

# LING 573: Deliverable 3 Project Report

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## Abstract

In this paper, we describe a question answering system for handling factoid questions. The system, implemented in Python, follows a typical pipeline, including query processing, information retrieval, and answer candidate extraction and ranking modules. Using the AQUAINT corpus of English News Text as a document collection, it produces answers for questions from the QA track of the Text Retrieval Conference (TREC).

## 1 Introduction

Question answering (QA) has long been a prominent problem in the field of natural language processing. In contrast to information retrieval (IR) systems, which return relevant documents based on search terms, a question answering system takes a natural-language question as input and outputs a natural-language answer. IR is typically a component of the system, but the addition of question and answer processing prevents users from having to sift through long documents to find the information they are seeking.

We implemented a question answering system to handle factoid questions from the QA track of the Text Retrieval Conference (TREC), using the AQUAINT Corpus of English News Text as a document collection.

## 2 System Overview

Our system is coded in Python. Third-party modules that we use include Indri/Lemur (for IR), pymur (a Python wrapper for Indri/Lemur), BeautifulSoup (for XML parsing), and NLTK (for tokenization). We chose Indri/Lemur for IR because of its specific handling of TREC-formatted question files. We currently use a stopword list taken from the Indri/Lemur documentation.

We use Indri's IndriBuildIndex code to build an index. It has a parameter file specified as an argument which gives the path to the document collection, the path to the output index, and other parameters. Indexing of the AQUAINT corpus takes approximately 15 minutes. We created several different versions of the index for D3, using both Porter and Krovetz stemmer, both including and excluding a list of stopwords.

The core of our system is a three-part pipeline, consisting of modules for question processing, IR, and answer processing, respectively. The system architecture is shown in Figure 1.

## 3 Approach

The question answering system is called by a wrapper script, `question_answering.py`, which takes as arguments a file containing questions in TREC QA format, a path to the document index, a path to cached web results, a run tag, and a path to the desired output file. It uses the third-party module BeautifulSoup to parse the XML in the TREC document and generate a list of questions. Currently, Python's multiprocessing module is used to parallelize the questions in the question file, so that multiple questions may be going through the pipeline (described in detail below) at once. For each in the group of answers returned for each question, it prints the question ID, the run tag, the document ID associated with the answer, and the answer to the output file.

Classes that are used by multiple modules in the pipeline are defined in the module `general_classes.py`. These include:

- Question class: A Question object stores as attributes the TREC question ID, the question type, the TREC natural-language question stored as a string, and the "target" (the context given for a set of questions in TREC 2004-2006; defaults to None).

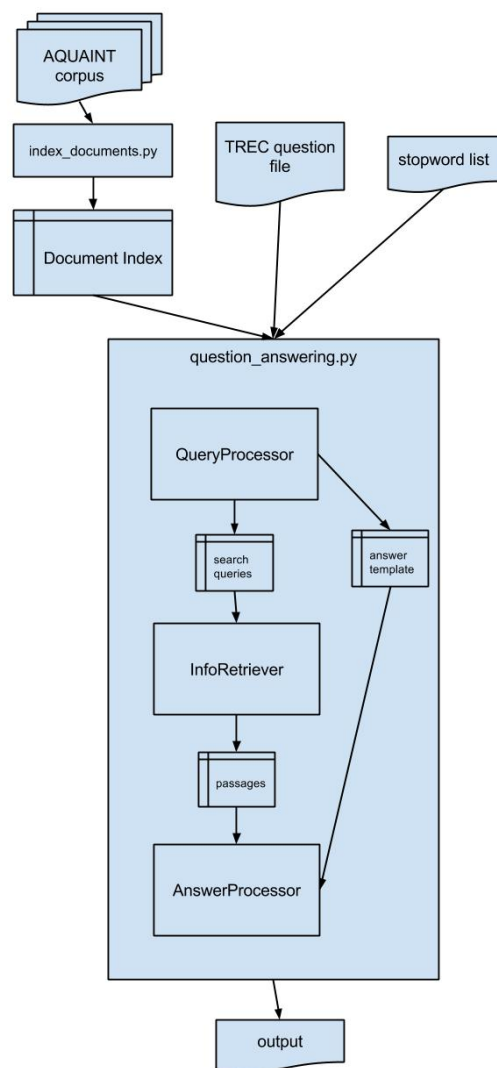


Figure 1: System architecture.

- **SearchQuery class:** A SearchQuery object stores as attributes a dictionary of search terms, each of which can be one or more words, mapped to weights indicating how important those terms are perceived as being, and an overall weight for the query, which will be used to calculate the probability of the corresponding AnswerCandidate.
- **AnswerTemplate class:** An AnswerTemplate object stores as attributes the question ID, a set of basic search query terms from the original question, and a dictionary for the weights of each answer type, where the weights will be used to reweight AnswerCandidate objects during answer processing.
- **Passage class:** A Passage object stores as attributes the text of a snippet, its weight, and the document ID.

### 3.1 System architecture

Our pipeline for processing a single question consists of three components found in three separate modules, described below. The pipeline takes a Question object as input and outputs a list of AnswerCandidate objects.

#### 3.1.1 Query processing

The query processing module is responsible for creating one or more weighted search queries (which are passed to the information retrieval module to be used for passage retrieval) and instantiating an answer template (which is passed to the answer processing module to be used during answer ranking).

A QueryProcessor object is initialized with a Question object and generates a vocabulary, or a list of words (i.e., whitespace-tokenized strings) occurring in the question/target and their counts. We use NLTK's named-entity chunker to remove named entities before tokenizing to prevent the named entities themselves from being tokenized. The named entities are later added back into the query terms when the search queries are generated.

After instantiating a QueryProcessor object, the wrapper script then calls a method in the query-processing module that initializes an AnswerTemplate object that will subsequently be passed to the answer-processing module. The answer template contains the query processor's vocabulary so that the answer-processing module can downweight

answer candidates that contain query terms. In addition, this method employs regular-expression matching on the original natural-language question to identify questions as requiring an answer that is the name of a person (i.e., proper noun), the name of an organization (i.e., proper noun), the name of an object (i.e., common noun), the name of a location (i.e., proper noun), a time expression, a number expression, or some expression that does not fall into one of these categories. Some regular expressions correspond with one of these answer types; others correspond with multiple answer types. A regular expression match causes the corresponding answer types to be given a higher weight in the AnswerTemplate dictionary of answer type weights. Currently, we set the weights of answer types corresponding with a regular expression that matches to 0.9; weights of all other answer types to 0.1; and the weight of "other" to 0.5 if no regular expression matches. In subsequent versions, we plan to experiment with different weighting schemes.

The query processor is then used to generate a list of weighted search queries, each of which is in turn a set of weighted search terms. In the current version, the query processor generates a single search query that contains the set of words occurring in the question and the question target, with the word counts as weights.

We also implemented query expansion using NLTK's `Lin` thesaurus corpus and `scored_synonyms` method. The `expand_query` method returns a `SearchQuery` object containing all of the original query terms and their corresponding weights, as well as the top  $n$  synonyms for each, their weights being the product of the weight of the original term of which they are synonyms and the weight returned by `scored_synonyms`. However, we currently do not pass the expanded search query to IR, as doing this drastically decreased our strict and lenient scores. In subsequent versions of the system, we plan to implement part-of-speech tagging on the query terms; group query terms in Noun, Verb, Adjective, or Other categories; expand terms in the first three categories; and only return synonyms that are in a matching category (since the synonyms returned by NLTK are classified in these three categories).

### 3.1.2 Information retrieval

The information retrieval module uses the Indri/Lemur IR system to retrieve a series of 100 passages for each set of query terms passed to it by the query processing module. Although we use Indri directly to index the document collection, this portion of the system uses the `pymur` wrapper to run the query and retrieve snippet results. Because `pymur` does not have a method to retrieve unstemmed snippet information from query results, we use offset indices to retrieve the passage from the original text. Because these indices refer to the stemmed text, however, the passages may be slightly different from the `s` selected by Indri. In future work, we plan to expand these offsets, and perhaps use sentence tokenization to extract all sentences overlapping with the passage range, in order ensure that our IR does not miss any important components of the passage. We use Base64 conversion for our queries in order to avoid encoding errors with punctuation.

The `pymur` commands provide the document ID number and document weight. Together with the reconstructed passage text, these are used to construct a `Passage` object for each passage.

In addition to document retrieval, we implement web boosting by using cached web results stored before runtime. A separate script, `src/cache_web_results.py`, submits each unprocessed factoid query to Ask.com. Our rationale behind omitting any query processing in this portion of the system is that Ask.com has its own query processing algorithms, so we thought it best to submit just the raw query. The script uses `BeautifulSoup` to scrape the text snippets associated with each results, and stores them in a text document in the `src/cached_web_results` directory. After comparing results, we found that three pages of results is optimal.

During the IR phase of our system, these cached results are retrieved and stored as `Passage` objects, similarly to the AQUAINT snippets. Because these web snippets tend to be relatively high-quality, we weight them similarly to a very high scoring AQUAINT document in our IR framework:  $\log(0.9)$ . The document ID for these `Passage` objects is set to `None` to indicate that these are web snippets, not passages coming from AQUAINT documents. Together, the AQUAINT and web-based `Passage` objects are passed on to the answer extraction module.

System	stemmer	stoplist with indexing	# web results pages	Strict	Lenient
Baseline	Porter	yes	–	0.0051	0.0289
1	Porter	yes	4	<b>0.0742</b>	<b>0.1257</b>
2	Krovetz	yes	4	0.0683	0.1279
3	none	yes	4	0.0610	0.1198
4	none	no	4	0.0688	0.1278

Table 1: Adding web boosting over baseline, with different index parameters.

System	stemmer	# web results pages	Question Classification	Strict	Lenient
1	Porter	4	no	<b>0.0742</b>	<b>0.1257</b>
6	Porter	4	yes	0.0614	0.1330

Table 2: Adding question classification.

System	stemmer	# web results pages	expanded query weight	# of synonyms	Strict	Lenient
6	Porter	4	–	–	<b>0.0614</b>	<b>0.1330</b>
8	Porter	4	1	3	0.0331	0.0943
9	Porter	4	2	3	0.0311	0.9010
10	Porter	4	1	1	0.0334	0.0927
11	Porter	4	0.5	1	0.0340	0.0933

Table 3: Adding query expansion.

System	stemmer	# web results pages	Strict	Lenient
12	Porter	1	0.0659	0.1215
14	Porter	2	0.0641	0.1336
24	Porter	3	<b>0.0709</b>	<b>0.1344</b>
6	Porter	4	0.0614	0.1330
16	Porter	5	0.0620	0.1311
17	Porter	6	0.0565	0.1222
13	Porter	10	0.0634	0.1152

Table 4: Testing different number of web pages for web caching.

System	stemmer	# passages	Strict	Lenient
26	Porter	20	0.0782	0.1320
27	Porter	30	0.0844	0.1416
28	Porter	40	<b>0.0868</b>	<b>0.1499</b>
29	Porter	50	0.0839	0.1428
24	Porter	100	0.0709	0.1344

Table 5: Testing different number of passages returned by Indri.

### 3.1.3 Answer candidate extraction and ranking

The answer processing module is used to extract and rank answers. An object of this class is initialized with a list of Passage objects, an AnswerTemplate object, and an optional stopwords list. This object can then generate and rank answers. This is done in a series of steps.

First, possible answers are extracted from the Passages by generating all unigrams, bigrams, trigrams, and 4-grams from the text of each passage; the score of each of these possible answers is the sum of the inverse of the retrieval score of the passage it is found in. If an  $n$ -gram appears multiple times in a passage, the  $n$ -gram's score is updated each time the  $n$ -gram appears, so a possible answer that appears frequently in a passage is scored higher than one that appears just once in the passage. At the end, a list of AnswerCandidate objects is generated which contains the question ID, a possible answer, its score, the document collection documents it is found in, and the total number of passages it is found in. Since we think that the web snippets are more likely to have the correct answer than the documents from the document collection, each web snippet passage is counted as ten passages; so if a possible answer occurs in two web snippet passages and one document collection passage, it is counted as having been found in twenty-one ( $2 \times 10 + 1$ ) passages.

After this, the answer candidates go through a filtering step. At this step, any answers that start or end with a stopword or standalone punctuation token, or contain any words from the original query (retrieved from the AnswerTemplate) are discarded. Additionally, any answers that did not appear in at least  $n$  document collection documents are discarded, and any answers that did not appear in at least  $m$  total passages are discarded. We tested a few different thresholds for these values and found the best results with  $n = 1$  and  $m = 10$  but hope to do more thorough experimentation on this in the future.

Then, a combining step updates the score of each answer to be the current score plus the sum of the scores of the unigram answers contained within it. This prevents unigrams from being the highest ranked answers and instead favors longer answers.

Next, the answers are reweighted. Regular expressions are used to guess the type of each an-

swer. Currently, three different categories are captured; 1) person, organization, or location (identified by an answer beginning with a capital letter), 2) time expression (identified by an answer containing month words or a pattern resembling a date), and 3) numbers (identified by digits as well as number words). Then, the weights from the AnswerTemplate are applied accordingly. Since person, organization, and location are not distinguished in the answers, the highest weight among these three in the AnswerTemplate is used for any answer identified as being in that category.

Lastly, the answers are ranked by score, and the top 20 are returned. For each answer generated, one of the document IDs where the  $n$ -gram occurred is randomly selected as the source of the answer. In future development, a more clever method for selecting which document ID to use as the answer source will be employed.

## 4 Results

We evaluated our results using the mean reciprocal rank (MRR) measure with strict and lenient evaluation. We experimented with adding web boosting, using different index parameters, adding question classification, adding query expansion, and changing the number of web pages used for web caching. The results are shown in Tables 1 - 4 below, based on automatic pattern scoring. All scores are rounded to four significant digits. The best results in each table are bolded.

## 5 Discussion

As shown in our results tables, web boosting provides a significant improvement to our system scores. The index parameters that give us the best results are using the Porter stemmer and a stoplist. Adding question classification helps our lenient score but actually results in a decrease in the strict score. Query expansion has a negative impact on the results, across all the query weights and number of synonyms tested. It appears that using three pages of web results gives the best results. Using 40 passages from Indri boosts the results, over using fewer or more.

## 6 Conclusion

We implemented a baseline question answering system to handle factoid questions from the TREC QA shared task using the AQUAINT Corpus as a document collection. Thus far, web boosting

has provided the biggest improvement in our system. In future development, we plan to experiment with different methods of using the web snippets, try weighting named entity query terms higher, and implement new and improved methods for question classification and query expansion, in the hope of improving our results further.

## References

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