# Natural Language Processing CSE 325/425



#### Lecture 19:

- Training of PCFG
- · Lexicalization of PCFG

Training of PCFG

CYK With prob. on PCFG

f= Input -> Output

- MLE: given a training corpus with sentences and their parsing trees (e.g., Penn Treebank),
  - o extract rules observed in the corpus.
  - o probability of a rule: how often it appears / how often the LHS appears.

Example: with two training parsing trees **VP** VP NP Verb NP = NP Count (NP -> Nour) Take Verb Det Book Noun Det Noun Noun Noun the the dinner flight UA flight

### Training of PCFG

What if we have a large number of sentences without being parsed?

- Need to deal with the unknown trees as latent structures;
- Similar to the unknown POS-tag sequences in the learning of HMM.
- EM algorithm. (>j(p,q) dj(p,q)
  - E-step: run the inside and outside algorithms to find the inside and output probabilities. (Note: in HMM, this is the forward-backward algorithm).
  - M-step: estimate the rule probabilities based on the expectation of frequencies of occurrence using the inside/outside probabilities.

## Training of PCFG

#### EM algorithm

- Focus on the M-step, given the inside and outside probabilities.
  - o Probability of a non-terminal  $N^{j}$  covering the range [p, q]:

$$P(N^{j} \overset{*}{\Rightarrow} w_{pq} | N^{1} \overset{*}{\Rightarrow} w_{1m}, G) = \underbrace{\alpha_{j}(p,q)\beta_{j}(p,q)}_{\pi}$$
If frequency of the non-terminal:

Expected frequency of the non-terminal:

$$E(N^j \text{ is used in the derivation}) = \sum_{p=1}^m \sum_{q=p}^m \frac{\alpha_j(p,q)\beta_j(p,q)}{\pi}$$

$$F(x) = \sum_{p=1}^{\infty} \frac{1}{q=p} \frac{1}{\pi}$$

$$N^{j} \in \mathbb{N} \text{ of PCFG extracted from some labeled corpus.}$$

$$= \sum_{p} \frac{1}{\pi} P(x) + \frac{1}{\pi} P(x)$$

$$= \sum_{p} P(x) = \sum_{p} P(x)$$

$$= \sum_{n} p(n) f(n) = \sum_{n} p_{n}(n)$$

$$\left(\int_{(x)} = 1\right)$$

## Training of PCFG

#### EM algorithm

- Focus on the M-step, given the inside and outside probabilities.
  - $\circ$  Probability of a rule deriving words in the range [p, q]:

$$P(N^{j} \to N^{r} N^{s} \stackrel{*}{\Longrightarrow} w_{pq}|N^{1} \stackrel{*}{\Longrightarrow} w_{1m}, G)$$

$$= \underbrace{\sum_{d=p}^{q-1} \alpha_{j}(p,q)P(N^{j} \to N^{r} N^{s})\beta_{r}(p,d)\beta_{s}(d+1,q)}_{\pi}$$

ער אין יין ס Expected frequency of a rule:

$$E(N^{j} \to N^{r} N^{s}, N^{j} \text{ used}) = \frac{\sum_{p=1}^{m-1} \sum_{q=p+1}^{m} \sum_{d=p}^{q-1} \alpha_{j}(p,q) P(N^{j} \to N^{r} N^{s}) \beta_{r}(p,d) \beta_{s}(d+1,q)}{\pi} = \underbrace{\sum_{p=1}^{m-1} \sum_{q=p+1}^{m} \sum_{d=p}^{q-1} \alpha_{j}(p,q) P(N^{j} \to N^{r} N^{s}) \beta_{r}(p,d) \beta_{s}(d+1,q)}_{\pi}$$

Estimation of the conditional probability of RHS given the LHS:

D"T" is canceled out.

### EM algorithm

#### EM algorithm

- Focus on the M-step, given the inside and outside probabilities.
  - o Probability of the rule  $N^j \rightarrow w^k$  deriving the word  $w^k$  somewhere in the sentence:

$$P(N^{j} \to w^{k}|N^{1} \stackrel{*}{\Longrightarrow} w_{1m}, G) = \frac{\sum_{h=1}^{m} \alpha_{j}(h,h)P(N^{j} \to w_{h}, w_{h} = w^{k})}{\pi}$$
$$= \frac{\sum_{h=1}^{m} \alpha_{j}(h,h)P(w_{h} = w^{k})\beta_{j}(h,h)}{\pi}$$

Conditional probability of w<sup>k</sup> given N<sup>j</sup>:

$$\hat{P}(N^{j} \to w^{k}) = \frac{\sum_{h=1}^{m} \alpha_{j}(h,h) P(w_{h} = w^{k}) \beta_{j}(h,h)}{\sum_{p=1}^{m} \sum_{q=p}^{m} \alpha_{j}(p,q) \beta_{j}(p,q)}$$

r from slide 4 and "Ti" is

## Questioning the PCFG assumptions

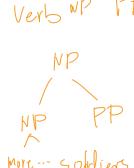
- Properties of PCFG:
  - Place invariance  $\forall k \ P(N_{k(k+c)}^j \to \zeta)$  is the same
  - Context-free  $P(N_{kl}^j \to \zeta | \text{anything outside } k \text{ through } l) = P(N_{kl}^j \to \zeta)$
  - o Ancestor-free  $P(N_{kl}^j \to \zeta | \text{any ancestor nodes outside } N_{kl}^j) = P(N_{kl}^j \to \zeta)$
- · The probability of applying a rule is not independent of place



- (a) **She's** able to take her baby to work with her. Pr(subject: NP->Pronoun) = 91%
- (b) Uh, my wife worked until we had a family. Pr(subject: NP->Det Noun) = 9%
- (a) Some laws absolutely prohibit it. Pr(object: NP->Pronoun) = 34%
  - (b) All the people signed **confessions**. Pr(object: NP->Det Noun) = 66%

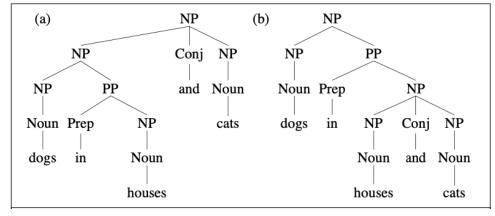
### Questioning the assumptions

- Properties of PCFG:
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  - o Ancestor-free  $P(N_{kl}^j \to \zeta | \text{any ancestor nodes outside } N_{kl}^j) = P(N_{kl}^j \to \zeta)$
- The probability of applying a rule is not independent of context (words)
  - "Moscow sent more than 100,000 soldiers into Afghanistan"
- should ("into Afghanistan") be attached to "sent" (VP attachment) or "more than 100,000 soldiers" (NP attachment)?
  - Pr(NP attachment) > Pr(VP attachment) according to training corpora,
  - but in this sentence, it should be a VP attachment.



### Questioning the assumptions

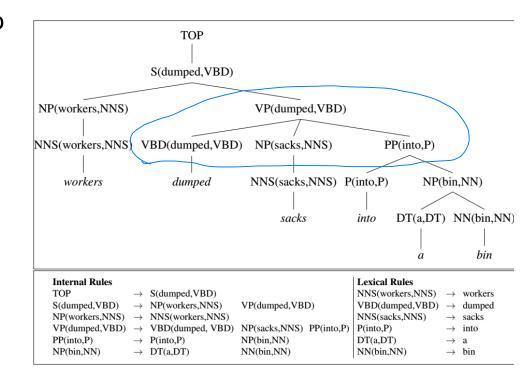
- Properties of PCFG:
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  - o Ancestor-free  $P(N_{kl}^j \to \zeta | \text{any ancestor nodes outside } N_{kl}^j) = P(N_{kl}^j \to \zeta)$
- The probability of applying a rule is not independent of context (words)
  - Similar problem with conjunctions
  - "dogs in houses and cats"



### VP→ VBD NP PP VP→ VBD NP

### Probabilistic lexicalized CFG

- Make the probability of a rule specific to the context (lexicons or words).
  - so that a parsing rule is selected depending on the contexts.
- A non-terminals in a rule adds:
  - head-word: the semantic center of the constituency;
  - head-POS-tag: how the head word is used.
- Copy the original CFP multiple times for all possible lexicalizations.



### Probabilistic lexicalized CFG

- Problem with estimating the probabilities of the lexicalized rules
  - $\circ$  VP(dumped,VBD) $\rightarrow$ VBD(dumped,VBD) NP(sacks,NNS) PP(into,P)
  - $\circ$   $Pr(VP(dumped,VBD) \rightarrow VBD(dumped,VBD) NP(sacks,NNS) PP(into,P))$ 
    - = Count of (VP(dumped,VBD)→VBD(dumped,VBD) NP(sacks,NNS) PP(into,P)) / Count of (VP(dumped,VBD))
  - O How often, in the training corpus, can you see the expansion VP →VBD NP PP, and the head word is "dumped", which is POS-tagged as VBD, and the head word of NP is "sacks", which is POS-tagged as NNS, and the head word of PP is "into", which is POStagged as Preposition?
  - o Data sparsity  $\Rightarrow$  need to make some independence assumption.
    - Same spirit as how HMM decomposes the joint probability of a long sequence.

# STOP ( H -> NP ( Sacks, MNS) PP (into, P) STOP

 $P_r(STOP \mid VP(dumped, VBD), VBD(dumped, VBD))$ 

### **Collins Parser**

Independent events

VP(dumped, VBD)

STOP VBD(dumped, VBD) NP(sacks, NNS) PP(into, P) STOP

- Specifies a particular way to calculate the problem of a rule
  - $\circ$  VP(dumped,VBD) $\rightarrow$ VBD(dumped,VBD) NP(sacks,NNS) PP(into,P)
    - $\Rightarrow$  VP(dumped,VBD) $\rightarrow$ STOP VBD(dumped,VBD) NP(sacks,NNS) PP(into,P) STOP
  - $\circ$  Pr(VP(dumped,VBD) $\rightarrow$  STOP VBD(dumped,VBD) NP(sacks,NNS) PP(into,P)) STOP
    - $= Pr_H(VBD|VP, dumped) x$

Pr<sub>I</sub> (STOP | VP, VBD, dumped) x

Pr<sub>R</sub>(NP(sacks,NNS) | VP, VBD, dumped) x

Pr<sub>R</sub>(PP(into,P) | VP, VBD, dumped) x

Pr<sub>R</sub>(STOP | VP, VBD, dumped)

- $_{\odot}$  Each of the above probability can be estimated using MLE
  - Less subject to data sparsity
  - since the joint events are more likely.

