## Applied Matrix Theory - Math 551

How to find bases of subspaces

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At this point we know that given any  $m \times n$  matrix A there are three subspaces associated to A. Namely, the null space of A (which is a subspace of  $\mathbf{R}^n$ ), denoted by null(A); the column space of A (which is a subspace of  $\mathbf{R}^m$ ), denoted by col(A); and the row space of A (which is a subspace of  $\mathbf{R}^n$ ), denoted by row(A).

These notes will help you to find bases for those subspaces. First, notice that what seems to be three tasks actually reduces to two. Indeed, since the rows of A are the same as the columns of  $A^T$  (the transpose of A), once you know how to find bases for column spaces, then (by transposing the matrix under consideration) you will be able to find bases for row spaces. Hence, we only need to focus on finding bases for null(A) and col(A), where A is an arbitrary matrix.

Let's do some problems.

## Two ways of finding bases for null(A)

(1) Consider the  $2 \times 4$  matrix

$$A =$$

The first way to find a basis for null(A) is immediate and it involves the Matlab command null. In this case we do

ans =

which means that the vectors 
$$v_1 = \begin{bmatrix} 0.1737 \\ -0.3902 \\ 0.8662 \\ 0.2594 \end{bmatrix}$$
 and  $v_2 = \begin{bmatrix} -0.5555 \\ 0.5168 \\ 0.1547 \\ 0.6328 \end{bmatrix}$  form a basis of

null(A). In symbols, the set  $\mathcal{B} := \{v_1, v_2\}$  is a basis for null(A). Simple! But not very insightful... Let's see another way.

By definition, we have that null(A) is the collection of all the solutions to the homogeneous system Ax = 0 (here the 0 is the zero vector in  $\mathbb{R}^m$ ). That is,

$$null(A) = \{ x \in \mathbf{R}^n : Ax = 0 \}.$$

Since we're now talking about systems, we have to do the rref. Here we go

b =

0

>> rref([A b])

ans =

and we interpret that  $x_1 = .5x_3 - x_4$ ,  $x_2 = -.75x_3 + x_4$  with  $x_3$  and  $x_4$  free variables. That is,

$$x = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \begin{bmatrix} .5x_3 - x_4 \\ -.75x_3 + x_4 \\ x_3 \\ x_4 \end{bmatrix} = x_3 \begin{bmatrix} .5 \\ -.75 \\ 1 \\ 0 \end{bmatrix} + x_4 \begin{bmatrix} -1 \\ 1 \\ 0 \\ 1 \end{bmatrix}.$$

That is, every vector x in null(A) can be expressed as a linear combination of the vectors

$$\begin{bmatrix} .5 \\ -.75 \\ 1 \\ 0 \end{bmatrix} \text{ and } \begin{bmatrix} -1 \\ 1 \\ 0 \\ 1 \end{bmatrix}. \text{ Moreover, these two vectors are linearly independent (check it!)}.$$

Therefore, the set

$$C = \left\{ \begin{bmatrix} .5 \\ -.75 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} -1 \\ 1 \\ 0 \\ 1 \end{bmatrix} \right\}$$

is also a basis for null(A) (since the vectors in  $\mathcal{C}$  generate everything in null(A) and they do it without redundancies, meaning that the vectors in  $\mathcal{C}$  are linearly independent).

Notice that the basis  $\mathcal{B}$  above has different vectors from the ones in the basis  $\mathcal{C}$ . So what? Bases are not unique, there are infinitely many bases for each subspace. We just found two for the subspace null(A).

Just for the sake of playing around, let's check that the bases  $\mathcal{B}$  and  $\mathcal{C}$  generate (by linear combinations) the same subspace.

Let's put 
$$u_1 = \begin{bmatrix} .5 \\ -.75 \\ 1 \\ 0 \end{bmatrix}$$
 and  $u_2 = \begin{bmatrix} -1 \\ 1 \\ 0 \\ 1 \end{bmatrix}$ , so that  $\mathcal{C} = \{u_1, u_2\}$ . The sets  $\mathcal{B}$  and  $\mathcal{C}$  will

generate the same subspace if all the elements of  $\mathcal{B}$  generate all the elements in  $\mathcal{C}$  and vice versa. Let's see that we can generate  $v_1$  as a linear combination of  $u_1$  and  $u_2$  (the other cases will be similar). We do,

>> v1

v1 =

0.1737

-0.3902

0.8662

0.2594

>> v2

v2 =

-0.5555

0.5168

0.1547

0.6328

>> u1

u1 =

0.5000

-0.7500

1.0000

0

>> u2

And we interpret, to get that  $v_1$  can be expressed as a linear combination of  $u_1$  and  $u_2$ . Moreover,

0 0

$$v_1 = 0.8662u_1 + 0.2594u_2$$
.

In all this discussion, the crucial point is to use the "key to success", which is the ability to smoothly go from a system and its matrix form to its vector form and vice versa.

Along the same lines you can prove that the vectors of  $\mathcal{B}$  generate all the vectors in  $\mathcal{C}$ and vice versa, as we wanted.

**Geometric interpretation**. For the matrix A as above, the subspace null(A) is a (two-dimensional) plane in  $\mathbf{R}^4$  generated by the vectors  $u_1$  and  $u_2$  (or, alternatively, by the vectors  $v_1$  and  $v_2$ , since we just saw that they generate the same subspace of  $\mathbf{R}^4$ ).

Next, another problem

## (2) Find a basis for the subspace

$$\nu = \{x = (x_1, x_2, x_3) \in \mathbf{R}^3 / 2x_1 + x_2 - x_3 = 0, -x_1 + 2x_2 + x_3 = 0\}.$$

The first thing we notice is that  $\nu$  is precisely the set of all the solutions to the system Ax = 0, where

A =

The we do

>> null(A)

ans =

- 0.5071
- -0.1690
- 0.8452

to conclude that the set  $\mathcal{B} = \{v\}$ , where  $v = \begin{bmatrix} 0.5071 \\ -0.1690 \\ 0.8452 \end{bmatrix}$ , is a basis for null(A). By using the second method, we get

>> b=[0 0],

b =

0

>> rref([A b])

ans =

After interpreting, we get that  $x_1 = .6x_3$ ,  $x_2 = -.2x_3$  and  $x_3$  is free. Therefore,

$$x = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} .6x_3 \\ -.2x_3 \\ x_3 \end{bmatrix} = x_3 \begin{bmatrix} .6 \\ -.2 \\ 1 \end{bmatrix}.$$

Hence, every vector  $x \in \nu$  can be expressed as a multiple of the vector  $u = \begin{bmatrix} .6 \\ -.2 \\ 1 \end{bmatrix}$ . In

other words, the set  $\{u\}$  is also a basis for  $\nu = null(A)$ . What is the relation between u and v? Clearly, since these are two vectors which generate the same subspace (that is, all their multiples), then each one of these vectors is a multiple of other. Indeed, we can check that

>> u

u =

```
0.6000
-0.2000
1.0000
>> v
v =
```

>> .8462\*u

-0.1690 0.8452

ans =

0.5077

-0.1692

0.8462

That is, v = .8462u.

**Geometric interpretation**. For the matrix A as above, the subspace null(A) is the (one-dimensional) line in  $\mathbb{R}^3$  that passes through the origin and is parallel to the vector u (or, alternatively, the vector v, as they generate the same line).

Finding bases for col(A). Now we move on to finding bases for col(A)

(3) Let P be the matrix

P =

And let's label the columns of P as  $u_1, \ldots, u_5$ , that is,

```
>> u1=P(:,1);
>> u2=P(:,2);
>> u3=P(:,3);
>> u4=P(:,4);
>> u5=P(:,5);
```

By definition, col(P) is the subspace formed by all the possible linear combinations of the columns  $u_1, \ldots, u_5$ . In symbols,

$$col(P) = span\{u_1, u_2, u_3, u_4, u_5\}$$

where the word "span" in this case stands for "all the possible linear combinations of".

In order to obtain a basis for col(P) we must eliminate any possible redundancy (that is, linear dependence) that there might be in the  $u_1, \ldots, u_5$ . Let's do this in an algorithmic fashion (as a computer would do it). We first take  $u_1$  which is a non-zero column vector and we keep it. Then we consider  $\{u_1, u_2\}$  and ask the question: is this set linearly independent? We can answer this question by simply doing

```
>> b=[0 0 0 0],
b =
     0
     0
     0
     0
>> rref([u1 u2 b])
ans =
                    0
      1
            0
     0
             1
                    0
     0
             0
                    0
     0
```

And we interpret that the only solution  $x = [x_1, x_2]'$  to the system (in vector form)  $x_1u_1 + x_2u_2 = b$  is the zero solution x = [0, 0]'. By definition of linear independence, this tells us that the set of vectors  $\{u_1, u_2\}$  is indeed a l.i. (linearly independent) set. So we keep both,  $u_1$  and  $u_2$ , and then add  $u_3$  to the set, and ask the question: is the set of vectors  $\{u_1, u_2, u_3\}$  l.i.? To answer the question we do

And, again, we interpret and conclude that the set  $\{u_1, u_2, u_3\}$  is indeed l.i., we keep that set and add  $u_4$ , and ask the question: is the set  $\{u_1, u_2, u_3, u_4\}$  l.i.? In order to answer we do

>> rref([u1 u2 u3 u4 b])

ans =

1	0	0	0	0
0	1	0	0	0
0	0	1	0	0
0	0	0	1	0

And interpret and conclude that the set  $\{u_1, u_2, u_3, u_4\}$  is indeed l.i., we keep  $u_1, u_2, u_3, u_4$ , and add  $u_5$ , and ask the question: is the set  $\{u_1, u_2, u_3, u_4, u_5\}$  l.i.? In order to answer that we do

>> rref([u1 u2 u3 u4 u5 b])

ans =

1.0000	0	0	0	1.9114	0
0	1.0000	0	0	-3.3173	0
0	0	1.0000	0	-1.1291	0
0	0	0	1.0000	-1.8756	0

We interpret and realize that the homogeneous system (in vector form)  $x_1u_1 + x_2u_2 + x_3u_3 + x_4u_4 + x_5u_5 = b$  is a consistent system with infinitely many solutions (one free variable). Thus, by definition, the set  $\{u_1, u_2, u_3, u_4, u_5\}$  is not linearly independent.

So, at this point we have that the set  $\{u_1, u_2, u_3, u_4\}$  is linearly independent, but the set  $\{u_1, u_2, u_3, u_4, u_5\}$  is not. This tells us that the last vector we added,  $u_5$ , can be written as a linear combination of the vectors we had. Indeed, if we do

>> rref([u1 u2 u3 u4 u5])

ans =

1.0000	0	0	0	1.9114
0	1.0000	0	0	-3.3173
0	0	1.0000	0	-1.1291
0	0	0	1.0000	-1.8756

We see that  $u_5$  can be written as a linear combination of  $u_1, u_2, u_3$ , and  $u_4$  as follows,

$$u_5 = 1.9114u_1 - 3.3173u_2 - 1.1291u_3 - 1.8756u_4.$$

That is, the vector  $u_5$  is redundant (we can generate it by using  $u_1, u_2, u_3$ , and  $u_4$ ) and we drop it.

Finally, we conclude that a basis for col(P) is given by the set  $\{u_1, u_2, u_3, u_4\}$ . That is, the set  $\{u_1, u_2, u_3, u_4\}$  is a linearly independent set that spans all of col(P), in symbols,

$$col(P) = span\{u_1, u_2, u_3, u_4\}.$$

Geometric interpretation. For the matrix P as above, we have that col(P) has dimension 4 (since we found a basis for col(P) consisting of 4 vectors). But, since the vectors  $u_1, u_2, u_3, u_4$  are vectors in  $\mathbf{R}^4$  (which has dimension 4) and they generate a subspace of dimension 4, then they must span everything in  $\mathbf{R}^4$ ! (Just as 2 linearly independent vectors in  $\mathbf{R}^2$  will generate/span all of  $\mathbf{R}^2$ , 3 linearly independent vectors in  $\mathbf{R}^3$  will generate all of  $\mathbf{R}^3$ , and so on). Hence, in this case we have that col(P) is all of  $\mathbf{R}^4$ , that is,  $col(P) = \mathbf{R}^4$ .

Let's do another one

(4) Find a basis for col(Q) where

$$Q =$$

The first step is to label the columns. We do

```
>> u1=Q(:,1);
>> u2=Q(:,2);
>> u3=Q(:,3);
>> u4=Q(:,4);
>> u5=Q(:,5);
>> u6=Q(:,6);
```

And start running the machine. Since  $u_1$  is a non-zero vector, we keep it and add  $u_2$ . Is the set  $\{u_1, u_2\}$ ? In order to answer this, we do

$$>> b=[0 \ 0 \ 0]$$

b =

0

0

>> rref([u1 u2 b])

ans =

1 0 0 0 1 0 0 0 0

And interpret that the set  $\{u_1, u_2\}$  is indeed l.i. (no redundancies there). Now we add  $u_3$  and do

>> rref([u1 u2 u3 b])

ans =

And interpret that the set  $\{u_1,u_2,u_3\}$  is indeed l.i. Now we add  $u_4$  and do

>> rref([u1 u2 u3 u4 b])

ans =

And interpret that  $u_4$  is a linear combination of  $u_1, u_2$ , and  $u_3$ , so it's redundant and we drop it. Let's now grab  $u_5$  and ask the question: is the set  $\{u_1, u_2, u_3, u_5\}$  l.i.? To answer this we do

>> rref([u1 u2 u3 u5 b])

ans =

And interpret that  $u_5$  is a linear combination of  $u_1, u_2$ , and  $u_3$ , so it's redundant and we drop it. Let's finally grab  $u_6$  and ask the question: is the set  $\{u_1, u_2, u_3, u_6\}$  l.i.? To answer this we do

ans =

And interpret that  $u_6$  is a linear combination of  $u_1, u_2$ , and  $u_3$ , so it's redundant and we drop it.

Therefore, the set  $\{u_1, u_2, u_3\}$  is a basis for col(Q). That set generates (by linear combinations) every single column in Q and it does it without redundancies (that is, it's a l.i. set).

**Geometric interpretation**. For the matrix Q as above we have that  $col(Q) = \mathbb{R}^3$ . Since three linearly independent vectors in  $\mathbb{R}^3$  will span all of  $\mathbb{R}^3$ .

Now, let's do one for row(A)

(5) Find a basis for row(A) where

A =

As we said,  $row(A) = col(A^T)$ , where  $A^T$  is the transpose of A, let's call it P. That is,

P =

That is, row(A) = col(P). But we already know how to find a basis for col(P)! And that basis will be a basis for row(A) as well.