

# Quantification of Restitution Dispersion From the Dynamic Changes of the $T$ -Wave Peak to End, Measured at the Surface ECG

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**Abstract**—Action potential duration restitution (APDR) curves present spatial variations due to the electrophysiological heterogeneities present in the heart. Enhanced spatial APDR dispersion in ventricle has been suggested as an arrhythmic risk marker. In this study, we propose a method to noninvasively quantify dispersion of APDR slopes at tissue level by making only use of the surface electrocardiogram (ECG). The proposed estimate accounts for rate normalized differences in the steady-state  $T$ -wave peak to  $T$ -wave end interval ( $T_{pe}$ ). A methodology is developed for its computation, which includes compensation for the  $T_{pe}$  memory lag after heart-rate (HR) changes. The capability of the proposed estimate to reflect APDR dispersion is assessed using a combination of ECG signal processing, and computational modeling and simulation. Specifically, ECG recordings of control subjects undergoing a tilt test trial are used to measure that estimate, while its capability to provide a quantification of APDR dispersion at tissue level is assessed by using a 2-D ventricular tissue simulation. From this simulation, APDR dispersion, denoted as  $\Delta\alpha^{SIM}$ , is calculated, and pseudo-ECGs are derived. Estimates of APDR dispersion measured from the pseudo-ECGs show to correlate with  $\Delta\alpha^{SIM}$ , being the mean relative error below 5%. A comparison of the ECG estimates obtained from tilt test recordings and the

$\Delta\alpha^{SIM}$  values measured *in silico* simulations at tissue level show that differences between them are below 20%, which is within physiological variability limits. Our results provide evidence that the proposed estimate is a noninvasive measurement of APDR dispersion in ventricle. Additional results from this study confirm that  $T_{pe}$  adapts to HR changes much faster than the  $QT$  interval.

**Index Terms**—Rate adaptation, repolarization dispersion, restitution dispersion,  $T$ -wave peak to  $T$ -wave end.

## I. INTRODUCTION

HEART-RATE (HR) dependence of action potential duration (APD), also called restitution kinetics, is thought to be critical in activation instability and, therefore, provides relevant information for ventricular arrhythmic risk stratification [1], [2]. The dynamic APD restitution (APDR) curve, measured using the so-called dynamic restitution protocol, quantifies the relationship between the APD and the  $RR$  interval (inverse of HR) at steady-state when pacing at different  $RR$  values [3], [4]. Despite the large number of studies in the literature on the role of steep APDR curves in the development of ventricular arrhythmias [4], [5], it is unlikely that the conditions of constant rapid pacing used to experimentally characterize that relationship apply to the clinical situation. Heterogeneities in the ventricle lead to non uniform restitution properties, which makes APDR curves present spatial variations [6]. Dispersion is a measure of that spatial variation. Recent studies have suggested that dispersion in the APDR curves may act as a potent arrhythmogenic substrate [7], [8], and increments in that dispersion have been associated with greater propensity to suffer from ventricular tachycardia/fibrillation [9].

The main limitation on the usability of APDR dispersion as a risk index is that its quantification requires invasive procedures [10]. In this study we propose a method to indirectly estimate dispersion of restitution slopes by making only use of the surface electrocardiogram (ECG). We propose an ECG measure that quantifies dispersion in the dynamic APDR slopes by characterizing the relationship between the distance from  $T$ -wave peak to  $T$ -wave end ( $T_{pe}$ ) and the  $RR$  interval under different stationary conditions.

$T_{pe}$  interval is generally accepted to reflect differences in the time for completion of repolarization at different regions in the ventricle. Some studies have proposed that  $T_{pe}$  is an index of transmural dispersion of repolarization [11], while others have claimed that  $T_{pe}$  does not correlate only with transmural

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dispersion of repolarization but it also includes other heterogeneities, such as apicobasal ones [12], [13].

Each value of the APDR curve represents a stationary state corresponding to a specific HR value, and, therefore, the ECG measurement proposed to estimate restitution dispersion should in principle be computed using ECG segments of stable HR regimes. Since those type of segments are difficult to get in clinical practice, we propose a methodology that overcomes that restriction by modeling the dependence of the  $T_{pe}$  interval on a history of previous  $RR$  intervals and compensating for the  $T_{pe}$  memory lag. This model has been previously used to characterize rate adaptation of the  $QT$  interval [14], which has been known to adapt, similarly as does the APD, in two phases: a fast initial one and a subsequent slow accommodation [3], [15], [16]. Previous studies characterizing  $T_{pe}$  rate dependence are controversial, with  $T_{pe}$  shown to be independent of HR by some authors [17] and markedly HR dependent by others [18]. In this study we characterize  $T_{pe}$  rate adaptation, and compare it with  $QT$  rate adaptation.

Our proposed ECG-based estimate of APDR slope dispersion, is evaluated on a database of ECG recordings from healthy subjects undergoing a tilt test trial. In this trial, step-like HR changes are generated, which are used in this study to measure dynamic changes of the  $T_{pe}$ , and compute the proposed estimate.

The capability of the proposed ECG measurement to provide estimates of APDR slope dispersion at tissue level has been assessed by simulating electrical propagation in a 2-D tissue representing a slice across the human left ventricular wall, and computing pseudo-ECGs. An electrophysiologically detailed human ventricular cell model [19] is used to generate action potentials. Pacings at different  $RR$  intervals are simulated to compute dynamic APDR curves, and eventually APDR slope dispersion.

A comparison of the proposed ECG estimate evaluated from the simulated pseudo-ECGs and from the tilt test ECG recordings shows that simulated data is in good agreement with clinical/experimental data. Additionally, using the 2-D simulated data we confirm that the proposed ECG estimate is a measure of APDR slope dispersion at tissue level.

The manuscript is outlined as follows. Section II presents the database and the method used to estimate APDR slope dispersion from the surface ECG and describes the 2-D tissue modeling and simulation. Section III contains the results that show the capability of the proposed ECG measurement to provide estimates of the APDR slope dispersion. Sections IV and V present the discussion and the main limitations of the study. Section VI summarizes the conclusions.

## II. MATERIALS AND METHODS

This section mainly includes the quantification of APDR dispersion from ECG-based estimates; and the introduction of a 2-D modeling and simulation to assess the proposed estimates. Section II-A introduces the data and ECG signal processing delineation procedures. In Section II-B, the relationship between ventricular APDR slope dispersion, denoted by  $\Delta\alpha$ , and its surface ECG estimate, denoted by  $\widehat{\Delta\alpha}^{ECG_s}$ , is presented for

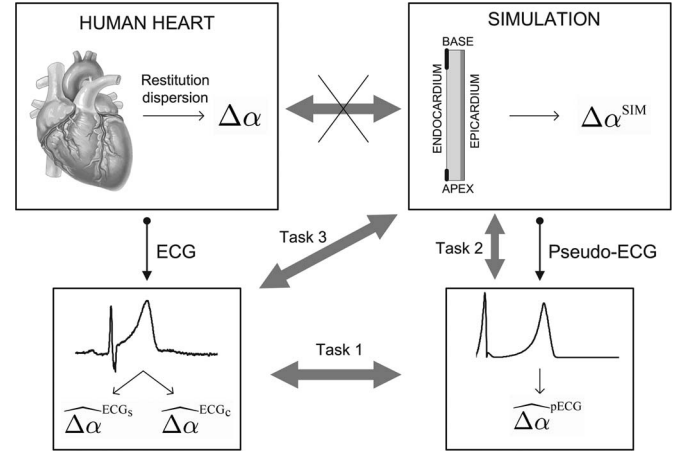


Fig. 1. Outline of the methods used in this study. Crossed arrow shows a desirable but inaccessible connection. Tasks 1, 2, and 3 represent the different comparison tasks to be done in Section III (see Section III-A–D for details).

the case of stable  $RR$  segments (see Fig. 1, left). Hereinafter, “the hat” ( $\widehat{\phantom{x}}$ ) refers to estimates from the ECG. The difficulty of getting stable  $RR$  segments made us propose a methodology to compensate for the  $T_{pe}$  memory lag after HR changes (see Section II-C), and use it in the derivation of the ECG estimate for APDR slope dispersion for the case of unstable  $RR$  segments, denoted by  $\widehat{\Delta\alpha}^{ECG_c}$ . In Section II-D, the 2-D ventricular tissue model used to evaluate the extent to which ECG estimates reflect the underlying restitution dispersion is described. Simulated APDR dispersion from the 2-D model, denoted by  $\Delta\alpha^{SIM}$ , and its corresponding estimate measured from the pseudo-ECG,  $\widehat{\Delta\alpha}^{pECG}$ , are computed (see Fig. 1, right).

### A. Population and ECG Delineation

Fifteen volunteers (11 males, 4 females) from 25 to 33 years old, without any previous history of cardiovascular disease, have undergone a head-up tilt test trial according to the following protocol: 4 min in the supine position, 5 min in the standing position tilted head-up to an angle of  $70^\circ$ , and 4 min back to the supine position. The protocol generates two step-like  $RR$  changes with stabilized  $RR$  intervals after each of them. 12-lead ECGs are recorded during the whole test at a sampling frequency of 1000 Hz.

ECG delineation is performed using a wavelet-based delineator [20]. In each subject, the lead with the highest SNR, estimated as the maximum  $T$ -wave amplitude over the rms value of the high-frequency noise (above 25 Hz) of the interval between the ST segment to the end of the  $P$ -wave, is selected. In our database, leads V2, V3, or V4 have always been the leads with highest SNR.  $RR$ ,  $QT$ , and  $T_{pe}$  intervals are computed from the ECG delineation marks in the selected lead, after visually examining and removing the erroneous delineation marks.

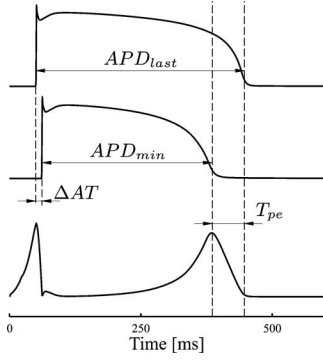


Fig. 2. Representation of the  $T_{pe}$  interval in terms of APDs and delay of activation times ( $\Delta AT$ ).

### B. Quantification of Restitution Dispersion Using Stable RR Segments of the Surface ECG

We propose a method to indirectly compute dispersion in dynamic APDR slopes within the ventricle, by making only use of the surface ECG (see Fig. 1, bottom-left).

$T_{pe}$  interval reflects differences in the time for completion of repolarization by different cells spanning the ventricular wall. Therefore, and based on [8] and [11], the  $T_{pe}$  interval can be expressed in terms of APDs as follows:

$$T_{pe} = APD_{last} - APD_{min} - \Delta AT \quad (1)$$

where  $APD_{min}$  corresponds to the cell with the minimum APD among those which are currently repolarizing at the T-wave peak instant (time instant when the maximum repolarization gradient sum occurs) and  $APD_{last}$  is the APD of the last cell to repolarize.  $\Delta AT$  represents the activation time delay between both cells with  $APD_{min}$  and  $APD_{last}$ , as shown in Fig. 2. Note that in this work  $\Delta$  is considered as a difference operator, which is applied in this case to activation times at two spatial sites. This  $\Delta AT$  delay hardly changes with  $RR$  for  $RR$  intervals above 600 ms [19], [21]. Therefore, changes in the  $T_{pe}$  under variations of the  $RR$  interval can be obtained as

$$\frac{\partial T_{pe}}{\partial RR} = \frac{\partial APD_{last}}{\partial RR} - \frac{\partial APD_{min}}{\partial RR} \quad (2)$$

where  $\partial \Delta AT / \partial RR$  has been neglected, under the premise that  $RR$  intervals above 600 ms are considered.

If we restrict (2) to the dynamic protocol, where each value of the APDR curve represents a steady-state APD value (see Fig. 3), and the regions with  $APD_{min}$  and  $APD_{last}$  remain fixed when varying  $RR$ , then

$$\frac{\partial T_{pe}^{dyn}}{\partial RR} = \frac{\partial APD_{last}^{dyn}}{\partial RR} - \frac{\partial APD_{min}^{dyn}}{\partial RR} \quad (3)$$

where  $T_{pe}^{dyn}$  and  $APD^{dyn}$  refer to the steady values of  $T_{pe}$  and APD for each  $RR$  interval. Hereinafter, the superindex “dyn” refers to the dynamic protocol. In case of having only pairs of steady-state values,  $[RR, T_{pe}^{dyn}]$ , the derivatives in (3) may be approximated by increments  $\Delta$

$$\frac{\partial T_{pe}^{dyn}}{\partial RR} \approx \frac{\Delta T_{pe}^{dyn}}{\Delta RR} \quad (4)$$

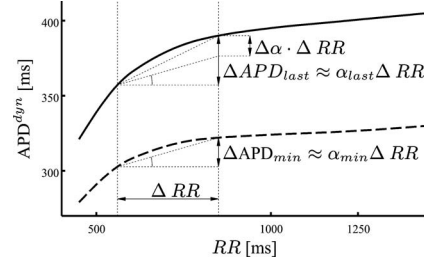


Fig. 3. Dynamic restitution curves (APDR) for two regions corresponding to  $APD_{min}$  (dashed line) and  $APD_{last}$  (solid line). Slopes  $\alpha_{min}$  and  $\alpha_{last}$  are estimated for a change in the  $RR$  interval.

where  $\Delta T_{pe}^{dyn}$  and  $\Delta RR$  represent the variations in  $T_{pe}$  and  $RR$ , respectively, between two stable ECG segments at different  $RR$  intervals.

If we let  $\alpha_{last}$  and  $\alpha_{min}$  denote the slopes of the dynamic restitution curves at the regions corresponding to  $APD_{last}$  and  $APD_{min}$ , respectively:

$$\alpha_i = \frac{\partial APD_i^{dyn}}{\partial RR} \quad \text{where } i = \{last, min\} \quad (5)$$

the spatial difference  $\Delta \alpha = (\alpha_{last} - \alpha_{min})$  (see Fig. 3), which measures dispersion of restitution slopes, can be estimated from the ECG by introducing (5) into (3) and (4), resulting in

$$\widehat{\Delta \alpha}^{ECG_s} = \frac{\Delta T_{pe}^{dyn}}{\Delta RR} \quad (6)$$

where the superindex “ECG<sub>s</sub>” indicates that quantification of restitution dispersion is done by using stable ECG segments, as required in the dynamic protocol, at two different  $RR$  intervals.  $\Delta$  at left-hand side of (6) refers to a difference of restitution slopes occurring at two regions, while both  $\Delta$  at right-hand side refer to beat interval differences associated with two  $RR$  levels.

Note that in cases of, e.g., ventricular wedges, where  $T_{pe}$  includes only transmural heterogeneities,  $APD_{last}$  and  $APD_{min}$  would correspond to APDs at the midmyocardium and epicardium, respectively, and therefore,  $\widehat{\Delta \alpha}^{ECG_s}$  would represent an estimation of transmural dispersion of restitution slopes.

### C. Quantification of Restitution Dispersion Using Unstable RR Segments of the Surface ECG

Stable  $RR$  segments, needed to measure the rate related increment  $\Delta T_{pe}^{dyn}$  in (6), are difficult to get in the clinical practice. In order to overcome this limitation, we propose a methodology to compensate for the  $T_{pe}$  memory lag after  $RR$  changes.

The model shown in Fig. 4, previously proposed to quantify QT rate adaptation [14], is used to characterize the  $T_{pe}$  dependence on  $RR$ . The input  $x_{RR}(n)$  and output  $y_{T_{pe}}(n)$  denote the  $RR$  and  $T_{pe}$  series of each recording after interpolation and resampling to a sampling frequency of  $f_s = 1$  Hz.

Impulse response  $\mathbf{h} = [h(1), \dots, h(N)]^T$  includes information about the memory of the system, that is, a characterization of the influence of a history of previous  $RR$  intervals on each  $T_{pe}$  measurement. Therefore,  $z_{RR}(n)$  represents a surrogate of  $x_{RR}(n)$  with the memory effect of  $T_{pe}$  compensated for. The



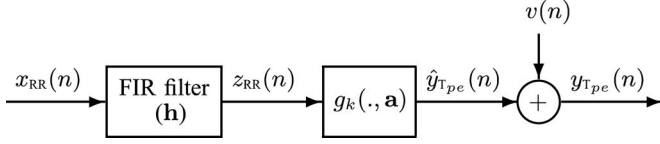


Fig. 4. Block diagram describing the  $[RR, T_{pe}]$  relationship consisting of a time invariant FIR filter (impulse response  $\mathbf{h}$ ) and a nonlinear function  $g_k(\cdot, \mathbf{a})$  described by the parameter vector  $\mathbf{a}$ .  $v(n)$  accounts for the output error.

length  $N$  of vector  $\mathbf{h}$  was set to 150 samples that correspond to 150 s, which widely exceeds the  $T_{pe}$  memory lag for the data population used in this study. The function  $g_k(\cdot, \mathbf{a})$ , dependent on the parameter vector  $\mathbf{a} = [a(0), a(1)]^T$ , represents the relationship between the  $RR$  interval and the  $T_{pe}$  interval once the memory effect has been compensated for (i.e. under stationary conditions). Ten different biparametric regression models that span from a linear to a hyperbolic relationship, as described in [14], are considered for  $g_k(\cdot, \mathbf{a})$ , and the one that best fits the data of each subject is identified.

The estimated output  $\hat{y}_{T_{pe}}(n)$  is defined as

$$\hat{y}_{T_{pe}}(n) = g_k(z_{RR}(n), \mathbf{a}) \quad (7)$$

where, in vector notation,  $\mathbf{z}_{RR}$ , is the convolution between the input vector  $\mathbf{x}_{RR}$  and the impulse response ( $\mathbf{z}_{RR} = \mathbf{x}_{RR} * \mathbf{h}$ ).

The optimum values of the FIR filter response  $\mathbf{h}$ , vector  $\mathbf{a}$ , and function  $g_k$  are searched for, by minimizing the difference between the estimated output  $\hat{y}_{T_{pe}}(n)$  (see (7)) and the  $T_{pe}$  interval series  $y_{T_{pe}}(n)$ , for each subject independently using the whole recording. The estimator used for the optimization is a regularized least-square estimator

$$\{\mathbf{h}^*, \mathbf{a}^*, k^*\} = \arg \min_{\{\mathbf{h}, \mathbf{a}, k\}} \left( \|\mathbf{y}_{T_{pe}} - \hat{\mathbf{y}}_{T_{pe}}\|^2 + \beta^2 \|\mathbf{D}\mathbf{h}\|^2 \right) \quad (8)$$

where  $\mathbf{D}$  is a regularization matrix that penalizes the fact that  $\mathbf{h}$  deviates from having an exponential decay [16], and  $\beta$  is the regularization parameter whose value is obtained by using the “L-curve” criterion [22]. In the cost function,  $\mathbf{y}_{T_{pe}}$  and  $\hat{\mathbf{y}}_{T_{pe}}$  are the signals expressed in vector notation. With the computed value for  $\beta$ , the optimum values,  $\mathbf{h}^*$  and  $\mathbf{a}^*$  in (8), are determined by using a “quasi-Newton” optimization technique described in [23], subject to two constraints: the sum of the  $\mathbf{h}$  components is 1 ( $\sum_{i=1}^N h(i) = 1$ ), to ensure normalized filter gain, and all the components of  $\mathbf{h}$  are nonnegative ( $h(i) \geq 0$ ), to give a physiological plausible interpretation. Regarding  $k^*$  in (8), in order to account for the inter-subject variability in the  $[RR, T_{pe}]$  relationship, the regression function  $g_k(\cdot, \mathbf{a})$  is determined as the one that minimizes the mean square error for each subject independently.

After  $\mathbf{h}$  and  $g_k(\cdot, \mathbf{a})$  have been optimized, we can make use of  $z_{RR}(n)$  as a surrogate of the running  $RR$  series that would generate a truly stationary period in the running repolarization interval  $T_{pe}$ . Then, the  $i$  th pair  $[z_{RR}(i), T_{pe}(i)]$  represents the surrogate for the  $RR$  interval and the  $T_{pe}$  interval measured in an stable ECG segment. Therefore, the estimate of restitution dispersion derived in (6) can be replaced with the following

equation, obtained by differentiating (7) with respect to  $z_{RR}$

$$\widehat{\Delta\alpha}^{\text{ECG}_c} = \left. \frac{\partial T_{pe}}{\partial z_{RR}} \right|_{z_{RR}=\bar{z}_{RR}} = \left. \frac{\partial g_k(z_{RR}, \mathbf{a})}{\partial z_{RR}} \right|_{z_{RR}=\bar{z}_{RR}}. \quad (9)$$

The above expression has the advantage of avoiding the need for stationary ECG segments. The superindex “ECG<sub>c</sub>” indicates that the quantification of restitution dispersion from the ECG is done by compensating for the  $T_{pe}$  memory lag using the model described in Fig. 4. This estimate is a robust alternative to  $\widehat{\Delta\alpha}^{\text{ECG}_s}$  (see Fig. 1, bottom-left). In (9), the derivative is evaluated at the mean  $z_{RR}$  value,  $\bar{z}_{RR}$ , of the complete recording.

Additionally,  $T_{pe}$  rate dependence is characterized using the model of Fig. 4. The time required for  $T_{pe}$  to complete 90% of its rate adaptation, denoted by  $t_{90}$ , is computed by setting a threshold of 0.1 to the cumulative sum of the filter impulse response,  $c(n)$

$$c(n) = \sum_{i=n}^N h(i), \quad \text{leading to} \quad t_{90} = \frac{1}{f_s} \arg \max_n (c(n) > 0.1). \quad (10)$$

An analogous procedure is used to calculate  $t_{70}$ ,  $t_{50}$  and  $t_{25}$  by replacing the threshold 0.1 in (10), with 0.3, 0.5, and 0.75, respectively. The adaptation rate is quantified as  $r(n) = [1 - c(n)] \cdot 100$ , which represents the percentage of the total  $T_{pe}$  adaptation reached at time instant  $n$ .

#### D. Computational Modeling and Simulation

Computational modeling and simulation is used in this study to assess how the proposed estimates evaluated from the ECG,  $\widehat{\Delta\alpha}^{\text{ECG}_s}$  and  $\widehat{\Delta\alpha}^{\text{ECG}_c}$ , represent dispersion of the APDR slopes at tissue level (see Fig. 1, right).

Propagation of the electrical activity in a left ventricular 2-D tissue slice is simulated using the human ten Tusscher action potential model [19], with numerical integration performed as described in [24]. The ten Tusscher model [19] describes the principal ionic currents through the cardiac cell membrane with high degree of electrophysiological detail for the three types of cells in the ventricular wall: endocardial, midmyocardial and epicardial cells. The 2-D tissue slice used in this study is 7.5 cm long by 1 cm wide, representing the base to apex and the endocardial to epicardial distances, respectively, as shown in Fig. 5. Conductivity of the tissue along the fiber direction is set to  $\sigma_L = 0.0013$  mS with a membrane capacitance of  $1 \mu\text{F}/\text{cm}^2$ , obtaining a maximum conduction velocity of 71 cm/s. Perpendicular to the fiber direction, the conductivity is 60% lower,  $\sigma_T = 0.00052$  mS, resulting in a conduction velocity of 42 cm/s, which is comparable to the average velocity of 44 cm/s recorded *in vivo* and across the arterially perfused transmural wedge preparation [25]. A transmural linear variation of the helix fiber angle from  $+60^\circ$  at the endocardium to  $-60^\circ$  at the epicardium is assumed based on [26].

As illustrated in Fig. 5, two areas in the subendocardium are stimulated simultaneously: 1 cm at the top of the base and 0.5 cm at the bottom of the apex, based on the activation

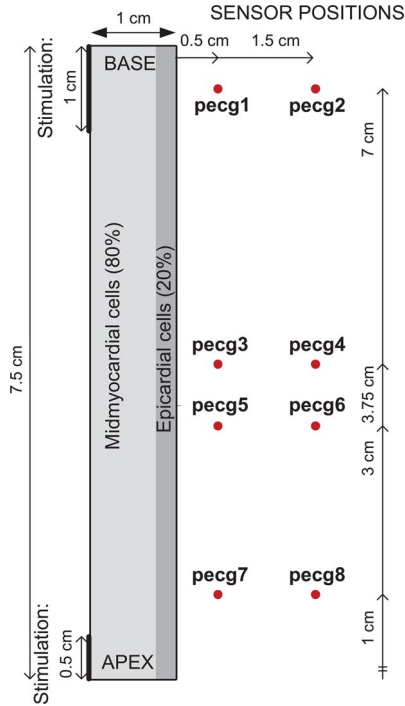


Fig. 5. Two-dimensional tissue slice used in the simulation, with indication of the default cell type distribution across the ventricular wall, and sensor positions used for pseudo-ECG computation.

sequence reported for an isolated human heart in [27]. Transmural heterogeneities are included in the 2-D tissue preparation by using two cell types: midmyocardial and epicardial cells. In order to match the complete activation sequence of [27] and to account for the influence of Purkinje fibers, endocardial cells in the simulated preparation are replaced with midmyocardial cells, known to have longer APDs. This is justified by the fact that Purkinje cells have longer APDs than midmyocardial cells and much longer than endocardial cells. The coupling between Purkinje and endocardium makes endocardial cells enlarge their action potentials [25], leading to APDs values similar to those simulated in our preparation. The APD in the different regions across the 2-D tissue slice are in agreement with the range reported in [28], where left ventricular wedge preparations from nonfailing human hearts were optically mapped.

The distribution of cell types in the simulated tissue are 80% of midmyocardial cells and 20% of epicardial cells [29]. To represent possible heterogeneities in human hearts and measure a range of plausible restitution dispersion values, the effect of varying the percentages of cell types within the ventricular wall is evaluated by considering additional distributions of 65%/35% and 90%/10% of midmyocardial/epicardial cells. For each cell type distribution, APDR curves are computed by pacing the 2-D tissue preparation at different  $RR$  intervals, following the so-called dynamic restitution protocol [4]. Dispersion of APDR slopes at tissue level is denoted by  $\Delta\alpha^{\text{SIM}}$  and is computed from the results of the 2-D simulation as follows:

$$\Delta\alpha^{\text{SIM}} = \frac{\partial \text{APD}_{\text{last}}^{\text{dyn}}}{\partial RR} - \frac{\partial \text{APD}_{\text{min}}^{\text{dyn}}}{\partial RR} \quad (11)$$

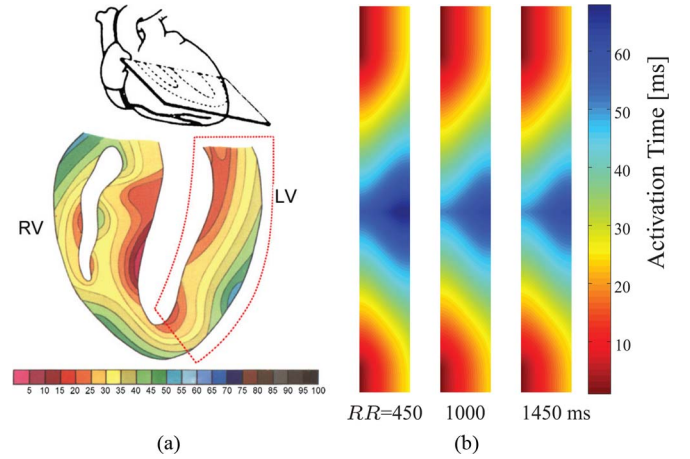


Fig. 6. Isochronic representation (in milliseconds) of ventricular activation: (a) experiment results reproduced from [27]; (b) 2-D tissue simulations when pacing at  $RR$  intervals of 450, 1000, and 1450 ms.

where  $\text{APD}_{\text{min}}^{\text{dyn}}$  and  $\text{APD}_{\text{last}}^{\text{dyn}}$  are defined as described in Section II-B. Estimations of  $\Delta\alpha^{\text{SIM}}$  are computed from pseudo-ECGs using (6). The pseudo-ECGs, each one measuring the extracellular potential at one of the sensor positions shown in Fig. 5 (Fig. 1, bottom-right), are computed as in [30]. The corresponding estimations are:

$$\widehat{\Delta\alpha}^{\text{pECG}} = \frac{\partial T_{pe}^{\text{dyn}}}{\partial RR} \quad (12)$$

where  $T_{pe}^{\text{dyn}}$  represents the  $T_{pe}$  interval measured from one of the pseudo-ECGs using the dynamic protocol.

### III. RESULTS

This section presents the different comparison tasks shown in Fig. 1. In Section III-A, the 2-D ventricular model is evaluated, with APDR estimates measured from pseudo-ECGs checked to be within the physiological range measured from ECG recordings (see task 1 in Fig. 1). In Section III-B, the capability of the proposed estimate measured from the pseudo-ECG ( $\widehat{\Delta\alpha}^{\text{pECG}}$ ) to quantify APDR dispersion at tissue level is assessed (see task 2 in Fig. 1). In Section III-C, ECG estimates evaluated in tilt test recordings and APDR dispersion  $\Delta\alpha^{\text{SIM}}$  are compared (see task 3 in Fig. 1). Additionally, Section III-D provides a characterization of  $T_{pe}$  rate adaptation.

#### A. Evaluation of the 2-D Simulations: Comparison Between Pseudo-ECGs and Clinical ECGs

The human ventricular model used in this study has been shown to reproduce experimentally observed data on APD restitution in single cells from the endo, epi, and midmyocardial regions of the ventricle [31]. Also, conduction velocity restitution measured in a 1-D cable of cells has been validated using experimental data [31].

The 2-D tissue preparation built in this study yields an activation sequence that is in good agreement with the experimental results reported in [27], as illustrated in Fig. 6. Simulated

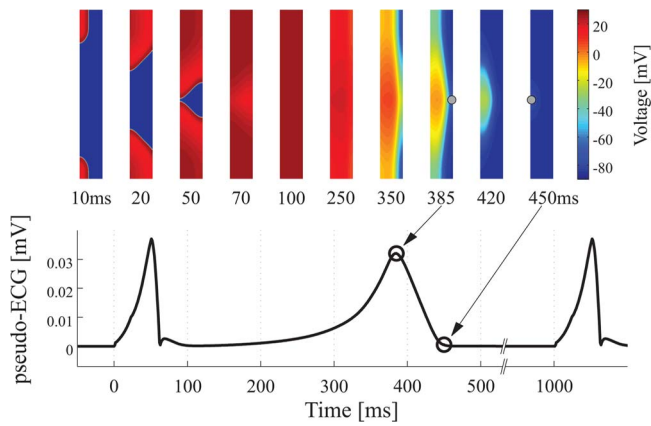


Fig. 7. (Top panel) Simulated sequence of isochronic voltage representation during steady-state pacing at 1000 ms. The position of the two cells corresponding to  $APD_{\min}$  for the peak of the  $T$ -wave and  $APD_{\text{last}}$  for the end of the  $T$ -wave, are shown with a gray point. (Bottom panel) Derived pseudo-ECG from **pecg3**.

activation sequences are shown for three different pacing  $RR$  intervals, 450, 1000, and 1450 ms, leading to the observation that activation times have similar patterns in the three cases. Fig. 7 shows a simulated sequence of isochronic voltage representation during steady-state pacing at 1000 ms, with indication of the timing corresponding to the  $T$ -wave peak and  $T$ -wave end in the pseudo-ECG from **pecg3**, and of the regions where  $APD_{\min}$  and  $APD_{\text{last}}$  are computed. Since our 2-D preparation includes only transmural heterogeneities, the time instant corresponding to the peak of the  $T$ -wave coincides with the time at which complete repolarization of the epicardium occurs, whereas  $T$ -wave end coincides with the total repolarization of the tissue. The effect of varying the cell type distribution across the ventricular wall on the isochronic voltage representation at the  $T$ -wave peak instant is shown in Fig. 8, for pacing  $RR$  intervals of 450, 1000, and 1450 ms. In all isochronic voltage representations, for different pacing rates and cell type distributions, the epicardium is completely repolarized at the  $T$ -wave peak instant.

Note that in the simulations shown in Fig. 8,  $APD_{\min}$  remains fixed at different  $RR$  levels as needed to apply (3).

An indirect validation of the simulated restitution properties in the 2-D tissue is performed by first comparing steady-state  $T_{pe}^{\text{dyn}}$  values computed at different  $RR$  intervals in tilt test ECGs and in simulated pseudo-ECGs. Fig. 9 shows three regions corresponding to simulations using cell type distributions of 65%/35%, 80%/20% and 90%/10%. Each region represents the range of steady-state  $[RR, T_{pe}^{\text{dyn}}]$  curves computed for pseudo-ECGs at eight different sensor positions. The steady-state  $[z_{RR}, T_{pe}]$  curves obtained from the tilt test recordings are superimposed in the same graphic. Note that when the percentages of epi- and midmyocardial cells are more similar (65%/35%), the difference between  $APD_{\min}$  and  $APD_{\text{last}}$  is higher and, therefore, the  $T_{pe}$  interval is longer than the ones for 80%/20% and 90%/10% cell type distributions. Simulated values of  $T_{pe}$  at different  $RR$  intervals for 65%/35% and 80%/20% (default) cell type distributions are found to be within the range

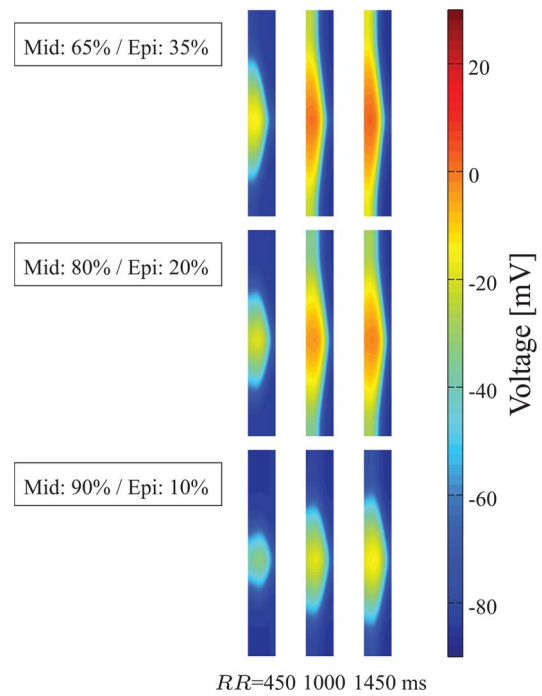


Fig. 8. Isochronic voltage representation at  $T$ -wave peak time instant using three different cell type distributions (mid/epi) and pacing  $RR$  intervals of 450, 1000, and 1450 ms.

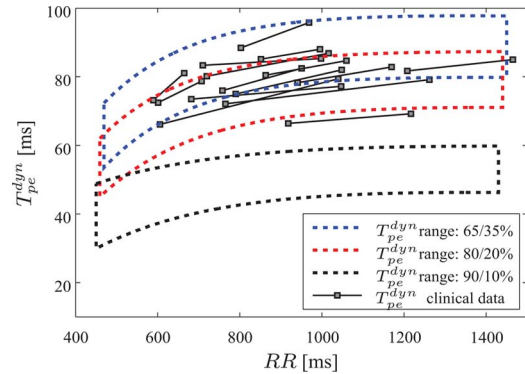


Fig. 9. Steady-state  $T_{pe}^{\text{dyn}}$  as a function of  $RR$  from tilt test recordings (in squares) and from simulations. For the simulations, the regions correspond to cell type distributions of 65%/35%, 80%/20%, and 90%/10%, and each region represents the influence of computing steady-state  $[RR, T_{pe}^{\text{dyn}}]$  curves for pseudo-ECGs at different sensor positions.

of values measured from the tilt test recordings. However, simulated  $T_{pe}$  values for the 90%/10% percentage are outside the range of the tilt test recordings.

After confirming the good agreement in the repolarization  $[RR, T_{pe}^{\text{dyn}}]$  values between pseudo-ECGs and clinical ECGs, the restitution dispersion estimates are also compared. Fig. 10 shows a comparison of pseudo-ECG-based estimates of APDR dispersion,  $\widehat{\Delta\alpha}^{\text{pECG}}$ , in (12), at sensor positions **pecg3** and **pecg5**, and ECG-based estimates,  $\widehat{\Delta\alpha}^{\text{ECG}_c}$ , in (9), obtained from the tilt test recordings. Both the average difference between  $\widehat{\Delta\alpha}^{\text{pECG}}$  (computed in **pecg3** and **pecg5**) and  $\widehat{\Delta\alpha}^{\text{ECG}_c}$ , and the average percentage of the difference are shown in

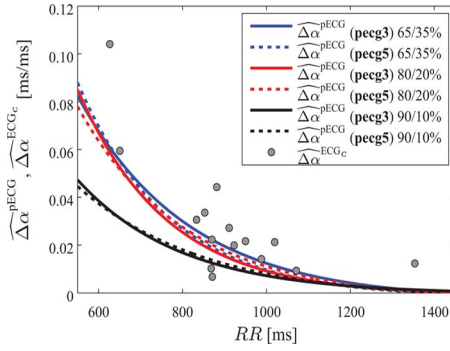


Fig. 10. APDR slope dispersion estimates from the tilt test recordings ( $\widehat{\Delta\alpha}^{ECG_c}$ ) and from the pseudo-ECGs ( $\widehat{\Delta\alpha}^{pECG}$ ) derived from two sensor positions (**pecg3** and **pecg5**), and three cell type distributions (mid/epi: 65%/35%, 80%/20%, and 90%/10%).

TABLE I

AVERAGE VALUE ACROSS SUBJECTS OF THE DIFFERENCE BETWEEN THE ESTIMATES MEASURED FROM THE SIMULATED PSEUDO-ECGS IN **PECG3** AND **PECG5** ( $\widehat{\Delta\alpha}^{pECG}$ ), AND FROM THE TILT TEST RECORDINGS  $\widehat{\Delta\alpha}^{ECG_c}$  DIFFERENT PERCENTAGES OF CELL TYPES HAVE BEEN USED TO DERIVE THE PSEUDO-ECGS

Simulated - Measured	Cell Percentage	Average (%) [ms / ms]
$\widehat{\Delta\alpha}^{pECG}(\text{pecg3}) - \widehat{\Delta\alpha}^{ECG_c}$	(65/35%)	-0.0066 (-2%)
	(80/20%)	-0.0100 (-21%)
$\widehat{\Delta\alpha}^{pECG}(\text{pecg5}) - \widehat{\Delta\alpha}^{ECG_c}$	(65/35%)	-0.0090 (-17%)
	(80/20%)	-0.0085 (-11%)

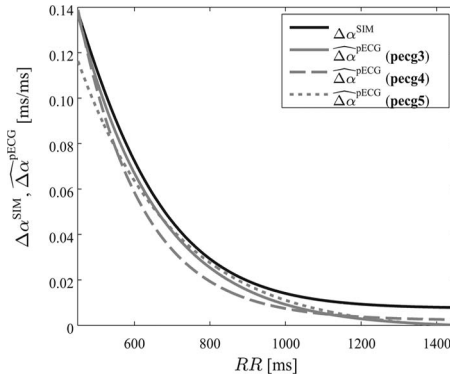


Fig. 11. APDR slope dispersion,  $\Delta\alpha^{SIM}$ , for the cell type distribution 80%/20%, and the proposed estimate measured from the pseudo-ECG in **pecg3**, **pecg4**, and **pecg5**.

Table I. Differences are below 20% in mean, which are within physiological variability limits.

### B. Assessment of APDR Dispersion Quantified From the Pseudo-ECG

APDR slope dispersion at tissue level, denoted by  $\Delta\alpha^{SIM}$ , in (11), has been computed for each of the three cell type distributions.  $\Delta\alpha^{SIM}$  is used to assess whether  $\widehat{\Delta\alpha}^{pECG}$ , computed from pseudo-ECGs, is a good estimate of APDR slope dispersion. Fig. 11 shows the comparison between  $\Delta\alpha^{SIM}$  and  $\widehat{\Delta\alpha}^{pECG}$  computed at sensor positions **pecg3**, **pecg4**, and **pecg5** for the default cell type distribution 80%/20%. The error be-

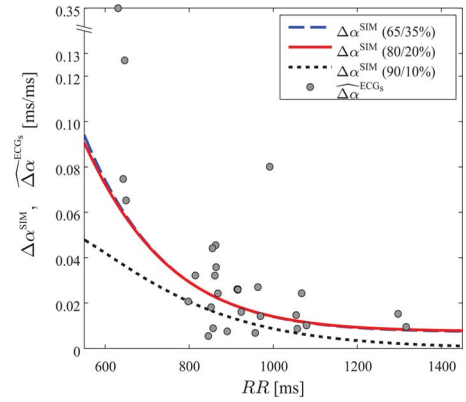


Fig. 12. APDR slope dispersion,  $\Delta\alpha^{SIM}$ , computed as a function of  $RR$  for three cell type distributions. For each tilt test recording,  $\widehat{\Delta\alpha}^{ECG_s}$  values are shown in circles at the mean of the corresponding  $RR$  interval range.

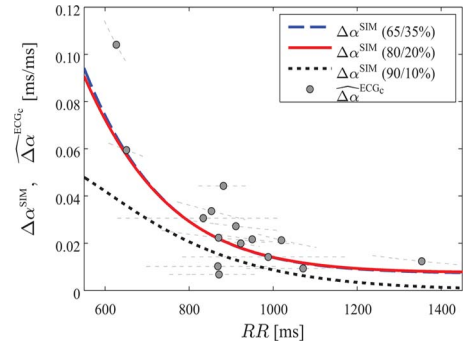


Fig. 13. APDR dispersion,  $\Delta\alpha^{SIM}$ , for different cell type distributions as a function of  $RR$ . For each tilt test recording,  $\widehat{\Delta\alpha}^{ECG_c}$  values are shown in circles at the mean of the surrogate  $RR$  interval range together with the derivative of the optimal  $g_k(., a)$  function over the corresponding  $RR$  range.

tween  $\Delta\alpha^{SIM}$  and  $\widehat{\Delta\alpha}^{pECG}$  from **pecg3** and **pecg5** relative to the slope range is found to be 4% in average, while from **pecg4**, is 6%.

### C. Agreement Between Simulated APDR Dispersion and Estimates From Clinical ECGs

Three stationary ECG segments during the tilt test protocol, corresponding to the end of each stage at supine, standing and back supine positions, are used to compute  $\widehat{\Delta\alpha}^{ECG_s}$ . Estimates computed at the mean of the corresponding  $RR$  range, are shown in Fig. 12, where they are compared to values of  $\Delta\alpha^{SIM}$ , representing simulated APDR slope dispersion at tissue level. Without assuming stationary ECG segments,  $\widehat{\Delta\alpha}^{ECG_s}$  is replaced with  $\widehat{\Delta\alpha}^{ECG_c}$ , in which the  $T_{pe}$  memory lag is compensated for. A comparison between  $\widehat{\Delta\alpha}^{ECG_c}$  (in circles) and  $\Delta\alpha^{SIM}$  is shown in Fig. 13. The dashed lines depicted in Fig. 13 represent the derivatives of the optimal  $g_k(., a)$  function in the  $z_{RR}$  range for each recording. These derivatives evaluated in the mean  $z_{RR}$  value are our estimates  $\widehat{\Delta\alpha}^{ECG_c}$ . According to the results shown in Figs. 12 and 13, there is a good agreement between simulated



TABLE II  
AVERAGE VALUE ACROSS SUBJECTS OF THE DIFFERENCES BETWEEN  
SIMULATED DISPERSION OF RESTITUTION SLOPES  $\Delta\alpha^{\text{SIM}}$  AT TISSUE LEVEL  
AND THEIR ECG ESTIMATES  $\widehat{\Delta\alpha}^{\text{ECG}_s}$  AND  $\widehat{\Delta\alpha}^{\text{ECG}_c}$

	Cell Percentage	Average [ms/ms]
$\Delta\alpha^{\text{SIM}} - \widehat{\Delta\alpha}^{\text{ECG}_s}$	(65/35%)	-0.0117 (-29.5%)
$\left(\frac{\Delta\alpha^{\text{SIM}} - \widehat{\Delta\alpha}^{\text{ECG}_s}}{\Delta\alpha^{\text{SIM}}} \cdot 100\right)$	(80/20%)	-0.0119 (-29.5%)
	(90/10%)	-0.0221 (-120.9%)
$\Delta\alpha^{\text{SIM}} - \widehat{\Delta\alpha}^{\text{ECG}_c}$	(65/35%)	-0.0052 (-20.2%)
$\left(\frac{\Delta\alpha^{\text{SIM}} - \widehat{\Delta\alpha}^{\text{ECG}_c}}{\Delta\alpha^{\text{SIM}}} \cdot 100\right)$	(80/20%)	-0.0053 (-19.8%)
	(90/10%)	-0.0137 (-116.8%)

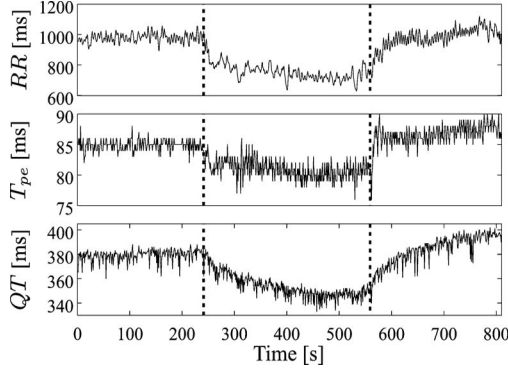


Fig. 14. Rate adaptation of the  $T_{pe}$  and  $QT$  interval in a tilt test recording showing two abrupt  $RR$  changes.

APDR slope dispersion and the ECG estimates  $\widehat{\Delta\alpha}^{\text{ECG}_s}$  and  $\widehat{\Delta\alpha}^{\text{ECG}_c}$ , particularly for  $\widehat{\Delta\alpha}^{\text{ECG}_c}$ , in which the effects of  $T_{pe}$  rate adaptation are compensated for.

Quantification of the results shown in Figs. 12 and 13 is presented in Table II, where average values of the individual differences between simulated  $\Delta\alpha^{\text{SIM}}$  and the two ECG estimates,  $\widehat{\Delta\alpha}^{\text{ECG}_s}$  and  $\widehat{\Delta\alpha}^{\text{ECG}_c}$ , are computed. Expressions of those differences as percentages are also included, being 30% in average for  $\widehat{\Delta\alpha}^{\text{ECG}_s}$ , and 20% for  $\widehat{\Delta\alpha}^{\text{ECG}_c}$ .

As expected, results for the cell type distribution 90%/10% show higher differences due to the fact that  $T_{pe}$  values from the pseudo-ECG are not within the range of our clinical data.

#### D. $T_{pe}$ Rate Dependence

$T_{pe}$  interval is found to have a very fast adaptation to HR changes as compared to the  $QT$  interval. Fig. 14 shows an example of a tilt test recording with two sudden  $RR$  changes, to which the  $T_{pe}$  interval adapts in a shorter time than the  $QT$  interval.

In Fig. 15, left panel, the median, first and third quartile of the  $T_{pe}$  adaptation rate,  $r(n)$  (see Section II-C), across the 15 recordings are shown and compared to those of the  $QT$  interval. In Fig. 15, right panel, an example of the rate adaptation profile  $h(n)$  and its cumulative sum  $c(n)$  are shown for  $T_{pe}$  and  $QT$  intervals.

The optimal regression functions  $g_k(\cdot, \mathbf{a})$  that characterize the  $[z_{\text{RR}}, T_{pe}]$  relationship are found to be linear,  $[g_k(z_{\text{RR}}(n), \mathbf{a}) = a_0 + a_1 \cdot z_{\text{RR}}(n)]$ , in 33% of the recordings, hyperbolic,

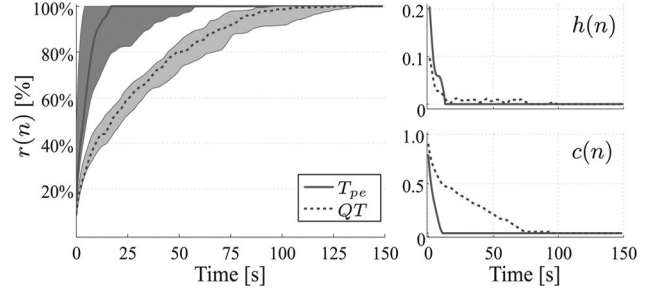


Fig. 15. (Left panel) Median, first and third quartile of the adaptation rates,  $r(n)$ , of  $T_{pe}$  and  $QT$  intervals. (Right panel) Example of the adaptation profile,  $h(n)$ , and its cumulative sum,  $c(n)$ , for the  $T_{pe}$  and  $QT$  intervals of a subject undergoing a tilt test protocol.

TABLE III  
MEAN  $\pm$  STD ACROSS SUBJECTS OF THE TIME FOR 90% ( $t_{90}$ ), 70% ( $t_{70}$ ), 50% ( $t_{50}$ ) AND 25% ( $t_{25}$ ) OF THE COMPLETE RATE ADAPTATION

	$QT$	$T_{pe}$
$t_{90}$ (s)	$74.1 \pm 25.4$	$23.5 \pm 29.7$
$t_{70}$ (s)	$40.8 \pm 15.9$	$11.4 \pm 16.6$
$t_{50}$ (s)	$19.3 \pm 8.9$	$5.6 \pm 7.8$
$t_{25}$ (s)	$4.2 \pm 2.9$	$1.5 \pm 1.9$

$[g_k(z_{\text{RR}}(n), \mathbf{a}) = a_0 + \frac{a_1}{z_{\text{RR}}}(n)]$ , in 20%, and hyperbolic tangent,  $[g_k(z_{\text{RR}}(n), \mathbf{a}) = a_0 + a_1 \cdot \tanh(z_{\text{RR}}(n))]$ , in 20%.

Table III shows the mean across subjects of the time for 90% ( $t_{90}$ ), 70% ( $t_{70}$ ), 50% ( $t_{50}$ ), and 25% ( $t_{25}$ ) of the whole  $T_{pe}$  rate adaptation. Results are compared to those corresponding to the  $QT$  interval.

## IV. DISCUSSION

APDR dispersion is considered as an important risk marker in the development of ventricular arrhythmias [7]–[9] and is measured at tissue level. In this study, APDR dispersion, measured at tissue level, has been quantified from the surface ECG, using a novel methodology. To our best knowledge, this is the first time that APDR dispersion is quantified noninvasively by measuring changes in the steady-state  $T_{pe}$  with respect to changes in  $RR$  interval. First, a 2-D tissue ventricular model has been built and indirectly validated, and the proposed estimate measured at pseudo-ECGs is shown to properly quantify APDR dispersion at tissue level. Then, estimates measured at the acquired ECG recordings are found to be in agreement with the simulated APDR dispersion. Additionally, results from the  $T_{pe}$  rate adaptation study show that  $T_{pe}$  adapts faster to changes in HR than the  $QT$  interval.

#### A. Evaluation of the 2-D Ventricular Model

We have evaluated the 2-D ventricular tissue model used in this study. First, the underlying model of the 2-D simulation has been reported to reproduce experimentally observed data on APD restitution in single cells from epi, endo, and midmyocardial regions correctly [19], [31]. Characteristics of the 2-D tissue model built in this work, such as dimensions, conduction velocities in the fiber direction [19], and perpendicular to it [25], transmural variation of the fiber angle [26], and heterogeneity



of cell types across the ventricular wall [29], are in agreement with experimental studies. The simulated activation sequence is layered and agrees with the one of an isolated human heart section reported in [27].

Experimental studies in canine wedge preparation [32] show that in case of having transmural heterogeneities only, the time instant of the  $T$ -wave peak corresponds to the complete repolarization of the epicardium. This agrees with the results of our 2-D simulations, which include only transmural heterogeneities, where the peak of the  $T$ -wave in pseudo-ECGs coincides with the total repolarization of the epicardium in the central part of the tissue (see the isochronic voltage representation in Fig. 7). This has been observed for pacing at different  $RR$  intervals and also for different cell type distributions (see Fig. 8).

The APD in the different cell regions of the 2-D tissue slice are within the range of APDs of the subendocardium, midmyocardium, and subepicardium reported in [28].

Steady-state  $T_{pe}^{dyn}$  at different  $RR$  levels obtained from simulated pseudo-ECGs are in agreement with those measured from ECG control recordings (see Fig. 9). Restitution properties have also been evaluated by comparing the simulated estimations of APDR slope dispersion  $\widehat{\Delta\alpha}^{pECG}$ , derived from the pseudo-ECGs, with the values obtained from the tilt test recordings. Results in Table I and Fig. 10 show that simulated values in **pecg3** and **pecg5** are within the range measured in ECG recordings. Sensor positions **pecg3** and **pecg5**, located in the middle part of the tissue, are used to derive  $\widehat{\Delta\alpha}^{pECG}$  due to their similarity to the precordial leads V2, V3, and V4, used to compute the estimates in the tilt test recordings.

### B. Assessment of APDR Dispersion Quantified From the Pseudo-ECG

As Fig. 11 shows,  $\widehat{\Delta\alpha}^{pECG}$ , measured from the pseudo-ECG provides a quantification of APDR slope dispersion  $\Delta\alpha^{SIM}$  at tissue level, being the mean error relative to the slope range below 6%. This result shows that APDR dispersion at tissue level is properly quantified using the proposed noninvasive estimates.

### C. Agreement Between Simulated APDR Dispersion and Estimates From Clinical ECG Data

Two APDR slope dispersion estimates from the surface ECG were proposed: one computed from stationary ECG segments,  $\widehat{\Delta\alpha}^{ECG_s}$ ; and the other compensating for the  $T_{pe}$  hysteresis on  $RR$ ,  $\widehat{\Delta\alpha}^{ECG_c}$ .

In some cases, the estimates  $\widehat{\Delta\alpha}^{ECG_s}$  differ considerable from the  $\Delta\alpha^{SIM}$  values. Reviewing  $RR$  trends from those recordings, non stable  $RR$  periods are observed. Averaged differences between  $\widehat{\Delta\alpha}^{ECG_s}$  and  $\Delta\alpha^{SIM}$ , are of 30% of the value in mean. If we do not assume stable ECG segments and compensate for the  $T_{pe}$  memory effect using  $\widehat{\Delta\alpha}^{ECG_c}$ , results improve considerably. Averaged differences between  $\widehat{\Delta\alpha}^{ECG_c}$  and  $\Delta\alpha^{SIM}$ , which also account for inter subject variability, are of about 20%. If we take into account the individual differences, the

averaged difference between  $\Delta\alpha^{SIM}$  and  $\widehat{\Delta\alpha}^{ECG_c}$  is  $-0.0052$ , half of the one between  $\Delta\alpha^{SIM}$  and  $\widehat{\Delta\alpha}^{ECG_s}$  ( $-0.0117$ ). Besides the good agreement between  $\Delta\alpha^{SIM}$  and the corresponding ECG estimates, a similar behaviour with respect to the  $RR$  values is observed. Also, our results are in accordance with the slope values reported in [18] for healthy subjects.

The estimate  $\widehat{\Delta\alpha}^{ECG_c}$  shows promising results to extend this method to evaluate arrhythmic pathologies related to restitution dispersion.

### D. $T_{pe}$ Rate Adaptation

There are clinical studies which suggest  $T_{pe}$  to be practically independent of HR [17], while other studies claim that it is markedly rate dependent [18]. An argument in favor of  $T_{pe}$  to be rate dependent is that  $T_{pe}$  interval accounts for differences of APDs in different cell regions and APDs are known to be rate related [15]. In this study,  $T_{pe}$  rate adaptation has been characterized, showing that it has a short memory lag.

$T_{pe}$  takes about 25 s in mean to complete 90% of its rate adaptation and only 11 s to complete 70% of the whole adaptation. This is in contrast to  $QT$  rate adaptation, which has a pronounced memory effect, with about 74 s to complete 90% of its rate adaptation. However, this  $t_{90}$  value of 74 s in mean for the  $QT$  adaptation is lower than the  $t_{90}$  reported in [33], which is around 120 s. This may be due, among other reasons, to the younger age of the control subjects of the tilt test database used in this study. While  $T_{pe}$  dependence on a previous history of  $RR$  intervals presents a fast decay in one phase, in the case of the  $QT$  interval, the decay is performed in two phases, a fast one and a slow one, in concordance with observations from previous studies [15].

APD in ventricular myocytes (epi, mid, and endocardial cells) are known to have a slow adaptation [15], which is performed in two phases: a fast initial one and a subsequent slow one. In [34], APD has been shown to require around 2 min in midmyocardial cells, and 3 min in epicardial cells, to reach a new steady state after a step HR change. However, while the fast phases of APD rate adaptation are different in both cell types, with midmyocardial cells presenting faster decay than epicardial cells, they do have similar slow phases. Therefore, measures such as  $T_{pe}$ , which accounts for contributions of different cell types, would not have slow phase (it has been compensated) and the fast phase would include the maximum difference among the fast phases of the different cells in the tissue.

## V. LIMITATIONS OF THE STUDY

The 2-D simulation does not incorporate a 3-D geometry of a left ventricle wall to compute APDR dispersion. Also, heterogeneities other than transmural ones, e.g., apex to base, were not included, which could shed light on the understanding of the  $T_{pe}$ . Those considerations could have led to different sites associated with  $APD_{min}$  and  $APD_{last}$ , as described after (1). However, they would not imply any change in our methodology. Also, it is worth mentioning that the dispersion quantified in our

study is that accounted for by the  $T_{pe}$  interval, which does not necessarily correspond to the maximum dispersion in the tissue.

Healthy subjects have been used to evaluate the proposed methodology to noninvasively quantify restitution dispersion. The next step will be to apply the methodology to estimate restitution dispersion in patients who experienced VT or VF and compare it with control subjects.

Tilt test recordings were obtained from subjects aged 25 to 33 years old with no previous history of cardiovascular disease. However, the data used for the development of the human ventricular action potential model used in this study are not always specific of young healthy hearts.

When characterizing  $T_{pe}$  rate adaptation, differences in HR accelerations and decelerations have not been accounted for. In the case of the  $QT$  interval or the APD, rate adaptation has been shown to be longer after HR decelerations than after HR accelerations [15], [34].

## VI. CONCLUSION

APDR dispersion has been suggested to play an important role in the development of ventricular arrhythmias. In this study, a method to estimate dispersion of APDR slope curves by making only use of the surface ECG was developed. The proposed ECG-based estimate was evaluated on tilt test recordings of healthy subjects, and showed very good agreement with dispersion of APDR slopes at tissue level, computed using an electrophysiologically detailed human ventricular model. The proposed estimate accounts for the  $T_{pe}$  memory lag after HR changes, which was shown to be faster than that of the  $QT$  interval.

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