

# Evaluation of Fractional-Order Sliding Mode Control Applied to an Energy Harvesting System

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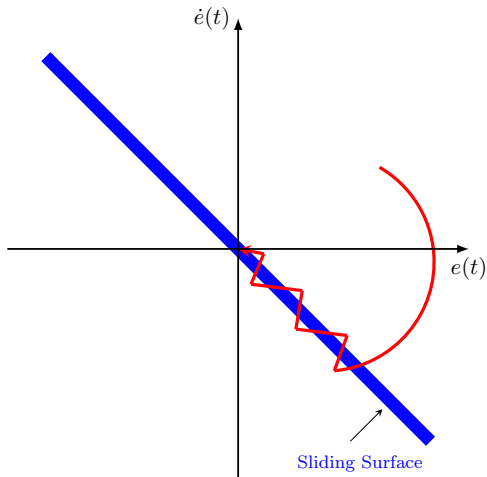
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**NUMERICO** – Nucleus of Modeling and Experimentation with Computers

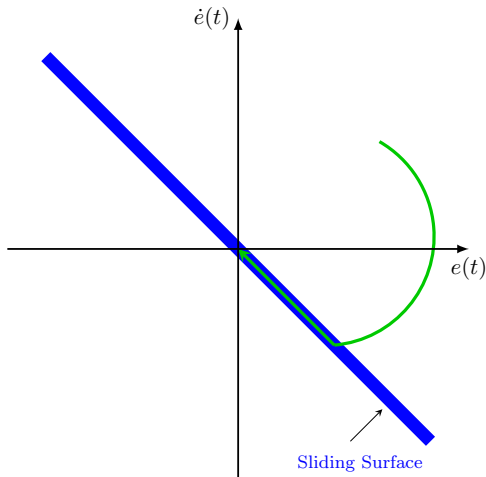
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**September 14, 2022**

## SLIDING MODE CONTROL



## FRACTIONAL-ORDER SLIDING MODE CONTROL

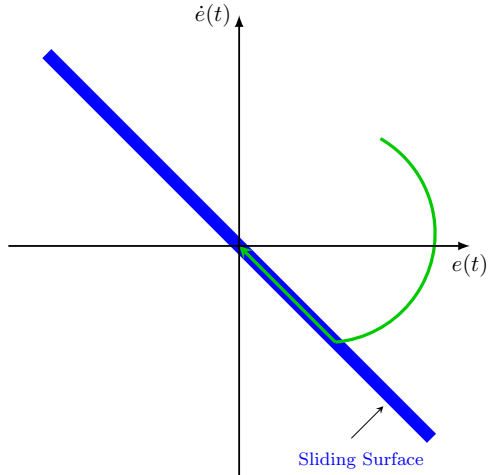


CROSS ENTROPY METHOD

+

FRACTIONAL-ORDER

SLIDING MODE CONTROL



This research has the following objective:

- To compare a classic Sliding Mode Control (SMC) and a Fractional-Order Sliding Mode Control (FOSMC), focusing on the energy consumption performance of controllers.;

## 1 Bistable Energy Harvester

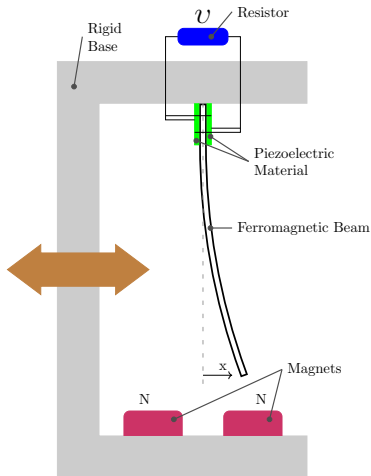
## 2 Fractional-Order Sliding Mode Control

## 3 Cross-Entropy method

## 4 Results

## 5 Final Remarks

# Bistable Energy Harvester



Equation of motion (2-DOF):

$$\ddot{x} + 2\xi\dot{x} - \frac{1}{2}x(1-x^2) - \chi v = F + u$$

$$+\dot{v} + \Lambda v + \kappa\dot{x} = 0$$

+ initial conditions

$v$  - voltage across the resistor

$x$  - displacement of the beam

$t$  - time variable

$\chi$  - mechanical coupling factor

$\kappa$  - electrical coupling factor

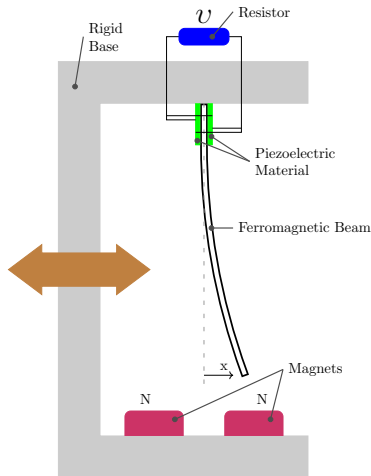
$\Lambda$  - inverse characteristic time

$u$  - control signal

$F$  - natural system excitation (harmonic or random)

**Nonlinear Bistable Vibration Energy Harvester,**  
proposed by Erturk et al (2009).





Equation of motion (2-DOF):

$$\ddot{x} + 2\xi\dot{x} - \frac{1}{2}x(1-x^2) - \chi v = F + u$$

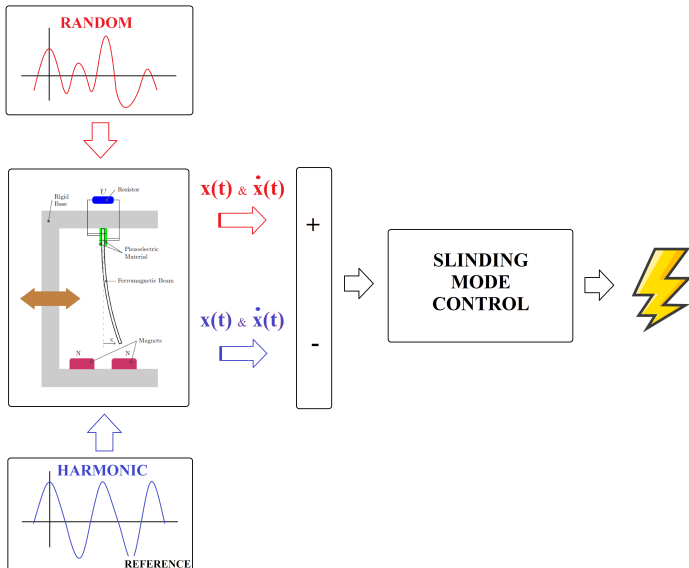
$$+\dot{v} + \Lambda v + \kappa\dot{x} = 0$$

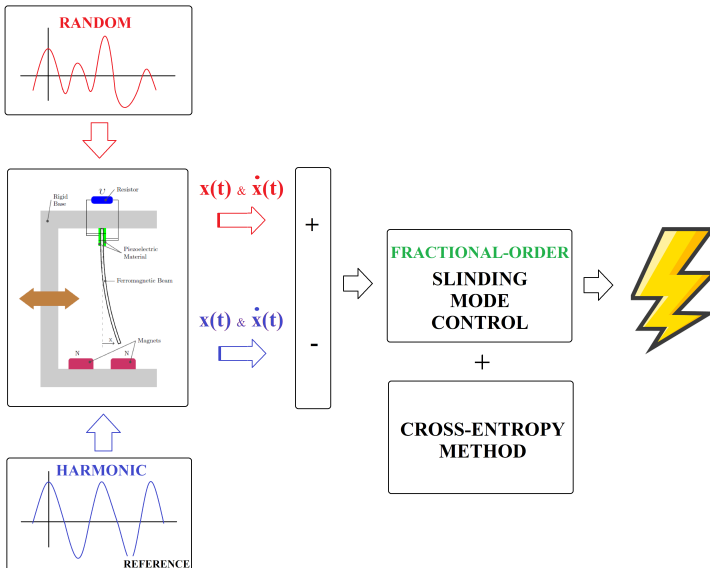
+ initial conditions

Average Power:

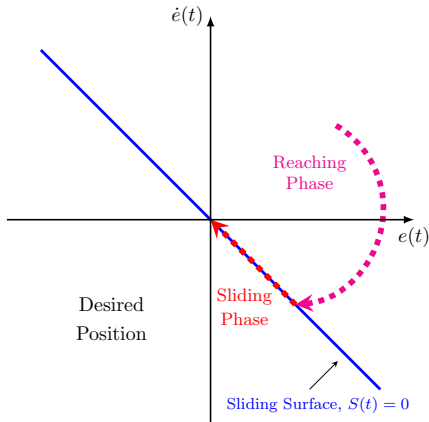
$$P_{avg} = \frac{1}{T} \int_0^T \Lambda v(t)^2 dt$$

**Nonlinear Bistable Vibration Energy Harvester,**  
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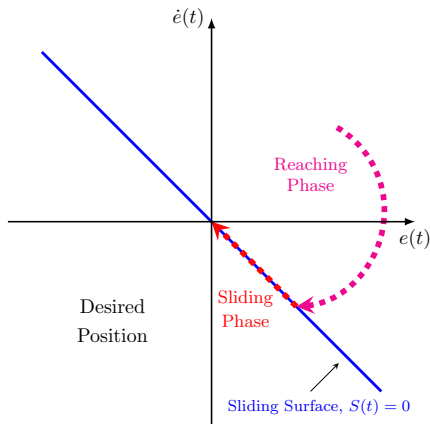
# Fractional-Order Sliding Mode Control



**Sliding surface** of the **SMC** control  
(second-order system):

$$S(t) = \lambda_1 e(t) + \frac{d e(t)}{dt}$$

$\lambda_1$  - sliding gain  
 $e(t)$  - tracking error



**Sliding surface** of the **FOSMC** control (second-order system):

$$S(t) = \lambda_1 e(t) + \lambda_2 \frac{d e(t)}{dt} + \lambda_3 \mathcal{D}^\alpha e(t)$$

$\lambda_1, \lambda_2, \lambda_3$  - sliding gains

$e(t)$  - tracking error

$\mathcal{D}^\alpha$  - fractional derivative operator.

Several definitions are possible!



► Riemann-Liouville

$$\mathcal{I}^\alpha f(t) = \frac{1}{\Gamma(\alpha)} \int_0^t (t - \tau)^{\alpha-1} f(\tau) d\tau$$



► Hadamard

$$\mathcal{I}^\alpha f(t) = \frac{1}{\Gamma(\alpha)} \int_a^t \left( \log \frac{t}{\tau} \right)^{\alpha-1} f(\tau) \frac{d\tau}{\tau}$$

\*Pictures from Wikipedia



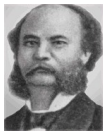
D.Ortigueira and J.A.Tenreiro Machado, What is a fractional derivative? *Journal of Computational Physics*, 293:4-13 2015.

Several definitions are also possible!



► Riemann-Liouville

$$\mathcal{D}^\alpha f(t) = \frac{d^n}{dt^n} \mathcal{I}^{n-\alpha} f(t)$$



► Grünwald-Letnikov

$$\mathcal{D}^\alpha f(t) = \lim_{N \rightarrow \infty} \left[ \frac{\left(\frac{t-a}{N}\right)^{-\alpha}}{\Gamma(-\alpha)} \sum_{j=0}^{N-1} \frac{\Gamma(j-\alpha)}{\Gamma(j+1)} f\left(t - j\left(\frac{t-a}{N}\right)\right) \right]$$



► Caputo

$$\mathcal{D}^\alpha f(t) = \frac{1}{\Gamma(n-\alpha)} \int_0^t (t-u)^{(n-\alpha-1)} f^{(n)}(u) du$$

\*Pictures from Wikipedia and Research Gate



D.Ortigueira and J.A.Tenreiro Machado, What is a fractional derivative? *Journal of Computational Physics*, 293:4-13 2015.



Control signal (SMC and FOSMC):

$$u(t) = u_{eq}(t) + u_{sw}(t)$$

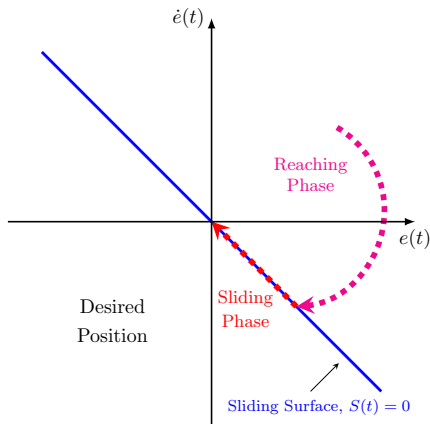
Lyapunov function candidate:

$$v(s) = \frac{1}{2} s^2$$

Equivalent control  $u_{eq}$ :  $\dot{v}(s) = s \dot{s} = 0$

Switching control  $u_{sw}$ :  $\dot{v}(s) = s \dot{s} < 0$

$$u_{sw} = -k \frac{s}{||s|| + \epsilon}$$



## SMC

$$s(t) = \lambda_1 e(t) + \frac{d e(t)}{dt}$$

$$\dot{v}(s) = s \dot{s} = s (\lambda_1 \dot{e}(t) + \ddot{e}(t)) = 0$$

$$u_{eq_{IO}} = \ddot{r} + 2\xi \dot{x} - \chi v - \frac{1}{2} x(1 - x^2) - F - \lambda_1 \dot{e}$$

## FOSMC

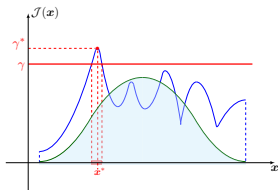
$$s(t) = \lambda_1 e(t) + \lambda_2 \frac{d e(t)}{dt} + \lambda_3 \mathcal{D}^\alpha e(t)$$

$$\dot{v}(s) = s \dot{s} = s (\lambda_1 \dot{e}(t) + \lambda_2 \frac{d^2 e(t)}{dt^2} + \lambda_3 \mathcal{D}^{\alpha+1} e(t)) = 0$$

$$u_{eq_{FO}} = \ddot{r} + 2\xi \dot{x} - \chi v - \frac{1}{2} x(1 - x^2) - F - \frac{\lambda_1}{\lambda_2} \dot{e} - \frac{\lambda_3}{\lambda_2} \mathcal{D}^{\alpha+1} e$$

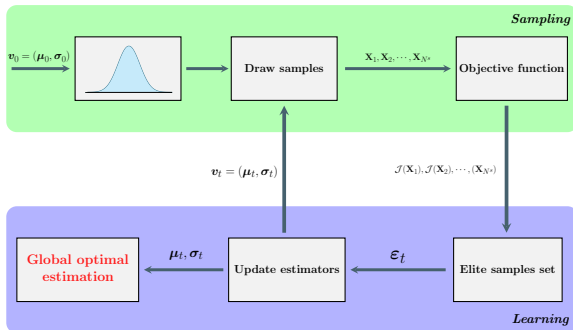
# Cross-Entropy method

💡 “Transform” the optimization problem into a rare-event estimation problem.



$$\mathcal{P}\{J(x) \geq \gamma\} \approx 0 \text{ for } \gamma \approx \gamma^*$$

$J(x) \geq \gamma$  is a rare event



Cunha, A. Enhancing the performance of a bistable energy harvesting device via the cross-entropy method. Nonlinear Dyn 103, 137–155 (2021).

<https://doi.org/10.1007/s11071-020-06109-0>

Control Effort:

$$ISU = \frac{1}{T} \int_0^T \Lambda u(t)^2 dt$$

Average Power:

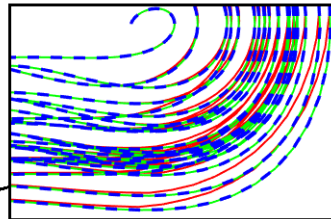
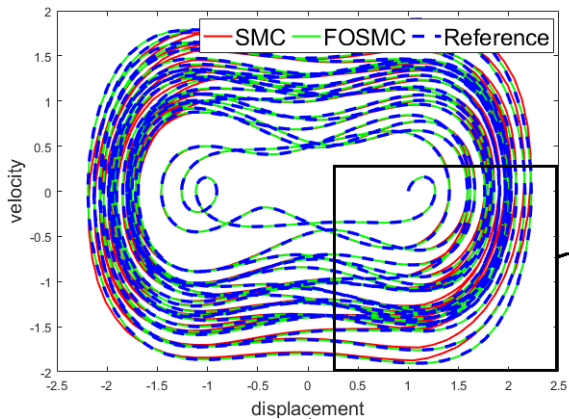
$$P_{avg} = \frac{1}{T} \int_0^T \Lambda v(t)^2 dt$$

Minimize  $\mathcal{F}$  via Cross-Entropy optimization

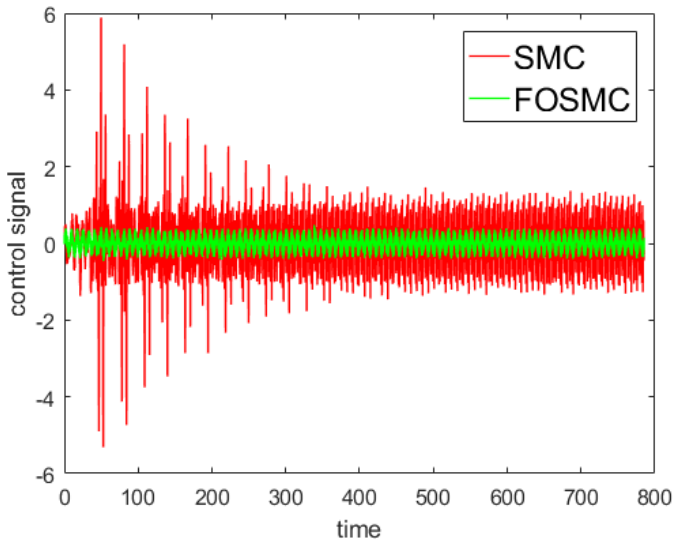
$$\mathcal{F} = \frac{ISU}{P_{avg}}$$

# Results

parameters	SMC	FOSMC	
$\epsilon$	0.1	0.1	
$k$	13.07	17.27	
$\lambda_1$	3.21	11.39	
$\lambda_2$	-	0.56	
$\lambda_3$	-	10.74	
$\alpha$	-	1.65	
performance			
$ISU$	378.37	25.11	<b>-93.36%</b>
$P_{avg}$	0.0183	0.0183	
$\mathcal{F}$	20697	1374.6	







# Final Remarks

## Conclusion:

- ▶ The FOSMC can obtain configurations that reduce the control energy expenditure, making the control signal up to 93% more economical (less control effort), and still maintaining the same generated energy.
- ▶ In addition, the Cross-Entropy optimization method proved to be effective to find the optimal performance, even with increasing parameters, being a method with potential to deal with fractional controllers.



Thank you for your attention!

Questions?!

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