

# Lecture 24: Cryptography

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## Quote of the Day

"I understood the importance in principle of public key cryptography, but it's all moved much faster than I expected.

I did not expect it to be a mainstay of advanced communications technology."

-- Whitfield Diffie

# Chapter 15: Security

- The Security Problem
- Program Threats
- System and Network Threats
- **Cryptography as a Security Tool**
- User Authentication
- **Implementing Security Defenses**
- **Firewalls to Protect Systems and Networks**

# Security Issues

**Secrecy or Confidentiality** - prevent unauthorized disclosure.

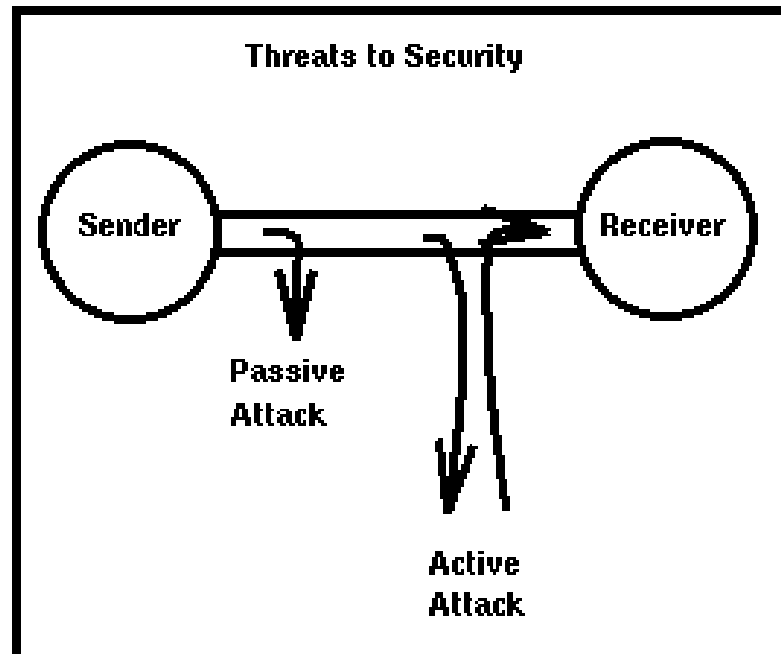
**Authentication** - ensure the identity of a user.

**Integrity** - prevent unauthorized modification of data.

# Threats to Security

Passive Wiretapping - violates secrecy.

Active Wiretapping - violates integrity.



# Cryptographic Algorithms

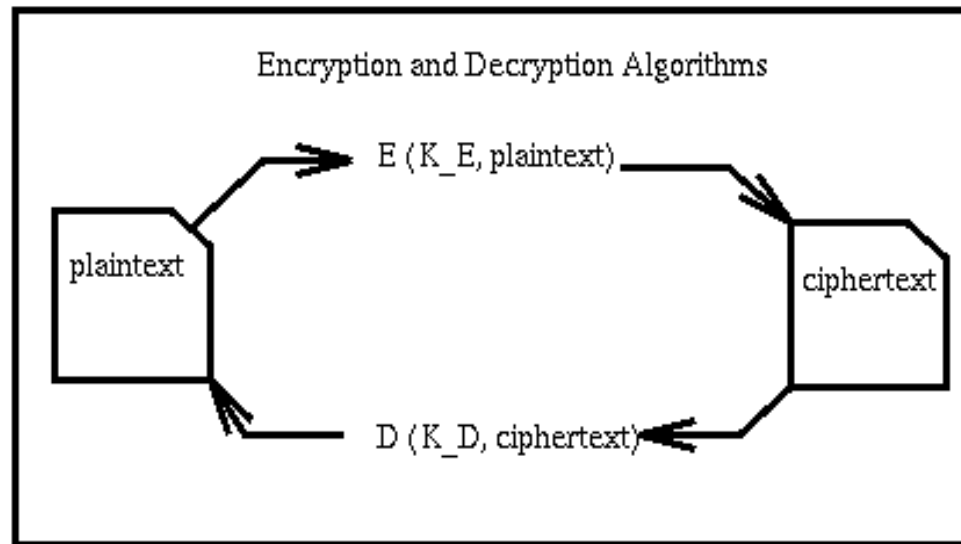
- **Cryptography** is the study of secret writing.
- **Cryptographic algorithms** can be used to help solve the problems of secrecy, authentication, and integrity.

# Types of Cryptographic Algorithms

- **Hashing algorithms** - used for authentication and digital signatures.
- **Encryption algorithms** - used for secure voice and data transmission.

# Encryption Algorithms

- User data (called plaintext) is encrypted before transmission.
- Upon receipt of encrypted data (called ciphertext), the recipient must decrypt the ciphertext to retrieve the original data (plaintext).





# Cryptographic System Classification

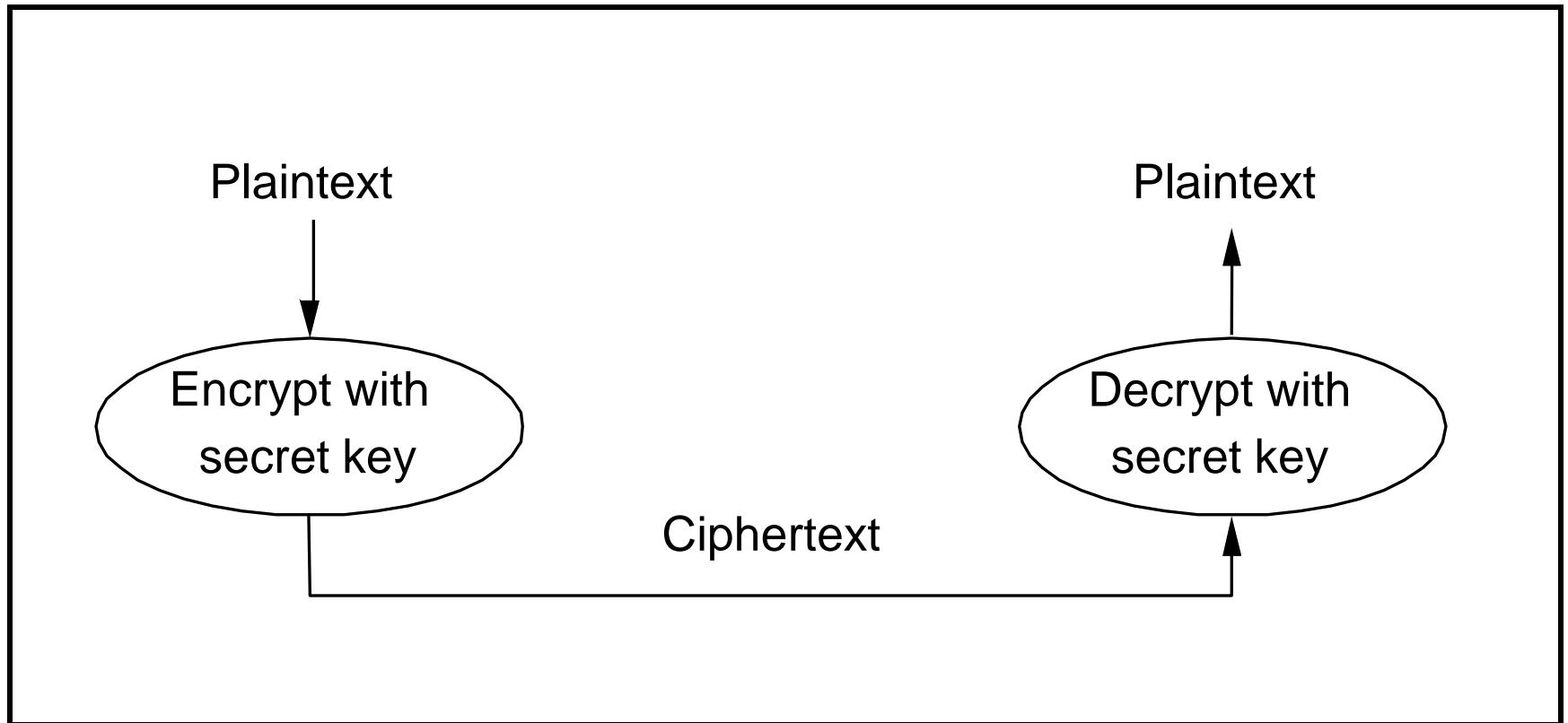
Type of operation used to transform plaintext to ciphertext.

- **substitution** - replace each element in the plaintext by another element.
- **transposition** - rearrange the elements.
- **product systems use combinations of both operations.**

Number of keys used:

- **one key:** symmetric, single-key system ( $K_E = K_D$ ).
- **two keys** (one for encryption and one for decryption): asymmetric, **two-key** (or **public-key**) system ( $K_E \neq K_D$ ).

# Single Key System (e.g., DES)



# Substitution Ciphers

**Substitution:** Replace each symbol with another symbol.

**Caesar Cipher:**

- Let  $P$  = plaintext and  $C$ =ciphertext.
- Assign a numerical value to each letter in the text ( $a=0, b=1, c=2, \dots$ ).
- The encryption algorithm  $E(P)$  is given by:  $C = E(P) = (P+k) \bmod 26$ .

**Example:** ROT13 =  $E(P)$ , if  $k=13$ .

## Example

**Question: Using k=2, compute the ciphertext for the following plaintext using a Caesar Cipher:**

**We are discovered, save yourself.**

Yg ctg fkueqxtgf, ucxg aqwtugnh.

## Answer

• Կաճուկե խնամք, փնտրելով նաև հ

# Analysis: Caesar Cipher

A brute-force crypto-analysis of a Caesar cipher only requires us to test at most 25 keys before decrypting the ciphertext.

Thus, a Caesar cipher is far from secure.

# Mono-alphabetic Ciphers

**Mono-alphabetic Ciphers** allow for an arbitrary substitution of the elements in the plaintext.

Example: **CryptoQuip** in newspaper.

Problem: A cryptanalyst can compare the relative frequency of letter occurrence with the relative frequency of letters in English text to easily decipher.

# Multiple-Letter Encryption

- **Multiple-Letter Encryption** allows different letters to be used for each instance of a letter in plaintext.
- **Example:** Playfair Algorithm - based on the use of a 5x5 matrix of letters constructed using a keyword. The Playfair Algorithm was used by the British Army in the First World War, and by the Allied Armies in World War II.

# Playfair Algorithm

**Multiple-Letter Encryption**

**Key = MONARCHY**

M	O	N	A	R
C	H	Y	B	D
E	F	G	I/J	K
L	P	Q	S	T
U	V	W	X	Z

**Encrypt: This is the day.**

**TH IS IS TH ED AY**

**PD SX SX PD KC NB**



# Playfair Algorithm

- Plaintext letters are paired up, and repeated letters in a pair are separated by a filler; e.g. "x".
- Plaintext letters that fall in the same row (column) are replaced by the next letters in that row (column) (by wrapping around if necessary).
- Any other letter is replaced by the letter that lies in its own row and the column occupied by the other letter in its pair.
- Playfair ciphertext can be easily broken using a computer.

# Playfair Algorithm

**Multiple-Letter Encryption**

**Key = MONARCHY**

M	O	N	A	R
C	H	Y	B	D
E	F	G	I/J	K
L	P	Q	S	T
U	V	W	X	Z

**Encrypt: This is the day.**

**TH IS IS TH ED AY**

**PD SX SX PD KC NB**

# Transposition Ciphers

- **Transposition Ciphers** rearrange the symbols without disguising them.
- **Example:** Rail fence cipher - write plaintext down as a sequence of columns, and read it off as a sequence of rows.
- **Another Transposition Cipher:**
  - Write a message down by row.
  - Use a key to determine the order in which the columns are read.

# Transposition Cipher

Transposition Cipher

Key = 13425876

Plaintext = "THIS IS THE DAY TO WORK HARD"

1	3	4	2	5	8	7	6
T	H	I	S	I	S	T	H
E	D	A	Y	T	O	W	O
R	K	H	A	R	D	X	X

Ciphertext = "TERS YAHDKIAHITRHOXTWXSOD"

# Example: Rotor Machines

- Sequentially apply several transpositions.
- Rotor machines were used by both Germany (enigma) and Japan (purple) during WWII.



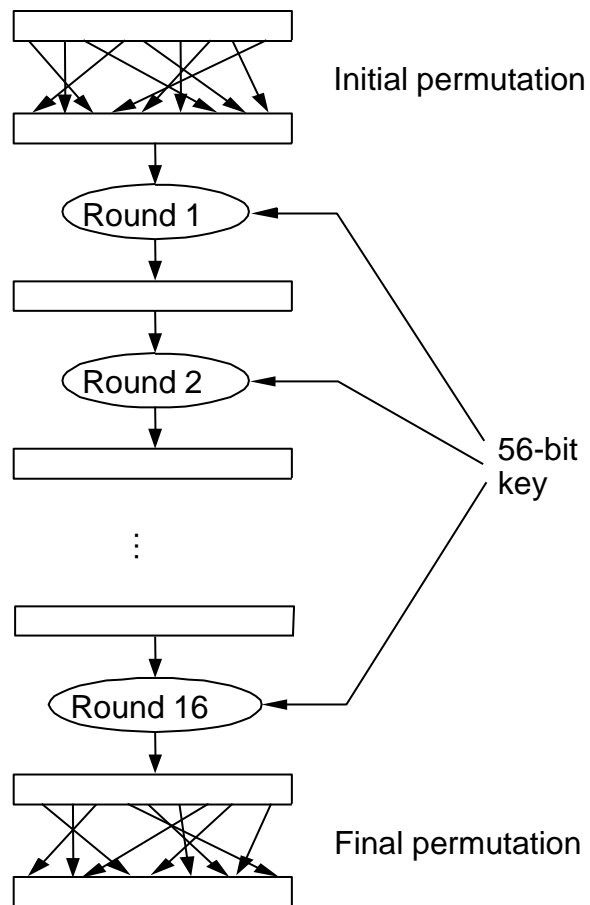
# Data Encryption Standard (DES)

- The Data Encryption Standard is the most widely used encryption scheme and was adopted by the National Bureau of Standards in 1977 and extended for use in 1994.
- In 1999, it was recertified for legacy systems, along with Triple DES and Skipjack for new systems.
- DES uses a series of complex transpositions and substitutions.
- DES is based on a 56-bit key.

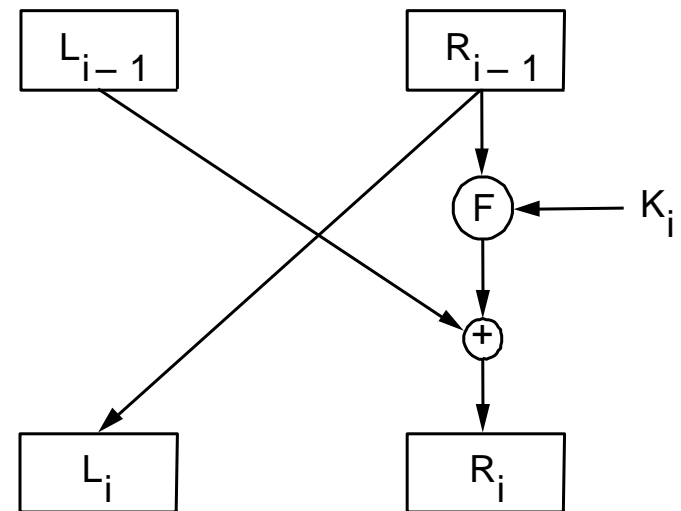
# Data Encryption Standard (DES)

64-bit key (56-bits + 8-bit parity)

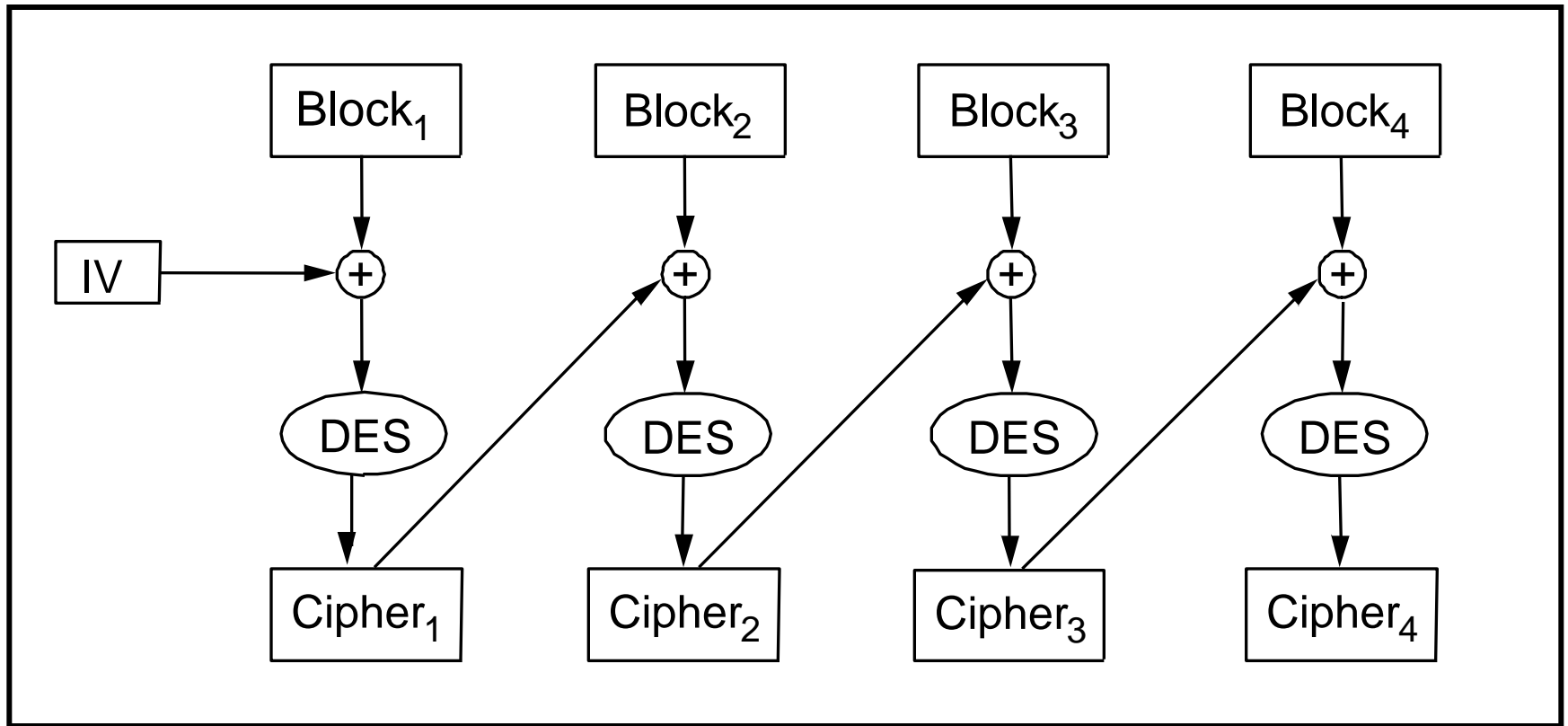
16 rounds



- Each Round



Repeat for larger messages





# Example Single Key System: Data Encryption Standard (DES)

## History

- Developed by IBM, 1975
- Modified slightly by NSA
- U.S. Government (NIST) standard, 1977

## Algorithm

- Uses 56-bit key (plus 8 parity bits)
- 16 “rounds”
  - 56-bit key used to generate 16 48-bit keys
  - Each round does substitution and permutation using 8 S-boxes

## Strength

- Difficult to analyze
- Cryptanalysis believed to be exponentially difficult in number of rounds
- No currently known attacks easier than brute force
- But brute force is now (relatively) easy

# Other Ciphers

## **Triple-DES**

- DES three times
$$m_c = E(D(E(m_p, k_1), k_2), k_3)$$
- Effectively 112 bits
- Three times as slow as DES

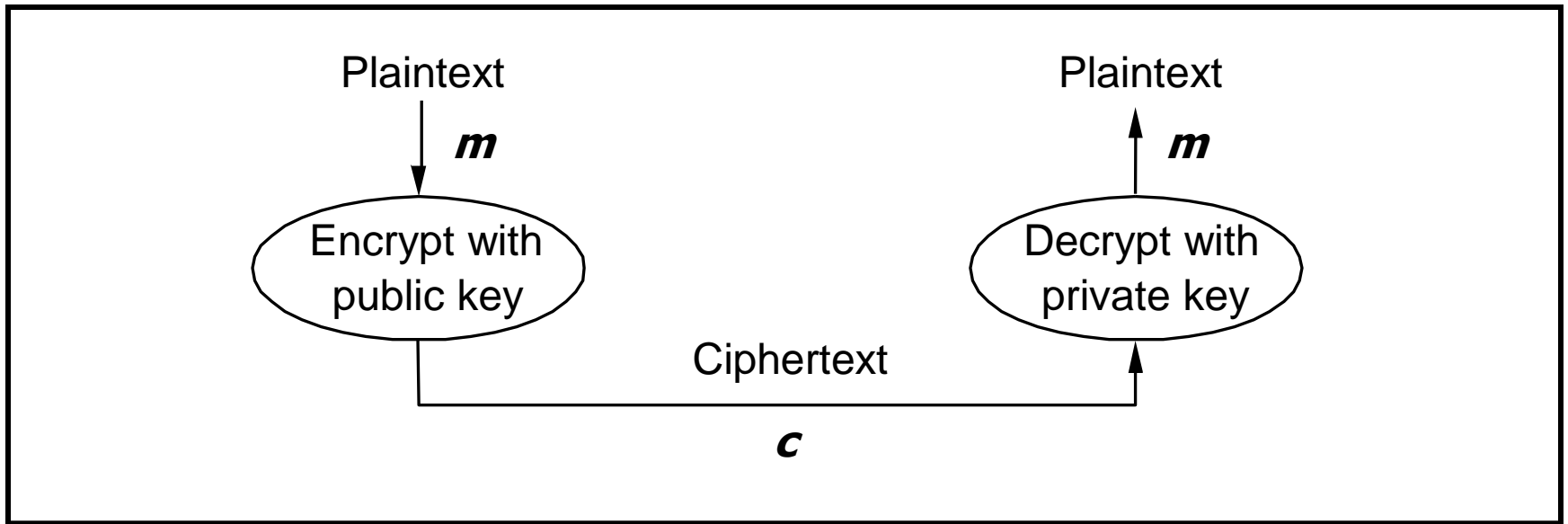
## **Blowfish**

- Developed by Bruce Schneider circa 1993
- Variable key size from 32 to 448 bits
- Very fast on large general purpose CPUs (modern PCs)
- Not very easy to implement in small hardware

## **Advanced Encryption Standard (AES)**

- Selected by NIST as replacement for DES in 2001
- Uses the Rijndael algorithm
- Keys of 128, 192 or 256 bits
- E.g., TrueCrypt

# Two-Key System (e.g., RSA)



Encryption ( $m$  to  $c$ ) and Decryption ( $c$  to  $m$ ) :

$$c = m^e \bmod n$$

$$m = c^d \bmod n$$

# Applications

- **Encryption/decryption** - encrypt a message using the recipient's public key - ensures secrecy.
- **Digital signature** - a sender "signs" a message with its private key by encrypting a hashing code generated from the data.

# Public-Key Cryptosystem

- Each user has a public key ( $K_U$ ) and a private key ( $K_R$ ).
- Depending on the goal, the data may be encrypted using  $K_R$  and decrypted using  $K_U$ , or the data may be encrypted using  $K_U$  and decrypted using  $K_R$ .

# Rivest-Shamir-Adleman (RSA)

- The only widely accepted and implemented approach for public-key encryption.
- A block cipher in which plaintext and ciphertext are integers from 0 to  $n-1$  (for some large  $n$ ).

# RSA (cont.)

- Choose two large prime numbers  $p$  and  $q$ .
- Multiply  $p$  and  $q$  together to get  $n$ .
- Choose an encryption key  $e$ , such that  $e$  and Euler's totient function  $\Phi(n) = (p - 1) * (q - 1)$  are relatively prime. Two numbers are relatively prime if they have no common factor greater than one.
- Compute decryption key  $d$  such that
$$d = e^{-1} \bmod ((p - 1) * (q - 1))$$
$$d * e \bmod ((p - 1) * (q - 1)) = 1$$
- Construct public key  $K_U$  as  $(e, n)$ .
- Construct private key  $K_R$  as  $(d, n)$ .
- Discard (do not disclose) original primes  $p$  and  $q$ .

# Example

Let  $p=7$  and  $q=17$ ; so,  $n=119$ .

Calculate  $\Phi(n) = (p-1)*(q-1) = 6*16 = 96$ .

Select  $e = 5$  (note  $\gcd(5,96)=1$ ).

Determine  $d = 77$ . Note:  $5*77=385=4*96+1$ .

$K_U = (5,119)$ ,  $K_R = (77,119)$ .

Now, encrypt  $m = 19$ .

$$c = m^e \bmod n$$

$$m = c^d \bmod n$$

Since  $e = 5$ , compute  $m^5 = 19^5 = 2,476,099$ ; and  $2,476,099 \bmod 119 = 66$ .

So,  $c = 66$ .

To decrypt, compute  $m = c^{77} \bmod 119 = 19$ .



$$66^{77} \bmod 119$$

$$66^2 = 66 * 66 = 4356, 4356 \bmod 119 = 72$$

$$66^4 \bmod 119 = 72^2 \bmod 119 = 5184 \bmod 119 = 67$$

$$66^8 \bmod 119 = 67^2 \bmod 119 = 4489 \bmod 119 = 86$$

$$66^{16} \bmod 119 = 86^2 \bmod 119 = 7396 \bmod 119 = 18$$

$$66^{32} \bmod 119 = 18^2 \bmod 119 = 324 \bmod 119 = 86$$

$$66^{64} \bmod 119 = 86^2 \bmod 119 = 18$$

$$66^{77} \bmod 119 = 66^{64} * 66^8 * 66^4 * 66^1 \bmod 119$$

$$= 18 * 86 * 67 * 66 \bmod 119$$

$$= 6,845,256 \bmod 119$$

$$= 19$$

# Problem

Let  $p=5$  and  $q=17$ ; so,  $n=85$ .

Calculate  $\Phi(n) = (p-1)*(q-1) = 4*16 = 64$ .

Select  $e = 3$  (note  $\gcd(3, 64)=1$ ).

Determine  $d =$

$K_U = (3, 85)$ ,  $K_R = ( \_ , 85)$ .

Now, encrypt  $m = 25$ .

$$c = m^e \bmod n$$

$$m = c^d \bmod n$$

Since  $e = 3$ , compute  $m^3 = 25^3 = 15,625$ ; and  $15625 \bmod 85 = 70$ .

So,  $c = 70$ .

To decrypt, compute  $m = c^d \bmod 85 = \_$ .

# Problem

Let  $p=5$  and  $q=17$ ; so,  $n=85$ .

Calculate  $\Phi(n) = (p-1)*(q-1) = 4*16 = 64$ .

Select  $e = 3$  (note  $\gcd(3, 64)=1$ ).

Determine  $d =$

$K_U = (3, 85)$ ,  $K_R = ( \mathbf{43} , 85)$ .

Now, encrypt  $m = 25$ .

$$c = m^e \bmod n = 25^3 \bmod 85$$

$$m = c^d \bmod n$$

Since  $e = 3$ , compute  $m^3 = 25^3 = 15,625$ ; and  $15625 \bmod 85 = 70$ .

So,  $c = 70$ .

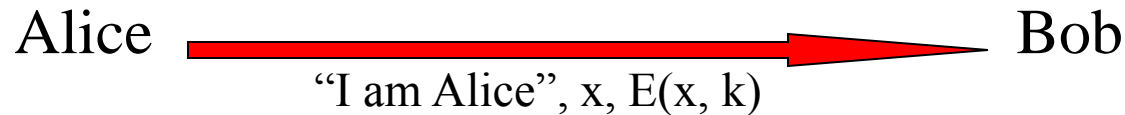
To decrypt, compute  $m = c^{43} \bmod 85 = 25$  .

# Secret Key Authentication

Alice wants to talk to Bob

- Needs to convince him of her identity
- Both have same single (secret) key  $k$

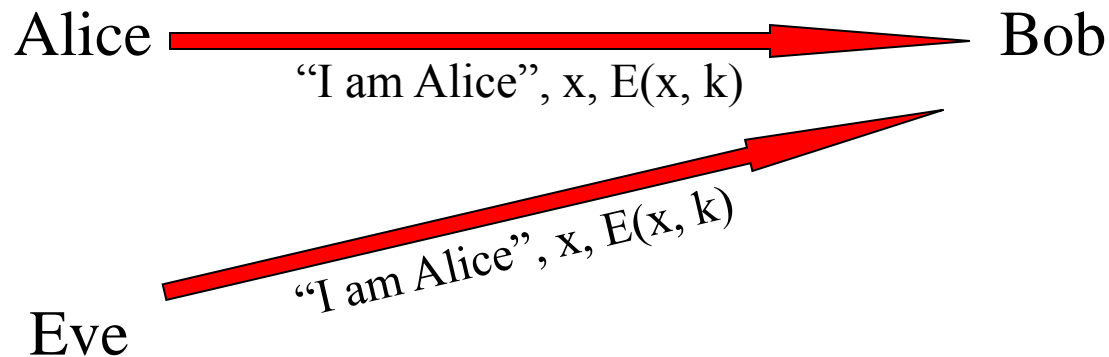
Naive scheme



Vulnerability?

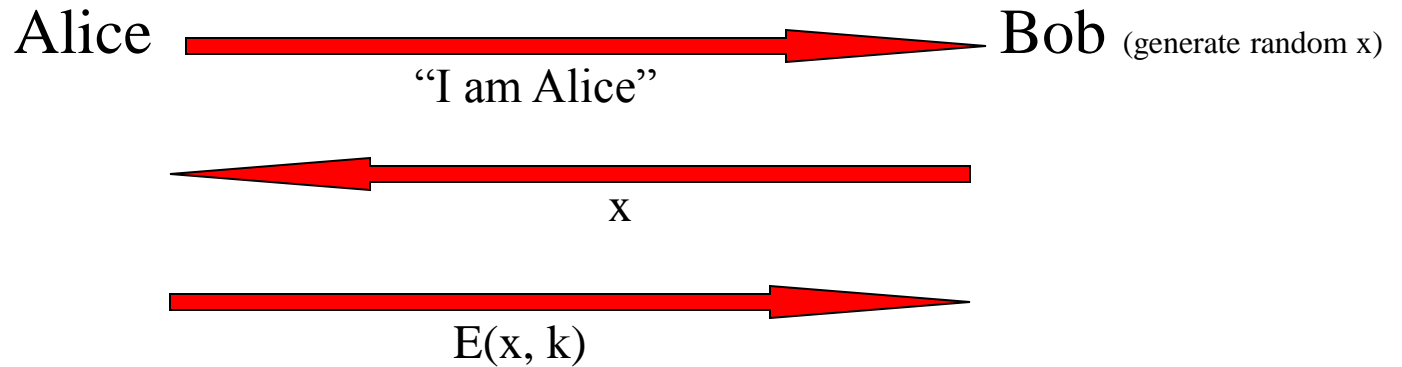
# Replay Attack

Eve can listen in and impersonate Alice later



# Preventing Replay Attacks

Bob can issue a challenge phrase to Alice

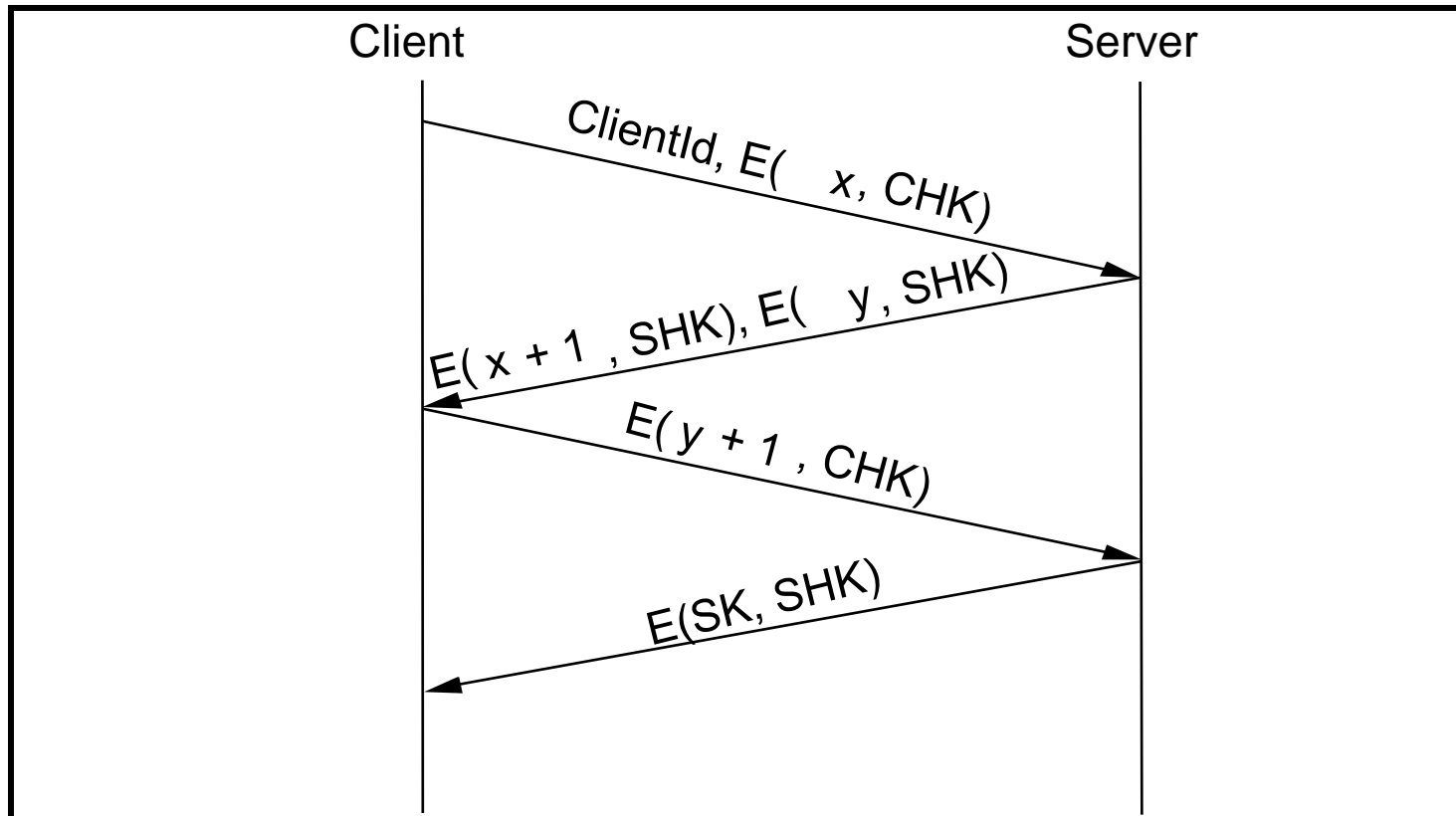


# Authentication Protocols

**Three-way handshake:**  $E(\text{msg}, \text{key})$  – encrypt msg with key

CHK = Client handshake key, SHK = server handshake key

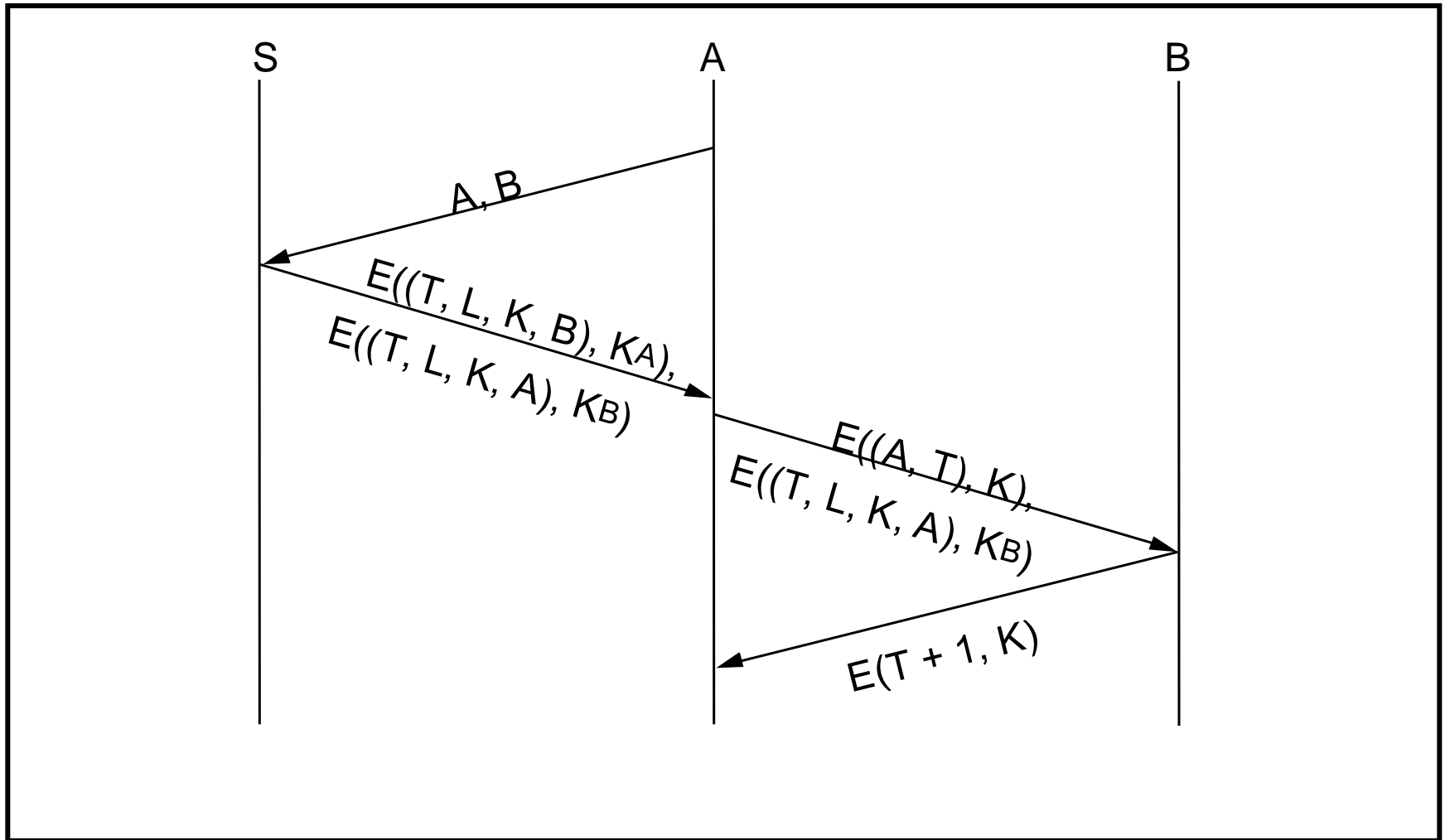
SK = Secret session key



# Authentication Protocols (cont.)

**Trusted third party:** S = authentication server using Kerberos

T = timestamp, L = lifetime, K = session key

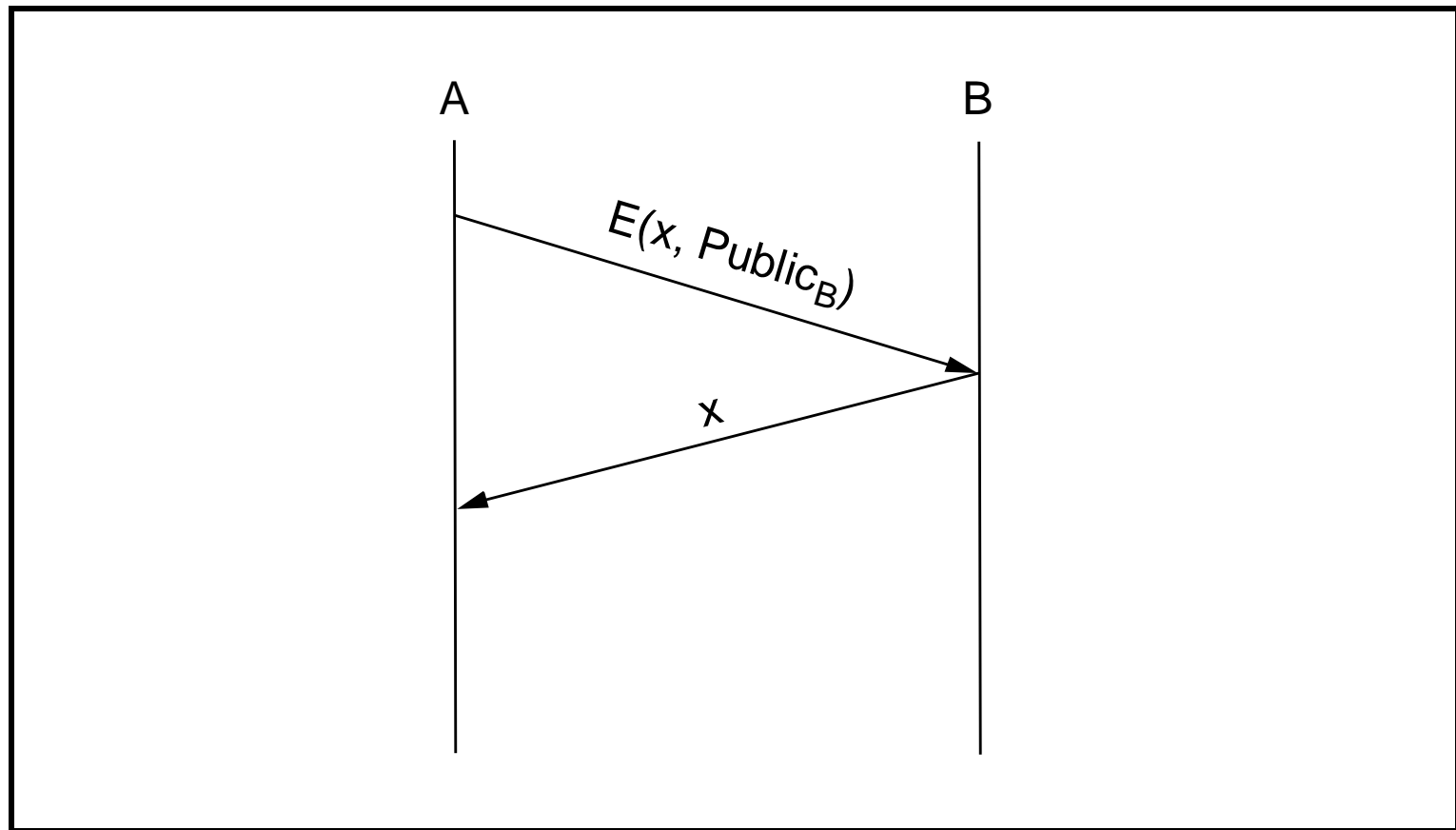




# Public Key Authentication

$x$  = random value,  $Public_B$  = B's public key

B authenticates itself by sending  $x$  back to A



# Cryptographic Hash Functions

Given arbitrary length  $m$ , compute constant length digest  $d = h(m)$

Desirable properties

- $h(m)$  easy to compute given  $m$
- One-way: given  $h(m)$ , hard to find  $m$
- Weakly collision free: given  $h(m)$  and  $m$ , hard to find  $m'$  s.t.  $h(m) = h(m')$
- Strongly collision free: hard to find any  $x, y$  s.t.  $h(x) = h(y)$

Example use: password database, file distribution

Common algorithms: MD5, SHA

# Message Digest (MD5)

## **Cryptographic checksum**

- just as a regular checksum protects the receiver from accidental changes to the message, a cryptographic checksum protects the receiver from malicious changes to the message.

## **One-way function**

- given a cryptographic checksum for a message, it is virtually impossible to figure out what message produced that checksum; it is not computationally feasible to find two messages that hash to the same cryptographic checksum.

## **Relevance**

- if you are given a checksum for a message and you are able to compute exactly the same checksum for that message, then it is highly likely this message produced the checksum you were given.

# Message Integrity Protocols

## Digital signature using RSA

- special case of a message integrity where the code can only have been generated by one participant
- compute signature with private key and verify with public key

## Keyed MD5

- sender:  $m + \text{MD5}(m + k) + \text{E}(k, k_{\text{private}})$
- receiver
  - recovers random key  $k$  using the sender's public key  $k_{\text{public}}$
  - applies MD5 to the concatenation of this random key with the message

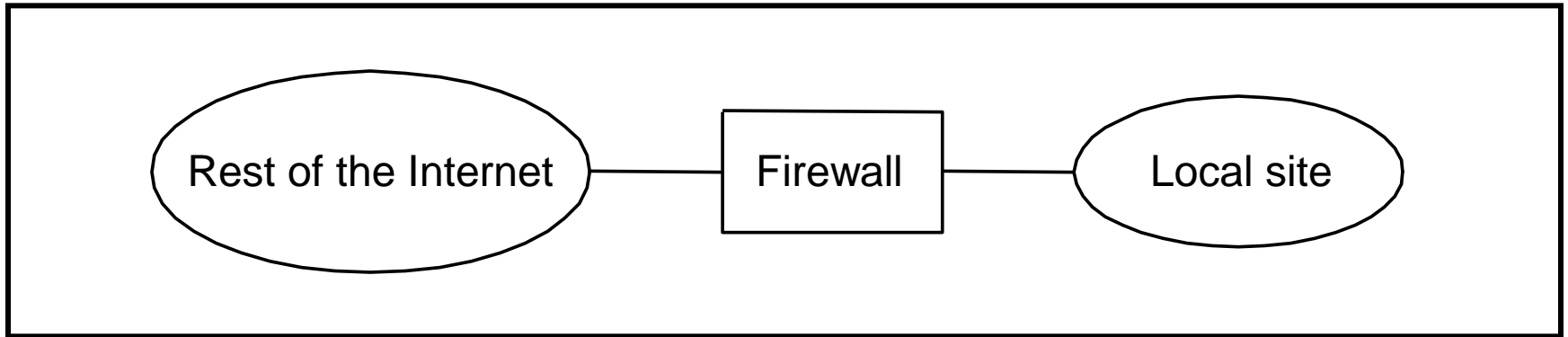
## MD5 with RSA signature

- sender:  $m + \text{E}(\text{MD5}(m), k_{\text{private}})$
- receiver
  - decrypts signature with sender's public key
  - compares result with MD5 checksum sent with message

# Firewalls

- Basic problem – many network applications and protocols have security problems that are fixed over time
  - Difficult for users to keep up with changes and keep host secure
  - Solution
    - Administrators limit access to end hosts by using a firewall
    - Firewall and limited number of machines at site are kept up-to-date by administrators

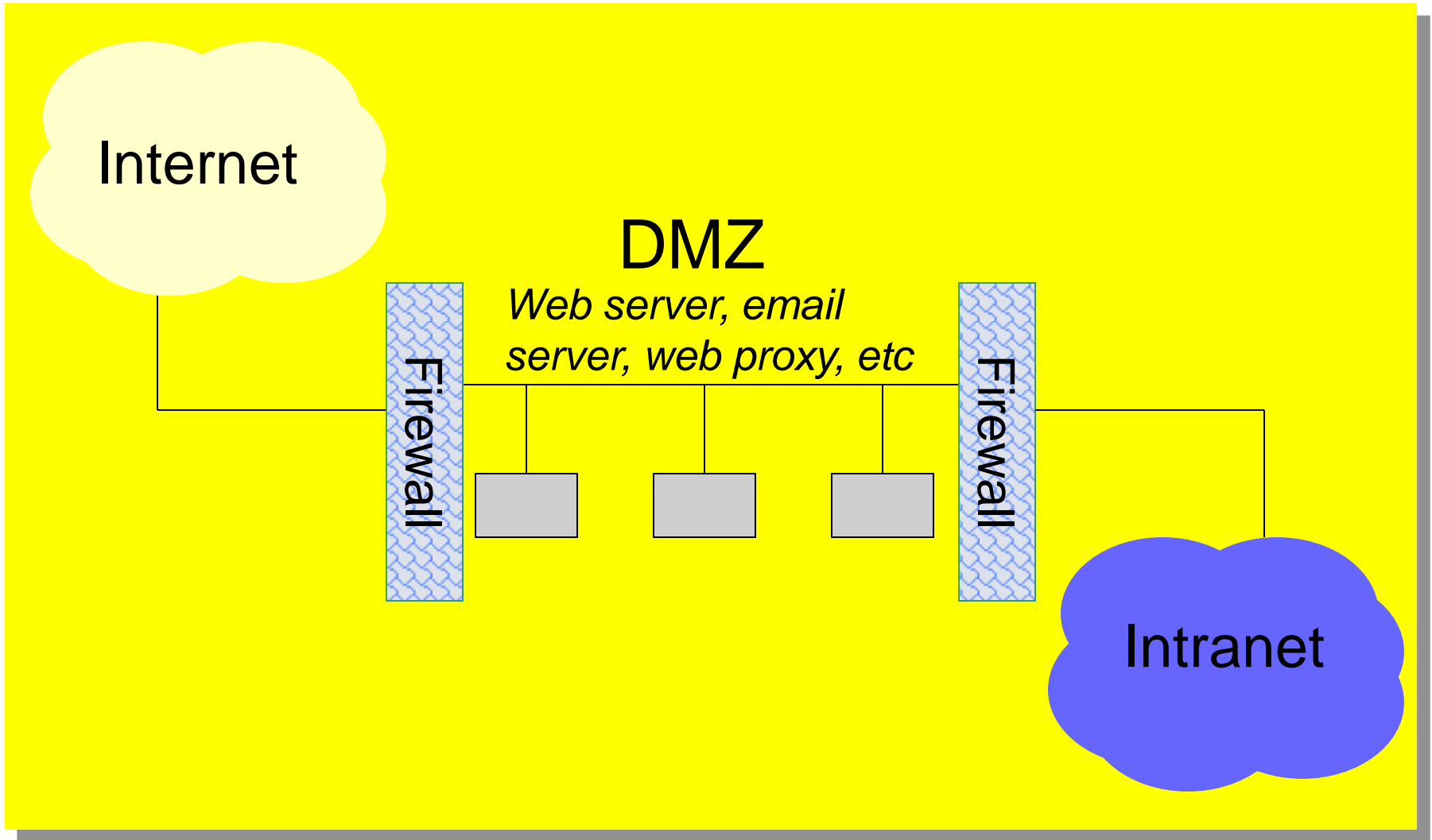
# Firewalls



## Filter-Based Solution

- example
  - ( 128.130.10.5, 1234, 129.130.10.16, 80 )
  - ( \*,\*, 129.130.10.16, 80 )
- default: forward or not forward?
- how dynamic?

# Typical Firewall Topology



# Access Control

- **Discretionary Access Control** - restricting access to objects based on the identity of subjects and/or groups to which they belong; e.g., Unix perms. The controls are discretionary in the sense that a subject with a certain access permission is capable of passing that permission (either directly or indirectly) on to any other subject; e.g., chmod.
- **Mandatory Access Control** - the operating system constrains access to objects.



# DAC vs. MAC

- **Most people familiar with discretionary access control (DAC)**
  - Unix permission bits are an example
  - Might set a file permissions so that only group 'friends' can read it
- **Discretionary means anyone with access can propagate information:**
  - Mail sigint@enemy.gov < private
- **Mandatory Access Control (MAC)**
  - Security administrator can restrict propagation
  - Abbreviated MAC (NOT to be confused w. Message Authentication Code or Medium Access Control)

# Bell-Lapadula model

- **View the system as subjects accessing objects**
  - The system input is requests, the output is decisions
  - Objects can be organized in one or more hierarchies,  $H$  (a tree enforcing the type of descendents)
- **Four modes of access are possible:**
  - execute – no observation or alteration
  - read – observation
  - append – alteration
  - write – both observation and modification
- **The current access set,  $b$ , is (subj, obj, attr) triples**
- **An access matrix  $M$  encodes permissible access types (as before, subjects are rows, objects columns)**

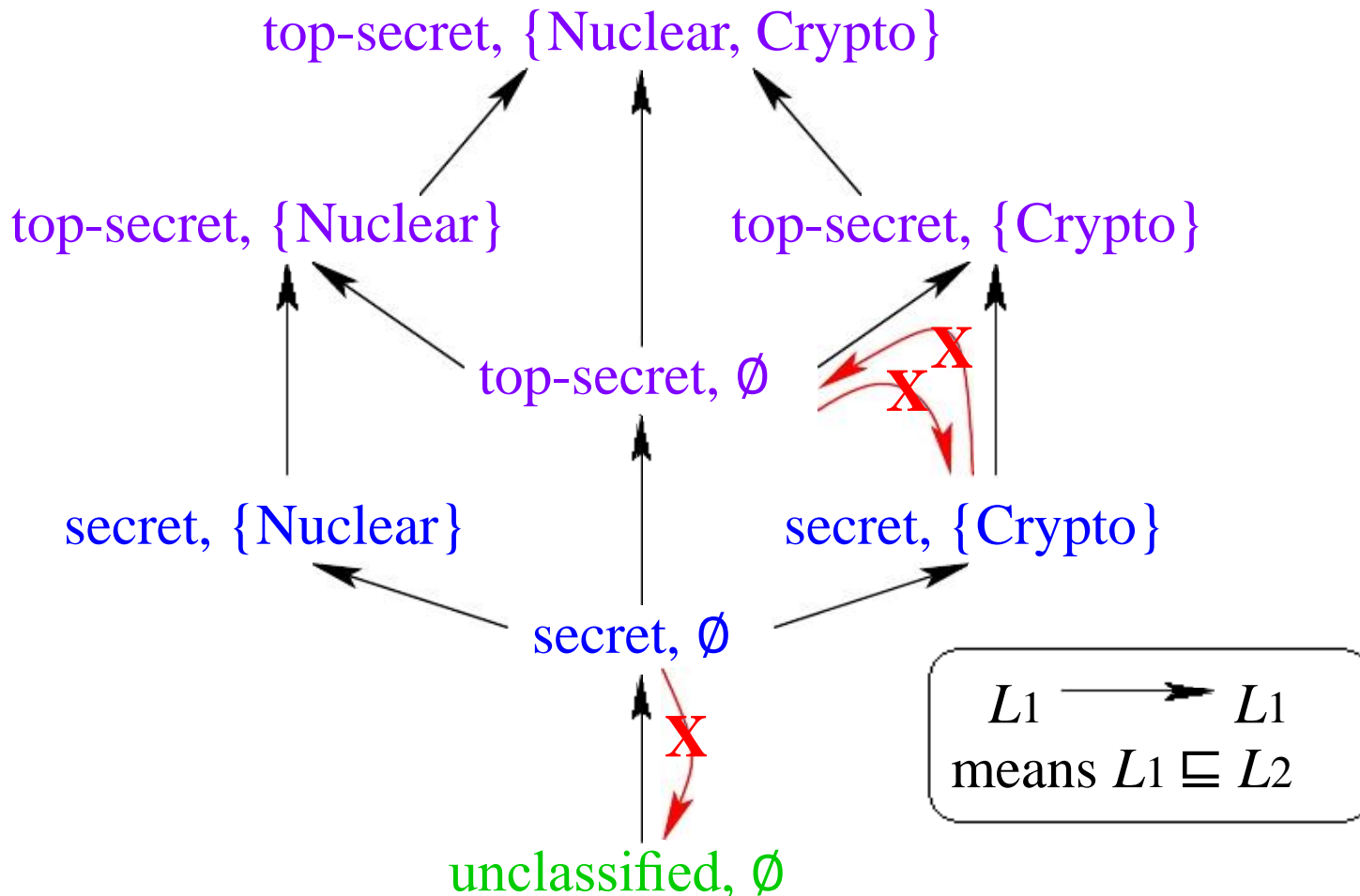
# Security levels

- **A *security level* is a  $(c, s)$  pair:**
  - $c$  = classification – E.g., unclassified, secret, top secret
  - $s$  = category-set – E.g., Nuclear, Crypto
- $(c_1, s_1)$  ***dominates***  $(c_2, s_2)$  **iff**  $c_1 \geq c_2$  **and**  $s_2 \subseteq s_1$ 
  - $L_1$  *dominates*  $L_2$  sometimes written  $L_1 \supseteq L_2$  or  $L_2 \sqsubseteq L_1$
  - levels then form a *lattice* (partial order w. lub & glb)
- **Subjects and objects are assigned security levels**
  - $\text{level}(S)$ ,  $\text{level}(O)$  – security level of subject/object
  - $\text{current-level}(S)$  – subject may operate at lower level
  - $\text{level}(S)$  bounds  $\text{current-level}(S)$  ( $\text{current-level}(S) \sqsubseteq \text{level}(S)$ )
  - Since  $\text{level}(S)$  is max, sometimes called  $S$ 's *clearance*

# Security properties

- **The simple security or *ss-property*:**
  - For any  $(S, O, A) \in b$ , if  $A$  includes observation, then  $\text{level}(S)$  must dominate  $\text{level}(O)$
  - E.g., an unclassified user cannot read a top-secret document
- **The star security or *\*-property*:**
  - If a subject can observe  $O_1$  and modify  $O_2$ , then  $\text{level}(O_2)$  dominates  $\text{level}(O_1)$
  - E.g., cannot copy top secret file into secret file
  - More precisely, given  $(S, O, A) \in b$ :
    - if  $A = r$  then  $\text{current-level}(S) \sqsupseteq \text{level}(O)$  (“no read up”)
    - if  $A = a$  then  $\text{current-level}(S) \sqsubseteq \text{level}(O)$  (“no write down”)
    - if  $A = w$  then  $\text{current-level}(S) = \text{level}(O)$

# The lattice model



- **Information can only flow up the lattice**
  - System enforces “No read up, no write down”
  - Think of  $\sqsubseteq$  as “can flow to” relation

# Straw-man MAC implementation

- Take an ordinary Unix system
- Put labels on all files and directories to track levels
- Each user  $U$  has a security clearance,  $\text{level}(U)$
- Determine current security level dynamically
  - When  $U$  logs in, start with lowest current-level
  - Increase current-level as higher-level files are observed (sometimes called a *floating label* system)
  - If  $U$ 's level does not dominate current-level, kill program
  - Kill program that writes to file that doesn't dominate it
- Is this secure?

# No: Covert channels

- **System rife with *storage channels***
  - Low current-level process executes another program
  - New program reads sensitive file, gets high current-level
  - High program exploits covert channels to pass data to low
- **E.g., High program inherits file descriptor**
  - Can pass 4-bytes of information to low prog. in file offset
- **Other storage channels:**
  - Exit value, signals, file locks, terminal escape codes, . . .
- **If we eliminate storage channels, is system secure?**

# No: Timing channels

- **Example: CPU utilization**
  - To send a 0 bit, use 100% of CPU in busy-loop
  - To send a 1 bit, sleep and relinquish CPU
  - Repeat to transfer more bits
- **Example: Resource exhaustion**
  - High prog. allocates all physical memory if bit is 1
  - If low prog. slow from paging, knows less memory available
- **More examples: Disk head position, processor cache/TLB pollution, . . .**



# Reducing covert channels

- **Observation: Covert channels come from sharing**
  - If you have no shared resources, no covert channels
  - Extreme example: Just use two computers (common in DoD)
- **Problem: Sharing needed**
  - E.g., read unclassified data when preparing classified
- **Approach: Strict partitioning of resources**
  - Strictly partition and schedule resources between levels
  - Occasionally reapportion resources based on usage
  - Do so infrequently to bound leaked information
  - In general, only hope to bound bandwidth of covert channels
  - Approach still not so good if many security levels possible

# Declassification

- **Sometimes need to prepare unclassified report from classified data**
- **Declassification happens outside of system**
  - Present file to security officer for downgrade
- **Job of declassification often not trivial**
  - E.g., Microsoft word saves a lot of undo information
  - This might be all the secret stuff you cut from document
  - Another bad mistake: Redacted PDF using black censor bars over or under text (but text still selectable)

# Biba integrity model

- **Problem: How to protect integrity**
  - Suppose text editor gets trojaned, subtly modifies files, might mess up attack plans
- **Observation: Integrity is the converse of secrecy**
  - In secrecy, want to avoid writing less secret files
  - In integrity, want to avoid writing higher-integrity files
- **Use integrity hierarchy parallel to secrecy one**
  - Now *security level* is a  $c, i, s$  triple,  $i$  = integrity
  - $c_1, i_1, s_1 \sqsubseteq c_2, i_2, s_2$  iff  $c_1 \leq c_2$  and  $i_1 \geq i_2$  and  $s_1 \subseteq s_2$
  - Only trusted users can operate at low integrity levels
  - If you read less authentic data, your current integrity level gets lowered (putting you up higher in the lattice), and you can no longer write higher-integrity files

# DoD Orange Book

- **DoD requirements for certification of secure systems**
- **Four Divisions:**
  - D – been through certification and not secure
  - C – discretionary access control
  - B – mandatory access control
  - A – like B, but better verified design
  - Classes within divisions increasing level of security

# Limitations of Orange book

- **How to deal with floppy disks, removable storage?**
- **How to deal with networking?**
- **Takes too long to certify a system**
  - People don't want to run  $n$ -year-old software
- **Doesn't fit non-military models very well**
- **What if you want high assurance & DAC?**

# **Today: Common Criteria**

- **Replaced orange book around 1998**
- **Three parts to CC:**
  - CC Documents, including protection profiles with both functional and assurance requirements
  - CC Evaluation Methodology
  - National Schemes (local ways of doing evaluation)

# Summary

- Read Ch. 14-15
- Project #3 – new due date, Mon., Dec. 16
  - Sensor Input Application
  - Kernel modifications – add new system call