The background of the slide features a scenic landscape. At the top, a large, rugged mountain peak rises against a sky filled with wispy clouds. In the foreground, a river flows through a valley, its banks lined with dense, dark foliage and trees. The overall scene is natural and serene.

New tools from applied topology for data analysis and signal processing

Anibal M. Medina-Mardones

Mathematics Department, Western University, Canada

January 5, 2025

Part I. Persistent homology

Part II. Steenrod barcodes

Part III. Hyperharmonic analysis





Part I

Persistent homology

Points clouds and multi-scale approximation

| 2



Western

Points clouds and multi-scale approximation

| 2

Data sets are often encountered as point clouds in \mathbb{R}^n

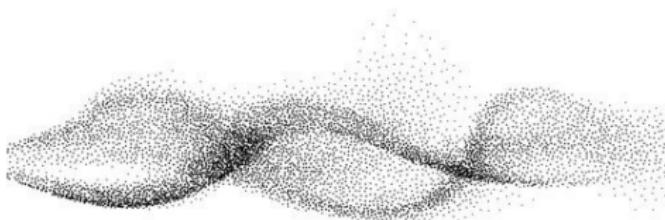


Western

Points clouds and multi-scale approximation

| 2

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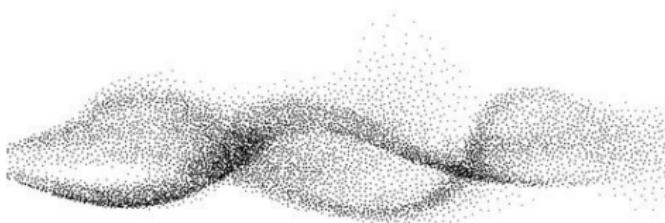


Western

Points clouds and multi-scale approximation

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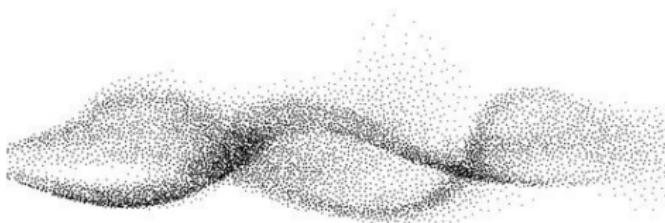


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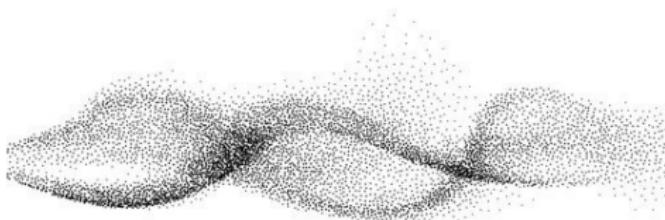


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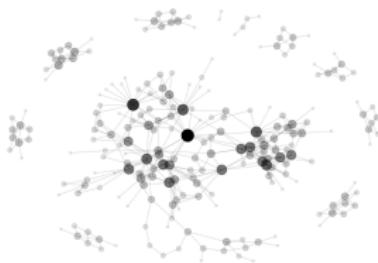
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Western

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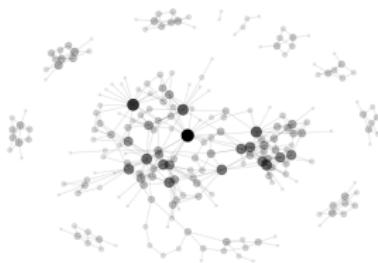
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Western

Points clouds and multi-scale approximation

| 3



Western

Points clouds and multi-scale approximation

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Consider **all** scales and obtain a family of nested graphs

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with t_i a value where the graph changes.



Western

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Western

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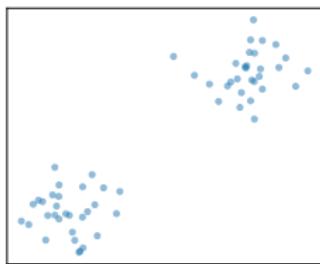
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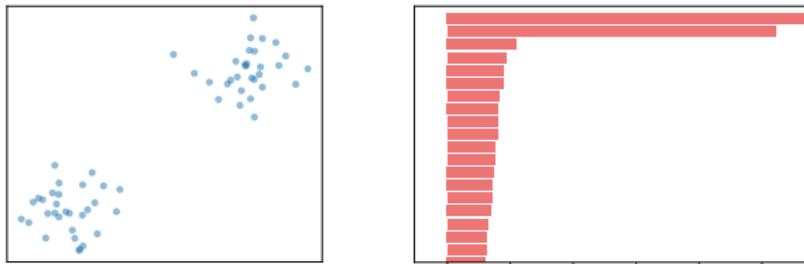
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Longer bars correspond to features that **persist**.

Points clouds and multi-scale approximation

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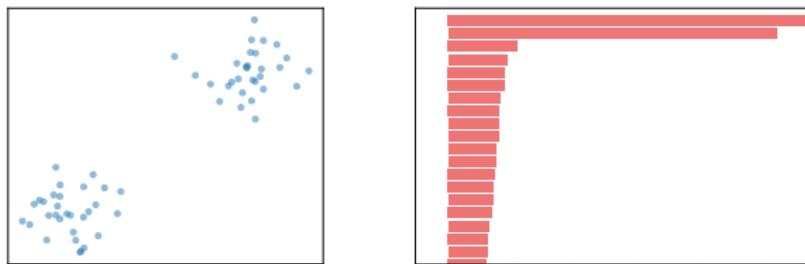
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In ML terms: “Hierarchical Clustering”.



Western

Points clouds and multi-scale approximation

| 4

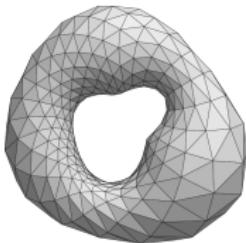


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Points clouds and multi-scale approximation

| 4

A **simplicial complex** is a generalization of a graph



with higher dimensional “edges” termed **simplices**.

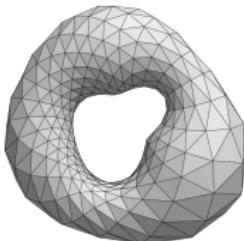


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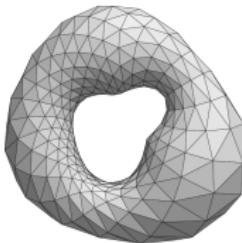
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Points clouds and multi-scale approximation

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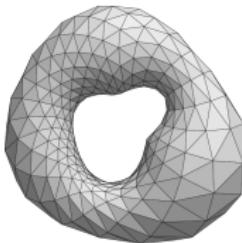


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Obtain **filtered simplicial complex**

$$X_{t_0} \subset X_{t_1} \subset \dots \subset X_{t_n}$$



Western

Robust/stable invariants

| 5



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Robust/stable invariants

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We want invariants of the underlying space/shape not of the simplicial complex approximating it.

i.e. Different samples should give “the same” invariants.



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Invariant under subdivision.



Western

Finer features: Homology

| 6

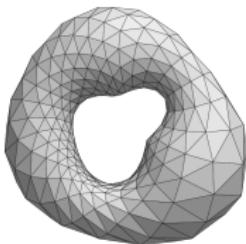


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Finer features: Homology

| 6

Given a simplicial complex X

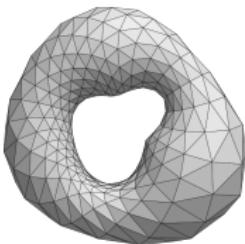


the [homology](#) construction produces $\forall d \in \mathbb{N}$ a vector space $H_d(X)$ whose dimension $\beta_d(X)$ counts the “ d -dim'l components” or “ d -cavities” of X .

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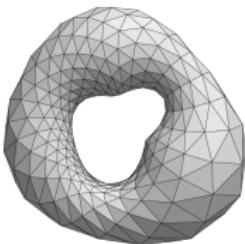
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Remark $\chi = \sum_i (-1)^i \beta_i$.

A peek under the mod 2 hood

| 7



Western

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Western

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Western

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Western

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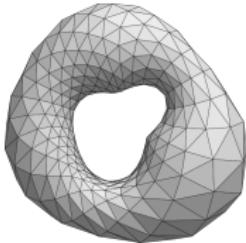
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Example. $\beta_0(T) = 1, \quad \beta_1(T) = 2, \quad \beta_2(T) = 1, \quad \beta_i(T) = 0.$



Western

Hierarchical clustering revisited

| 8



Western

Hierarchical clustering revisited

| 8

Given a multi-scale approximation

$$X_0 \subset X_1 \subset \cdots \subset X_n$$

the H_0 construction gives a family of vector spaces and linear projections

$$H_0(X_0) \rightarrow H_0(X_1) \rightarrow \cdots \rightarrow H_0(X_n).$$



Western

Hierarchical clustering revisited

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The way the β_0 's fit together can be represented by a [barcode](#)



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Hierarchical clustering revisited

| 8

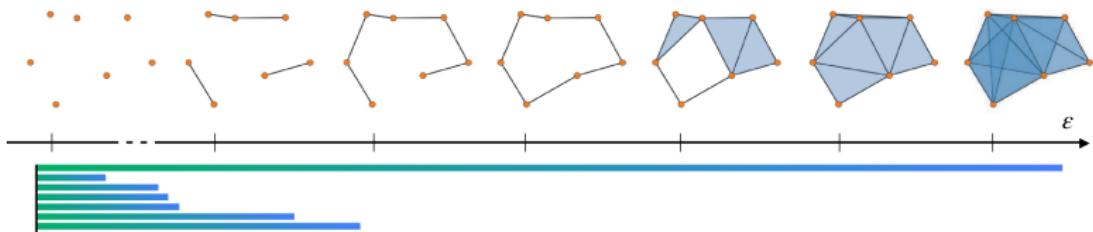
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Western

Persistence homology

| 9



Western

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Western

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Western

Persistence homology

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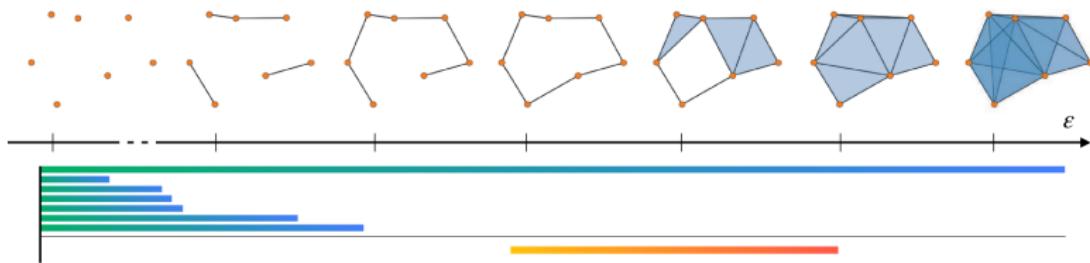
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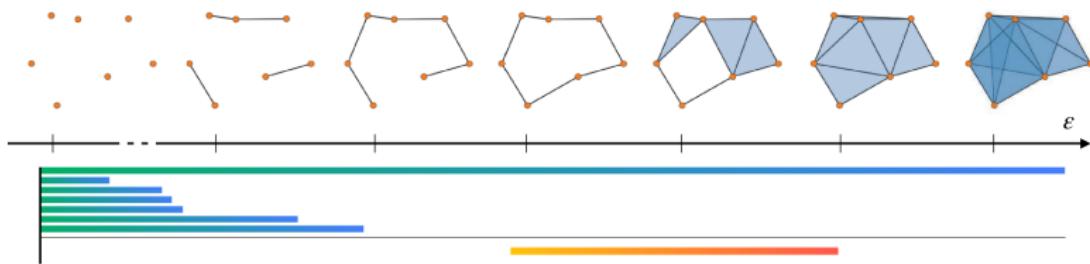
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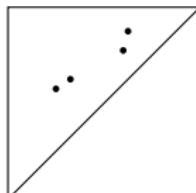
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The way their dimensions β_d “fit together” defines the d^{th} barcode.



Abstractly, a barcode is a collection of pairs $(a, b) \in \mathbb{R}^2$ with multiplicity.



Why are barcodes useful?

| 10



Western

Why are barcodes useful?

| 10

1. **Stability**: The passage from point clouds to barcodes satisfies

$$d_b(\mathcal{B}_X, \mathcal{B}_Y) \leq d_{GH}(X, Y)$$



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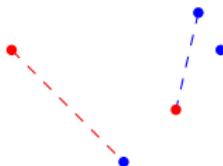
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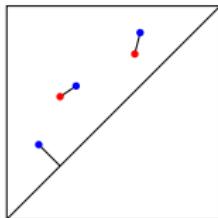
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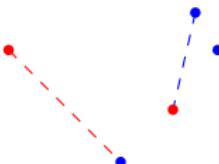
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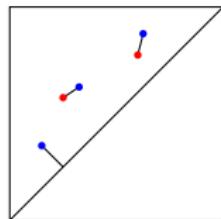
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2. **Computability:** Based on matrix reduction algorithms, its complexity is

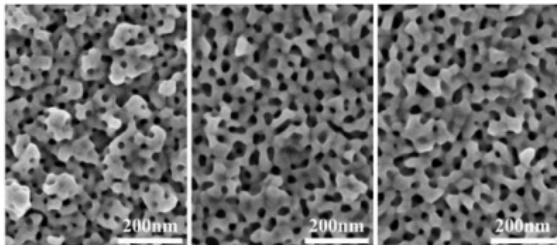
$$\sim O(\#\text{simplices}^3).$$



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Exemplar - Nanoporous materials (Lee et al.)

| 11

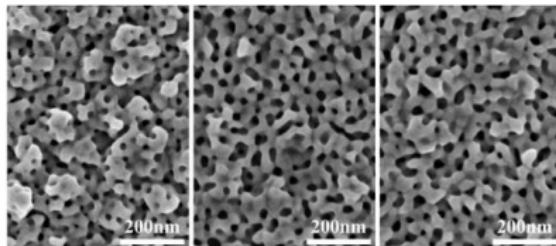


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Exemplar - Nanoporous materials (Lee et al.)

| 11

Comparing geometries
directly, impossible,
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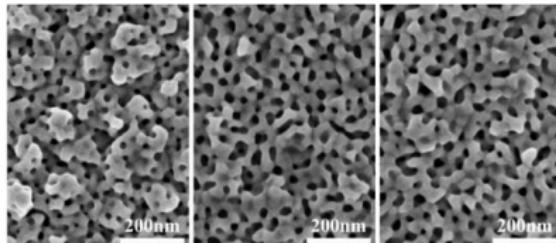


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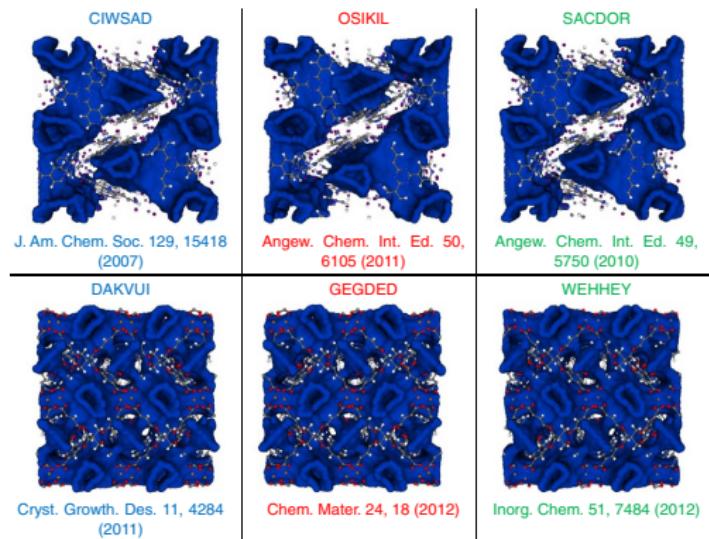
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Comparing Barcodes
is done fast
and faithfully
by stability.



Western

Where to find these tools?

| 12

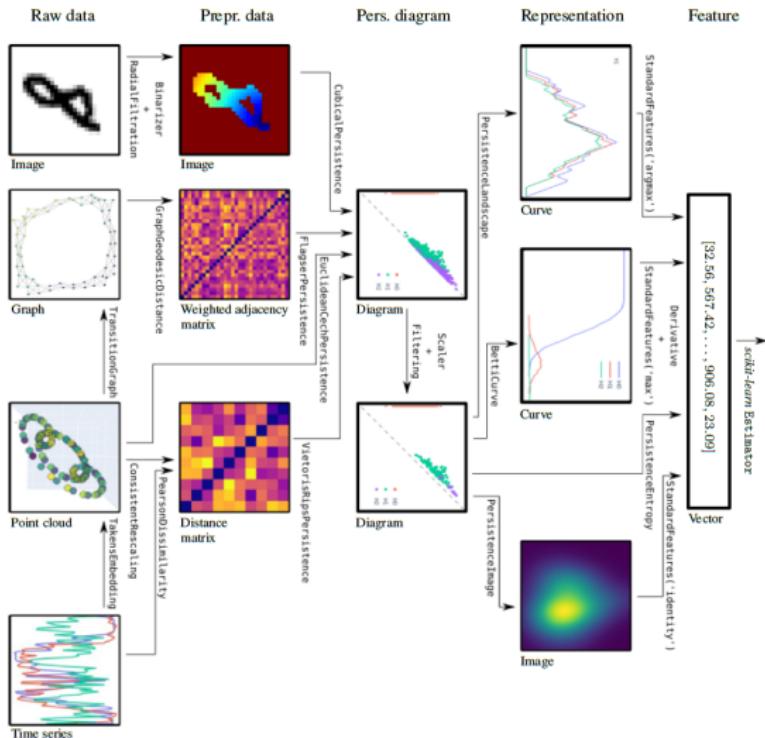


Western

Where to find these tools?

| 12

 Giotto-tda: Integration of persistence algorithms into scikit-learn.



Part II

Steenrod barcodes

Goal: introduce Steenrod barcodes

| 13



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Goal: introduce Steenrod barcodes

| 13

These generalize usual barcodes, and are also



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| 13

These generalize usual barcodes, and are also **stable**,



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These generalize usual barcodes, and are also **stable**, **computable**,



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Goal: introduce Steenrod barcodes

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These generalize usual barcodes, and are also [stable](#), [computable](#), and present in [real-data](#).

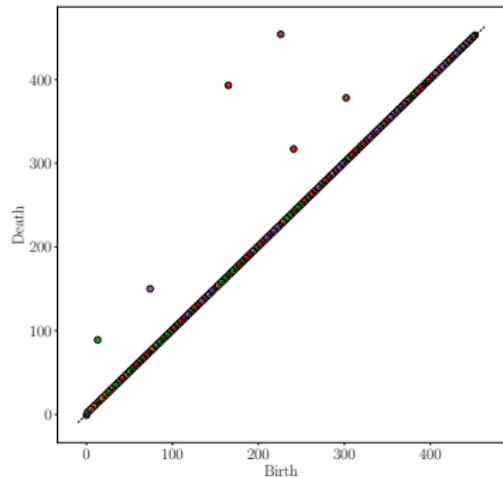


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Goal: introduce Steenrod barcodes

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(a) $C\Sigma(S^2 \vee S^4)$

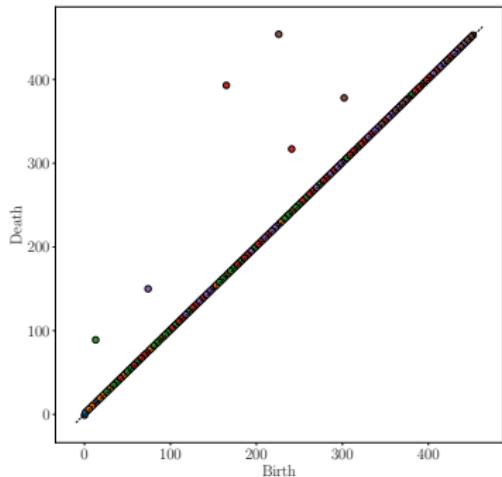
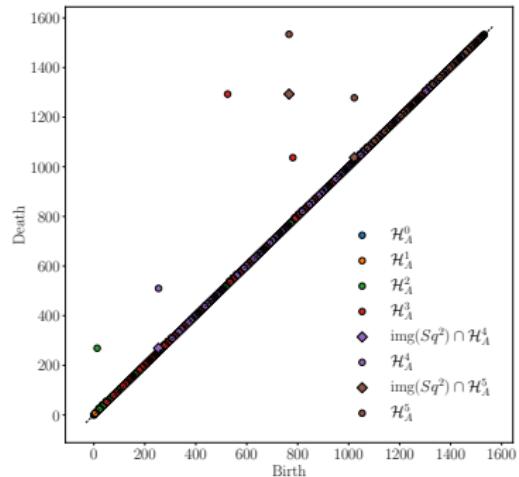


Western

Goal: introduce Steenrod barcodes

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(a) $C\Sigma(S^2 \vee S^4)$ (b) $C\Sigma \mathbb{C}P^2$

Shortcomings of Betti numbers

| 14



Western

Shortcomings of Betti numbers

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Barcodes are based on the [Betti numbers](#) of spaces, the β_d 's.



Western

Shortcomings of Betti numbers

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But these **forget** much information.



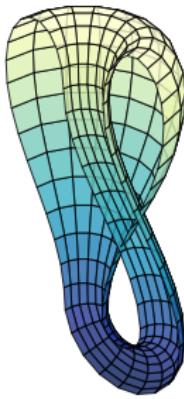
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Shortcomings of Betti numbers

| 14

Barcodes are based on the [Betti numbers](#) of spaces, the β_d 's.

But these [forget](#) much information. For [example](#), if K is the Klein bottle



over the field with two elements \mathbb{F}_2 we have

$$\beta_0(K) = 1, \quad \beta_1(K) = 2, \quad \beta_2(K) = 1, \quad \beta_i(K) = 0.$$

The same as the torus T .

Steenrod squares

| 15



Western

For each k there are natural maps

$$\text{Sq}^k : H^d(X; \mathbb{F}_2) \rightarrow H^{d+k}(X, \mathbb{F}_2).$$



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Sq^1 **distinguishes** the torus and the Klein bottle



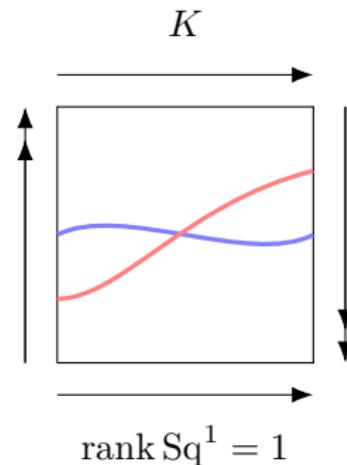
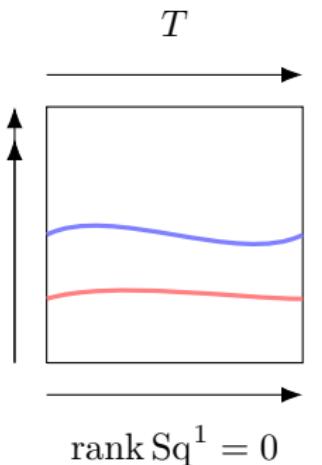
Steenrod squares

| 15

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Computing Steenrod squares

| 16



Western

Computing Steenrod squares

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Contribution (Med.): A faster way to compute Sq^k for simplicial complexes.



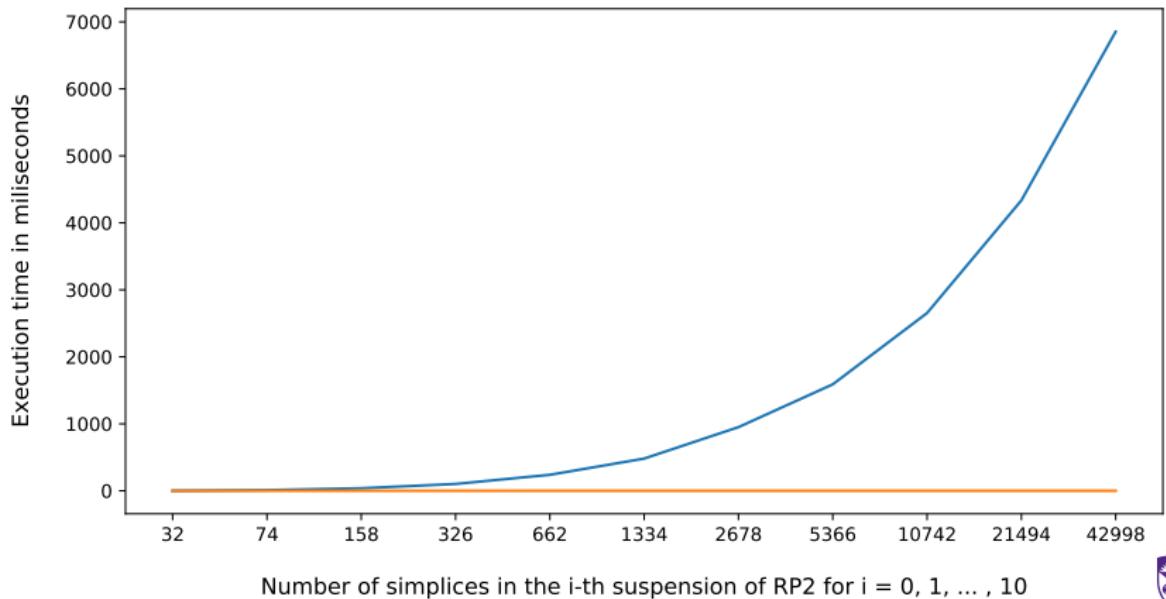
Western

Computing Steenrod squares

| 16

Contribution (Med.): A faster way to compute Sq^k for simplicial complexes.

Example: Clocking the computation of Sq^1 , the old and new ways.



Western

Persistent Steenrod squares

| 17



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Persistent Steenrod squares

| 17

Given a filtered simplicial complex

$$(1) \quad X_0 \subset X_1 \subset \cdots \subset X_n,$$



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Persistent Steenrod squares

| 17

Given a filtered simplicial complex

$$(1) \quad X_0 \subset X_1 \subset \cdots \subset X_n,$$

For each k and d , Sq^k induces a family of compatible linear maps

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Persistent Steenrod squares

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Definition (Lupo–Med.–Tauzin)

The Sq^k barcode of (1) is defined as the barcode of $\text{img } \text{Sq}^k$.



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Computable?



Western

A ready-to-use package for computing Steenrod barcodes.



Developed with *U. Lupo* and *G. Tuzin* from  **Giotto-tda**.

github.com/Steenroder/steenroder

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Question: Are these finer invariants out there in the real world?

Space of conformations of C₈H₁₆

| 19



Western

Space of conformations of C₈H₁₆

| 19

Points in \mathbb{R}^{24} (positions of 8 carbons in \mathbb{R}^3)



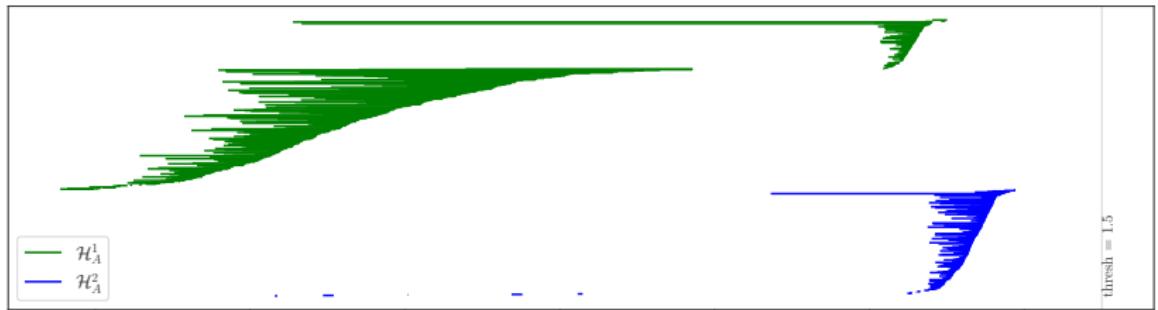
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Space of conformations of C₈H₁₆

| 19

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H^1 (green) and H^2 (blue) barcodes of (part of) this point cloud



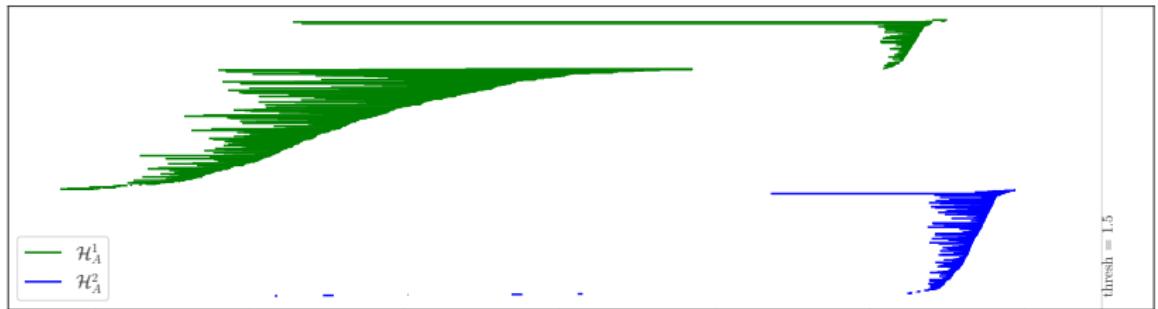
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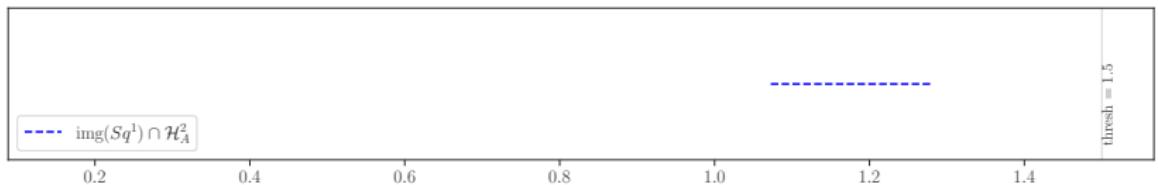
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Sq¹ barcode



Consistent with a Klein bottle.



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Persistent homology and their Steenrod generalization are principled tools for feature extraction.

Persistent homology and their Steenrod generalization are principled tools for feature extraction.

- ▶ They capture the primitive **shape** of data.
- ▶ They are relevant at **multiple scales**.
- ▶ Their output, barcodes, form a **metric space**.
- ▶ They are **robust** to noise.
- ▶ They are **computable** in practice: giotto-tda - steenroder.
- ▶ They are useful in the study **real-world data**.



Part III

Hyperharmonic analysis

Graph Laplacian

| 21



Western

Given a function ϕ on the vertices of a weighted graph

$$\Delta\phi(v) = \sum_{[u,v]} \gamma_{[u,v]}(\phi(v) - \phi(u))$$

where $\gamma_{[u,v]}$ is the weight of $[u, v]$.



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This an other versions are used for:

Clustering analysis,

Dimensionality reduction,

Random walk analysis,

Image and signal processing,

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Is there an analogue for simplicial complexes?

Discrete Laplacian

| 22



Western

Given a weighted simplicial complex and a function ϕ on its n -simplices

$$\Delta_n \phi = (\partial_{n+1} \delta_n + \delta_{n-1} \partial_n) \phi,$$

where ∂ is the boundary operator and δ its adjoint with respect to the inner product defined by the weights.



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First, let us introduce examples of functions on higher simplices.



Information signals

| 23



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Information signals

| 23

Let X_0, \dots, X_N be probability distributions



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- ▶ The **entropy** of each

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- ▶ The **interaction information** of triples

$$\begin{aligned} I(X;Y;Z) &= H(X) + H(Y) + H(Z) \\ &\quad - H(X,Y) - H(X,Z) - H(Y,Z) \\ &\quad + H(X,Y,Z). \end{aligned}$$

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- ▶ Analogues for higher cardinality subsets.

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- ▶ Analogues for higher cardinality subsets.

Problem: Number of subsets grows exponentially with N .

Question: Can we compress these signals?



Hyperharmonic analysis

| 24



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Fourier basis: Eigenvectors of the discrete Laplacian.



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Contribution (Med.-Rosas-Rodríguez-Cofré)

Compression of higher-order information signals using the Fourier basis.



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How to measure **compressibility**?

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How to measure **compressibility**?

Give signal α , let $\{\alpha_i\}$ be its coeff's in a basis with $\alpha_1^2 \geq \alpha_2^2 \geq \dots$

$$\text{EV}_\alpha(k) = \frac{\alpha_k^2}{\sum_i \alpha_i^2} \quad \text{and} \quad \text{CEV}_\alpha(k) = \sum_{1 \leq i \leq k} \text{EV}_\alpha(i),$$

Proof of concept: Haydn's symphonies

| 25



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Proof of concept: Haydn's symphonies

| 25

Music as a probability distribution.



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Music as a probability distribution.

We analyzed two high-order information signals across four dimensions:



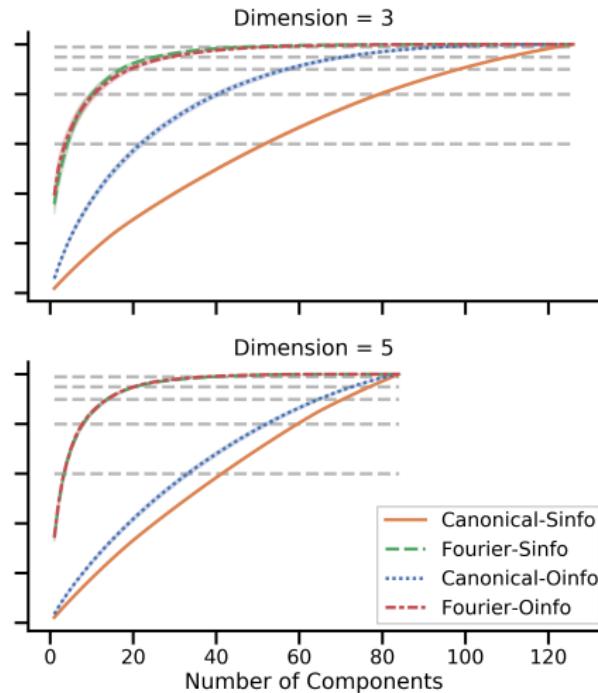
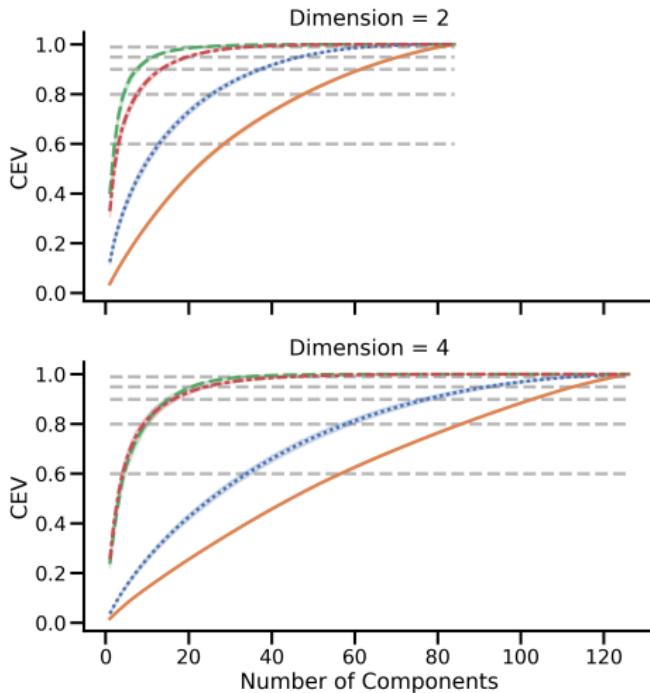
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Summary: Signal processing on hypergraphs

| 26

Case study: Compressing high-order information signals.



Western

Case study: Compressing high-order information signals.

- ▶ Higher order information signals capture interactions between multiple nodes of a system.
- ▶ The dimension of these signals grows exponentially with the number of nodes.
- ▶ The eigenvectors of the discrete Laplacian provide a new basis.
- ▶ A few Fourier vectors carry most of the signal.

Thank you!

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