## CS761 Derandomization and Pseudorandomness

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A general question one can ask is this: for any polynomial-time randomized algorithm, is it possible to derandomize it (possibly using more space) to get a polynomial-time deterministic algorithm doing the same job?

It has been shown that given a "hard" function, one can construct very good pseudorandom bits. However, no explicit hard functions are known.

Consider the notion of worst case hardness. For example, if we can show for some language that no algorithm that runs in  $O(n^{10})$  can compute the output correctly on all inputs, then the language is hard in some sense.

We also have the notion of average case hardness. Here, if we can show for some language that no algorithm that runs in  $O(n^{10})$  can compute the output correctly on more than 3/4 of the inputs, then the language is hard in some sense.

It is not too difficult to see that average case hardness is a stronger notion than worst case hardness.

Problems that are average case hard yield good pseudorandom generators. Another question of concern is converting worst case hardness to average case hardness, which is done through error correcting codes.

**Definition 13.1** (RP). A language L is said to be in RP if there is a randomized algorithm  $\mathcal{A}$  running in polynomial time such that

1. for  $x \in L$ ,

$$\Pr_r\left[\mathcal{A}(x,r) = \mathsf{yes}\right] \ge \frac{1}{2}.$$

2. for  $x \notin L$ ,

$$\Pr_{x} \left[ \mathcal{A}(x, r) = \mathsf{yes} \right] \ge 0.$$

For example, determining s, t-connectivity in a graph is in RP because of the algorithm due to Reingold we have seen.

**Definition 13.2** (BPP). A language L is said to be in BPP if there is a randomized algorithm A running in polynomial time such that

1. for  $x \in L$ ,

$$\Pr_r\left[\mathcal{A}(x,r) = \mathsf{yes}\right] \ge \frac{2}{3}.$$

2. for  $x \notin L$ .

$$\Pr_r\left[\mathcal{A}(x,r) = \mathsf{yes}\right] \le \frac{1}{3}.$$

Next, let us look at pseudorandom distributions. The goal of these is to find some universal set of random bits which we can substitute in place of the (ideally) uniformly random bits being used in an algorithm. Denote by  $U_m$  the uniform distribution on  $\{0,1\}^m$ .

The idea is that a distribution D is pseudorandom (with respect to A) if for the given algorithm A, for all inputs x,

$$\left| \Pr_{r \sim U_m} [\mathcal{A}(x,r) = \mathsf{yes}] - \Pr_{r \sim D} [\mathcal{A}(x,r) = \mathsf{yes}] \right| \leq \epsilon.$$

One convenient way to think about algorithms with input is circuits.

In fact, any deterministic algorithm can be viewed as a family  $(C_n)_{n\geq 1}$  of circuits, with  $C_n$  computing the

output correctly if the input is of size n. We can view a randomized algorithm as a deterministic one with two inputs, x and r. The circuit then has n+m input leaves, where n is the size of the input x and m is the number of random bits r. If we fix the x part of the input, we get a circuit in the remaining input, namely  $r_1, \ldots, r_m$ .

**Definition 13.3** (Pseudorandom distribution). A distribution D on  $\{0,1\}^m$  is called  $(S,\epsilon)$ -pseudorandom if for any circuit C on m input gates and size at most S,

$$\left| \Pr_{r \sim U_m} [C(r) = 1] - \Pr_{r \sim D} [C(r) = 1] \right| \le \epsilon.$$

**Definition 13.4** (Pseudorandom generator). Let  $G = (G_{\ell})_{\ell \in \mathbb{N}}$  be a family of functions, where  $G_{\ell} : \{0,1\}^{\ell} \to \{0,1\}^{m(\ell)}$  (for some appropriate increasing function m). G is said to be a pseudorandom generator if for each  $\ell$ .

- (a)  $G_{\ell}$  can be computed in  $O(2^{\ell})$  time, and
- (b) the distribution over  $\{0,1\}^{m(\ell)}$  which takes a uniformly random element s of  $\{0,1\}^{\ell}$  and takes value G(s) is  $(m(\ell)^3, 1/10)$ -pseudorandom.

The existence of the above would imply that any randomized algorithm in BPP using m random bits and running time  $m^3$  can be simulated by a deterministic algorithm with running time  $O(2^{2\ell}m^3)$ . We merely run the algorithm on G(r) for all  $r \in \{0,1\}^{\ell}$ , and output whatever answer (yes or no) occurs more frequently. If we want to completely derandomize our randomized polynomial-time algorithm to get a deterministic polynomial time algorithm, we want that  $m = 2^{\ell}$ . In particular, if a pseudorandom generator with  $\ell = \log m$  exists, then BPP = P. When this is true, we say that the PRG has exponential stretch.

Our goal in the next few lectures will be to show that "circuit lower bounds" imply the existence of pseudorandom generators.

**Theorem 13.5.** There exists a "PRG" with exponential stretch which satisfies only (b) in the definition.

*Proof.* Choose a random G – for each  $s \in \{0,1\}^{\ell}$ , set G(s) to be a uniformly random string in  $\{0,1\}^m$ . Fix a circuit C of size at most  $m^3$ . Suppose that  $\Pr_{r \sim U_m}[C(r) = 1] = p$ , and let

$$B_C := \{r \in \{0, 1\}^m : C(r) = 1\}.$$

Note that the random variable

$$X_C := |\{s \in \{0,1\}^{\ell} : G(s) \in B_C\}|$$

is the sum of  $2^{\ell}$  Bernoulli random variables equal to 1 with probability p. By the Chernoff bound,

$$\Pr_{C} \left[ |X_C - \mathbb{E}[X_C]| > \epsilon 2^{\ell} \right] \le 2e^{-(\epsilon 2^{\ell})^2/3\mathbb{E}[X_C]} = 2e^{-(\epsilon 2^{\ell})^2/(3p \cdot 2^{\ell})} \le 2e^{-\epsilon^2 2^{\ell}/3}.$$

Now, the number of circuits with size at most  $m^3$  is bounded from above by  $2^{3m^3}$ . An application of the union bound yields that

$$\Pr_{G}[\text{for some } C \text{ of size at most } m^3, \, |X_C - \mathbb{E}[X_C]| > \epsilon 2^{\ell}] \leq e^{-(\epsilon^2 2^{\ell}/3) + (\ln 2)(3m^3 + 1)}.$$

For  $\epsilon = 1/10$ ,  $\ell = 4 \log m$ , and sufficiently large m, the RHS is less than 1, so there exists some G that satisfies (b) in the definition of a PRG.