

# CIS 770: Formal Language Theory

Pavithra Prabhakar

Kansas State University

Spring 2015

# From PDA to CFG

## Proposition

*For any PDA  $P$ , there is a CFG  $G$  such that  $L(P) = L(G)$ .*

## Proof Outline

- 1 For every PDA  $P$  there is a **normalized** PDA  $P_N$  such that  $L(P) = L(P_N)$ .
- 2 For every normalized PDA  $P_N$  there is a CFG  $G$  such that  $L(P_N) = L(G)$ .

# Normalized PDAs

## Definition

A PDA  $P = (Q, \Sigma, \Gamma, \delta, q_0, F)$  is **normalized** iff it satisfies the following conditions.

- Has exactly one accept state, i.e.,  $F = \{q_a\}$  for some  $q_a \in Q$
- Empties its stack before accepting, i.e., if  $\langle q_0, \epsilon \rangle \xrightarrow{w}_P \langle q_a, \sigma \rangle$  then  $\sigma = \epsilon$ .
- Each transition either pushes one symbol, or it pops one symbol. There are no transitions that both *push and pop*, nor transitions that leave the stack unaffected.

# Normalizing a PDA

## Proposition

*For every PDA  $P$ , there is a normalized PDA  $P_N$  such that  $L(P) = L(P_N)$*

## Proof Sketch

We will transform  $P$  in a series of steps, each time ensuring that the language does not change.

- We will ensure that there is only one accept state
- Next, we will ensure that all symbols are popped before accept state is reached.
- Finally, we will transform transitions to be either push or pop (not both or neither).

# Normalizing a PDA

## One accept state

To ensure one accept state, add  $\epsilon$ -transitions (which do not change the stack) from old accept states to **a new accept state**.

Formally, given  $P = (Q, \Sigma, \Gamma, \delta, q_0, F)$ , let  $P' = (Q', \Sigma, \Gamma, \delta', q_0, F')$  where

- $Q' = Q \cup \{q_a\}$ , where  $q_a \notin Q$
- $F' = \{q_a\}$
- $\delta'(q, x, a) = \delta(q, x, a)$  if  $q \in Q \setminus F$  or  $x \neq \epsilon$  or  $a \neq \epsilon$ , and  $\delta'(q, \epsilon, \epsilon) = \delta(q, \epsilon, \epsilon) \cup \{(q_a, \epsilon)\}$  for  $q \in F$ , and  $\delta'(q_a, x, a) = \emptyset$ .

# Normalizing a PDA

## Emptying stack before acceptance

First push a new symbol  $\$$  before starting computation, and from sole accept state, pop all symbols before popping  $\$$  and moving to a new accept state.

i.e., given  $P = (Q, \Sigma, \Gamma, \delta, q_0, \{q_a\})$ , let  $P' = (Q', \Sigma, \Gamma', \delta', q'_0, F')$ :

- $\Gamma' = \Gamma \cup \{\$\}$ , where  $\$ \notin \Gamma$
- $Q' = Q \cup \{q_i, q_p, q_f\}$  where  $q_i, q_p, q_f \notin Q$
- $q'_0 = q_i$
- $F' = \{q_f\}$
- $\delta'(q, x, a) = \delta(q, x, a)$  for  $q \in Q \setminus \{q_a\}$  or  $x \neq \epsilon$  or  $a \neq \epsilon$ . For  $q_a$ , we have  $\delta'(q_a, \epsilon, \epsilon) = \delta(q_a, \epsilon, \epsilon) \cup \{(q_p, \epsilon)\}$ . In addition, we have  $\delta'(q_i, \epsilon, \epsilon) = \{(q_0, \$)\}$ , and  $\delta'(q_p, \epsilon, a) = \{(q_p, \epsilon)\}$  for  $a \in \Gamma$ , and  $\delta'(q_p, \epsilon, \$) = \{(q_f, \epsilon)\}$ . In all other cases  $\delta'$  is  $\emptyset$ .

# Normalizing a PDA

Only pushes or pops

There are two kinds of transitions that need fixing

- Transition of the form  $q \xrightarrow{x, a \rightarrow b} q'$ , where  $a, b \in \Gamma$ , i.e., those that push and pop in one step
  - Replace this by two steps, where you first pop  $a$  and then push  $b$ :  $q \xrightarrow{x, a \rightarrow \epsilon} q'' \xrightarrow{\epsilon, \epsilon \rightarrow b} q'$ . ( $q''$  is a new state involved in only these transitions.)
- Transition of the form  $q \xrightarrow{x, \epsilon \rightarrow \epsilon} q'$ , i.e., those that neither push nor pop
  - Replace this by two steps, where first a dummy symbol is pushed, and then in the second step the dummy symbol is popped:  $q \xrightarrow{x, \epsilon \rightarrow \partial} q'' \xrightarrow{\epsilon, \partial \rightarrow \epsilon} q'$ . ( $q''$  is a new state involved in only these transitions.)

(Formal definition skipped.)

# CFGs for Normalized PDAs

## Intuitions

- Let  $P = (Q, \Sigma, \Gamma, \delta, q_0, \{q_a\})$  be a normalized PDA.  $w \in L(P)$  iff  $\langle q_0, \epsilon \rangle \xrightarrow{w}_P \langle q_a, \epsilon \rangle$ .
- If, for every  $p, q \in Q$ , we can describe  $L_{p,q} = \{w \mid \langle p, \epsilon \rangle \xrightarrow{w}_P \langle q, \epsilon \rangle\}$  using a CFG, then we are done because  $L(P)$  is nothing but  $L_{q_0, q_a}$ .
- So CFG will have variables  $A_{p,q}$  such that  $A_{p,q} \xRightarrow{*} w$  iff  $w \in L_{p,q}$ .
- What are the rules for  $A_{p,q}$ ?



# Rules for the grammar

Consider  $w \in L_{p,q}$ , and a computation corresponding to  $\langle p, \epsilon \rangle \xrightarrow{w}_P \langle q, \epsilon \rangle$ . Since the computation starts with empty stack, the first step must be a push, and last step must be a pop, since we end with empty stack.

- **Case I:** The first symbol pushed is popped only at the end. So we have  $\langle p, \epsilon \rangle \xrightarrow{a} \langle r, A \rangle \xrightarrow{u} \langle s, A \rangle \xrightarrow{b} \langle q, \epsilon \rangle$ , with  $w = aub$ . And  $u \in L_{r,s}$ . Can be captured by rule  $A_{p,q} \rightarrow aA_{r,s}b$ .
- **Case II:** First symbol pushed is popped in the middle of computation (and then stack is empty). So we have  $\langle p, \epsilon \rangle \xrightarrow{u_1} \langle r, \epsilon \rangle \xrightarrow{u_2} \langle q, \epsilon \rangle$ . Can be captured by rule  $A_{p,q} \rightarrow A_{p,r}A_{r,q}$

# Formal Construction

Let  $P = (Q, \Sigma, \Gamma, \delta, q_0, \{q_a\})$  be a normalized PDA. Define  $G_P = (V, \Sigma, R, S)$  where

- $V = \{A_{p,q} \mid p, q \in Q\},$
- $S = A_{q_0, q_a}$
- And the rules in  $R$  are
  - For every  $p \in Q, A_{p,p} \rightarrow \epsilon$
  - For every  $p, q, r \in Q, A_{p,q} \rightarrow A_{p,r}A_{r,q}$
  - For every  $p, q, r, s \in Q, \gamma \in \Gamma, a, b \in \Sigma \cup \{\epsilon\},$  if  $(r, \gamma) \in \delta(p, a, \epsilon)$  and  $(q, \epsilon) \in \delta(r, b, \gamma)$  then  $A_{p,q} \rightarrow aA_{r,s}b$

# Correctness of Construction

## Proposition

*Let  $P$  be a normalized PDA and let  $G_P$  be the corresponding CFG. Then  $A_{p,q} \xRightarrow{*} w$  iff  $\langle p, \epsilon \rangle \xrightarrow{w}_P \langle q, \epsilon \rangle$ .*

## Proof.

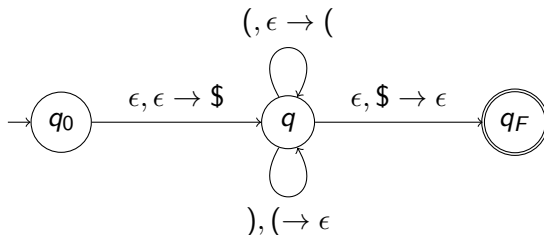
The two directions are proved as follows

$\Rightarrow$  By induction on the number of steps in the derivation.

$\Leftarrow$  By induction on the number of steps in the computation.



# Example



- $A_{q_0, q_0} \rightarrow \epsilon, A_{q, q} \rightarrow \epsilon, A_{q_F, q_F} \rightarrow \epsilon$
- $A_{q_0, q_F} \rightarrow A_{q_0, q} A_{q, q_F}, A_{q_0, q} \rightarrow A_{q_0, q} A_{q, q}, A_{q, q_F} \rightarrow A_{q, q} A_{q, q_F}, A_{q, q} \rightarrow A_{q, q} A_{q, q}$  (We can write all other rules, however, they are not important for this particular example)
- $A_{q_0, q_F} \rightarrow A_{q, q}, A_{q, q} \rightarrow (A_{q, q})$

# Tying all the Ends

## Proposition

*Let  $P$  be a PDA then  $L(P)$  is context-free.*

## Proof.

- A normalized PDA  $P_N$  can be constructed such that  $L(P) = L(P_N)$
- A grammar  $G_P$  can be constructed such that  $L(G_P) = L(P_N) = L(P)$ . This is because
  - $S = A_{q_0, q_a} \xRightarrow{*} w$  iff  $\langle q_0, \epsilon \rangle \xrightarrow{w}_{P_N} \langle q_a, \epsilon \rangle$  (by previous proposition) iff  $w \in L(P_N) = L(P)$

