Lecture 14: Dynamic Memory

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Quote of the Day

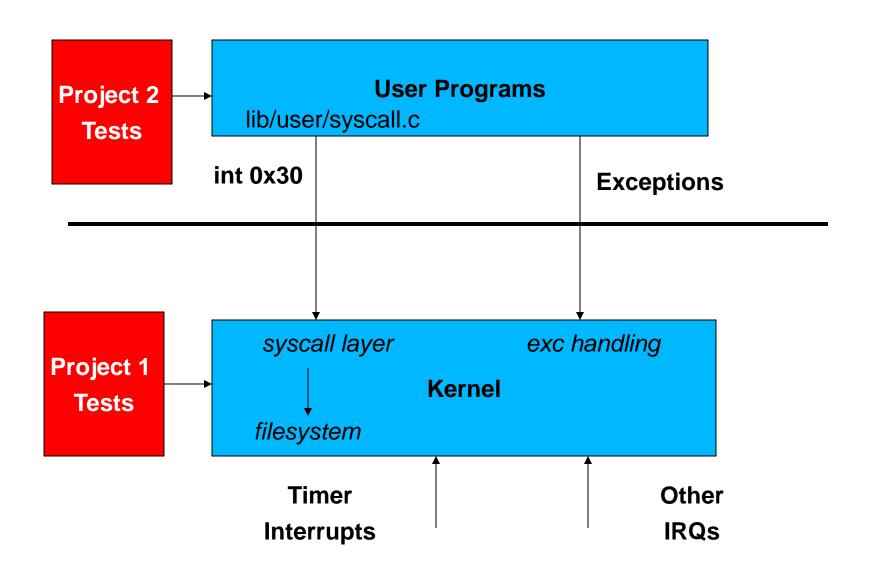
"I have not failed. I've just found 10,000 ways that won't work."

-- Thomas Edison

Project 2

- System Call: void halt(void)
- TYPO: power_off() should be shutdown_power_off().

Project 1 and Project 2



When does a process need to access Operating System functionality?

- Here are several examples:
 - Reading a file. The OS must perform the file system operations required to read the data off of disk.
 - Creating a child process. The OS must set stuff up for the child process.

How do processes invoke OS functionality?

- By making a system call.
 - Conceptually, processes call a subroutine that goes off and performs the required functionality. But OS must execute in supervisor mode, which allows it to do things like manipulate the disk directly.
 - To switch from normal user mode to supervisor mode, most machines provide a system call instruction.
 - ▶ This instruction causes an exception to take place.
 - The hardware switches from user mode to supervisor mode and invokes the exception handler inside the operating system.
 - There is typically some kind of convention that the process uses to interact with the OS.

Let's do an example - Open() system call

System calls typically start out with a normal subroutine call.

```
int handle = open("sample.txt");
```

 open() executes a syscall instruction, which generates a system call exception 0x30.

```
syscall1 (SYS_OPEN, file);
```

- By convention, the Open subroutine puts a number on the stack to indicate which routine (SYS_OPEN = 6) should be invoked.
 - Inside the exception handler the OS looks at the stack to figure out what system call it should perform.
- The Open system call also takes a parameter. By convention, the compiler also puts this (e.g., a pointer to the filename) on the stack.
 - More conventions: return values are put into the %EAX register.
- Inside the exception handler, the OS figures out what action to take, performs the action, then returns back to the user program.

SYS_OPEN is defined in lib/syscall-nr.h

```
#ifndef LIB SYSCALL NR H
#define LIB SYSCALL_NR_H
/* System call numbers. */
enum
   /* Projects 2 and later. */
                          /* Halt the operating system. */
   SYS HALT,
                          /* Terminate this process. */
   SYS EXIT,
   SYS EXEC,
                          /* Start another process. */
                          /* Wait for a child process to die. */
   SYS WAIT,
                        /* Create a file. */
   SYS CREATE,
                   /* Delete a file. */
   SYS REMOVE,
   SYS OPEN,
                        /* Open a file. */
```

Thus, $SYS_OPEN = 6$.

Open() system call details

In pintos/src/lib/user/syscall.c:

```
int
open (const char *file)
  return syscall1 (SYS OPEN, file);
.. (and above)..
/* Invokes syscall NUMBER, passing argument ARGO, and returns the
   return value as an `int'. */
#define syscall1(NUMBER, ARG0)
    ( {
     int retval;
     asm volatile
        ("push1 %[arg0]; push1 %[number]; int $0x30; add1 $8, %%esp" \
          : "=a" (retval)
          : [number] "i" (NUMBER),
            [arg0] "g" (ARG0)
          : "memory");
     retval:
```

Initialize syscall handler

```
void
syscall_init (void)
{
  intr_register_int (0x30, 3, INTR_ON, syscall_handler, "syscall");
  lock_init (&fs_lock);
}
```

Add sys_open to syscall_handler

```
static void
syscall handler (struct intr frame *f)
 typedef int syscall function (int, int, int);
 /* A system call. */
 struct syscall
     size t arg cnt;
                          /* Number of arguments. */
      syscall function *func; /* Implementation. */
 };
 /* Table of system calls. */
 static const struct syscall syscall table[] =
      {0, (syscall function *) sys halt},
      {1, (syscall function *) sys exit},
      {1, (syscall function *) sys exec},
      {1, (syscall function *) sys wait},
      {2, (syscall function *) sys create},
      {1, (syscall function *) sys remove},
      {1, (syscall function *) sys open},
```

Add sys_open to syscall_handler

```
const struct syscall *sc;
unsigned call nr;
int args[3];
/* Get the system call. */
copy in (&call nr, f->esp, sizeof call nr);
if (call nr >= sizeof syscall table / sizeof *syscall table)
    thread exit ();
sc = syscall table + call nr;
/* Get the system call arguments. */
ASSERT (sc->arg cnt <= sizeof args / sizeof *args);
memset (args, 0, sizeof args);
copy in (args, (uint32 t *) f->esp + 1, sizeof *args * sc->arg cnt);
/* Execute the system call,
   and set the return value. */
f\rightarrow eax = sc\rightarrow func (args[0], args[1], args[2]);
```

Add sys_open function to syscall.c

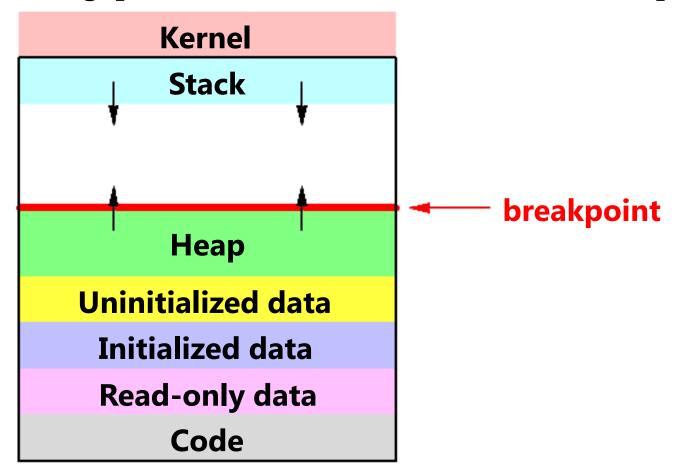
```
sys open (const char *ufile)
 char *kfile = copy in string (ufile);
  struct file descriptor *fd;
  int handle = -1;
  fd = malloc (sizeof *fd);
 if (fd != NULL)
      lock acquire (&fs lock);
      fd->file = filesys open (kfile);
      if (fd->file != NULL)
          struct thread *cur = thread current ();
          handle = fd->handle = cur->next handle++;
          list push front (&cur->fds, &fd->elem);
      else
          free (fd);
      lock release (&fs lock);
  }
 palloc free page (kfile);
 return handle;
```

```
/* Creates a copy of user string US in kernel memory and returns it
   as a page that must be freed with palloc free page().
   Truncates the string at PGSIZE bytes in size. */
static char *
copy in string (const char *us)
  char *ks;
  size t length;
  ks = palloc get page (PAL ASSERT | PAL ZERO);
  if (ks == NULL)
      thread exit ();
  for (length = 0; length < PGSIZE; length++)</pre>
  {
      if (us >= (char *) PHYS BASE || !get user (ks + length, us++))
      {
          palloc free page (ks);
          thread exit ();
      }
      if (ks[length] == '\0')
          return ks;
  }
  ks[PGSIZE - 1] = ' \setminus 0';
  return ks;
```

Chapter 9: Virtual Memory

- Background
- Demand Paging
- Copy-on-Write
- Page Replacement
- Allocation of Frames
- Thrashing
- Memory-Mapped Files
- Allocating Kernel Memory
- Other Considerations
- Operating-System Examples

Recall typical virtual address space

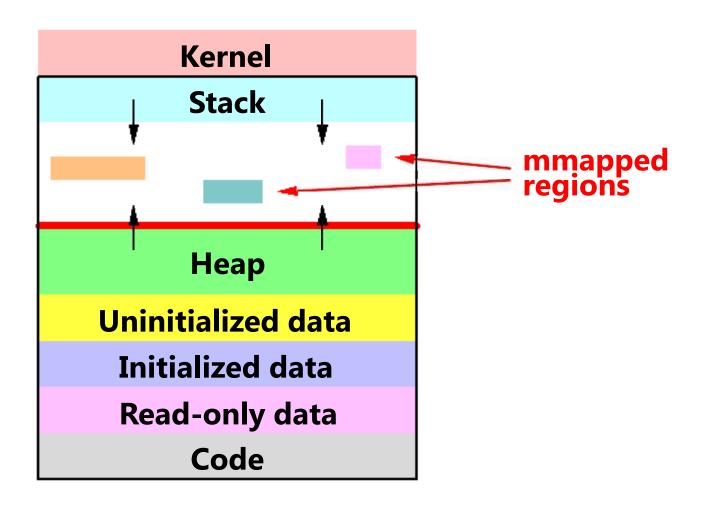


- Dynamically allocated memory goes in heap
- Top of heap called breakpoint
 - Addresses between breakpoint and stack all invalid

Early VM system calls

- OS keeps "Breakpoint" top of heap
 - Memory regions between breakpoint & stack fault on access
- char *brk (const char *addr);
 - Set and return new value of breakpoint
- char *sbrk (int incr);
 - Increment value of the breakpoint & return old value
- Can implement malloc in terms of sbrk
 - But hard to "give back" physical memory to system

Memory mapped files



Other memory objects between heap and stack

mmap system call

- void *mmap (void *addr, size t len, int prot, int flags, int fd, off t_offset)
 - Map file specified by fd at virtual address addr
 - If addr is NULL, let kernel choose the address
- prot protection of region
 - OR of prot_exec, prot_read, prot_write, prot_none
- flags
 - MAP_ANON anonymous memory (fd should be -1)
 - MAP_PRIVATE modifications are private
 - MAP_SHARED modifications seen by everyone

More VM system calls

- int msync(void *addr, size_t len, int flags);
 - Flush changes of mmapped file to backing store
- int munmap(void *addr, size_t len)
 - Removes memory-mapped object
- int mprotect(void *addr, size_t len, int prot)
 - Changes protection on pages
- int mincore(void *addr, size_t len, char *vec)
 - Returns in vec which pages are present

Exposing page faults

```
struct sigaction {
  union {
                           /* signal handler */
     void (*sa_handler)(int);
    void (*sa_sigaction)(int, siginfo_t *, void *);
  sigset_t sa_mask; /* signal mask to apply */
  int sa_flags;
};
int sigaction (int sig, const struct sigaction *act,
                   struct sigaction *oact)
```

 Can specify function to run on SIGSEGV (Unix signal raised on invalid memory access)

Example: OpenBSD/i386 siginfo

```
struct sigcontext {
  int sc_gs; int sc_fs; int sc_es; int sc_ds;
  int sc_edi; int sc_esi; int sc_ebp; int sc_ebx;
  int sc_edx; int sc_ecx; int sc_eax;
  int sc_eip; int sc_cs; /* instruction pointer */
  int sc eflags; /* condition codes, etc. */
  int sc_esp; int sc_ss; /* stack pointer */
  int sc_onstack; /* sigstack state to restore */
  int sc_mask; /* signal mask to restore */
  int sc_trapno;
  int sc_err;
```

VM tricks at user level

- Combination of mprotect/sigaction very powerful
 - Can use OS VM tricks in user-level programs [Appel]
 - E.g., fault, unprotect page, return from signal handler

Technique used in object-oriented databases

- Bring in objects on demand
- Keep track of which objects may be dirty
- Manage memory as a cache for much larger object DB

Other interesting applications

- Useful for some garbage collection algorithms
- Snapshot processes (copy on write)

Dynamic memory allocation

Almost every useful program uses it

- Gives wonderful functionality benefits
 - Don't have to statically specify complex data structures
 - Can have data grow as a function of input size
 - □ Allows recursive procedures (stack growth)
- But, can have a huge impact on performance

Today: how to implement it

- Lecture draws on [Wilson] (good survey from 1995)

Some interesting facts:

- Two or three line code change can have huge, non-obvious impact on how well an allocator works (examples to come)
- Proven: impossible to construct an "always good" allocator
- Surprising result: after 35 years, memory management still poorly understood

Why is it hard?

- Satisfy arbitrary set of allocation and free's.
- Easy without free: set a pointer to the beginning of some big chunk of memory ("heap") and increment on each allocation:



Problem: free creates holes ("fragmentation") Result?
 Lots of free space but cannot satisfy request!

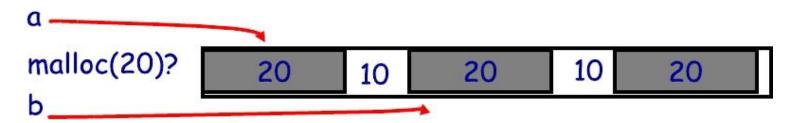


More abstractly

- What an allocator must do:
- Track which parts of memory in use, which parts are free
- Ideal: no wasted space, no time overhead

What the allocator cannot do:

- Control order of the number and size of requested blocks
- Change user ptrs \Rightarrow (bad) placement decisions permanent



The core fight: minimize fragmentation

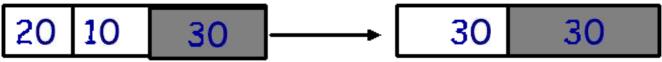
- App frees blocks in any order, creating holes in "heap"
- Holes too small? cannot satisfy future requests

What is fragmentation really?

- Inability to use memory that is free
- Two factors required for fragmentation
 - Different lifetimes—if adjacent objects die at different times, then fragmentation:
 If they die at the same time, then no fragmentation:
 - Different sizes: If all requests the same size, then no fragmentation (that's why no external fragmentation w. paging):

Important decisions

- Placement choice: where in free memory to put a requested block?
 - Freedom: can select any memory in the heap
 - Ideal: put block where it won't cause fragmentation later (impossible in general: requires future knowledge)
- Split free blocks to satisfy smaller requests?
 - Fights internal fragmentation
 - Freedom: can chose any larger block to split
 - One way: chose block with smallest remainder (best fit)
- Coalescing free blocks to yield larger blocks



- Freedom: when to coalesce (deferring can be good) fights external fragmentation

Impossible to "solve" fragmentation

If you read allocation papers to find the best allocator

- All discussions revolve around tradeoffs
- The reason? There cannot be a best allocator

Theoretical result:

- For any possible allocation algorithm, there exist streams of allocation and deallocation requests that defeat the allocator and force it into severe fragmentation.

How much fragmentation should we tolerate?

- Let M = bytes of live data, n_{min} = smallest allocation, n_{max} = largest How much gross memory required?
- Bad allocator: $M \cdot (n_{\text{max}}/n_{\text{min}})$ (only ever uses a memory location for a single size)
- Good allocator: $\sim M \cdot \log(n_{\text{max}}/n_{\text{min}})$

Pathological examples

Given allocation of 7 20-byte chunks



- What's a bad stream of frees and then allocates?
- Given a 128-byte limit on malloced space
 - What's a really bad combination of mallocs & frees?

- Next: two allocators (best fit, first fit) that, in practice, work pretty well
 - "pretty well" = \sim 20% fragmentation under many workloads

Pathological examples

Given allocation of 7 20-byte chunks



- What's a bad stream of frees and then allocates?
- Free every other chunk, then alloc 21 bytes
- Given a 128-byte limit on malloced space
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Pathological examples

Given allocation of 7 20-byte chunks



- What's a bad stream of frees and then allocates?
- Free every other chunk, then alloc 21 bytes
- Given a 128-byte limit on malloced space
 - What's a really bad combination of mallocs & frees?
 - Malloc 128 1-byte chunks, free every other
 - Malloc 32 2-byte chunks, free every other (1- & 2-byte) chunk
 - Malloc 16 4-byte chunks, free every other chunk...
- Next: two allocators (best fit, first fit) that, in practice, work pretty well
 - "pretty well" = \sim 20% fragmentation under many workloads

Best fit

Strategy: minimize fragmentation by allocating space from block that leaves smallest fragment

- Data structure: heap is a list of free blocks, each has a header holding block size and pointers to next



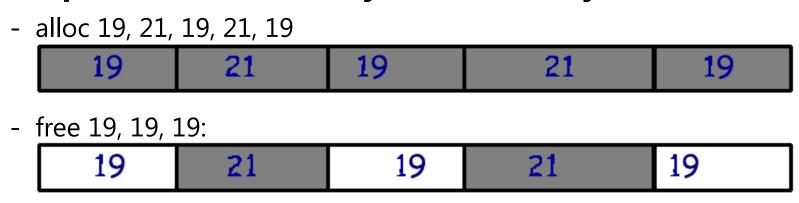
- Code: Search freelist for block closest in size to the request. (Exact match is ideal)
- During free (usually) coalesce adjacent blocks

Problem: Sawdust

- Remainder so small that over time left with "sawdust" everywhere
- Fortunately not a problem in practice

Best fit gone wrong

- Simple bad case: allocate n, m (n < m) in alternating orders, free all the ns, then try to allocate an n + 1
- Example: start with 100 bytes of memory



- alloc 20? Fails! (wasted space = 57 bytes)
- However, doesn't seem to happen in practice (though the way real programs behave suggest it easily could)

First fit

Strategy: pick the first block that fits

- Data structure: free list, sorted lifo, fifo, or by address
- Code: scan list, take the first one

LIFO: put free object on front of list.

- Simple, but causes higher fragmentation
- Potentially good for cache locality

Address sort: order free blocks by address

- Makes coalescing easy (just check if next block is free)
- Also preserves empty/idle space (locality good when paging)

FIFO: put free object at end of list

- Gives similar fragmentation as address sort, but unclear why

Subtle pathology: LIFO FF

- Storage management example of subtle impact of simple decisions
- LIFO first fit seems good:
 - Put object on front of list (cheap), hope same size used again (cheap + good locality)
- But, has big problems for simple allocation patterns:
 - E.g., repeatedly intermix short-lived 2n-byte allocations, with long-lived (n + 1)-byte allocations
 - Each time large object freed, a small chunk will be quickly taken, leaving useless fragment. Pathological fragmentation

First fit: Nuances

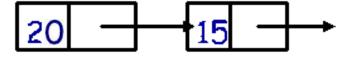
First fit sorted by address order, in practice:

- Blocks at front preferentially split, ones at back only split when no larger one found before them
- Result? Seems to roughly sort free list by size
- So? Makes first fit operationally similar to best fit: a first fit of a sorted list = best fit!

Problem: sawdust at beginning of the list

- Sorting of list forces a large requests to skip over many small blocks. Need to use a scalable heap organization

Suppose memory has free blocks: 20



- If allocation ops are 10 then 20, best fit wins
- When is FF better than best fit?

First fit: Nuances

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Suppose memory has free blocks: 20

- 20 15
- If allocation ops are 10 then 20, best fit wins
- When is FF better than best fit?
- Suppose allocation ops are 8, 12, then 12 ⇒ first fit wins

First/best fit: weird parallels

- Both seem to perform roughly equivalently
- In fact the placement decisions of both are roughly identical under both randomized and real workloads!
 - No one knows why
 - Pretty strange since they seem pretty different

Possible explanations:

- First fit like best fit because over time its free list becomes sorted by size: the beginning of the free list accumulates small objects and so fits tend to be close to best
- Both have implicit "open space heuristic" try not to cut into large open spaces: large blocks at end only used when have to be (e.g., first fit: skips over all smaller blocks)

Some worse ideas

Worst-fit:

- Strategy: fight against sawdust by splitting blocks to maximize leftover size
- In real life seems to ensure that no large blocks around

Next fit:

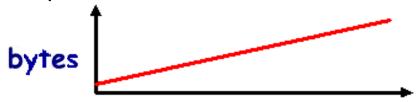
- Strategy: use first fit, but remember where we found the last thing and start searching from there
- Seems like a good idea, but tends to break down entire list

Buddy systems:

- Round up allocations to power of 2 to make management faster
- Result? Heavy internal fragmentation

Known patterns of real programs

- So far we've treated programs as black boxes.
- Most real programs exhibit 1 or 2 (or all 3) of the following patterns of alloc/dealloc:
 - Ramps: accumulate data monotonically over time



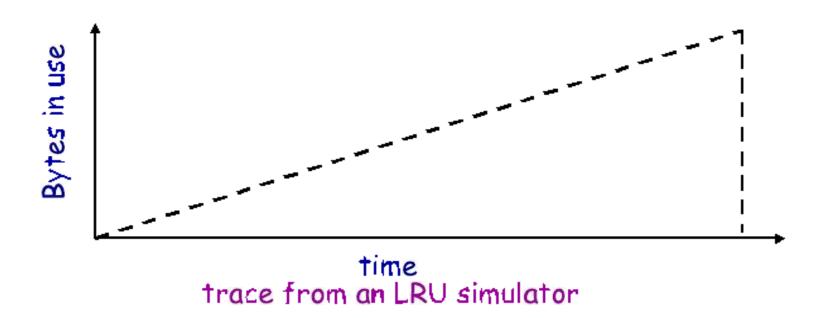
- Peaks: allocate many objects, use briefly, then free all



- Plateaus: allocate many objects, use for a long time



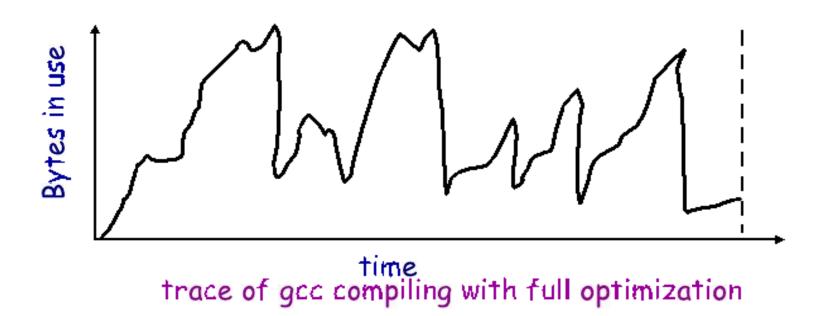
Pattern 1: ramps



In a practical sense: ramp = no free!

- Implication for fragmentation?
- What happens if you evaluate allocator with ramp programs only?

Pattern 2: peaks



Peaks: allocate many objects, use briefly, then free all

- Fragmentation a real danger
- What happens if peak allocated from contiguous memory?
- Interleave peak & ramp? Interleave two different peaks?

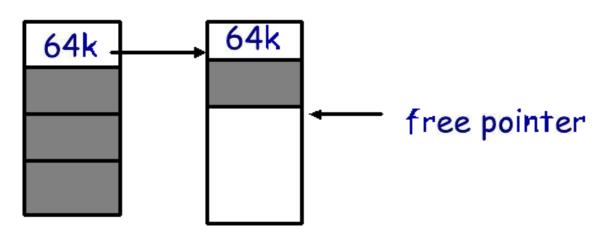
Exploiting peaks

Peak phases: alloc a lot, then free everything

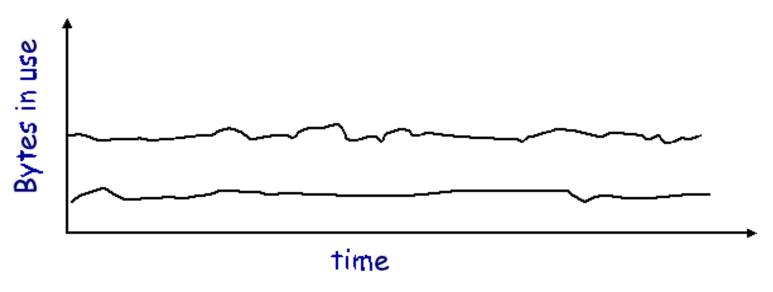
- So have new allocation interface: alloc as before, but only support free of everything
- Called "arena allocation", "obstack" (object stack), or alloca/procedure call (by compiler people)

Arena = a linked list of large chunks of memory

- Advantages: alloc is a pointer increment, free is "free" No wasted space for tags or list pointers



Pattern 3: Plateaus



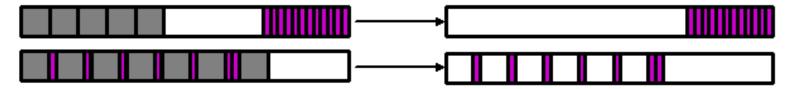
trace of perl running a string processing script

- Plateaus: allocate many objects, use for a long time
 - What happens if overlap with peak or different plateau?

Fighting fragmentation

Segregation = reduced fragmentation:

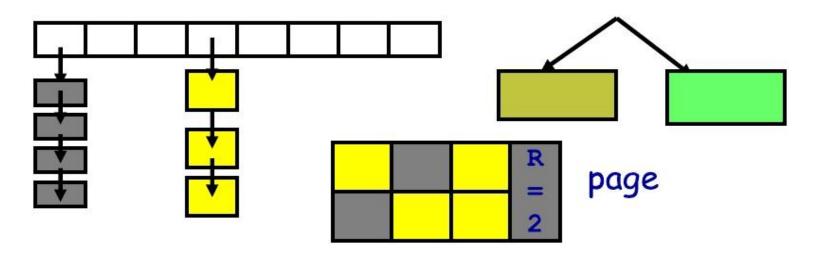
- Allocated at same time ~ freed at same time
- Different type ~ freed at different time



Implementation observations:

- Programs allocate small number of different sizes
- Fragmentation at peak use more important than at low
- Most allocations small (< 10 words)
- Work done with allocated memory increases with size
- Implications?

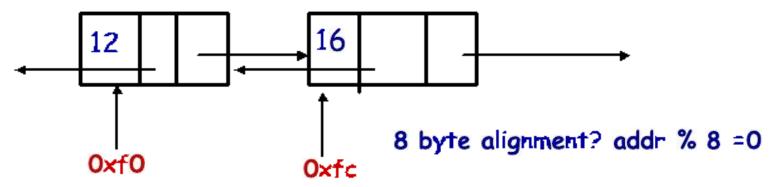
Simple, fast segregated free lists



- Array of free lists for small sizes, tree for larger
 - Place blocks of same size on same page
 - Have count of allocated blocks: if goes to zero, can return page
- Pro: segregate sizes, no size tag, fast small alloc
- Con: worst case waste: 1 page per size even w/o free, after pessimal free waste 1 page per object

Typical space overheads

- Free list bookkeeping + alignment determine minimum allocatable size:
 - Store size of block
 - Pointers to next and previous freelist element



- Machine enforced overhead: alignment. Allocator doesn't know type. Must align memory to conservative boundary
- Minimum allocation unit? Space overhead when allocated?

Getting more space from OS

- On Unix, can use sbrk
 - E.g., to activate a new zero-filled page:

```
heap

/* add nbytes of valid virtual address space */
void *get_free_space(unsigned nbytes) {
    void *p;
    if(!(p = sbrk(nbytes)))
        error("virtual memory exhausted");
    return p;
}
```

- For large allocations, sbrk a bad idea
 - May want to give memory back to OS
 - Can't with sbrk unless big chunk last thing allocated
 - So allocate large chunk using mmap's MAP_ANON

Faults + resumption = power

- Resuming after fault lets us emulate many things
 - "every problem can be solved with layer of indirection"
- Example: sub-page protection
- To protect sub-page region in paging system:



- Set entire page to weakest permission; record in PT



- Any access that violates perm will cause an access fault
- Fault handler checks if page special, and if so, if access allowed. Continue or raise error, as appropriate

More fault resumption examples

Emulate accessed bits:

- Set page permissions to "invalid".
- On any access will get a fault: Mark as accessed

Avoid save/restore of FP registers

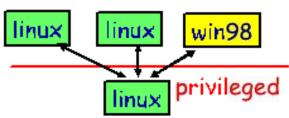
- Make first FP operation fault to detect usage

Emulate non-existent instructions:

- Give inst an illegal opcode; OS fault handler detects and emulates fake instruction

Run OS on top of another OS!

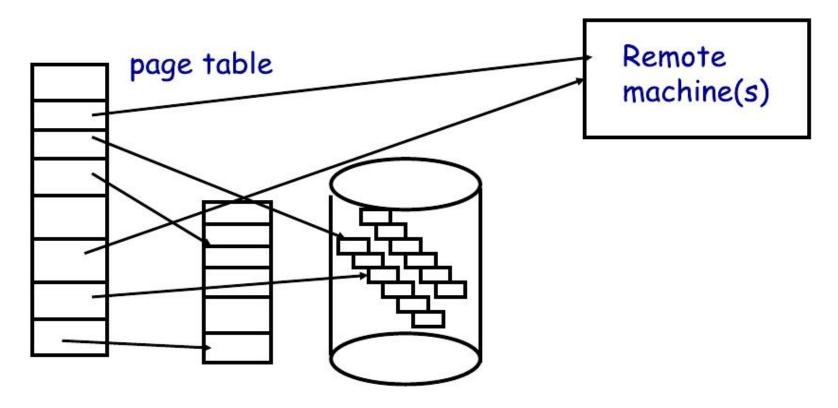
- Slam OS into normal process
- When does something "privileged," real
 OS gets woken up with a fault.
- If op allowed, do it, otherwise kill.
- IBM's VM/370. VMware (sort of)



Not just for kernels

- User-level code can resume after faults, too
- mprotect protects memory
- sigaction catches signal after page fault
 - Return from signal handler restarts faulting instruction
- Many applications detailed by Appel & Li
- Example: concurrent snapshotting of process
 - Mark all of process's memory read-only w. mprotect
 - One thread starts writing all of memory to disk
 - Other thread keeps executing
 - On fault write that page to disk, make writable, resume

Distributed shared memory



Virtual memory allows us to go to memory or disk

- But, can use the same idea to go anywhere! Even to another computer. Page across network rather than to disk. Faster, and allows network of workstations (NOW)

Persistent stores

- Idea: Objects that persist across program invocations
 - E.g., object-oriented database; useful for CAD/CAM type apps
- Achieve by memory-mapping a file
- But only write changes to file at end if commit
 - Use dirty bits to detect which pages must be written out
 - Or emulate dirty bits with *mprotect/sigaction* (using write faults)
- On 32-bit machine, store can be larger than memory
 - But single run of program won't access > 4GB of objects
 - Keep mapping betw. 32-bit mem ptrs and 64-bit disk offsets
 - Use faults to bring in pages from disk as necessary
 - After reading page, translate pointers—known as swizzling

Garbage collection

- In safe languages, run time knows about all pointers
 - So can move an object if you change all the pointers

What memory locations might a program access?

- Any objects whose pointers are currently in registers
- Recursively, any pointers in objects it might access
- Anything else is unreachable, or garbage; memory can be re-used

Example: stop-and-copy garbage collection

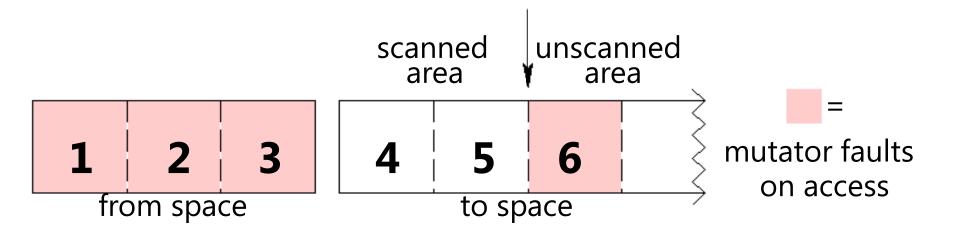
- Memory full? Temporarily pause program, allocate new heap
- Copy all objects pointed to by registers into new heap
 - □ Mark old copied objects as copied, record new location
- Start scanning through new heap. For each pointer:
 - Copied already? Adjust pointer to new location
 - □ Not copied? Then copy it and adjust pointer
- Free old heap—program will never access it—and continue

Concurrent garbage collection

- Idea: Stop & copy, but without the stop
 - Mutator thread runs program, collector concurrently does GC

When collector invoked:

- Protect from space & unscanned to space from mutator
- Copy objects in registers into *to space*, resume mutator
- All pointers in scanned to space point to to space
- If mutator accesses unscanned area, fault, scan page, resume



Heap overflow detection

- Many GCed languages need fast allocation
 - E.g., in lisp, constantly allocating cons cells
 - Allocation can be as often as every 50 instructions
- Fast allocation is just to bump a pointer

But would be even faster to eliminate lines 1 & 2!

Heap overflow detection 2

- Mark page at end of heap inaccessible
 - mprotect (heap_limit, PAGE_SIZE, PROT_NONE);
- Program will allocate memory beyond end of heap
- Program will use memory and fault
 - Note: Depends on specifics of language
 - But many languages will touch allocated memory immediately
- Invoke garbage collector
 - Must now put just allocated object into new heap
- Note: requires more than just resumption
 - Faulting instruction must be resumed
 - But must resume with different target virtual address
 - Doable on most architectures since GC updates registers

Reference counting

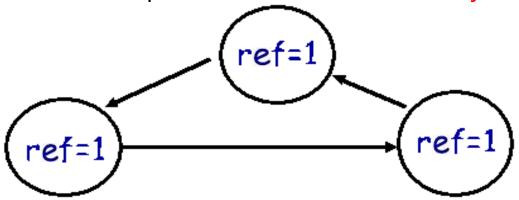
- Seemingly simpler GC scheme:
 - Each object has "ref count" of pointers to it
 - Increment when pointer set to it
 - Decremented when pointer killed (C++ destructors handy for such "smart pointers")

```
a /b
ref=2
```

- ref count == 0? Free object
- Works well for hierarchical data structures
 - E.g., pages of physical memory

Reference counting pros/cons

- Circular data structures always have ref count > 0
 - No external pointers means lost memory



- Can do manually w/o PL support, but error-prone
- Potentially more efficient than real GC
 - No need to halt program to run collector
 - Avoids weird unpredictable latencies
- Potentially less efficient than real GC
 - With real GC, copying a pointer is cheap
 - With reference counting, must write ref count each time

Summary

- Read Ch. 1-8
- Processes and Threads (Ch. 4)
- Process Scheduling (Ch. 5)
- Synchronization (Ch. 6)
- Deadlock (Ch. 7)
- Memory Management (Ch. 8)
- Virtual Memory (Ch. 9)
- Project #2 System Calls and User-Level Processes