

∴ The direction cosines of  $\vec{S}$  are also proportional to  $1/a, 1/b, 1/c$ , so the X-ray is diffracted from  $\vec{S}_0$  to  $\vec{S}$  by the miller plane  $(h, k, l)$ .

$$\begin{aligned}\therefore d &= \frac{a}{h} \cos \alpha = \frac{a}{h} \frac{h\lambda}{2a \sin \theta} = \frac{\lambda}{2 \sin \theta} \\ &= \frac{b}{k} \cos \beta = \frac{b}{k} \frac{k\lambda}{2b \sin \theta} = \frac{\lambda}{2 \sin \theta} \\ &= \frac{c}{l} \cos \gamma = \frac{c}{l} \frac{l\lambda}{2c \sin \theta} = \frac{\lambda}{2 \sin \theta}\end{aligned}$$

Note that  $h, k, l$  of Laue equation aren't necessarily identical with Miller indices but may contain a common factor  $n$ .

$$\therefore 2d \sin \theta = n\lambda$$

with  $d$  = adjacent interplanar spacing with Miller indices

$$\frac{h}{n}, \frac{k}{n} \& \frac{l}{n}.$$

Interpretation of Laue's equation in reciprocal lattice

Reciprocal lattice vector  $\vec{r}^* = \vec{G} = h\vec{a}^* + k\vec{b}^* + l\vec{c}^*$

magnitude = reciprocal of spacing of  $(h, k, l)$  planes of direct lattice.

direction = perpendicular to  $(h, k, l)$  plane.

$$\left. \begin{aligned}\vec{G} \cdot \vec{a} &= \vec{r}^* \cdot \vec{a} = 2\pi h \\ \vec{G} \cdot \vec{b} &= \vec{r}^* \cdot \vec{b} = 2\pi k \\ \vec{G} \cdot \vec{c} &= \vec{r}^* \cdot \vec{c} = 2\pi l\end{aligned} \right\}$$

From Laue equation,  $\psi_1 = \frac{1}{2} k \vec{a} \cdot \vec{S} = h\pi \quad \text{or} \quad \frac{1}{2} \frac{2\pi}{\lambda} \vec{S} \cdot \vec{a} = h\pi$   
 $\therefore \frac{2\pi \vec{S}}{\lambda} \cdot \vec{a} = 2\pi h.$

Similarly from  $\psi_2$  &  $\psi_3$ ,  $\frac{2\pi \vec{S}}{\lambda} \cdot \vec{b} = 2\pi k, \quad \frac{2\pi \vec{S}}{\lambda} \cdot \vec{c} = 2\pi l.$

Comparing,

$$\boxed{\vec{r}^* = \vec{G} = \frac{2\pi \vec{S}}{\lambda}}$$

## Ewald's construction

Geometrical construction to obtain a relation between wave vector  $\vec{k}$  & the direction of incident X-ray using the reciprocal lattice & deducing Bragg's law in vectorial form.

$\vec{k} = \frac{2\pi}{\lambda}$  (magnitude), direction along X-ray beam from O & terminating at point A.

From O with radius  $k = \frac{2\pi}{\lambda}$ , draw a sphere (reflex sphere).

Suppose it intersects B, then  $\vec{AB}$  represents reciprocal vector  $\vec{G}$  &  $G \perp OC$  (direct lattice plane)

$$G = \frac{2\pi n}{d}$$

$\vec{k}'$  = diffracted (reflected) wave vector, with  $|\vec{k}| = |\vec{k}'|$

So magnitude is same, only direction changes.

$$\vec{k}' = \vec{k} + \vec{G}$$

$$|\vec{k}'|^2 = (\vec{k} + \vec{G}) \cdot (\vec{k} + \vec{G}) = |\vec{k}|^2 + 2\vec{k} \cdot \vec{G} + \vec{G} \cdot \vec{G}$$

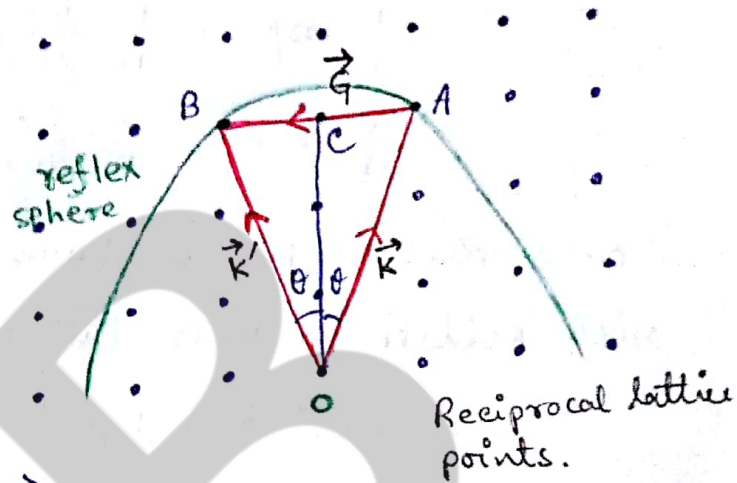
$$\therefore (\vec{k} + \frac{\vec{G}}{2}) \cdot \vec{G} = 0 \quad \Rightarrow \text{Bragg's law (vectorial form) in reciprocal lattice.}$$

Notice that  $AC = OA \sin \theta = CB$ .

$$\therefore AB = 2OA \sin \theta = 2k \sin \theta = 2 \frac{2\pi}{\lambda} \sin \theta$$

$$\therefore G = \frac{4\pi}{\lambda} \sin \theta \quad \propto \quad \frac{2\pi n}{d} = \frac{4\pi}{\lambda} \sin \theta$$

$$\therefore 2d \sin \theta = n\lambda$$





CW 1. Calculate wavelength & speed of neutron beam, where spacing between successive (100) planes is  $3.84 \text{ \AA}$ , grazing angle is  $30^\circ$  & order of Bragg reflection = 1.

Bragg's Law  $2d \sin \theta = n\lambda$ ,

$$d = 3.84 \times 10^{-10} \text{ m}, \quad \theta = 30^\circ, \quad n = 1 \quad \therefore 2 \times 3.84 \times 10^{-10} \times \frac{1}{2} = \lambda$$

$$\therefore \lambda = 3.84 \text{ \AA}.$$

Using de-Broglie relation  $\lambda = \frac{h}{p} = \frac{h}{mv}$

$$v = \frac{h}{m\lambda} = \frac{6.62 \times 10^{-34} \text{ Js}}{1.67 \times 10^{-27} \text{ kg} \times 3.84 \times 10^{-10} \text{ m}} = 1.03 \times 10^3 \text{ m/s}$$

$$= 1.03 \text{ km/s}.$$

2. X-ray of wavelength  $1.24 \text{ \AA}$  is reflected by cubic crystal KCl. Calculate the interplanar distance for (100), (110) & (111) planes. Given density of KCl =  $1.98 \times 10^3 \text{ kg/m}^3$ , molecular weight  $74.5 \text{ kg}$ , Avogadro's no.  $N = 6.023 \times 10^{26} \text{ kg/mole}$ .

For cubic crystal,  $a = \left( \frac{nM}{\rho N} \right)^{1/3}$ .

$$\text{For KCl, } n=4, \quad a = \left( \frac{4 \times 74.5}{1.98 \times 10^3 \times 6.023 \times 10^{26}} \right)^{1/3} = 6.3 \times 10^{-10} \text{ m} = 6.3 \text{ \AA}$$

$$\therefore d_{100} = \frac{a}{\sqrt{1^2 + 0^2 + 0^2}} = \frac{6.3 \text{ \AA}}{2}, \quad d_{110} = \frac{a}{\sqrt{1^2 + 1^2}} = \frac{a}{\sqrt{2}} = \frac{4.45 \text{ \AA}}{2}$$

$$d_{111} = \frac{a}{\sqrt{1^2 + 1^2 + 1^2}} = \frac{a}{\sqrt{3}} = 3.63 \text{ \AA}.$$

(remember KCl is fcc).

3.(a) Calculate the Bragg angle for X-rays with  $\lambda = 1.54 \text{ \AA}$  in different orders 1, 2, 3 if interplanar spacing is  $2.67 \text{ \AA}$ . (b) If Bragg glancing angle is  $15^\circ$  for 1<sup>st</sup> order, then calculate glancing angles for 2<sup>nd</sup> & 3<sup>rd</sup> order spectrum?

$$2d \sin \theta = n\lambda.$$

$$\lambda = 1.54 \times 10^{-10} \text{ m}, \quad d = 2.67 \times 10^{-10} \text{ m}.$$

$$n=1 \text{ (1st order)} \quad 2d \sin \theta_1 = \lambda$$

$$\theta_1 = \sin^{-1} \left[ \frac{\lambda}{2d} \right] = \sin^{-1} \left[ \frac{1.54 \times 10^{-10}}{2 \times 2.67 \times 10^{-10}} \right] = 16.76^\circ$$

$$n=2 \text{ (2nd order)} \quad \theta_2 = \sin^{-1} \left[ \frac{2\lambda}{2d} \right] = 35.22^\circ$$

$$n=3 \text{ (3rd order)} \quad \theta_3 = \sin^{-1} \left[ \frac{3\lambda}{2d} \right] = 59.9^\circ$$

$$(b) \quad 2d \sin \theta_1 = \lambda, \quad \theta_1 = 15^\circ \quad \therefore \lambda = 2d \sin 15^\circ = 0.2582$$

$$\text{So for 2nd order, } \sin \theta_2 = 2 \frac{\lambda}{2d} = 2 \times 0.2582 = 0.5176$$

$$\theta_2 = 31.17^\circ$$

$$\text{for 3rd order, } \sin \theta_3 = 3 \frac{\lambda}{2d} = 3 \times 0.2582 = 0.7764$$

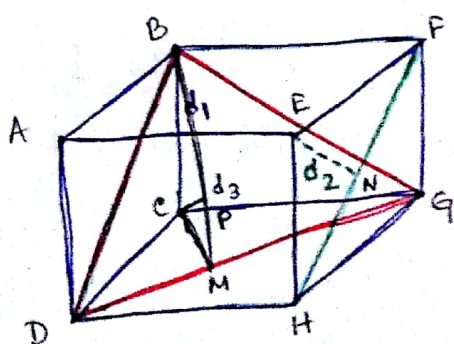
$$\theta_3 = 50.93^\circ$$

HW 1. Molecular weight of rock salt (NaCl) crystal is 58.5 kg/kilomole & density  $2.16 \times 10^3 \text{ kg/m}^3$ . Calculate grating spacing  $d_{100}$  of rock salt. Using that, calculate  $\lambda$  of X-rays in 2nd order if angle of diffraction is  $26^\circ$ .

2. If X-rays with  $\lambda = 0.5 \text{ \AA}$  is diffracted at  $5^\circ$  in 1st order, what is the spacing between adjacent planes of a crystal? At what angle will 2nd maximum occur?

3. Bragg angle for 1st order reflection from (111) plane of a crystal is  $60^\circ$ , when  $\lambda = 1.8 \text{ \AA}$ . Calculate interatomic spacing.

### Determination of crystal structure



$d$  is to be calculated for given X-ray ( $\lambda$ ) by using different plane.



$ABFE \perp CGHD$ .

$d_1$  distance apart.  $\rightarrow$  Total 6 faces (100) plane.



Diagonal plane BFHD inclined at  $\pi/4$  to (100) planes



$d_2$  is interplanar spacing  $\frac{d_2}{d_1} = \sin 45^\circ = \frac{1}{\sqrt{2}} \therefore d_2 = \frac{d_1}{\sqrt{2}}$ .  
 (110) plane.



BGD plane. Here  $CM \perp DG$  &  $BM$  joined to obtain right-angle triangle  $BCM$ .  $CM = d_2$

$$BM = \sqrt{d_1^2 + d_2^2} \quad CP = d_3,$$

$$\sin B = \frac{d_3}{d_1} = \frac{d_2}{\sqrt{d_1^2 + d_2^2}}$$

$$\therefore d_3 = \frac{d_1 d_2}{\sqrt{d_1^2 + d_2^2}} = \frac{d_1}{\sqrt{3}} \quad (\text{substitute } d_2 = \frac{d_1}{\sqrt{2}}).$$

These are (111) planes.

$$\therefore \frac{1}{d_1} : \frac{1}{d_2} : \frac{1}{d_3} = 1 : \sqrt{2} : \sqrt{3}$$

Bragg found for KCl crystal for 1<sup>st</sup> order reflection

$$\theta_1 (\text{from } (100) \text{ plane}) = 5.22^\circ \quad \theta_3 (\text{from } (111) \text{ plane}) = 9.05^\circ.$$

$$\theta_2 (\text{from } (110) \text{ plane}) = 7.30^\circ$$

$$\begin{aligned} \text{as } \frac{1}{d} &= \frac{2 \sin \theta}{\lambda} \quad \therefore \frac{1}{d_1} : \frac{1}{d_2} : \frac{1}{d_3} = \sin 5.22 : \sin 7.30 : \sin 9.05 \\ &= 0.0910 : 0.1272 : 0.1570 \\ &= 1 : 1.40 : 1.73 = 1 : \sqrt{2} : \sqrt{3}. \end{aligned}$$

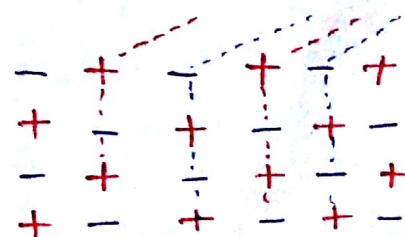
So KCl has cubic crystal symmetry.

### NaCl crystal

8 ions at corner  $\rightarrow 4 \text{Na}^+, 4 \text{Cl}^-$

$\therefore$  Each ion of NaCl is shared between

two adjacent cube & unit cell contain half a molecule of NaCl.



$$\text{mass of unit cell} = \frac{M}{2N} = \frac{23 + 35.5}{2 \times 6.023 \times 10^{26}} \text{ kg.}$$

$$\text{density of NaCl} = 2.17 \times 10^3 \text{ kg/m}^3.$$

$$\therefore \text{volume } d^3 = \frac{58.5}{2 \times 6.023 \times 10^{26} \times 2.17 \times 10^3} \quad \therefore d = 2.814 \text{ \AA}.$$

Now verify Bragg's law for different order of diffraction.

1<sup>st</sup> order,  $n=1$ ,  $\theta = 11.8^\circ$ ,  $\lambda = 2d \sin \theta = 2 \times 2.814 \times 10^{-10} \times \sin 11.8^\circ$   
 $= 1.12 \text{ \AA}$ .

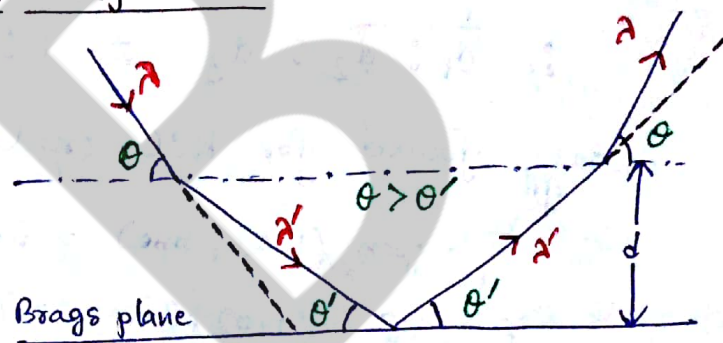
2<sup>nd</sup> order,  $n=2$ ,  $\theta = 23.5^\circ$ ,  $\lambda = \frac{2d \sin \theta}{2} = 2.814 \times 10^{-10} \times \sin 23.5^\circ$   
 $= 1.12 \text{ \AA}$ .

3<sup>rd</sup> order,  $n=3$ ,  $\theta = 36^\circ$ ,  $\lambda = \frac{2d \sin \theta}{3} = \frac{2}{3} \times 2.814 \times 10^{-10} \times \sin 36^\circ$   
 $= 1.12 \text{ \AA}$ .

$\therefore$  Diffraction from NaCl crystal verified Bragg's law.

### Modification of Bragg's law due to refraction

Refraction of X-rays due to change in wavelength & angle of incidence because of the refractive index of the crystal.



Bragg's equation  $n\lambda' = 2d \sin \theta'$

Using Snell's law, the refractive index is  $\mu = \frac{\lambda}{\lambda'} = \frac{\cos \theta}{\cos \theta'}$

$\therefore n \frac{\lambda}{\mu} = 2d \sqrt{1 - \frac{\cos^2 \theta}{\mu^2}}$

$\therefore n\lambda = 2d \sqrt{\mu^2 - \cos^2 \theta} = 2d \sqrt{\sin^2 \theta - (1 - \mu^2)} = 2d \sin \theta \sqrt{1 - \frac{1 - \mu^2}{\sin^2 \theta}}$

$\approx 2d \sin \theta \left(1 - \frac{1 - \mu^2}{2 \sin^2 \theta}\right)$

$\approx 2d \sin \theta \left(1 - \frac{2(1 - \mu)}{2 \sin^2 \theta}\right)$

$\approx 2d \sin \theta \left(1 - (1 - \mu) \frac{4d^2}{n^2 \lambda^2}\right)$

$[1 - \mu^2 = (1 + \mu)(1 - \mu)]$   
 $\approx 2(1 - \mu) \text{ as } \mu \approx 1]$

$[2d \sin \theta = n\lambda]$   
 $\text{or } \frac{1}{\sin^2 \theta} = \frac{4d^2}{n^2 \lambda^2}]$

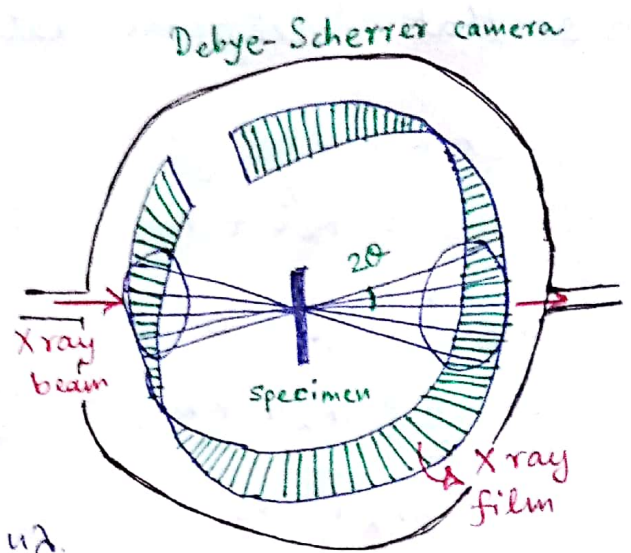
$n\lambda = 2d \sin \theta \left[1 - \frac{4d^2(1 - \mu)}{n^2 \lambda^2}\right]$

~~Largest~~ The correction term  $\frac{4d^2(1 - \mu)}{n^2 \lambda^2}$  is small & becomes more small as "n" increases.



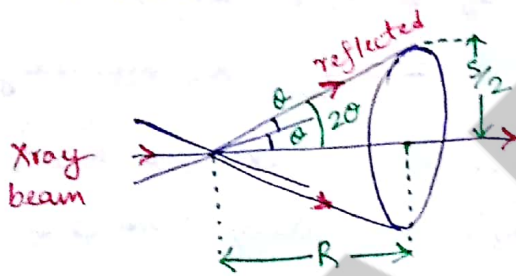
## Powder Method of XRD

$2d \sin \theta = n\lambda$ ,  $d$  &  $\theta$  varies for fixed  $\lambda$ . Powdered specimen is kept in a thin capillary tube on a movable mount at the centre of a cylindrical camera.



For arbitrary orientation, some plane satisfy Bragg reflection  $2d \sin \theta = n\lambda$ .

They lie on a conical section with semi-vertical angle  $2\theta$ . Other cones arise due to other set of planes. Cones intersect X-ray film in concentric rings with sharp centre. Specimen is rotated to ensure all possible planes to face the X-rays.



$S$  = distance between diffracted lines  
 $R$  = radius of the film

$$\frac{S}{2R} = 2\theta \quad \text{or} \quad \theta = \frac{S}{4R} \quad \& \quad \sin \theta \approx \theta$$

so that  $2d \sin \theta = \lambda$  (for  $n=1$ )

$$\approx 2d \theta = \lambda$$

$$\text{or } 2d \frac{S}{4R} = \lambda. \Rightarrow d = \frac{2R\lambda}{S}$$

From known (measured)  $R, S, \lambda$ , interplanar spacing  $d$  is calculated.

## Brillouin Zones

We have learned that all  $k$  values for which the reciprocal lattice points intersect the Ewald sphere are Bragg reflected. Brillouin zone is the locus of all these  $k$  values in the reciprocal lattice which are Bragg reflected.

### Brillouin zones for sc lattice in 2D

primitive translation vectors  $\vec{a} = a\hat{i}$ ,  $\vec{b} = a\hat{j}$ ,  $\vec{c} = k$  &

corresponding translation vector in reciprocal lattice  $\vec{a}^* = \frac{2\pi}{a}\hat{i}$ ,  $\vec{b}^* = \frac{2\pi}{a}\hat{j}$

so that reciprocal lattice vector  $\vec{G} = h\vec{a}^* + k\vec{b}^* = \frac{2\pi}{a}(h\hat{i} + k\hat{j})$ .

( $h, k$  are integers)

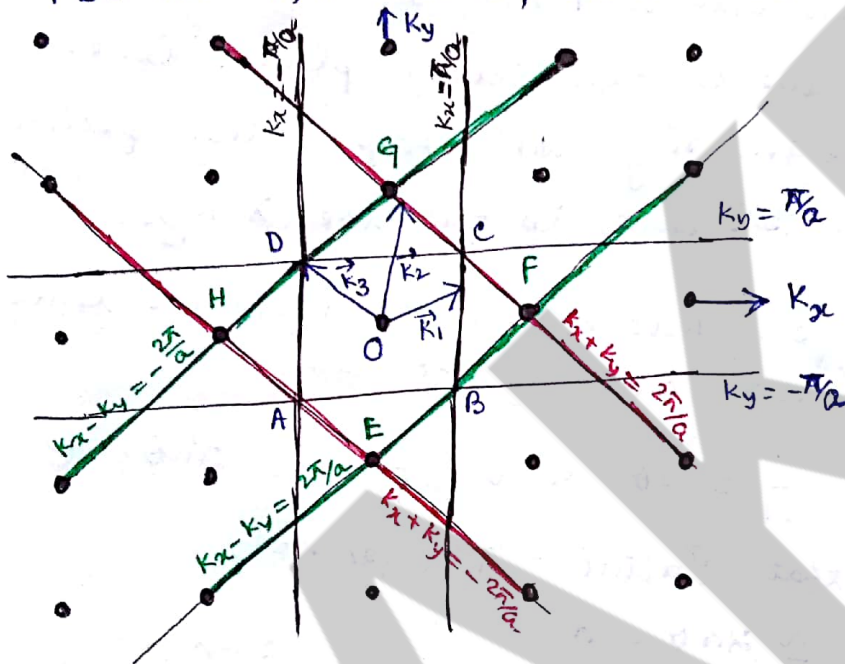
$\vec{k} = k_x\hat{i} + k_y\hat{j}$ .  $\therefore$  from Bragg's vectorial condition

$$2\vec{k} \cdot \vec{G} + G^2 = 0$$

$$\therefore \frac{4\pi}{a}(hk_x + kky) + \frac{4\pi^2}{a^2}(h^2 + k^2) = 0$$

$$\therefore \boxed{hk_x + kky = -\frac{\pi}{a}(h^2 + k^2)}$$

For all  $h, k$  values, we can obtain  $k$ .



If  $h = \pm 1, k = 0$  then

$$k_x = \pm \frac{\pi}{a} \text{ (} k_y \text{ arbitrary)}$$

If  $h = 0, k = \pm 1$ , then

$$k_y = \pm \frac{\pi}{a} \text{ (} k_x \text{ arbitrary)}$$

All  $\vec{k}$  (for example  $\vec{k}_1, \vec{k}_2$  or  $\vec{k}_3$ ) originating from  $O$  & terminating on these parallel lines are Bragg reflected.

$$\text{If } h = \pm 1, k = \pm 1 \text{ then } \pm k_x \pm k_y = \frac{2\pi}{a}.$$

Region enclosed by such lines are the Brillouin zones.

ABCD is the first Brillouin zone & EFGH is the second Brillouin zone.

Brillouin zone boundary represent loci of  $\vec{k}$  that obey Bragg's law, meaning they're the reflecting planes.  $ABCD \Rightarrow 2d\sin\theta = \lambda$ .  $EFGH \Rightarrow 2d\sin\theta = 2\lambda$  & so on.

$$\text{In 3D, } \boxed{hk_x + kky + l k_z = -\frac{\pi}{a}(h^2 + k^2 + l^2)}$$

with cubes represent Brillouin zone.



## Brillouin zones of the fcc lattice

primitive translation vectors of fcc lattice are

$$\vec{a} = \frac{a}{2}(\hat{i} + \hat{j}), \vec{b} = \frac{a}{2}(\hat{j} + \hat{k}), \vec{c} = \frac{a}{2}(\hat{k} + \hat{i}) \text{ \& primitive}$$

translation vectors in reciprocal space are

$$\vec{a}^* = \frac{2\pi}{a}(\hat{i} + \hat{j} - \hat{k}), \vec{b}^* = \frac{2\pi}{a}(-\hat{i} + \hat{j} + \hat{k}), \vec{c}^* = \frac{2\pi}{a}(\hat{i} - \hat{j} + \hat{k})$$

$$\therefore \vec{G} = h\vec{a}^* + k\vec{b}^* + l\vec{c}^*$$

$$= \frac{2\pi}{a}[(h-k+l)\hat{i} + (h+k-l)\hat{j} + (-h+k+l)\hat{k}]$$

To make shortest  $\vec{G}$ , we can use 8 combinations

$$\vec{G} = \frac{2\pi}{a}(\pm\hat{i} \pm \hat{j} \pm \hat{k})$$

First zone boundary is determined by the 8 planes  $\perp \vec{G}$  at their midpoint. But the corners of the octahedron are truncated by planes which are perpendicular bisector of 6 reciprocal lattice vector  $\frac{2\pi}{a}(\pm 2\hat{i}), \frac{2\pi}{a}(\pm 2\hat{j}), \frac{2\pi}{a}(\pm 2\hat{k})$ . So first Brillouin zone is truncated octahedron, which is also the primitive unit cell of bcc lattice.

## Brillouin zones of bcc lattice

primitive translation vectors of bcc lattice are

$$\vec{a} = \frac{a}{2}(\hat{i} + \hat{j} - \hat{k}), \vec{b} = \frac{a}{2}(-\hat{i} + \hat{j} + \hat{k}), \vec{c} = \frac{a}{2}(\hat{i} - \hat{j} + \hat{k}) \text{ \& primitive}$$

translation vectors of reciprocal lattice are

$$\vec{a}^* = \frac{2\pi}{a}(\hat{i} + \hat{j}), \vec{b}^* = \frac{2\pi}{a}(\hat{j} + \hat{k}), \vec{c}^* = \frac{2\pi}{a}(\hat{k} + \hat{i}).$$

$$\vec{G} = \frac{2\pi}{a}[(h+l)\hat{i} + (h+k)\hat{j} + (k+l)\hat{k}] \text{ \& shortest } \vec{G} \text{ are}$$

$$\begin{aligned} \text{the 12 vectors, } \vec{G} &= \frac{2\pi}{a}(\pm\hat{i} \pm \hat{j}) \\ &= \frac{2\pi}{a}(\pm\hat{j} \pm \hat{k}) \\ &= \frac{2\pi}{a}(\pm\hat{k} \pm \hat{i}) \end{aligned}$$

First Brillouin zone is volume by normal bisector of 12 vectors  
 $\Rightarrow$  rhombic dodecahedron.