

3D lattice types

14 Bravais lattices

<u>Class</u>	<u>Type & number</u>	<u>Angle</u>	<u>Length of primitive</u>
Cubic	P, F, I	$\alpha = \beta = \gamma = 90^\circ$	$a = b = c$ isotropic
Tetragonal	P, I	$\alpha = \beta = \gamma = 90^\circ$	$a = b \neq c$
Hexagonal	P	$\alpha = \beta = 90^\circ, \gamma = 120^\circ$	$a = b \neq c$
Rhombohedral/ Trigonal	R	$\alpha = \beta = \gamma \neq 90^\circ < 120^\circ$	$a = b = c$
Orthorhombic	P, F, I, C	$\alpha = \beta = \gamma = 90^\circ$	$a \neq b \neq c$
Monoclinic	P, C	$\alpha = \gamma = 90^\circ \neq \beta$	$a \neq b \neq c$
Triclinic	P	$\alpha \neq \beta \neq \gamma$	$a \neq b \neq c$

uniaxial crystal

Atoms per unit cell

- (i) Eight corner atoms in cubic unit cell $\frac{1}{8}$ th atom
- (ii) Six face atoms in unit cell $\frac{1}{2}$ th atom.
- (iii) If on edge then shared between 4 unit, $\frac{1}{4}$ th atom
- (iv) If inside cell, then (off course) 1 atom as whole.

Simple cubic cell (sc)

$$\# \text{ of atoms / unit cell} = \frac{8}{8} = 1.$$

Body centered cubic cell (bcc)

$$\# \text{ of atoms / unit cell} = \frac{8}{8} + 1 \frac{1}{2} = 2$$

Face centered cubic cell (fcc)

$$\# \text{ of atoms / unit cell} = \frac{8}{8} + \frac{6}{2} = 4$$

Coordination Number

In crystal lattice, the number of nearest neighbours of an atom is called coordination no.

sc cell, coord. no. = 6.

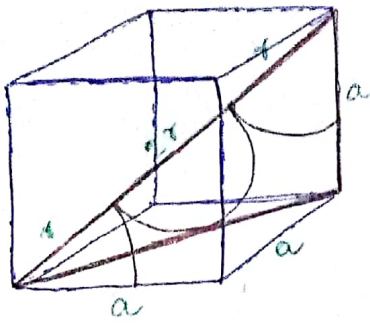
bcc cell, coord no. = 8

fcc cell, coord no. = $4 \times 3 = 12$

1 plane \rightarrow XY, YZ, XZ plane

Atomic radius

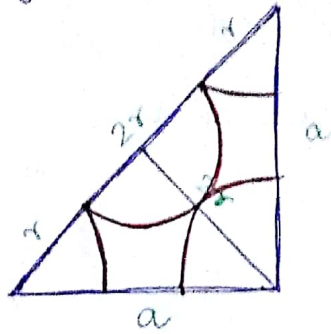
Distance between centre of two touching atoms.



[bcc]

$$(4r)^2 = (\sqrt{2}a)^2 + a^2$$

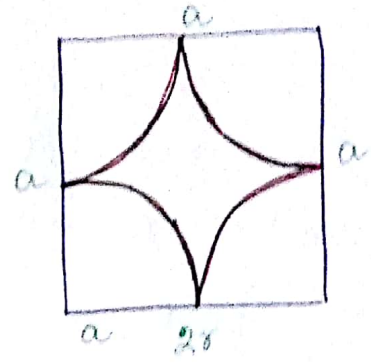
$$\text{or } r = \frac{\sqrt{3}}{4}a$$



[fcc]

$$(4r)^2 = 2a^2$$

$$\text{or } r = \frac{a}{2\sqrt{2}}$$



[sc]

$$2r = a$$

$$\text{or } r = \frac{a}{2}$$

Atomic packing fraction / factor / relative packing density

$$P.F. (f) = \frac{\text{volume of atoms in unit cell}}{\text{volume of unit cell}}$$

[bcc] 2 atoms / unit cell, $r = \frac{\sqrt{3}}{4}a$

\therefore vol. of atoms = $2 \times \frac{4}{3} \pi r^3$, vol. of unit cell = a^3 .

$$\therefore f = \frac{2 \times \frac{4}{3} \pi \times \left(\frac{\sqrt{3}}{4}a\right)^3}{a^3} = \frac{\sqrt{3} \pi}{8} = \underline{68\%}$$

example: Barium, chromium, sodium, iron, caesium chloride

[fcc] 4 atoms / unit cell, $r = \frac{a}{2\sqrt{2}}$

$$\therefore f = \frac{4 \times \frac{4}{3} \pi \times \left(\frac{a}{2\sqrt{2}}\right)^3}{a^3} = \frac{\pi}{3\sqrt{2}} = \underline{74\%}$$

example: nickel, barium, copper, aluminium, lithium, chromium, sodium, iron

[sc] 1 atom / unit cell, $r = \frac{a}{2}$

$$\therefore f = \frac{\frac{4}{3} \pi \left(\frac{a}{2}\right)^3}{a^3} = \frac{\pi}{6} = \underline{52\%}$$

example: polonium, potassium chloride

HW 1. Primitive translation vector of hcp lattice is $\vec{a} = \frac{\sqrt{3}}{2} \hat{a}_i + \frac{a}{2} \hat{j}$, $\vec{b} = -\frac{\sqrt{3}}{2} \hat{a}_i + \frac{a}{2} \hat{j}$, $\vec{c} = c \hat{k}$. Compute the volume of the primitive cell.

2. Show that for a fcc crystal structure, lattice constant is $a = \left(\frac{4M}{\rho N}\right)^{1/3}$ where M is the gram molecular weight of molecules at lattice points, ρ is the density & N is Avogadro's number.

NaCl structure

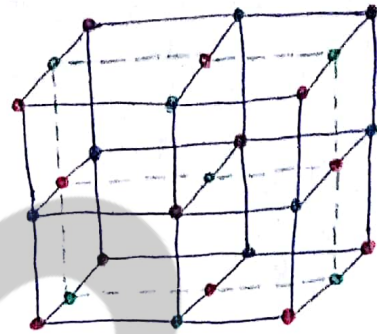
ionic crystal Na^+ & Cl^- , fcc Bravais lattice

Na $(0,0,0)$ $(\frac{1}{2}, \frac{1}{2}, 0)$ $(\frac{1}{2}, 0, \frac{1}{2})$ $(0, \frac{1}{2}, \frac{1}{2})$

Cl $(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$ $(0, 0, \frac{1}{2})$ $(0, \frac{1}{2}, 0)$ $(\frac{1}{2}, 0, 0)$

4 NaCl molecule in unit cube.

Na^+ $(0,0,0)$ & Cl^- $(\frac{a}{2}, 0, 0) \rightarrow$ 6 nearest neighbour (coordination number)



• Cl^-
• Na^+

Miller indices To designate the position & orientation of a crystal plane according to following rule:

(a) In terms of lattice constant, find the intercept of the plane on crystal axes

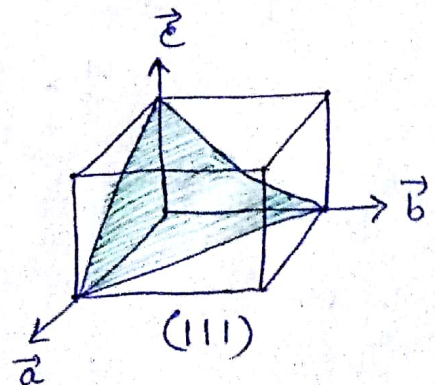
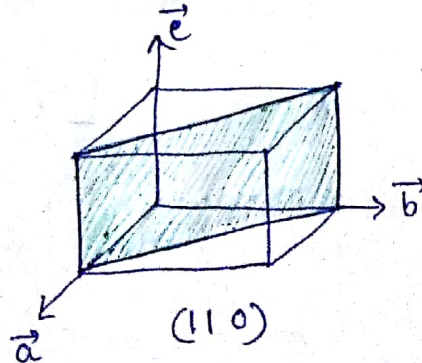
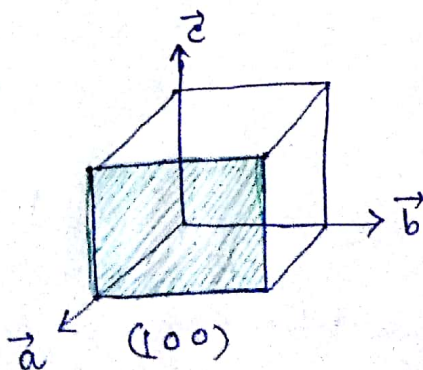
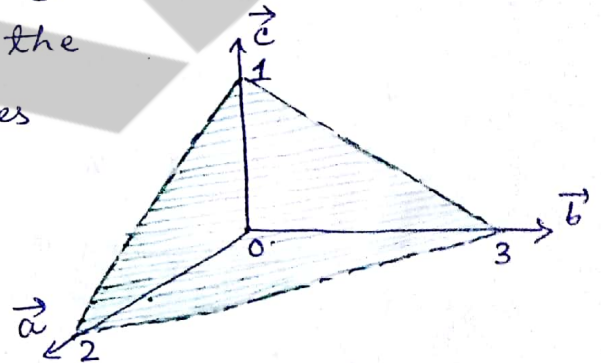
$\vec{a}, \vec{b}, \vec{c}$ (primitive or nonprimitive)

$(2,0,0), (0,3,0), (0,0,1) \rightarrow 2a, 3b, c$.

(b) Take reciprocals of them & reduce to smallest 3 integers, denote with (h, k, l)

So $2a, 3b, c \xrightarrow{\text{reciproc}} \frac{1}{2}, \frac{1}{3}, 1 \xrightarrow{\text{smallest}} 3, 2, 6$.

Miller index is $(3, 2, 6)$ plane.



If plane cuts negative side of axis, M-index (h, \bar{k}, l)
(say $-\vec{b}$)

6-faces of cubic crystal, M-index $(1, 0, 0), (0, 1, 0), (0, 0, 1)$
because through rotation, all faces are equivalent & written in $\{ \}$.
 $(\bar{1}, 0, 0), (0, \bar{1}, 0), (0, 0, \bar{1}) \equiv \{1, 0, 0\}$

So $(2, 0, 0)$ plane intercepts on $\vec{a}, \vec{b}, \vec{c}$ are $\frac{1}{2}a, \infty, \infty$. & parallel
(M-index)

to $(1, 0, 0)$ & $(\bar{1}, 0, 0)$ plane.

Indices of a direction $[h, k, l]$ & direction is perpendicular to
plane (h, k, l) . \vec{a} axis = $[1, 0, 0]$, $-\vec{b}$ axis = $[0, \bar{1}, 0]$

body diagonal = $[1, 1, 1]$

(*)

Spacing of planes in sc lattice

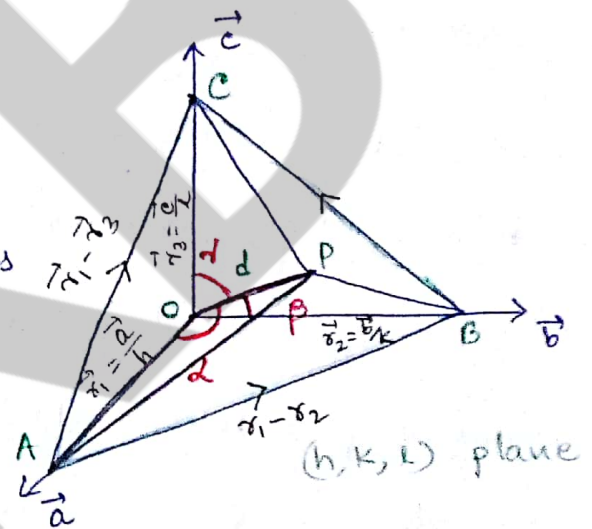
simple unit cell $\vec{a} \perp \vec{b} \perp \vec{c}$ &
a plane (h, k, l) (Miller index).

Intercepts $a/h, b/k, c/l$ on $\vec{a}, \vec{b}, \vec{c}$ axes

$OP \perp (h, k, l)$ plane & $OP = d$.

& $\angle AOP = \alpha$, $\angle BOP = \beta$, $\angle COP = \gamma$.

& $\angle APO = \angle BPO = \angle CPO = 90^\circ$.



$$\frac{OP}{OA} = \cos \alpha \quad \Rightarrow \quad OP = OA \cos \alpha \quad \Rightarrow \quad d = \frac{a}{h} \cos \alpha \quad \Rightarrow \quad \cos \alpha = \frac{dh}{a}$$

$$\text{Similarly } \cos \beta = \frac{dk}{b}, \quad \cos \gamma = \frac{dl}{c}.$$

Law of direction cosines, $\cos^2 \alpha + \cos^2 \beta + \cos^2 \gamma = 1$

$$\Rightarrow d^2 \left(\frac{h^2}{a^2} + \frac{k^2}{b^2} + \frac{l^2}{c^2} \right) = 1.$$

$$\Rightarrow d = \frac{1}{\sqrt{\frac{h^2}{a^2} + \frac{k^2}{b^2} + \frac{l^2}{c^2}}}$$

$$\text{If cubic lattice, } a = b = c, \quad d = \frac{a}{\sqrt{h^2 + k^2 + l^2}}$$

$$d_{100} = \frac{a}{\sqrt{1^2 + 0 + 0}} = a, \quad d_{110} = \frac{a}{\sqrt{1^2 + 1^2 + 0}} = \frac{a}{\sqrt{2}}, \quad d_{111} = \frac{a}{\sqrt{1^2 + 1^2 + 1^2}} = \frac{a}{\sqrt{3}}$$

Spacing of planes in bcc lattice

One atom at each corner + one atom at cube centre.
(portion) (whole)

$\therefore d_{100} = \frac{a}{2}$ as additional $(1,0,0)$ is there halfway between (100) plane of sc.

$d_{110} = d_{110}^{sc} = \frac{a}{\sqrt{2}}$ but $d_{111} = \frac{1}{2} \frac{a}{\sqrt{3}}$ as $(1,1,1)$ plane lies midway of (111) plane of sc.

Spacing of planes in fcc lattice

one atom at each corner + one atom at each face.
(portion) (portion)

$\therefore d_{100} = \frac{a}{2}$ as additional $(1,0,0)$ is there halfway between $(1,0,0)$ plane of sc.

But $d_{110} = \frac{1}{2} \frac{a}{\sqrt{2}}$ as additional set of (110) is there halfway between $(1,1,0)$ plane.

$d_{111} = \frac{a}{\sqrt{3}}$ as centre of all face plane without new plane.

(*) $\vec{r}_1 = \vec{a}/h, \vec{r}_2 = \vec{b}/k, \vec{r}_3 = \vec{c}/l.$

$h\vec{a} + k\vec{b} + l\vec{c}$ represents $[h, k, l]$

$$\begin{aligned} \text{Now } (\vec{r}_1 - \vec{r}_2) \cdot (h\vec{a} + k\vec{b} + l\vec{c}) &= \left(\frac{\vec{a}}{h} - \frac{\vec{b}}{k}\right) \cdot (h\vec{a} + k\vec{b} + l\vec{c}) \\ &= \vec{a} \cdot \vec{a} - \vec{b} \cdot \vec{b} = 0. \quad (\text{as } |\vec{a}| = |\vec{b}|) \end{aligned}$$

$$\text{Similarly } (\vec{r}_1 - \vec{r}_3) \cdot (h\vec{a} + k\vec{b} + l\vec{c}) = 0 \quad (\text{as } |\vec{a}| = |\vec{c}|)$$

As vectors $\vec{r}_1 - \vec{r}_2$ & $\vec{r}_1 - \vec{r}_3$ lie in (h, k, l) plane, so

$[h, k, l]$ is perpendicular to plane (h, k, l) .

Reciprocal lattice To represent slope & interplanar spacing⁵ of crystal plane, each set of parallel plane in a space lattice is represented by normals of planes with length = $\frac{1}{\text{interplanar spacing}}$ points marked at ends.

points form regular arrangement \rightarrow reciprocal lattice

for $\vec{a}, \vec{b}, \vec{c}$, we describe reciprocal basis vectors $\vec{a}^*, \vec{b}^*, \vec{c}^*$ (primitive) such that

$$\begin{aligned} \vec{a} \cdot \vec{a}^* &= 2\pi, & \vec{b} \cdot \vec{a}^* &= 0, & \vec{c} \cdot \vec{a}^* &= 0 \\ \vec{a} \cdot \vec{b}^* &= 0, & \vec{b} \cdot \vec{b}^* &= 2\pi, & \vec{c} \cdot \vec{b}^* &= 0 \\ \vec{a} \cdot \vec{c}^* &= 0, & \vec{b} \cdot \vec{c}^* &= 0, & \vec{c} \cdot \vec{c}^* &= 2\pi. \end{aligned}$$

$$\vec{a}^* = 2\pi \frac{\vec{b} \times \vec{c}}{\vec{a} \cdot \vec{b} \times \vec{c}}, \quad \vec{b}^* = 2\pi \frac{\vec{c} \times \vec{a}}{\vec{a} \cdot \vec{b} \times \vec{c}}, \quad \vec{c}^* = 2\pi \frac{\vec{a} \times \vec{b}}{\vec{a} \cdot \vec{b} \times \vec{c}}$$

reciprocal lattice vector $\vec{r}^* = h\vec{a}^* + k\vec{b}^* + l\vec{c}^*$

property (i) reciprocal lattice is normal to lattice plane of direct crystal lattice.

$$\vec{r}^* \cdot (\vec{r}_1 - \vec{r}_2) = (h\vec{a}^* + k\vec{b}^* + l\vec{c}^*) \cdot \left(\frac{\vec{a}}{h} - \frac{\vec{b}}{k} \right) = 0.$$

Similarly $\vec{r}^* \cdot (\vec{r}_1 - \vec{r}_3) = 0.$

(ii) direct lattice is reciprocal of reciprocal lattice.

sc = self-reciprocal.

bcc \leftrightarrow fcc reciprocal of each other.

Definition of R.L. $\vec{T} = u_1\vec{a} + u_2\vec{b} + u_3\vec{c}$ direct lattice vector &

say \vec{k} constitutes a plane wave $e^{i\vec{k} \cdot \vec{r}}$ which may not have the periodicity of Bravais lattice but \vec{k} has that periodicity.

$$e^{i\vec{k} \cdot (\vec{r} + \vec{T})} = e^{i\vec{k} \cdot \vec{r}} \quad \text{or} \quad e^{i\vec{k} \cdot \vec{T}} = 1$$

$$\vec{k} = k_1\vec{a}^* + k_2\vec{b}^* + k_3\vec{c}^* =$$

$$\therefore \vec{k} \cdot \vec{T} = 2\pi(k_1u_1 + k_2u_2 + k_3u_3)$$

If $e^{i\vec{k} \cdot \vec{T}} = 1$, then $\vec{k} \cdot \vec{T}$ must be $2\pi \times \text{integer} \Rightarrow k_1, k_2, k_3$ integers

So from \vec{k} only \vec{r} which is linear combination of $\vec{a}^*, \vec{b}^*, \vec{c}^*$ with integral coefficient makes \vec{r} a reciprocal lattice vector.

Reciprocal of reciprocal lattice

As by construction, reciprocal lattice is a "Bravais lattice", reciprocal gives back the direct lattice.

HW Define $\vec{a}^{**} = 2\pi \frac{\vec{b}^* \times \vec{c}^*}{\vec{a}^* \cdot \vec{b}^* \times \vec{c}^*}$, ~~$\vec{b}^{**} = 2\pi \frac{\vec{c}^* \times \vec{a}^*}{\vec{a}^* \cdot \vec{b}^* \times \vec{c}^*}$~~ , ~~$\vec{c}^{**} = 2\pi \frac{\vec{a}^* \times \vec{b}^*}{\vec{a}^* \cdot \vec{b}^* \times \vec{c}^*}$~~

$\vec{b}^{**} = 2\pi \frac{\vec{c}^* \times \vec{a}^*}{\vec{a}^* \cdot \vec{b}^* \times \vec{c}^*}$, $\vec{c}^{**} = 2\pi \frac{\vec{a}^* \times \vec{b}^*}{\vec{a}^* \cdot \vec{b}^* \times \vec{c}^*}$ as three

vectors generated by primitive vectors $\vec{a}^*, \vec{b}^*, \vec{c}^*$. Check first, $\vec{a}^* \cdot \vec{b}^* \times \vec{c}^* = \frac{(2\pi)^3}{\vec{a} \cdot \vec{b} \times \vec{c}}$ & then show that $\vec{a}^{**} = \vec{a}$.

$\vec{b}^{**} = \vec{b}$, $\vec{c}^{**} = \vec{c}$.

Reciprocal of sc lattice

$\vec{a} = a\hat{i}$, $\vec{b} = b\hat{j}$, $\vec{c} = c\hat{k}$.

$\therefore \vec{a}^* = 2\pi \frac{b\hat{j} \times c\hat{k}}{a\hat{i} \cdot (b\hat{j} \times c\hat{k})} = 2\pi \frac{bc}{abe} \hat{i} = \frac{2\pi}{a} \hat{i}$

$\vec{b}^* = 2\pi \frac{c\hat{k} \times a\hat{i}}{a\hat{i} \cdot (b\hat{j} \times c\hat{k})} = \frac{2\pi}{b} \hat{j} = \frac{2\pi}{a} \hat{j}$ ($a=b=c$)

$\vec{c}^* = 2\pi \frac{a\hat{i} \times b\hat{j}}{a\hat{i} \cdot (b\hat{j} \times c\hat{k})} = \frac{2\pi}{c} \hat{k} = \frac{2\pi}{a} \hat{k}$.

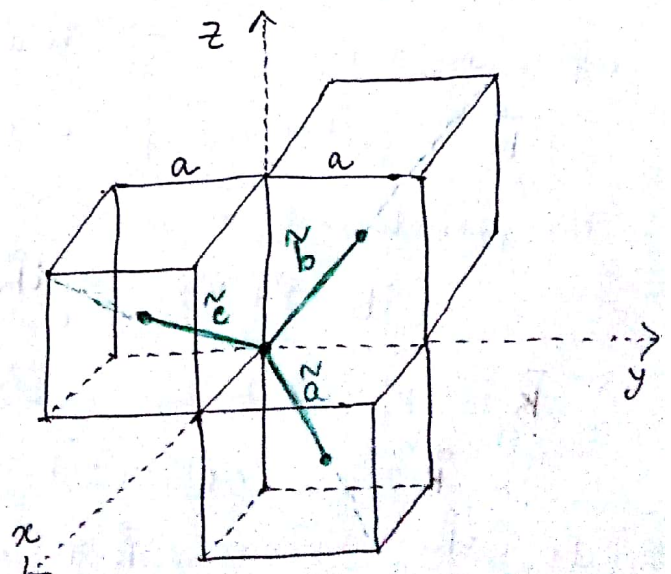
lattice constant = $2\pi/a$.

Reciprocal of bcc lattice

$\vec{a} = \frac{a}{2}(\hat{i} + \hat{j} - \hat{k})$

$\vec{b} = \frac{a}{2}(-\hat{i} + \hat{j} + \hat{k})$

$\vec{c} = \frac{a}{2}(\hat{i} - \hat{j} + \hat{k})$.



$$\text{volume of primitive cell} = \vec{a} \cdot \vec{b} \times \vec{c} = a^3/2.$$

$$\therefore \vec{a}^* = 2\pi \frac{\vec{b} \times \vec{c}}{\vec{a} \cdot \vec{b} \times \vec{c}} = \frac{2\pi}{a} (\hat{i} + \hat{j}),$$

$$\vec{b}^* = 2\pi \frac{\vec{c} \times \vec{a}}{\vec{a} \cdot \vec{b} \times \vec{c}} = \frac{2\pi}{a} (\hat{j} + \hat{k}).$$

$$\vec{c}^* = 2\pi \frac{\vec{a} \times \vec{b}}{\vec{a} \cdot \vec{b} \times \vec{c}} = \frac{2\pi}{a} (\hat{i} + \hat{k}).$$

Reciprocal of fcc lattice

$$\vec{a} = \frac{a}{2} (\hat{i} + \hat{j}), \quad \vec{b} = \frac{a}{2} (\hat{j} + \hat{k})$$

$$\vec{c} = \frac{a}{2} (\hat{i} + \hat{k})$$

$$\text{volume of primitive cell} = \vec{a} \cdot \vec{b} \times \vec{c} = a^3/4.$$

$$\text{and } \vec{a}^* = \frac{2\pi}{a} (\hat{i} + \hat{j} - \hat{k}), \quad \vec{b}^* = \frac{2\pi}{a} (-\hat{i} + \hat{j} + \hat{k}), \quad \vec{c}^* = \frac{2\pi}{a} (\hat{i} - \hat{j} + \hat{k}).$$

\therefore Reciprocal bcc lattice vectors = primitive fcc lattice vectors
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