

# CSC 236 Tutorial 8

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## Selection Sort

### Descending Sequences

# Selection Sort

```
1 def selection_sort(l):
2     n = len(l)
3     for i in range(n):
4         min_idx = i
5         for j in range(i+1, n):
6             if l[j] < l[min_idx]:
7                 min_idx = j
8         l[i], l[min_idx] = l[min_idx], l[i]
9     return l
```

# Trace

To get an idea of how this algorithm works, trace the algorithm on input  $I = [3, 236, 36, 23, 2, 6]$

## Notation

If  $l_1$  and  $l_2$  are lists, then define  $l_1 \preceq l_2$  to mean  $\forall x \in l_1, \forall b \in l_2. x \leq b$ . That is everything in  $l_1$  is less than anything in  $l_2$ .

If  $l_1$  is a number, then  $l_1 \preceq l_2$  is short for  $[l_1] \preceq l_2$ , same for  $l_2$ .

## Lemma

What do lines 4-8 do? If it helps, you can imagine lines 4-8 being extracted as a helper function that takes in  $i$  and  $l$ .

What are the preconditions and postconditions of lines 4-8?

Make a claim about these lines and prove it is correct!

## Lemma

**Lemma.** If  $I$  is a list of natural numbers, and  $i \in \mathbb{N}$ , such that  $0 \leq i < \text{len}(I)$  at the start of line 4, by line 8,  $I[i]$  is swapped with the  $I[k]$  where  $I[k] \preceq I[i+1 :]$ .

To prove the lemma, it suffices to show that at the beginning of line 8,  $\text{min\_idx}$  is such that  $I[\text{min\_idx}]$  is minimal in  $I[i+1 :]$  (since line 8 does the swapping).

We now analyze lines 4-7.

## Proof of Lemma

**Precondition.**  $l$  is a list of natural number of length  $n$ ,  $i \in \mathbb{N}$ , such that  $0 \leq i < n$ . **Postcondition.**  $l[\text{min\_idx}] \preceq l[i+1:]$



## Proof of Lemma

**Loop Invariant.**  $P(k)$ . After the  $k$ th iteration,

a.)  $j = i + 1 + k$ .

b.)  $I[\text{min\_idx}] \preceq I[i + 1 : j]$ .

## Proof of Lemma

**Initialization.**  $P(0)$ .  $j$  is initialized to  $i + 1$ , and  $I[i + 1 : j] = I[i + 1 : i + 1] = []$ , and  $I[\text{min\_idx}] \preceq []$  is vacuously true.

## Proof of Lemma

**Maintenance.** Let  $k \in \mathbb{N}$  and suppose  $P(k)$ . We'll show  $P(k+1)$ . Note that  $j$  is incremented by the for loop and hence  $j_{k+1} = j_k + 1 = i + 1 + k + 1$ , so  $P(k+1)$ .a is true. We'll now show  $P(k+1)$ .b.

By the inductive hypothesis,  $I[\text{min\_idx}_k] \preceq I[i+1:j_k]$ . If  $I[j_k] < I[\text{min\_idx}]$ , then since  $\text{min\_idx}_{k+1} = j$ , and otherwise  $\text{min\_idx}_{k+1} = \text{min\_idx}_k$ . In the first case,  $I[j_k] < I[\text{min\_idx}]$ , and  $I[\text{min\_idx}] \preceq I[i+1:j_k]$ , so  $I[j_k] \preceq I[i+1:j_k+1]$ . In the second case  $I[j_k] \geq I[\text{min\_idx}]$ , so  $I[\text{min\_idx}] \preceq I[i+1:j_k+1]$ , since  $j_{k+1} = j_k + 1$ , this completes the induction.

## Proof of Lemma

**Termination.** The for loop terminates after iteration  $k$  where  $j_k = n$ . By  $P(k).b$ , we have that  $I[\text{min\_idx}_k] \preceq I[i + 1 : j_k]$ . Since  $j_k = n$ , we have  $I[\text{min\_idx}_k] \preceq I[i + 1 : ]$ . This concludes the proof of the claim.

## Correctness

Armed with this lemma, prove the correctness of `selection_sort`.

# Solutions

**Precondition.**  $l$  is a list of natural numbers.

**Postcondition.** Returns a sorted version of  $l$

# Solutions

**Loop Invariant.**  $P(k)$ : After iteration  $k$ ,

- a.)  $i = k$ .
- b.)  $I[:k]$  is sorted
- c.)  $I[:k] \preceq I[k:]$

# Solutions

**Initialization.**  $P(0)$  :

- a.)  $i$  is initialized to 0.
- b.)  $I[: 0] = []$  is (vacuously) sorted.
- c.) Since  $I[: 0] = []$ ,  $I[: k] \preceq I[k :]$  is also vacuously true.



## Solutions

**Maintenance.** Let  $k \in \mathbb{N}$ , and suppose  $P(k)$ . We'll show  $P(k+1)$ .

$P(k+1)$ .a follows from the mechanics of the for loop (it just gets incremented by 1).

By  $P(k)$ .b, we have  $l_k[:k]$  is sorted. By the lemma, we have that  $l_{k+1}$  is  $l_k$  with a minimal element in  $l_k[k:]$  swapped to index  $k$ . By  $P(k)$ .c, we have  $l_k[:k] \preceq l_k[k:]$ , so  $l_{k+1}[:k] \preceq l_{k+1}[k]$ . Thus,  $l_{k+1}[:k+1]$  is still sorted, so  $P(k+1)$ .b holds.

Then, since  $l_{k+1}[k] \preceq l_k[k:]$ , and  $l_k[:k] \preceq l_k[k:]$ , we have  $l_{k+1}[:k+1] \preceq l_{k+1}[k+1:]$ , so  $P(k+1)$ .c holds.

# Solutions

**Termination.** The for loop terminates after  $n$  iterations. By  $P(n).b$ , we have  $l_n[: n] = l$  is sorted, as required.

Selection Sort

Descending Sequences

# Descending Sequences

Prove the following loops terminate. The following examples come from Course Notes by Vassos Hadzilacos.

Hint - for some of them it might be easier to use the descending sequence method.

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► Precondition:  $x, y \in \mathbb{N}$ .  
► Postcondition: True.

```
1  while  $x \neq 0$  or  $y \neq 0$  do
2      if  $x \neq 0$  then
3           $x := x - 1$ 
4      else
5           $x := 16$ 
6           $y := y - 1$ 
7      end if
8  end while
```

Figure 2.3: A loop with an interesting proof of termination

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## Solution (sketch)

$x + 17y$ . First, need to show that  $x + 17y$  is always a natural number (i.e. it doesn't go negative at some point). Prove this formally by induction. Informal argument:  $x$  and  $y$  are decremented by at most 1 in each iteration. If the while check passes,  $x, y$  are both at least 1. Thus,  $x, y$  are always non-negative.

The next step is to show that  $x + 17y$  is decreasing.

Let  $x_n, y_n$  be the value of  $x$  and  $y$  at the start of the  $n$ th iteration. Show  $x_{n+1} + 17y_{n+1} < x_n + 17y_n$  in each case split (exercise).

► Precondition:  $x, y \in \mathbb{N}$  and  $x$  is even.

```
1  while  $x \neq 0$  do  
2      if  $y \geq 1$  then  
3           $y := y - 3; x := x + 2$   
4      else  
5           $x := x - 2$   
6      end if  
7  end while
```

## Solution (sketch)

$2 + y + x$ . Show this is always non-negative. Sketch: Show  $y \geq -2$ , and  $x \geq 0$ . For the  $x \geq 0$  part, use the fact that  $x$  starts off as even and is only ever incremented/decremented by 2. Again, the formal proof is by induction.

To show that this is decreasing, note that the value of  $2 + y + x$  either decreases by 1 in the `if` case or decreases by 2 in the `else` case.



9. Prove that the following program halts for every input  $x \in \mathbb{N}$ .

► Precondition:  $x \in \mathbb{N}$ .

```
1    $y := x * x$ 
2   while  $y \neq 0$  do
3        $x := x - 1$ 
4        $y := y - 2 * x - 1$ 
5   end while
```

**Hint:** Derive (and prove) a loop invariant whose purpose is to help prove termination.

## Solution

Let  $P(n)$  be the predicate.  $y_n = x_n^2$  and  $x_n = x_0 - n$ . We'll show that for all  $n \in \mathbb{N}$ ,  $(P(n))$ .

**Base case.**  $y_0 = x_0 \cdot x_0 = x_0^2$ . Also  $x_0 = x_0 - 0$

**Inductive step.** Let  $k \in \mathbb{N}$  and suppose  $P(k)$ . Then, we have

$$\begin{aligned}y_{k+1} &= y_k - 2x_{k+1} - 1 \\&= x_k^2 - 2(x_k - 1) - 1 \\&= x_k^2 - 2x_k + 1 \\&= (x_k - 1)^2 \\&= x_{k+1}^2\end{aligned}$$

Thus, at the start of iteration  $x_0$ ,  $y_{x_0} = (x_{x_0})^2 = (x_0 - x_0)^2 = 0$ , thus the while check fails and the loop terminates.