

Cat pole Decision Transformer code understanding

Step 1 - Importing required libraries.

```
import gym
import numpy as np
import pickle
import torch
import torch.nn as nn
from torch.utils.data import Dataset, DataLoader
import time
```

Dataset and dataset loader are important.
pickle is format in which dataset is loaded

Step 2 - Defining transformer model characteristics

```
class DecisionTransformer(nn.Module):
    def __init__(self, state_dim=4, act_dim=2, embed_dim=128, num_layers=3, num_heads=4):
        super().__init__()
        self.embed_dim = embed_dim
```

state dimensions = 4 because we have $(x, \dot{x}, \theta, \dot{\theta})$ as the states
action dimensions = 2 because left + right actions

embedding size = 128

number of transformer layers = 3

number of attention heads = 4

} will be thoroughly discussed in "Understanding Transformers" section.

Step 3 - embedding layers

What they do? Why are they relevant will be discussed in next section.

```
self.state_embed = nn.Linear(state_dim, embed_dim)
self.action_embed = nn.Embedding(act_dim, embed_dim)
self.return_embed = nn.Linear(1, embed_dim)
self.time_embed = nn.Embedding(1000, embed_dim)
```

← The state vector, action vector, returns to go vector and time step are converted into embedding size of 128.

Based on notebook information - $Q = \text{Query} = XW_Q$, $K = \text{key} = XW_K$ and $V = \text{Value} = XW_V$

W_Q, W_K and W_V are weight matrices which are

learned during training. X is the embedding vector. Formed by combining input information to embeddings and combining them.

```
self.transformer = nn.TransformerEncoder(
    nn.TransformerEncoderLayer(
        d_model=embed_dim,
        nhead=num_heads,
        dim_feedforward=4 * embed_dim,
        batch_first=True
    ),
    num_layers=num_layers
)
```

→ We actually defined the parameters in step 1 so that they can be used here.

Step 4 - Transformer encoder

Importance? Need? Relevance? will be discussed later

$d_model = \text{embedding size} = 128$

$n_head = \text{num_heads} = \text{number of attention heads} = 4$

$\text{dim_feedforward} = 4 \times 128$

← It is the feedforward network inside the transformer.

$\text{num_layers} = \text{number of transformer layers}$

Step 5 - Prediction head and forward pass

```
self.action_head = nn.Linear(embed_dim, act_dim)
```

← Predicts the next action based on transformer embeddings

```
def forward(self, states, actions, returns, timesteps):
    batch_size = states.shape[0]
```

Creates embedding for states, actions, returns and timestep through function defined in step 3.

Embeddings

```
state_emb = self.state_embed(states)
action_emb = self.action_embed(actions)
return_emb = self.return_embed(returns.unsqueeze(-1))
time_emb = self.time_embed(timesteps)
```

Combine embeddings

```
x = state_emb + action_emb + return_emb + time_emb
```

→ Adds them together

Transformer

```
x = self.transformer(x)
```

→ passes it through transformer encoder shown in step 4.

Predict next action

```
action_logits = self.action_head(x)
```

```
return action_logits
```

← Predicts next action using action head.

Data handling Steps -

Step I -

```
class TrajectoryDataset(Dataset):
    def __init__(self, trajectories, seq_len=50):
        self.seq_len = seq_len
        self.data = []

        for traj in trajectories:
            states = traj['states']
            actions = traj['actions']
            returns = traj['returns_to_go']

            for i in range(len(states) - seq_len):
                self.data.append((
                    states[i:i + seq_len],
                    actions[i:i + seq_len],
                    returns[i:i + seq_len],
                    np.arange(i, i + seq_len)
                ))
```

trajectories is list of saved episode data and stores state - action - return - timestep for seq length.

Step II - Converting Numpy arrays into pytorch tensors

```
def __len__(self):
    return len(self.data)

def __getitem__(self, idx):
    states, actions, returns, timesteps = self.data[idx]
    return (
        torch.FloatTensor(states),
        torch.LongTensor(actions),
        torch.FloatTensor(returns),
        torch.LongTensor(timesteps)
    )
```

Model Training -

The data is loaded from pkl file.

```
def train():
    with open('cartpole_dt_dataset_iter2.pkl', 'rb') as f:
        trajectories = pickle.load(f)
```

```
dataset = TrajectoryDataset(trajectories)
dataloader = DataLoader(dataset, batch_size=256, shuffle=True, pin_memory=True)
```

The dataset runs through above trajectory function to format it as per required seq length

```
model = DecisionTransformer().to(device)
optimizer = torch.optim.AdamW(model.parameters(), lr=3e-4)
criterion = nn.CrossEntropyLoss()
```

← The model runs over gpu. The model is initialised through Adam optimiser. The loss is called as per cross entropy loss as actions are categorical.

```
print(f"Training on {device}...")
for epoch in range(100):
    model.train()
    total_loss = 0
```

← Training for 100 epoch on cuda.

```
for states, actions, returns, timesteps in dataloader:
    states, actions, returns, timesteps = states.to(device), actions.to(device), returns.to(device), timesteps.to(device)
```

← prediction of next action based on previous data. Depends on seq length

```
preds = model(
    states[:, :-1], # States up to t-1
    actions[:, :-1], # Actions up to t-1
    returns[:, :-1], # Returns up to t-1
    timesteps[:, :-1] # Timesteps up to t-1
)
```

```
loss = criterion(
    preds.reshape(-1, 2),
    actions[:, 1:].reshape(-1)
)
```

→ entropy loss is calculated

```
optimizer.zero_grad()
loss.backward()
torch.nn.utils.clip_grad_norm_(model.parameters(), 1.0)
optimizer.step()
```

→ Backpropagation to update the model

Finally, model is saved.