

# Moduli of boundary polarized Calabi-Yau pairs

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## § Motivation.

From classification of varieties, every variety is built

from 3 fundamental types: (MMP).

- Fano varieties  $K_X < 0 \rightsquigarrow$  K-moduli theory  
(K-stability)
- Calabi-Yau varieties  $K_X = 0 \rightsquigarrow$  Many, ? (GIT, Hodge)  
mirror sym.
- general type  $K_X > 0 \rightsquigarrow$  KSBA theory  
(generalize DM moduli)

Our approach : inspired by K-stability / KSBA,  
more intrinsic

- Boundary polarized CY pairs.

$(X, D)$  :  $D^{\geq 0}$  ample,  $\mathbb{Q}$ -Cartier,  $\mathbb{Q}$ -divisor

$K_X + D \sim_{\mathbb{Q}} 0$ . ( $\Rightarrow X$  is Fano)

singularities are mild. (klt, lc, slc)

Ex.  $(\mathbb{P}^2, \text{ cubic curve}) \rightsquigarrow \text{elliptic curve}$

$(\mathbb{P}^2, \frac{1}{2}C_6)$   $\xrightarrow{\text{double cover}}$  K3 of deg 2.

$(\mathbb{P}^3, S_4)$   $\rightsquigarrow$  K3 of deg 4

$(\mathbb{P}^4, V_5)$   $\rightsquigarrow$  quintic 3-folds

More generally, if  $(V, L)$  is a polarized CY var.

then take  $X = C_p(V, L)$  projective cone  
 $D = \text{section at } \infty$   $\Rightarrow (X, D)$  is a bpcy.

boundary pair.  
CY pair.  
↓

Vague Q. Is there a compact moduli space for  $(X, D)$

that is "canonical"?

(1) Natural singularity conditions  $(\mathcal{X}, \mathcal{D}) \xrightarrow{\pi} B$ .

(2)  $\lambda_{Hdg}$  is ample.  $\pi^* \lambda_{Hdg} = K_{\mathcal{X}/B} + \mathcal{D}$ .

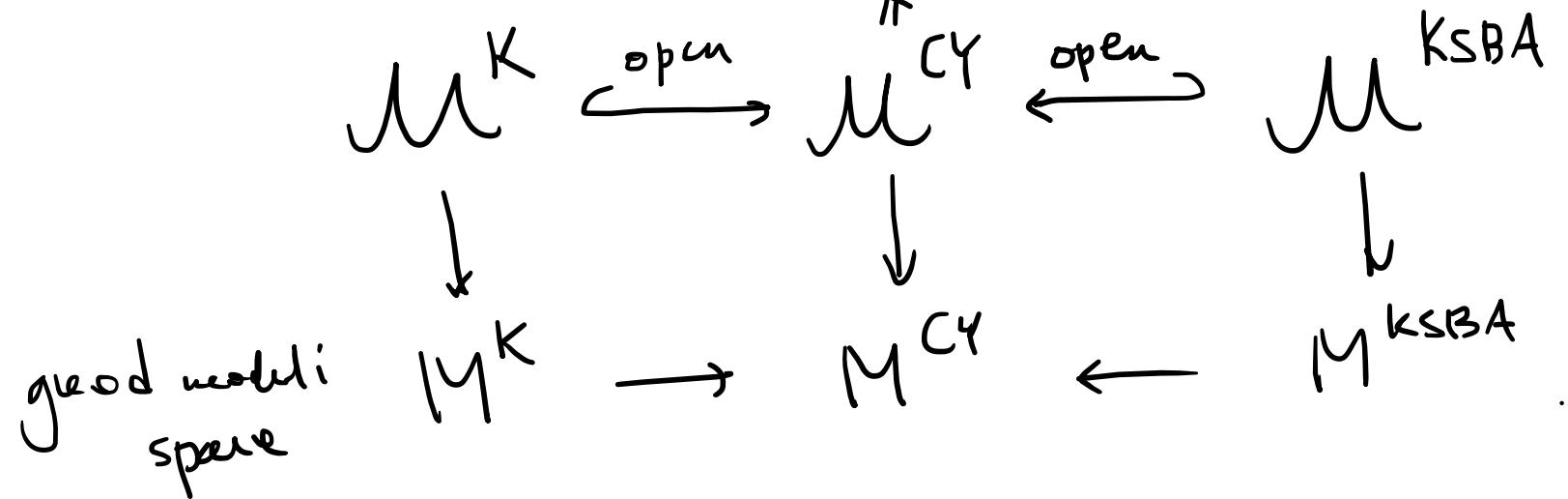
Precise Q. Can we build a moduli space  $M^{CY}$

that connects  $M^K = K\text{-moduli of } (X, (1-\varepsilon)D)$

and  $M^{KSBA} = KSBA\text{-moduli of } (X, (1+\varepsilon)D)$  ?

s.t.  $M^K \rightarrow M^{CY} \leftarrow M^{KSBA}$ .

In terms of stacks:  $\mathcal{M}^K \cup \mathcal{M}^{KSBA}$



• Moduli stack :

Objects :  $(X, D)$  bpcf pair s.t.  $(X, D)$  slc.

(In K-moduli,  $(X, (1-\varepsilon)D)$  klt  $\xrightarrow{\text{ACC}}$   $(X, D)$  is lc

In KSBA,  $(X, (1+\varepsilon)D)$  slc  $\Rightarrow (X, D)$  is slc)

Families :  $f: (\mathcal{X}, \mathcal{D}) \rightarrow B$

s.t. (1)  $f$  is a proj. flat, with slc fibers of pure dimension.

(2)  $\mathcal{D}$  is a relative  $\mathbb{Q}$ -Cartier  $(\mathbb{Q}-\text{div})/B$

(3)  $K_{\mathcal{X}/B} + \mathcal{D} \sim_{\mathbb{Q}, B} 0$ ,  $\mathcal{D}$  ample / B.

(4) Kollar conditions

Fix the volume  $V$  of  $X_b$  and degree  $d$  of  $D_b$  (wef set).

↪ we get a moduli functor  $\mathcal{M}_{(n,V,d)}^{\text{CY}}$

Thm 1.  $(\text{ABBDLW})$   $\mathcal{M}^{\text{CY}}$  is an Artin stack, locally of finite type with affine diagonal.

(Usually,  $\mathcal{M}^{\text{CY}}$  is not bounded!)

Theorem 2. (ABB DILW)  $M^{CF}$  satisfies  $S$ -completeness and  $(H)$ -reductivity.

Moreover, it satisfies  $\exists^{\text{pcut}}$  valuation criterion for properness.

$S$ -complete :  $\sigma \in B$  pointed curve.

$$(\mathcal{X}, \Theta) \dashrightarrow (\mathcal{X}', \Theta')$$

$$\begin{matrix} \searrow \\ B \\ \swarrow \end{matrix}$$

$$(\mathcal{X}, \Theta)|_{B \setminus \{\sigma\}} \cong (\mathcal{X}', \Theta')|_{B \setminus \{\sigma\}}$$

Then  $\exists$  TCs

$$(\mathcal{X}_0, \Theta_0) \xrightarrow{\text{TC}} (Y, \Delta) \xleftarrow[\text{sle bpcY.}]{\downarrow} (\mathcal{X}'_0, \Theta'_0)$$

Def. ( $S$ -equivalence).

$(X, D)$ ,  $(X', D')$  slc bpcy pairs.

We say they're  $S$ -equivalent if  $\exists \text{TCs}$

s.t.  $(X, D) \xrightarrow{\text{TC}} (Y, \Delta) \xleftarrow{\text{TC}} (X', D')$

\* Moduli spaces.

Consider  $(\mathbb{P}^2, \frac{3}{d} C_d)$  bpcY.

$\mathcal{P}_d^K$  = K-moduli stack of  $(\mathbb{P}^2, (\frac{3}{d} - \epsilon) C_d)$

$\mathcal{P}_d^H$  = KSBA-moduli stack of  $(\mathbb{P}^2, (\frac{3}{d} + \epsilon) C_d)$

Both  $\mathcal{P}_d^K$  &  $\mathcal{P}_d^H$  are bounded, Artin stacks.

$\mathcal{P}_d^{CY}$  = closure of  $\{(\mathbb{P}^2, \frac{3}{d} C_d)\}$  in bpcY moduli stack.

However,  $P_d^{CY}$  is unbounded if  $3|d$ .

Ex.  $(\mathbb{P}^2, C_t) \xrightarrow{\text{m}(\mathbb{P}^2, \star), \text{s-equiv}} (\mathbb{P}(a^2, b^2, c^2), D_{\text{toric}})$  type III deg.  
 $\text{lc } b_p(C)$ .  $a^2 + b^2 + c^2 = 3abc$   
 $\infty$ -many  $\mathbb{Z}$ -solutions.  
 family of smooth cubic curves.

$\pi: \mathcal{X} \rightarrow B$  general fiber  $\cong \mathbb{P}^2$

special fiber  $\cong \mathbb{P}(a^2, b^2, c^2)$ .

$\left. \begin{array}{c} P_3^{CY} \\ \text{is} \\ \text{unbounded.} \end{array} \right\}$

Kawamata-Viehweg vanishing  $\Rightarrow \pi_* \omega_{\mathcal{X}/B}^{[-1]}$  flat.

$\Rightarrow H^0(\mathbb{P}^2, -K_{\mathbb{P}^2}) \rightarrow H^0(\mathbb{P}(a^2, b^2, c^2), -K_{\mathbb{P}(a^2, b^2, c^2)})$  is flat.

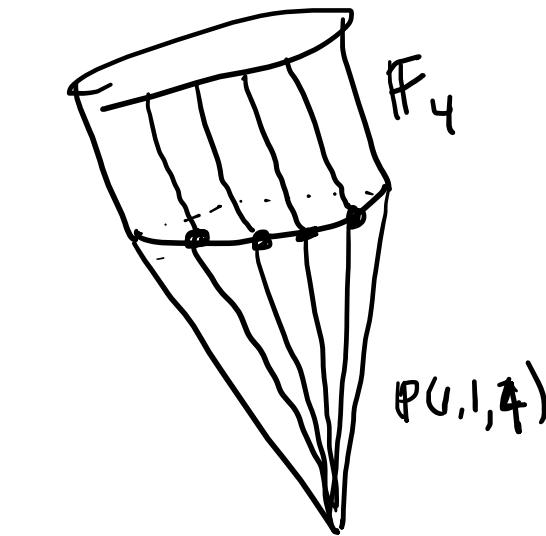
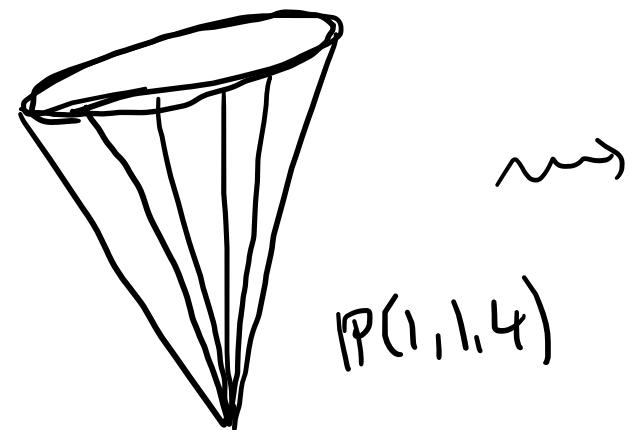
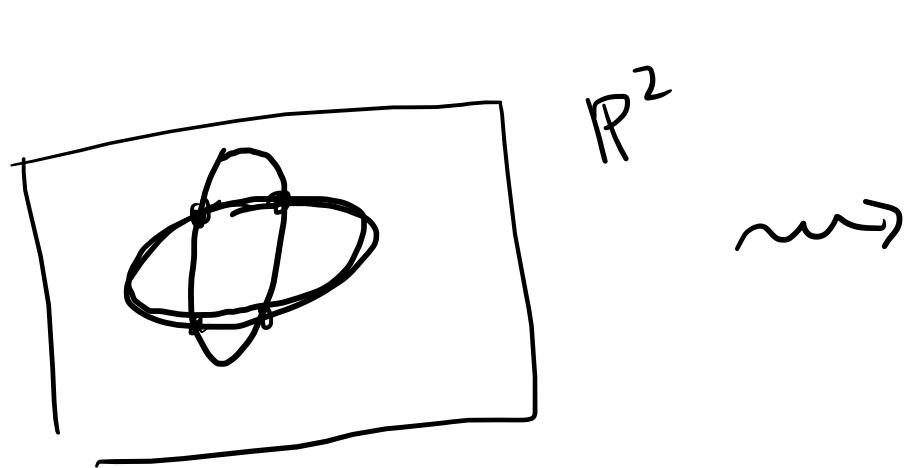
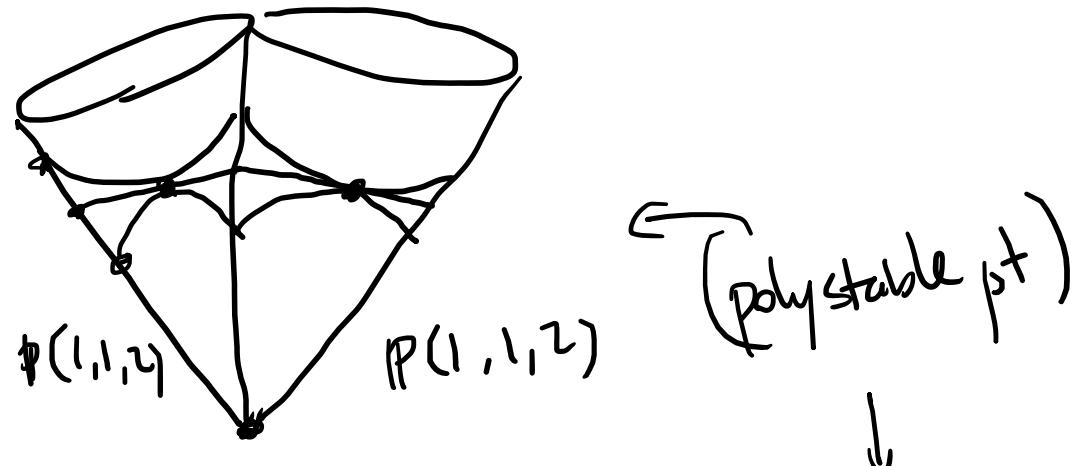
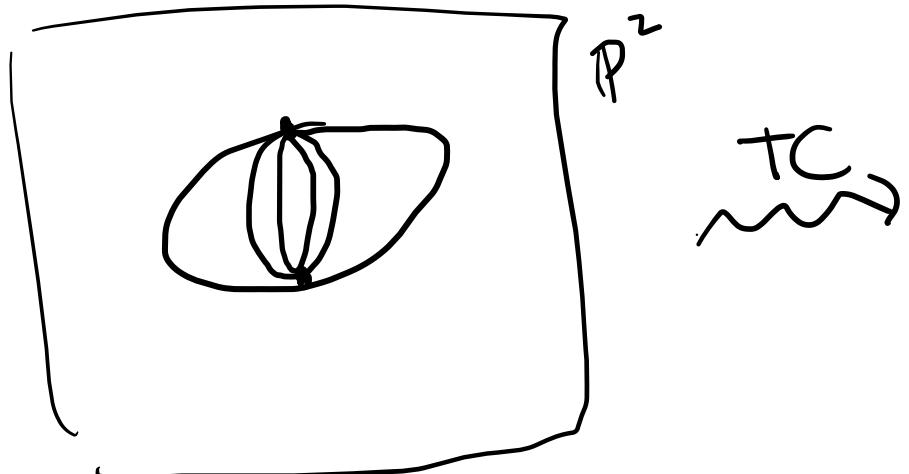
Thm 3. (ABBDILW)  $\exists$  a projective scheme  $P_d^{\text{CY}}$  param.  
 $S$ -equivalence classes of  $P_d^{\text{CY}}$ .

Moreover, we have bir. morphisms

$$P_d^K \rightarrow P_d^{\text{CY}} \leftarrow P_d^H.$$

And  $\lambda_{\text{Hdg}}$  is ample on  $P_d^{\text{CY}}$ . ( $\Rightarrow \lambda_{\text{Hdg}}$  is semipositive  
 on  $P_d^K$  &  $P_d^H$ )  
 (Baily-Borel type compactification).

Ex.  $d=6$  type II degenerations (when lc center has  $\dim = 1$ ).



Idea of proof on thm 3.

$$\forall m \in \mathbb{N}, \quad P_d^m := \{ (X, D) \in P_d^{CY} \mid \text{ind}_x K_X \leq m \}_{\forall x \in X}.$$

We show  $P_d^m$  is bounded.

Challenge: Show  $P_d^m$  admits a good moduli space!

Using complements, translate this question to the case  $d=3$ .

We use twisted elliptic curves & deformation theory (Olsson).

Then we show  $P_d^m$  (gms of  $P_d^m$ ) stabilizes  
as  $m \rightarrow \infty$ .

Extra argument to show  $\lambda_{Hdg}$  is ample.  
(Kollar, Ambro, Fijino-Gongyo).

Everything is based on explicit geometry of degenerations  
of  $\mathbb{P}^2$ .

For general del Pezzo surface

$$(X_t, D_t) \rightsquigarrow (X_0, D_0)$$

↓  
slc.

smooth dP surface

For  $\mathbb{P}^2$ :  $\exists$  1-complement on  $X_0$

i.e.  $\exists \Gamma_0 \in |-K_{X_0}|$  s.t.  $(X_0, \Gamma_0)$  is slc.

It may fail for other del Pezzo surfaces.

We can find 2-complement.