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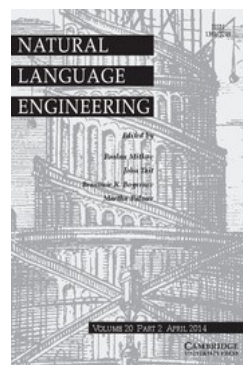
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# *A syntactic approach for opinion mining on Spanish reviews*

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## **Abstract**

We describe an opinion mining system which classifies the polarity of Spanish texts. We propose an NLP approach that undertakes pre-processing, tokenisation and POS tagging of texts to then obtain the syntactic structure of sentences by means of a dependency parser. This structure is then used to address three of the most significant linguistic constructions for the purpose in question: intensification, subordinate adversative clauses and negation. We also propose a semi-automatic domain adaptation method to improve the accuracy of our system in specific application domains, by enriching semantic dictionaries using machine learning methods in order to adapt the semantic orientation of their words to a particular field. Experimental results are promising in both general and specific domains.

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## **1 Introduction**

Asking for or giving an opinion to someone we know is something that most people do at some point in their lives. With the explosion of Web 2.0 and the rise of blogs, forums and social networks, millions of users express their views about various topics on these sites. They discuss current issues and praise, compare or complain about products, services and even people. These opinions are especially useful for the business sector, because they make it possible to find out how people perceive a product. For example, it enables business organisations to undertake major market research by polling blogs, forums and social networks in order to obtain a general idea of public sentiment for or against a specific product. Opinion extraction is also useful because it allows us to know which aspects of a product are working and which have to be improved (e.g. *'The mobile XXX is too big'*). The economic benefits that can be derived from this knowledge are obvious, so the market has begun to demand solutions to analyse this enormous flow of opinions. However, manual monitoring is not an option, given the complexity of the analysis and the exponential growth of the number of reviews that appear on the web. In this

respect, sentiment analysis, also known as opinion mining (OM),<sup>1</sup> is a growing field of research focussed on automatic processing of the subjective information present in texts, where one of the main tasks is *polarity* classification, *i.e.*, to determine whether the opinion expressed is positive, negative, neutral or mixed.

In this regard, we present a sentiment analyser prototype for reviews in Spanish language which uses dependency parsing to resolve the polarity of a given text. The practical application of our proposal is supported by the good results obtained after testing in different corpora.

The remainder of this paper is organised as follows. We begin by presenting related research in Section 2, focusing on the polarity classification task. In Section 3, we provide a broad introduction to our proposal, detailing the treatment of the linguistic aspects considered. Section 4 describes a semi-automatic domain adaptation method to improve performance in a specific field, taking movies as an example. Experimental results are shown in Section 5. Finally, we present conclusions and future work in Section 6.

## 2 Related work

The development of effective OM systems requires overcoming a number of challenges (Pang and Lee 2008). Firstly, it is necessary to identify subjective content within a text. This task is not trivial in specific review sites like Epinions<sup>2</sup> or Ciao,<sup>3</sup> but it is an even more difficult problem in Twitter<sup>4</sup> or blogs, where both subjective and objective content is published, and sentiment can often be expressed in a very subtle manner, making it difficult to identify by terms considered in isolation (Pang and Lee 2008). Proposed approaches range from the use of simple hints such as emoticons (Pak and Paroubek 2010) to the use of complex combinations of lexico-syntactic features that capture basic characteristics of conversation structure across modalities (Murray and Carenini 2011).

Secondly, OM systems must classify the overall sentiment of a given text, known as its polarity. Traditionally, this has been considered as a binary classification task (positive versus negative). However, it is also possible to formulate a more fine-grained categorisation (Sidorov *et al.* 2013), such as differentiating between positive, negative and neutral opinions.

The polarity classification task has traditionally been tackled from two different perspectives: supervised machine learning (ML) approaches and non-supervised semantic-based methods. ML solutions involve building classifiers from a collection of annotated texts (Pang, Lee and Vaithyanathan 2002), where each text is usually represented as a bag-of-words. It is also common to include some linguistic-related processing for preparing features (Bkiliwal *et al.* 2012; Montejo-Ráez *et al.* 2012),

<sup>1</sup> Following Pang and Lee (2008), Section 1.5, we use these terms interchangeably, although *opinion mining* was initially associated with web search and information retrieval and *sentiment analysis* referred to the automatic analysis of subjective texts.

<sup>2</sup> <http://www.epinions.com>

<sup>3</sup> <http://www.ciao.com>

<sup>4</sup> <http://www.twitter.com>

such as lemmatisation, stemming or stop word removal. Classifiers of this kind perform well in the domain where they have been trained, but their accuracy drops markedly in other areas, because they are highly domain dependent (Aue and Gamon 2005). Semantic-based methods (Turney 2002) involve the use of dictionaries where different kinds of words are tagged with their semantic orientation (SO). To classify polarity, these methods obtain the words present in a text and aggregate their SO in a given way (Taboada *et al.* 2011). In contrast with ML approaches, semantic-based methods are more domain independent, although their performance can still vary from one domain to another.

There also exist hybrid approaches such as those by Greene and Resnik (2009), Nakagawa, Inui and Kurohashi (2010), Zhang *et al.* (2009), Joshi and Penstein-Rosé (2009) and Wu *et al.* (2009), that use Natural Language Processing (NLP) to approximate semantic properties automatically and use them as features for a supervised ML system. Contrary to these methods, we present a novel unsupervised approach based on dependency parsing. Our aim is to use dependency structures to combine the SO of lexical items in a principled way, since effective sentiment analysis requires not only considering words individually, but also taking into account linguistic constructions that can change the overall sentiment.

One of such constructions are *valence shifters* (Kennedy and Inkpen 2006) such as negation and intensification. The usual way of dealing with them is by means of heuristics. With respect to negation, Pang *et al.* (2002) assume that the scope of negation includes the words between the negator and the first punctuation mark after the negation term. Yang (2008) proposes flipping the SO of words in the vicinity of a negation, which includes a great number of words to the right of a negator. Fernández Anta *et al.* (2012) use a conservative technique for processing negations and intensifications on Twitter, before training a classifier to resolve the polarity: if the pre-processing algorithm finds a valence shifter, it changes the SO of the three terms following the shifter. The English SO-CAL system (Taboada *et al.* 2011) uses POS tagging information to identify the scope of negation. Moreover, it works with intensifiers and also identifies and discards the SO present in subjunctive and conditional forms, the main types of *irrealis* mood. Irrealis is usually used for expressing perceptions or non-factual opinions, thereby distorting sentiment calculation on texts.

In contrast with these purely lexicon-based heuristics, Jia, Yu and Meng (2009) propose a procedure that uses a parse tree and a collection of rules for identifying the scope of negation terms. Their experimental results show an improvement over other methods in accuracy of sentiment analysis.

In addition to these linguistic aspects, sentiment analysis must take into account the poor text quality of web reviews. Moreover, users often employ ungrammatical phenomena: emoticons, replication of characters<sup>5</sup> (Bkliwal *et al.* 2012) or overuse of upper case<sup>6</sup> (Saralegi Urizar and San Vicente Roncal 2012). These are phenomena that frequently appear in order to give greater emphasis to phrases, thus complicating the analysis of these texts.

<sup>5</sup> e.g. ‘it’s beautifuuuuul’.

<sup>6</sup> e.g. ‘I LOVE THAT FILM’.

Finally, we would like to emphasise that although research on OM has been very active in the last decade, most of the work has focussed on texts written in the English language. For Spanish, few OM systems have been proposed, the most relevant being The Spanish SO-CALculator (Brooke, Tofiloski and Taboada 2009), a semantic-based model that uses a collection of semantic dictionaries to calculate the sentiment present in common nouns, adjectives, adverbs and verbs. Lexical and grammatical differences between Spanish and English can impact the performance of OM systems designed for these languages. In this context, Boiy and Moens (2009) have studied the impact of language particularities of English, Dutch and French in the performance of OM techniques. They show that it is more difficult to extract the correct sentiment from Dutch and French sentences and that performance improves when using language-specific features. One of the reasons for this is the higher degree of morphological inflection present in these languages, reflected in proportions of respectively 15 per cent and 14 per cent unique words in the corpus compared to 10 per cent for English. This means that more training examples are required to accurately identify all sentiment patterns. Other language-specific problems regard the use of compounds in Dutch and the spelling in French, with accents omitted in an inconsistent way, and the divergence of French texts from formal language. As Spanish and French belong to the same family of Indo-European Western Romance languages, we conjecture that most remarks made by Boiy and Moens (2009) for French can be applied to Spanish.

### 3 A syntactic approach to semantic orientation calculation

Most OM systems are typically lexicon-based or ML-based solutions that do not take into account the relations between words because they cannot interpret the syntactic structure of texts. In order to try to overcome these limitations, it is common to implement heuristics to simulate a comprehension of negation, intensification and other linguistic constructions, but these often fail, given the complexity of human language. As an alternative, in this paper we propose an unsupervised dependency parsing-based method for determining the semantic orientation of texts written in Spanish. To this end, we employ NLP techniques in order to obtain the syntactic structure of sentences.

As a first step, we use a pre-processor for the treatment of some special cases, such as:

- *Unification of compound expressions.* There are many compound expressions in Spanish like '*sin embargo*' ('however') or '*en absoluto*' ('not at all'), that must usually be interpreted as single units of meaning. To find them, we use a dictionary of compound expressions, extracted from the Ancora corpus (Taulé, Martí and Recasens 2008).<sup>7</sup> If the pre-processing algorithm identifies a group of these words, it unifies them into a single token (e.g. '*en absoluto*' becomes '*en\_absoluto*').

<sup>7</sup> The Ancora corpus is described in some detail in Section 5.1

Table 1. POS-tagging example both with our tagger (O) and with a regular tagger (R) trained with Ancora: adverb (r), verb (v), noun (n), preposition (s), pronoun (p), conjunction (c), determiner (d)

No	he	tenido	tiempo	de	escribir	sobre	el	y	ya	esta	estropeado	
not	have	had	time	of	to write	about	it	and	already	is	broken	
O	r	v	v	n	s	v	s	p	c	s	v	v
R	r	v	v	n	s	v	s	<b>d</b>	<b>c</b>	s	<b>d</b>	v

- *Normalisation of punctuation marks.* People do not usually respect punctuation rules in forums or social networks. This is a handicap for the rest of processing, especially tokenisation. To resolve this, pre-processing homogenises all punctuation mark representation by adding blanks when required (e.g. ‘*I like it, but it is too expensive*’ becomes ‘*I like it, but it is too expensive*’).

As a second step, we tokenise sentences and words, dealing with abbreviations, words that start sentences and punctuation marks that indicate their end to then apply POS tagging. The next step consists of running the Brill tagger (Brill 1992) to then apply an affix-based tagger to try to annotate unknown tokens. Both taggers were trained using 90 per cent of Ancora POS tags as the training set and the remaining 10 per cent as the development set. An additional challenge for Spanish word-category disambiguation is that the use of accents is commonly ignored by people when writing in a web environment (e.g. ‘*rapido*’ instead of ‘*rápido*’ (‘*fast*’)). To improve practical performance of our tagger, we have expanded the training set: we cloned each training sentence to obtain its equivalent without any acute accent.

We evaluated both the regular tagger and the tagger trained with the cloned set. We obtained an accuracy of 95.86 per cent and 95.71 per cent on the test set (which has not been cloned), respectively, but we have observed that the regular tagger performs poorly on web texts. We hypothesise this is due to the fact that the Ancora corpus is correctly written, which is not the case of the majority of the web reviews. However, we have observed that our cloned tagger was able to tag these type of reviews correctly. Table 1 shows how both taggers annotate the sentence of the SFU Spanish Reviews Corpus:<sup>8</sup> ‘*No he tenido tiempo de escribir sobre el y ya esta estropeado*’, which is not correctly written. The correct Spanish sentence would be ‘*No he tenido tiempo de escribir sobre él y ya está estropeado*’, which translates to ‘*I had no time to write about it and it is already broken*’. The issue is that Spanish language uses these diacritical accents to distinguish the meaning of ‘*el*’ (‘the’, determiner) from ‘*él*’ (‘it’, pronoun), and the meaning of ‘*esta*’ (‘this’, determiner) from ‘*está*’ (‘is’, verb). As we can see, the regular tagger fails on these words, but ours is able to tag them satisfactorily. Although removing accents increases ambiguity, the POS tagger trained on the cloned corpus seems to be able to solve it based on neighbour words.

Once these steps have been performed, we use dependency parsing (Kübler, McDonald and Nivre 2009; Gómez-Rodríguez, Carroll and Weir 2011) for analysing

<sup>8</sup> A description of the SFU Spanish Review Corpus can be found in Section 5.1.

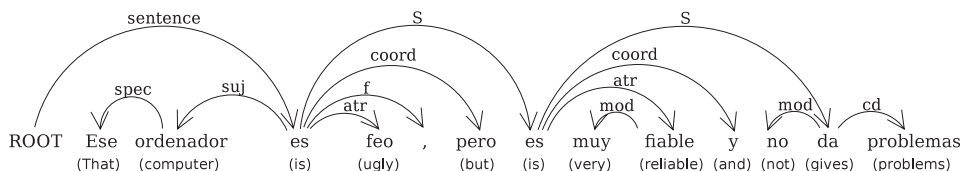


Fig. 1. Dependency parsing for a Spanish sentence.

the syntactic structure of each given sentence. In particular, we have used MaltParser (Nivre *et al.* 2007) and the Ancora corpus to train a dependency parser based on the *Nivre arc-eager* algorithm (Nivre 2008). As a result, we obtain a *dependency tree* for each sentence, consisting of a set of *head/dependent* binary relations, called *dependencies*, between words. Each dependency has a label with a given *dependency type*, which denotes the existing syntactic relation between head and dependent. To simplify computational implementation, an artificial ROOT node is added as the first word of each sentence. We trained and evaluated our parser model using the same splitting used by the tagger. We achieved a LAS<sup>9</sup> of 81.79 per cent and an UAS<sup>10</sup> of 86.76 per cent, which is a competitive accuracy for Spanish. The best-performing system among the nineteen participants in the CoNLL-X shared task (Buchholz and Marsi 2006) reported a LAS of 82.25 per cent and an UAS of 86.05 per cent (note that, since that task used different training and test corpora, this should be taken as a rough indicator of performance and not as a direct comparison between parsers). This means that we have a solid base from which to reliably detect relevant syntactic phenomena like intensification, subordinate adversative clauses and negation; and misdetections are likely to be infrequent enough to not have a large impact in our system’s performance. A more precise estimation of this impact could be obtained by task-oriented evaluation, but this would require a costly manual annotation process (Volokh and Neumann 2012).

As an example, Figure 1 shows a dependency tree for the Spanish sentence ‘*Ese ordenador es feo, pero es muy fiable y no da problemas*’, which translates to ‘*This computer is ugly, but it is very reliable and does not give any problem*’, using Ancora dependency labels. We provide an individual literal translation below each word. For example, the adjective ‘*feo*’ (‘ugly’) is a dependent of type *atr* (attribute) of the verb ‘*es*’ (‘is’). We will use this sentence as a running example throughout the paper.

Finally, we rely on SODictionariesV1.11Spa (Brooke *et al.* 2009) to carry out sentiment analysis. This is a collection of dictionaries for subjective common nouns, adjectives, adverbs and verbs where each word is annotated with its SO, between  $-5$  (the most negative) and  $+5$  (the most positive). Actually, the entries are lemmas and the SO corresponds to a generic assignment, without considering a specific domain. It also provides a dictionary of intensifiers, where the label assigned to each intensifying expression represents the value (positive or negative) of modification. SODictionariesV1.11Spa was created by merging the translation of The English

<sup>9</sup> Labelled Attachment Score: Percentage of words that have their head and their dependency type correctly assigned.

<sup>10</sup> Unlabelled Attachment Score: Percentage of words that have their head correctly assigned.

Table 2. *Piece of SODictionariesV1.11Spa*

Dictionary	Word	Semantic orientation
Adjectives	Feo (ugly)	−3
Adjectives	Fiable (reliable)	2
Nouns	Problema (problem)	−2
Nouns	Eficacia (effectiveness)	3
Intensifiers	Muy (very)	0.25

SO-CALculator dictionaries (Taboada *et al.* 2011) and the manual list of subjective words extracted from the SFU Spanish Reviews Corpus. Table 2 shows some entries in these dictionaries.

Generic SO assignments perform well in a large number of domains, but they can be inadequate for a specific field. For example, ‘war’ is apparently a clearly negative word, but its polarity can change or be non-existent in some contexts, like in the sentence ‘*Saving Private Ryan is a film about war*’. In Section 4, we describe in detail a procedure to adapt (and expand) those dictionaries to a particular domain.

### 3.1 Baseline

Our starting point is equivalent to a purely lexical approach, as we calculate the SO of a sentence by taking the common nouns, adjectives, adverbs and verbs stored in SODictionariesV1.11Spa into account. The SO of each word spreads recursively to the upper levels of the dependency tree until ROOT is reached. Each head node aggregates the sentiment of its children. Syntactic constructions such as negation, subordinate adversative clauses or intensification are not considered at this time.

Figure 2 shows a sentiment analysis on the running example applying this initial approach. Boldface is used to indicate words with an associated sentiment and dashed lines show how their SO is propagated to the top of the sentence. The sentence in the example is generally perceived as slightly positive, but this initial proposal classifies it as negative, because there are syntactic constructions that have not been considered in the base system, such as the negation ‘no’ (‘not’), the intensification ‘muy’ (‘very’) or the adversative subordinate conjunction ‘pero’ (‘but’).<sup>11</sup> In the following sections, we describe how we deal with them and how we include these valence shifters on our approach.

### 3.2 Treatment of intensification

An intensifier is a word or an expression which plays the role of a valence shifter in a sentence. There are two types according to their category: *amplifiers* and *downtoners*. The former maximise semantic orientation of one or more tokens, such as ‘muy’ (‘very’); whereas the latter decrement it, e.g. , ‘en absoluto’ (‘not at all’) or ‘poco’ (‘little’).

<sup>11</sup> Throughout the paper, we will use italics to represent all the linguistic aspects that can shift the sentiment of a sentence



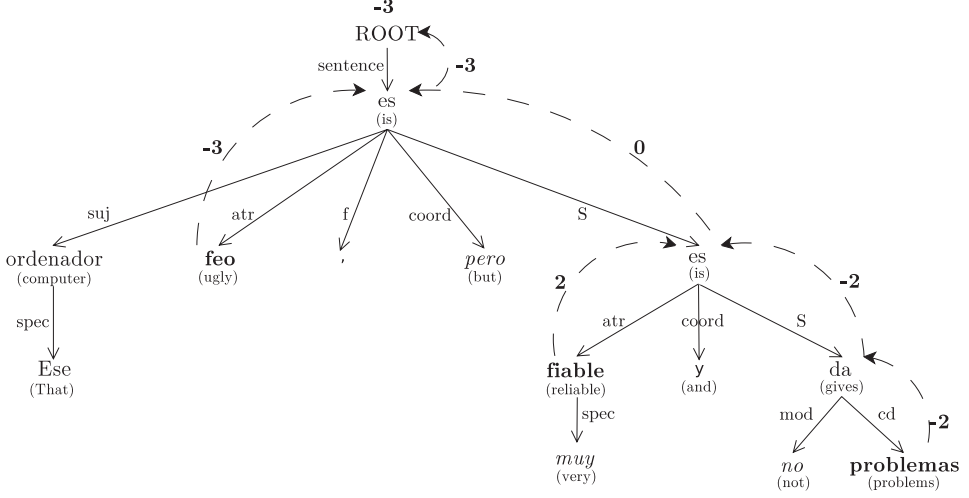


Fig. 2. Semantic orientation analysis over a dependency tree.

In some respects, our treatment of intensification is similar to that of Taboada *et al.* (2011), in the sense that amplifiers and downtoners are modelled as SO modifiers. Each intensifier has an associated percentage, positive if it is an amplifier and negative if it is a downtoner. However, we use syntactic dependencies to identify the scope of an intensifier; whenever an adverb is a dependent of a specifier (*spec*, *espec*) or an adjunct (*cc,sadv*) type, we take that word as a valence shifter and its head as the exact scope to be shifted. Figure 3 illustrates the effect on the sentiment calculation in the running example once the treatment of intensification is incorporated. We take ‘*fiable*’ (‘reliable’) as an intensified word, because its dependent node is an adverb and it is labelled with the dependency type *spec*. To calculate the sentiment of this piece of the sentence, we retrieve the original SO of ‘*fiable*’, which is 2, and we increase it by 25 per cent, the percentage associated to the amplifier ‘*muy*’ (‘very’):  $2 * (1 + 0.25) = 2.5$ . Also, it is possible to nest the effect of two or more intensifiers to shift the SO of a term. Nested intensifiers are labelled with the *spec* dependency type and their head node is always another intensifier. In this case, we calculate the final valence shift by aggregating the percentages associated with different intensifiers, subsequently applying the resulting percentage to a token. For example, in ‘*en absoluto muy fiable*’ (‘not very reliable at all’), where ‘*en absoluto*’ (‘not at all’) has an associated percentage of  $-1$ , we would calculate the semantic orientation of that expression as  $2 * (1 + (0.25) + (-1)) = 0.5$ .

Finally, there are other ways of emphasising an idea. Exclamation marks make it possible to indicate a stronger conviction or a salient word in a sentence. For treating this phenomenon, we included ‘!’ in the dictionary of intensifiers with a percentage value of 0.5 and we added the *f* dependency type (used for punctuation marks) to the algorithm for detecting intensified expressions.<sup>12</sup>

<sup>12</sup> Unlike English, Spanish uses ‘¡’ to begin exclamatory sentences, but it is customary to omit it in a web environment, and for this reason it has not been considered here.

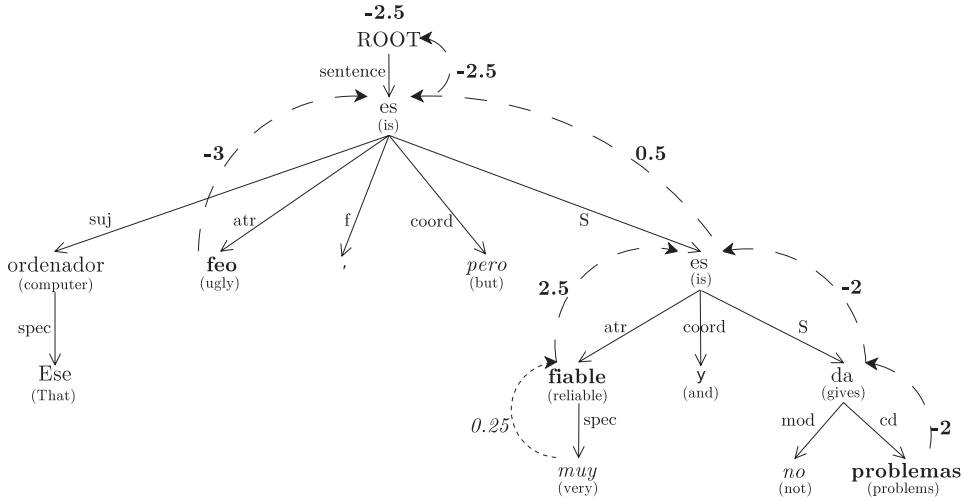


Fig. 3. Semantic orientation analysis with treatment of intensification.

### 3.3 Treatment of subordinate adversative clauses

A subordinate adversative clause expresses an event or fact that is the opposite to that of the main clause. In an OM context, we hypothesise that these type of constructions are a way of restricting, excluding or amplifying the sentiment reflected by both the main and subordinate clauses. We consider subordinate adversative clauses as a special case of intensification, but involving clauses, not individual terms. For example, the sentence ‘The actor acted badly but the movie was great’ is perceived as slightly positive because the conjunction ‘but’ implicitly gives more importance to the subordinate adversative clause ‘the movie was great’, while the main clause is partially ignored.

In this respect, we distinguish two different types of adversative conjunctions, as is pointed out in Campos (1993), Chapter 3. The first type, *restrictives*, increases the sentiment of the subordinate clause and decreases the SO of the main clause. The second type, *exclusives*, ignores totally the sentiment reflected in the main clause. Unfortunately, the Ancora corpus uses different dependency trees and dependency types for representing different adversative clauses. In this work, we only treat sentences that are uniformly structured: we take ‘pero’ (‘but’) and ‘mientras’ (‘while’) as restrictive conjunctions and ‘sino’ (‘but rather’) and ‘sino que’ (‘but on the other hand’) as exclusives. Table 3 illustrates how we weight both types.<sup>13</sup> In order to homogenise in the future all syntactic representations of the subordinate adversative clauses, we carried out a reorganisation of dependency trees, as shown in Figure 4. Moreover, it simplifies our SO calculation algorithm to weight both the main and subordinate clauses. For this purpose, we include an artificial node, called

<sup>13</sup> The weights have been empirically established over the SFU Spanish Review Corpus. We tested values between 0 and 2 both for main and subordinate clauses using steps of 0.15 and 0.2, respectively.

Table 3. *Weights of restrictive and exclusive conjunctions*

Type of conjunction	Weight for main clause	Weight for subordinate clause
Restrictive	0.75	1.4
Exclusive	0	1

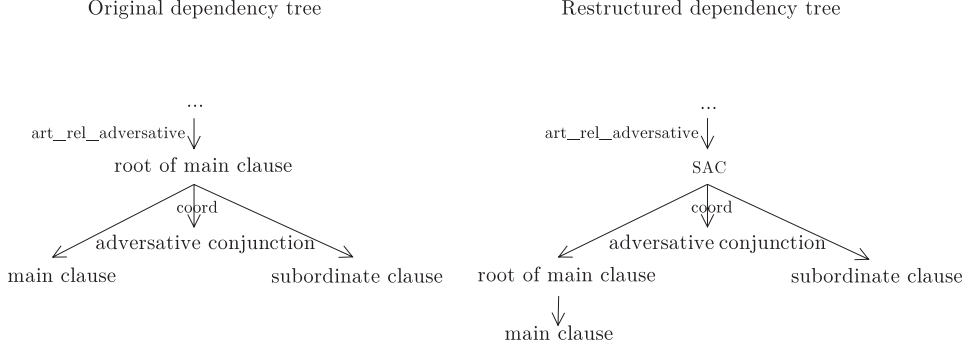


Fig. 4. Reorganisation of subordinate adversative clauses.

SAC, at the top of subordinate adversative clauses; and a new dependency type, *art\_rel\_adversative*, to identify syntactically the beginning of this type of clause.

Figure 5 shows the reorganisation of our running example and how we calculate the sentiment of a sentence once the treatment of adversative subordinate clauses is incorporated. Thus, our sentiment analyser would identify an artificial node, would decrease the SO accumulated in the main clause by 25 per cent (multiplying by 0.75) and amplify by 40 per cent (multiplying by 1.40) the sentiment of the subordinate sentence:  $0.75 * (-3) + 1.40 * (0.50) = -1.55$ .

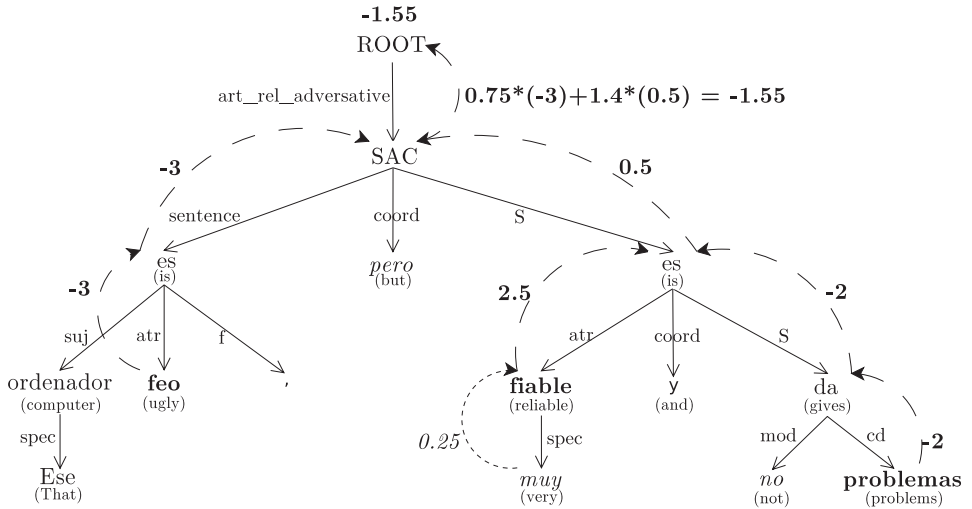


Fig. 5. Semantic orientation analysis with treatment of adversative clauses.

### 3.4 Treatment of negation

The most common and simple way to negate a sequence of tokens in Spanish is the adverb ‘no’ (‘no’/‘not’), but other terms such as ‘sin’ (‘without’) or ‘nunca’ (‘never’) are frequently employed. However, some types of Spanish sentences usually require the use of double negatives to make a negative sentence. In this respect, words like ‘nada’ (‘nothing’), ‘ninguno’ (‘none’) or ‘nadie’ (‘nobody’) are commonly preceded by ‘no’. Moreover, the difference between a negation term and a downtoner is diffuse. Tokens like ‘apenas’ (‘barely’) or ‘casi’ (‘almost’) could easily be classified in either of these two categories. We have chosen to consider these type of expressions as intensifiers and therefore we only consider explicitly as negators the adverbs ‘no’, ‘nunca’ and ‘sin’, which cover a great number of negative sentences. Our treatment of a negation consists of two basic steps: (1) *identify the scope of a negation term* and (2) *modify the semantic orientation of affected tokens*.

#### 3.4.1 Scope identification

The procedure for identifying the scope of a negation depends on the adverb used in the phrase.

The syntactic structure used in Ancora for representing an adverb ‘sin’ assures us that its child node should be the scope of negation, without needing to analyse the dependency type. But we cannot assume the same for the negators ‘no’ and ‘nunca’. Normally, they are represented as leaf nodes and the candidate scope of negation always involves a head node or a collection of sibling nodes, so we require a more complex algorithm for their treatment. We use a procedure based on Jia *et al.* (2009), which uses a parse tree and a collection of special rules to identify the scope of each negation. Firstly, the candidate scope for a negator is identified. Then, the exact scope is determined by searching *delimiters* by means of a syntactic heuristic procedure. A delimiter is a token that has the capability to eliminate some words from the candidate scope of a negation term. We have adapted this procedure to profit from the additional information provided by the syntactic structure of the sentence. We use dependency types to directly extract the exact scope without identifying delimiter words. When a token has a negator ‘no’ (‘not’) or ‘nunca’ (‘never’) as a child node and it is a dependency of type ‘neg’ or ‘mod’, we try the collection of syntactic heuristic rules shown in Figure 6, in the following order:<sup>14</sup>

- (1) *Subjective parent rule*: Whenever a parent node of a negation term has sentiment, only that node is negated. Figure 6(a) shows how we take the scope when this rule matches. For example, in the sentence ‘*he does not praise my work*’, the negation ‘not’ depends on ‘praise’, which is included as a subjective word in the SO dictionaries, so we consider this term as the scope of the negation.
- (2) *Subject complement/Direct object rule*: Whenever a branch at the same level as a negation node is labelled with a dependency of type subject complement

<sup>14</sup> Only the first matching rule is applied.

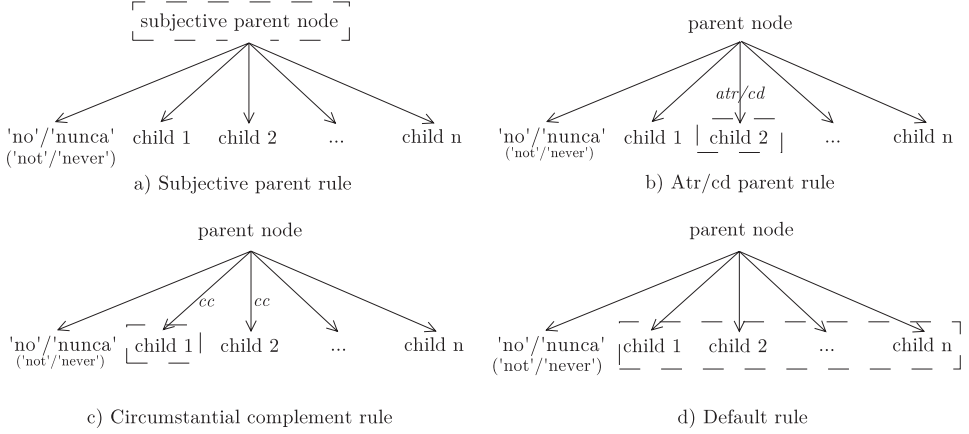


Fig. 6. Heuristic rules to identify the scope of negation.

(*atr*) (e.g. ‘the meal is not good’) or a direct object (*cd*) (e.g. ‘the meal does not look good’), our sentiment analyser negates that branch, as we show in Figure 6(b).

- (3) *Adjunct rule*: Whenever a negation term has an adjunct branch (*cc*) at the same level, the sentiment of that branch is shifted. If there is more than one adjunct, only the first one is negated, as shown in Figure 6(c). For example, in the sentence ‘he does not work efficiently on Fridays’, our method takes the mood adjunct (‘efficiently’) as the scope of the negation, because it is the nearest to the negation.
- (4) *Default rule*: Figure 6(d) shows how when none of the previous rules matches, we consider as scope the sibling branches of a negator.

We now explain in more detail the treatment of negation in the running example. In Figure 7, we can see that the word ‘no’ has as its head the verb ‘da’ (‘gives’). Our method first tries to apply the *subjective parent rule*, but in this case, this is not a subjective node, so that rule is ignored. Then, our procedure continues with the *direct object rule*, which matches, because there is a direct object dependent (identified by *cd*) at the same level as the negation, so this rule is applied and takes ‘problemas’ (‘problems’) as the scope of negation.

### 3.4.2 Polarity flip

There are several ways of taking into account the effect of negation. Machine learning methods tend to unify the negator and the negated word into a single feature (in this way ‘not good’ becomes ‘not\_good’) (Sidorov et al. 2013). Another possibility is to change a given number of words following the negator (Yang 2008; Fernández Anta et al. 2012), a method that can even be generalised to deal with the intensifiers in the same way.

On the other hand, the simplest way to negate a word in semantic approaches is to invert the SO (e.g. if  $SO(\text{good}) = 2$  then  $SO(\text{not good}) = -2$ ). The main drawback of this method is that it is not coherent with human intuition. For example, if the

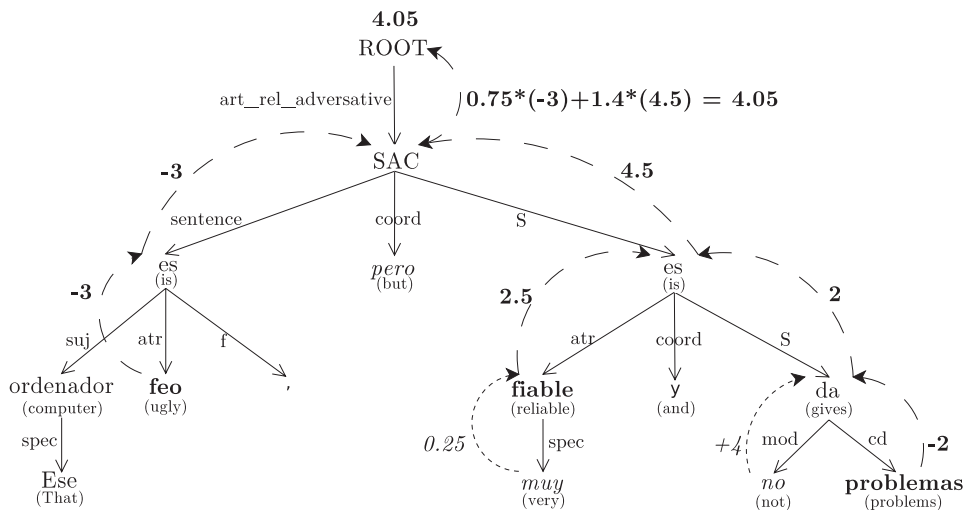


Fig. 7. Semantic orientation analysis with treatment of negation.

SO of '*fascinating*' is 5, the sentiment of '*not fascinating*' would be  $-5$ , when it could even be considered a slightly positive expression.

Our polarity flip algorithm follows a shift negation method where the SO value is shifted towards the opposite polarity by a fixed amount: following Taboada *et al.* (2011), we have chosen a flip value of 4 for the adverbs '*no*' ('not') and '*nunca*' ('never'). Figure 7 shows how the SO of the scope of negation, which is '*problemas*' ('problems') as we saw in the previous section, is modified by this amount. The word '*problemas*' has a SO of  $-2$ , and the phrase '*no da problemas*' has a SO of  $-2 + 4 = 2$ .

For the adverb '*sin*' ('without'), based on our experimental setup, we have chosen a value of 3.5. We hypothesise this kind of negation as being less potent, given that its scope is fairly local. Experimental results showed an improvement in accuracy when carrying out this strategy.

### 3.5 Other features

Along with the syntactic-based issues, there are other factors that can influence the overall sentiment, such as the discourse structure (Pang and Lee 2008). The order in which authors express their opinions can change the sentiment polarity. It is customary that the final sentences of a text play the role of a summary or conclusion, giving implicitly more emphasis to this part of the document. To simulate this phenomenon, our proposal increases the sentiment of the last three sentences of a given review. We chose a value of 0.75 based on experimental evidence. Also, we note that by increasing the SO of the nouns, adjective, verbs and adverbs of SODictionariesV1.11Spa by 20 per cent, our approach improved the performance on our development corpus. Thus, the SO considers values between  $-6$  and  $6$ . This modification is applied both to the hand-created dictionaries and to the automatically

enriched dictionaries explained in Section 4.2. All the strategies that improved the performance of our proposal were included in our final version.

The motivation of all these optional features was experimental, taking the SFU Spanish Review Corpus as the development set, but they also work satisfactorily on other long text corpora, as we show in Section 5.

## 4 Semi-automatic domain adaptation

As explained previously, the generic SO of dictionaries can be inadequate in a particular domain. Entertainment contexts are some of the typical fields where this phenomenon occurs more frequently. In this section, we provide a semi-automatic method to adapt and enrich semantic dictionaries to a specific area and we use CorpusCine, a corpus of Spanish movie reviews, as an example. In Section 5.1, we detail the content of this corpus. In Section 5.4, we illustrate how our adaptation method improves the performance for this domain.

### 4.1 Title pre-processing

Movie titles often seek to arouse an emotion or an interest in the possible audience, and so they usually contain subjective words. This is a disadvantage when automatically classifying the polarity of a text, because it can distort their categorisation. For example, in a review of the movie *‘Monsters, Inc’*, the title will probably appear a number of times in the text. Each occurrence would be classified as unfavourable because *‘monster’* is listed in our dictionary as a word with negative polarity.

To resolve this problem, we pre-process movie titles. For each movie review, we unify its title into a single unit of meaning (e.g. *‘Monsters, Inc’* becomes *‘Monsters,Inc’*). Moreover, a large proportion of quoted items refer to other movie titles, so we unify such expressions too. We are aware that some instances will not match movie titles, but rather represent an irony or words used in a different sense than usual. However, we do not consider this to be a drawback, because it is a way of discarding phenomena that would probably require special treatment. The analysis of this type of quoted excerpts is left as future work.

### 4.2 Enrichment of semantic dictionaries

Our aim is to learn the polarity of subjective words in a given domain. This implies discovering words which are not present in the generic dictionary and also to adapt the polarity of words already present in the dictionary to their use in the specific field.

For the first task, we learn which tokens are good polarity classifiers in the area in question by extracting the most representative words in that domain relying on WEKA, an ML environment (Hall *et al.* 2009). This software has an explorer with a panel for attribute selection. It provides different search methods and different evaluation metrics for identifying the most relevant features in a particular classification task. In this respect, we have taken *InfoGainAttributeEval*

as attribute evaluator and *Ranker* as the search method. *InfoGainAttributeEval* evaluates the value of an attribute by measuring the information gain with respect to the class (in our case, the classes are positive sentiment and negative sentiment) and *Ranker* ranks attributes by their individual evaluations. Once we have classified the attributes, we need to give an SO to each selected word. We hypothesise that if an attribute appears more frequently in positive than in negative texts, that feature must be positive, and vice versa. If a word is positive, we calculate its SO with the (1), and if it is negative, we employ (2).

$$OS_{word_i} = \frac{\log_2 \left( \frac{x_i+1}{y_i+1} \right)}{\log_2 z} \times 5 \quad (1)$$

$$OS_{word_i} = \frac{\log_2 \left( \frac{y_i+1}{x_i+1} \right)}{\log_2 w} \times -(5 + \alpha) \quad (2)$$

The variable  $x_i$  represents the number of positive texts where  $word_i$  appears, and  $y_i$  the number of negative texts. The variable  $z$  represents the maximum value  $x_i/y_i$  and  $w$  the maximum coefficient  $y_i/x_i$ , for all  $i$ . The resulting values are normalised between 5 and  $-5$ , in order to make them comparable with the values in SODictionariesV1.11Spa. The words with an SO close to 0 will represent neutral terms. Finally, the parameter  $\alpha$  is employed to give more relevance to negative words. We need to create a ‘pessimistic’ dictionary to improve performance and counteract the ‘optimistic’ tendency of CorpusCine, a characteristic widely explained in other studies, as we will show in Section 5.2. A possible option to do this is to increase the semantic orientation of negative words. Another equivalent option consists of including more negative than positive words. Both perspectives will be analysed in Section 5.4.

After creating the domain dictionary, we must merge it with the generic dictionary. We hypothesise that if the SO is less than 0.5 in absolute value, the word is not a clear subjective word, so we discard it. For the rest of the words in the domain dictionary, we check the generic semantic orientation of the word in SODictionariesV1.11Spa. If it does not have a generic SO specified in that dictionary or it has a different sign than the domain-specific SO obtained, the latter prevails. If both the generic and the adapted SO have the same sign, then the generic SO prevails. This means that our method will only change the SO of words that are clearly used with a non-standard polarity in the target domain, but it will not try to adjust the exact SO value for words where the obtained sign matches the one in the dictionary. As an example, Table 4 shows the top five representative informative attributes in the movie domain while Table 5 shows some words of the movie domain that have changed their semantic orientation with respect to the general dictionary.

## 5 Evaluation

In this section, we describe the corpora employed to develop and evaluate our approach and we show and compare the performance obtained for our system and other approaches on SFU Spanish Reviews and CorpusCine.



Table 4. *Best features in a movie domain*

Ranking	Word	Generic SO	Movie domain SO
1	Perfecto (perfect)	4	1.808
2	Obra (work)	5	1.139
3	Maestro (masterly)	0	1.760
4	Imprescindible (indispensable)	4	3.259
5	Peor (worse)	-2	-1.712

Table 5. *Semantic orientations adapted to a movie domain*

Word	Generic SO	Movie domain SO
Violencia (violence)	-5	1.511
Guerra (war)	-2	1.310
Zombi (zombie)	-1	0.730
Kryptonita (kryptonite)	0	-1.981
Bestseller	4	-1.250

### 5.1 Corpora

To train both the POS tagger and dependency parser, we have employed Ancora (Taulé *et al.* 2008). Ancora are two multilingual corpora created from newspaper articles of 500,000 words each: a Catalan corpus and a Spanish corpus. Among other things, it is tagged with lemma, part of speech and dependency information in CoNLL-X format (Buchholz and Marsi 2006).

In order to test the performance of our OM system, we used three annotated corpora:

- The SFU Spanish Review Corpus (Brooke *et al.* 2009) is a collection of 400 Spanish reviews on cars, hotels, washing machines, books, cell phones, music, computers, and movies from the ciao.es web site. Each category has a total of twenty-five favourable and twenty-five unfavourable reviews. As usually happens in reviewing web sites, texts have unstressed words, unrecognised abbreviations and ungrammatical sentences. This allows us to evaluate our proposal in a real and complex environment. Moreover, The Spanish SO-CAL was developed on this corpus, so their lexical-based approach and our dependency parsing-based method can be compared.
- CorpusCine Reviews (Cruz Mata 2011) is a collection of 3,878 movie reviews written in Spanish from the muchocine.net web page. Each document is rated between one and five stars, where one is the most negative rating and five the most positive. There are 351 one-star, 923 two-star, 1,253 three-star, 890 four-star and 461 five-star reviews. We classify one- or two-star documents as negative. Three-star reviews are discarded because we consider them as neutral or mixed reviews. This is a widely accepted strategy that has been employed in other studies (Cruz Mata 2011) and corpora, like the SFU

Table 6. Performance with different configurations of our proposal over SFU Spanish Reviews Corpus

Category	Negative accuracy	Positive accuracy	Total accuracy
Initial proposal	0.310	<b>0.925</b>	0.618
+Intensification	0.450	0.870	0.660
+Adversative clauses	0.455	0.885	0.670
+Negation	<b>0.745</b>	0.765	0.755
Final proposal	0.740	0.830	<b>0.785</b>

Spanish Review Corpus.<sup>15</sup> Documents ranked with four or five stars are taken as positive reviews.

- HOpinion<sup>16</sup> is a collection of 17,934 hotel reviews extracted from www.tripadvisor.es, rated between one and five stars. There are 841 one-star, 1,269 two-star, 3,468 three-star, 6,244 four-star and 6,112 five-star reviews. We followed the same strategy that in CorpusCine to evaluate it, discarding three-star texts.

## 5.2 Results on SFU Spanish Reviews Corpus

Table 6 shows the performance of our system with a number of different options on the SFU Spanish Review Corpus. All features contribute to performance. One of the most important improvements in accuracy comes from the treatment of negation. As we can see, before incorporating this feature, our approach favours positive classifications. This likely happens as the result of a human tendency to positive language (Kennedy and Inkpen 2006). People usually negate positive sentences to express an unfavourable opinion. For example, it is common to use expressions like ‘not good’ instead of ‘bad’ or ‘I don’t like it’ instead of ‘I dislike it’. Even after processing negation terms, a lexicon-based system such as the English SO-CAL increases the final SO of any negative expression by 50 per cent to overcome that positive bias, improving its performance by around 6 per cent with this strategy. However, in our current implementation that feature gave no benefit. This suggests to us that our negation algorithm performs well, at least in a general context.

Table 7 shows the performance of our final approach on each subcorpus of the SFU Spanish Review Corpus. As we can see, there are significant differences in performance depending on the category. For domains where quality criteria are reasonably objective, such as hotels, computers or washing machines, our proposal performs well (over 80 per cent accuracy), because the generic SO is usually adequate. But the same is not true for entertainment domains such as movies, books and music, where performance falls below the average. We believe this is mainly due to the

<sup>15</sup> This issue is detailed on the readme file of [www.sfu.ca/~mtaboada/download/downloadCorpusSpa.html](http://www.sfu.ca/~mtaboada/download/downloadCorpusSpa.html)

<sup>16</sup> <http://cllc.ub.edu/corpus/hopinion>

Table 7. Performance of final version over SFU Spanish Reviews Corpus

Category	Negative accuracy	Positive accuracy	Total accuracy
Hotels	<b>0.92</b>	0.88	<b>0.90</b>
Computers	0.80	<b>0.92</b>	0.86
Washing machines	0.88	0.76	0.82
Cell phones	0.72	0.88	0.80
Cars	0.68	0.80	0.74
Music	0.64	0.88	0.76
Books	0.64	0.84	0.74
Movies	0.64	0.68	0.66

problem of generic semantic orientations, as we have discussed throughout the paper, which primarily affects these type of domains. Moreover, movies or books are contexts where personal tastes are particularly important. For example, the fragment ‘*is a low-budget movie*’ is in principle a negative sentence, but it could be positive for a person who loves B movies. This makes it difficult to assign a semantic orientation according to the sentiment of users, even for a particular domain.

Table 8 compares the performance of various methods on the SFU Spanish Review Corpus. Our syntactic proposal improves the accuracy of The Spanish SO-CAL by about 6 per cent, even though the SO-CAL is a system with more functionality (e.g. treatment of irrealis). This suggests that parsing is useful in order to resolve the polarity of a given text. In particular, we believe that an effective treatment of negation requires a more complex algorithm than a purely lexicon-based technique.

We also compare our proposal with an ML method. More specifically, we have trained a Support Vector Machine (SVM) as a classifier. We have relied on WEKA to build it, using libSVM (Chang and Lin 2011). Specifically, we chose an SVM of type C-SVC, a radial basis function as the kernel type and a value of 1 for the cost parameter. Testing was done with ten-fold cross-validation. Data were pre-processed in order to change the words to their lowercase form, and we have employed the output word counts as the weighting factor. Over the SFU Spanish Review Corpus, our syntax-driven analyser provides better accuracy than the SVM, reinforcing the idea that ML approach is not the best technique to build a general domain polarity classifier, at least when performing a binary classification.<sup>17</sup> Finally, we tested a hybrid approach, labelled on Table 8 as ‘SVM + our SO as feature’: we analysed each text with our proposal and we included the SO obtained as a feature for the SVM. However, the resulting accuracy was worse than the one obtained with our system alone.

<sup>17</sup> We tested various configurations with different weightings factors and different types of pre-processing, but we only show the configuration who achieved the best performance. Results are similar to that presented on the same corpus by Brooke *et al.* (2009).

Table 8. *Performance on SFU Spanish Reviews for various methods*

Method	Negative accuracy	Positive accuracy	Total accuracy
Our proposal	0.7400	<b>0.8300</b>	<b>0.7850</b>
SVM + our SO as feature	<b>0.7490</b>	0.7700	0.7594
The Spanish SO-CAL			0.7425
SVM	0.7230	0.7270	0.7250

Table 9. *Performance on HOpinion for various methods*

Method	Negative accuracy	Positive accuracy	Total accuracy
SVM	0.5800	<b>0.9930</b>	<b>0.9328</b>
Our proposal	<b>0.7294</b>	0.9218	0.8938
SVM <sub>sfu</sub>	0.6770	0.7940	0.7766

### 5.3 Results on HOpinion

Table 9 shows the performance on HOpinion. Results are similar to those obtained on the hotel category of the SFU Spanish Review Corpus, achieving an accuracy of 0.8938.

We also built an SVM classifier specific to HOpinion, applying lemmatisation to the texts, using tf-idf<sup>18</sup> as weighting factor and selecting the default configuration of WEKA for the SVM (type C-SVC, a radial basis function as the kernel type and 1 as the cost parameter). We used ten-fold cross-validation to evaluate it, achieving an accuracy of 0.9328. This supervised classifier did not satisfactorily learn negative reviews due to the low number of unfavourable opinions in the corpus. Finally, we evaluated an SVM trained with the SFU Spanish Review corpus (SVM<sub>sfu</sub>) on HOpinion. In this case, we did not apply lemmatisation, as we did in the classifier trained on the SFU Spanish Reviews, and we changed each word to its lowercase form and used their total output count as the weighting factor.

### 5.4 Results on CorpusCine

Table 10 shows the performance on CorpusCine obtained by the different approaches explained. Moreover, we included the results obtained by a supervised approach presented in Cruz Mata (2011). This specific domain method uses five morphosyntactic patterns to extract sentiment bigrams using multiple seed words (Turney 2002) to then calculate their SO. It provides a supervised technique which uses an optimal threshold for categorising favourable and unfavourable texts.

We also built an SVM classifier specific to CorpusCine. We pre-processed the data with the title pre-processing explained in Section 4.1 and we applied lemmatisation.

<sup>18</sup> We tested other weighting factors such as the binary or the total occurrence of each term, but we achieved the best performance using tf-idf.

Table 10. *Performance on CorpusCine for various methods*

Method	Negative accuracy	Positive accuracy	Total accuracy
SVM	<b>0.8440</b>	<b>0.8220</b>	<b>0.8328</b>
Our proposal with domain adaptation (Cruz Mata 2011)*	0.7997	0.8024	0.8011
Our proposal	0.8250	0.7250	0.7750
SVM <sub>sfu</sub>	0.4804	0.7935	0.6415
	0.6250	0.6130	0.6179

\*Only a portion of CorpusCine has been evaluated.

Table 11. *Detailed performance on CorpusCine for our generic and adapted proposal*

Polarity	Number of stars	Our proposal	Our proposal with domain adaptation
Negative	1	0.6923	0.9003
	2	0.3948	0.7614
Positive	4	0.7933	0.7674
	5	0.7939	0.8698

Also, we used tf-idf as the weighting factor. We selected the default configuration of WEKA for the SVM (type C-SVC, a radial basis function as the kernel type and 1 as the cost parameter). We used ten-fold cross-validation to evaluate it. Moreover, as we did with HOpinion, we evaluated an SVM trained with the SFU Spanish Review corpus (svm<sub>sfu</sub>) on CorpusCine. The performance drops below our generic approach, which reinforces the idea that ML methods are highly domain dependent. In contrast, our generic proposal shows a performance similar to that obtained on the ‘movie’ category of the SFU Spanish Review Corpus, a result that confirms the domain independence of the proposal.

Finally, to test our proposal with dictionaries adapted to the movies domain we have also used ten-fold cross-validation. For each fold, we extracted around 22.000 attributes (there are many more positive than negative attributes) from WEKA and for each one we built a dictionary using the training set, and we tested it against the development set.

As we can see, our adapted approach improves the performance obtained with our generic approach by about sixteen basis points. Moreover, we neutralise the positive bias that our generic system presented on CorpusCine. Table 11 compares, in greater detail, the performance of our proposal on CorpusCine, before and after adapting it to the movie domain.

We have observed that unfavourable reviews had a high presence of condescending and ironic expressions, complicating the semantic analysis of those texts. To overcome this, we chose to build a dictionary where negative words had more relevance. Figure 8 shows how different weightings for the negative words (the

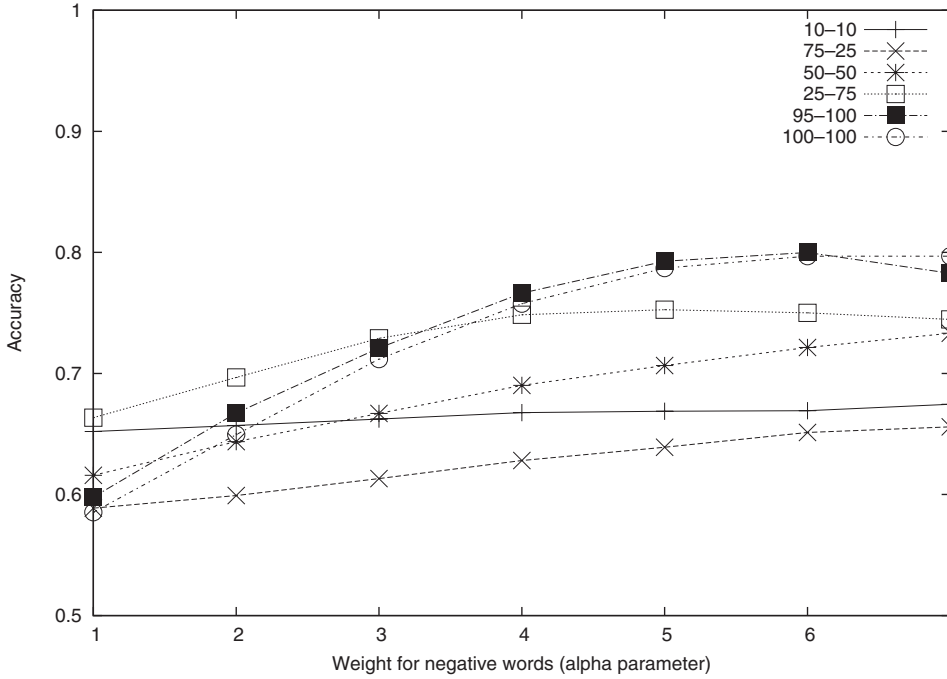


Fig. 8. Accuracy on CorpusCine increasing negative word weighting.

parameter  $\alpha$  explained in (2)), and the different number of positive and negative entries in our specific semantic movie dictionary, affect the performance. We identify each graphic with a notation P–N, where P means that for that case of study we have only considered the first P per cent of the positive attributes extracted from WEKA and the first N per cent of the negative ones. For example, 75–25 would represent a case where we only employed 75 per cent of the best positive classifiers and only the first 25 per cent of the negative ones. Note that for each weight, the number of negative words is different, because with a higher negative weighting there are more negative words with an SO greater than 0.5 in absolute value, the threshold value established in Section 4.2. Below, we provide a brief explanation for each graphic included in Figure 8:

- *10–10*: The improvement in performance is minimum. Most of the words included in the dictionary are already present in the SODictionariesV1.11Spa and have the same polarity, so our system takes few words from the specific domain dictionary.
- *75–25*: This configuration does not work well, due to the optimistic trend to favour positive classifications that our initial proposal presents in this particular corpus.
- *50–50*: The behaviour is similar to that explained in the previous point (75–25). Although we employ 50 per cent both for positive and negative words, the dictionary extracted from WEKA has many more positive attributes, so this is also a configuration that favours positive classification. However,

we can see how by increasing negative weightings we obtain a good final performance.

- 25–75 : With this setup we obtain a good baseline, but performance decreases when we employ high negative weightings, because our system becomes too favourable to negative classifications.
- 95–100 : This was the best setup. We achieved an accuracy of 0.8011 with a negative weighting factor of 5.5.
- 100–100 : As in the 50–50 configuration, with large negative weightings, we can obtain a high performance and counteract the optimistic tendency.

### 5.5 Discussion of results

Results on HOpinion and CorpusCine are similar to those obtained on the corresponding domain in the SFU Spanish Reviews, which indicates that our approach can be generalisable to different data sets. We showed that in a general domain, our syntactic approach works better than ML approaches, but the same is not always true for a specific field, where the semantic dictionaries are affected by a low recall, which has been also pointed out by other authors (Zhang *et al.* 2011). However, the enrichment of semantic dictionaries applied over CorpusCine suggests that semantic-based approaches can be as effective on specific fields as ML methods are, provided they have access to appropriate semantic dictionaries.

## 6 Conclusions and future work

In this paper, we have described a syntactic-based method for sentiment analysis of Spanish reviews. We use dependency-based methods to treat some relevant linguistic aspects in OM, such as intensification, subordinate adversative clauses and negation. Two sets of experiments were performed to compare our method to other existing techniques. Experimental results on a general domain corpus show that our syntactic proposal improves over ML and lexicon-based approaches. Moreover, we performed an evaluation over a specific domain corpus (movies), where ML techniques obtain a much better baseline accuracy than semantic approaches, due to the invalidity of the generic semantic orientations. We have proposed a semi-automatic method to enrich and adapt the semantic dictionaries to a particular field, and we have applied it to our model. Experiments show a good performance, obtaining an accuracy close to that of ML classifiers and improving over other existing domain specific systems.

With respect to future work, our system could be improved by taking more semantic information into account. In particular, we will try to identify irrealis clauses in order to distinguish the consumers' experience from their desires and conjectures. The treatment of negation could be improved by taking into account variations and special cases of negative constructions in Spanish, such as the nesting presence of the adverb '*ni*' ('nor') (e.g. '*El restaurante no es ni bueno ni barato*' ('The restaurant is neither good nor cheap')). Also, we plan to explore microblogging social networks, such as Twitter. Tweets need special pre-processing for an effective semantic analysis (e.g. hashtags, retweets, favourites, high presence of ungrammatical

constructions, *etc*). In Vilares, Alonso and Gómez-Rodríguez (2013), we propose a preliminary approach about how to perform sentiment analysis in micro reviews taking into account lexico-syntactic knowledge. Finally, we also plan to deal with irony or sarcasm, which have a particular relevance in short texts. In this respect, Reyes, Rosso and Buscaldi (2012) and Reyes, Rosso and Veale (2013) could help enrich our proposal.

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