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## SOLUTIONS FOR HOMEWORK 6

### CIS 770: FORMAL LANGUAGE THEORY

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**Problem 1.** [Category: Design] If  $A$  and  $B$  are languages, define  $A \diamond B = \{xy \mid x \in A, y \in B \text{ and } |x| = |y|\}$ . Show that if  $A$  and  $B$  are regular languages, then  $A \diamond B$  is context free. If you construct a CFG or PDA for  $A \diamond B$ , you need not prove that your construction is correct, but your intuitions behind the construction should be clearly spelt out. [10 points]

**Solution: Closure Properties Proof:** If  $A$  and  $B$  are regular languages over alphabet  $\Sigma$ , then  $L_1 = A \circ \{\#\} \circ B = \{x\#y \mid x \in A \text{ and } y \in B\}$  (where  $\# \notin \Sigma$ ) is also regular because regular languages are closed under concatenation. Consider  $L_2 = \{x\#y \mid x \in \Sigma^*, y \in \Sigma^* \text{ and } |x| = |y|\}$  is context-free because  $L_2$  is generated by the grammar  $G = (\{S\}, \Sigma \cup \{\#\}, R, S)$  where

$$R = \{S \rightarrow aSb \mid a, b \in \Sigma\} \cup \{S \rightarrow \#\}$$

Since  $L_1$  is regular and  $L_2$  is context-free, the language  $L_3 = L_1 \cap L_2$  is context-free. Observe that

$$L_3 = L_1 \cap L_2 = \{x\#y \mid x \in A, y \in B, \text{ and } |x| = |y|\}$$

Finally, consider the homomorphism  $h : (\Sigma \cup \{\#\})^* \rightarrow \Sigma^*$  where  $h(a) = a$  for  $a \in \Sigma$  and  $h(\#) = \epsilon$ . Then,

$$h(L_3) = \{xy \mid x \in A, y \in B \text{ and } |x| = |y|\} = A \diamond B$$

Thus,  $A \diamond B$  is context-free.

**Proof by Construction:** If  $A$  and  $B$  are regular then there are DFAs  $M_A = (Q_A, \Sigma, \delta_A, q_A, F_A)$  and  $M_B = (Q_B, \Sigma, \delta_B, q_B, F_B)$  such that  $\mathbf{L}(M_A) = A$  and  $\mathbf{L}(M_B) = B$ . Using  $M_A$  and  $M_B$ , we will construct a PDA  $P$  to recognize  $A \diamond B$ . The PDA will work as follows. It will read the input and simulate  $M_A$  on the string. As it reads the symbols, it will count the length of the string read by pushing symbols onto the stack. At some point,  $P$  will nondeterministically decide that it has read exactly half the input. At this point, it will check that  $M_A$  is indeed in an accept state (as this means that the input it has read so far is in  $A$ ), and then move to the initial state of  $M_B$  to start simulating  $M_B$  on the remaining input. As it reads the remaining input it will pop symbols from the stack to ensure that it does indeed read a string of length equal to the part on which  $M_A$  was simulated. When it is finished reading the entire input, it will check that  $M_B$  is in an accept state and that the stack is empty.

The formal construction is as follows.  $P = (Q, \Sigma, \Gamma, \delta, q_0, F)$  where

- $Q = Q_A \cup Q_B \cup \{q_0, q_F\}$  where  $q_0, q_F \notin Q_A \cup Q_B$
- $\Gamma = \{\$, 0\}$ ;  $\$$  will be used as a bottom of stack symbol and 0 will be used to count the first half.
- $F = \{q_F\}$
- $\delta$  is given as follows

$$\delta(q, a, x) = \begin{cases} \{(q_A, \$)\} & \text{if } q = q_0, a = x = \epsilon \\ \{(q', 0)\} & \text{if } q \in Q_A, a \in \Sigma, q' = \delta_A(q, a), \text{ and } x = \epsilon \\ \{(q_B, \epsilon)\} & \text{if } q \in F_A, a = x = \epsilon \\ \{(q', \epsilon)\} & \text{if } q \in Q_B, a \in \Sigma, q' = \delta_B(q, a), \text{ and } x = 0 \\ \{(q_F, \epsilon)\} & \text{if } q \in F_B, a = \epsilon, \text{ and } x = \$ \\ \emptyset & \text{otherwise} \end{cases}$$

**Problem 2.** [Category: Proof] Let  $B$  be the language of all palindromes over  $\{0, 1\}$  containing an equal number of 0s and 1s. Prove that  $B$  is not context-free. [10 points]

**Solution: Pumping Lemma Proof:** We will show that  $B$  does not satisfy the pumping lemma. Let  $p$  be any pumping length. Consider the string  $z = 0^p 1^p 1^p 0^p$ ; since  $z$  is a palindrome and has equal number of 0s and 1s,  $z \in B$ . Let  $u, v, w, x, y$  be any division of  $z$  such that (a)  $z = uvwxy$ , (b)  $|vx| > 0$ , and (c)  $|vwx| \leq p$ .

We will argue that  $uv^2wx^2y \notin B$ . We have to consider a few possibilities based on the form of  $v$  and  $x$ .

1. Both  $v$  and  $x$  contain only 0s. Then, since  $|vx| > 0$ ,  $uv^2wx^2y$  will have more 0s than 1s, and so will not be a member of  $B$ .
2. Both  $v$  and  $x$  contain only 1s. Then, analogous to the previous case,  $uv^2wx^2y \notin B$  because it has more 1s than 0s.
3. Suppose one among  $v$  and  $x$  has both 0 and 1 symbols, or  $v$  and  $x$  have symbols of opposite kinds. In that case,  $uv^2wx^2y$  is not a palindrome.
4. The last case, when both  $v$  and  $x$  contain both 0 and 1 symbols is not possible because we have  $|vwx| \leq p$ .

**Closure Properties Proof:** Let  $L_1 = B \cap \mathbf{L}(0^*1^*0^*)$ . Observe that any string in  $L_1$  must begin and end with the same number of 0s (as strings in  $B$  are palindromes) and the number of 1s must be equal to the number of 0s (as this is a requirement for strings in  $B$ ). Thus,  $L_1 = \{0^n 1^{2n} 0^n \mid n \geq 0\}$ . Consider a homomorphism  $h : \{a, b, c\}^* \rightarrow \{0, 1\}^*$  defined as:  $h(a) = 0$ ,  $h(b) = 11$ ,  $h(c) = 0$ . Then

$$L_2 = h^{-1}(L_1) \cap \mathbf{L}(a^*b^*c^*) = \{a^n b^n c^n \mid n \geq 0\}$$

Since  $L_2$  is not a CFL and is constructed from  $B$  using operations that preserve context-freeness, we can conclude that  $B$  is not context-free. ■

**Problem 3.** [Category: Proof] Let  $A = \{wtw^R \mid w, t \in \{0, 1\}^* \text{ and } |w| = |t|\}$ . Prove that  $A$  is not context-free. [10 points]

**Solution: Pumping Lemma Proof:** We will show that  $A$  does not satisfy the pumping lemma. Let  $p$  be any pumping length. Consider the string  $z = 0^{2p} 0^p 1^p 0^{2p}$ ;  $|z| > p$  and  $z \in A$ . Consider any division of  $z$  into  $u, v, w, x, y$  such that (a)  $u = uvwxy$ , (b)  $|vwx| \leq p$  and (c)  $|vx| > 0$ . We will consider various cases based on the form of  $vwx$ .

1. Consider the case when  $vwx$  is contained in the first two-thirds of  $z$ . Then, in  $uv^2wx^2y$  (which is of length  $> 6p$  because  $|vx| > 0$ ), position  $2p + 1$  from the end (which is now in the last third) is a 1 but the position  $2p + 1$  from the beginning is a 0. Thus,  $uv^2wx^2y \notin A$ .
2. Consider the case when  $vwx$  has a non-empty intersection with the last third. Observe that, since  $|vwx| \leq p$ , in this case,  $vwx$  must be completely contained within the second half of  $z$ . Here are a few subcases to consider.
  - Suppose  $x$  has both 0s and 1s, i.e., it spans the boundary of  $1^p$  and  $0^{2p}$ . Then, in  $uv^2wx^2y$  (of length  $> 6p$ ), position  $2p + 1$  from the beginning is a 0 while position  $2p + 1$  from the end is a 1. Thus,  $uv^2wx^2y \notin A$ .

- Suppose  $x = \epsilon$  and  $v$  contains both 0s and 1s. Then  $uv^2wx^2y$  (of length  $> 6p$ ) again has 0 in position  $2p + 1$  from the beginning but 1 at position  $2p + 1$  from the end and so  $uv^2wx^2y \notin A$ .
- Suppose  $x \neq \epsilon$  but contains only 0s, or  $vw$  is completely contained in the last third of  $z$ . Then consider  $z_0 = uv^{3p+4}wx^{3p+4}y$ . Now,  $|z_0| > 9p + 4$ . Moreover, the symbol at position  $3p + 1$  from the beginning is a 1, while the symbol at position  $3p + 1$  from the end is a 0. Thus,  $z_0 \notin A$ .

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