

# Natural Language Processing

## CSE 325/425



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### Lecture 6:

- Part-of-Speech (POS)
- POS tagging
- Hidden Markov Models (HMM)

# Part-of-Speech

English word classes: cover a few common classes that will be used in tagging.

1. Nouns: pronouns (she, he, I, who, others), proper nouns (Russia), countable nouns (desk), mass noun (air)
2. Verbs: participles (paced), gerund (pacing), auxiliaries (be, do, have, can, may, should)
3. Adjectives: comparative, superlative.
4. Adverbs: I went *Church yesterday*
5. Prepositions: in, on, over, ...
6. Particles: phrasal verb like “go over”.
  - Easy to be confused with prepositions.
  - Combination of verb and particle does not have their meanings combined simply.
7. Determiners: a, the, an
8. Conjunctions: and, but, that, when
9. Other smaller classes.

# Part-of-Speech

Syntactic information: how words are ordered in a sentence.

- noun-verb
- determiner-noun
- adjective-noun
- verb-adverb
- preposition-noun

Useful for grammar checking: go to (a?the?) hospital

Semantic information: meaning of a word in a context. Useful for:

- machine translation (building a building): building -> (建 vs. 楼)
- question-answering, need to understand the semantics of what a person is asking.
- relation extraction (Bill Gates founded MS): gates (verb vs. noun)
- event extraction (They went to a concert): concert (verb vs. noun)
- entity extraction (I will visit DC): DC?

Syntactic info  $\Leftrightarrow$  Semantic info

# POS tagging

No free

→ large-scale training corpus  
for Language models,  
POS-tagger  
Syntactic Parsing

The Penn Tree Bank tagsets.

- JJ (adjective), JJR (comparative), JJS (superlative)
- NN, NNS (plural), NNP (pronoun), NNPS (pronoun plural)
- RB (adverb), RBR (comparative), RBS (superlative)
- VB, VBD (past tense), VBG (present participle, gerund), VBN (past participle), VBZ (third-person single)
- RP: particle (as in *go on*)
- IN: preposition (as in *go to church*)

go  
gone

going  
goes

Example: → Determiner

- The/DT grand/JJ jury/NN commented/VBD on/IN a/DT number/NN of/IN other/JJ topics/NNS ./.
- There/EX are/VBP 70/CD children/NNS there/RB

See SLP3 for a more  
comprehensive list & examples.

Def of POS tagging task:

Given a sentence =  $[w_1, w_2, \dots, w_n]$

output a single POS-tag for each word in  $[w_1, \dots, w_n]$

Corpus with  
sentences POS-tagged

→ NLP model  
(POS-tagger)

→ make  
predictions  
on future  
sentences.

# POS tagging

Why POS-tagging is difficult

VBZ • Does that flight serve dinner (that is used as a determiner)

• I thought that your flight was earlier (that is used as a complementizer)

main clause  
Sentence

VB RP  
get around

Difficult even for humans

- Mrs./NNP Shaefer/NNP never/RB got/VBD around/RP to/TO joining/VBG
- All/DT we/PRP gotta/VBN do/VB is/VBZ go/VB around/IN the/DT corner/NN
- Chateau/NNP Petrus/NNP costs/VBZ around/RB 250/CD

adverb

Naïve solution?

- always predict the most frequent tag for each word.
- contexts are useful

} good performance (90%)  
on easy words,

Most **common** words are ambiguous; most words are not ambiguous. Such as

"difficult" 1/55

# Hidden Markov Model (HMM)

Want to find the best sequence of POS tags for a given sentence.

- Vocabulary  $V$
- Set of  $N$  POS tags  $S = \{s_1, \dots, s_N\}$

$T = \text{length of the sentence}$

$T=9$

- Observed sentence  $O = [o_1, \dots, o_T], o_t \in V$

All we gotta do is go around the corner

- Hidden states:  $Q = [q_1, \dots, q_T], q_t \in S$

DT PRP VBN VB VBZ VB IN DT NN

- MAP (maximum a posterior) prediction

$$Q^* = \arg \max_Q \Pr(Q|O)$$

posterior probability of  
seq  $Q$  given seq  $O$ .

- Using the Bayes theorem:

$\Pr(O) = \text{Prob. of seeing the sentence } O$

$$\Pr(Q|O) = \frac{\Pr(O|Q)\Pr(Q)}{\Pr(O)}$$

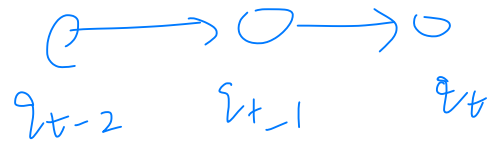
$\Pr(O|Q)$ : likelihood of  
observing seq  $O$ ,  
conditioned on seq  $Q$ .

- Difficulty: there are exponentially many possible sequences.

How many possible  $Q$  sequences?

$O(N^T)$ : exponential time complex.  $\Pr(Q)$ : prob. of observing seq  $Q$

D-separation:



Conditional Independence

$$\Pr(q_t, q_{t-2} | q_{t-1})$$

$$= \Pr(q_t | q_{t-1}) \times \Pr(q_{t-2} | q_{t-1})$$

# The Markov assumption

Motivations:

(Strong)  $\Rightarrow$  pushed further  $\Pr(q_i, q_j)$

$$= \Pr(q_i) \Pr(q_j)$$

$\Rightarrow$  Naive Solution:

tagging each word  
with the most  
frequent pos-tag.

- Linguistically, any two POS tags do not occur independently.
  - $P(\text{NN} | \text{DT})$  and  $P(\text{JJ} | \text{DT})$  should be higher than  $P(\text{DT} | \text{JJ})$
  - e.g., *a yellow cab* vs. *yellow a cab* vs. *yellow cab a*
- Computationally, reduce the complexity of computing the prior  $\Pr(Q)$

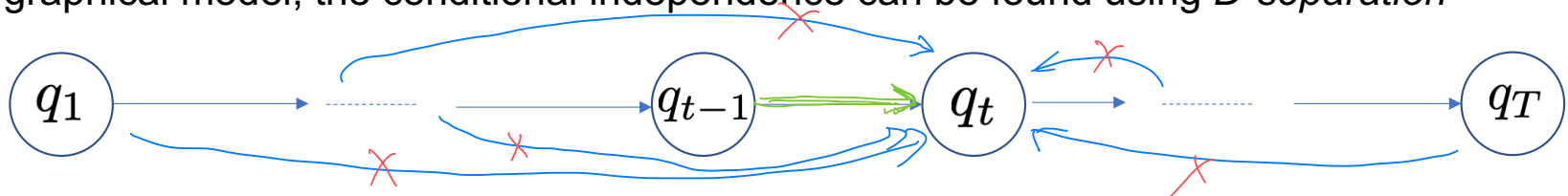
Conditioned on the **previous** POS tag, the probability of the **current** tag is

- Transition probability

$$\Pr(q_t | q_1, \dots, q_{t-1}) = \Pr(q_t | q_{t-1})$$

Using a graphical model, the conditional independence can be found using *D-separation*

No long-range  
dependency



# The Markov assumption

$$O(N^2) = \left. \begin{matrix} N \\ N \\ \vdots \\ N \end{matrix} \right\} \begin{matrix} N \text{ pos tags} \end{matrix}$$

exponential ?!

Each row of  $A$  is a prob dist.

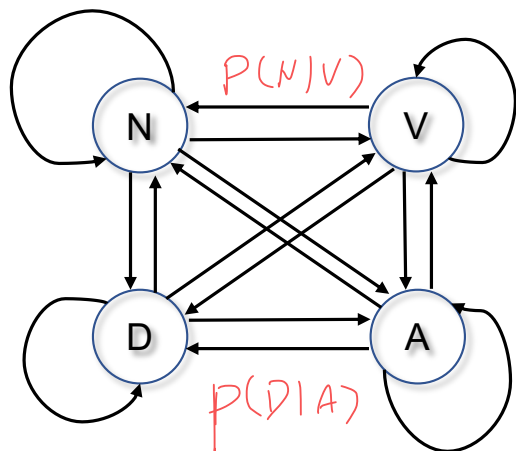
Transition probability matrix  $A$

$A_{ij}$  = Probability of going from hidden state  $i$  to hidden state  $j$ ,

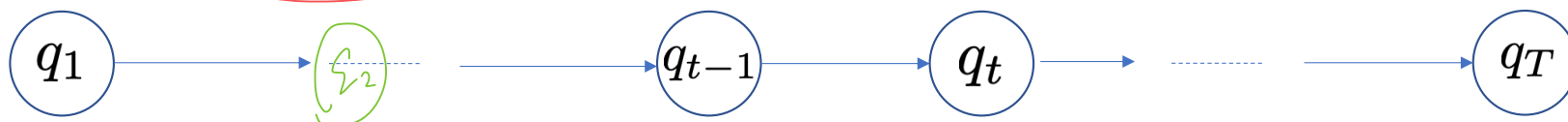
$i$ -th row  $j$ -th col  $\rightarrow$

|   | N       | V       | D       | A       |
|---|---------|---------|---------|---------|
| N | $\odot$ | $\odot$ | $\odot$ | $\odot$ |
| V | $\odot$ | $\odot$ | $\odot$ | $\odot$ |
| D | $\odot$ | $\odot$ | $\odot$ | $\odot$ |
| A | $\odot$ | $\odot$ | $\odot$ | $\odot$ |

fully connected directed graph



N = Noun  
V = Verb  
D = Determiner  
A = Adjective



$q_1 \in \{N, V, D, A\}$

$q_t \in \{N, V, D, A\}$

$q_T \in \{N, V, D, A\}$

$$P(q_2 | \underline{q_1 = V})$$

Sample  $q_2$  using the probabilities  $P(N|V)$ ,  $P(V|V)$ ,  $P(D|V)$ ,  $P(A|V)$



# Emission probability

Motivations:

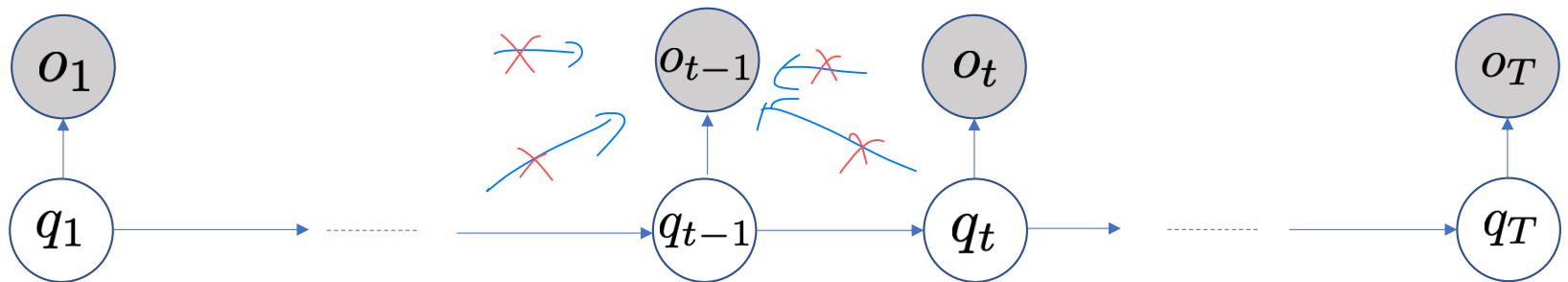
- Linguistically, a word is selected (partially) based on the current POS tag.
- Computationally, reduce the complexity of computing the likelihood  $\Pr(O|Q)$

Conditioned on the **current** POS tag, the probability of the **current** word is

- Emission probability

$$\Pr(o_t | q_1, \dots, q_T, o_1, \dots, o_{t-1}, o_{t+1}, \dots, o_T) = \Pr(o_t | q_t)$$

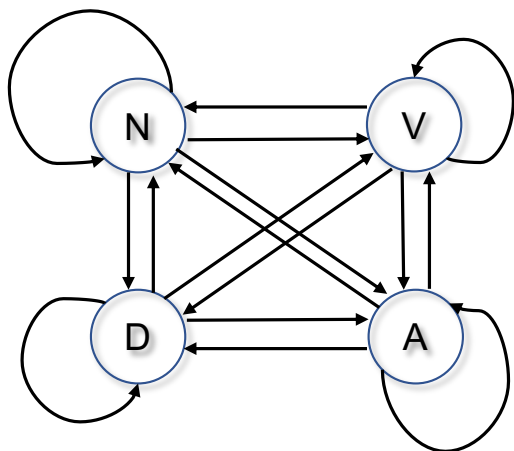
Using a graphical model



Each row of  $B$  is a prob. dist.

$$B = |S| \left\{ \underbrace{[\dots]}_{|V|} \right\}$$

## Generating a sentence using HMM



N = Noun  
V = Verb  
D = Determiner  
A = Adjective

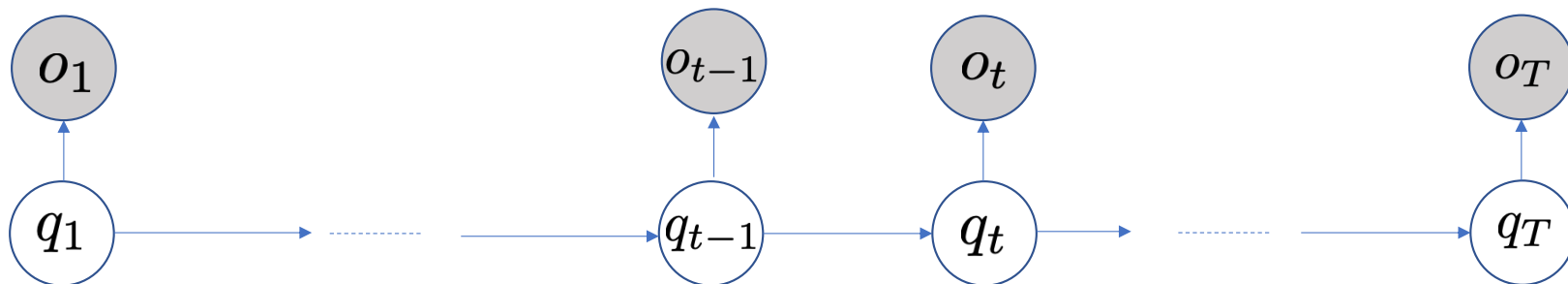
Emission probability matrix  $B$

$B_{io}$  = emission probability of  
word  $o$  from hidden state  $i$ .

$i$ -th row

$o$ -th col

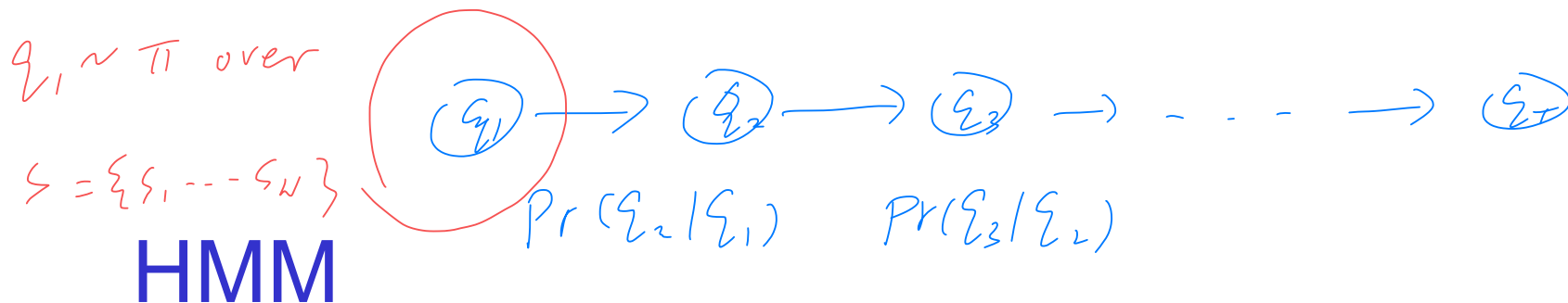
$o_t \in V$



$q_t \in \{N, V, D, A\}$

$q_t \in \{N, V, D, A\} = S$

$\pi_1 = [\text{Pr}(N), \text{Pr}(V), \text{Pr}(D), \text{Pr}(A)]$   
High low High higher



Now we can simplify the following probabilities

- Prior

$$\Pr(Q) = \Pr(q_1) \Pr(q_2|q_1) \dots \Pr(q_T|q_{T-1}) = \Pr(q_1) \prod_{t=2}^T \Pr(q_t|q_{t-1})$$

$= \Pr(q_1 | \phi)$

with the starting probability  $\Pr(q_1) = \pi_{q_1}$  = probability of using  $q_1$  as the first POS tag.

- Likelihood

$$\Pr(O|Q) = \prod_{t=1}^T \Pr(o_t|q_t) = \prod_{t=1}^T B_{q_t, o_t}$$

$\uparrow$  emission prob.

$q_i \in S$

$$\pi = \underbrace{[\Pr(s_1) \dots \Pr(s_N)]}_N$$

- Posterior

$$\Pr(Q|O) = \frac{\Pr(O|Q)\Pr(Q)}{\Pr(O)}$$

MAP:

$$Q^* = \underset{Q}{\operatorname{argmax}} \Pr(Q|O) = \frac{\Pr(O|Q) \Pr(Q)}{\sum_Q \Pr(O, Q)} = \frac{\Pr(O|Q) \Pr(Q)}{\sum_Q \Pr(O|Q) \Pr(Q)}$$

# HMM

Similar to n-gram model

- The transitions between tags is a bi-gram model *over pos-tags*

Different from n-gram model

- The transitions happen on the hidden state (POS-tag) level;
- Words are independent given the POS sequence.

*Bi-gram*

$$Pr(w_t | w_{t-1})$$

*vs.*  $Pr(v_t | v_{t-1})$

Three tasks with HMM:

- find the likelihood of a POS-tag sequence (forward algorithm);
- find the most likely POS-tag sequence given an observed sentence (Viterbi algorithm);
- find the start, transition, and emission probabilities (MLE with backward algorithm).

$$P(q|o)$$

$$\max P(q|o)$$

*train HMM from training corpus (pos-tagged sentences)*

$\hat{=}$  *Estimating*

A : transition prob  
B : emission Prob.  
T<sub>i</sub> : start prob

*EM - alg w. forward backward*