

# CIS 770: Formal Language Theory

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- Examples of objects:
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  - DFAs, NFAs, Turing Machines, Algorithms, other machines ...

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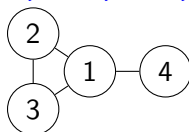
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- Example: encoding a “graph.”

$(1,2,3,4)((1,2)(2,3)(3,1)(1,4))$

encodes the graph



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- Some of these algorithms are for decision problems, while others are for computing more general functions
- All these algorithms terminate on all inputs

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**Universal Turing Machine** (a simple “Operating System”): Takes a TM  $M$  and a string  $w$  and simulates the execution of  $M$  on  $w$

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- We just saw some example algorithms all of which terminate in a finite number of steps, and output yes or no (accept or reject). i.e., They decide the corresponding languages.

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## Proposition

*There are languages which are recognizable, but not decidable*

# Recognizing $A_{TM}$

Program  $U$  for **recognizing**  $A_{TM}$ :

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On input  $\langle M, w \rangle$   
  simulate  $M$  on  $w$   
  if simulated  $M$  accepts  $w$ , then accept  
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# Deciding vs. Recognizing

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*If  $L$  and  $\bar{L}$  are recognizable, then  $L$  is decidable*

## Proof.

Program  $P$  for **deciding**  $L$ , given programs  $P_L$  and  $P_{\bar{L}}$  for recognizing  $L$  and  $\bar{L}$ :

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- Which one to simulate first? Either could go on forever.
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- If  $P_L$  accepts, accept  $x$  and halt. If  $P_{\bar{L}}$  accepts, reject  $x$  and halt.

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## Proof (contd).

In more detail,  $P$  works as follows:

On input  $x$

for  $i = 1, 2, 3, \dots$

    simulate  $P_L$  on input  $x$  for  $i$  steps

    simulate  $P_{\bar{L}}$  on input  $x$  for  $i$  steps

    if either simulation accepts, break

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(Alternately, maintain configurations of  $P_L$  and  $P_{\overline{L}}$ , and in each iteration of the loop advance both their simulations by one step.)



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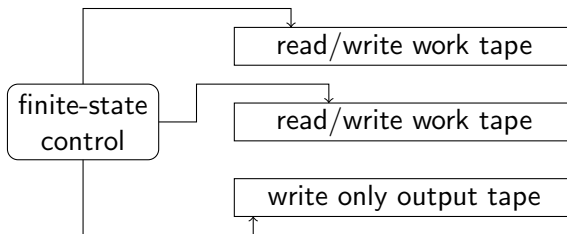
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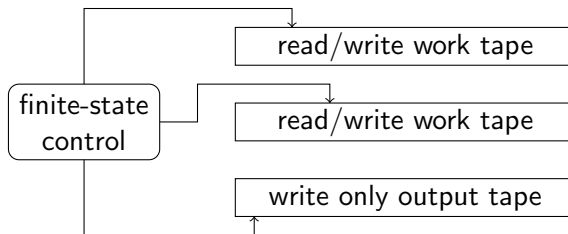
**Note:** Decidable languages are closed under complementation, but recognizable languages are not.

# Enumerators



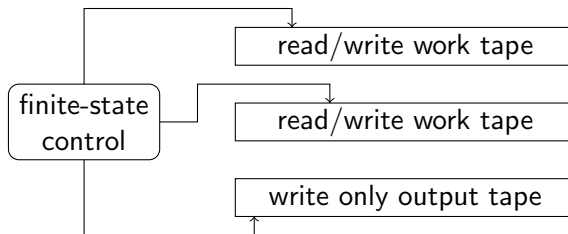
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  - Write-only means (a) symbol on output tape does not affect transitions, and (b) tape head only moves right.

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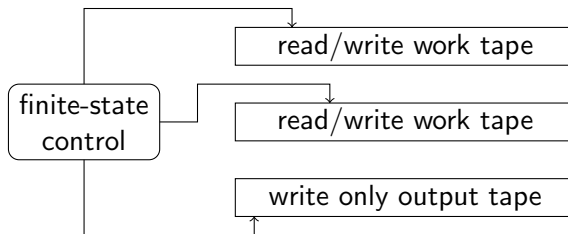
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- Initially all tapes blank (no input). During computation the machine adds symbols to the output tape. Output considered to be a **list of words** (separated by special symbol #)

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$M$  need not enumerate strings in order. It is also possible that  $M$  lists some strings many times!

## Definition

$L$  is **recursively enumerable (r.e.)** iff there is an enumerator  $M$  such that  $L = E(M)$ .

# Recursively Enumerable Languages and TMs

## Theorem

*$L$  is recursively enumerable if and only if  $L$  is Turing-recognizable.*

# Recursively Enumerable Languages and TMs

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*$L$  is recursively enumerable if and only if  $L$  is Turing-recognizable.*

## Note

Hence, when we say a language  $L$  is recursively enumerable (r.e.) then

- there is a TM that accepts  $L$ , and
- there is an enumerator that enumerates  $L$ .

# Recognizers From Enumerators

Proof.

Suppose  $L$  is enumerated by  $N$ . Need to construct  $M$  such that  $L(M) = E(N)$ .

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On input  $w$

Run  $N$ . Every time  $N$  writes a word ' $x$ '  
compare  $x$  with  $w$ .

If  $x = w$  then accept and halt  
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Clearly, if  $w \in L$ ,  $M$  accepts  $w$ , and if  $w \notin L$  then  $M$  never halts.

...

# Enumerators From Recognizers

Proof (contd).

Let  $M$  be such that  $L = L(M)$ . Need to construct  $N$  such that  $E(N) = L(M)$ .



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for  $w = \epsilon, 0, 1, 00, 01, 10, 11, 000, \dots$  do  
  simulate  $M$  on  $w$   
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    on output tape
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# Enumerators From Recognizers?

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Does  $N$  enumerate  $L$ ? **No!!**  $M$  may not halt on a string  $w \notin L$ , in which case  $N$  will not output any more strings!

# Enumerators From Recognizers?

Parallel simulation

Proof (contd).

Let  $M$  be such that  $L = L(M)$ . Need to construct  $N$  such that  $E(N) = L(M)$ .  $N$  is the following enumerator

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for  $w = \epsilon, 0, 1, 00, 01, 10, 11, 000, \dots$  do
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Does  $N$  enumerate  $L$ ? **No!!**  $M$  may not halt on a string  $w \notin L$ , in which case  $N$  will not output any more strings!

Must simulate  $M$  on all inputs in parallel.

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Parallel simulation?

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Must simulate  $M$  on all inputs in parallel. But infinitely many parallel executions. Will never reach step two in any execution!

...→

# Enumerators From Recognizers

## Dovetailing

Proof (contd).

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## Dovetailing

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for  $i = 1, 2, 3 \dots$  do
  let  $w_1, w_2, \dots, w_i$  be the first  $i$  strings (in
    lexicographic order)
  simulate  $M$  on  $w_1$  for  $i$  steps, then on  $w_2$  for  $i$ 
    steps and ...simulate  $M$  on  $w_i$  for  $i$  steps
  if  $M$  accepts  $w_j$  within  $i$  steps then write  $w_j$ 
    (with separator) on output tape
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# Enumerators From Recognizers

## Dovetailing

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Observe that  $w \in L(M)$  iff  $N$  will enumerate  $w$ .

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Observe that  $w \in L(M)$  iff  $N$  will enumerate  $w$ .  $N$  will enumerate strings many times! □