| 1. <b>[5 marks</b> ] | Short answer. | You do <b>not</b> | need to show | your work for any | part of this question. |
|----------------------|---------------|-------------------|--------------|-------------------|------------------------|
|----------------------|---------------|-------------------|--------------|-------------------|------------------------|

| (a) [1 mark] Consider | er a predicate $P(n)$ ,                              | where $n \in \mathbb{N}$ , | and suppose | that you have | e proven that | P(1) is |
|-----------------------|--|----------------------------|-------------|---------------|---------------|---------|
| True, and also the    | at $\forall k \in \mathbb{N}, P(k) \Rightarrow P(k)$ | r(3k).                     |             |               |               |         |

Put an "X" in the box next to each statement below that you can conclude to be True.

| Solution |                  |                  |                  |                  |                |        |
|----------|------------------|------------------|------------------|------------------|----------------|--------|
| X $P(3)$ | $\square$ $P(4)$ | $\square$ $P(5)$ | $\square$ $P(6)$ | $\square$ $P(7)$ | $\square P(8)$ | X P(9) |

(b) [1 mark] Consider the natural number n whose decimal representation is  $(11)_{10}$ . Put an "X" in the box next to **each** correct statement below.

| Solution       |                  |                 |               |
|----------------|------------------|-----------------|---------------|
| $n = (0110)_2$ | $X n = (1011)_2$ | $X n = (102)_3$ | $n = (101)_6$ |

(c) [1 mark] Put an "X" in the box next to each correct statement below about functions  $f, g : \mathbb{N} \to \mathbb{R}^{\geq 0}$  where:

$$f(n) = n + 165$$
 and  $g(n) = 148n^3$ 

| Solution                         |                                       |
|----------------------------------|---------------------------------------|
| X f is eventually dominated by g | $\Box$ g is eventually dominated by f |
|                                  |                                       |
|                                  | $X g \in \Omega(f)$                   |

(d) [1 mark] Let  $RT_f(n): \mathbb{N} \to \mathbb{R}^{\geq 0}$  be the running time function of the following algorithm.

```
def f(n: int) -> None:
    """Precondition: n >= 0."""
    i = 1
    while i < n:
        i = i + 2</pre>
```

Put an "X" in the box next to each correct statement below.

| Solution                             |                                    |
|--------------------------------------|------------------------------------|
| $\square RT_f(n) \in \mathcal{O}(1)$ | $X RT_f(n) \in \Theta(n)$          |
| $X$ $RT_f(n) \in \mathcal{O}(n)$     | $X$ $RT_f(n) \in \mathcal{O}(n^2)$ |

(e) [1 mark] Let S be a non-empty finite set of real numbers, and let  $m \in \mathbb{R}$ .

Put an "X" in the box next to the expression below that is equivalent to the English statement "m is an upper bound on the minimum value of S"?

Solution

 $\forall x \in S, x \leq m$ 

 $\boxed{\mathsf{X}} \ \exists x \in S, x \leq m$ 

 $\exists x \in S, m \le x$ 

## 2. [5 marks] Induction.

Prove the following statement using induction.

$$\forall n \in \mathbb{N}, \ (n \ge 1) \Rightarrow \left(\prod_{i=1}^{n} \left(1 + \frac{1}{i}\right) = (n+1)\right)$$

## Solution

**Note:** This solution is wordier than expected and provides more intermediate steps than some might find necessary.

*Proof.* Base case: Let n = 1. Then

$$\prod_{i=1}^{n} \left(1 + \frac{1}{i}\right) = \prod_{i=1}^{1} \left(1 + \frac{1}{i}\right)$$
$$= \left(1 + \frac{1}{1}\right)$$
$$= 2$$
$$= (1+1)$$
$$= (n+1),$$

as required. The base case is satisfied.

(Or take a 'compute left hand side', 'compute right hand side' approach, and compare.)

<u>Induction step</u>: Let  $k \in \mathbb{N}$ , and assume that  $k \geq 1$  and  $\prod_{i=1}^{k} \left(1 + \frac{1}{i}\right) = (k+1)$ . We'll prove that

$$\prod_{i=1}^{k+1} \left(1 + \frac{1}{i}\right) = \left((k+1) + 1\right).$$

We have:

$$\prod_{i=1}^{k+1} \left(1 + \frac{1}{i}\right) = \left(\prod_{i=1}^{k} \left(1 + \frac{1}{i}\right)\right) \cdot \left(1 + \frac{1}{(k+1)}\right)$$

$$= \left((k+1)\right) \cdot \left(1 + \frac{1}{(k+1)}\right) \quad \text{(by the I.H.)}$$

$$= \left((k+1)\right) \cdot \left(\frac{(k+1)+1}{(k+1)}\right)$$

$$= \left((k+1)\right) \cdot \left(\frac{k+2}{k+1}\right)$$

$$= (k+2)$$

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$$=((k+1)+1),$$

as required.

# 3. [5 marks] Asymptotic analysis.

In this question, refer to the following definition:

$$g \in \Omega(f): \exists c, n_0 \in \mathbb{R}^+, \ \forall n \in \mathbb{N}, \ n \geq n_0 \Rightarrow g(n) \geq c \cdot f(n), \text{ where } f, g : \mathbb{N} \to \mathbb{R}^{\geq 0}$$

Prove or disprove the following statement, using only the definition of  $\Omega$ :

$$\forall f,g:\mathbb{N}\to\mathbb{R}^{\geq 0},\ \left(\left(\forall n\in\mathbb{N},\ n\geq 207\Rightarrow g(n)\geq 4\ n\right)\wedge\left(\forall n\in\mathbb{N},\ n\geq 148\Rightarrow n\geq 100\ f(n)\right)\right)\Rightarrow g\in\Omega(f)$$

## Solution

*Proof.* Let f, g be arbitrary functions from  $\mathbb{N} \to \mathbb{R}^{\geq 0}$ .

Assume  $\forall n \in \mathbb{N}, n \geq 207 \Rightarrow g(n) \geq 4 \ n \text{ and } \forall n \in \mathbb{N}, n \geq 148 \Rightarrow n \geq 100 \ f(n).$ 

We need to prove  $g \in \Omega(f)$ .

That is, we need to prove  $\exists c, n_0 \in \mathbb{R}^+, \ \forall n \in \mathbb{N}, \ n \geq n_0 \Rightarrow g(n) \geq c \cdot f(n)$ 

Let c = 400 and  $n_0 = 207$ . (Any  $c \le 400$  or  $n_0 \ge 207$  work too.)

Let  $n \in \mathbb{N}$  and assume  $n \geq n_0$ .

Since  $n \ge 207$ ,  $g(n) \ge 4 n$ .

Since  $n \ge 148$ ,  $n \ge 100 \ f(n)$ .

Together, we have

$$g(n) \ge 4 n$$
  
 $\ge 4 (100 f(n))$   
 $= 400 f(n)$   
 $= c \cdot f(n)$ ,

as required.

At the marking meeting we decided to give students who attempt a disproof a maximum grade of 1 out of 5. They can get 0.5 for showing what statement they want to prove and 0.5 for introducing functions f, g but not much else will be correct.

- 4. [10 marks] Running time analysis.
  - (a) [4 marks] Consider the following algorithm.

Find the exact total number of iterations of the Loop 2 body, across all iterations of Loop 1 when f is run, in terms of its input n, assuming  $n \ge 1$ . To simplify your calculations, you may ignore floors and ceilings.

Note: make sure to explain your analysis in English, rather than writing only calculations.

#### Solution

The values of i executing the Loop 1 body are  $i=1,2,4,8,\ldots$ , up to the last  $2^k \leq n$ , i.e.  $i=2^0,2^1,2^2,\ldots,2^{\lfloor \log_2 n \rfloor}$  (or just  $\log_2 n$  ignoring floors and ceilings).

For each i, the values of j executing the Loop 2 body are  $j = 0 \cdot (n/i), 1 \cdot (n/i), 2 \cdot (n/i), 3 \cdot (n/i), \ldots$ , up to just before  $n = i \cdot (n/i)$  (trace with a concrete n and some is for intuition), which is (ignoring floors and ceilings) i iterations.

The total is 
$$\sum_{k=0}^{\log_2 n} 2^k = 2^{1+\log_2 n} - 1 = 2n - 1.$$

(b) [6 marks] Consider the following algorithm, which takes as input a list of nonnegative integers.

NOTE: range(a, b) is empty when b <= a.

Prove matching upper (Big-O) and lower (Omega) bounds on the worst-case running time of alg, where the size n of the input is the length of the list. Clearly label which part of your solution is a proof of the upper bound, and which part is a proof of the lower bound.

#### Solution

# Upper bound on worst-case running time.

Let  $n \in \mathbb{N}$  and A be a list of integers of non-negative integers of length n.

Loop 1 iterates no more than n times.

Its body takes 1 step each time, except at most once if the if condition is true in which case it executes Loop 2 and then ends execution.

Loop 2 executes its body  $n - \min(A[i], n)$  times (the minimum is for when there are no iterations due to  $A[i] \ge n$ ). This is at most n times since that minimum is non-negative. The body is 1 step each time. Then there is 1 more step for the return which ends the execution.

So the total number of steps is no more than  $n \cdot 1 + n \cdot 1 + 1 = 2n + 1 \in \mathcal{O}(n)$ .

## Lower bound on worst-case running time.

Let  $n \in \mathbb{N}$  and A = [0, 1, 2, ..., n-1], which is a list of length n containing non-negative integers. Then each element is equal to its index so the if condition is always false, so Loop 1 iterates to the end taking 1 step each time, for a total number of steps  $n \cdot 1 = n \in \Omega(n)$ .