# Online appendix for the paper Abstract Gringo

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#### **Proofs of Theorems 1–3**

## Proof of Theorem 1

We will show that for any term t, the set of conjunctive terms of  $\tau_t E$  is the union of the sets of conjunctive terms of  $\tau_t E_{\leq}$  and  $\tau_t E_{\geq}$ . For any subset  $\Delta$  of A,

- (22) is a conjunctive term of  $\tau_t E$
- iff  $\Delta$  does not justify E with respect to t
- iff  $\widehat{\alpha}[\Delta] \neq t$
- iff  $\widehat{\alpha}[\Delta] < t \text{ or } \widehat{\alpha}[\Delta] > t$
- iff  $\Delta$  does not justify  $E_{\geq}$  with respect to t or  $\Delta$  does not justify  $E_{\leq}$  with respect to t
- iff (22) is a conjunctive term of  $\tau_t E_{\geq}$  or of  $\tau_t E_{\leq}$
- iff (22) is a conjunctive term of  $\tau_t E_{\leq} \wedge \tau_t E_{\geq}$ .

Proof of Theorem 2

Since  $[\overline{m}]$  is the singleton set  $\{\overline{m}\}$ ,  $\tau E$  is  $\tau_{\overline{m}}E$ . Since E is monotone, the antecedent of (22) can be dropped (Section 5.1), so that  $\tau_{\overline{m}}E$  is strongly equivalent to

$$\bigwedge_{\substack{\Delta \subseteq A \\ ||\Delta|| < m}} \bigvee_{(i,\mathbf{r}) \in A \setminus \Delta} \tau_{\vee}((\mathbf{L}_i)_{\mathbf{r}}^{\mathbf{x}_i}). \tag{25}$$

To derive (25) from (23) in  $HT^{\infty}$ , assume (23). We will reason by cases, with one case corresponding to each disjunctive term

$$\bigwedge_{(i,\mathbf{r})\in\Delta} \tau_{\vee}((\mathbf{L}_i)_{\mathbf{r}}^{\mathbf{x}_i}) \tag{26}$$

of (23). Let  $\Delta'$  be a subset of A such that  $|[\Delta']| < m$ . We will show that the conjunctive term of (25) corresponding to  $\Delta'$  can be derived from (26). Since

$$|[\Delta']| < m = |[\Delta]|, \tag{27}$$

there exists a pair  $(i, \mathbf{r})$  that is an element of  $\Delta$  but not an element of  $\Delta'$ . Indeed, if  $\Delta \subseteq \Delta'$  then  $[\Delta] \subseteq [\Delta']$ , which contradicts (27). Since  $(i, \mathbf{r}) \in \Delta$ , from (26) we can derive  $\tau_{\vee}((\mathbf{L}_i)^{\mathbf{x}_i}_{\mathbf{r}})$ . Since  $(i, \mathbf{r}) \in A \setminus \Delta'$ , we can further derive

$$\bigvee_{(i,\mathbf{r})\in A\setminus\Delta'}\tau_{\vee}((\mathbf{L}_i)_{\mathbf{r}}^{\mathbf{x}_i}).$$

It follows that each conjunctive term of (25) can be derived from (26).

We will prove by induction on m that (23) can be derived from (25) in  $HT^{\infty}$ . Base case: when m=0 the disjunctive term of (23) corresponding to the empty  $\Delta$  is  $\top$ . Inductive step: assume that (23) can be derived from (25), and assume

$$\bigwedge_{\substack{\Delta \subseteq A \\ |[\Delta]| < m+1}} \bigvee_{(i,\mathbf{r}) \in A \setminus \Delta} \tau_{\vee}((\mathbf{L}_i)_{\mathbf{r}}^{\mathbf{x}_i}). \tag{28}$$

From (28) we can derive (25), and consequently (23). Now we reason by cases, with one case corresponding to each disjunctive term of (23). Assume

$$\bigwedge_{(i,\mathbf{r})\in\Sigma} \tau_{\vee}((\mathbf{L}_i)_{\mathbf{r}}^{\mathbf{x}_i}) \tag{29}$$

where  $\Sigma$  is a subset of A such that  $|[\Sigma]| = m$ . Consider the set

$$\Sigma' = \{(i, \mathbf{r}) : [(\mathbf{t}_i)_{\mathbf{r}}^{\mathbf{x}_i}] \subseteq [\Sigma]\}.$$

By the definition of  $[\Sigma]$ , for any  $(i, \mathbf{r}) \in \Sigma$ ,  $[(\mathbf{t}_i)^{\mathbf{x}_i}] \subseteq [\Sigma]$ . So  $\Sigma \subseteq \Sigma'$ . It follows that  $[\Sigma] \subseteq [\Sigma']$ . On the other hand,

$$[\Sigma'] = \bigcup_{(i,\mathbf{r}) \in \Sigma'} [(\mathbf{t}_i)_{\mathbf{r}}^{\mathbf{x}_i}] = \bigcup_{(i,\mathbf{r}) : [(\mathbf{t}_i)_{\mathbf{r}}^{\mathbf{x}_i}] \subseteq [\Sigma]} [(\mathbf{t}_i)_{\mathbf{r}}^{\mathbf{x}_i}] \subseteq [\Sigma].$$

Consequently  $[\Sigma] = [\Sigma']$ , and  $|[\Sigma']| = |[\Sigma]| = m$ . From (28),

$$\bigvee_{(i,\mathbf{r})\in A\setminus\Sigma'} \tau_{\vee}((\mathbf{L}_i)_{\mathbf{r}}^{\mathbf{x}_i}). \tag{30}$$

Again, we reason by cases, with one case corresponding to each disjunctive term of (30).

Assume  $\tau_{\vee}((\mathbf{L}_{j})_{\mathbf{s}}^{\mathbf{x}_{j}})$ , where  $(j, \mathbf{s}) \in A \setminus \Sigma'$ . Combining assumption (29) and  $\tau_{\vee}((\mathbf{L}_{j})_{\mathbf{s}}^{\mathbf{x}_{j}})$ , we derive

$$\bigwedge_{(i,\mathbf{r})\in\Sigma\cup\{(j,\mathbf{s})\}} \tau_{\vee}((\mathbf{L}_{i})_{\mathbf{r}}^{\mathbf{x}_{i}}). \tag{31}$$

Consider the set  $[\Sigma \cup \{(j, \mathbf{s})\}]$ , that is,

$$[\Sigma] \cup [(\mathbf{t}_j)_{\mathbf{s}}^{\mathbf{x}_j}]. \tag{32}$$

Recall that the cardinality of  $[\Sigma]$  is m. Since  $\mathbf{t}_j$  is interval-free, the cardinality of  $[(\mathbf{t}_j)_{\mathbf{s}}^{\mathbf{x}_j}]$  is at most 1. Furthermore, since  $(j, \mathbf{s}) \notin \Sigma'$  it follows that

$$[(\mathbf{t}_j)_{\mathbf{s}}^{\mathbf{x}_j}] \not\subseteq [\Sigma],$$

so that  $[(\mathbf{t}_j)_{\mathbf{s}}^{\mathbf{x}_j}]$  is nonempty. Consequently, the set is a singleton, and therefore  $[\Sigma]$  is disjoint from it. It follows that the cardinality of (32) is m+1. So from (31) we can derive

$$\bigvee_{\substack{\Delta \subseteq A \\ ||\Delta|| = m+1}} \bigwedge_{(i,\mathbf{r}) \in \Delta} \tau_{\vee}((\mathbf{L}_i)_{\mathbf{r}}^{\mathbf{x}_i}).$$

Proof of Theorem 3

Since  $[\overline{m}]$  is the singleton set  $\{\overline{m}\}$ ,  $\tau E$  is  $\tau_{\overline{m}}E$ . Since the consequent of (22) can be replaced in this case by  $\bot$ ,  $\tau_{\overline{m}}E$  is strongly equivalent to

$$\bigwedge_{\substack{\Delta \subseteq A \\ ||\Delta|| > m}} \neg \bigwedge_{(i, \mathbf{r}) \in \Delta} \tau_{\vee}((\mathbf{L}_{i})_{\mathbf{r}}^{\mathbf{x}_{i}}). \tag{33}$$

Every conjunctive term of (24) is a conjunctive term of (33). To derive (33) from (24), consider a set  $\Delta$  such that  $|[\Delta]| > m$ . Let  $f(i, \mathbf{r})$  stand for the set  $[(\mathbf{t}_i)_{\mathbf{r}}^{\mathbf{x}_i}]$ . Since each  $\mathbf{t}_i$  is interval-free, this set is either empty or a singleton. Let  $\mathbf{s}_1, \ldots, \mathbf{s}_{m+1}$  be m+1 distinct elements of  $[\Delta]$ . Choose elements  $(i_1, \mathbf{r}_1), \ldots, (i_{m+1}, \mathbf{r}_{m+1})$  of  $\Delta$  such that each  $s_k$  belongs to  $f(i_k, \mathbf{r}_k)$ , and let  $\Delta'$  be  $\{(i_1, \mathbf{r}_1), \ldots, (i_{m+1}, \mathbf{r}_{m+1})\}$ . The cardinality of  $[\Delta']$  is at least m+1, because this set includes  $\mathbf{s}_1, \ldots, \mathbf{s}_{m+1}$ . On the other hand, it is at most m+1, because this set is the union of m+1 sets of cardinality at most 1. Consequently,  $|[\Delta']| = m+1$ . From (24) we can conclude in  $HT^{\infty}$  that

$$\neg \bigwedge_{(i,\mathbf{r})\in\Delta'} \tau_{\vee}((\mathbf{L}_i)_{\mathbf{r}}^{\mathbf{x}_i}). \tag{34}$$

Then the conjunctive term

$$\neg \bigwedge_{(i,\mathbf{r})\in\Delta} \tau_{\vee}((\mathbf{L}_i)_{\mathbf{r}}^{\mathbf{x}_i})$$

of (33) follows, because  $\Delta' \subseteq \Delta$ .

#### **Correctness of the** *n***-Queens Program**

In this section, we prove the correctness of the program K, consisting of rules  $R_1, \ldots, R_7$  (Sections 2.3 and 3).

The n-queens problem involves placing n queens on an  $n \times n$  chess board such that no two queens threaten each other. We will represent squares by pairs of integers (i, j) where

 $1 \le i, j \le n$ . Two squares  $(i_1, j_1)$  and  $(i_2, j_2)$  are said to be in the same row if  $i_1 = i_2$ ; in the same column if  $j_1 = j_2$ ; and in the same diagonal if  $|i_1 - i_2| = |j_1 - j_2|$ . A set Q of n squares is a *solution* to the n-queens problem if no two elements of Q are in the same row, in the same column, or in the same diagonal.

For any stable model I of K, by  $Q_I$  we denote the set of pairs (i,j) such that  $q(\overline{i},\overline{j}) \in I$ .

#### Theorem 4

For each stable model I of K,  $Q_I$  is a solution to the n-queens problem. Furthermore, for each solution Q to the n-queens problem there is exactly one stable model I of K such that  $Q_I = Q$ .

## Review: Supported Models and Constraints

We start by reviewing two familiar facts that will be useful in proving Theorem 4.

An infinitary program is a conjunction of (possibly infinitely many) infinitary formulas of the form  $G \to A$ , where A is an atom. We say that an interpretation I is supported by an infinitary program  $\Pi$  if each atom A from I is the consequent of a conjunctive term  $G \to A$  of  $\Pi$  such that  $I \models G$ . Lifschitz and Yang (2013) give a condition, "tightness on an interpretation," under which the stable models of an infinitary program are identical to its supported models. Proposition 1 below gives a simpler condition of this kind that is sufficient for our purposes.

We say that an atom A occurs nonnegated in a formula F if

- F is A, or
- F is of the form  $\mathcal{H}^{\wedge}$  or  $\mathcal{H}^{\vee}$  and A occurs nonnegated in at least one element of  $\mathcal{H}$ , or
- F is of the form  $G \to H$ , where H is different from  $\bot$ , and A occurs nonnegated in G or in H.

It is clear, for instance, that no atom occurs nonnegated in a formula of the form  $\neg F$ .

The positive dependency graph of an infinitary program  $\Pi$  is the directed graph containing a vertex for each atom occurring in  $\Pi$ , and an edge from A to B for every conjunctive term  $G \to A$  of  $\Pi$  and every atom B that occurs nonnegated in G. We say that an infinitary program  $\Pi$  is extratight if the positive dependency graph of  $\Pi$  contains no infinite paths.

The following fact is immediate from (Lifschitz and Yang 2013, Lemma 2).

#### Proposition 1

For any model I of an extratight infinitary program  $\Pi$ , I is stable iff I is supported by  $\Pi$ .

A constraint is an infinitary formula of the form  $\neg F$  (which is shorthand for  $F \to \bot$ ). The following theorem is a straightforward generalization of Proposition 4 from (Ferraris and Lifschitz 2005).

## Proposition 2

Let  $\mathcal{H}_1$  be a set of infinitary formulas and  $\mathcal{H}_2$  be a set of constraints. A set I of atoms is a stable model of  $\mathcal{H}_1 \cup \mathcal{H}_2$  iff I is a stable model of  $\mathcal{H}_1$  and satisfies all formulas in  $\mathcal{H}_2$ .

Proof

Case 1: Every formula in  $\mathcal{H}_1 \cup \mathcal{H}_2$  is satisfied by I. For each formula  $\neg F$  in  $\mathcal{H}_2$ , I does not satisfy F. So the reduct of each formula in  $\mathcal{H}_2$  w.r.t. I is  $\neg \bot$ . It follows that the set of reducts of all formulas in  $\mathcal{H}_1 \cup \mathcal{H}_2$  is satisfied by the same interpretations as the set of reducts of all formulas in  $\mathcal{H}_1$ . Consequently, I is minimal among the sets satisfying the reducts of all formulas from  $\mathcal{H}_1 \cup \mathcal{H}_2$  iff it is minimal among the sets satisfying the reducts of all formulas from  $\mathcal{H}_1$ . Case 2: Some formula F in  $\mathcal{H}_1 \cup \mathcal{H}_2$  is not satisfied by I. Then I is not a stable model of  $\mathcal{H}_1 \cup \mathcal{H}_2$ . If  $F \in \mathcal{H}_1$  then I is not a stable model of  $\mathcal{H}_1$ . Otherwise, it is not true that I satisfies all formulas in  $\mathcal{H}_2$ .

## **Proof of Theorem 4**

To simplify notation, we will identify each set Q of squares with the set of atoms  $q(\overline{i},\overline{j})$  where  $(i,j) \in Q$ . By  $D_n$  we denote the set of atoms of the forms  $dI(\overline{i},\overline{j},\overline{i-j+n})$  and  $d2(\overline{i},\overline{j},\overline{i+j-1})$  for all i,j from  $\{1,\ldots,n\}$ . Recall that the rules of the program K are denoted by  $R_1,\ldots,R_7$ .

#### Lemma 1

A set of atoms is a stable model of

$$\tau R_1 \cup \tau R_4 \cup \tau R_5 \tag{35}$$

iff it is of the form  $Q \cup D_n$  where Q is a set of squares.

#### Proof

We can turn (35) into a strongly equivalent infinitary program as follows. The result of applying  $\tau$  to  $R_1$  is (21). Each conjunctive term in this formula is strongly equivalent to

$$\neg \neg q(\overline{i}, \overline{j}) \to q(\overline{i}, \overline{j}).$$
 (36)

The set  $\tau R_4$  is strongly equivalent to the set of formulas

$$\top \to d1(\overline{i}, \overline{j}, \overline{i-j+n})$$
(37)

 $(1 \le i, j \le n)$ . (We take into account that  $\tau(\overline{i} = \overline{1}..\overline{n})$  is equivalent to  $\top$  if  $1 \le i \le n$  and to  $\bot$  otherwise, and similarly for j.) Similarly,  $\tau R_5$  is strongly equivalent to the set of formulas

$$\top \to d2(\overline{i}, \overline{j}, \overline{i+j-1})$$
(38)

 $(1 \leq i, j \leq n)$ . Consequently, (35) is strongly equivalent to the conjunction H of formulas (36)–(38). It is easy to check that H is an extratight infinitary program, so that by Proposition 1 its stable models are identical to its supported models. A set I of atoms is a model of H iff  $D_n \subseteq I$ . Furthermore, I is supported iff every element of I has the form  $q(\bar{i},\bar{j})$  or is an element of  $D_n$ . Consequently, supported models of H are sets of the form  $Q \cup D_n$  where Q is a set of squares.

#### Lemma 2

A set I of atoms is a stable model of  $\tau K$  iff it has the form  $Q \cup D_n$ , where Q is a solution to the n-queens problem.

Proof

Let  $\mathcal{H}_1$  be (35) and  $\mathcal{H}_2$  be

$$\tau R_2 \cup \tau R_3 \cup \tau R_6 \cup \tau R_7$$
.

All formulas in  $\mathcal{H}_2$  are constraints. Consequently, by Proposition 2, I is a stable model of  $\tau K$  iff it is a stable model of  $\mathcal{H}_1$  and satisfies all formulas in  $\mathcal{H}_2$ . By Lemma 1, I is a stable model of  $\mathcal{H}_1$  iff it is of the form  $Q \cup D_n$ , where Q is a set of squares. It remains to show that a set I of the form  $Q \cup D_n$  satisfies all formulas in  $\mathcal{H}_2$  iff Q is a solution to the n-queens problem. Specifically, we will show that for any set I of the form  $Q \cup D_n$ 

- (i) I satisfies  $\tau R_2$  iff for all  $i \in \{1, ..., n\}$ , I contains exactly one atom of the form  $q(\bar{i}, \bar{j})$ ;
- (ii) I satisfies  $\tau R_3$  iff for all  $j \in \{1, ..., n\}$ , I contains exactly one atom of the form  $q(\overline{i}, \overline{j})$ ;
- (iii) I satisfies  $\tau R_6 \cup \tau R_7$  iff no two squares in I are in the same diagonal.

To prove (i), note first that  $\tau R_2$  is equivalent to the set of formulas

$$\neg\neg (\tau(count\{Y:q(\overline{i},Y)\}=\overline{1}))$$

 $(1 \le i \le n)$ . Let E be the aggregate atom above. Since  $[\overline{1}]$  is a singleton set,  $\tau E$  is the same as  $\tau_{\overline{1}}E$ . By Theorem 1, this set is strongly equivalent to the set of formulas

$$\neg\neg\left(\tau_{\overline{1}}(count\{Y:q(\overline{i},Y)\}\leq\overline{1})\wedge\tau_{\overline{1}}(count\{Y:q(\overline{i},Y)\}\geq\overline{1})\right). \tag{39}$$

Again note that the result of applying  $\tau$  to first aggregate atom in (39) is the same as the result of applying  $\tau_{\overline{1}}$ . Then by Theorem 3 and the comment at the end of Section 5.3,  $\tau$  applied to this aggregate atom is strongly equivalent to

$$\bigwedge_{\Delta \subseteq A \atop |\Delta|=2} \neg \bigwedge_{(1,r) \in \Delta} q(\bar{i},r).$$

This formula can be written as

$$\bigwedge_{\Sigma \subseteq P \atop |\Sigma|=2} \neg \bigwedge_{r \in \Sigma} q(\overline{i}, r),$$

where P is the set of precomputed terms. It is easy to see that I satisfies this formula iff it contains at most one atom of the form  $q(\bar{i},r)$ . On the other hand, by Theorem 2, the result of applying  $\tau$  to the second aggregate atom in (39) is strongly equivalent to

$$\bigvee_{\Delta \subseteq A \atop |\Delta|=1} \bigwedge_{(1,r) \in \Delta} q(\overline{i},r).$$

Similar reasoning shows that I satisfies this formula iff it contains at least one atom of the form  $q(\overline{i}, r)$ . Since  $I = Q \cup D_n$ , r in this atom is one of  $\overline{1}, \dots, \overline{n}$ .

Claim (ii) is proved in a similar way.

To prove (iii), note first that two squares  $(\overline{i_1},\overline{j_1}),(\overline{i_2},\overline{j_2})$  are in the same diagonal iff there exists a  $k \in \{1,\ldots,2n-1\}$  such that

$$d1(\overline{i}_1, \overline{j}_1, \overline{k}), d1(\overline{i}_2, \overline{j}_2, \overline{k}) \in D_n \tag{40}$$

or

$$d2(\overline{i}_1, \overline{j}_1, \overline{k}), d2(\overline{i}_2, \overline{j}_2, \overline{k}) \in D_n. \tag{41}$$

We will show that a set I of the form  $Q \cup D_n$  does not satisfy  $\tau R_6$  iff there exists a k such that (40) holds for two distinct elements  $q(\overline{i_1},\overline{j_1}),q(\overline{i_2},\overline{j_2})\in Q$ , and that it does not satisfy  $\tau R_7$  iff there exists a k such that (41) holds for such two elements. The result of applying  $\tau$  to  $R_6$  is strongly equivalent to the set of formulas

$$\neg \tau(2 \le count\{\overline{0}, q(X, Y) : q(X, Y), d1(X, Y, \overline{k})\}) \tag{42}$$

 $(1 \le k \le 2n - 1)$ . Formula (42) is identical to

$$\neg \tau(count\{X,Y:q(X,Y),d1(X,Y,\overline{k})\} \geq 2).$$

In view of Theorem 2, it follows that it is strongly equivalent to

$$\neg \bigvee_{\Delta \subseteq A \atop |\Delta|=2} \bigwedge_{(1,(r,s)) \in \Delta} (q(r,s) \wedge d1(r,s,\overline{k}))$$

 $(1 \le k \le 2n - 1)$ . This formula can be written as

$$\neg \bigvee_{\substack{\Sigma \subseteq P \times P \\ |\Sigma| = 2}} \bigwedge_{(r,s) \in \Sigma} (q(r,s) \wedge d1(r,s,\overline{k})). \tag{43}$$

For any set Q of squares,

 $Q \cup D_n$  does not satisfy (43)

iff there exist two distinct pairs  $(r_1,s_1),(r_2,s_2)$  from  $P\times P$  such that  $q(r_1,s_1),q(r_2,s_2)\in Q$  and  $d1(r_1,s_1,\overline{k}),d1(r_2,s_2,\overline{k})\in D_n$ 

If there exist two distinct squares  $(\bar{i}_1, \bar{j}_1), (\bar{i}_2, \bar{j}_2) \in Q$  such that (40) holds.

The claim about (41) is proved in a similar way.

Theorem 4 is immediate from the lemma.

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