# CIS 770: Formal Language Theory

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## Decision Problems and Languages

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- A decision problem is represented as a formal language consisting of those strings (inputs) on which the answer is "yes".

### Recursive Enumerability

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- The language of a Turing Machine M, denoted as L(M), is the set of all strings w on which M accepts.
- A language L is recursively enumerable/Turing recognizable if there is a Turing Machine M such that L(M) = L.

### Decidability

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- A language L is decidable if there is a Turing machine M such that L(M) = L and M halts on every input.
- Thus, if *L* is decidable then *L* is recursively enumerable.

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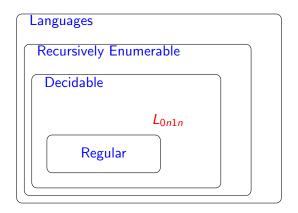
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- This means that either L is not recursively enumerable. That is there is no turing machine M such that L(M) = L, or
- L is recursively enumerable but not decidable. That is, any Turing machine M such that L(M) = L, M does not halt on some inputs.

### Big Picture



Relationship between classes of Languages

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- For the rest of this lecture, let us fix the input alphabet to be  $\{0,1\}$ ; a string over any alphabet can be encoded in binary.
- Any Turing Machine/program M can itself be encoded as a binary string. Moreover every binary string can be thought of as encoding a TM/program. (If not the correct format, considered to be the encoding of a default TM.)
- We will consider decision problems (language) whose inputs are Turing Machine (encoded as a binary string)

# The Diagonal Language

#### Definition

Define  $L_d = \{M \mid M \not\in L(M)\}.$ 

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Define  $L_d = \{M \mid M \not\in L(M)\}$ . Thus,  $L_d$  is the collection of Turing machines (programs) M such that M does not halt and accept when given itself as input.

### Proposition

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Recall that,

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- In what follows, we will denote the *i*th binary string (in lexicographic order) as the number *i*.

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- Every Turing Machine can be described by a binary string and every binary string can be viewed as Turing Machine
- In what follows, we will denote the ith binary string (in lexicographic order) as the number i. Thus, we can say  $j \in L(i)$ , which means that the Turing machine corresponding to ith binary string accepts the jth binary string.  $\cdots \rightarrow$

# Completing the proof

Diagonalization: Cantor

### Proof (contd).

We can organize all programs and inputs as a (infinite) matrix, where the (i,j)th entry is Y if and only if  $j \in L(i)$ .

							Inputs $\longrightarrow$		
		1	2	3	4	5	6	7	
TMs	1	Ν	N	N	N	N	N	N	
$\downarrow$	2	Ν	N	Ν	Ν	Ν	Ν	Ν	
	3	Y	Ν	Υ	Ν	Υ	Υ	Υ	
	4	N	Υ	N	Υ	Υ	N	Ν	
	5	N	Υ	Ν	Υ	Υ	Ν	Ν	
	6	N	Ν	Υ	Ν	Υ	Ν	Υ	

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Suppose  $L_d$  is recognized by a Turing machine, which is the *j*th binary string. i.e.,  $L_d = L(j)$ .

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Suppose  $L_d$  is recognized by a Turing machine, which is the *j*th binary string. i.e.,  $L_d = L(j)$ . But  $j \in L_d$  iff  $j \notin L(j)$ !

# Acceptor for $L_d$ ?

### Consider the following program

```
On input i
    Run program i on i
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Does the above program recognize  $L_d$ ? No, because it may never output "yes" if i does not halt on i.

# Models for Decidable Languages

#### Question

Is there a machine model such that

- all programs in the model halt on all inputs, and
- for each problem decidable by a TM, there is a program in the model that decides it?

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#### Answer

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 $M_d$  always halts and solves a problem not solved by any program in our language! Inability to halt is essential to capture all computation.

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- L<sub>d</sub> not recursively enumerable, and therefore not decidable.
   Are there languages that are recursively enumerable but not decidable?
- Yes,  $A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}$

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On input i
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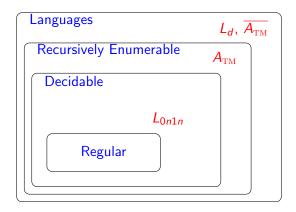
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Observe that  $L(D) = L_d!$  But,  $L_d$  is not r.e. which gives us the contradiction.



## A more complete Big Picture



A reduction is a way of converting one problem into another problem such that a solution to the second problem can be used to solve the first problem. We say the first problem reduces to the second problem.

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- Informal Examples: Measuring the area of rectangle reduces to measuring the length of the sides; Solving a system of linear equations reduces to inverting a matrix
- The problem  $L_d$  reduces to the problem  $A_{\text{TM}}$  as follows: "To see if  $w \in L_d$  check if  $\langle w, w \rangle \in A_{\text{TM}}$ ."

## Undecidability using Reductions

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#### Proof Sketch.

Suppose for contradiction  $L_2$  is decidable. Then there is a M that always halts and decides  $L_2$ . Then the following algorithm decides  $L_1$ 

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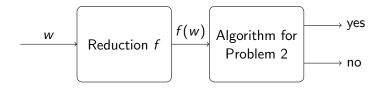
- On input w, apply reduction to transform w into an input w' for problem 2
- Run M on w', and use its answer.

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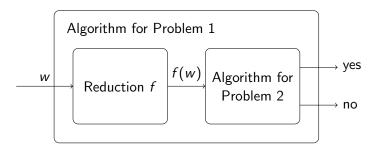
Reductions schematically



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Observe that f(M) halts on input w if and only if M accepts w



Completing the proof

### Proof (contd).

Suppose HALT is decidable. Then there is a Turing machine H that always halts and L(H) = HALT.

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Suppose HALT is decidable. Then there is a Turing machine H that always halts and  $L(H)={\sf HALT}.$  Consider the following program T

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On input \langle M,w\rangle
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T decides  $A_{\rm TM}$ .

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T decides  $A_{\rm TM}$ . But,  $A_{\rm TM}$  is undecidable, which gives us the contradiction.



# Mapping Reductions

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$$w \in A$$
 if and only if  $f(w) \in B$ 

In this case, we say A is mapping/many-one reducible to B, and we denote it by  $A \leq_m B$ .

### Convention

In this course, we will drop the adjective "mapping" or "many-one", and simply talk about reductions and reducibility.

## Reductions and Recursive Enumerability

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Let f be the reduction from A to B and let  $M_B$  be the Turing Machine recognizing B.

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#### Proof.

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```
On input w Compute f(w) Run M_B on f(w) Accept if M_B does and reject if M_B rejects
```



### Reductions and non-r.e.

### Corollary

If  $A \leq_m B$  and A is not recursively enumerable then B is not recursively enumerable.

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### Corollary

If  $A \leq_m B$  and A is undecidable then B is undecidable.