

January 31, 2024

Dear Market Participant:

Accurate and complete transmission system data, required by NERC Standards¹, are critical for reliable real-time operation of the transmission system, market operations and operations planning. To achieve this objective, Operating Procedure No.16 – Transmission System Data (OP-16)² requires the annual self-certification of NX-9 data. **Market Participants must respond to this self-certification request by March 1, 2024.**

To ensure that the NX-9 data is compliant with any new or modified requirements, please review OP-16 and its appendices. Self-certification is a multi-step process which is primarily completed within the web-based NX Application (<https://smd.iso-ne.com/nxui/NX/Application.html>).

1. Each Market Participant will ensure that the current NX-9 forms³ in the production NX application 1) are accurate and complete and 2) reflect the actual physical characteristics, ratings, and operational limits of all New England transmission system equipment defined in OP-16 and in service on or before January 31, 2024. ***Market Participants performing the role of Lead Market Participant must share this self-certification request and asset-related data with the asset owner(s) [or Designated Entity(s)] to enable your company, as the Market Participant, to respond to this request.***⁴
 - a. If modification to the NX-9 data is necessary to ensure its compliance with OP-16, the Market Participant must revise or create the NX-9 forms in the NX Application and submit them by March 1, 2024. After all data has been submitted, the Market Participant shall select the Data Complete flag⁵ on the Annual Certification display in the NX Application. This action will log the date of your notice of completion.

¹ See, e.g., NERC Standard FAC-008-5 ("Facility Ratings"); Standard IRO-002-7 ("Reliability Coordination – Monitoring and Analysis"); Standard MOD-032-1 ("Data for Power System Modeling and Analysis").

² OP-16 is available at: <http://www.iso-ne.com/participate/rules-procedures/operating-procedures>

³ Current NX-9 forms refer to NX-9 forms whose Expiration Date is null and are associated with equipment that was in-service on the certification initiation date.

⁴ See, e.g., ISO Tariff at I.3.5; *id* at II.22.2 (available at <http://www.iso-ne.com/participate/rules-procedures/tariff>); see also, e.g., ISO Tariff at Attachment A – Market Participant Service Agreement, section 3.4 ("The Market Participant shall direct, physically operate, repair and maintain all metering and interconnection equipment under its control and all Assets providing services through the New England Transmission System (a) consistent with New England Transmission System reliability; (b) in accordance with (i) this Agreement, (ii) all applicable provisions of the ISO New England Operating Documents and (iii) all applicable reliability guidelines, policies, standards, rules, regulations, orders, license requirements and all other requirements of NERC, NPCC, other applicable reliability organizations' reliability rules and all applicable requirements of federal or state laws or regulatory authorities...."); ISO-NE Manual for Registration and Performance Auditing at Section 1.1.2 (2) ("[i]f there is not a Market Participant Owner for a Generator, the Lead Market Participant will be considered [by the ISO as] the owner.").

⁵ The application is designed to track by date and other means which NX-9 forms or Outstanding Requests are pertinent to a specific certification. If the system will not allow entry of the Data Complete flag, the user should review the Outstanding NXs and Outstanding Requests sections of the Annual Certification display and resolve NX's in the rejected state and Outstanding Requests in the opened state.

- b. If modification to the NX-9 data is not necessary to ensure its compliance with OP-16, the Market Participant shall simply select the Data Complete flag⁶ on the Annual Certification display in the NX Application by March 1, 2024. This action will log the date of your notice of completion. Do not create NX form revisions unless data changes are necessary.
2. ISO-NE will review all data submitted to ensure compliance. When all data has been approved or otherwise resolved, the Market Participant will be notified via automated email from the NX Application and provided a link to the certification form.
3. Within 5 business days of ISO-NE's approval notification or by March 1, 2024, whichever is later, the Market Participant must submit the signed and dated certification form to the ISO NX Application.

A .pdf version of the completed certification form shall be uploaded to the NX Application using the participant's Annual Certification display, File Attachments tab.
4. ISO-NE will confirm receipt of the signed certification form via automated email from the NX Application to those users with the NX9 Administrator or Compliance Officer roles in the NX Application at the time of confirmation.

Please comply with this request within the specified timelines to avoid the risks associated with non-compliance with ISO New England Operating Documents or NERC standards.

For users requiring assistance, a guide with instructions for completing the self-certification and using the application displays and functions will be issued via separate email to users with the application's NX9 Administrator role.

If you have any questions, please contact **ISO NX9 Administrator** (nx9admin@iso-ne.com).

Sincerely,

/s
Thomas Weldon
Manager, Power System Model Management

cc: Matthew F. Goldberg, Executive Director, Strategy, Risk and Operations Compliance
Steven Gould, Director, Operations
Jonathan Gravelin, Manager, Control Room Operations

⁶ The application is designed to track by date and other means which NX-9 forms or Outstanding Requests are pertinent to a specific certification. If the system will not allow entry of the Data Complete flag, the user should review the Outstanding NXs and Outstanding Requests sections of the Annual Certification display and resolve NX's in the rejected state and Outstanding Requests in the opened state.