

Connectedness and Compactness

Lecture 18 - 05/03/2021 Connectedness

Def Let X be a topological space. A **separation** of X is a pair U, V of disjoint non-empty open subsets of X whose union is X . X is said to be **connected** if it does not have a separation.

Prop (3.1) A space X is connected iff the only subsets of X that are both open and closed ("clopen") are \emptyset and X .

If U, V is a separation, U is clopen.

If $A \neq \emptyset$ is clopen, $A, X \setminus A$ is a separation. \square

Prop (3.2) If X and Y are homeomorphic, X is connected iff Y is connected.

Lemma (3.3) If Y is a subspace of X , a separation of Y is pair of disjoint non-empty sets A, B whose union is Y , neither of which contains a limit point of the other. The space Y is connected iff there is no separation of Y .

This is easily shown since the sets involved in a separation are clopen (in Y). $\bar{A} \cap Y = A$

$$\begin{aligned} A \cap B = \emptyset &\rightarrow \bar{A} \cap Y \cap B = \emptyset \\ &\rightarrow \bar{A} \cap B = \emptyset. \end{aligned}$$

The other direction is similarly straightforward. \square

For example, any topological space with the indiscrete topology is connected.

\rightarrow Show that \mathbb{Q} is not connected.

Lemma. (3.4) If the sets C, D form a separation of X and Y is a connected subspace of X , $Y \subseteq C$ or $Y \subseteq D$.

If not, we can write $Y = \underbrace{(Y \cap C)}_{\text{open in } Y} \cup \underbrace{(Y \cap D)}_{\text{open in } Y}$

Lemma. (3.5) A union of connected spaces is connected if their intersection is non-empty.

Proof. Let (A_α) be a family of connected subspaces of X and $p \in \bigcap_\alpha A_\alpha$. We claim that $Y = \bigcup_\alpha A_\alpha$ is connected. Suppose C, D is a separation of Y and wlog that $p \in C$. Since A_α is connected and $p \in C$, $A_\alpha \subseteq C$. Therefore, $Y = \bigcup_\alpha A_\alpha \subseteq C$, contradicting the non-emptiness of D . \square

Theo. (3.6) Let A be a connected subspace of X . If $A \subseteq B \subseteq \bar{A}$, B is also connected.

(We can add any of the limit points without destroying connectedness)

Proof. Suppose C, D is a separation of B . Assume wlog that $A \subseteq C$. Then $B \subseteq \bar{A} \subseteq \bar{C}$. But $\bar{C} \cap D = \emptyset$, yielding a contradiction and proving the claim. \square

Theo. (3.7) The image of a connected space under a continuous map is connected.

and f is surjective

Proof. Let $f: X \rightarrow Y$ be continuous where X is connected. Suppose C, D is a separation of Y . Since f is continuous, $f^{-1}(C)$ and $f^{-1}(D)$ are also open and they form a separation of X , resulting in a contradiction. \square

Theo. A finite Cartesian product of connected spaces is connected.

(3.8) (under either the box or product topo., they are equal)

Proof It suffices to show that if X and Y are connected $X \times Y$ is connected. (Use the fact that $(x_1, \dots, x_{n-1}) \times x_n$ is homeomorphic to $(x_1, \dots, x_{n-1}) \times x_n$)
Fix $x \times y \in X \times Y$. $X \times \{y\}$ is connected (it is homeomorphic to X) and so is $\{x\} \times Y$. The result follows on using Theo 3.5. \square

Show that \mathbb{R}^ω under the box topology is disconnected.

Hint: Let $A = \{(a_n) : (a_n) \text{ is bounded}\}$ and $B = \{(b_n) : (b_n) \text{ is unbounded}\}$.

Show that \mathbb{R}^ω under the product topology is connected.

Hint: Show that \mathbb{R}^ω , the set of sequences eventually 0, is connected and that $\mathbb{R}^\omega = \overline{\mathbb{R}^\omega}$.

$\mathbb{R}^\omega = \bigcup_{n \in \mathbb{N}} \tilde{\mathbb{R}}^n \hookrightarrow 0 \text{ after first } n \text{ co-ordinates.}$

Theo. An arbitrary product of connected spaces is connected in the product topology.

(3.9) (the proof is nearly identical to that for \mathbb{R}^ω above)

Def. A simply ordered set L having more than one element is called a **linear continuum** if

- L has the least upper bound property.
- if $x < y$ in L , there exists z in L such that $x < z < y$.

Clearly, \mathbb{R} is a linear continuum.

Theo. If L is a linear continuum, then L , intervals in L , and rays in L , are connected

(3.10)

Theo. [Intermediate Value Theorem]

(3.11) Let $f: X \rightarrow Y$ be continuous, where X is a connected space and Y is an ordered set under the ordered topology. If $a, b \in X$ and $r \in Y$ such that $f(a) < r < f(b)$, there exists $c \in X$ such that $f(c) = r$.

Proof. Suppose otherwise. Then $f(X) \cap (-\infty, r)$ and $f(X) \cap (r, \infty)$ form a separation of $f(X)$. However, the image under f of X is connected, resulting in a contradiction. \square

Lecture 19 - 10/03/21 Path Connectedness

Def. Given points x, y of the space X , a **path** from x to y is a continuous function $f: [a, b] \rightarrow X$ such that $f(a) = x$ and $f(b) = y$ (for some closed interval $[a, b] \subseteq \mathbb{R}$).

A space X is **path-connected** if there is a path between any two points in X .

Theo. Any path-connected space is connected.

(3.12)

Proof. Suppose otherwise. Let X be path-connected and $f: [a, b] \rightarrow X$ be a path in X . Let $X = A \cup B$ be a separation of X . Since $[a, b]$ is connected and f is continuous, $f([a, b]) \subseteq A$ or $f([a, b]) \subseteq B$, contradicting path-connectedness (across A, B). \square

The converse is not true.

Consider

$$S = \{ x \times \sin(\frac{1}{x}) : 0 < x \leq 1 \},$$

known as the topologist's sine curve.

Then $\bar{S} = S \cup (\{0\} \times [-1, 1])$. We claim that \bar{S} is not path-connected. Let continuous $f: [a, c] \rightarrow \bar{S}$ beginning at the origin and ending at some point in S . The set

$$\{ t \in [a, c] : f(t) \in \{0\} \times [-1, 1] \}$$

is closed (due to continuity), so it has a largest element b .

Then the restriction $f: [b, c] \rightarrow \bar{S}$ is a path such that $f(b) \in \{0\} \times [-1, 1]$ and $f([b, c]) \subseteq S$.

Wlog, let $[b, c]$ be $[0, 1]$ and $f(t) = (x(t), y(t))$. Then $x(0) = 0$ and for $t > 0$, $x(t) > 0$ and $y(t) = \sin(1/x(t))$.

For each n , choose a $0 < u < x(1/n)$ such that $y(u) = (-1)^n$. Using the IVT (Theo 3.11), there is a $0 < t_n < 1/n$ such that $x(t_n) = u$. However, then, $t_n \rightarrow 0$ but $y(t_n) = (-1)^n$ does not converge, contradicting the continuity of y and proving the claim.

Show that S (and thus \bar{S}) is connected, disproving the converse of Theo 3.12

Def. Given X , define an equivalence relation as $x \sim y$ if there exists a connected subspace of X containing both x and y . The resulting equivalence classes are called the **components** or **connected components** of X .

(Check that it is an equivalence relation)

Theo. (3.13) The components of X are connected disjoint subspaces of X whose union is X such that any non-empty connected subspace of X intersects exactly one of them.

Proof left as exercise.

To show that a component C is connected, fix $x \in C$ and for each $y \in C$, let $C_y \subseteq C$ be a connected subspace containing C_y .
by the second part

Then $x \in \bigcap C_y \neq \emptyset$, so $\bigcup C_y = C$ is connected. \square

Similar to connected components, we can define the **path components** of X .

($x \sim y$ if there is a path from x to y)

(transitivity can be shown using the pasting lemma)

Theo. (3.14) The path components of X are path-connected disjoint subspaces of X whose union is X such that any non-empty path-connected subspace of X intersects exactly one of them.

Corollary. (3.15) Any connected component of X is closed.

(Use the fact that the closure of a connected space is closed)

It follows that if there are finitely many components, each component is also open.

It need not be true that path-connected components are closed, however. Consider the topologist's sine curve \bar{S} . Then S is open in \bar{S} (and not closed) and $\bar{S} \setminus S$ is closed (and not open).

Def A space X is said to be **locally connected** at $x \in X$ if for every neighbourhood U of x , there is a connected neighbourhood $V \subseteq U$ of x . X is locally connected if it is locally connected at any point of X .
We similarly define **local path-connectedness**.

Theo. (3.16) A space X is locally connected iff for any open $U \subseteq X$, each component of U is open in X .

Proof. Let X be locally connected, U be open in X , and C be a component of U . Let $x \in C$. There is then a neighbourhood $V \subseteq U$ of x that is connected. It follows that $V \subseteq C$ and therefore, C is open.

On the other hand suppose that the components of open sets in X are open. Let $x \in X$ and U a neighbourhood of x . We can take the component of U containing x , completing the proof

□

Theo. (3.17) A space X is locally path-connected iff for any open $U \subseteq X$, each path component of U is open.

The proof is nearly identical to the previous one.

Lecture 20 - 10/03/21 Introduction to Compactness

Theo. (3.18) If X is a topological space, each path component of X lies in a component of X . Moreover, if X is locally path-connected, the components and path components are the same.

Proof. The first part is direct since any path component is connected. Let C be a component, $x \in C$, and $P \ni x$ be a path component. Let X be locally path-connected. Suppose $P \subsetneq C$. Let Q be the union of all path components other than P that intersect C . Then $C = P \cup Q$.

Because X is locally path connected, each path component of X is open in X . In particular, P and Q are open. This contradicts the connectedness of C , proving the claim.

↳ they form a separation of C

Def. A collection \mathcal{A} of subsets of X is said to be a **covering** if $X = \bigcup_{A \in \mathcal{A}} A$. An **open covering** is a covering where every subset is open. X is said to be **compact** if any open cover contains a finite subcover.

If Y is a subspace of X and \mathcal{A} is a collection of subsets of X , \mathcal{A} is said to **cover** Y if $Y \subseteq \bigcup_{A \in \mathcal{A}} A$.

Theo. (3.19) Let Y be a subspace of X . Then Y is compact iff every covering of Y by sets open in X contains a finite subcollection covering Y .

Theo. Any closed subspace of a compact space is compact.
(3.20)

Hint Consider the open cover $A \cup \{X \setminus Y\}$

Theo. Every compact subspace of a Hausdorff space is closed.
(3.21)

Proof. Let X be Hausdorff and Y a compact subspace. Let $x_0 \in X \setminus Y$.
For each $y \in Y$, choose neighbourhoods U_y of x_0 and V_y of y
such that $U_y \cap V_y = \emptyset$
Since Y is compact, there exist y_1, \dots, y_n such that
$$Y \subseteq \bigcup_{1 \leq i \leq n} V_{y_i} = V.$$

But $Y \cap U \subseteq V \cap U = \emptyset$, where

$U = \bigcap_{1 \leq i \leq n} U_{y_i}$ is a neighbourhood of x_0 .

Therefore, Y is closed. \square

The above need not be true for non-Hausdorff spaces.

(Consider \mathbb{R} under finite complement topology)

Lemma. If Y is a compact subspace of the Hausdorff space X , and $x_0 \in X \setminus Y$, there
(3.22) are disjoint open sets U and V of X such that $x_0 \in U$ and $Y \subseteq V$.

Lecture 21 - 12/03/21 More on Compact Spaces

Theo. The image of a compact space under a continuous map is compact.
(3.23)

Theo Let $f: X \rightarrow Y$ be bijective and continuous. If X is compact and Y is
(3.24) Hausdorff, f is a homeomorphism.
(Show that f is a closed map)

Lemma. [Tube Lemma] Let X and Y be spaces with Y compact. Suppose $x_0 \in X$ and $N \supseteq \{x_0\} \times Y$ is an open subset of $X \times Y$. Then, there is a neighbourhood W of x_0 in X such that $W \times Y \subseteq N$.
 $\underbrace{\hspace{1cm}}_{\text{tube about } x_0 \times Y}$

Proof. Let us cover $x_0 \times Y$ with the basis elements $U \times V$ (for the topology of $X \times Y$) lying in N .

Since $\{x_0\} \times Y$ is compact, there is a finite subcover

$$U_1 \times V_1, \dots, U_n \times V_n$$

We may assume that $x_0 \in U_i$ for each i (Why?). Let

$$W = \bigcap_{1 \leq i \leq n} U_i.$$

Then W is open in X and contains x_0 .

It is easily shown that $W \times Y$ is covered by the $(U_i \times V_i)$. Since each $U_i \times V_i \subseteq N$, $W \times Y \subseteq N$. \square

Theo. The product of finitely many compact spaces is compact.
 (3.26)

(It is in fact true for arbitrary products, which we shall see later in Tychonoff's Theorem)

Proof It suffices to show the result for two spaces. Let X, Y be compact and A an open subcover of $X \times Y$

For each $x_0 \in X$, $\{x_0\} \times Y$ can be covered by finitely many $A_1, \dots, A_m \in A$.

Then $\{x_0\} \times Y \subseteq A_1 \cup \dots \cup A_m = N$, so N contains a tube $W \times Y$ containing $\{x_0\} \times Y$. $W \times Y$ is covered by the A_i ($1 \leq i \leq m$).

That is, for each $x \in X$, there is a neighbourhood W_x of x such that $W_x \times Y$ can be covered by finitely many elements.

The collection of all W_x forms an open cover of X , so it has a finite subcover W_1, W_2, \dots, W_k .

Then, $X \times Y \subseteq (W_1 \times Y) \cup (W_2 \times Y) \cup \dots \cup (W_k \times Y)$.

Each is covered by finitely many elements from A , so $X \times Y$ can as well. \square

Def. A collection \mathcal{C} of subsets of X is said to have the **finite intersection property** if for any finite $\{C_1, C_2, \dots, C_k\} \subseteq \mathcal{C}$,
 $C_1 \cap C_2 \cap \dots \cap C_k \neq \emptyset$.

Theo. (3.27) Let X be a topological space. X is compact iff for every collection \mathcal{C} of closed subsets of X with the finite intersection property, the intersection $\bigcap_{C \in \mathcal{C}} C$ is non-empty.

Proof. Forward direction

Suppose otherwise. Then $\mathcal{A} = \{X \setminus C : C \in \mathcal{C}\}$ is an open cover so has a finite subcover A_1, \dots, A_m . But then, $(X \setminus A_1) \cap \dots \cap (X \setminus A_m) = \emptyset$, contradicting the finite intersection property and proving the result.

Backward direction.

Let \mathcal{A} be an open cover and $\mathcal{C} = \{X \setminus A : A \in \mathcal{A}\}$. Suppose \mathcal{A} does not have a finite subcover. Then \mathcal{C} has the finite intersection property so $\bigcap_{C \in \mathcal{C}} C \neq \emptyset$. This contradicts \mathcal{A} being a cover, proving the result. \square

Let us look at what the compact subspaces of the real line.

Theo. (3.28) Let X be a simply ordered set having the least upper bound property. In the order topology, each closed and bounded interval of X is compact.

Proof. Let $a < b$ and \mathcal{A} be an open cover of $[a, b]$ in the subspace topology (which is the same as the order topology since $[a, b]$ is compact).

Claim 1. If $x \in [a, b)$, there exists $y > x$ in $[a, b]$ such that $[x, y]$ can be covered by at most two elements of \mathcal{A} .

→ If x has an immediate successor, let y be this element. Then $[x, y] = \{x, y\}$, so the claim is obvious.

→ Otherwise, choose $A \in \mathcal{A}$ containing x . Since A is open, it contains an interval of the form $[x, c)$. We can then let y be any element of (x, c) .

Now, let C be the set of all points $y > a$ such that $[a, y]$ can be covered by finitely many elements of A . (We want to show that $b \in C$.)
By the claim, $C \neq \emptyset$. Let c be the least upper bound of C .

Claim 2. $c \in C$.

Choose $A \in A$ containing c . A contains an interval of the form $(d, c]$.

If c does not have an immediate predecessor (even otherwise, it is similarly shown) let $z \in C$ such that $z \in (d, c)$ (Why does such a z exist?).

Then $[a, z)$ can be covered by finitely many elements A_1, \dots, A_k of A , so it follows that $\{A_1, \dots, A_k\} \cup \{A\}$ is a finite subset of A covering $[a, c]$, proving the result.

Finally, let us prove that $b = c$. Suppose otherwise. Then there exists $y \in (c, b]$ such that $[c, y]$ can be covered by at most two elements. This implies that $[a, y]$ can be covered by finitely many elements, contradicting the fact that c is an upper bound of C , proving the result. \square

Corollary. Any closed and bounded interval in \mathbb{R} is compact.
(3.29) \hookrightarrow w.r.t. Euclidean metric

Lecture 21 - 17/03/21 Local Compactness

Theo. A subspace A of \mathbb{R}^n iff it is closed and bounded in the Euclidean
(3.30) metric or square metric p .

Proof It suffices to consider the metric p (Why?).

Suppose $A \subseteq \mathbb{R}^n$ is compact.

\rightarrow Since \mathbb{R}^n is Hausdorff, A is closed.

\rightarrow Consider the collection of open sets $\{B_p(\mathbf{0}, m) : m \in \mathbb{N}\}$, which forms a covering of A . Since it has a finite subcover, it follows that $A \subseteq B_p(\mathbf{0}, n)$ for some $n \in \mathbb{N}$ and A is bounded.

Suppose $A \subseteq \mathbb{R}^n$ is closed and bounded. Suppose $p(x, y) < M$ for $x, y \in A$. Fix some $x_0 \in A$. Then A is a subset of $\prod_{i=1}^n [(x_0)_i - M, (x_0)_i + M]$, which is compact (finite product of compact sets). Since A is closed in this subspace, it is compact. \square

Def. If X is a space, $x \in X$ is said to be an **isolated point** of X if $\{x\}$ is open in X .

Theo. Let X be a non-empty compact Hausdorff space. If X has no isolated points, it is uncountable.

Proof. \rightarrow We show that given any non-empty open $U \subseteq X$ and $x \in X$, there is a non-empty open $V \subseteq U$ such that $x \notin \bar{V}$.

Choose a $y \in U$ different from x (Why does such a y exist?). Let W_1 and W_2 be disjoint neighbourhoods of x and y . $V = W_2 \cap U$ is the required set — $x \notin \bar{V}$ because $x \in W_1$ and $W_1 \cap V = \emptyset$.

\rightarrow We show that given any $f: \mathbb{N} \rightarrow X$, f is not surjective.

Let $x_n = f(n)$. Let $V_1 \subseteq X$ be an open set such that $x \in \bar{V}_1$ (choosing $V = X$). In general, given non-empty open V_{n-1} , let $V_n \subseteq V_{n-1}$ be a non-empty open set such that $x_n \notin \bar{V}_n$. Then

$$\bar{V}_1 \supseteq \bar{V}_2 \supseteq \dots \supseteq \bar{V}_n \supseteq \dots$$

Let $V = \bigcap_{n=1}^{\infty} V_n$. Observe that the above collection of subsets has the finite intersection property. Therefore V is non-empty and closed. Let $x \in V$. Then $x \neq x_n$ for any n , completing the proof.

$$\begin{array}{ccc} \in \bar{V}_n & \searrow & \notin \bar{V}_n \end{array}$$

□

Def. A space X is said to be **locally compact** at x if there is some compact subspace C of X that contains a neighbourhood of x .

If X is locally compact at each $x \in X$, X is said to be locally compact.

Observe that any compact space is locally compact (taking $C = X$).

A slightly more non-trivial example is that \mathbb{R} is locally compact.

If X is Hausdorff and \mathcal{B} forms a basis, then X is locally compact iff for all $x \in X$, there is $B \in \mathcal{B}$ such that $x \in B$ and \bar{B} is compact.

\rightarrow Show that \mathbb{Q} is not locally compact.

Theo. (3.32) Let X be a space. X is locally compact and Hausdorff iff there is a space Y such that

1. X is a subspace of Y .
2. $Y \setminus X$ is a singleton.
3. Y is compact and Hausdorff.

Further, if Y and Y' are two spaces satisfying the above, they are homeomorphic with a homeomorphism whose restriction to X is the identity map.

In this context, Y is known as the **one-point compactification** of X .

Proof → We first check uniqueness up to homeomorphism. Let $h: Y \rightarrow Y'$ such that $h(x) = x$ for $x \in X$ and the single point $p \in Y$ is mapped to the single point $p' \in Y'$. h is clearly bijective.

Let U be open in Y .

- If $p \notin U$, $h(U) = U$ is clearly open. (X is open in Y or Y' since $\{p\}$ and $\{p'\}$ are closed)
- Suppose $p \in U$. Since $C = Y \setminus U$ is closed in Y , it is compact in Y . Further, it is a compact subspace of X . Since X is a subspace of Y' , C is a compact subspace of Y' . Because Y' is Hausdorff, C is closed in Y' so $h(U) = Y' \setminus C$ is open in Y' .

Therefore, h is open. Similarly, h is also continuous so it is a homeomorphism.

→ Suppose X is locally compact and Hausdorff. Take some point $\infty \notin X$.

Let $Y = X \cup \{\infty\}$. Give Y a topology as:

- i) all sets U open in X are open in Y .
- ii) all sets of the form $Y \setminus C$ are open in Y , where C is a compact subspace of X .

Why is this a topology?

- \emptyset, Y are open by i, ii respectively.
- $U_1 \cap U_2$ is open by i
- $(Y \setminus C_1) \cap (Y \setminus C_2) = Y \setminus (C_1 \cup C_2)$ is open by ii
- $U_1 \cap (Y \setminus C_1) = U_1 \cap (X \setminus C_1)$ is open by i

- Similarly, an arbitrary union of open sets is open

$\bigcup_{\alpha} U_{\alpha}$ is open by i

$\bigcup_{\alpha} (Y \setminus C_{\alpha}) = Y \setminus (\bigcap_{\alpha} C_{\alpha}) = Y \setminus C$ is open by ii

$\bigcup_{\alpha} U_{\alpha} \cup \bigcup_{\beta} (Y \setminus C_{\beta}) = U \cup (Y \setminus C) = Y \setminus \underbrace{(C \setminus U)}_{\text{closed}} = C \cap (X \setminus U)$ is open

Next, let us show that X is a subspace of Y . Let U be open in Y .

- If U is of type i, $U \cap X$ is open in X .
- If $U = Y \setminus C$ is of type ii, $(Y \setminus C) \cap X = X \setminus C$ is open in X because C is closed in X .

(it is obvious that for any open V in X , there is an open $U \subseteq Y$ s.t. $V = U \cap X$)

Let \mathcal{A} be an open covering of Y . \mathcal{A} must contain some open set $(Y \setminus C)$ of type ii (∞ is not in any set of type i).

Consider all the elements of \mathcal{A} other than $Y \setminus C$ and intersect them with X . This forms an open cover of C .

Because C is compact, finitely many of them cover C . The corresponding elements of \mathcal{A} together with $Y \setminus C$ form a finite subcover of Y .

Therefore, Y is compact.

Let $x, y \in Y$ with $x \neq y$. If $x, y \in X$, then there are clearly disjoint open sets containing them. Otherwise, suppose $x \in X$ and $y = \infty$. Let C be a compact subspace of X containing a neighbourhood U of x . Then U and $Y \setminus C$ are disjoint neighbourhoods of x and y .

→ Let us now prove the converse. We claim that X is locally compact and Hausdorff. Denote by ∞ the element of $Y \setminus X$.

X is Hausdorff by Theo. 1.20(c).

Let $x \in X$. Let U, V be disjoint neighbourhoods of x and ∞ . Then $(Y \setminus V)$ is closed in Y , and thus compact. The required follows since $U \subseteq (Y \setminus V)$.