

# Natural Language Processing

## CSE 325/425



Sihong Xie

### Lecture 23:

- Statistical machine translation
- Alignment models (IBM model-1 and HMM)

# Statistical MT framework

- Goals of MT

- Fluency and faithfulness

$$\text{best-translation } \hat{T} = \operatorname{argmax}_T P(T) P(S|T)$$

English      French  
 ↓                ↓  
 LM                faithfulness  
 n-gram

- More formally,

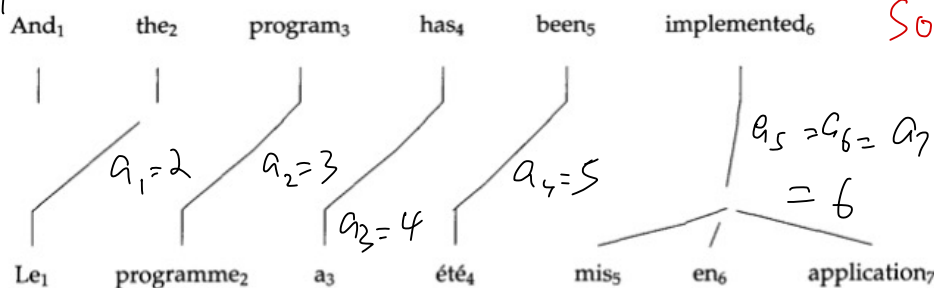
- $E=(e_1, \dots, e_l)$ : English (the target language).
  - $F=(f_1, \dots, f_J)$ : a foreign language (Spanish/French/...).
  - Language model  $P(E)$
  - Translation model:  $P(F|E)$
  - Using the Bayes theorem, *decoding* is defined as

$$E^* = \operatorname{argmax}_{E} P(E|F) = \operatorname{argmax}_{E} \frac{P(F|E)P(E)}{P(F)} = \operatorname{argmax}_{E} P(F|E)P(E)$$

# Alignment

- Word alignment: mapping words in  $E$  to words in  $F$ .
  - Multiple target words can be mapped to one source word.

Example: *spurious*



$$E^* = \underset{E}{\operatorname{Argmax}} P(E) \times P(F|E)$$

Source  $E$

Translate

Target  $F$

In ML:  
this is a so-called  
"structured prediction"

Applications: • Two representation of an alignment  $A$

CV, NLP

- Recording the mapping  $A$  directly:  $a_1=2, a_2=3, \dots, a_7=6$ .
- Use an alignment matrix

$E \setminus F$	1	2	3	4	5	6	7
1	0	0	0	0	0	0	0
2	1	0	0	0	0	0	0
3	0	1	0	0	0	0	0
4	0	0	1	0	0	0	0
5	0	0	0	1	0	0	0
6	0	0	0	0	1	1	1

# Alignment

target

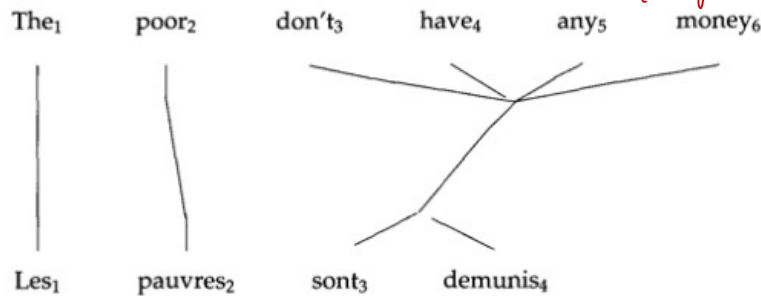
source

Alignment matrix 5x5

- One source word mapped to multiple target words.

- Many-to-many mapping (Phase-based Alignment)

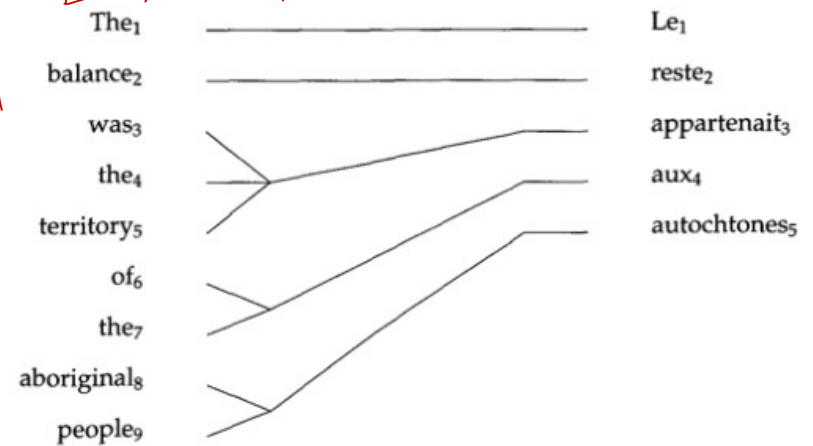
E



F

E (Source)

F (Target)



- Allow spurious word NULL for words that can't be mapped.

# Alignment (IBM model 1)

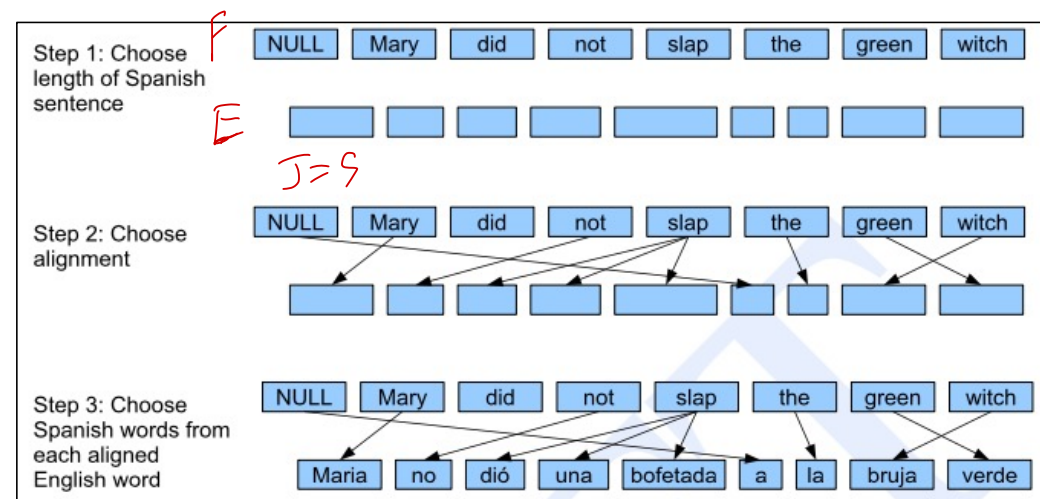
Attention model in Neural networks  
ML

$A$  : random matrix

$A \in \mathcal{A}$  : Sample Space of all possible

$\Pr(A)$  alignments.

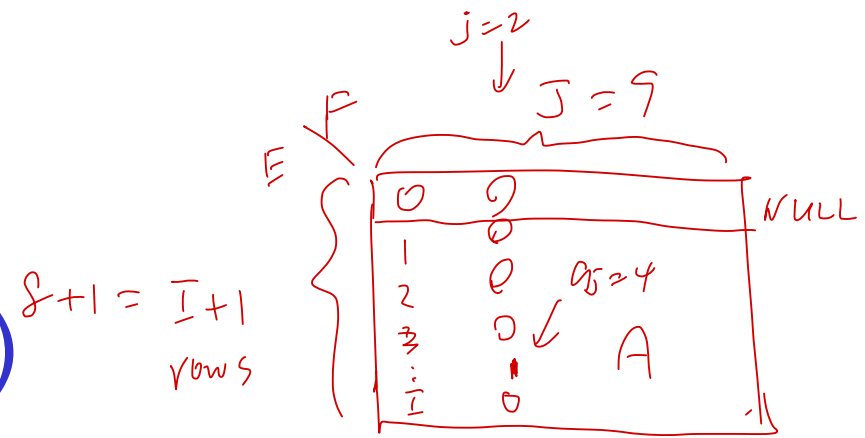
- Published in *Brown, etc. 1993*.
- Translation model  $P(F|E) = \sum_A P(F, A|E)$
- Generative story
  - generate length of the source/foreign language  $J$ ;
  - generate an alignment  $A$ ;
  - generate words  $E=(e_1, \dots, e_I)$  in the target language.



The Mathematics of Statistical Machine Translation. Brown, etc. 1993 Computational Linguistics

$P(F, A|E)?$

# Alignment (IBM model 1)



- Probability of generating a length  $J$ : a small positive number  $\epsilon$
- Probability of an alignment between  $I$  and  $J$  words:  $P(A(I, J)) = \frac{1}{(I+1)^J}$

- Probability of the foreign word  $f_j$  given the aligned target word  $e_{a_j}$

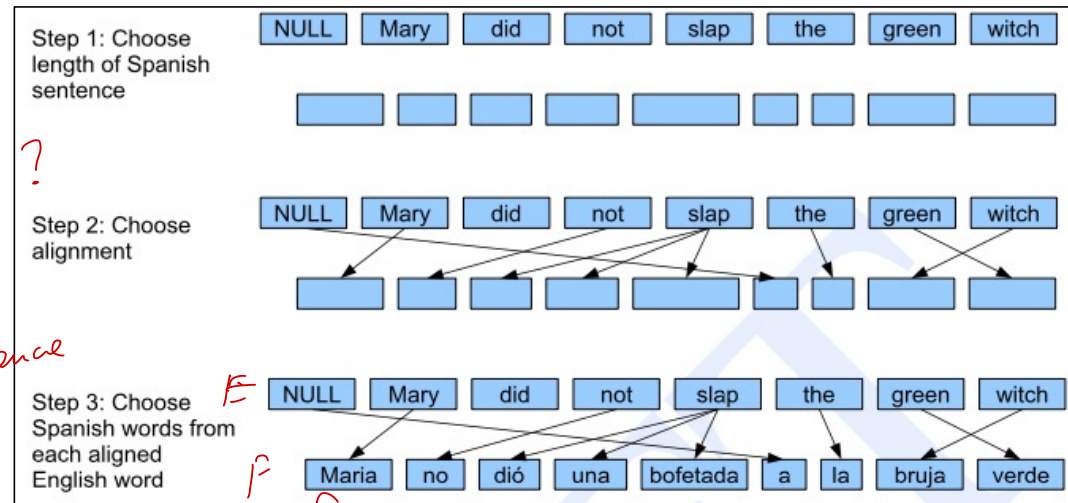
$$P(f_j | e_{a_j}) \quad P(\text{no} | \text{not}) ?$$

- Probability of the foreign sentence and an alignment  $A$ :

$$P(F, A | E) = \frac{\epsilon}{(I+1)^J} \prod_{j=1}^J P(f_j | e_{a_j})$$

- Probability of  $F$  given  $E$ :

$$P(F | E) = \sum_A P(F, A | E) = \sum_A \frac{\epsilon}{(I+1)^J} \prod_{j=1}^J P(f_j | e_{a_j})$$

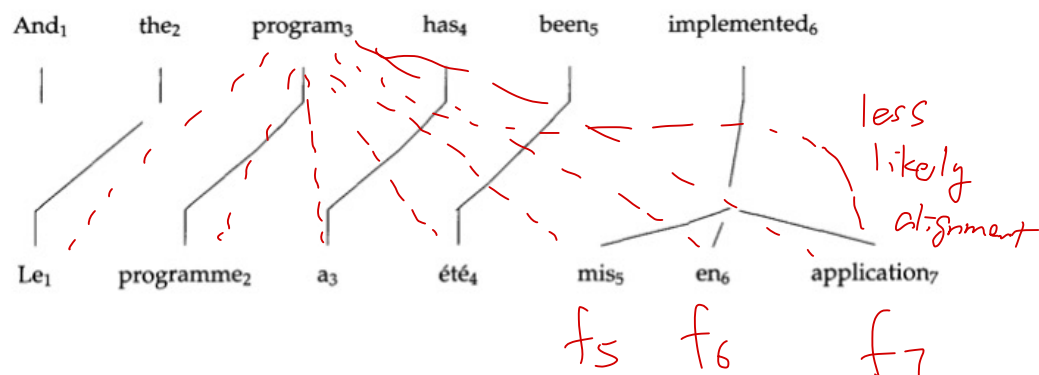


# Alignment (HMM)

- IBM Model 1 makes several strong assumptions
  - two foreign words are mapped independently;
  - all alignments have the same probability.
- Both are not true
  - the last three French words are mapped jointly to the last English word.
    - need to use some joint probability to model such linguistic phenomena;
  - locality: two consecutive French words are likely mapped to consecutive

English words.

- some alignments are unlikely.
- can you give one?



$$Pr(f_5, f_6, f_7 | A, E) \neq Pr(f_5 | A, E) \dots Pr(f_7 | A, E)$$

# Alignment (HMM)

- HMM alignment model

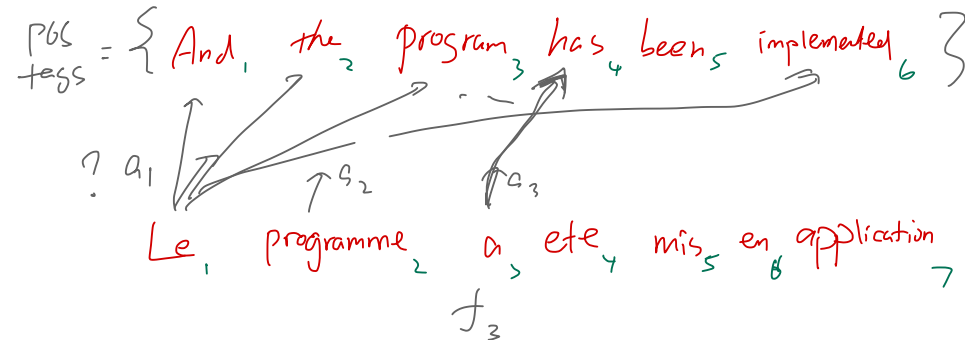
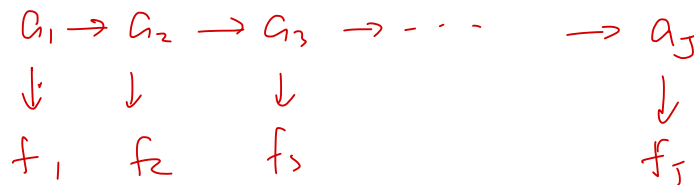
- generates an alignment and the observed foreign sentence

- alignment  $\Leftrightarrow$  POS-tags.  $a_j \in \{0, \dots, I\}$
    - transition probabilities: align the next foreign word, given previous alignments.  $a_j$   $a_1, \dots, a_{j-1}$
    - foreign sentence  $\Leftrightarrow$  observed words in a sentence.
    - emission probabilities: emit a word given the up-to-date alignments.  $a_1, \dots, a_j$

$$\begin{aligned}
 P(f_1^J, a_1^J | e_1^I) &= P(J | e_1^I) \times \prod_{j=1}^J P(f_j, a_j | f_1^{j-1}, a_1^{j-1}, e_1^I) \\
 &= P(J | e_1^I) \times \prod_{j=1}^J P(a_j | f_1^{j-1}, a_1^{j-1}, e_1^I) \times P(f_j | f_1^{j-1}, a_1^j, e_1^I)
 \end{aligned}$$

$f_j$

HMM





# Alignment (HMM)

- Make some Markov assumptions to simplify the above joint probability.

dis-gard  $(a_1 \dots a_{j-2})$

$$P(a_j | f_1^{j-1}, a_1^{j-1}, e_1^I) = P(a_j | a_{j-1}, I)$$

$$P(f_j | f_1^{j-1}, a_1^j, e_1^I) = P(f_j | e_{a_j})$$

$\Pr(a | \text{has})$

- The final joint distribution

$\uparrow \quad \uparrow \quad e_{a_3} = e_4 \text{ (b/c } a_3 = 4)$

$$P(F, A | E) = P(J | I) \times \prod_{j=1}^J P(a_j | a_{j-1}, I) P(f_j | e_{a_j})$$

- The translation model

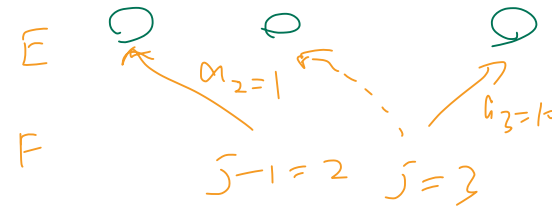
$$P(F | E) = P(J | I) \times \sum_A \prod_{j=1}^J P(a_j | a_{j-1}, I) P(f_j | e_{a_j})$$

$$= \sum_A \Pr(F, A | E)$$

sum-product  
 $\Downarrow$   
 product-sum  
 (forward Alg.)

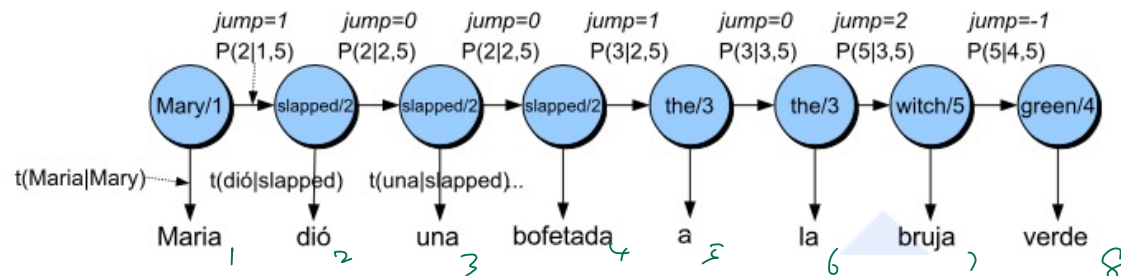
# Alignment (HMM)

- The transition probability should encourage alignment locality.
  - "the English words that generate neighboring Spanish words are likely to be nearby" -- SLP
  - $P(a_j | a_{j-1}, I)$  should be large if  $a_j$  is close to  $a_{j-1}$
  - Locality is a relative concept and absolute positions are not relevant.
    - $P(7|6, 15) = P(9|8, 15)$ . *place - invariance*
  - Model "jumps" of the alignment pointers
    - $P(a_j | a_{j-1}, I)$  is a decreasing function of the jump  $|a_j - a_{j-1}|$



$$I = 8$$

$$J = 8$$



Mary, slapped the  
Green, witch

$$Pr(F, A | E) = P(J | I) \times Pr(2 | 1, 5) \times Pr(Maria | Mary) \times Pr(2 | 2, 5) \times Pr(dió | slapped) \times \dots$$