

MATH 413  
Introduction to Combinatorics

Amit Sawhney

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## Chapter 1

# What is Combinatorics?

## Chapter 2

# Permutations and Combinations

2.1 Lecture 2: Four Basic Counting Principles

2.2 Lecture 3: Permutations and selections of sets I

2.3 Lecture 4: Permutations and selections of sets II: binomial identities

2.4 Lecture 5: Permutations and Combinations of multisets I

2.5 Lecture 6: Permutations and Combinations of multisets II

## Chapter 3

# The Pigeonhole Principle

3.1 Lecture 7: The pigeonhole principle

3.2 Lecture 8: The strong pigeonhole principle

3.3 Lecture 9: Ramsey Theory

## Chapter 5

# The Binomial Coefficients

- 5.1 Lecture 10: Binomial coefficients and the binomial theorem I
- 5.2 Lecture 11: Binomial coefficients and the binomial theorem II
- 5.3 Lecture 12: Binomial coefficients and the binomial theorem III

## Chapter 6

# The Inclusion-Exclusion Principle and Applications

- 6.1 Lecture 13: The Inclusion-Exclusion principle and applications I
- 6.2 Lecture 14: The Inclusion-Exclusion principle and applications II: Derangements
- 6.3 Lecture 15: The Inclusion-Exclusion principle and applications II
- 6.4 Lecture 16: The Inclusion-Exclusion principle and applications IV: Another Forbidden Position Problem



## Chapter 7

# Recurrence Relations and Generating Functions

### 7.1 Lecture 17: Some Number Sequences

#### Example 7.1.1 (Example 1)

Consider a configuration of  $n$  lines where every two lines have a point in common, but no three do. How many regions in the plane are there? Give a recurrence.

$$a_n = a_{n-1} + n$$

TODO: Give an explanation of why this is true.

#### Example 7.1.2 (Example 2)

Give a simple recurrence for dearrangements.

$$D_n = (n-1)(D_{n-1} + D_{n-2})$$

TODO: Give an explanation of why this is true. Need to review dearrangements from previous lecture.

Consider the Fibonacci sequence  $f_n = f_{n-1} + f_{n-2}$ , where  $f_0 = 0$  and  $f_1 = 1$ .

#### Definition 7.1.1: The adjusted Fibonacci sequence: $\hat{F}_n$

This is the number of 1,2 lists of size  $n$ . In other words, consider the number of ways a valet can park  $A$  cars (size 1) and  $B$  cars (size 2) in a parking lot of size  $n$ .

$$\hat{F}_n = \begin{cases} 1 & \text{if } n = 0 \\ f_{n+1} & \text{otherwise} \end{cases}$$

### Question 1

Prove

$$\sum_{i=0}^n f_i = f_{n+2} - 1$$

**Solution:**

*Proof.* We will prove this by induction on  $n$ .

**Base case:**  $n = 0$ .

$$\sum_{i=0}^0 f_i = f_0 = 0$$

Similarly,

$$f_{0+2} - 1 = f_2 - 1 = 1 - 1 = 0$$

So, the base case is true.

**Inductive Hypothesis:** Assume that the following statement is true for  $n = k$ .

$$\sum_{i=0}^k f_i = f_{k+2} - 1$$

**Inductive Step:** We will prove that the following statement is true for  $n = k + 1$ .

$$\sum_{i=0}^{k+1} f_i = f_{k+1} + \sum_{i=0}^k f_i = f_{k+1} + f_{k+2} - 1 = f_{k+3} - 1$$

Therefore, the statement is true for all  $n$  by induction. ■

### Question 2

Prove

$$1 + \sum_{i=0}^n \hat{f}_i = \hat{f}_{n+2}$$

**Solution:**

*Proof.* We will prove this by induction on  $n$ .

**Base case:**  $n = 0$ .

$$1 + \sum_{i=0}^0 \hat{f}_i = 1 + \hat{f}_0 = 1 + 1 = 2$$

Similarly,

$$\hat{f}_{0+2} = \hat{f}_2 = f_{2+1} = f_3 = 2$$

So, the base case is true.

**Inductive Hypothesis:** Assume that the following statement is true for  $n = k$ .

$$1 + \sum_{i=0}^k \hat{f}_i = \hat{f}_{k+2}$$

**Inductive Step:** We will prove that the following statement is true for  $n = k + 1$ .

$$\begin{aligned}
 1 + \sum_{i=0}^{k+1} \hat{f}_i &= 1 + \hat{f}_{k+1} + \sum_{i=0}^k \hat{f}_i \\
 &= \hat{f}_{k+1} + \hat{f}_{k+2} \\
 &= f_{k+2} + f_{k+3} \\
 &= f_{k+4} \\
 &= \hat{f}_{k+3}
 \end{aligned}$$

Therefore, the statement is true for all  $n$  by induction. ■

### Question 3

Prove that  $f_n$  is even if and only if  $n$  is divisible by 3.

**Solution:**

*Proof.* Given that  $f_0 = 0$ ,  $f_1 = 1$ , and  $f_2 = 1$ , we can see that at  $n = 3$ ,  $f_3 = 2$ , which is even.

This is because the only way to get an even number is to have the parity of the two numbers added together (odd + odd or even + even) be the same. So,  $f_4$  must be odd,  $f_5$  must be odd and  $f_6$  must be even.

Given the starting sequence of even, odd, odd. The following sequence must always be even, odd, odd, which repeats every 3 numbers.

Since the first  $n = 0$  is the first number in the sequence, every  $n$  that is divisible by 3 is even. ■

#### Note:-

Example problems for later

Guess and prove by induction (you may replace the Fibonacci number by the adjusted Fibonacci number if it helps you)

- $f_1 + f_3 + \cdots + f_{2n-1} = ?$
- $f_0 + f_2 + \cdots + f_{2n} = ?$
- $f_0 - f_1 + f_2 - \cdots + (-1)^n f_n = ?$
- $(f_0)^2 + (f_1)^2 + \cdots + (f_n)^2 = ?$

### Obtaining an explicit formula for $f_n$ for linear recurrences

#### Example 7.1.3

Consider the Fibonacci sequence  $f_n = f_{n-1} + f_{n-2}$ , where  $f_0 = 0$  and  $f_1 = 1$ . This can be rewritten as a linear recurrence as follows:

$$f_n - f_{n-1} - f_{n-2} = 0$$

We must solve the corresponding characteristic equation. Notice how the largest degree lines up with the "largest" case of the recurrence.

$$x^2 - x - 1 = 0$$

Let  $q_1$  and  $q_2$  be the roots of the characteristic equation.

It is potentially relevant to note that the following is a solution space of the Fibonacci recurrence (but don't satisfy  $f_0$  – the initial condition):

$$\begin{cases} q_1^n - q_1^{n-1} - q_1^{n-2} = 0 \\ q_2^n - q_2^{n-1} - q_2^{n-2} = 0 \end{cases}$$

The rest of this is based on an ansatz, i.e. we need to make an assumption at the answer and validate it later

$$f_n = c_1 q_1^n + c_2 q_2^n,$$

for *some*  $c_1, c_2 \in \mathbb{R}$ .

Using the initial conditions of  $f_0 = 0$  and  $f_1 = 1$ , we can solve for  $c_1$  and  $c_2$ .

## 7.2 Lecture 18: Introduction to ordinary generating series

## Chapter 8

# Special Counting Sequences

8.1 Lecture 19: Partition identities

8.2 Lecture 20: Partition identities (continued)

8.3 Lecture 21: Exponential generating series