.t} \qquad \qquad R \mapsto R'$ | | |
| Substituti S ig | naturies and models based on monads and modules | | |
| Substitution | $igg igg \mathbf{p}(\mathbf{t}[\mathbf{x} \mapsto \mathbf{f}(\mathbf{x})]^{\mathrm{B}}) \stackrel{\mathbf{R}}{\mathbf{R}} \mapsto \mathbf{R} 	imes \mathbf{R} \qquad \mathrm{m}(\mathbf{t}[\mathbf{x} \mapsto \mathbf{f}(\mathbf{x})]^{\mathrm{M}}) = \mathbf{r}$ | | |
| 3. Equat | $lion^{\mathbf{S}}_{\mathbf{r}}(t)[\ \mathrm{x}\mapsto \mathbf{m}(f(\mathbf{x}))]^{\mathrm{C}}_{\mathbf{R}} 	imes \mathbf{R} \qquad \mathrm{m}(t)[\ \mathrm{x}\mapsto f(x)\]^{\mathrm{N}}$ | | |
| Substitution 4. Recur | sion | | |
| 5. (Bonus) differential λ-calculus | | | |

Garbage

| Syntax of the differentiable λ-calculusodule M over a monad B | | | |
|---|--|---|--|
| | Monad₃mouphism B → C | B-Modyle:rgarphism M → N | |
| Simple term | $s.s.t \in \Lambda$ Corresponding $(m_X: B(X) \to C(X))_X$ | g 1-signature $(m_v: M(X) \to N(X))_v$ | |
| | A : A : A : A : A : A : A : A : A : A : | | |
| Variables . | $rac{W: Binding Signatures and}{m(ext{var}^{B}(ext{x})) = 	ext{var}^{C}(ext{x})} = \lambda 	ext{x.t}$ | | |
| Substituti8ig | | on find nads and modules | |
| Substitution | $ig ig \mathbf{p}(\mathbf{t}[\mathbf{x} \mapsto \mathbf{f}(\mathbf{x})]^{\mathrm{B}}) \stackrel{\mathbf{R}}{\mathbf{R}} \mapsto \mathbf{R} 	imes \mathbf{f}(\mathbf{x})$ | | |
| 3. Equat | $ion^{\mathbf{sm}(tt)[\; \mathbf{x} \mapsto \mathbf{m}(\mathbf{f}(\mathbf{x}))]^{\mathbf{C}}\mathbf{R} 	imes \mathbf{I}}$ | $\mathrm{m}(\mathrm{t})[\ \mathrm{x}\mapsto \mathrm{f}(\mathrm{x})\]^{\mathrm{N}}$ | |
| Substitution 4. Recur | sion | | |
| 5. (Bon | us) differential λ-calcu | lus | |

Garbage

| Syntax of t | he <i>differer</i> otia ble λ-calcu | Ilus odule M over a monad B |
|--------------------------|---|--|
| | Monad₃mouphism B → C | B-Modyle:rgarphism M → N |
| Simple term | $s.s.t \in \Lambda$ Corresponding $(m_X:B(X) \to C(X))_X$ | $\begin{array}{c} \operatorname{g} \operatorname{1-signature} \\ (\operatorname{m}_{\operatorname{X}}: M(X) \to N(X))_{X} \end{array}$ |
| | w: Biriding signatures and | their models |
| Variables . | $\operatorname{m}(\operatorname{var}^{\operatorname{B}}(\operatorname{x})) = \operatorname{var}^{\operatorname{C}}(\operatorname{x}) \ \ orall \operatorname{Aux} X 	o \operatorname{B}(\operatorname{Y}), \ \ \operatorname{R} \mapsto \operatorname{R}'$ | $orall \ \mathrm{u}:\mathrm{X} ightarrow \mathrm{B}(\mathrm{Y}),$ |
| Substituti Sig | natisfices and modes base | tom this man and modules |
| Substitution | $ \mathbf{p}(\mathbf{t}[\mathbf{x} \mapsto \mathbf{f}(\mathbf{x})]^{\mathbf{B}})[\mathbf{x} \mapsto \mathbf{u}(\mathbf{x})]^{\mathbf{B}}]$ | |
| 3. Equat | $sion^{\mathbf{sm}(t)[\; \mathbf{x} \mapsto \mathbf{m}(\mathbf{f}(\mathbf{x}))]^{\mathbf{C}}\mathbf{R} 	imes \mathbf{I}}$ | $\mathrm{m}(\mathrm{t})[\ \mathrm{x}\mapsto \mathrm{f}(\mathrm{x})\]^{\mathrm{N}}$ |
| Substitution 4. Recur | sion | |
| 5. (Bon | us) differential λ-calcu | lus |

Garbage

| Syntax of the differe notiable λ-calculus odule M over a monad B | | | | |
|--|--|--|--|--|
| | Monadamouphism B → C | B-Modyle:rg@rphssm M → N | | |
| Simple term | $s : t \in \Lambda$ Corresponding $(m_X : B(X) \rightarrow C(X))_X$ | $ \begin{array}{c} {\sf g 1-signature} \\ ({\rm m_X}:M(X)\to N(X))_X \end{array} $ | | |
| Va 1 ia kle §ie | w: Biriding signatures and | their models | | |
| Variables · | $\frac{1-\frac{1}{2}}{1+\frac{1}{2}} \frac{m(var^B(x)) = var^C(x)}{1+\frac{1}{2}} = var^C(x)$ | $orall \ \mathrm{u}:\mathrm{X} ightarrow \mathrm{B}(\mathrm{Y}),$ | | |
| SubsitutiSig | _ ` , | tominonas sprodules | | |
| Substitution | $ \mathbf{p}(t[x \mapsto f(x)]^{B})[x \mapsto u(x)]^{B}]$ | | | |
| 3. Equat | $\inf_{\mathbf{var}(\mathbf{y})[\mathbf{x}\mapsto\mathbf{u}(\mathbf{x})]^{\mathrm{B}}=\mathbf{u}(\mathbf{y})}^{\mathbf{rm}(\mathbf{t})[\mathbf{x}\mapsto\mathbf{m}(\mathbf{f}(\mathbf{x}))]^{\mathrm{C}}\mathbf{R}\times\mathbf{r}}$ | $\mathrm{m}(\mathrm{t})[\ \mathrm{x} \mapsto \mathrm{f}(\mathrm{x})\]^{\mathrm{N}}$ | | |
| Substitution 4. Recur | $	ext{t}[ext{x} \mapsto 	ext{var}(ext{x})]^{	ext{B}} = 	ext{t}$ | | | |
| | $	ext{t}[ext{x}\mapsto	ext{f}(ext{x})]^{	ext{B}}[ext{y}\mapsto	ext{g}(ext{y})]^{	ext{B}}=$ | | | |
| 5. (Bonus) ^t differentiaf(X) ^B calculus | | | | |

Garbage

| Syntax of the differe notiable λ-calculus odule M over a monad B | | | | |
|---|---|---|--|--|
| | Monadamouphism B → C | B-Modyle:ng.qrphis.m M → N | | |
| Simple term | $s : t \in \Lambda$ Correspondin $(m_X : B(X) \to C(X))_X$ | $ \begin{array}{c} {\sf g} \ {\sf 1\text{-}signature} \\ ({\sf m}_{{\sf X}} : M(X) \to N(X))_X \end{array} $ | | |
| Valiables W: Biriding signatures and their models Variables W: Diriding signatures and their models Variables W: Diriding signatures and their models | | | | |
| Variables · | $\frac{1-\frac{A}{m(var^B(x))} = var^C(x)}{ \forall \lambda lx tX 	o B(Y), R \mapsto R'}$ | $orall \ \mathrm{u}: \mathrm{X} ightarrow \mathrm{B}(\mathrm{Y}),$ | | |
| SubsitutiSig | | tom midnad should modules | | |
| Substitution | $ \mathbf{p}(\mathbf{t}[\mathbf{x} \mapsto \mathbf{f}(\mathbf{x})]^{B})[\mathbf{x} \mapsto \mathbf{u}(\mathbf{x})]^{B}]$ | (''[' -(| | |
| 3. Equat | $\inf_{\mathbf{var}(\mathbf{y})[\mathbf{x}\mapsto\mathbf{u}(\mathbf{x})]^{\mathrm{B}}=\mathbf{u}(\mathbf{y})}^{\mathbf{c}\mathbf{R}\times\mathbf{F}}$ | $\mathrm{m}(\mathrm{t})[\ \mathrm{x} \mapsto \mathrm{f}(\mathrm{x})\]^{\mathrm{N}}$ | | |
| Substitution 4. Recur | $	ext{t}[ext{x} \mapsto 	ext{var}(ext{x})]^{	ext{B}} = 	ext{t}$ | $t[x \mapsto var(x)]^{M} = t$ | | |
| | $\boxed{ t[x \mapsto f(x)]^{\textcolor{red}{B}}[y \mapsto g(y)]^{\textcolor{red}{B}} = }$ | $\mathrm{t}[\mathrm{x}\mapsto\mathrm{f}(\mathrm{x})]^{	extsf{M}}[\mathrm{y}\mapsto\mathrm{g}(\mathrm{y})]^{	extsf{M}}=$ | | |
| 5. (Bonus) t differentiaf (X) calculus $^t[x \mapsto f(x)[y \mapsto g(y)]^B]^M$ | | | | |

Copie de Algebraic 1-signature for LCD

Copie de Algebraic 1-signature for LCD

Module morphism VS monad morphism

Table of contents