

# CSE 325/425 (Spring 2021) Homework 4

Due on 11:55pm, Apr 7, 2021

**Grading:** All questions have the same points (25 each). We will randomly grade some of the questions.

**Submitting:** Only electronic submissions on Coursesite are accepted. You can handwrite your answers on papers and then scan them to images. If you need to plot figures using a computer, the plotted files should be saved and included in the submitted pdf file. Submit a single pdf file named

<Your LIN>HW4.pdf

Other format will not be accepted.

## Questions:

1. Modify the codes for RNN in the associated IPython notebook “Recurrent Neural Networks.ipynb” to remove the tanh activation function when computing the hidden state vectors  $\mathbf{h}_t$ . Run the modified codes on the given input. Lastly report (10 pts) and make sense (15 pts) of your observations.

[[[ With the tanh activation function, the output is

<==

hidden state at time 0: [1. 1. 1.]  
hidden state at time 1: [0.99998771 0.99998771 0.99998771]  
hidden state at time 2: [0.99998771 0.99998771 0.99998771]  
hidden state at time 3: [0.99998771 0.99998771 0.99998771]  
hidden state at time 4: [0.99998771 0.99998771 0.99998771]

The tanh function saturates to close to 1 quickly as the positive input increases. Without that, the output is

hidden state at time 0: [1. 1. 1.]  
hidden state at time 1: [6. 6. 6.]  
hidden state at time 2: [21. 21. 21.]  
hidden state at time 3: [66. 66. 66.]  
hidden state at time 4: [201. 201. 201.]

Since all matrices and vectors are taking value one, the addition in the linear function

$$\mathbf{h}_t = + W\mathbf{h}_{t-1} + U\mathbf{x}_t \quad (1)$$

can only grow the magnitudes of the elements in  $\mathbf{h}_{t-1}$ . ]]]

2. Prove that the CFG grammar below allow derivations of infinite length and thus generate a language with sentences with infinite length (15 pts). Then justify or disapprove the existence of such derivations (10 pts).

[[[ The right hand side of the production  $Nominal \rightarrow Nominal Noun$  contains  $Nominal$  that can be recursively re-written by the production itself, without eliminating the non-terminal  $Nominal$ .

<==

Grammar Rules	Examples
$S \rightarrow NP VP$	I + want a morning flight
$NP \rightarrow$	I
Pronoun	
Proper-Noun	Los Angeles
Det Nominal	a + flight
$Nominal \rightarrow Nominal Noun$	morning + flight
Noun	flights
$VP \rightarrow$	do
Verb	
Verb NP	want + a flight
Verb NP PP	leave + Boston + in the morning
Verb PP	leaving + on Thursday
$PP \rightarrow Preposition NP$	from + Los Angeles

Figure 1: A CFG

One possible answer: such recursion is needed as human languages are recursive so the production captures the humans languages patterns.

Other reasonable and well-justified answers will be accepted.   ]]]

3. In Lecture 16-17, we showed how the CYK algorithm fills out the last column of the parsing table on the sentence “*Book the flight through Houston*”. Explain how the algorithm fills out the cell (0,1) and (0,3), using the CFG in CNF on the slides for Lecture 16.

(Hints: read the CYK algorithm section in SLP textbook and pay attention to title of the table that contains the converted CFG in CNF.)

[[[ For the cell (0,1), the non-terminal  $S$  is the based on the production  $S \rightarrow book|include|prefer$ .  $Nominal \rightarrow book|flight|meal|money$  and  $VP \rightarrow book|include|prefer$  give  $Nominal$  and  $VP$ . The original lexical entries from the CFG before the conversions carry over unchanged as well, so  $Verb \rightarrow book$  and  $Noun \rightarrow book$  give  $Verb$  and  $Noun$  in the cell. <==

For the cell (0,3),  $S$  comes from the rule  $S \rightarrow VerbNP$  with the breaking point  $k = 1$  (i.e., pull  $Verb$  from the cell (0,1) and  $NP$  from the cell (1,3).  $VP$  and  $X2$  come from  $VP \rightarrow VerbNP$  and  $X2 \rightarrow VerbNP$ , respectively, with the same breaking point.   ]]]

4. The following two figures show a PCFG and the table for calculating inside probabilities during probabilistic syntactic parsing. Explain why only  $\beta_S$  is shown in cell (1,3) (10 pts) and how the probability is calculated using the inside algorithm (15 pts).

				1	2	3	4	5
$S \rightarrow NP VP$	1.0	$NP \rightarrow NP PP$	0.4	1	$\beta_{NP} = 0.1$			$\beta_S = 0.0015876$
$PP \rightarrow P NP$	1.0	$NP \rightarrow \textit{astronomers}$	0.1	2		$\beta_{NP} = 0.04$ $\beta_V = 1.0$	$\beta_{VP} = 0.126$	$\beta_{VP} = 0.015876$
$VP \rightarrow V NP$	0.7	$NP \rightarrow \textit{ears}$	0.18	3		$\beta_{NP} = 0.18$		$\beta_{NP} = 0.01296$
$VP \rightarrow VP PP$	0.3	$NP \rightarrow \textit{saw}$	0.04	4			$\beta_P = 1.0$	$\beta_{PP} = 0.18$
$P \rightarrow \textit{with}$	1.0	$NP \rightarrow \textit{stars}$	0.18	5				$\beta_{NP} = 0.18$
$V \rightarrow \textit{saw}$	1.0	$NP \rightarrow \textit{telescopes}$	0.1		<i>astronomers</i>	<i>saw</i>	<i>stars</i>	<i>with</i> <i>ears</i>

Figure 2: Probabilistic CFG and Inside probability calculation.

[[[ The cell (1,3) includes all possible ways to break the sub-sentence from word 1 to 3 into two subtrees. The breaking points can be 1 and 2. If it is broken at 1, then cells (1,1) has an NP and cell (2,3) has a VP. According to the PCFG,  $S \rightarrow NP VP$  is a valid derivation. The second breaking point 2 will not lead a valid parse since the cell (1,2) is empty (no parse for words 1 to 2). We can find an inside probability  $\beta_S$  in cell (1,3) by

$$\beta_S = \beta_{NP} \beta_{VP} \Pr(S \rightarrow NP VP) = 0.1 \times 0.126 \times 1 = 0.0126. \quad (2)$$

]]]

5. Use the above PCFG to find a parsing tree  $T$  for the sentence  $S = \textit{telescopes saw stars}$  (10 pts). Then calculate the probability of  $\Pr(T)$  (15 pts).

[[[ By applying the rules  $S \rightarrow NP VP$ ,  $NP \rightarrow \textit{telescopes}$ ,  $VP \rightarrow V NP$ ,  $V \rightarrow \textit{saw}$ , and  $NP \rightarrow \textit{stars}$ , we obtain a parsing tree for the sentence. The probability  $\Pr(T)$  is the product of these 5 rules defined in the PCFG.  $\Pr(T) = 1.0 \times 0.1 \times 0.7 \times 1.0 \times 0.18$ . ]]]