

# NEURAL SYSTEMS - COURSEWORK

MEHMET GIRISKEN

P2942380

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# INTRODUCTION



- The project deals with the classification of Fashion MNIST dataset images.
- Our main goal will be to understand how different neural network architectures ANN and CNN perform on the same task.
- We also want to see how the fine-tuning of these models can help improve accuracy while reducing validation loss.
- Comparing both models will give a better understanding of how specific deep-learning architectural choices are made.

# PURPOSE OF THE PROJECT

- The idea behind this project is to enhance both ANN and CNN models to observe changes in their performance.
- We first develop the baseline versions of each of the models, then an improved version with architectural and training changes.
- The results are evaluated on the basis of accuracy and validation loss.
- In general, we want to show that appropriate tuning can lead to better generalization and model stability.

# DATA PREPARATION & HANDLING

```
# Mount Google Drive
drive.mount('/content/drive')

# Dataset path
data_path = '/content/drive/MyDrive/Coursework-NS/fashion_mnist_cnn_ann_data.npz'

# Load data from the npz file
with np.load(data_path) as data:
    x_train_cnn = data['x_train_cnn']
    y_train = data['y_train']
    x_test_cnn = data['x_test_cnn']
    y_test = data['y_test']
    x_val_cnn = data['x_val_cnn']
    y_val = data['y_val']
    x_train_ann = data['x_train_ann']
    x_val_ann = data['x_val_ann']
    x_test_ann = data['x_test_ann']

# Normalize to [0,1] - This was already done when the .npz was created,
# but including it here as a safeguard and for clarity
x_train_cnn = x_train_cnn.astype('float32') / 255.0
x_val_cnn = x_val_cnn.astype('float32') / 255.0
x_test_cnn = x_test_cnn.astype('float32') / 255.0
x_train_ann = x_train_ann.astype('float32') / 255.0
x_val_ann = x_val_ann.astype('float32') / 255.0
x_test_ann = x_test_ann.astype('float32') / 255.0

print('Train shape (CNN):', x_train_cnn.shape)
print('Val shape (CNN):', x_val_cnn.shape)
print('Test shape (CNN):', x_test_cnn.shape)
print('Train shape (ANN flattened):', x_train_ann.shape)
print('Val shape (ANN flattened):', x_val_ann.shape)
print('Test shape (ANN flattened):', x_test_ann.shape)

# Class names for plotting
class_names = ['T-shirt/top', 'Trouser', 'Pullover', 'Dress', 'Coat', 'Sandal', 'Shirt', 'Sneaker',
```

- The Fashion MNIST dataset used contains 70,000 grayscale images of clothing items in 10 categories.
- The data were split into training, validation, and test sets.
- Each image was normalized to have the pixel values range from 0 to 1.
- We also used other augmentation techniques for this task, like random flipping, rotation, and zooming, in order to make the model more robust. Finally, we visualized both the original and augmented images to make sure the transformations looked realistic.

# BASLINE ANN MODEL

```
def build_ann(input_shape=(28*28,), num_classes=10):  
    model = models.Sequential([  
        layers.Input(shape=input_shape),  
        layers.Dense(512, activation='relu'),  
        layers.Dropout(0.3),  
        layers.Dense(256, activation='relu'),  
        layers.Dropout(0.3),  
        layers.Dense(128, activation='relu'),  
        layers.Dense(num_classes, activation='softmax')  
    ])  
    model.compile(optimizer='adam', loss='sparse_categorical_crossentropy', metrics=['accuracy'])  
    return model
```

- The baseline artificial neural network consists of several fully connected dense layers with ReLU activation and a softmax output.
- This model was trained using an Adam optimizer and categorical cross-entropy loss.
- Although the model learned quickly, it showed signs of overfitting during validation.
- The accuracy reached around 85–88%, which is good but not optimal for image classification.

# IMPROVED ANN MODEL

```
def build_ann_improved(input_shape=(28*28,), num_classes=10):
    model = models.Sequential([
        Input(shape=input_shape),
        layers.Dense(1024, activation='relu'),
        layers.BatchNormalization(),
        layers.Dropout(0.4),
        layers.Dense(512, activation='relu'),
        layers.BatchNormalization(),
        layers.Dropout(0.4),
        layers.Dense(256, activation='relu'),
        layers.Dense(num_classes, activation='softmax')
    ])

    model.compile(
        optimizer=optimizers.Nadam(learning_rate=1e-3),
        loss='sparse_categorical_crossentropy',
        metrics=['accuracy']
    )
    return model
```

- Then, in order to tune the ANN, we added dropout to prevent overfitting and batch normalization to stabilize learning.
- We have also tuned the number of neurons in each layer in order to find a better balance between the performance and complexity.
- These helped in increasing the validation accuracy and smoothing out the loss curve.
- The model became more generalizable and less sensitive to training noise.

# BASELINE CNN MODEL

```
def build_cnn(input_shape=(28,28,1), num_classes=10):
    model = models.Sequential([
        layers.Input(shape=input_shape),
        data_augmentation, # Apply data augmentation after the input layer
        layers.Conv2D(32, (3,3), activation='relu', padding='same'),
        layers.MaxPooling2D((2,2)),
        layers.Conv2D(64, (3,3), activation='relu', padding='same'),
        layers.MaxPooling2D((2,2)),
        layers.Flatten(),
        layers.Dense(128, activation='relu'),
        layers.Dropout(0.4),
        layers.Dense(num_classes, activation='softmax')
    ])
    model.compile(optimizer='adam', loss='sparse_categorical_crossentropy', metrics=['accuracy'])
    return model
```

- The baseline Convolutional Neural Network includes convolutional layers for feature extraction, pooling layers for downsampling, and dense layers for classification.
- This model outperformed the ANN right from the beginning, since CNNs detect spatial patterns such as edges and textures.
- The CNN baseline reached about 90% accuracy, but still had a visible validation gap, hence some overfitting was still present.

# IMPROVED CNN MODEL

- The CNN was enhanced by adding more convolutional layers, as well as batch normalization after each block.
- Dropout layers were added to reduce overfitting further.
- We also increased the number of filters to allow the model to learn more complex visual features.
- These changes increased the accuracy of the improved CNN to about 92–94% while reducing validation loss significantly.

```
# Streamlined CNN model
def build_cnn_improved(input_shape=(28, 28, 1), num_classes=10):
    model = models.Sequential([
        layers.Input(shape=input_shape),
        aug,

        layers.Conv2D(32, (3, 3), padding='same', activation='relu'),
        layers.BatchNormalization(),
        layers.Conv2D(32, (3, 3), padding='same', activation='relu'),
        layers.MaxPooling2D((2, 2)),

        layers.Conv2D(64, (3, 3), padding='same', activation='relu'),
        layers.BatchNormalization(),
        layers.Conv2D(64, (3, 3), padding='same', activation='relu'),
        layers.MaxPooling2D((2, 2)),

        layers.Flatten(),
        layers.Dense(256, activation='relu'),
        layers.BatchNormalization(),
        layers.Dropout(0.5),
        layers.Dense(num_classes, activation='softmax')
    ])

    model.compile(
        optimizer=optimizers.Adam(learning_rate=1e-3),
        loss='sparse_categorical_crossentropy',
        metrics=['accuracy']
    )
    return model
```



# WHAT WE CHANGED AND WHY

- Batch Normalization: reduces internal covariate shift; stabilizes and speeds up training.
- Dropout: regularization to reduce overfitting.
- More filters / deeper CNN gives the model more capacity to learn complex patterns.
- Data augmentation: This makes the model robust against transformations and decreases over-fitting.
- Optimizer & LR scheduling: Nadam/Adam and ReduceLROnPlateau help find better minima and adapt learning.
- EarlyStopping: prevents overfitting by stopping training when validation stops improving.

# EVALUATION METRICS

Classification report – CNN:

	precision	recall	f1-score	support
T-shirt/top	0.70	0.80	0.75	1000
Trouser	0.97	0.91	0.94	1000
Pullover	0.50	0.84	0.62	1000
Dress	0.73	0.78	0.76	1000
Coat	0.70	0.44	0.54	1000
Sandal	0.89	0.86	0.88	1000
Shirt	0.45	0.24	0.32	1000
Sneaker	0.86	0.83	0.85	1000
Bag	0.93	0.93	0.93	1000
Ankle boot	0.85	0.92	0.89	1000
accuracy			0.75	10000
macro avg	0.76	0.75	0.75	10000
weighted avg	0.76	0.75	0.75	10000

Classification report – ANN:

	precision	recall	f1-score	support
T-shirt/top	0.80	0.87	0.83	1000
Trouser	0.99	0.96	0.97	1000
Pullover	0.78	0.78	0.78	1000
Dress	0.87	0.90	0.88	1000
Coat	0.75	0.85	0.80	1000
Sandal	0.97	0.93	0.95	1000
Shirt	0.78	0.58	0.67	1000
Sneaker	0.92	0.96	0.94	1000
Bag	0.96	0.98	0.97	1000
Ankle boot	0.95	0.95	0.95	1000
accuracy			0.88	10000
macro avg	0.88	0.88	0.87	10000
weighted avg	0.88	0.88	0.87	10000

ANN Confusion Matrix

	0	1	2	3	4	5	6	7	8	9
0	870	1	16	34	6	0	63	0	10	0
1	3	957	3	29	3	0	2	0	3	0
2	18	1	781	11	143	0	44	0	2	0
3	28	4	11	900	32	0	20	0	4	1
4	0	0	79	29	851	0	38	0	3	0
5	0	0	0	0	0	934	0	40	2	24
6	164	1	110	33	91	0	584	0	17	0
7	0	0	0	0	0	19	0	956	0	25
8	2	1	4	2	5	3	1	3	979	0
9	0	0	0	0	0	3	1	45	0	951
	0	1	2	3	4	5	6	7	8	9

CNN Confusion Matrix

	0	1	2	3	4	5	6	7	8	9
0	795	6	42	82	7	3	50	0	15	0
1	6	911	9	59	5	0	8	0	2	0
2	8	1	840	11	67	0	65	0	8	0
3	88	18	27	783	22	0	57	0	5	0
4	1	2	409	57	437	1	87	0	6	0
5	1	0	0	1	0	860	0	84	8	46
6	231	2	344	70	87	0	242	0	24	0
7	0	0	0	0	0	58	0	828	1	113
8	4	1	22	4	2	10	23	6	926	2
9	0	0	0	1	0	33	0	41	1	924
	0	1	2	3	4	5	6	7	8	9

- The performance of the models was evaluated using various metrics.
- Accuracy measures the number of correct predictions.
- Loss indicates how far the model's predictions are from the true labels.
- We have also created a confusion matrix to visualize class-level accuracy and used the classification report for precision, recall, and F1-score.
- These metrics helped us understand not only how accurate the models were, but also where they struggled.

# RESULTS & GRAPH COMPARISON

Both models showed distinct improvement after optimization.

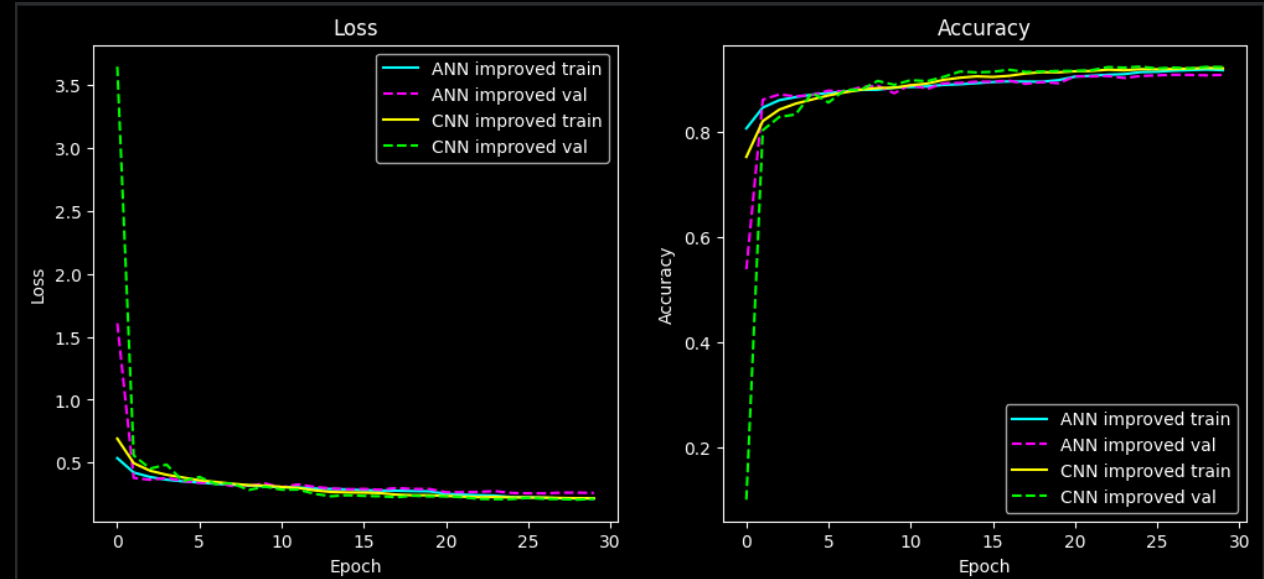
Training and validation curves became more stable and consistent.

Therefore, the tuned CNN performed best with higher accuracy and lower validation loss compared to the ANN.

Graph comparisons reflect smoother convergence and reduced overfitting for both improved models.

This demonstrates that our architectural and training changes were effective.

	model	test_loss	test_acc	val_acc
0	ANN baseline	0.348721	0.8763	0.889500
1	CNN baseline	0.626060	0.7546	0.767833
2	ANN improved	0.301697	0.8958	0.907833
3	CNN improved	0.243799	0.9159	0.923000



# DISCUSSION & INTERPRETATION

- From our results, CNNs clearly outperformed ANNs on image data since they can automatically capture spatial relationships.
- These improvements helped both models generalize better on unseen data.
- The most effective modifications included batch normalization and dropout.
- Data augmentation also played an important role in stabilizing validation results and preventing overfitting.
- In general, these experiments confirm that small structural changes can make a big difference.

# OPTIMIZATION AND MODEL EVALUATION

- Throughout this project, we tested various optimization strategies, tuned hyperparameters, and compared multiple architectures to achieve the best possible accuracy. We experimented with different optimizers such as RMSprop and SGD, but Adam consistently produced the best results. After several improvements, both the ANN and CNN models reached strong performance levels. Further enhancements would likely require a more complex dataset or pretrained models beyond our current scope. In conclusion, careful tuning and regularization significantly improved model performance and generalization, making CNN the most effective model for this task.

# CONCLUSION

- Through the process of this project, we tried different optimization strategies, tuned hyperparameters, and compared several architectures in order to get the best possible accuracy.
- We tried several other optimizers, such as RMSprop and SGD, but the results were always best with Adam.
- Both models, with several improvements, reached very strong performances. Further enhancements would come from even more complex datasets or pre-trained models beyond our current scope.
- However, with the right tuning and regularization, CNN turned out to be the most effective model in this work, with much-enhanced performance and generalization.

# Generating New Fashion MNIST Images with WGAN-GP

This code implements a **Wasserstein Generative Adversarial Network with Gradient Penalty (WGAN-GP)** to create new images that resemble the Fashion MNIST dataset.

## Key Components:

- **Generator:** Takes random noise and transforms it into synthetic images using transposed convolutions.
- **Critic (Discriminator):** Evaluates the "realness" of images (both real and generated) and provides a score, not a probability.
- **Loss Functions:**
  - **Critic Loss:** Encourages the critic to give higher scores to real images and lower scores to fake images.
  - **Generator Loss:** Encourages the generator to produce images that receive higher scores from the critic.
- **Gradient Penalty:** A regularization technique applied to the critic to ensure stable training and prevent mode collapse.
- **Training Process:** The generator and critic are trained in an adversarial manner, with the critic typically trained more frequently than the generator in each step.

## Outcome:

After training, the generator can produce novel images that capture the visual characteristics of the Fashion MNIST dataset.

**THANK YOU FOR LISTENING!**