

| Nomination Details         | Nominee 1 | Nominee 2 | Nominee 3 |
|----------------------------|-----------|-----------|-----------|
| Nominee Name :             |           |           |           |
| *First Name:               | .....     | .....     | .....     |
| Middle Name:               | .....     | .....     | .....     |
| *Last Name                 | .....     | .....     | .....     |
| *Address:                  |           |           |           |
| *City:                     |           |           |           |
| *State:                    |           |           |           |
| *Pin:                      |           |           |           |
| *Country:                  |           |           |           |
| Telephone No:              |           |           |           |
| Fax No:                    |           |           |           |
| Nomination Details         | Nominee 1 | Nominee 2 | Nominee 3 |
| PAN No:                    |           |           |           |
| UID :                      |           |           |           |
| Email ID:                  |           |           |           |
| *Relationship with the RO: |           |           |           |

**BROKERAGE / CHARGES (TARIFF SCHEDULE) For Offline Client**
**BROKERAGE**
**CASH AND FUTURES AND OPTIONS AND CURRENCY DERIVATIVES SEGMENTS**

| Type                           | Percentage                  | Minimum (paisa per share)               | Legs            |
|--------------------------------|-----------------------------|---|-----------------|
| Trading in Cash (intra day)    |                             |   | Single / Both   |
| Delivery in Cash               |                             |   | All transaction |
| Futures (intra day)            |                             |   | Single / Both   |
| Futures (other than intra day) |                             |   | All transaction |
|                                | % / Rs. per lot             | Minimum (Rs. Per lot / Paisa Per Share) |                 |
| Options (intra day)            | ___ % / Rs. _____           |   | Single / Both   |
| Options (except intra day)     | ___ % / Rs. _____           |   | All transaction |
| Currency Derivative            | Rs. _____ per lot / _____ % |   | All transaction |

**BROKERAGE FOR ACCOUNT SETTLEMENT OTHER THAN QUARTERLY BASIS :-**

Per Share 2.5% or 10 paisa which ever is higher on each and every leg of transactions on securities listed in cash segment and on future segment of stock, index & Currency. 2.5% of Premium or Rs. 100/- per lot which ever is higher on options contract listed in futures and options and currency derivative segments.

**OTHER LEVIES AS PREVALENT FROM TIME TO TIME :-**

1. Service Tax 2. Stamp Duty 3. Exchange transaction charges 4. SEBI turnover fees 5. Securities Transaction Tax (STT) 6. Delayed payment charges not exceeding 18% per annum or part thereof 7. Physical / Duplicate Contract Note handling charges of Rs.35/- if opted for ECN and requested for physical copy in duplicate (per copy). 8. Document and service charges for trading account opening Rs.150/- 9. Amount paid / payable by SSBPL on account of any penalties / charges levied / leviable on SSBPL due to default / breach committed by client. Any other statutory levies not specified in this tariff sheet 10. Charges for providing research report 11. Charges for any other services provided / cost incurred by SSBPL 12. Any other charges toward customised / specialised services. 13. Cheque return charges at actuals

**Note :**

The brokerage in cash and futures segment (except options) is calculated on a per share basis and rounded off to nearest paisa. Rate of the other levies may vary from time to time. You may contact us to know the prevalent rates of the above levies. SC IPL means Sunness capital india Pvt. Ltd., Any change in the commercial shall be communicated on your email id registered with us and the same shall also be available in your client login on our website.

Client's Signature: (20) \_\_\_\_\_

Name of the Client : \_\_\_\_\_

Client Code : \_\_\_\_\_

|   |                          |                          |                          |
|---|--------------------------|--------------------------|--------------------------|
| Date of birth (mandatory if Nominee is a minor):  |                          |                          |                          |
| Name of the Guardian of Nominee (if the nominee is minor):  |                          |                          |                          |
| *First Name:  | .....                    | .....                    | .....                    |
| *Middle Name:   | .....                    | .....                    | .....                    |
| *Last Name  | .....                    | .....                    | .....                    |
| *Address of the Guardian of nominee:  |                          |                          |                          |
| *City:  |                          |                          |                          |
| *State:   |                          |                          |                          |
| *Country:   |                          |                          |                          |
| *Pin:   |                          |                          |                          |
| Age   |                          |                          |                          |
| Telephone:  |                          |                          |                          |
| Fax No:   |                          |                          |                          |
| Email ID:   |                          |                          |                          |
| *Relationship of the Guardian with the Nominee:   |                          |                          |                          |
| *Percentage of allocation of securities:  |                          |                          |                          |
| *Residual Securities (please tick any one nominee. If tick not marked default will be first nominee): | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

**Note :** Residual securities: incase of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.

**\* Marked is Mandatory field**

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Place: \_\_\_\_\_ Date: \_\_\_\_\_

|           | First/Sole Holder | Second Holder | Third Holder |
|-----------|-------------------|---------------|--------------|
| Name      |                   |               |              |
| Signature |                   |               |              |

| Details of the Witness |               |
|------------------------|---------------|
|                        | First Witness |
| Names of Witness       |               |
| Address of Witness     |               |
| Signature of Witness   |               |

INTENTIONALLY LEFT BLANK

**POWER OF ATTORNEY**

To all to whom these presents shall come I/ we, \_\_\_\_\_ (name of the beneficial owner/s), residing at/ having its registered office at \_\_\_\_\_ send greetings.

Whereas I/ we hold beneficial owner account number 12078400 \_\_\_\_\_ (BO-ID) with Central Depository Services (India) Limited (CDSL), through SUNNESS CAPITAL INDIA Pvt. Ltd. Bearing DP-ID-706-2014 registered with Securities and Exchange Board of India (SEBI).

And Whereas I/ we am/ are desirous to buy and sell securities through – Sunness Capital India Pvt. Ltd. a member of BOMBAY STOCK EXCHANGE LTD. , and NATIONAL STOCK EXCHANGE OF INDIA LTD. bearing SEBI registration No.INB011459634 (Cash Market), INF011459634 (F&O Market), and MCX-SX Stock Exchange bearing SEBI registration No. INB261459631 (cash market), INF261459631 (F&O Market)

And Whereas I/ we am/ are desirous of appointing Sunness Capital India Pvt. Ltd. as my/our constituted attorney to operate my/ our beneficial owner account on my/ our behalf for a limited purpose under client code for BSE \_\_\_\_\_, NSE \_\_\_\_\_ & MCX-SX \_\_\_\_\_ in the manner hereinafter appearing and subject to conditions as provided herein.

Now know you all and these present witness that I/ we do hereby nominate, constitute and appoint Sunness Capital India Pvt. Ltd. (hereinafter referred to as "the stock broker") as my true and lawful attorney and authorise it to perform the following functions on my behalf

to transfer securities held in my/our aforementioned beneficial owner account(s) or any other account informed by me in writing to the stock broker from time to time to the Demat Account Nos: BSE CDSL Principal A/c-(1207840000000957), BSE CDSL Pool A/c-(1207840000000961), BSE CDSL Client Beneficiary A/c-(1207840000000938), BSE NDSL Pool A/c-IN30048423025539, BSE CMBP ID 6506. NSE CDSL Pool A/c-(1207840000002819), NSE CDSL Client Beneficiary A/c-(1207840000002823), NSE NDSL Pool A/c-IN30048424477535, NSE CMBP ID IN519896, MCX-SX Pool A/c- \_\_\_\_\_ of the stock broker maintained for the purpose of settlement of trades and margin obligations arising out of trades executed by me/us on any recognized stock exchange through the stock broker. However, the said power will be restricted to only transfer of securities to the Clearing Member ID allotted to the stock broker by any existing or future exchange that the stock broker has joined/ will join as a member or to any demat accounts linked to the said Clearing Member ID provided that I/we have executed a KYC documents with the stock broker for such exchange.

To return to me/us, the securities that may have been received by the stock broker erroneously or those securities that the stock broker was not entitled to receive from me/us;

for meeting my/our settlement obligations/ margin requirements in connection with the trades executed by me/us the clients on the stock exchange through the stock broker.

to pledge the securities in favor of the stock broker for the limited purpose of meeting my/our margin requirements in connection with the trades executed by me/us on any recognized stock exchange through the stock broker.

I/We further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue until it is revoked (without notice) in writing by me and that the said revocation shall be effective from the date on which the revocation notice is received by the stock broker in his office at 2<sup>nd</sup> Floor, 5<sup>th</sup> Main, RPC Layout, Vijayanagar, Bangalore-560040.

Signed and Delivered

By the within name Beneficial Owner/s with Company Seal)

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First Holder \_\_\_\_\_

Second Holder \_\_\_\_\_

Third Holder \_\_\_\_\_

In the presence of

1 Witness \_\_\_\_\_

2 Witness \_\_\_\_\_

I accept \_\_\_\_\_

Sunness Capital India Private Limited

| Family Member's Details (Only for HUF Account) |       |           |
|--|-------|-----------|
| Sl No  | Names | Signature |
|  |       |           |
|  |       |           |
|  |       |           |
|  |       |           |
|  |       |           |
|  |       |           |

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**FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL**

|   | First/Sole Holder   | Second Holder (if any)  | Third Holder (if any)   |
|---|---|---|---|
| Client Code:  | Name:   | Name:   | Name:   |
| Demat Account No.   |   |   |   |
| 1. Indicate (✓) your Tax Residency / Citizenship / Nationality  | <input type="checkbox"/> India <input type="checkbox"/> U.S.A <input type="checkbox"/> Others   | <input type="checkbox"/> India <input type="checkbox"/> U.S.A <input type="checkbox"/> Others   | <input type="checkbox"/> India <input type="checkbox"/> U.S.A <input type="checkbox"/> Others   |
| PAN Number:   | AADHAR NO:  |   |   |
| If ticked on "Others" and/or "U.S.A", please provide all details under point no. 2,3,4,5 below:           |   |   |   |
| 2. Specify City and Country of Birth  |   |   |   |
| 3. Specify Country(ies) of Tax Residency/ Citizenship / Nationality / Green card holder, other than India |   |   |   |
| 4. Tax Identification Number (for U.S.A.) or its functional equivalent (other than U.S.A.)                |   |   |   |
| 5. Source of Wealth   | <input type="checkbox"/> Salary <input type="checkbox"/> Business<br><input type="checkbox"/> Gift <input type="checkbox"/> Rental Income<br><input type="checkbox"/> Royalty <input type="checkbox"/> Ancestral Property<br><input type="checkbox"/> Prize Money <input type="checkbox"/> Others | <input type="checkbox"/> Salary <input type="checkbox"/> Business<br><input type="checkbox"/> Gift <input type="checkbox"/> Rental Income<br><input type="checkbox"/> Royalty <input type="checkbox"/> Ancestral Property<br><input type="checkbox"/> Prize Money <input type="checkbox"/> Others | <input type="checkbox"/> Salary <input type="checkbox"/> Business<br><input type="checkbox"/> Gift <input type="checkbox"/> Rental Income<br><input type="checkbox"/> Royalty <input type="checkbox"/> Ancestral Property<br><input type="checkbox"/> Prize Money <input type="checkbox"/> Others |

**DECLARATION**

I / We hereby declare, agree and confirm the following:

- The details furnished above are true to the best of my knowledge and belief and shall undertake to inform Sunness Capital India Pvt Limited Limited within 30 days, in case of any change in the above given status on a future date;
- If I/we am/are U.S. person or tax resident of a reportable foreign jurisdiction (other than U.S.), my account details, would be reported by Sunness Capital India Pvt Ltd to the relevant tax authority, or information may be shared with concerned Asset Management Companies (AMCs) or such other product providers, to whom FATCA/ CRS norms are applicable or to any of the Government Agencies / Tax authorities / Regulators / Exchanges / Depositories of India or of any country other than India;
- If my / our Country of Birth is US, however, I / We declare that I/ We are not US Person, I / We shall provide a certificate of relinquishment of citizenship (Loss of nationality) OR a self certification stating reasons for not having such a certificate despite relinquishing US citizenship OR not obtaining US citizenship at birth.

FH

(First/Sole Holder Signature)

SH

(Second Holder Signature)

TH

(Third Holder Signature)