### 1 Introduction

The algebraic descriptions of vector addition and scalar multiplication for vectors in a plane yield the following properties:

- 1. For all vectors x and y, x + y = y + x.
- 2. For all vectors x, y, and z, (x + y) + z = x + (y + z).
- 3. There exists a vector denoted  $\theta$  such that  $x + \theta = x$  for each vector x.
- 4. For each vector x there is a vector y such that x + y = 0.
- 5. For each vector x, 1x = x.
- 6. For each pair of real numbers a and b and each vector x, (ab)x = a(bx).
- 7. For each real number a and each pair of vectors x and y, a(x+y) = ax + ay.
- 8. For each pair of real numbers a and b and each vector x, (a + b)x = ax + bx.

Any mathematical structure possessing these eight properties is called a vector space.

## 2 Vector Spaces

**Definition.** A vector space (or linear space)  $\mathbf{V}$  over a field F consists of a set on which two operations (called addition and scalar multiplication, respectively) are defined so that for each pair of elements x, y, in  $\mathbf{V}$  there is a unique element x + y in  $\mathbf{V}$ , and for each element a in  $\mathbf{F}$  and each element a in  $\mathbf{V}$  there is a unique element a in  $\mathbf{V}$ , such that the following conditions hold:

- (VS 1) For all x, y in  $\mathbf{V}$ , x + y = y + x (commutativity of addition).
- (VS 2) For all x, y, z in  $\mathbf{V}$ , (x+y)+z=x+(y+z) (associativity of addition).
- (VS 3) There exists an element in V denoted by 0 such that x + 0 = x for each x in V.
- (VS 4) For each element x in V there exists and element y in V such that x + y = 0.
- (VS 5) For each element x in  $\mathbf{V}$ , 1x = x.
- (VS 6) For each pair of elements a, b in F and each element x in  $\mathbf{V}$ , (ab)x = a(bx).
- (VS 7) For each element a in F and each pair of elements x, y in  $\mathbf{V}$ , a(x+y)=ax+ay.
- (VS 8) For each pair of elements a, b in F and each element x in  $\mathbf{V}$ , (a+b)x = ax + bx.

The elements x + y and ax are called the **sum** of x and y and the **product** of a and x, respectively.

The elements of the field F are called **scalars** and the elements of the vector space  $\mathbf{V}$  are called **vectors**.

An object of the form  $(a_1, a_2, ..., a_n)$  where the entries  $a_1, a_2, ..., a_n$  are elements of a field F, is called an n-tuple with entries from F. The elements  $a_1, a_2, ..., a_n$  are called the **entries** or **components** of the n-tuple. Two n-tuples  $(a_1, a_2, ..., a_n)$  and  $(b_1, b_2, ..., b_n)$  are called **equal** if  $a_i = b_i$  for i = 1, 2, ..., n.

An  $m \times n$  matrix with entries from a field F is a rectangular array of the form

$$\begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} \end{bmatrix}$$

where each entry  $a_{ij}$  is an element of F. We call the entries  $a_{ij}$  with i = j the **diagonal entries** of the matrix.

The  $m \times n$  matrix in which each entry equals zero is called the **zero matrix** and is denoted by O.

If the number of rows and columns of a matrix are equal, the matrix is called square.

A **polynomial** with coefficients from a field F is an expression of the form

$$f(x) = a_n x^n + a_{n-1} x^{n-1} + \ldots + a_1 x + a_0,$$

where n is a nonnegative integer and each  $a_k$ , called the **coefficient** of  $x^k$ , is in F. If f(x) = 0, then f(x) is called the **zero polynomial** and, for convenience, its degree is defined to be -1; otherwise, the **degree** of a polynomial is defined to be the largest exponent of x that appears in the representation with a nonzero coefficient.

**Theorem 1** (Cancellation Law for Vector Addition). If x, y, and z are vectors in a vector space  $\mathbf{V}$  such that x + z = y + z then x = y.

The vector  $\theta$  in (VS 3) is called the **zero vector** of **V**, and the vector y in (VS 4) is called the **additive inverse** of x and is denoted by -x.

**Theorem 2.** In any vector space **V**, the following statements are true:

- (a) 0x = 0 for each  $x \in \mathbf{V}$ .
- (b) (-a)x = -(ax) = a(-x) for each  $a \in F$  and each  $x \in V$ .
- (c) a0 = 0 for each  $a \in F$ .

#### Exercises

- 1. Label the following statements as true or false.
- (a) Every vector space contains a zero vector **True**. It is included in the definition of a vector space (VS 3).
- (b) A vector space may have more than one zero vector **False**. Suppose there were two such vectors, x and y, and one nonzero vector z. Then x+z=z=y+z, and x+(z+(-z))=x=y+(z+(-z))=y.
- (c) In any vector space, ax = bx implies that a = b False. Consider x = 0 but  $a \neq b$ .
- (d) In any vector space, ax = ay implies that x = y **False**. Consider a = 0 but  $x \neq y$ .
- (e) A vector in  $F^n$  may be regarded as a matrix in  $M_{n\times 1}(F)$  **True**.
- (f) An  $m \times n$  matrix has m columns and n rows False. An  $m \times n$  matrix has m rows and n columns.
- (g) In P(F), only polynomials of the same degree may be added **False**. Not true based on the definition of addition in P(F).
- (h) If f and g are polynomials of degree n, then f+g is a polynomial of degree n False. Consider x and -x.
- (i) If f is a polynomial of degree n and c is a nonzero scalar, then cf is a polynomial of degree n True.
  Follows from definition of scalar multiplication in P(F).
- (j) A nonzero scalar of F may be considered to be a polynomial in P(F) having degree zero **True**.

If a is a nonzero scalar, it can be expressed as  $ax^0$ .

(k) Two functions in  $\mathcal{F}(S,F)$  are equal if and only if the have the same value at each element of S - **True**.

By definition, two functions f, g in  $\mathcal{F}(S, F)$  are equal when f(x) = g(x) for each x in F.

2. Write the zero vector of  $M_{3\times 4}(F)$ .

8. In any vector space V, show that (a+b)(x+y)=ax+ay+bx+by for any  $x,y\in \mathbf{V}$  and any  $a,b\in F$ .

$$(a+b)(x+y) = (a+b)x + (a+b)y = ax + bx + ay + by.$$

#### 9. Prove Corollaries 1 and 2 of Theorem 1.1 and Theorem 1.2(c).

Corollary. The vector 0 described in (VS 3) is unique.

*Proof.* Suppose that there are vectors 
$$x, y, z \in \mathbf{V}$$
 such that  $x + z = y + z = z$ . Then  $x = x + 0 = x + (z + (-z)) = (x + z) + (-z) = (y + z) + (-z) = y + (z + (-z)) = y + 0 = y$ . □

Corollary. The vector y described in (VS 4) is unique.

*Proof.* Suppose that there are vectors 
$$x, y, z \in \mathbf{V}$$
 such that  $x + y = x + z = 0$ . Then  $y = 0 + y = x + (-x) + y = (x + y) + (-x) = (x + z) + (-x) = x + (-x) + z = 0 + z = z$ .

11. Let  $V = \{0\}$  consist of a single vector  $\theta$  and define  $\theta + \theta = \theta$  nad  $c\theta = \theta$  for each scalar c in F. Prove that V is a vector space over F. (V is called the zero vector space.)

*Proof.* For any  $x, y, z \in \mathbf{V}$  and  $a, b \in F$ :

1. 
$$x + y = 0 + 0 = y + x$$
 (VS 1)

2. 
$$(x+y) + z = (0+0) + 0 = 0 + (0+0) = x + (y+z)$$
 (VS 2)

3. 
$$x + 0 = 0 + 0 = 0 = x$$
 (VS 3)

4. 
$$x + y = 0 + 0 = 0$$
 (VS 4)

5. 
$$1x = 1 \times 0 = 0 = x$$
 (VS 5)

6. 
$$(ab)x = (ab) \times 0 = 0 = a(b \times 0) = a(bx)$$
 (VS 6)

7. 
$$a(x+y) = a(0+0) = 0 + 0 = a \times 0 + a \times 0 = ax + ay$$
 (VS 7)

8. 
$$(a+b)x = (a+b) \times 0 = 0 = 0 + 0 = a \times 0 + b \times 0 = ax + bx$$
 (VS 8)

Therefore V satisfies all conditions necessary for it to be a vector space.

13. Let V denote the set of ordered pairs of real numbers. If  $(a_1, a_2)$  and  $(b_1, b_2)$  are elements of V and  $c \in R$ , define

$$(a_1, a_2) + (b_1, b_2) = (a_1 + b_1, a_2b_2)$$

and

$$c(a_1, a_2) = (ca_1, a_2).$$

Is V a a vector space over R with these operations?

*Proof.* Let  $(x_1, x_2) \in \mathbf{V}$  and  $a, b \in R$ . Then

$$(a+b)(x_1,x_2) = ((a+b)x_1,x_2) = (ax_1+bx_1,x_2)$$

and

$$a(x_1, x_2) + b(x_1, x_2) = (ax_1, x_2) + (bx_1, x_2) = (ax_1 + bx_1, x_2)$$

so

$$(a+b)(x_1,x_2) \neq a(x_1,x_2) + b(x_1,x_2)$$

so V is not a vector space over R.

14. Let  $V = \{(a_1, a_2, \dots, a_n) : a_i \in C \text{ for } i = 1, 2, \dots, n\}$ ; so V is a vector space over C by Example 1. Is V a vector space over the field of real numbers with the operations of coordinatewise addition and multiplication?

*Proof.* Notice that any number  $x \in R$  can be expressed as x + 0i in C, so if **V** is a vector space over C, it is also a vector space over R.

15. Let  $V = \{(a_1, a_2, \dots, a_n) : a_i \in R \text{ for } i = 1, 2, \dots, n\}$ ; so V is a vector space over R by Example 1. Is V a vector space over the field of complex numbers with the operations of coordinatewise addition and scalar multiplication?

*Proof.* Consider c = x + yi and  $a = (a_1)$  with  $y, a_1 \neq 0$ . Then  $ca = (x + yi)(a_1) = (xa_1 + ya_1i)$ , so the entries of ca aren't in R, so V is not a vector space over C.

17. Let  $V = \{(a_1, a_2) : a_1, a_2 \in F\}$ , where F is a field. Define the addition of elements of V coordinatewise, and for  $c \in F$  and  $(a_1, a_2) \in V$ , define

$$c(a_1, a_2) = (a_1, 0).$$

Is V a vector space over F with these operations?

*Proof.* Consider  $(a_1, a_2) \in \mathbf{V}$  with  $a_2 \neq 0$ . Then  $1(a_1, a_2) = (a_1, 0)$ , so  $1(a_1, a_2) \neq (a_1, a_2)$ , therefore  $\mathbf{V}$  is not a vector space over F.

**18.** Let  $V = \{(a_1, a_2) : a_1, a_2 \in R\}$ . For  $(a_1, a_2), (b_1, b_2) \in V$  and  $c \in R$ , define

$$(a_1, a_2) + (b_1, b_2) = (a_1 + 2b_1, a_2 + 3b_2)$$
 and  $c(a_1, a_2) = (ca_1, ca_2)$ .

Is V a vector space over R with these operations?

*Proof.* Consider  $(a_1, a_2) = (1, 1)$  and  $(b_1, b_2) = (2, 2)$ . Then  $(a_1, a_2) + (b_1, b_2) = (5, 7)$  and  $(b_1, b_2) + (a_1, a_2) = (4, 5)$ , so  $(a_1, a_2) + (b_1, b_2) \neq (b_1, b_2) + (a_1, a_2)$ , therefore **V** is not a vector space over R.

#### 21. Let V and W be vector spaces over a field F. Let

$$\mathbf{Z} = \{(v, w) : v \in \mathbf{V} \text{ and } w \in \mathbf{W}\}.$$

Prove that Z is a vector space over F with the operations

$$(v_1, w_1) + (v_2, w_2) = (v_1 + v_2, w_1 + w_2)$$
 and  $c(v_1, w_1) = (cv_1, cw_1)$ .

*Proof.* For  $(v_1, w_1), (v_2, w_2), (v_3, w_3) \in \mathbf{Z}$  and  $a, b \in F$ 

1. 
$$(v_1, w_1) + (v_2, w_2) = (v_1 + v_2, w_1 + w_2) = (v_2 + v_1, w_2 + w_1) = (v_2, w_2) + (v_1, w_1)$$
 (VS 1)

2. 
$$((v_1, w_1) + (v_2, w_2)) + (v_3, w_3) = (v_1 + v_2, w_1 + w_2) + (v_3, w_3) = (v_1 + v_2 + v_3, w_1 + w_2 + w_3) = (v_1, w_1) + (v_2 + v_3, w_2 + w_3) = (v_1, w_1) + ((v_2, w_2) + (v_3, w_3))$$
 (VS 2)

3. 
$$(v_1, w_1) + 0 = (v_1, w_1) + (0, 0) = (v_1 + 0, w_1 + 0) = (v_1, w_1)$$
 (VS 3)

4. 
$$(v_1, w_1) + (-v_1, -w_1) = (v_1 - v_1, w_1 - w_1) = (0, 0)$$
 (VS 4)

5. 
$$1(v_1, w_1) = (1v_1, 1w_1) = (v_1, w_1)$$
 (VS 5)

6. 
$$(ab)(v_1, w_1) = (abv_1, abw_1) = a(bv_1, bw_1) = a(b(v_1, w_1))$$
 (VS 6)

7. 
$$a((v_1, w_1) + (v_2, w_2)) = a(v_1 + v_2, w_1 + w_2) = (av_1 + av_2, aw_1 + aw_2) = (av_1, aw_1) + (av_2, aw_2) = a(v_1, w_1) + a(v_2, w_2)$$
 (VS 7)

8. 
$$(a+b)(v_1, w_1) = ((a+b)v_1, (a+b)w_1) = (av_1 + bv_1, aw_1 + bw_1) = (av_1, aw_1) + (bv_1, bw_1) = a(v_1, w_1) + b(v_1, w_1)$$
 (VS 8)

## 3 Subspaces

**Definition.** A subset W of a vector space V over a field F is called a **subspace** of V if W is a vector space over F with the operations of addition and scalar multiplication defined on V.

**Theorem 3.** Let **V** be a vector space and **W** a subset of **V**. Then **W** is a subspace of **V** if and only if the following three conditions hold for the operations defined in **V**.

- 1.  $0 \in \mathbf{W}$ .
- 2.  $x + y \in \mathbf{W}$  whenever  $x \in \mathbf{W}$  and  $y \in \mathbf{W}$ .
- 3.  $cx \in \mathbf{W}$  whenever  $c \in F$  and  $x \in \mathbf{W}$ .

The **transpose**  $A^t$  of an  $m \times n$  matrix A is the  $n \times m$  matrix obtained from A by interchanging the rows with the columns; that is  $(A^t)_{ij} = A_{ii}$ .

A symmetric matrix is a matrix A such that  $A^t = A$ .

An  $m \times n$  matrix A is called **upper triangular** if all its entries lying below the diagonal entries are zero, that is,  $A_{ij} = 0$  whenever i > j. An  $n \times n$  matrix M is called a **diagonal matrix** if  $M_{ij} = 0$  whenever  $i \neq j$ , that is, if all its nondiagonal entries are zero.

The trace of an  $n \times n$  matrix M, denoted tr(M), is the sum of diagonal entries of M; that is

$$tr(M) = M_{11} + M_{22} + \dots + M_{nn}$$

**Theorem 4.** Any intersection of subspaces of a vector space **V** is a subspace of **V**.

**Definition.** If  $S_1$  and  $S_2$  are nonempty subsets of a vector space V, then the **sum** of  $S_1$  and  $S_2$ , denoted  $S_1 + S_2$ , is the set  $\{x + y : x \in S_1 \text{ and } y \in S_2\}$ .

**Definition.** A vector space V is called the **direct sum** of  $W_1$  and  $W_2$  if  $W_1$  and  $W_2$  are subspaces of V such that  $W_1 \cap W_2 = 0$  and  $W_1 + W_2 = V$ . We denote that V is the direct sum of  $W_1$  and  $W_2$  by writing  $V = W_1 \bigoplus W_2$ .

#### **Exercises**

- 1. Label the following statements as true or false.
  - (a) If V is a vector space and W is a subset of V that is a vector space, then W is a subspace of V True

This is the definition of a subspace.

- (b) The empty set is a subspace of every vector space **False**.

  The empty set does not contain 0, which is necessary for it to be a subspace.
- (c) If V is a vector space other than the zero vector space, then V contains a subspace W such that  $W \neq V$  True.

The zero subspace fulfills this condition.

- (d) The intersection of any two subsets of **V** is a subspace of **V False**. If neither subset contains 0, then their intersection can not be a subspace.
- (e) An  $n \times n$  diagonal matrix can never have more than n nonzero entries **True**. All non-diagonal entries of a diagonal matrix are always 0, and an  $n \times n$  matrix has n diagonal entries.
- (f) The trace of a square matrix is the product of its diagonal entries **False**. The trace of a square matrix is the sum of its diagonal entries.
- (g) Let **W** be the xy-plane in  $R^3$ ; that is,  $\mathbf{W} = \{(a_1, a_2, 0) : a_1, a_2 \in R\}$ . Then  $\mathbf{W} = R^2$  False.  $R^2 = \{(a_1, a_2) : a_1, a_2 \in R\}$ , so  $\mathbf{W} \neq R^2$ .
- 3. Prove that  $(aA + bB)^t = aA^t + bB^t$  for any  $A, B \in \mathbf{M}_{n \times n}(F)$  and any  $a, b \in F$ .

  Proof.

$$(aA^{t} + bB^{t})_{ij} = aA^{t}_{ij} + bB^{t}_{ij} = aA_{ji} + bB_{ji} = (aA + bB)_{ji} = (aA + bB)^{t}_{ij},$$
  
so  $(aA^{t} + bB^{t}) = (aA + bB)^{t}.$ 

**4.** Prove that  $(A^t)^t = A$  for each  $A \in \mathbf{M}_{m \times n}(F)$ .

Proof.

$$(A^t)_{ij}^t = A_{ji}^t = A_{ij} \text{ so } (A^t)^t = A.$$

5. Prove that $A + A^t$ is symmetric for any square matrix	κ A.
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*Proof.* Let A be an  $n \times n$  square matrix. Then

$$A_{ij} + A^{t}_{ij} = A_{ij} + A_{ji} = A_{ji} + A_{ij} = A_{ji} + A^{t}_{ji},$$

so 
$$(A + A^t)_{ij} = (A + A^t)_{ji} = (A + A^t)_{ij}^t$$
, therefore  $A + A^t$  is symmetric.

**6.** Prove that tr(aA + bB) = atr(A) + btr(B) for any  $A, B \in \mathbf{M}_{n \times n}(F)$ .

*Proof.* For any 
$$i \le n$$
,  $(aA + bB)_{ii} = (aA)_{ii} + (bB)_{ii} = a(A_{ii}) + b(B_{ii})$ , so  $tr(aA + bB) = atr(A) + btr(B)$ .

7. Prove that diagonal matrices are symmetric matrices.

*Proof.* Let A be an 
$$n \times n$$
 diagonal matrix. Then for any  $i, j \leq n$  such that  $i \neq j$ ,  $A_{ij} = 0 = A_{ji} = A^t_{ij}$ . If  $i = j$ , then  $A_{ij} = A_{ji} = A^t_{ij}$ , so the matrix is symmetric.

- 8. Determine whether the following sets are subspaces of  $\mathbb{R}^3$  under the operations of addition and scalar multiplication defined on  $\mathbb{R}^3$ . Justify your answers
  - (a)  $W_1 = \{(a_1, a_2, a_3) \in R^3 : a_1 = 3a_2 \text{ and } a_3 = -a_2\}.$ 0 is in  $W_1$ . Let  $x = (3x_2, x_2, -x_2)$  and  $y = (3y_2, y_2, -y_2)$ . Then  $x + y = (3x_2 + 3y_2, x_2 + y_2, -x_2 - y_2) = (3(x_2 + y_2), x_2 + y_2, -(x_2 + y_2))$ , so  $x + y \in W_1$ . Finally let  $c \in R$ . Then  $cx = (3cx_2, cx_2, -cx_2)$ , so  $cx \in W_1$ , therefore  $W_1$  is a subspace of  $R^3$ .
  - (b)  $W_2 = \{(a_1, a_2, a_3) \in R^3 : a_1 = a_3 + 2\}.$  $0 \notin W_2$ , so  $W_2$  is not a subspace of  $R^3$ .
  - (c)  $W_3 = \{(a_1, a_2, a_3) \in R^3 : 2a_1 7a_2 + a_3 = 0\}$  $0 \in W_3$ . Let  $x = (x_1, x_2, 7x_2 - 2x_1)$  and  $y = (y_1, y_2, 7y_2 - 2y_1)$ . Then  $x + y = (x_1 + y_1, x_2 + y_2, 7x_2 - 2x_1 + 7y_2 - 2y_1) = (x_1 + y_1, x_2 + y_2, 7(x_2 + y_2) - 2(x_1 + y_1))$ , so  $x + y \in W_3$ . Finally, let  $c \in R$ . Then  $cx = (cx_1, cx_2, c(7x_2 - 2x_1)) = (cx_1, cx_2, 7cx_2 - 2cx_1) \in W_3$ , so  $W_3$  is a subspace of  $R^3$ .
  - (d)  $W_4 = \{(a_1, a_2, a_3) \in \mathbb{R}^3 : a_1 4a_2 a_3 = 0\}$  $0 \in W_4$ . Let  $x = (4x_2 + x_3, x_2, x_3)$  and  $y = (4y_2 + y_3, y_2, y_3)$ . Then  $x + y = (4x_2 + x_3 + 4y_2 + y_3, x_2 + y_2, x_3 + y_3) = (4(x_2 + y_2) + (x_3 + y_3), x_2 + y_2, x_3 + y_3) \in W_4$ . Finally let  $c \in \mathbb{R}$ . Then  $cx = (c(4x_2 + x_3), cx_2, cx_3) = (4cx_2 + cx_3, cx_2, cx_3) \in W_4$ , so  $W_4$  is a subspace of  $\mathbb{R}^3$ .
  - (e)  $W_5 = \{(a_1, a_2, a_3) \in \mathbb{R}^3 : a_1 + 2a_2 3a_3 = 1\}$  $0 \notin W_5$ , so  $W_5$  is not a subspace of  $\mathbb{R}^3$ .
  - (f)  $W_6 = \left\{ (a_1, a_2, a_3) \in R^3 : 5a_1^2 3a_2^2 + 6a_3^2 = 0 \right\}$ Let  $x = \left( \sqrt{\frac{3}{5}x_2^2 - \frac{6}{5}x_3^2}, x_2, x_3 \right)$  and  $c \in R$ . Then  $cx = \left( c\sqrt{\frac{3}{5}x_2^2 - \frac{6}{5}x_3^2}, cx_2, cx_3 \right) = (\sqrt{\frac{3}{5}c^2x_2^2 - \frac{6}{5}c^2x_3^2}) \notin W_6$ , so  $W_6$  is not a subspace of  $R^3$ .

9. Let  $W_1, W_3$  and  $W_4$  be as in exercise 8. Describe  $W_1 \cap W_3$ ,  $W_1 \cap W_4$ , and  $W_3 \cap W_4$ , and observe that each is a subspace of  $R^3$ .

*Proof.*  $W_1 \cap W_3 = \{(a_1, a_2, a_3) \in R^3 : a_1 = 3a_2, a_2 = \frac{1}{4}a_1, \text{ and } a_3 = 7a_2 - 2a_1\}$ . We have  $a_1 = 3a_2$  and  $4a_2 = a_1$ , so  $a_1 = a_2 = 0$  always holds. Then  $a_3 = 7a_2 - 2a_1 = 0$ , so  $W_1 \cap W_3$  is the zero subspace of  $R^3$ .

 $W_1 \cap W_4 = \{(a_1, a_2, a_3) \in R^3 : a_1 = 4a_2 - a_3, a_2 = \frac{1}{3}a_1, \text{ and } a_3 = -a_2\}.$  We have  $a_2 = \frac{1}{3}a_1 = \frac{4}{3}a_2 - \frac{1}{3}a_3$ , so  $0 = \frac{1}{3}(a_2 - a_3)$ , and thus  $a_3 = a_2$ . Since  $a_3 = a_2$  and  $a_3 = -a_2$ ,  $a_3 = 0 = a_2$ , and then  $a_1 = 4a_2 - a_3 = 0$ , so  $W_1 \cap W_4$  is the zero subspace of  $R^3$ .

 $W_3 \cap W_4 = \left\{ (a_1, a_2, a_3) \in R^3 : 2a_1 - 7a_2 + a_3 = 0 \text{ and } a_1 - 4a_2 - a_3 = 0 \right\}. \text{ We then have } a_1 = \frac{11}{3}a_2 \text{ and } a_3 = 7a_2 - 2a_1 = 7a_2 - \frac{22}{3}a_2 = -\frac{1}{3}a_2. \text{ Then } 0 \in W_3 \cap W_4. \text{ Let } x = \left(\frac{11}{2}x_2, x_2, -\frac{1}{3}x_2\right) \text{ and } y = \left(\frac{11}{3}y_2, y_2, -\frac{1}{3}y_2\right). \text{ Then } x + y = \left(\frac{11}{3}(x_2 + y_2), x_2 + y_2, -\frac{1}{3}(x_2 + y_2)\right) \in W_3 \cap W_4. \text{ Let } c \in R. \text{ Then } cx = \left(\frac{11}{3}cx_2, cx_2, -\frac{1}{3}cx_2\right) \in W_3 \cap W_4, \text{ so } W_3 \cap W_4 \text{ is a subspace of } R^3.$ 

11. Is the set  $W=\{f(x)\in P(F): f(x)=0 \text{ or } f(x) \text{ has degree } n\}$  a subspace of P(F) if  $n\geq 1$ ? Justify your answer.

*Proof.* Let  $f(x) = x^2 + x$  and  $g(x) = -x^2 + x$ . Then n = 2, and f(x) + g(x) = 2x, so W is not closed under addition, and therefore it is not a subspace of P(F).

12. Prove that the set of  $m \times n$  upper triangular matrices is a subspace of  $M_{m \times n}(F)$ .

*Proof.* Let W be the set of  $m \times n$  upper triangular matrices. Then  $0 \in W$ . Let  $x, y \in W$ . Then  $x + y \in W$ , since for all i, j such that i > j,  $(x + y)_{ij} = 0 + 0 = 0$ . Let  $c \in F$ . Then  $cx \in W$ , since for all i, j such that i > j,  $(cx)_{ij} = c \cdot 0 = 0$ , so W is a subspace of  $M_{m \times n}(F)$ .

17. Prove that a subset W of a vector space V is a subspace of V if and only if  $W \neq \emptyset$  and, whenever  $a \in F$  and  $x, y \in W$ , then  $ax \in W$  and  $x + y \in W$ .

*Proof.* Suppose that W is a subset of a vector space V. If W is a subspace of V, then it must contain 0, so  $W \neq \emptyset$ , and for  $a \in F$  and  $x, y \in W$ ,  $x + y \in W$  and  $ax \in W$  is true by theorem 1.3. For the converse, suppose that  $W \neq \emptyset$ , and for  $a \in F$  and  $x, y \in W$ ,  $x + y \in W$  and  $ax \in W$ . Then for a = 0,  $ax = 0 \in W$ , so W satisfies all conditions necessary for it to be a subspace of V.

18. Prove that a subset W of a vector space V is a subspace of V if and only if  $0 \in W$  and  $ax + y \in W$  whenever  $a \in F$  and  $x, y \in W$ .

*Proof.* Let W be a subset of a vector space V. If W is a subspace of V, then it has to contain 0, and for  $a \in F$  and  $x, y \in W$ ,  $ax \in W$  and  $x + y \in W$ . If z = ax, then  $z + y \in W$  has to be true.

For the converse, suppose that  $0 \in W$  and for  $a \in F$  and  $x, y \in W$ ,  $ax + y \in W$ . If y = 0, then  $ax + y = ax \in W$ . Since  $ax \in W$ , let z = ax, so  $z + y \in W$ , which means that W is a subspace of V.

**20.** Prove that if W is a subspace of a vector space V and  $w_1, w_2, \ldots, w_n$  are in W, then  $a_1w_1 + a_2w_2 + \cdots + a_nw_n \in W$  for any scalars  $a_1, a_2, \ldots, a_n$ .

*Proof.* Let n=1. Then  $a_1w_1 \in W$  by the definition of a subspace. Next, suppose that for some  $n \geq 2$ ,  $a_1w_1 + a_2w_2 + \cdots + a_{n-1}w_{n-1} \in W$ . Then

$$a_1w_1 + a_2w_2 + \dots + a_nw_n = (a_1w_1 + a_2w_2 + \dots + a_{n-1}w_{n-1}) + a_nw_n.$$

Since  $(a_1w_1 + a_2w_2 + \cdots + a_{n-1}w_{n-1}) \in W$  and  $a_nw_n \in W$  and subspaces are closed under addition,  $a_1w_1 + a_2w_2 + \cdots + a_nw_n \in W$ .

#### 23. Let $W_1$ and $W_2$ be subspaces of a vector space V.

- (a) Prove that  $W_1 + W_2$  is a subspace of V that contains both  $W_1$  and  $W_2$ . Assume, without loss of generality that x is a vector in  $W_1$ . Then, since  $W_2$  contains 0,  $x + 0 = x \in W_1 + W_2$ .
- (b) Prove that any subspace of V that contains both  $W_1$  and  $W_2$  must also contain  $W_1 + W_2$ . Let  $W_3$  be a subspace of V, such that it contains both  $W_1$  and  $W_2$ . Since subspaces are closed under addition, for any  $x \in W_1$  and  $y \in W_2$ ,  $x + y \in W_3$ , so  $W_3$  must contain  $W_1 + W_2$ .

#### 24. Show that $F^n$ is the direct sum of the subspaces

$$W_1 = \{(a_1, a_2, \dots, a_n) \in F^n : a_n = 0\}$$

and

$$W_2 = \{(a_1, a_2, \dots, a_n) \in F^n : a_1 = a_2 = \dots = a_{n-1} = 0\}.$$

*Proof.* Let  $x = (x_1, x_2, ..., x_n) \in W_1$  and  $y = (y_1, y_2, ..., y_n) \in W_2$ . Then

$$x + y = (x_1 + y_1, x_2 + y_2, \dots, x_n + y_n) = (x_1 + 0, x_2 + 0, \dots, x_{n-1} + 0, 0 + y_n) = (x_1, x_2, \dots, y_n),$$

where  $x_1, x_2, \dots, x_{n-1}, y_n \in F$ , so  $F^n = W_1 + W_2$ .

Next,

$$W_1 \cap W_2 = \{(a_1, a_2, \dots, a_n) \in F^n : a_1 = a_2 = \dots = a_n = 0\} = \{0\},\$$

so 
$$F^n = W_1 \bigoplus W_2$$
.

27. Let V denote the vector space of all upper triangular  $n \times n$  matrices, and let  $W_1$  denote the subspace of V consisting of all diagonal matrices. Define

$$W_2 = \{A \in V : A_{ij} = 0 \text{ whenever } i \geq j\}.$$

Show that  $V = W_1 \bigoplus W_2$ .

*Proof.* Let  $A \in W_1$  and  $B \in W_2$ . Then

$$A+B=\begin{bmatrix} a_{11} & 0 & \dots & 0 \\ 0 & a_{22} & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & a_{nn} \end{bmatrix} + \begin{bmatrix} 0 & b_{12} & \dots & b_{1n} \\ 0 & 0 & \dots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 0 \end{bmatrix} = \begin{bmatrix} a_{11} & b_{12} & \dots & b_{1n} \\ 0 & a_{22} & \dots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & a_{nn} \end{bmatrix},$$

so  $V = W_1 + W_2$ .

Next,

$$W_1 \cap W_2 = \{A \in V : A_{ij} = 0 \text{ whenever } i \ge j \text{ or } i \ne j\} = \{0\},\$$

so 
$$V = W_1 \bigoplus W_2$$
.

28. A matrix M is called skew-symmetric if  $M^t = -M$ . Clearly, a skew-symmetric matrix is square. Let F be a field. Prove that the set  $W_1$  of all skew-symmetric  $n \times n$  matrices with entries from F is a subspace of  $M_{n \times n}(F)$ . Now assume that F is not of characteristic two, and let  $W_2$  be the subspace of  $M_{n \times n}(F)$  consisting of all symmetric  $n \times n$  matrices. Prove that  $M_{n \times n}(F) = W_1 \bigoplus W_2$ .

Proof.  $0 \in W_1$ , since  $0^t = 0 = -0$ . Let  $A, B \in W_1$ . Then

$$(A+B)^t = A^t + B^t = -A + (-B) = -(A+B),$$

so  $A + B \in W_1$ . Now let  $a \in F$ . Then  $(aA)^t = aA^t = -aA$ , so  $aA \in W_1$ , and thus  $W_1$  is a subspace of  $M_{n \times n}(F)$ .

Let A be any square  $n \times n$  matrix. Then if B is an  $n \times n$  square matrix, such that  $B_{ij} = A_{ij}$  whenever i = j,  $B_{ij} = A_{ij} + \frac{1}{2}(A_{ji} - A_{ij})$  whenever i < j and  $B_{ij} = B_{ji}$  whenever i > j, then B is a symmetric matrix, so  $B \in W_2$ , and if C is an  $n \times n$  square matrix, such that  $C_{ij} = 0$  whenever i = j,  $C_{ij} = \frac{1}{2}(A_{ji} - A_{ij})$  whenever i > j and  $C_{ij} = -C_{ji}$  whenever i < j, then C is skew-symmetric, so  $C \in W_1$ . Then:

1. If 
$$i = j$$

$$B_{ij} + C_{ij} = A_{ij} + 0 = A_{ij}$$

2. If i < j

$$B_{ij} + C_{ij} = A_{ij} + \frac{1}{2}(A_{ji} - A_{ij}) - \frac{1}{2}(A_{ji} - A_{ij}) = A_{ij}$$

3. If i > i

$$B_{ij} + C_{ij} = A_{ji} + \frac{1}{2}(A_{ij} - A_{ji}) + \frac{1}{2}(A_{ij} - A_{ji}) = A_{ji} + A_{ij} - A_{ji} = A_{ij},$$

so A = B + C, and thus  $M_{n \times n}(F) = W_1 + W_2$ .

$$W_1 \cap W_2 = \{ A \in M_{n \times n}(F) : A^t = -A \text{ and } A^t = A \} = \{ 0 \},$$

so 
$$M_{n\times n}(F)=W_1 \bigoplus W_2$$
.

30. Let  $W_1$  and  $W_2$  be subspaces of a vector space V. Prove that V is the direct sum of  $W_1$  and  $W_2$  if and only if each vector in V can be uniquely written as  $x_1 + x_2$  where  $x_1 \in W_1$  and  $x_2 \in W_2$ .

*Proof.* Suppose that V is the direct sum of  $W_1$  and  $W_2$ , and that there exist some  $x_1, x_1' \in W_1$  and  $x_2, x_2' \in W_2$ , such that  $x_1 + x_2 = x_1' + x_2' \in V$ . Then  $x_1 - x_1' = x_2' - x_2 \in W_1 \cap W_2 = \{0\}$ , so  $x_1 - x_1' = 0 = x_2' - x_2$ , and  $x_1 = x_1'$  and  $x_2 = x_2'$ .

For the converse, suppose that any vector in V can be represented uniquely as  $x_1 + x_2$ , for  $x_1 \in W_1$  and  $x_2 \in W_2$ . Then  $V = W_1 + W_2$ . Let  $y \in W_1 \cap W_2$ . Then y = y + 0 = 0 + y, and since it is unique, y = 0, so  $W_1 \cap W_2 = \{0\}$ .

### 4 Linear combinations and systems of linear equations

**Definition.** Let V be a vector space and S a nonempty subset of V. A vector  $v \in V$  is called a linear combination of vectors of S if there exist a finite number of vectors  $u_1, u_2, \ldots, u_n$  in S and scalars  $a_1, a_2, \ldots, a_n$  in F such that  $v = a_1u_1 + a_2u_2 + \cdots + a_nu_n$ . In this case we also say that v is a linear combination of  $u_1, u_2, \ldots, u_n$  and call  $a_1, a_2, \ldots, a_n$  the coefficients of the linear combination.

**Definition.** Let S be a nonempty subset of a vector space V. The **span** of S, denoted span(S), is the set consisting of all linear combinations of the vectors in S. For convenience, we define  $span(\emptyset) = \{0\}$ .

**Theorem 5.** The span of any subset S of a vector space V is a subspace of V that contains S. Moreover, any subspace of V that contains S must also contain the span of S.

**Definition.** A subset S of a vector space V generates (or spans) V if span(S) = V. In this case, we also say that the vectors of S generate (or span) V.

#### **Exercises**

#### 1. Label the following statements as true or false

- (a) The zero vector is a linear combination of any nonempty set of vectors **True**. For any vectors  $v_1, v_2, \ldots, v_n$ ,  $0 = 0v_1 + 0v_2 + \cdots + 0v_n$ .
- (b) The span of  $\emptyset$  is  $\emptyset$  **False**. span( $\emptyset$ ) is defined to be  $\{0\}$ .
- (c) If S is a subset of a vector space V, then span(S) equals the intersection of all subspaces of V that contain S **True**.

It follows from theorem 1.5.

(d) In solving a system of linear equations, it is permissible to multiply an equation by any constant - False

It is permissible to multiply an equation by any nonzero constant.

- (e) In solving a system of linear equations, it is permissible to add any multiple of one equation to another **True**.
- (f) Every system of linear equations has a solution False. Any system of linear equations that, while solving, produces a system containing 0 = c, where c is nonzero, does not have a solution.
- 7. In  $F^n$ , let  $e_j$  denote the vector whose jth coordinate is 1 and whose other coordinates are 0. Prove that  $e_1, e_2, \ldots, e_n$  generates  $F^n$ .

*Proof.* Let a be a vector in  $F^n$ , so  $a = (a_1, a_2, \ldots, a_n)$ . Then

$$(a_1, a_2, \dots, a_n) = a_1 e_1 + a_2 e_2 + \dots + a_n e_n = (a_1, 0, \dots, 0) + (0, a_2, \dots, 0) + \dots + (0, 0, \dots, a_n)$$

8. Show that  $P_n(F)$  is generated by  $\{1, x, \dots, x^n\}$ .

*Proof.* Let a be a vector in  $P^n(F)$ . Then  $a = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_0 * 1$ , so  $P_n(F)$  is generated by  $\{1, x, \dots, x^n\}$ 

9. Show that the matrices

$$\begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}, \mathbf{and} \ \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$$

generate  $M_{2\times 2}(F)$ .

*Proof.* Let  $A \in M_{2\times 2}(F)$ . Then

$$A = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} = a_{11} \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} + a_{12} \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} + a_{21} \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} + a_{22} \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}.$$

10. Show that if

$$M_1=egin{bmatrix} 1 & 0 \ 0 & 0 \end{bmatrix}, M_2=egin{bmatrix} 0 & 0 \ 0 & 1 \end{bmatrix}$$
 , and  $M_3=egin{bmatrix} 0 & 1 \ 1 & 0 \end{bmatrix}$  ,

then the span of  $\{M_1, M_2, M_3\}$  is the set of all symmetric  $2 \times 2$  matrices.

*Proof.* Let A be a symmetric  $2 \times 2$  matrix. Then

$$A = \begin{bmatrix} a & b \\ b & c \end{bmatrix} = \begin{bmatrix} a & 0 \\ 0 & 0 \end{bmatrix} + \begin{bmatrix} 0 & b \\ b & 0 \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 0 & c \end{bmatrix} = aM_1 + bM_3 + cM_2$$

11. Prove that  $span(\{x\}) = \{ax : a \in F\}$  for any vector x in a vector space. Interpret this result geometrically in  $R^3$ .

*Proof.* Let x be a vector in some vector space over a field F, and let  $y \in \text{span}(\{x\})$ . Then y is a linear combination of x, so y = ax for some  $a \in F$ . Let  $z \in \{ax : a \in F\}$ . Then z = ax, so z is a linear combination of x, so  $z \in \text{span}(\{x\})$ . span( $\{x\}$ ) in  $R^3$  is a line going through x and the origin.

12. Show that a subset W of a vector space V is a subspace of V if and only if  $\operatorname{span}(W) = W$ .

*Proof.* Let W be a subset of a vector space V, and that  $\operatorname{span}(W) = W$ . Then  $0 \in W$ , since 0x = 0 for any  $x \in W$ . Let x and y be elements of W. Then  $x + y \in \operatorname{span}(W)$ , since its a linear combination of elements of W, and therefore  $x + y \in W$ . For any scalar c,  $cx \in \operatorname{span}(W)$ , so  $cx \in W$ , which means that W is a subspace of V.

Now suppose that W is a subspace of V. Then for vectors  $x_1, x_2, \ldots, x_n \in W$ , and scalars  $a_1, a_2, \ldots, a_n, a_1x_1 + a_2x_2 + \cdots + a_nx_n \in W$ , so  $W = \operatorname{span}(W)$ .

13. Show that if  $S_1$  and  $S_2$  are subsets of a vector space V such that  $S_1 \subseteq S_2$  then  $\operatorname{span}(S_1) \subseteq \operatorname{span}(S_2)$ . In particular, if  $S_1 \subseteq S_2$  and  $\operatorname{span}(S_1) = V$ , deduce that  $\operatorname{span}(S_2) = V$ .

*Proof.* Let  $S_1$  and  $S_2$  be subsets of a vector space V, such that  $S_1 \subseteq S_2$ . Then for all elements  $x_1, x_2, \ldots, x_n \in S_1$ , we know that  $x_1, x_2, \ldots, x_n \in S_2$ , so  $\operatorname{span}(S_2)$  contains all linear combinations  $a_1x_1, a_2x_2, \ldots, a_nx_n$ , with  $a_1, a_2, \ldots, a_n$  being scalars, that is  $\operatorname{span}(S_1) \subseteq \operatorname{span}(S_2)$ .

Now suppose that  $S_1 \subseteq S_2$  and  $\operatorname{span}(S_1) = V$ . Then  $\operatorname{span}(S_1) = V \subseteq \operatorname{span}(S_2)$ . Suppose that  $\operatorname{span}(S_2) \neq V$ , that is, there exists some element  $x \in \operatorname{span}(S_2)$  such that  $x \notin V$ . Then x is a linear combination of elements of  $S_2$ , and since  $S_2$  is a subset of V, x is a linear combination of some elements of V. Since V is a subspace, this implies that  $x \in V$ , which leads to a contradiction.  $\square$ 

14. Show that if  $S_1$  and  $S_2$  are arbitrary subsets of a vector space V, then span $(S_1 \cup S_2) = \text{span}(S_1) + \text{span}(S_2)$ .

*Proof.* Let  $S_1$  and  $S_2$  be arbitrary subsets of a vector space V. For a vector  $v \in \text{span}(S_1 \cup S_2)$ , we have  $v = a_1x_1 + a_2x_2 + \dots + a_nx_n + b_1y_1 + \dots + b_my_m$ , with  $x_1, x_2, \dots, x_n \in S_1, y_1, y_2, \dots, y_m \in S_2$  and scalars  $a_1, a_2, \dots, a_n$  and  $b_1, b_2, \dots, b_m$ . Then

$$a_1x_1 + a_2x_2 + \dots + a_nx_n \in \text{span}(S_1)$$
 and  $b_1y_1 + b_2y_2 + \dots + b_my_m \in \text{span}(S_2)$ ,

so for  $x = a_1x_1 + a_2x_2 + ... + a_nx_n$  and  $y = b_1y_1 + b_2y_2 + ... + b_mx_m$ , we have

$$v = x + y$$
, where  $x \in \text{span}(S_1), y \in \text{span}(S_2)$ ,

so  $v \in \text{span}(S_1) + \text{span}(S_2)$ .

Now let  $v \in \text{span}(S_1) + \text{span}(S_2)$ . Then v = x + y, with  $x \in \text{span}(S_1)$  and  $y \in \text{span}(S_2)$ . Then

$$x = a_1x_1 + a_2x_2 + \cdots + a_nx_n$$
 with  $x_1, x_2, \dots, x_n \in S_1$ 

and

$$y = b_1 y_1 + b_2 y_2 + \dots + b_m y_m$$
 with  $y_1, y_2, \dots, y_m \in S_2$ .

Then v is a linear combination of vectors in  $S_1$  and vectors in  $S_2$ , which means its a linear combination of vector in  $S_1 \cup S_2$ , so  $v \in \text{span}(S_1 \cup S_2)$ .

16. Let V be a vector space and S a subset of V with the property that whenever  $v_1, v_2, \ldots, v_n \in S$  and  $a_1v_1 + a_2v_2 + \cdots + a_nv_n = 0$ , then  $a_1 = a_2 = \cdots = a_n = 0$ . Prove that every vector in the span of S can be uniquely written as a linear combination of vectors of S.

*Proof.* Suppose that for a vector  $x \in \text{span}(S)$ , x can be written as two different linear combinations of vectors of S, that is, for  $x_1, x_2, \ldots, x_n \in S$  and for scalars  $a_1, b_1, a_2, b_2, \ldots, a_n, b_n$ ,

$$a_1x_1 + a_2x_2 + \dots + a_nx_n = b_1x_1 + b_2x_2 + \dots + b_nx_n$$
 and  $a_i \neq b_i$  for  $1 \leq i \leq n$ .

Then

$$a_1x_1 + a_2x_2 + \dots + a_nx_n - b_1x_1 - b_2x_2 - \dots + b_nx_n = (a_1 - b_1)x_1 + (a_2 - b_2)x_2 + \dots + (a_n - b_n)x_n = 0,$$

so 
$$(a_1 - b_1) = (a_2 - b_2) = \dots = (a_n - b_n) = 0$$
, so  $a_i = b_i$ , for  $1 \le i \le n$ .

### 5 Linear Dependence and Linear Independence

**Definition.** A subset S of a vector space V is called **linearly dependent** if there exist a finite number of distinct vectors  $u_1, u_2, \ldots, u_n$  in S and scalars  $a_1, a_2, \ldots, a_n$  not all zero, such that

$$a_1 u_1 + a_2 u_2 + \dots + a_n u_n = 0$$

In this case we also say that the vectors of S are linearly dependent.

If for any vectors  $u_1, u_2, \ldots, u_n$  we have  $a_1u_1 + a_2u_2 + \cdots + a_nu_n = 0$  if  $a_1 = a_2 = \cdots = a_n = 0$ , we call this the **trivial representation** of 0 as a linear combination of  $u_1, u_2, \ldots, u_n$ .

**Definition.** A subset S of a vector space that is not linearly dependent is called **linearly independent**. As before, we also say that the vectors of S are linearly independent.

**Theorem 6.** Let V be a vector space, and let  $S_1 \subseteq S_2 \subseteq V$ . If  $S_1$  is linearly dependent, then  $S_2$  is linearly dependent.

**Corollary.** Let V be a vector space, and let  $S_1 \subseteq S_2 \subseteq V$ . If  $S_2$  is linearly independent, then  $S_1$  is linearly independent.

**Theorem 7.** Let S be a linearly independent subset of a vector space V, and let v be a vector in V that is not in S. Then  $S \cup \{v\}$  is linearly dependent if and only if  $v \in span(S)$ .

#### **Exercises**

#### 1. Label the following statements as true or false.

(a) If S is a linearly dependent set, then each vector in S is a linear combination of other vectors in S - False.

At least one vector in S is a linear combination of other vectors in S.

- (b) Any set containing the zero vector is linearly dependent **True**.  $0 = 0v_1 + 0v_2 + \cdots + 0v_n$ , so 0 is a linear combination of other vectors.
- (c) The empty set is linearly dependent False. Linearly dependent sets must be nonempty.
- (d) Subsets of linearly dependent sets are linearly dependent **False**. Consider  $S_1 = \{(1,0), (0,1), (0,2)\}$  and  $S_2 = \{(1,0), (0,1)\}$ . Then  $S_1$  is linearly dependent,  $S_2 \subset S_1$ , and  $S_2$  is linearly independent.
- (e) Subsets of linearly independent sets are linearly dependent **True**. Follows from theorem 1.6.
- (f) If  $a_1x_1 + a_2x_2 + \cdots + a_nx_n = 0$  and  $x_1, x_2, \ldots, x_n$  are linearly independent, then all the scalars  $a_i$  are zero **True**.

4. In  $F^n$ , let  $e_j$  denote the vector whose jth coordinate is 1 and whose other coordinates are 0. Prove that  $\{e_1, e_2, \dots, e_n\}$  is linearly independent.

Proof.

$$a_1e_1 + a_2e_2 + \cdots + a_ne_n = (a_1, a_2, \dots, a_n),$$

so it is equal 0 only when  $a_1 = a_2 = \cdots = a_n = 0$ , which means  $\{e_1, e_2, \ldots, e_n\}$  is linearly independent.

5. Show that the set  $\{1, x, x^2, \dots, x^n\}$  is linearly independent in  $P_n(F)$ .

Proof. If

$$a_0 + a_1 x + a_2 x^2 + \dots + a_n x^n = 0$$

for some scalars  $a_0, a_1, \ldots, a_n$ , then this is the unique 0 vector in  $P_n(F)$ , so  $a_0 = a_1 = \cdots = a_n = 0$ .

6. In  $M_{3\times 2}(F)$ , prove that the set

$$\left\{ \begin{bmatrix} 1 & 1 \\ 0 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 1 & 1 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 1 & 0 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 0 & 1 \\ 0 & 1 \end{bmatrix} \right\}$$

is linearly dependent.

Proof.

$$\begin{bmatrix} 1 & 1 \\ 0 & 0 \\ 0 & 0 \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 1 & 1 \\ 0 & 0 \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 1 \end{bmatrix} - \begin{bmatrix} 1 & 0 \\ 1 & 0 \\ 1 & 0 \end{bmatrix} - \begin{bmatrix} 0 & 1 \\ 0 & 1 \\ 0 & 1 \end{bmatrix} = 0$$

so the set is linearly dependent.

- **8.** Let  $S = \{(1,1,0), (1,0,1), (0,1,1)\}$  be a subset of the vector space  $F^3$ .
  - (a) Prove that if  $F = \mathbb{R}$ , then S is linearly independent.

Proof. If

$$a_1(1,1,0) + a_2(1,0,1) + a_3(0,1,1) = 0$$

then

$$a_1 + a_2 = 0$$

$$a_1 + a_3 = 0$$

$$a_2 + a_3 = 0$$

so  $a_1 = a_2 = a_3 = 0$ .

(b) Prove that if F has characteristic two, then S is linearly dependent.

*Proof.* If F has characteristic two, then

$$(1,1,0) + (1,0,1) + (0,1,1) = (1+1,1+1,1+1) = 0$$

so S is linearly dependent.

## 9. Let u and v be distinct vectors in a vector space V. Show that $\{u,v\}$ is linearly dependent if and only if u or v is a multiple of the other.

*Proof.* Suppose that  $\{u, v\}$  is linearly dependent. Then for some scalars  $a_1, a_2$  not both 0,

$$a_1u + a_2v = 0,$$

so  $a_1u = -a_2v$ . Suppose without loss of generality that  $a_1 \neq 0$ . Then

$$u = -\frac{a_2}{a_1}v,$$

so v is a multiple of u.

For the converse, suppose without loss of generality that v is a multiple of u. Then for some scalar a, u = av, so u - av = 0, which means that  $\{u, v\}$  is linearly dependent.

# 10. Give an example of three linearly dependent vectors in $\mathbb{R}^3$ such that none of the three is a multiple of another.

#### 12. Prove Theorem 1.6 and its corollary.

*Proof.* Let V be a vector space, and let  $S_1 \subseteq S_2 \subseteq V$ , and suppose that  $S_1$  is linearly dependent. That means, there exists a vector  $x_0 \in S_1$  that can be represented as a linear combination of some other vectors in  $S_1$ :  $x_1, x_2, \ldots, x_n$ . So

$$x_0 = a_1 x_1 + a_2 x_2 + \dots + a_n x_n$$
.

Then since  $x_0, x_1, \ldots, x_n$  are all in  $S_2$ , there exists a vector in  $S_2$  that can be represented as a linear combination of some other vectors in  $S_2$ , so  $S_2$  is linearly dependent.

**Corollary.** Let V be a vector space, and let  $S_1 \subseteq S_2 \subseteq V$ . If  $S_2$  is linearly independent, then  $S_1$  is linearly independent.

*Proof.* This is just the contraposition of Theorem 1.6.

## 14. Prove that a set S is linearly dependent if and only if $S = \{0\}$ or there exist distinct vectors $v, u_1, u_2, \ldots, u_n$ such that v is a linear combination of $u_1, u_2, \ldots, u_n$ .

*Proof.* Let  $S = \{0\}$ . Then S is linearly dependent, because  $0 = a \cdot 0$  for any non-zero scalar a. Suppose that there exist distinct vectors  $v, u_1, u_2, \ldots, u_n$  such that v is a linear combination of  $u_1, u_2, \ldots, u_n$ , so

$$v = a_1 u_1 + a_2 u_2 + \dots + a_n u_n$$
.

Then

$$0 = a_1 u_1 + a_2 u_2 + \dots a_n u_n - v,$$

so S is linearly dependent.

For the converse, suppose that  $S \neq \{0\}$  and that there are no distinct vectors  $v, u_1, u_2, \ldots, u_n$  such that v is a linear combination of  $u_1, u_2, \ldots, u_n$ . Suppose that S is linearly dependent. Then there exist scalars  $a_0, a_1, \ldots, a_n$  not all 0 such that

$$0 = a_0v + a_1u_1 + a_2u_2 + \dots + a_nu_n.$$

Then at least 1 scalar is not 0, say  $a_0$ . Then

$$-a_0v = a_1u_1 + a_2u_2 + \dots + a_nu_n,$$

so

$$v = -\frac{1}{a_0}(a_1u_1 + a_2u_2 + \dots + a_nu_n)$$

which contradicts the original assumption, so S has to be linearly independent.

# 15. Let $S = \{u_1.u_2, \ldots, u_n\}$ be a finite set of vectors. Prove that S is linearly dependent if and only if $u_1 = 0$ or $u_{k+1} \in \text{span}(\{u_1, u_2, \ldots, u_k\})$ for some k $(1 \le k < n)$ .

*Proof.* Let  $S = \{u_1, u_2, \dots, u_n\}$  be a finite set of vectors. If  $u_1 = 0$  then

$$0 = au_1 + 0u_2 + 0u_3 + \dots + 0u_n$$

with a nonzero, so S is linearly dependent. Suppose that for some  $k, 1 \le k < n, u_{k+1} \in \text{span}(\{u_1, u_2, \dots, u_k\})$ . Then there exist some scalars  $a_1, a_2, \dots, a_k$  such that

$$u_{k+1} = a_1 u_1 + a_2 u_2 + \dots + a_k u_k,$$

SO

$$0 = a_1 u_1 + a_2 u_2 + \dots + a_k u_k - u_{k+1}$$

so S is linearly dependent.

For the converse, suppose that  $u_1 \neq 0$  and that there is no k such that  $1 \leq k < n$  and  $u_{k+1} \in \text{span}(\{u_1, u_2, \dots, u_k\})$ . Suppose that S is linearly dependent. Then

$$0 = a_1 u_1 + a_2 u_2 + \dots + a_n u_n$$

with scalars  $a_1, a_2, \ldots, a_n$  not all 0. Then there exists a nonzero scalar such that the scalars after it in the above sum are all 0, say that scalar is  $a_n$ . Then

$$u_n = -\frac{1}{a_n}(a_1u_1 + a_2u_2 + \dots + a_{n-1}u_{n-1}).$$

So  $u_n \in \text{span}(\{u_1, u_2, \dots, u_{n-1}\})$  which contradicts the original assumption, so S has to be linearly independent.

## 16. Prove that a set S of vectors is linearly independent if and only if each finite subset of S is linearly independent.

*Proof.* Suppose that a set S of vectors is linearly independent. Then it follows from the corollary of Theorem 1.6 that any finite subset of S has to be linearly independent.

For the converse, suppose that each finite subset of S is linearly independent. Then there is no finite number of distinct vectors  $u_1, u_2, \ldots, u_n$  in S and scalars  $a_1, a_2, \ldots, a_n$  not all zero, such that

$$a_1u_1 + a_2u_2 + \dots + a_nu_n = 0,$$

which is the definition of linear independence.

**20.** Let  $f, g \in F(\mathbb{R}, \mathbb{R})$  be the functions defined by  $f(t) = e^{rt}$  and  $g(t) = e^{st}$ , where  $r \neq s$ . Prove that f and g are linearly independent in  $F(\mathbb{R}, \mathbb{R})$ .

*Proof.* Suppose that f and g are linearly dependent. Then f = ig for some i. So  $f(0) = i \cdot g(0)$ , and  $1 = i \cdot 1$ , so i = 1. We then have f(1) = g(1), so  $e^r = e^s$ , and r = s which contradicts  $r \neq s$ .

21. Let  $S_1$  and  $S_2$  be disjoint linearly independent subsets of V. Prove that  $S_1 \cup S_2$  is linearly dependent if and only if  $\operatorname{span}(S_1) \cap \operatorname{span}(S_2) \neq \{0\}$ .

*Proof.* Suppose that  $S_1 \cup S_2$  is linearly dependent. Then there exist vectors  $u_1, u_2, \ldots, u_n \in S_1$ , and  $v_1, v_2, \ldots, v_m \in S_2$  and scalars  $a_1, \ldots, a_n, b_1, \ldots, b_m$  not all zero such that

$$a_1u_1 + a_2u_2 + \dots + a_nu_n + b_1v_1 + b_2v_2 + \dots + b_mv_m = 0.$$

Then

$$a_1u_1 + a_2u_2 + \dots + a_nu_n = -b_1v_1 - b_2v_2 - \dots - b_mv_m$$

and since  $S_1$  and  $S_2$  are linearly independent, we know these are not equal to 0, so it is a non-zero element of span $(S_1) \cap \text{span}(S_2)$ .

For the converse, suppose that  $\operatorname{span}(S_1) \cap \operatorname{span}(S_2) \neq \{0\}$ . Then there exists a non-zero element

$$x = a_1u_1 + a_2u_2 + \dots + a_nu_n = b_1v_1 + b_2v_2 + \dots + b_mv_m$$

for some vectors  $u_1, u_2, \ldots, u_n \in S_1$  and  $v_1, v_2, \ldots, v_m \in S_2$  and vectors  $a_1, a_2, \ldots, a_n, b_1, b_2, \ldots, b_m$ . Then

$$a_1u_1 + a_2u_2 + \dots + a_nu_n - b_1v_1 - b_2v_2 - \dots - b_mv_m = 0,$$

so  $S_1 \cup S_2$  is linearly dependent.

#### 6 Bases and Dimension

**Definition.** A basis  $\beta$  for a vector space  $\mathbf{V}$  is a linearly independent subset of  $\mathbf{V}$  that generates  $\mathbf{V}$ . If  $\beta$  is a basis for  $\mathbf{V}$ , we also say that the vectors of  $\beta$  form a basis for  $\mathbf{V}$ .

**Theorem 8.** Let V be a vector space and  $u_1, u_2, \ldots, u_n$  be distinct vectors in V. Then  $\beta = \{u_1, u_2, \ldots, u_n\}$  is a basis for V if and only if each  $v \in V$  can be uniquely expressed as a linear combination of vectors of  $\beta$ , that is, can be expressed in the form

$$v = a_1u_1 + a_2u_2 + \dots + a_nu_n$$

for unique scalars  $a_1, a_2, \ldots, a_n$ .

**Theorem 9.** If a vector space V is generated by a finite set S, then some subset of S is a basis for V. Hence V has a finite basis.

**Theorem 10.** (Replacement Theorem.) Let V be a vector space that is generated by a set G containing exactly n vectors, and let L be a linearly independent subset of V containing exactly m vectors. Then  $m \le n$  and there exists a subset H of G containing exactly n-m vectors such that  $L \cup H$  generates V.

Corollary. Let V be a vector space having a finite basis. Then all bases for V are finite, and every basis for V contains the same number of vectors.

**Definition.** A vector space is called **finite-dimensional** if it has a basis consisting of a finite number of vectors. The unique integer n such that every basis for  $\mathbf{V}$  contains exactly n elements is called the **dimension** of  $\mathbf{V}$  and is denoted by  $\dim(\mathbf{V})$ . A vector space that is not finite-dimensional is called **infinite-dimensional**.

Corollary. Let V be a vector space with dimension n.

- (a) Any finite generating set for V contains at least n vectors, and a generating set for V that contains exactly n vectors is a basis for V.
- (b) Any linearly independent subset of V that contains exactly n vectors is a basis for V.
- (c) Every linearly independent subset of V can be extended to a basis for V, that is, if L is a linearly independent subset of V, then there is a basis  $\beta$  of V such that  $L \subseteq \beta$ .

**Theorem 11.** Let W be a subspace of a finite-dimensional vector space V. Then W is finite-dimensional and  $dim(W) \leq dim(V)$ . Moreover, if dim(W) = dim(V), then V = W.

Corollary. If W is a subspace of a finite-dimensional vector space V, then any basis for W can be extended to a basis for V.

#### 6.1 Exercises

#### 1. Label the following statements as true or false

- (a) The zero vector space has no basis False.The basis for the zero vector space is Ø.
- (b) Every vector space that is generated by a finite set has a basis True.
- (c) Every vector space has a finite basis **False**. Consider P(F) for which the basis is  $\{1, x, x^2, \dots\}$ .
- (d) A vector space cannot have more than one basis **False**. Consider R where both  $\{1\}$  and  $\{-1\}$  are a basis.
- (e) If a vector space has a finite basis, then the number of vectors in every basis is the same **True**.

It follows from corollary 1 of the replacement theorem.

- (f) The dimension of  $P_n(F)$  is n False. The dimension of  $P_n(F)$  is n + 1.
- (g) The dimension of  $M_{m\times n}(F)$  is m+n False. The dimension of  $M_{m\times n}(F)$  is  $m\times n$ .
- (h) Suppose that V is a finite-dimensional vector space, that  $S_1$  is a linearly independent subset of V, and that  $S_2$  is a subset of V that generates V. Then  $S_1$  cannot contain more vectors than  $S_2$  **True**.

This is stated in the replacement theorem.

(i) If S generates the vector space V, then every vector in V can be written as a linear combination of vectors in S in only one way - False.

This is only true if S is linearly independent.

- (j) Every subspace of a finite-dimensional space is finite-dimensional **True**. It follows from theorem 1.11.
- (k) If V is a vector space having dimension n, then V has exactly one subspace with dimension 0 and exactly one subspace with dimension n **True**.

It follows from theorem 1.11.

(1) If V is a vector space having dimension n, and if S is a subset of V with n vectors, then S is linearly independent if and only if S spans V - **True**.

It follows from corollary 2 of the replacement theorem.

**6.** Give three different bases for  $F^2$  and  $M_{2\times 2}(F)$ 

Let  $a \in F$  and  $a \neq 0$ . Then  $A = \{(a,0), (0,a)\}, B = \{(-a,0), (0,-a)\}$  and  $C\{(a,0), (0,-a)\}$  are all bases for  $F^2$ 

bases for 
$$F^2$$
.

Then  $D = \left\{ \begin{bmatrix} a & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & a \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 \\ a & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 0 & a \end{bmatrix} \right\}, E = \left\{ \begin{bmatrix} -a & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & -a \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 \\ -a & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 0 & -a \end{bmatrix} \right\},$ 
and  $F = \left\{ \begin{bmatrix} a & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & a \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 \\ -a & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 0 & -a \end{bmatrix} \right\}$  are all bases for  $M_{2\times 2}(F)$ .

9. The vectors  $u_1 = (1,1,1,1), u_2 = (0,1,1,1), u_3 = (0,0,1,1)$  and  $u_4 = (0,0,0,1)$  form a basis for  $F^4$ . Find the unique representation of an arbitrary vector  $(a_1,a_2,a_3,a_4)$  in  $F^4$  as a linear combination of  $u_1,u_2,u_3,u_4$ .

$$a_1(1,1,1,1) + (a_2 - a_1)(0,1,1,1) + (a_3 - a_2)(0,0,1,1) + (a_4 - a_3)(0,0,0,1)$$

$$= (a_1, a_1 + a_2 - a_1, a_1 + a_2 - a_1 + a_3 - a_2, a_1 + a_2 - a_1 + a_3 - a_2 + a_4 - a_3)$$

$$= (a_1, a_2, a_3, a_4)$$

11. Let u and v be distinct vectors of a vector space V. Show that if  $\{u,v\}$  is a basis for V and a and b are nonzero scalars, then both  $\{u+v,au\}$  and  $\{au,bv\}$  are also bases for V.

*Proof.* Let w be a vector in V. Then w = xu + yv for some scalars x and y. Then

$$xu + yv = yu + yv + xu - yu = y(u + v) + \frac{x - y}{a}(au),$$

so  $A = \{u + v, au\}$  generates V.

Additionally,

$$xu + yv = \frac{x}{a}au + \frac{y}{b}bv,$$

so  $B = \{au, bv\}$  also generates V.

Since dim(V) = 2 and both A and B are generating sets for V, each with 2 vectors, they are both a basis for V.

15. The set of all  $n \times n$  matrices having trace equal to zero is a subspace W of  $M_{n \times n}(F)$ . Find a basis for W. What is the dimension of W?

*Proof.* If i, j > 0 and  $i, j \le n$ , and  $E_{ij}$  is a matrix with 1 in the (i, j)-th entry, and 0 for all other entries, then a basis for W is  $\{E_{ij}|i \ne j\} \cup \{E_{11} - E_{ii}|1 < i \le n\}$ . W is  $n^2 - 1$  dimensional.  $\square$ 

16. The set of all upper triangular  $n \times n$  matrices is a subspace W of  $M_{n \times n}(F)$ . Find a basis for W. What is the dimension of W?

*Proof.* If i, j > 0 and  $i, j \le n$ , and  $E_{ij}$  is a matrix with 1 in the (i, j)-th entry, and 0 for all other entries, then a basis for W is  $\{E_{ij}|i \le j\}$ . W has dimension  $n + (n+1) + \cdots + 1 = \frac{n(n+1)}{2}$ .

17. The set of all skew-symmetric  $n \times n$  matrices is a subspace W of  $M_{n \times n}(F)$ . Find a basis for W. What is the dimension of W?

*Proof.* If i, j > 0 and  $i, j \le n$ , then a basis for W is the set of matrices, such that the entry (i, j) is equal to 1, (j, i) is equal to -1, and i < j. W has dimension  $(n-1) + (n-2) + \cdots + 1 = \frac{n(n-1)}{2}$ .  $\square$ 

19. Complete the proof of Theorem 1.8.

*Proof.* In the chapter, it is already proven that if  $\beta = \{u_1, u_2, \dots, u_n\}$  is a basis for V, then each  $v \in V$  can be uniquely expressed as a linear combination of vectors of  $\beta$ . Now it remains to prove that the converse of this statement is also true.

Suppose that each  $v \in V$  can be uniquely expressed as a linear combination of vectors in  $\beta$ . Then  $\operatorname{span}(\beta) = V$ . Since it is a unique combination, and  $0 \in V$ ,

$$0 = 0u_1 + 0u_2 + \dots 0u_n$$

is a unique combination, so  $\beta$  is linearly independent. Since  $\beta$  spans V and is linearly independent, it is a basis for V.

- 20. Let V be a vector space having dimension n, and let S be a subset of V that generates V.
- (a) Prove that there is a subset of S that is a basis for V. (Be careful not to assume that S is finite)

*Proof.* We consider two cases: S is finite, or S is inifinite. If S is finite, then by theorem 1.9, we know that there exists a subset of S that is a basis for V, therefore it remains to prove the statement for when S is infinite.

If V has dimension 0, then  $\beta = \emptyset$  is a basis for V, and  $\beta \subset S$ . Otherwise, S contains a non-zero element  $u_1$ , and  $\{u_1\}$  is a linearly independent set. We can continue choosing elements  $u_2, \ldots, u_k \in S$  such that  $\{u_1, \ldots, u_k\}$  is an independent set, until there are no more elements that can be added, without making the set linearly dependent. Then by the replacement theorem, the process will have to terminate with a linearly independent set  $\beta = \{u_1, \ldots, u_k\}$ , such that  $k \leq n$ . Let v be a vector in S. If  $v \in \beta$  then  $v \in \operatorname{span}(\beta)$ . If  $v \notin \beta$  then  $\beta \cup \{v\}$  is a linearly dependent set, so  $v \in \operatorname{span}(\beta)$ . Then  $S \subset \operatorname{span}(\beta)$ , and therefore  $\beta$  generates V. Since it is also linearly independent,  $\beta$  is a basis for V.

(b)	Prove that $S$ contains at least $n$ vectors.	
	<i>Proof.</i> If V has dimension $n$ , then by definition, any basis for V has exactly $n$ vectors,	and
	thus $S$ has to contain at least $n$ vectors.	

21. Prove that a vector space is infinite-dimensional if and only if it contains an infinite linearly independent subset.