# Package 'rpart'

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Priority recommended

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**Description** Recursive partitioning for classification, regression and survival trees. An implementation of most of the functionality of the 1984 book by Breiman, Friedman, Olshen and Stone.

**Title** Recursive Partitioning and Regression Trees

**Depends** R (>= 2.15.0), graphics, stats, grDevices

Suggests survival

License GPL-2 | GPL-3

LazyData yes

ByteCompile yes

**Note** Maintainers are not available to give advice on using a package they did not author.

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car.test.frame

Automobile Data from 'Consumer Reports' 1990

### Description

The car.test.frame data frame has 60 rows and 8 columns, giving data on makes of cars taken from the April, 1990 issue of *Consumer Reports*. This is part of a larger dataset, some columns of which are given in cu.summary.

### Usage

car.test.frame

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### **Format**

This data frame contains the following columns:

Price a numeric vector giving the list price in US dollars of a standard model

Country of origin, a factor with levels 'France', 'Germany', 'Japan', 'Japan/USA', 'Korea', 'Mexico', 'Sweden' and 'USA'

Reliability a numeric vector coded 1 to 5.

Mileage fuel consumption miles per US gallon, as tested.

Type a factor with levels Compact Large Medium Small Sporty Van

Weight kerb weight in pounds.

Disp. the engine capacity (displacement) in litres.

HP the net horsepower of the vehicle.

#### **Source**

Consumer Reports, April, 1990, pp. 235-288 quoted in

John M. Chambers and Trevor J. Hastie eds. (1992) *Statistical Models in S*, Wadsworth and Brooks/Cole, Pacific Grove, CA, pp. 46–47.

#### See Also

```
car90, cu. summary
```

### **Examples**

```
z.auto <- rpart(Mileage ~ Weight, car.test.frame)
summary(z.auto)</pre>
```

car90

Automobile Data from 'Consumer Reports' 1990

### **Description**

Data on 111 cars, taken from pages 235–255, 281–285 and 287–288 of the April 1990 *Consumer Reports* Magazine.

### Usage

```
data(car90)
```

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#### **Format**

The data frame contains the following columns

**Country** a factor giving the country in which the car was manufactured

**Disp** engine displacement in cubic inches

Disp2 engine displacement in liters

Eng.Rev engine revolutions per mile, or engine speed at 60 mph

**Front.Hd** distance between the car's head-liner and the head of a 5 ft. 9 in. front seat passenger, in inches, as measured by CU

Frt.Leg.Room maximum front leg room, in inches, as measured by CU

Frt.Shld front shoulder room, in inches, as measured by CU

Gear.Ratio the overall gear ratio, high gear, for manual transmission

**Gear2** the overall gear ratio, high gear, for automatic transmission

**HP** net horsepower

**HP.revs** the red line—the maximum safe engine speed in rpm

Height height of car, in inches, as supplied by manufacturer

Length overall length, in inches, as supplied by manufacturer

Luggage luggage space

Mileage a numeric vector of gas mileage in miles/gallon as tested by CU; contains NAs.

Model2 alternate name, if the car was sold under two labels

**Price** list price with standard equipment, in dollars

**Rear.Hd** distance between the car's head-liner and the head of a 5 ft 9 in. rear seat passenger, in inches, as measured by CU

**Rear.Seating** rear fore-and-aft seating room, in inches, as measured by CU

**RearShld** rear shoulder room, in inches, as measured by CU

**Reliability** an ordered factor with levels 'Much worse' < 'worse' < 'average' < 'better' < 'Much better': contains NAs.

**Rim** factor giving the rim size

**Sratio.m** Number of turns of the steering wheel required for a turn of 30 foot radius, manual steering

**Sratio.p** Number of turns of the steering wheel required for a turn of 30 foot radius, power steering

Steering steering type offered: manual, power, or both

Tank fuel refill capacity in gallons

Tires factor giving tire size

Trans1 manual transmission, a factor with levels ", "man.4", "man.5" and "man.6"

**Trans2** automatic transmission, a factor with levels ", 'auto.3", 'auto.4', and 'auto.CVT'. No car is missing both the manual and automatic transmission variables, but several had both as options

**Turning** the radius of the turning circle in feet

cu.summary 5

```
Type a factor giving the general type of car. The levels are: 'Small', 'Sporty', 'Compact', 'Medium', 'Large', 'Van'
```

**Weight** an order statistic giving the relative weights of the cars; 1 is the lightest and 111 is the heaviest

Wheel.base length of wheelbase, in inches, as supplied by manufacturer

Width width of car, in inches, as supplied by manufacturer

#### Source

This is derived (with permission) from the data set car.all in S-PLUS, but with some further clean up of variable names and definitions.

#### See Also

car. test. frame, cu. summary for extracts from other versions of the dataset.

#### **Examples**

cu.summary

Automobile Data from 'Consumer Reports' 1990

#### **Description**

The cu. summary data frame has 117 rows and 5 columns, giving data on makes of cars taken from the April, 1990 issue of *Consumer Reports*.

### Usage

```
cu.summary
```

### Format

This data frame contains the following columns:

```
Price a numeric vector giving the list price in US dollars of a standard model
```

```
Country of origin, a factor with levels 'Brazil', 'England', 'France', 'Germany', 'Japan', 'Japan'USA', 'Korea', 'Mexico', 'Sweden' and 'USA'
```

Reliability an ordered factor with levels 'Much worse' < 'worse' < 'average' < 'better' < 'Much better'

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Mileage fuel consumption miles per US gallon, as tested.

Type a factor with levels Compact Large Medium Small Sporty Van

### Source

Consumer Reports, April, 1990, pp. 235-288 quoted in

John M. Chambers and Trevor J. Hastie eds. (1992) *Statistical Models in S*, Wadsworth and Brooks/Cole, Pacific Grove, CA, pp. 46–47.

#### See Also

```
car.test.frame, car90
```

### **Examples**

```
fit <- rpart(Price ~ Mileage + Type + Country, cu.summary)
par(xpd = TRUE)
plot(fit, compress = TRUE)
text(fit, use.n = TRUE)</pre>
```

kyphosis

Data on Children who have had Corrective Spinal Surgery

### Description

The kyphosis data frame has 81 rows and 4 columns. representing data on children who have had corrective spinal surgery

### Usage

kyphosis

#### **Format**

This data frame contains the following columns:

Kyphosis a factor with levels absent present indicating if a kyphosis (a type of deformation) was present after the operation.

Age in months

Number the number of vertebrae involved

Start the number of the first (topmost) vertebra operated on.

#### Source

John M. Chambers and Trevor J. Hastie eds. (1992) *Statistical Models in S*, Wadsworth and Brooks/Cole, Pacific Grove, CA.

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### **Examples**

labels.rpart

Create Split Labels For an Rpart Object

### **Description**

This function provides labels for the branches of an rpart tree.

terminal nodes.

optional arguments to abbreviate.

### Usage

```
## S3 method for class 'rpart'
labels(object, digits = 4, minlength = 1L, pretty, collapse = TRUE, ...)
```

### **Arguments**

object	fitted model object of class "rpart". This is assumed to be the result of some function that produces an object with the same named components as that returned by the rpart function.
digits	the number of digits to be used for numeric values. All of the rpart functions that call labels explicitly set this value, with options("digits") as the default.
minlength	the minimum length for abbreviation of character or factor variables. If 0 no abbreviation is done; if 1 single English letters are used, first lower case than upper case (with a maximum of 52 levels). If the value is greater than , the abbreviate function is used, passed the $minlength$ argument.
pretty	an argument included for compatibility with the <b>tree</b> package: pretty = 0 implies minlength = 0L, pretty = NULL implies minlength = 1L, and pretty = TRUE implies minlength = 4L.
collapse	logical. The returned set of labels is always of the same length as the number of nodes in the tree.
	If collapse = TRUE (default), the returned value is a vector of labels for the branch leading into each node, with "root" as the label for the top node.  If FALSE, the returned value is a two column matrix of labels for the left and right branches leading out from each node, with "leaf" as the branch labels for
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### Value

Vector of split labels (collapse = TRUE) or matrix of left and right splits (collapse = FALSE) for the supplied rpart object. This function is called by printing methods for rpart and is not intended to be called directly by the users.

### See Also

abbreviate

meanvar.rpart

Mean-Variance Plot for an Rpart Object

### **Description**

Creates a plot on the current graphics device of the deviance of the node divided by the number of observations at the node. Also returns the node number.

### Usage

```
meanvar(tree, ...)
## S3 method for class 'rpart'
meanvar(tree, xlab = "ave(y)", ylab = "ave(deviance)", ...)
```

### Arguments

tree	fitted model object of class "rpart". This is assumed to be the result of some function that produces an object with the same named components as that returned by the rpart function.
xlab	x-axis label for the plot.
ylab	y-axis label for the plot.
	additional graphical parameters may be supplied as arguments to this function.

#### Value

an invisible list containing the following vectors is returned.

x fitted value at terminal nodes (yval).

y deviance of node divided by number of observations at node.

label node number.

### **Side Effects**

a plot is put on the current graphics device.

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### See Also

```
plot.rpart.
```

### **Examples**

```
z.auto <- rpart(Mileage ~ Weight, car.test.frame)
meanvar(z.auto, log = 'xy')</pre>
```

na.rpart

Handles Missing Values in an Rpart Object

### Description

Handles missing values in an "rpart" object.

### Usage

```
na.rpart(x)
```

### **Arguments**

Х

a model frame.

### **Details**

Default function that handles missing values when calling the function rpart.

It omits cases where part of the response is missing or all the explanatory variables are missing.

path.rpart

Follow Paths to Selected Nodes of an Rpart Object

### Description

Returns a names list where each element contains the splits on the path from the root to the selected nodes.

### Usage

```
path.rpart(tree, nodes, pretty = 0, print.it = TRUE)
```

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### **Arguments**

tree	fitted model object of class "rpart". This is assumed to be the result of some function that produces an object with the same named components as that returned by the rpart function.
nodes	an integer vector containing indices (node numbers) of all nodes for which paths are desired. If missing, user selects nodes as described below.
pretty	an integer denoting the extent to which factor levels in split labels will be abbreviated. A value of (0) signifies no abbreviation. A NULL, the default, signifies using elements of letters to represent the different factor levels.
print.it	Logical. Denotes whether paths will be printed out as nodes are interactively selected. Irrelevant if nodes argument is supplied.

### **Details**

The function has a required argument as an rpart object and a list of nodes as optional arguments. Omitting a list of nodes will cause the function to wait for the user to select nodes from the dendrogram. It will return a list, with one component for each node specified or selected. The component contains the sequence of splits leading to that node. In the graphical interaction, the individual paths are printed out as nodes are selected.

#### Value

A named (by node) list, each element of which contains all the splits on the path from the root to the specified or selected nodes.

#### **Graphical Interaction**

A dendrogram of the rpart object is expected to be visible on the graphics device, and a graphics input device (e.g. a mouse) is required. Clicking (the selection button) on a node selects that node. This process may be repeated any number of times. Clicking the exit button will stop the selection process and return the list of paths.

#### References

This function was modified from path.tree in S.

### See Also

rpart

### **Examples**

```
fit <- rpart(Kyphosis ~ Age + Number + Start, data = kyphosis)
print(fit)
path.rpart(fit, node = c(11, 22))</pre>
```

plot.rpart 11

### Description

Plots an rpart object on the current graphics device.

### Usage

```
## S3 method for class 'rpart'
plot(x, uniform = FALSE, branch = 1, compress = FALSE, nspace,
    margin = 0, minbranch = 0.3, ...)
```

### Arguments

X	a fitted object of class "rpart", containing a classification, regression, or rate tree.
uniform	if TRUE, uniform vertical spacing of the nodes is used; this may be less cluttered when fitting a large plot onto a page. The default is to use a non-uniform spacing proportional to the error in the fit.
branch	controls the shape of the branches from parent to child node. Any number from 0 to 1 is allowed. A value of 1 gives square shouldered branches, a value of 0 give V shaped branches, with other values being intermediate.
compress	if FALSE, the leaf nodes will be at the horizontal plot coordinates of 1:nleaves. If TRUE, the routine attempts a more compact arrangement of the tree. The compaction algorithm assumes uniform=TRUE; surprisingly, the result is usually an improvement even when that is not the case.
nspace	the amount of extra space between a node with children and a leaf, as compared to the minimal space between leaves. Applies to compressed trees only. The default is the value of branch.
margin	an extra fraction of white space to leave around the borders of the tree. (Long labels sometimes get cut off by the default computation).
minbranch	set the minimum length for a branch to minbranch times the average branch length. This parameter is ignored if uniform=TRUE. Sometimes a split will give very little improvement, or even (in the classification case) no improvement at all. A tree with branch lengths strictly proportional to improvement leaves no room to squeeze in node labels.
	arguments to be passed to or from other methods.

### **Details**

This function is a method for the generic function plot, for objects of class rpart. The y-coordinate of the top node of the tree will always be 1.

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### Value

The coordinates of the nodes are returned as a list, with components x and y.

### **Side Effects**

An unlabeled plot is produced on the current graphics device: one being opened if needed.

In order to build up a plot in the usual S style, e.g., a separate text command for adding labels, some extra information about the plot needs be retained. This is kept in an environment in the package.

### See Also

```
rpart, text.rpart
```

### **Examples**

```
fit <- rpart(Price ~ Mileage + Type + Country, cu.summary)
par(xpd = TRUE)
plot(fit, compress = TRUE)
text(fit, use.n = TRUE)</pre>
```

plotcp

Plot a Complexity Parameter Table for an Rpart Fit

### Description

Gives a visual representation of the cross-validation results in an rpart object.

### Usage

### **Arguments**

X	an object of class "rpart"
minline	whether a horizontal line is drawn 1SE above the minimum of the curve.
lty	line type for this line
col	colour for this line
upper	what is plotted on the top axis: the size of the tree (the number of leaves), the number of splits or nothing.
	additional plotting parameters

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#### **Details**

The set of possible cost-complexity prunings of a tree from a nested set. For the geometric means of the intervals of values of cp for which a pruning is optimal, a cross-validation has (usually) been done in the initial construction by rpart. The cptable in the fit contains the mean and standard deviation of the errors in the cross-validated prediction against each of the geometric means, and these are plotted by this function. A good choice of cp for pruning is often the leftmost value for which the mean lies below the horizontal line.

### Value

None.

#### **Side Effects**

A plot is produced on the current graphical device.

#### See Also

```
rpart, printcp, rpart.object
```

post.rpart

PostScript Presentation Plot of an Rpart Object

### **Description**

Generates a PostScript presentation plot of an rpart object.

### Usage

```
post(tree, ...)
## S3 method for class 'rpart'
post(tree, title.,
    filename = paste(deparse(substitute(tree)), ".ps", sep = ""),
    digits = getOption("digits") - 2, pretty = TRUE,
    use.n = TRUE, horizontal = TRUE, ...)
```

#### **Arguments**

tree fitted model object of class "rpart". This is assumed to be the result of some

function that produces an object with the same named components as that re-

turned by the rpart function.

title. a title which appears at the top of the plot. By default, the name of the rpart

endpoint is printed out.

filename ASCII file to contain the output. By default, the name of the file is the name of

the object given by rpart (with the suffix .ps added). If filename = "", the

plot appears on the current graphical device.

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digits	number of significant digits to include in numerical data.
pretty	an integer denoting the extent to which factor levels will be abbreviated in the character strings defining the splits; (0) signifies no abbreviation of levels. A NULL signifies using elements of letters to represent the different factor levels. The default (TRUE) indicates the maximum possible abbreviation.
use.n	Logical. If TRUE (default), adds to label (\#events level1/\#events level2/etc. for method class, n for method anova, and \#events/n for methods poisson and exp).
horizontal	Logical. If TRUE (default), plot is horizontal. If FALSE, plot appears as landscape.
	other arguments to the postscript function.

### **Details**

The plot created uses the functions plot.rpart and text.rpart (with the fancy option). The settings were chosen because they looked good to us, but other options may be better, depending on the rpart object. Users are encouraged to write their own function containing favorite options.

#### Side Effects

a plot of rpart is created using the postscript driver, or the current device if filename = "".

### See Also

```
plot.rpart, rpart, text.rpart, abbreviate
```

### **Examples**

```
z.auto <- rpart(Mileage ~ Weight, car.test.frame)
post(z.auto, file = "")  # display tree on active device
  # now construct postscript version on file "pretty.ps"
  # with no title
post(z.auto, file = "pretty.ps", title = " ")
z.hp <- rpart(Mileage ~ Weight + HP, car.test.frame)
post(z.hp)</pre>
```

predict.rpart

Predictions from a Fitted Rpart Object

### **Description**

Returns a vector of predicted responses from a fitted rpart object.

### Usage

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#### **Arguments**

newdata

object fitted model object of class "rpart". This is assumed to be the result of some function that produces an object with the same named components as that returned by the rpart function.

data frame containing the values at which predictions are required. The predictors referred to in the right side of formula(object) must be present by name

in newdata. If missing, the fitted values are returned.

type character string denoting the type of predicted value returned. If the rpart

object is a classification tree, then the default is to return prob predictions, a matrix whose columns are the probability of the first, second, etc. class. (This agrees with the default behavior of tree). Otherwise, a vector result is returned.

na.action a function to determine what should be done with missing values in newdata.

The default is to pass them down the tree using surrogates in the way selected

when the model was built. Other possibilities are na.omit and na.fail.

. . . further arguments passed to or from other methods.

#### **Details**

This function is a method for the generic function predict for class "rpart". It can be invoked by calling predict for an object of the appropriate class, or directly by calling predict.rpart regardless of the class of the object.

#### Value

A new object is obtained by dropping newdata down the object. For factor predictors, if an observation contains a level not used to grow the tree, it is left at the deepest possible node and frame\$yval at the node is the prediction.

```
If type = "vector":
```

vector of predicted responses. For regression trees this is the mean response at the node, for Poisson trees it is the estimated response rate, and for classification trees it is the predicted class (as a number).

```
If type = "prob":
```

(for a classification tree) a matrix of class probabilities.

```
If type = "matrix":
```

a matrix of the full responses (frame\$yva12 if this exists, otherwise frame\$yva1). For regression trees, this is the mean response, for Poisson trees it is the response rate and the number of events at that node in the fitted tree, and for classification trees it is the concatenation of at least the predicted class, the class counts at that node in the fitted tree, and the class probabilities (some versions of **rpart** may contain further columns).

```
If type = "class":
```

(for a classification tree) a factor of classifications based on the responses.

#### See Also

```
predict, rpart.object
```

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### **Examples**

```
z.auto <- rpart(Mileage ~ Weight, car.test.frame)
predict(z.auto)

fit <- rpart(Kyphosis ~ Age + Number + Start, data = kyphosis)
predict(fit, type = "prob")  # class probabilities (default)
predict(fit, type = "vector")  # level numbers
predict(fit, type = "class")  # factor
predict(fit, type = "matrix")  # level number, class frequencies, probabilities

sub <- c(sample(1:50, 25), sample(51:100, 25), sample(101:150, 25))
fit <- rpart(Species ~ ., data = iris, subset = sub)
fit
table(predict(fit, iris[-sub,], type = "class"), iris[-sub, "Species"])</pre>
```

print.rpart

Print an Rpart Object

### **Description**

This function prints an rpart object. It is a method for the generic function print of class "rpart".

### Usage

```
## S3 method for class 'rpart'
print(x, minlength = 0, spaces = 2, cp, digits = getOption("digits"), ...)
```

### **Arguments**

х	fitted model object of class "rpart". This is assumed to be the result of some function that produces an object with the same named components as that returned by the rpart function.
minlength	Controls the abbreviation of labels: see labels.rpart.
spaces	the number of spaces to indent nodes of increasing depth.
digits	the number of digits of numbers to print.
ср	prune all nodes with a complexity less than cp from the printout. Ignored if unspecified.
	arguments to be passed to or from other methods.

#### **Details**

This function is a method for the generic function print for class "rpart". It can be invoked by calling print for an object of the appropriate class, or directly by calling print.rpart regardless of the class of the object.

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### **Side Effects**

A semi-graphical layout of the contents of x\$frame is printed. Indentation is used to convey the tree topology. Information for each node includes the node number, split, size, deviance, and fitted value. For the "class" method, the class probabilities are also printed.

### See Also

```
print, rpart.object, summary.rpart, printcp
```

### **Examples**

printcp

Displays CP table for Fitted Rpart Object

### **Description**

Displays the cp table for fitted rpart object.

### Usage

```
printcp(x, digits = getOption("digits") - 2)
```

### **Arguments**

x fitted model object of class "rpart". This is assumed to be the result of some function that produces an object with the same named components as that re-

turned by the rpart function.

digits the number of digits of numbers to print.

### **Details**

Prints a table of optimal prunings based on a complexity parameter.

prune.rpart

### See Also

```
summary.rpart, rpart.object
```

### **Examples**

```
z.auto <- rpart(Mileage ~ Weight, car.test.frame)</pre>
printcp(z.auto)
## Not run:
Regression tree:
rpart(formula = Mileage ~ Weight, data = car.test.frame)
Variables actually used in tree construction:
[1] Weight
Root node error: 1354.6/60 = 22.576
       CP nsplit rel error xerror
1 0.595349
              0 1.00000 1.03436 0.178526
2 0.134528
              1 0.40465 0.60508 0.105217
3 0.012828 2 0.27012 0.45153 0.083330
4 0.010000 3 0.25729 0.44826 0.076998
## End(Not run)
```

prune.rpart

Cost-complexity Pruning of an Rpart Object

### **Description**

Determines a nested sequence of subtrees of the supplied rpart object by recursively snipping off the least important splits, based on the complexity parameter (cp).

### Usage

```
prune(tree, ...)
## S3 method for class 'rpart'
prune(tree, cp, ...)
```

### **Arguments**

tree	fitted model object of class "rpart". This is assumed to be the result of some function that produces an object with the same named components as that re-
	turned by the rpart function.
ср	Complexity parameter to which the rpart object will be trimmed.
	further arguments passed to or from other methods.

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#### Value

A new rpart object that is trimmed to the value cp.

#### See Also

```
rpart
```

### **Examples**

```
z.auto <- rpart(Mileage ~ Weight, car.test.frame)
zp <- prune(z.auto, cp = 0.1)
plot(zp) #plot smaller rpart object</pre>
```

residuals.rpart

Residuals From a Fitted Rpart Object

### **Description**

Method for residuals for an rpart object.

### Usage

```
## S3 method for class 'rpart'
residuals(object, type = c("usual", "pearson", "deviance"), ...)
```

### **Arguments**

object fitted model object of class "rpart".

type Indicates the type of residual desired.

For regression or anova trees all three residual definitions reduce to y - fitted.

This is the residual returned for user method trees as well.

For classification trees the usual residuals are the misclassification losses L(actual, predicted) where L is the loss matrix. With default losses this residual is 0/1 for correct/incorrect classification. The pearson residual is (1-fitted)/sqrt(fitted(1-fitted)) and the deviance residual is sqrt(minus twice logarithm of fitted). For poisson and exp (or survival) trees, the usual residual is the observed -

expected number of events. The pearson and deviance residuals are as defined

in McCullagh and Nelder.

. . . further arguments passed to or from other methods.

### Value

Vector of residuals of type type from a fitted rpart object.

#### References

McCullagh P. and Nelder, J. A. (1989) Generalized Linear Models. London: Chapman and Hall.

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### **Examples**

rpart

Recursive Partitioning and Regression Trees

### Description

Fit a rpart model

### Usage

### Arguments

formula	a formula, with a response but no interaction terms. If this a a data frome, that is taken as the model frame (see model . frame).
data	an optional data frame in which to interpret the variables named in the formula.
weights	optional case weights.
subset	optional expression saying that only a subset of the rows of the data should be used in the fit.
na.action	the default action deletes all observations for which y is missing, but keeps those in which one or more predictors are missing.
method	one of "anova", "poisson", "class" or "exp". If method is missing then the routine tries to make an intelligent guess. If y is a survival object, then method = "exp" is assumed, if y has 2 columns then method = "poisson" is assumed, if y is a factor then method = "class" is assumed, otherwise method = "anova" is assumed. It is wisest to specify the method directly, especially as more criteria may added to the function in future.  Alternatively, method can be a list of functions named init, split and eval. Examples are given in the file 'tests/usersplits.R' in the sources, and in the vignettes 'User Written Split Functions'.
model	if logical: keep a copy of the model frame in the result? If the input value for model is a model frame (likely from an earlier call to the rpart function), then this frame is used rather than constructing new data.
X	keep a copy of the x matrix in the result.
у	keep a copy of the dependent variable in the result. If missing and model is supplied this defaults to FALSE.

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parms optional parameters for the splitting function.

Anova splitting has no parameters.

Poisson splitting has a single parameter, the coefficient of variation of the prior distribution on the rates. The default value is 1.

Exponential splitting has the same parameter as Poisson.

For classification splitting, the list can contain any of: the vector of prior probabilities (component prior), the loss matrix (component loss) or the splitting index (component split). The priors must be positive and sum to 1. The loss matrix must have zeros on the diagonal and positive off-diagonal elements. The splitting index can be gini or information. The default priors are proportional to the data counts, the losses default to 1, and the split defaults to gini.

control a list of options that control details of the rpart algorithm. See rpart.control. cost a vector of non-negative costs, one for each variable in the model. Defaults to

a vector of non-negative costs, one for each variable in the model. Defaults to one for all variables. These are scalings to be applied when considering splits, so the improvement on splitting on a variable is divided by its cost in deciding

which split to choose.

arguments to rpart.control may also be specified in the call to rpart. They

are checked against the list of valid arguments.

#### **Details**

This differs from the tree function in S mainly in its handling of surrogate variables. In most details it follows Breiman *et. al* (1984) quite closely. R package **tree** provides a re-implementation of tree.

#### Value

An object of class rpart. See rpart. object.

#### References

Breiman L., Friedman J. H., Olshen R. A., and Stone, C. J. (1984) *Classification and Regression Trees*. Wadsworth.

### See Also

```
rpart.control, rpart.object, summary.rpart, print.rpart
```

#### **Examples**

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rpart.control

Control for Rpart Fits

### **Description**

Various parameters that control aspects of the rpart fit.

#### Usage

### **Arguments**

minsplit the minimum number of observations that must exist in a node in order for a

split to be attempted.

minbucket the minimum number of observations in any terminal <leaf> node. If only

one of minbucket or minsplit is specified, the code either sets minsplit to

minbucket\*3 or minbucket to minsplit/3, as appropriate.

cp complexity parameter. Any split that does not decrease the overall lack of fit by

a factor of cp is not attempted. For instance, with anova splitting, this means that the overall R-squared must increase by cp at each step. The main role of this parameter is to save computing time by pruning off splits that are obviously not worthwhile. Essentially,the user informs the program that any split which does not improve the fit by cp will likely be pruned off by cross-validation, and

that hence the program need not pursue it.

maxcompete the number of competitor splits retained in the output. It is useful to know not

just which split was chosen, but which variable came in second, third, etc.

maxsurrogate the number of surrogate splits retained in the output. If this is set to zero the

compute time will be reduced, since approximately half of the computational

time (other than setup) is used in the search for surrogate splits.

usesurrogate how to use surrogates in the splitting process. 0 means display only; an obser-

vation with a missing value for the primary split rule is not sent further down the tree. 1 means use surrogates, in order, to split subjects missing the primary variable; if all surrogates are missing the observation is not split. For value 2, if all surrogates are missing, then send the observation in the majority direction. A value of 0 corresponds to the action of tree, and 2 to the recommendations of

Breiman et.al (1984).

xval number of cross-validations.

surrogatestyle controls the selection of a best surrogate. If set to 0 (default) the program uses the total number of correct classification for a potential surrogate variable, if

set to 1 it uses the percent correct, calculated over the non-missing values of the surrogate. The first option more severely penalizes covariates with a large

number of missing values.

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maxdepth Set the maximum depth of any node of the final tree, with the root node counted

as depth 0. Values greater than 30 rpart will give nonsense results on 32-bit

machines.

... mop up other arguments.

#### Value

A list containing the options.

### See Also

rpart

rpart.exp

Initialization function for exponential fitting

### **Description**

This function does the initialization step for rpart, when the response is a survival object. It rescales the data so as to have an exponential baseline hazard and then uses Poisson methods. This function would rarely if ever be called directly by a user.

### Usage

```
rpart.exp(y, offset, parms, wt)
```

### **Arguments**

y the response, which will be of class Surv

offset optional offset

parms parameters controlling the fit. This is a list with components shrink and method.

The first is the prior for the coefficient of variation of the predictions. The second is either "deviance" or "sqrt" and is the measure used for cross-validation. If values are missing the defaults are used, which are "deviance" for the method,

and a shrinkage of 1.0 for the deviance method and 0 for the square root.

wt case weights, if present

### Value

a list with the necessary initialization components

### Author(s)

Terry Therneau

### See Also

rpart

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rpart.object

Recursive Partitioning and Regression Trees Object

#### **Description**

These are objects representing fitted rpart trees.

#### Value

frame

data frame with one row for each node in the tree. The row.names of frame contain the (unique) node numbers that follow a binary ordering indexed by node depth. Columns of frame include var, a factor giving the names of the variables used in the split at each node (leaf nodes are denoted by the level "<leaf>"), n, the number of observations reaching the node, wt, the sum of case weights for observations reaching the node, dev, the deviance of the node, yval, the fitted value of the response at the node, and splits, a two column matrix of left and right split labels for each node. Also included in the frame are complexity, the complexity parameter at which this split will collapse, ncompete, the number of competitor splits recorded, and nsurrogate, the number of surrogate splits recorded.

Extra response information which may be present is in yval2, which contains the number of events at the node (poisson tree), or a matrix containing the fitted class, the class counts for each node, the class probabilities and the 'node probability' (classification trees).

where

an integer vector of the same length as the number of observations in the root node, containing the row number of frame corresponding to the leaf node that each observation falls into.

call

an image of the call that produced the object, but with the arguments all named and with the actual formula included as the formula argument. To re-evaluate the call, say update(tree).

terms

an object of class c("terms", "formula") (see terms.object) summarizing the formula. Used by various methods, but typically not of direct relevance to users.

splits

a numeric matrix describing the splits: only present if there are any. The row label is the name of the split variable, and columns are count, the number of observations (which are not missing and are of positive weight) sent left or right by the split (for competitor splits this is the number that would have been sent left or right had this split been used, for surrogate splits it is the number missing the primary split variable which were decided using this surrogate), ncat, the number of categories or levels for the variable (+/-1 for a continuous variable), improve, which is the improvement in deviance given by this split, or, for surrogates, the concordance of the surrogate with the primary, and index, the numeric split point. The last column adj gives the adjusted concordance for surrogate splits. For a factor, the index column contains the row number of the csplit matrix. For a continuous variable, the sign of ncat determines whether the subset x < cutpoint or x > cutpoint is sent to the left.

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an integer matrix. (Only present only if at least one of the split variables is a factor or ordered factor.) There is a row for each such split, and the number of columns is the largest number of levels in the factors. Which row is given by the index column of the splits matrix. The columns record 1 if that level of the factor goes to the left, 3 if it goes to the right, and 2 if that level is not present at this node of the tree (or not defined for the factor).

character string: the method used to grow the tree. One of "class", "exp",

"poisson", "anova" or "user" (if splitting functions were supplied).

cptable a matrix of information on the optimal prunings based on a complexity parame-

ter.

variable.importance

method

a named numeric vector giving the importance of each variable. (Only present if there are any splits.) When printed by summary.rpart these are rescaled to

add to 100.

numresp integer number of responses; the number of levels for a factor response.

parms, control a record of the arguments supplied, which defaults filled in. functions the summary, print and text functions for method used.

ordered a named logical vector recording for each variable if it was an ordered factor.

na.action (where relevant) information returned by model.frame on the special handling

of NAs derived from the na.action argument.

There may be attributes "xlevels" and "levels" recording the levels of any factor splitting variables and of a factor response respectively.

Optional components include the model frame (model), the matrix of predictors (x) and the response variable (y) used to construct the rpart object.

### **Structure**

The following components must be included in a legitimate rpart object.

### See Also

rpart.

rsq.rpart

Plots the Approximate R-Square for the Different Splits

### **Description**

Produces 2 plots. The first plots the r-square (apparent and apparent - from cross-validation) versus the number of splits. The second plots the Relative Error(cross-validation) +/- 1-SE from cross-validation versus the number of splits.

#### Usage

rsq.rpart(x)

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### **Arguments**

Х

fitted model object of class "rpart". This is assumed to be the result of some function that produces an object with the same named components as that returned by the rpart function.

### **Side Effects**

Two plots are produced.

#### Note

The labels are only appropriate for the "anova" method.

### **Examples**

```
z.auto <- rpart(Mileage ~ Weight, car.test.frame)
rsq.rpart(z.auto)</pre>
```

snip.rpart

Snip Subtrees of an Rpart Object

### Description

Creates a "snipped" rpart object, containing the nodes that remain after selected subtrees have been snipped off. The user can snip nodes using the toss argument, or interactively by clicking the mouse button on specified nodes within the graphics window.

### Usage

```
snip.rpart(x, toss)
```

#### **Arguments**

х

fitted model object of class "rpart". This is assumed to be the result of some function that produces an object with the same named components as that returned by the rpart function.

toss

an integer vector containing indices (node numbers) of all subtrees to be snipped off. If missing, user selects branches to snip off as described below.

### **Details**

A dendrogram of rpart is expected to be visible on the graphics device, and a graphics input device (e.g., a mouse) is required. Clicking (the selection button) on a node displays the node number, sample size, response y-value, and Error (dev). Clicking a second time on the same node snips that subtree off and visually erases the subtree. This process may be repeated an number of times. Warnings result from selecting the root or leaf nodes. Clicking the exit button will stop the snipping process and return the resulting rpart object.

See the documentation for the specific graphics device for details on graphical input techniques.

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#### Value

A rpart object containing the nodes that remain after specified or selected subtrees have been snipped off.

#### Warning

Visually erasing the plot is done by over-plotting with the background colour. This will do nothing if the background is transparent (often true for screen devices).

#### See Also

```
plot.rpart
```

### **Examples**

```
## dataset not in R
## Not run:
z.survey <- rpart(market.survey) # grow the rpart object
plot(z.survey) # plot the tree
z.survey2 <- snip.rpart(z.survey, toss = 2) # trim subtree at node 2
plot(z.survey2) # plot new tree

# can also interactively select the node using the mouse in the
# graphics window

## End(Not run)</pre>
```

solder

Soldering of Components on Printed-Circuit Boards

### **Description**

The solder data frame has 720 rows and 6 columns, representing a balanced subset of a designed experiment varying 5 factors on the soldering of components on printed-circuit boards.

### Usage

solder

### Format

This data frame contains the following columns:

Opening a factor with levels 'L', 'M' and 'S' indicating the amount of clearance around the mounting pad.

Solder a factor with levels 'Thick' and 'Thin' giving the thickness of the solder used.

Mask a factor with levels 'A1.5', 'A3', 'B3' and 'B6' indicating the type and thickness of mask used.

28 stagec

```
PadType a factor with levels 'D4', 'D6', 'D7', 'L4', 'L6', 'L7', 'L8', 'L9', 'W4' and 'W9' giving the size and geometry of the mounting pad.
```

Panel 1:3 indicating the panel on a board being tested.

skips a numeric vector giving the number of visible solder skips.

#### **Source**

John M. Chambers and Trevor J. Hastie eds. (1992) *Statistical Models in S*, Wadsworth and Brooks/Cole, Pacific Grove, CA.

### **Examples**

stagec

Stage C Prostate Cancer

### **Description**

A set of 146 patients with stage C prostate cancer, from a study exploring the prognostic value of flow cytometry.

### Usage

```
data(stagec)
```

### **Format**

A data frame with 146 observations on the following 8 variables.

```
pgstat 1 = progression observed, 0 = censored

age age in years

eet early endocrine therapy, 1 = no, 2 = yes

g2 percent of cells in G2 phase, as found by flow cytometry

grade grade of the tumor, Farrow system

gleason grade of the tumor, Gleason system

ploidy the ploidy status of the tumor, from flow cytometry. Values are 'diploid', 'tetraploid', and 'aneuploid'
```

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### **Details**

A tumor is called diploid (normal complement of dividing cells) if the fraction of cells in G2 phase was determined to be 13% or less. Aneuploid cells have a measurable fraction with a chromosome count that is neither 24 nor 48, for these the G2 percent is difficult or impossible to measure.

### **Examples**

```
require(survival)
rpart(Surv(pgtime, pgstat) ~ ., stagec)
```

summary.rpart

Summarize a Fitted Rpart Object

### **Description**

Returns a detailed listing of a fitted rpart object.

### Usage

```
## S3 method for class 'rpart'
summary(object, cp = 0, digits = getOption("digits"), file, ...)
```

### **Arguments**

object	fitted model object of class "rpart". This is assumed to be the result of some function that produces an object with the same named components as that returned by the rpart function.
digits	Number of significant digits to be used in the result.
ср	trim nodes with a complexity of less than cp from the listing.
file	write the output to a given file name. (Full listings of a tree are often quite long).
	arguments to be passed to or from other methods.

#### **Details**

This function is a method for the generic function summary for class "rpart". It can be invoked by calling summary for an object of the appropriate class, or directly by calling summary.rpart regardless of the class of the object.

It prints the call, the table shown by printcp, the variable importance (summing to 100) and details for each node (the details depending on the type of tree).

### See Also

```
summary, rpart.object, printcp.
```

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### **Examples**

```
## a regression tree
z.auto <- rpart(Mileage ~ Weight, car.test.frame)
summary(z.auto)

## a classification tree with multiple variables and surrogate splits.
summary(rpart(Kyphosis ~ Age + Number + Start, data = kyphosis))</pre>
```

text.rpart

Place Text on a Dendrogram Plot

### **Description**

Labels the current plot of the tree dendrogram with text.

### Usage

```
## S3 method for class 'rpart'
text(x, splits = TRUE, label, FUN = text, all = FALSE,
    pretty = NULL, digits = getOption("digits") - 3, use.n = FALSE,
    fancy = FALSE, fwidth = 0.8, fheight = 0.8, bg = par("bg"),
    minlength = 1L, ...)
```

### **Arguments**

X	fitted model object of class "rpart". This is assumed to be the result of some function that produces an object with the same named components as that returned by the rpart function.	
splits	logical flag. If TRUE (default), then the splits in the tree are labeled with the criterion for the split.	
label	For compatibility with rpart2, ignored in this version (with a warning).	
FUN	the name of a labeling function, e.g. text.	
all	Logical. If TRUE, all nodes are labeled, otherwise just terminal nodes.	
minlength	the length to use for factor labels. A value of 1 causes them to be printed as 'a', 'b', Larger values use abbreviations of the label names. See the labels.rpart function for details.	
pretty	an alternative to the minlength argument, see labels.rpart.	
digits	number of significant digits to include in numerical labels.	
use.n	Logical. If TRUE, adds to label (\#events level1/\#events level2/etc. for class, n for anova, and \#events/n for poisson and exp).	
fancy	Logical. If TRUE, nodes are represented by ellipses (interior nodes) and rectangles (leaves) and labeled by yval. The edges connecting the nodes are labeled by left and right splits.	

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fwidth	Relates to option fancy and the width of the ellipses and rectangles. If fwidth $< 1$ then it is a scaling factor (default = 0.8). If fwidth $> 1$ then it represents the number of character widths (for current graphical device) to use.
fheight	Relates to option fancy and the height of the ellipses and rectangles. If fheight <1 then it is a scaling factor (default = 0.8). If fheight > 1 then it represents the number of character heights (for current graphical device) to use.
bg	The color used to paint the background to annotations if fancy = TRUE.
• • •	Graphical parameters may also be supplied as arguments to this function (see par). As labels often extend outside the plot region it can be helpful to specify xpd = TRUE.

### **Side Effects**

the current plot of a tree dendrogram is labeled.

### See Also

```
text, plot.rpart, rpart, labels.rpart, abbreviate
```

### **Examples**

```
freen.tr <- rpart(y ~ ., freeny)
par(xpd = TRUE)
plot(freen.tr)
text(freen.tr, use.n = TRUE, all = TRUE)</pre>
```

xpred.rpart

Return Cross-Validated Predictions

### Description

Gives the predicted values for an rpart fit, under cross validation, for a set of complexity parameter values.

### Usage

```
xpred.rpart(fit, xval = 10, cp, return.all = FALSE)
```

### Arguments

fit	a object of class "rpart".
xval	number of cross-validation groups. This may also be an explicit list of integers that define the cross-validation groups.
ср	the desired list of complexity values. By default it is taken from the cptable component of the fit.
return.all	if FALSE return only the first element of the prediction

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### **Details**

Complexity penalties are actually ranges, not values. If the cp values found in the table were .36, .28, and .13, for instance, this means that the first row of the table holds for all complexity penalties in the range [.36, 1], the second row for cp in the range [.28, .36) and the third row for [.13, .28). By default, the geometric mean of each interval is used for cross validation.

#### Value

A matrix with one row for each observation and one column for each complexity value. If return.all is TRUE and the prediction for each node is a vector, then the result will be an array containing all of the predictions. When the response is categorical, for instance, the result contains the predicted class followed by the class probabilities of the selected terminal node; result[1,,] will be the matrix of predicted classes, result[2,,] the matrix of class 1 probabilities, etc.

### See Also

rpart

### **Examples**

```
fit <- rpart(Mileage ~ Weight, car.test.frame)
xmat <- xpred.rpart(fit)
xerr <- (xmat - car.test.frame$Mileage)^2
apply(xerr, 2, sum) # cross-validated error estimate
# approx same result as rel. error from printcp(fit)
apply(xerr, 2, sum)/var(car.test.frame$Mileage)
printcp(fit)</pre>
```

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