#### I. COMMON SERVICE PROVISIONS

#### 1 Definitions

Whenever used in this Tariff, in either the singular or plural number, the following capitalized terms shall have the meanings specified in Sections 1.1 to 1.76 of this Part I.

Terms used in this Tariff but not defined in Sections 1.1 to 1.76 which are defined in the Agreement shall have the meanings specified in the Agreement. Terms used in this Tariff that are not defined in this Tariff or in the Agreement shall have the meanings customarily attributed to such terms by the electric utility industry in New England.

- Mith the review of Applications for transmission service and the carrying out of System Impact Studies and Facilities Studies.
- Agreement: The Restated New England Power Pool

  Agreement dated as of September 1, 1971, as amended and restated from time to time, of which this Tariff forms a part.
- 1.3 1.1 Ancillary Services: Those services that are necessary to support the transmission of electric capacity and energy from resources to loads while

maintaining reliable operation of the Transmission

Provider's NEPOOL Transmission System in accordance
with Good Utility Practice.

1.2 1.4 Annual Transmission Costs: The total annual cost of
the Transmission System for purposes of Network
Integration Transmission Service Revenue

Participant's PTF or of all Participants' PTF for

purposes of this Tariff shall be the amount

specified in Attachment H until amended by the

Transmission Provider or modified by the

Commission. determined in accordance with

Attachment F to this Tariff.

- 1.3 Application: A 1.5 Application: A written request by

  an Eligible Customer for

  transmission service pursuant to the

  provisions of the this Tariff.
- 1.4 1.6 Commission: The Federal Energy Regulatory

  Commission and any regulatory agency succeeding to substantially all of the authority of the Federal Energy Regulatory Commission with respect to electric power.

#### 1.7-

- 1.5 Completed Application: An Application that satisfies all of the information and other requirements of the this Tariff, including any required deposit.
  - 1.6 1.8 Control Area: An electric power system or combination of electric power systems to which a common automatic generation control scheme is applied in order to:
    - (1) match, at all times, the power output of the generators within the electric power system(s) and capacity and energy purchased from entities outside the electric power system(s), with the load within the electric power system(s);
    - (2) maintain scheduled interchange with other Control

      Areas, within the limits of Good Utility Practice;
    - (3) maintain the frequency of the electric power system(s) within reasonable limits in accordance with Good Utility Practice and the criteria or the applicable regional reliability council or the North American Electric Reliability Council; and
    - (4) provide sufficient generating capacity to maintain operating reserves in accordance with Good Utility

Practice.

- 1.7 1.9 Curtailment: A reduction in firm or non-firm transmission service in response to a transmission capacity shortage as a result of system reliability conditions.

# 1.11-

- 1.9 Designated Agent: Any entity that performs actions or
   functions required under the Tariff on behalf of the
   Transmission Provider NEPOOL, an Eligible Customer, or the a
   Transmission Customer required under the Tariff.
  - 1.10 1.12 Direct Assignment Facilities: Facilities or portions of facilities that are constructed by the Transmission Provider for the sole use/benefit of a particular Transmission Customer requesting service under the this Tariff. Direct Assignment Facilities shall be specified in the Service Agreement that governs service to the Transmission Customer and, shall be subject to Commission approval. applicable Commission requirements and

shall be paid for by the Transmission Customer in accordance with the Service Agreement and not under this Tariff.

1.11 1.13 Eligible Customer: (i) any Participant; (ii) any electric utility (including the Transmission Provider and any power marketer), Federal power marketing agency, or any person other entity generating electric energy for sale or for resale; provided that electric energy sold or produced by such entity may be is electric energy produced in the United States, Canada or Mexico; and provided further, however, that such entity is shall not be eligible for to receive transmission service that under this Tariff if such service would be prohibited by Section 212(h)(2) of the Federal Power Act; and (ii)(iii) any retail customer, or any supplier of retail service, taking unbundled Transmission Service transmission service pursuant to a state retail access program, in accordance with the applicable state requirements, or pursuant to a voluntary offer of unbundled retail transmission service by the Transmission Provider.

Participants.

- 1.12 1.14 Energy Imbalance Service: This service is the form

  of Ancillary Service described in Schedule 4.
- 1.15 Excepted Transaction: A transaction specified in

  Section 25 for the applicable period specified in that

  Section.
- Transmission Provider pursuant to the Agreement or this

  Tariff by the System Operator and/or one or more

  affected Participants to determine the required

  modifications to the Transmission Provider's NEPOOL

  Transmission System, including the cost and scheduled

  completion date for such modifications, that will be

  required to provide the a requested transmission

  service.
- Point Transmission Service under this Tariff that

  which is reserved and/or scheduled between

  specified Points of Receipt and Delivery pursuant

  to Part II of this Tariff. in accordance with the

  applicable procedure specified in Part II or Part V

  of this Tariff.

- 1.14 1.18 Firm Transmission Service: Service for Native Load

  Customers, firm Regional Network Service (including

  Network Integration Transmission Service), service

  for Excepted Transactions and Firm Point-to-Point

  Transmission Service (including Through or Out

  Service).
- and acts engaged in or approved by a significant portion of the electric utility industry during the relevant time period, or any of the practices, methods and acts which, in the exercise of reasonable judgment in light of the facts known at the time the decision was made, could have been expected to accomplish the desired result at a reasonable cost consistent with good business practices, reliability, safety and expedition.

  Good Utility Practice is not intended to be limited to the optimum practice, method, or act to the exclusion of all others, but rather to be includes all acceptable practices, methods, or acts generally accepted in the region.
- 1.20 Interest: Interest calculated in the manner specified in Section 8.3

- 1.21 1.15 Interruption: A reduction in non-firm transmission service due to economic reasons pursuant to Section 14.7. 28.7.
- 1.16

  1.22 ISO: The independent System Operator which is

  expected to assume responsibility for the continued

  operation of the NEPOOL Control Area from the

  NEPOOL control center and the administration of

  this Tariff, subject to regulation by the

  Commission.
- 1.23 Load Ratio Share: Ratio of a Transmission Customer's

  Network Load to the Transmission Provider's Participants'
  total load computed in accordance with Sections 34.2 and
  34.3 of the Network Integration Transmission Service
  under Part III Section 46.1 under Part VI of the Tariff
  and calculated on a rolling twelve month average basis.
- 1.17 1.24 Load Shedding: The systematic reduction of system demand by temporarily decreasing load in response to transmission system or area capacity shortages, system instability, or voltage control considerations under Part III of the Tariff. VI of the Tariff.
- 1.18 1.25 Local Network: The transmission facilities

Attachment E, and any other local network or change in the designation of a Local Network as a Local Network which the Management Committee may designate or approve from time to time. The Management Committee may not unreasonably withhold approval of a request by a Participant that it effect such a change or designation.

- 1.26 Local Network Service: Local Network Service is the service provided, under a separate tariff or contract, by a Participant that is a Transmission Provider to another Participant, or other entity connected to the Transmission Provider's Local Network to permit the other Participant or entity to efficiently and economically utilize its resources to serve its Load.
- 1.27 Local Point-To-Point Service: Local Point-To-Point

  service is service provided, under a separate tariff or

  contract, by a Participant that is a Transmission

  Provider over Non-PTF or distribution facilities to

  permit a Participant or other entity with an Entitlement

  in a generating unit to deliver the output of such unit

  to an interconnection point on the NEPOOL Transmission

System. Local Point-To-Point Service may be included as part of Local Network Service.

- 1.28 Long-Term Firm Point-To-Point Transmission Service:
  Firm Point-To-Point Transmission Service under Part II
  or V of the this Tariff with a term of one year or more.
- 1.19 1.29 Native Load Customers: The wholesale and retail power customers of the a Participant or other entity which is a Transmission Provider on whose behalf the Transmission Provider Participant or other entity, by statute, franchise, regulatory requirement, or contract, has undertaken an obligation to, construct and operate the Transmission Provider's its system to meet the reliable electric needs of such customers.
- 1.30 NEPOOL: The New England Power Pool, the power pool created under and governed by the Agreement, and the entities collectively participating in the New England Power Pool.
- 1.31 NEPOOL Control Area: The Control Area (as defined in Section 1.8) for NEPOOL.
- 1.32 NEPOOL Transmission System: The PTF transmission facilities owned by the Participants and their Related

Persons.

- 1.33 Network Customer: A Participant or Non-Participant 1.20

  Network Customer: An entity receiving transmission service pursuant to the terms of the Transmission Provider's Network Integration Transmission Service under Part III and Part VI of the Tariff.
- 1.21 1.34 Network Integration Transmission Service: The transmission service provided under Part III VI of the Tariff.
- 1.22 1.35 Network Load: The load that a Network Customer designates for Network Integration Transmission

  Service under Part III Parts II and VI of the Tariff. The Network Customer's Network Load shall include all load served by it within the NEPOOL

  Control Area and any load outside the NEPOOL

  Control Area served by the output of any Network Resources designated by the Network Customer.

A Network Customer may elect to designate less than its total load as Network Load but may not designate only part of the load at a discrete Point of Delivery. Where a Eligible Customer has elected not to designate a particular load at discrete points of delivery as Network Load, the Eligible

Customer is responsible for making separate arrangements under Part II of the Tariff for any Point-To-Point

Transmission Service that may be necessary for such non-designated load.

- 1.23 1.36 Network Operating Agreement: An executed agreement that contains the terms and conditions under which, in the form of Attachment H or any other form that is mutually agreed to, the Network Customer shall operate its facilities and the technical and operational matters associated with the implementation of Network Integration Transmission Service under Part III VI of the this Tariff.
  - 1.24 1.37 Network Operating Committee: A group made up of representatives from the Network Customer(s) and the Transmission Provider System Operator established to coordinate operating criteria and other technical considerations required for implementation of Network Integration Transmission Service under Part III VI of this Tariff.
  - 1.25 1.38 Network Resource: Any designated generating resource owned or purchased by a Network Customer under the Network Integration Transmission Service

- Tariff. Network Resources do not include any resource, or any portion thereof, that is committed for sale to third parties or otherwise cannot be called upon to meet the Network Customer's Network Load on a non-interruptible basis.
- 1.26 1.39 Network Upgrades: Modifications or additions to transmission-related facilities that are integrated with and support the Transmission Provider's overall NEPOOL Transmission System for the general benefit of all users of such Transmission System.
- Point-To to-Point Transmission Service:

  Point-To to-Point Transmission Service under the Tariff that is reserved and scheduled on an as available basis and this Tariff that is subject to Curtailment or Interruption as set forth in Section 14.7 under Part II of this Tariff. Non Firm Point-To-Point Transmission Service is available on a stand-alone basis for periods ranging from one hour to one month. under the circumstances specified in Section 28.7 of this Tariff.
- 1.28 1.41 Non-Participant: Any entity that is not a Participant.

- 1.42 Non-PTF: The transmission facilities owned by the
   Participants and their Related Persons that do not
   constitute PTF.
- 1.29 Part I: Tariff Definitions and Common Service Provisions contained in Sections 2 through 12.
- 1.30 Part II: Tariff Sections 13 through 27 pertaining to PointTo-Point Transmission Service in conjunction with the applicable
  Common Service Provisions of Part I and appropriate Schedules and
  Attachments.
- 1.31 Part III: Tariff Sections 28 through 35 pertaining to Network

  Integration Transmission Service in conjunction with the

  applicable Common Service Provisions of Part I and appropriate

  Schedules and Attachments.
- 1.32 Parties: The Transmission Provider and the Transmission

  Customer receiving service under the Tariff.
- 1.33 1.44 Operating Reserve 10-Minute Non-Spinning Reserve

  Service: This service is the form of Ancillary Service

described in Schedule 6.

- 1.45 Operating Reserve 10-Minute Spinning Reserve Service:

  This service is the form of Ancillary Service described in Schedule 5.
- 1.46 Operating Reserve 30-Minute Reserve Service: This service is the form of Ancillary Service described in Schedule 7.
- 1.47 Participant: Participant has the meaning specified in the Agreement.
- 1.48 Participant RNS Rate: The rate applicable to Regional

  Network Service to effect a delivery to Load in a

  particular Local Network, as determined in accordance

  with Schedule 9 to this Tariff.
- 1.49 Point(s) of Delivery: Point(s) on the Transmission

  Provider's Transmission System where capacity and and/or energy transmitted by the Transmission Provider

  Participants will be made available to the Receiving Party under Part II of the this Tariff. The Point(s) of Delivery shall be specified in the Service Agreement, if applicable.
- 1.34 1.50 Point(s) of Receipt: Point(s) of interconnection

  on the Transmission Provider's Transmission System

where capacity and energy where capacity and/or energy to be transmitted by the Participants will be made available to the Transmission Provider

NEPOOL by the Delivering Party under Part II of the this Tariff. The Point(s) of Receipt shall be specified in the Service Agreement, if applicable.

- 1.35 1.51 Point-To-Point Transmission Service: The reservation and transmission of capacity and and/or energy on either a firm or non-firm basis from the Point(s) of Receipt to the Point(s) of Delivery under Part II of the Tariff. this Tariff.
- 1.36 1.52 Pool PTF Rate: The transmission rate determined in accordance with Schedule 8 to this Tariff.
- 1.53 Pool RNS Rate: The transmission rate determined in
   accordance with paragraph (2)of Schedule 9 to this
   Tariff.
- 1.54 Power Purchaser: The entity that is purchasing the capacity and and/or energy to be transmitted under the Tariff.
- 1.55 PTF or Pool Transmission Facilities: The (i)
  transmission facilities owned by the Participants and
  their Related Persons which constitute PTF pursuant to

- the Agreement, and (ii) the static var compensator installed at Chester, Maine at the request of the Participants.
- Participant determined in accordance with Schedule 9 to
  this Tariff.
- 1.57 Reactive Supply and Voltage Control From Generation

  Sources Service: This service is the form of Ancillary

  Service described in Schedule 2.
- 1.58 1.37 Receiving Party: The entity receiving the capacity

  and and/or energy transmitted by the Transmission

  Provider to Point(s) of Delivery under this Tariff.
- 1.59 Regional Network Service: The transmission service described in Section 14 of this Tariff.
- 1.60 Regulation and Frequency Response Service: This service
  is the form of Ancillary Service described in Schedule
  3.
- 1.61-
- 1.38 Regional Transmission Group (RTG): A voluntary organization of transmission owners, transmission users and other entities approved by the Commission to efficiently coordinate transmission planning (and expansion), operation and use on a regional (and

#### interregional) basis.

- that the Transmission Provider agrees to transmit for is committed to the Transmission Customer for transmission over the Transmission Provider's NEPOOL Transmission System between the Point(s) of Receipt and the Point(s) of Delivery under Part II V of the this Tariff. Reserved Capacity shall be expressed in terms of whole megawatts kilowatts on a sixty (60) minute interval (commencing on the clock hour) basis.
  - 1.62 Scheduling, System Control and Dispatch Service: This service is the form of Ancillary Service described in Schedule 1.
  - 1.63 1.40 Service Agreement: The initial agreement and any attachments, amendments or supplements thereto entered into, upon completion of any required System Impact Study and Facilities Study, by the Transmission Customer and the Transmission Provider System Operator on behalf of the NEPOOL Participants, for service under the this Tariff.
  - 1.41 1.64 Service Commencement Date: The date the

    Transmission Provider begins to provide service

    service is to begin pursuant to the terms of an

executed Service Agreement, or the date the

Transmission Provider begins to provide service

service begins in accordance with Section 15.3 or

Section 29.1 under the Tariff. 29.3 or Section 41.1

under this Tariff, or in the case of Regional

Network Service which is not required to be

furnished under a Service Agreement pursuant to

Section 17 of this Tariff, the date service

actually commences.

- 1.42 1.65 Short-Term Firm Point-To-Point Transmission

  Service: Firm Point-To-Point Transmission Service under Part ## V of the this Tariff with a term of less than one year.
- 1.43 System Impact Study: An assessment by the Transmission

  Provider of (i) the adequacy of the Transmission System to

  accommodate a request for either Firm Point-To-Point

  Transmission Service or Network Integration Transmission

  Service and (ii) whether any additional costs may be incurred in order to provide transmission service.
- 1.44 Third-Party Sale: Any sale for resale in interstate commerce
  to a Power Purchaser that is not designated as part of Network
  Load under the Network Integration Transmission Service.

1.45 Transmission Customer: Any Eligible Customer (or its

Designated Agent) that (i) executes a Service Agreement, or (ii)

requests in writing that the Transmission Provider file with the

Commission, a proposed unexecuted Service Agreement to receive

transmission service under Part II of the Tariff. This term is

used in the Part I Common Service Provisions to include customers

receiving transmission service under Part II and Part III of this

Tariff.

1.46 Transmission Provider: The public utility (or its Designated Agent) that owns, controls, or operates facilities used for the transmission of electric energy in interstate commerce and provides transmission service under the Tariff.

1.47 Transmission Provider's Monthly Transmission System Peak: The maximum firm usage of the Transmission Provider's Transmission System in a calendar month.

1.48 Transmission Service: Point-To-Point Transmission Service

provided under Part II of the Tariff on a firm and non-firm basis.

1.49 Transmission System: The facilities owned, controlled or operated by the Transmission Provider that are used to provide transmission service under Part II and Part III of the Tariff.

2 1.66 System Impact Study: An assessment pursuant to Part II,

V or VI of this Tariff of (i) the adequacy of the NEPOOL

Transmission System to accommodate a request for a new
interconnection or new Regional Network Service, Through
or Out Service, or Network Integration Transmission

Service and (ii) whether any additional costs may be
required to be incurred in order to provide the
transmission service.

- provided for in the Agreement which has responsibility
   for the operation of the NEPOOL Control Area from the
   control center and the administration of this Tariff.
   The System Operator shall be the ISO after it has been
   activated and is prepared to assume responsibility for
   the NEPOOL Control Area and control center.
- 1.68 Tariff: This NEPOOL Open Access Transmission Tariff and accompanying schedules and attachments, as modified and amended from time to time.
- 1.70 Through or Out Service: Transmission service provided

by NEPOOL with respect to a transaction which requires
the use of PTF and which goes through the NEPOOL Control
Area, as, for example, from New Brunswick to New York,
or from one point on the NEPOOL Control Area boundary
with New York to another point on the Control Area
boundary with New York, or with respect to a transaction
which goes out of the NEPOOL Control Area from a point
in the NEPOOL Control Area, as, for example, from Boston
to New York.

- 1.71 Tie Benefit: Tie Benefit has the meaning specified in Section 21.
- NEPOOL with respect to the receipt by a Participant of a
  Tie Benefit, for Installed Capability Responsibility or
  other purposes, provided by the existing transmission
  ties between the NEPOOL Control Area, on the one hand,
  and New York or New Brunswick, on the other hand. The
  amount in Kilowatts of Tie Benefit Service received by a
  Participant at any time shall be the firm load
  equivalent of the amount of the reduction in its
  Installed Capability Responsibility obligation because
  of its receipt of the Tie Benefit Service.

- 1.73 Transition Period: The five-year period commencing on the date on which this Tariff becomes effective.
- is a Participant which is not required to sign a Service

  Agreement with respect to a service to be furnished to

  it in accordance with Section 17, or (ii) executes, on

  its own behalf or through its Designated Agent, a

  Service Agreement, or (iii) requests in writing, on its

  own behalf or through its Designated Agent, that NEPOOL

  file with the Commission, a proposed unexecuted Service

  Agreement in order that the Eligible Customer may

  receive transmission service under Parts V or VI of this

  Tariff or (iv) is otherwise obligated to pay charges

  under the Tariff. This term is used in Part I to

  include customers receiving transmission service under

  this Tariff.
- 1.75 Transmission Provider: The Participants collectively
   with respect to this Tariff, or an individual
   Participant with respect to service under its individual
   tariff or other arrangement.
- 1.76 Year: A period of 365 or 366 days, whichever is appropriate, commencing on, or on the anniversary of,

the effective date of this Tariff. Year One is the Year commencing on the effective date, and Years Two and higher follow it in sequence.

## 2 Purpose of This Tariff

This Tariff, together with the transmission provisions in Part Four of the Agreement, is intended to provide a regional arrangement which will cover new uses of the NEPOOL Transmission System. The arrangement is designed and shall be operated in such a manner as to encourage and promote competition in the electric market to the benefit of ultimate users of electric energy. New uses of transmission facilities which require the use of a single Participant Local Network will continue to be provided in part under that Participant's filed tariff as Local Network Service. Any new regional use of the NEPOOL Transmission System must be obtained from NEPOOL pursuant to this Tariff and not from individual Participants. All new transmission service under this Tariff will be provided as Regional Network Service pursuant to Part II of this Tariff at rates which will be paid by Participants and Non-Participants on the basis of their loads, subject to exceptions only for (i) Tie Benefit Service and (ii) Through or Out Service which will be

specified therein, and subject also to a requirement that

Transition Payments be made in accordance with Part IV of
this Tariff. Ancillary Services will be supplied in
accordance with Section 4 of this Tariff.

Tie Benefit Service and a five-year transitional arrangement,
which is described in Part IV of this Tariff, and continuing
service for Excepted Transactions, have been negotiated to
phase in the financial impacts of the change from the
historic regime in which uses of the NEPOOL Transmission

System had to be obtained and paid for under the individual
tariffs of the Participants to a regime in which the service
will be obtained from the Participants through NEPOOL at a
rate which will not vary with distance and which covers all
possible regional uses of the NEPOOL Transmission System.

This Tariff is intended to provide for comparable, non-

provided pursuant to Part III of this Tariff at the rates

Participants that are transmission users, and it shall be construed in the manner which best achieves this objective.

This Tariff, and the provisions of Part Four of the Agreement, provide for a two-tier transmission arrangement

discriminatory treatment of all similarly situated

Transmission Providers and all Participants and Non-

<del>2.1</del> 3.1

integrating regional service which is provided under this

Tariff, and Local Network Service and, for limited purposes,

Local Point-to-Point Service which are provided under the

Participants' individual system tariffs.

## 3 Initial Allocation and Renewal Procedures

Initial Allocation of Available Transmission Capability: For purposes of determining whether existing capability on the Transmission Provider's NEPOOL Transmission System is adequate to accommodate a request for firm service new Through or Out Service under Part V of this Tariff, all Completed Applications for new firm transmission service received during the initial sixty (60) day period commencing with the effective date of the Tariff-day period of the Transition Period will be deemed to have been filed simultaneously. A lottery system conducted by an independent party accounting firm shall be used to assign priorities for Completed Applications filed simultaneously. All Completed Applications for firm transmission service Through or Out Service received after the initial sixty(60)-day period shall be assigned a

priority pursuant to Section 13.2 27.2.

<del>2.2</del> 3.2 Reservation Priority For Existing Firm Service Existing firm service customers Customers: receiving service with respect to Excepted Transactions and any other existing firm service customers of the Participants (wholesale requirements customers and transmission-only, customers) with a contract term of one -year or more), have the right to continue to take transmission service from the Transmission Provider when the contract expires, rolls over or is renewed at the same or a reduced level under this Tariff at the time when the existing contract terminates during or after the Transition Period. transmission reservation priority is independent of whether the existing customer continues to purchase capacity and energy from the Transmission Provider its existing supplier or elects to purchase capacity and energy from another supplier. If, at the end of the contract term, the Transmission Provider's NEPOOL Transmission System cannot

accommodate all of the requests for transmission

service, the existing firm service customer must agree to accept a contract term at least equal to a competing request by any new Eligible Customer and to pay the current just and reasonable rate, as approved by filed with the Commission, for such service. This transmission reservation priority for existing firm service customers is an ongoing right that may be exercised at the end of all firm contract terms of one-year or longer. as to any firm contract with a term of one year or longer by filing an Application in accordance with this Tariff at least sixty days in advance of the first day of the calendar month in which the existing contract term is to terminate.

# 3 4 Ancillary Services

Ancillary Services are needed with transmission service to maintain reliability within and among the Control Areas affected by the transmission service. The Transmission

Provider is the NEPOOL Control Area. The Participants are required to provide (or offer to arrange with the local Control Area operator as discussed below) through NEPOOL, and the Transmission Customer is required to purchase, the

following Ancillary Services (i) from NEPOOL, Scheduling,

System Control and Dispatch Service, and (ii) Reactive Supply

and Voltage Control from Generation Sources Service.

The Participants offer to provide or arrange for, through

NEPOOL, -

The Transmission Provider is required to offer to provide (or offer to arrange with the local Control Area operator as discussed below) the following Ancillary Services, but only to the a Transmission Customer serving load within the Transmission Provider's NEPOOL Control Area (i) Regulation and Frequency Response (Automatic Generator Control), (ii) Energy Imbalance, (iii) Operating Reserve - 10-Minute Spinning, and (iv) Operating Reserve - Supplemental. The 10-Minute Non-Spinning and (v) Operating Reserve - 30-Minute. A Participant or other Transmission Customer serving load within the Transmission Provider's NEPOOL Control Area is required to acquire provide these Ancillary Services, whether from the Transmission Provider System Operator, from a third party, or by self-supply. Transmission Customer may not decline the Transmission Provider's NEPOOL's offer of these Ancillary Services unless it the Transmission Customer demonstrates that it to the System Operator that the Transmission Customer has acquired the Ancillary Services

of equal quality from another source. The Transmission Customer that is not a Participant must list in its Application which Ancillary Services it will purchase from the Transmission Provider. through NEPOOL.

If the Transmission Provider is a public utility providing transmission service but is not a Control Area operator, it may be unable to provide some or all of the Ancillary Services. In this case, the Transmission Provider can fulfill its obligation to provide Ancillary Services by acting as the Transmission Customer's agent to secure these Ancillary Services from the Control Area operator. The Transmission Customer may elect to (i)have the Transmission Provider act as its agent, (ii) secure the Ancillary Services directly from the Control Area operator, or (iii) secure the Ancillary Services (discussed in Schedules 3, 4, 5 and 6) from a third party or by self supply when technically feasible.

The Transmission Provider shall specify the rate treatment and all related terms and conditions in In the event of an unauthorized use of any Ancillary Services Service by the Transmission Customer, the Transmission Customer will be required to pay 150% of the charge which would otherwise be applicable.

The specific Ancillary Services, prices and/or compensation

methods are described on the Schedules that are attached to and made a part of the Tariff. If the Transmission Provider offers an affiliate a rate discount, or attributes a discounted Ancillary Service rate to its own transactions, the Transmission Provider must offer at the same time this Tariff. If a rate discount is offered or attributed to a Participant or to a Related Person of a Participant, the same discounted Ancillary Service rate will be offered to all Eligible Customers. Information regarding any discounted Ancillary Service rates must will be posted on the OASIS pursuant to Part 37 18 C.F.R. §37 of the Commission's regulations. In addition, discounts to non-affiliates must will be offered in a not unduly discriminatory manner. Sections 3.1 4.1 through 3.6 4.7 below list the six seven Ancillary Services.

- 3.1 4.1 Scheduling, System Control and Dispatch Service:

  The rates and/or methodology are described in

  Schedule 1.
- 3.2 4.2 Reactive Supply and Voltage Control from Generation

  Sources Service: The rates and/or methodology are

  described in Schedule 2.
- 3.3 4.3 Regulation and Frequency Response Service: Where

- applicable, the rates and/or methodology are described in Schedule 3.
- 3.4 4.4 Energy Imbalance Service: Where applicable, the rates and/or methodology are described in Schedule 4.
- 3.5 4.5 Operating Reserve 10 Minute Spinning Reserve

  Service: Where applicable, the rates and/or

  methodology for this service are described in

  Schedule 5.
- 3.6 4.6 Operating Reserve Supplemental 10 Minute NonSpinning Reserve Service: Where applicable, the rates and/or methodology for this service are described in Schedule 6.
- 4.7 Operating Reserve 30 Minute Reserve Service: Where applicable, the rates and/or methodology for this service are described in Schedule 7.
- 5 4 Open Access Same-Time Information System (OASIS)

Terms and conditions regarding the NEPOOL Open Access SameTime Information System and standards of conduct are set
forth in 18 CFR § 37 C.F.R. §37 of the Commission's
regulations (Open Access Same-Time Information System and
Standards of Conduct for Public Utilities). In the event

available transmission capability, as posted on the OASIS, is insufficient to accommodate a request for firm transmission service, additional studies may be required as provided by this Tariff pursuant to Sections 19 33 and 32 44.

5 6 Local Furnishing Bonds and Other Tax-Exempt Bonds

#### 5.1 Transmission Providers

### 6.1 Participants That Own Facilities Financed by Local

Furnishing or Other Tax-Exempt Bonds: This provision is applicable only to Transmission Providers Participants that have financed facilities for the local furnishing of electric energy with tax-exempt bonds, as described in Section 142(f) of the Internal Revenue Code ("local furnishing bonds") or other tax-exempt bonds, as described in Section 103(b) of the Internal Revenue Code ("other tax-exempt bonds"). Notwithstanding any other provision of this Tariff, the Transmission Provider a Participant shall not be required to provide Transmission Service service to any Eligible Customer pursuant to this Tariff if the provision of such Transmission Service transmission service would jeopardize the tax-exempt status of any local furnishing bond(s) or other tax-exempt bonds used to finance the

Transmission Provider's <u>Participant's</u> facilities that would be used in providing such Transmission Service.

- 5.2 6.2 Alternative Procedures for Requesting Transmission

  Service Local Furnishing Bonds:÷
  - (i) If the Transmission Provider
  - (i) If a Participant determines that the provision of transmission service requested by an Eligible

    Customer to be provided under this Tariff would jeopardize the tax-exempt status of any local furnishing bond(s) used to finance its the

    Participant's facilities that would be used in providing such transmission service, it shall advise the Eligible Customer the Management

    Committee shall be advised within thirty (30) days of receipt of the a Completed Application by an Eligible Customer requesting such service, or the date on which this Tariff becomes effective, whichever is applicable.
    - (ii) If an.

(ii) If the Eligible Customer thereafter renews its request for the same transmission service referred to in (i) by tendering an application under Section 211 of the Federal Power Act, the

Transmission Provider, within ten (10) or the Management Committee tenders such an application requesting that service be provided under this Tariff, the Participant, within ten days of receiving a copy of the Section 211 application, will waive its rights to receive a request for service under Section 213(a) of the Federal Power Act and to the issuance of a proposed order under Section 212(c) of the Federal Power Act and shall will provide the requested transmission service in accordance with the terms and conditions of this Tariff.

# 6.3 Alternative Procedures for Requesting Transmission Service - Other Tax-Exempt Bonds:

If a Participant determines that the provision of transmission service to be provided under the Tariff would jeopardize the tax-exempt status of any other tax-exempt bonds used to finance the Participant's facilities that would be used in furnishing such transmission service, it shall notify the Management Committee within thirty days of the date on which this Tariff becomes effective, and shall elect in its notice either to comply with the procedure specified in Section 6.2(ii) or to make its facilities unavailable under the Tariff and thereby waive its right to share in the

distribution of revenues received under the Tariff

derived from such facilities. Any such election may be changed at any time.

## 7 6 Reciprocity

A Transmission Customer receiving transmission service under this Tariff, whether a Participant or a Non-Participant, agrees to provide comparable transmission service to the Transmission Provider Participants on similar terms and conditions over facilities used for the transmission of electric energy in Canada or used for such transmission in the United States in interstate commerce and that are owned, controlled or operated by, or on behalf of the Transmission Customer and over facilities used for the transmission of electric energy in interstate commerce owned, controlled or operated by or its Related Person. Transmission of power on the Transmission Customer's corporate affiliates. A Transmission Customer that is a member of a power pool or Regional Transmission Group also agrees to provide comparable transmission service to the members of such power pool and Regional Transmission Group on similar terms and conditions over facilities used for the transmission of electric energy in interstate commerce owned, controlled or operated by

system to the border of the NEPOOL Control Area and transfer of ownership at that point shall not satisfy, or relieve the Transmission Customer and over facilities used for the transmission of electric energy in interstate commerce owned, controlled or operated by the Transmission Customer's corporate affiliates of, the obligation to provide reciprocal service. This reciprocity requirement also applies to any Eligible Transmission Customer that owns, controls or operates transmission facilities that uses an intermediary, such as a power marketer, to request transmission service under the this Tariff. If the Transmission Customer does not own, control or operate transmission facilities, it the Transmission Customer must include in its Application a sworn statement of one of its duly authorized officers or other representatives that the purpose of its Application is not to assist an Eligible Customer to avoid the requirements of this provision.

#### 7 Billing and Payment 8 Billing and Payment; Accounting

7.1 8.1 Participant Billing Procedure: Billings to

Participants for services received under this

Tariff shall be made in accordance with the billing

procedures established pursuant to the Agreement.

8.2 Non-Participant Billing Procedure: Within a reasonable time after the first day of each month, the <a href="System">System</a>
Operator will submit on behalf of the Participants

#### Transmission Provider

shall submit— an invoice to the each Non-Participant Transmission Customer for the charges for all services furnished under this the Tariff during the preceding month. The invoice shall be paid by the Non-Participant Transmission Customer to the System Operator for NEPOOL within ten within twenty (20) days of receipt. All payments shall be made, in accordance with the procedure specified by the System Operator, in immediately available funds payable to the System Operator Transmission Provider, or by wire transfer to a bank named by the account designated by the System Operator.

#### Transmission Provider.

7.2 8.3 Interest on Unpaid Balances: Interest on any unpaid amounts (including amounts placed in escrow) shall will be calculated in accordance with the methodology specified for interest on refunds in 18 C.F.R.

§35.19a(a)(2)(iii) of the Commission's regulations the Commission's regulations

at 18 C.F.R. § 35.19a(a)(2)(iii). Interest on delinquent amounts

shall will be calculated from the due date of the bill to the date of payment. When payments are made by mail, bills shall will be considered as having been paid on the date of receipt by the Transmission of payment by the System Operator or by the bank designated by the System Operator.

#### Provider.

<del>7.3</del> 8.4 Customer Default: In the event the a Transmission Customer which is a Participant fails to perform its obligations under the Tariff, Section 21.2 of the Agreement shall be applicable to the failure. In the event a Non-Participant Transmission Customer fails, for any reason other than a billing dispute as described below, to make payment to the Transmission Provider System Operator on or before the due date as described above, and such failure of payment is not corrected within thirty (30) calendar days after the Transmission Provider System Operator notifies the Transmission Customer to cure such failure, or if the Transmission Customer violates any provision of its Service Agreement, a default by the Transmission Customer shall will be deemed to exist. Upon the occurrence of a default, the Transmission Provider NEPOOL may initiate a proceeding with the Commission to terminate service but shall not terminate service until the Commission so approves any such request termination. In the event of a billing dispute between the Transmission Provider NEPOOL and the Transmission Customer, the Transmission Provider service will continue to provide service be provided under the Service Agreement as long as the Transmission Customer (i) continues to make all payments not in dispute, and (ii) pays into an independent escrow account the portion of the invoice in dispute, pending resolution of such dispute. If the Transmission Customer fails to meet these two requirements for continuation of service, then the Transmission Provider System Operation may provide notice to the Transmission Customer of its NEPOOL's intention to suspend service in sixty (60) days, in accordance with Commission policy. applicable Commission rules and regulations, and may proceed with such suspension.

# 8 Accounting for the Transmission Provider's Use of the Tariff

The 8.5 Study Costs and Revenues: A Participant which is a

Transmission Provider shall (i) include record the

#### following amounts, as outlined below.

8.1 Transmission Revenues: Include in a separate operating revenue account or subaccount the revenues, if any, it receives from Transmission Service transmission service when making Third-Party Sales under this Tariff, and (ii) include Part II of the Tariff.

8.2 Study Costs and Revenues: Include in a separate transmission operating expense account or subaccount, costs properly chargeable to expense that are incurred to perform any System Impact Studies or Facilities Studies which the Transmission Provider conducts to determine if it must construct new transmission facilities or upgrades necessary for its own uses, including making Third-Party Sales, if any, under the this Tariff; and include in a separate operating revenue account or subaccount the revenues received for System Impact Studies or Facilities Studies performed when such amounts are separately stated and identified in the Transmission Customer's a billing under the Tariff.

# 9 Regulatory Filings

Nothing contained in the this Tariff or any Service Agreement shall be construed as affecting in any way the right of the Transmission Provider to unilaterally make application to the Commission for a change in Participants to file with the Commission under Section 205 of the Federal Power Act and

pursuant to the Commission's rules and regulations

promulgated thereunder for a change in any rates, terms and conditions, charges, classification of service, Service

Agreement, rule or regulation under Section 205 of the Federal Power Act and pursuant to the Commission's rules and regulations promulgated thereunder.

Nothing contained in the this Tariff or any Service Agreement shall be construed as affecting in any way the ability of any Party Transmission Customer receiving service under the this Tariff or for an Excepted Transaction to exercise its rights under the Federal Power Act and pursuant to the Commission's rules and regulations promulgated thereunder.

# 10 Force Majeure and Indemnification

of God, labor disturbance, act of the public enemy, war, insurrection, riot, fire, storm or flood, explosion, breakage or accident to machinery or equipment not due to lack of proper care or maintenance, any Curtailment, order, regulation or restriction imposed by a court or governmental military or lawfully established civilian authorities, or any other cause beyond a Party's party's control. Neither the Transmission Provider

Participants, NEPOOL, the System Operator nor the
Transmission Customer will be considered in default as
to any obligation under this Tariff if prevented from
fulfilling the obligation due to an event of Force
Majeure. However, a Party whose performance under this
Tariff is hindered by an; provided that no event of
Force Majeure shall excuse any payment obligation
hereunder or under a Service Agreement. However, an
entity whose performance under this Tariff is hindered
by an event of Force Majeure shall make all reasonable
efforts to perform its obligations under this Tariff,
and shall promptly notify the System Operator or the
Transmission Customer, whichever is appropriate, of the
commencement and end of each event of Force Majeure.

10.2 Indemnification: The Transmission Customer shall at all times indemnify, defend, and save the Transmission
Provider harmless from, harmless the System Operator,
NEPOOL and each Participant from any and all damages,
losses, claims, including claims and actions relating to injury to or death of any person or damage to property,
demands, suits, recoveries, costs and expenses, court
costs, attorney fees, and all other obligations by or to

third parties, arising out of or resulting from the Transmission Provider's performance of its performance by the System Operator, NEPOOL or any Participant of their obligations under this Tariff on behalf of the Transmission Customer, except in cases of negligence or intentional wrongdoing by the Transmission Provider.

System Operator, NEPOOL or a Participant, as the case may be.

#### 11 Creditworthiness

Transmission Customer which is a Non-Participant to meet its obligations related to service hereunder, the Transmission

Provider NEPOOL may require reasonable credit review procedures. This review shall be made in accordance with standard commercial practices. In addition, the Transmission Provider NEPOOL may require the Transmission Customer to provide and maintain in effect during the term of the Service Agreement, an unconditional and irrevocable letter of credit as security to meet its responsibilities and obligations under the this Tariff, or an alternative form of security proposed by the Transmission Customer and acceptable to the Transmission Provider NEPOOL and consistent with commercial

practices established by the Uniform Commercial Code that protects the Transmission Provider Participants against the risk of non-payment.

# 12 Dispute Resolution Procedures

12.1 Internal Dispute Resolution Procedures: Any dispute between a Transmission Customer and the Transmission Provider involving Transmission Service under the Tariff (excluding applications for rate changes or other changes to the Tariff, or to any Service Agreement entered into under the Tariff, which shall be presented directly to the Commission for resolution) shall be referred to a designated senior representative of the Transmission Provider and a senior representative of the Transmission Customer for resolution on an informal basis as promptly as practicable. In the event the designated representatives are unable to resolve the dispute within thirty (30) days [or such other period as the Parties may agree upon] by mutual agreement, such dispute may be submitted to arbitration and resolved in accordance with the arbitration procedures set forth below.

12.2 External Arbitration Procedures: Any arbitration initiated

under the Tariff shall be conducted before a single neutral arbitrator appointed by the Parties. If the Parties fail to agree upon a single arbitrator within ten (10) days of the referral of the dispute to arbitration, each Party shall choose one arbitrator who shall sit on a three member arbitration panel. The two arbitrators so chosen shall within twenty (20) days select a third arbitrator to chair the arbitration panel. In either case, the arbitrators shall be knowledgeable in electric utility matters, including electric transmission and bulk power issues, and shall not have any current or past substantial business or financial relationships with any party to the arbitration (except prior arbitration). The arbitrator(s) shall provide each of the Parties an opportunity to be heard and, except as otherwise provided herein, shall generally conduct the arbitration in accordance with the Commercial Arbitration Rules of the American Arbitration Association and any applicable Commission regulations or Regional Transmission Group rules.

12.3 Arbitration Decisions: Unless otherwise agreed, the arbitrator(s) shall render a decision within ninety (90) days of appointment and shall notify the Parties in writing of such decision and the reasons therefor. The arbitrator(s) shall be authorized only to interpret and apply the provisions of the

Tariff and any Service Agreement entered into under the Tariff and shall have no power to modify or change any of the above in any manner. The decision of the arbitrator(s) shall be final and binding upon the Parties, and judgment on the award may be entered in any court having jurisdiction. The decision of the arbitrator(s) may be appealed solely on the grounds that the conduct of the arbitrator(s), or the decision itself, violated the standards set forth in the Federal Arbitration Act and/or the Administrative Dispute Resolution Act. The final decision of the arbitrator must also be filed with the Commission if it affects jurisdictional rates, terms and conditions of service or facilities.

- 12.4 Costs: Each Party shall be responsible for its own costs incurred during the arbitration process and for the following costs, if applicable:
- (A) the cost of the arbitrator chosen by the Party to sit on the three member panel and one half of the cost of the third arbitrator chosen; or
- (B) one half the cost of the single arbitrator jointly chosen by the Parties.
- 12.5 Rights Under The Federal Power Act: Nothing in this section shall restrict the rights of any party to file a Complaint with

the Commission under relevant provisions of the Federal Power Act.

II. which is a Participant and NEPOOL involving transmission service under the Tariff may be submitted to mediation and/or arbitration and resolved in accordance with the alternate dispute resolution procedures set forth in Section 21.1 of the Agreement. Any dispute between a Non-Participant Transmission Customer and NEPOOL involving transmission service under this Tariff (excluding applications for rate changes or other changes to this Tariff, or to any Service Agreement entered into under this Tariff, which shall be presented directly to the Commission for resolution) shall be referred to a designated senior representative of the Transmission Customer and a representative of the Management Committee for resolution on an informal basis as promptly as practicable. In the event the designated representatives are unable to resolve the dispute within thirty days or such other period as the parties may fix by mutual agreement, such dispute may be submitted to mediation and/or arbitration and resolved in accordance with the alternate dispute resolution procedures set forth in Section 21.1 of the Agreement.

12.2 Rights Under The Federal Power Act: Nothing in this
section shall restrict the rights of any party to file a
complaint with the Commission, or seek any other

available remedy, under relevant provisions of the Federal Power Act.

#### 13 Stranded Costs

13.1 General: This Tariff shall not be used to evade or enhance in whole or in part the stranded cost policies or charges established by the regulatory commission with jurisdiction. A retail end-use or wholesale customer that uses this Tariff to purchase electricity from a new supplier shall pay a stranded cost charge for access to service under this Tariff as specifically authorized by the regulatory commission with jurisdiction and subject to any contract terms concerning the recovery of stranded costs. Upon determination by a regulatory commission that an Eligible Customer has a stranded cost obligation, and the nature and extent of such obligation, the charge may be collected under this Tariff, or a Participant's individual tariff or otherwise by NEPOOL or the Participants which own any facilities that are used to provide transmission service to the customer, provided, however, that the amount of any such stranded cost obligation, as determined by the appropriate regulatory commission, cannot be collected

twice. The entity that collects the stranded cost charge shall pay the proceeds to the customer's former supplier or the former supplier's Related Person, or successor, as directed by the former supplier. The amount of the stranded cost charge shall equal the amount of stranded cost that the customer would have paid under the policies or charges established by the regulatory commission with jurisdiction had the customer become an unbundled transmission services customer of its former supplier without using service under this Tariff.

- recover stranded costs from a Transmission Customer

  pursuant to this Tariff may do so in accordance with the

  terms, conditions and procedures in the Commission's

  Order No. 888. However, the Participant must separately

  file any specific proposed stranded cost charge under

  Section 205 of the Federal Power Act.
- 13.3 Wholesale Contracts: Nothing in this Section 13 is intended to affect or alter the rights or obligations of parties under wholesale requirements contracts.
- 13.4 Right to Seek or Contest Recovery Unimpaired: No

provision in this Tariff shall impair a Participant's right to seek stranded cost relief from the appropriate regulatory body or court or the right of any Participant or other entity to contest such relief.

#### II. REGIONAL NETWORK SERVICE

Regional Network Service will be provided by the Participants through NEPOOL during and after the Transition Period to

Participants and Non-Participants pursuant to the applicable terms and conditions of this Tariff. Local Network Service and Local Point-To-Point Service, to the extent required, will be provided during and after the Transition Period pursuant to the applicable terms and conditions of tariffs filed by an individual Participant that is a Transmission

Provider and/or pursuant to an agreement between a Participant that is a Transmission Provider and a

Transmission Customer. This Tariff does not prescribe the methodology to be used by the individual Participant in developing its Local Network Service rate or its Local Point-to-Point Service rate, but the Agreement prescribes certain requirements with respect thereto.

#### 14 Nature of Regional Network Service

Except as provided below, Regional Network Service is any new

use of the NEPOOL Transmission System which requires the use of PTF, other than Through or Out Service, and includes, but is not limited to, Point-To-Point Transmission Service for the transmission of Unit Contract Entitlements or System Contracts, Network Integration Transmission Service, the transmission of Ancillary Services whether provided under a bilateral contract or through NEPOOL Interchange Transactions, and the transmission of energy and capacity-related services provided through NEPOOL Interchange Transactions; provided, however, that for the ten-year period commencing on the date on which this Tariff becomes effective, Tie Benefit Service shall be provided pursuant to Section 21 and shall not constitute Regional Network Service.

#### 15 Availability of Regional Network Service

Service shall be provided by the Participants through

NEPOOL, and shall be available to each Participant and
to each Non-Participant that qualifies as an Eligible

Customer.

# 15.2 Eligibility to Receive Regional Network Service:

Notwithstanding the purchase of any other service provided under the Tariff, Regional Network Service

shall be taken and paid for by (i) each Participant
which has a load within the NEPOOL Control Area, and
(ii) each Non-Participant which is an Eligible Customer
and has a load within the NEPOOL Control Area unless
such Non-Participant operates its own Control Area.

Participants and Non-Participants which are required to
take and pay for Regional Network Service must also take
Local Network Service except as otherwise provided in
Section 25. Participants or Non-Participant Eligible
Customers which require the use of the NEPOOL
Transmission System or a Local Network for Regional
Network Service but which do not have a load shall also
be entitled to receive Regional Network Service for
deliveries within the NEPOOL Control Area and Local
Network Service.

When a constrained interface within the NEPOOL Control

Area occurs, then existing Firm Transmission Services

will continue to have priority over the interface.

Where Curtailments or Interruptions are required, or

resources must be redispatched this shall be effected in

accordance with the applicable procedures and with the

priorities and consequences specified in Parts V or VI

of this Tariff, whichever is applicable.

#### 16 Payment for Regional Network Service

Each Participant or Non-Participant which has a load in the NEPOOL Control Area and is required to take and pay for Regional Network Service shall pay to NEPOOL for each month an amount equal to its Monthly Network Load (as defined in Section 46.1 of this Tariff) for the month times the applicable Participant RNS Rate. The applicable Participant Rate shall be the rate, determined in accordance with Schedule 9, which is applicable to a delivery to load in the particular Local Network in which the load served by the Participants or Non-Participants is located. In the event the Participant or Non-Participant serves load located on more than one Local Network, the amount to be paid by it shall be separately computed for the load located on each Local Network. A Participant or Non-Participant using Regional Network Service which does not have a load in the NEPOOL Control Area shall not be obligated to make such payments.

#### 17 Procedure for Obtaining Regional Network Service

When Regional Network Service is used for service by a

Participant or Non-Participant under a bilateral contract,

across a constrained PTF interface within the NEPOOL Control Area, the service must be reserved with the System Operator and posted on the OASIS and the amount to be transferred shall be identified. The System Operator must be notified of all other service required for transfers by a Participant or Non-Participant under bilateral contracts. No other reservation of transmission capacity for Regional Network Service is required by a Participant or Non-Participant and no Service Agreement, in addition to the Agreement, is required to be entered into by a Participant in order to receive Regional Network Service, unless Direct Assignment Facilities or other facility additions or upgrades are required to provide a particular service requested by the Participant. Subject to the foregoing exceptions and to Section 26 of this Tariff, a Participant or Non-Participant which receives Regional Network Service shall be, subject to the applicable provisions of Parts V and VI of this Tariff. A Participant or Non-Participant which requests new Regional Network Service shall be obligated to enter into a System Impact Study Agreement in the form of Attachment I, or in any other form that is mutually agreed to, and to pay the costs of the study if its request is determined to require a System

Impact Study, and shall be obligated to enter into a

Facilities Study Agreement in the form of Attachment J, or in
any other form that is mutually agreed to, and to pay the
costs of the study if additions or upgrades to PTF will be
required in order to provide the requested service.

# III. THROUGH OR OUT SERVICE; TIE BENEFIT SERVICE

Through or Out Service and Tie Benefit Service will be provided during and after the Transition Period pursuant to the applicable terms and conditions of this Tariff.

#### 18 Through or Out Service

- 18.1 Provision of Through or Out Service: Through or Out

  Service shall be provided by the Participants through

  NEPOOL, and shall be available to any Participant and to

  any Non-Participant which is an Eligible Customer.
- Participant shall take Through or Out Service as Firm or

  Non-Firm Point-to-Point Transmission Service for the

  transmission of any Unit Contract Entitlement or System

  Contract transaction with respect to a transaction which

  requires the use of PTF if either (i) the transaction

  goes through the NEPOOL Control Area and the Point(s) of

  Receipt for NEPOOL are at one point on the NEPOOL

Control Area boundary and the Point(s) of Delivery for

NEPOOL are at another point on the NEPOOL Control Area

boundary, as, for example, from New Brunswick to New

York or from one point on the NEPOOL Control Area

boundary with New York to another point on the Control

Area boundary with New York, or (ii) the transaction

goes out of the NEPOOL Control Area and the Point(s) of

Receipt are within the NEPOOL Control Area and the

Point(s) of Delivery for NEPOOL are at a NEPOOL Control

Area boundary, as, for example, from Boston to New York.

# 19 Payment for Through or Out Service

Each Participant or Non-Participant which takes firm or nonfirm Through or Out Service shall pay to NEPOOL a charge per
Kilowatt of Reserved Capacity based on an annual rate (the "T
or O Rate") which shall be the higher of (i) the Pool PTF
Rate, or (ii) a rate derived from the annual incremental cost
of any new facilities required to provide the service. The
rate for firm Through or Out Service shall be as follows:

Per year - the T or O Rate

Per month - the T or O Rate divided by 12

Per week - the T or O Rate divided by 52

Per day - the T or O Rate "per week" divided by 5;

provided that the rate for 5 to 7
consecutive days may not exceed the "per"
week" rate.

The rate for non-firm Through or Out Service shall be as follows:

Per year - the T or O Rate

Per month - the T or O Rate divided by 12

Per week - the T or O Rate divided by 52

Per day - the T or O Rate "per week" divided by 7;

Per hour - the non-firm T or O Rate "per day"

divided by 24.

The Pool PTF Rate shall be the Rate determined annually in accordance with paragraph 2 of Schedule 8.

### 20 Reservation of Capacity for Through or Out Service

Compliance with the applicable requirements of Part V of this

Tariff is required for the initiation of Through or Out

Service.

#### 21 Tie Benefit Service

Each Participant which receives an identifiable and traceable benefit (a "Tie Benefit"), in the determination of its

Installed Capability Responsibility or otherwise, from the existing transmission ties between the NEPOOL Control Area,

on the one hand, and New York or New Brunswick on the other hand, shall be deemed to be a recipient of Tie Benefit

Service. In the event any of these existing transmission

ties is retired or ceases to be a tie to another Control Area or the Tie Benefit received from it is reduced, the amount of Tie Benefit Service which Participants are deemed to receive shall be modified to reflect the change.

#### 22 Payment for Tie Benefit Service

It has been agreed that, as part of the overall transition arrangements referred to in Part IV of this Tariff, each

Participant which is deemed to be a recipient of Tie Benefit

Service shall pay to NEPOOL each month for such service received by it during the ten-year period commencing on the effective date of this Tariff, an amount computed at the applicable rate specified in Schedule 10 times the number of Kilowatts of Tie Benefit Service it receives.

# The five-year Transition Period, and additional arrangements to be in effect during the succeeding five-year period, will permit the phase in on a negotiated basis of the Tariff rates with financial effects which are acceptable to Participants.

#### 23 Transition Arrangements

The transition arrangements include (i) the treatment provided for certain Excepted Transactions in Section 25, (ii) the rules provided in Sections 16.3 and 16.5 of the Agreement for the distribution and application of revenues received by NEPOOL on behalf of the Participants from the payment of the Tariff rates, (iii) the payments for Tie Benefits and (iv) the payment of Transition Payments.

#### 24 Transition Payments

A schedule of Transition Payments to be made by certain

Participants or Non-Participants, and distributed to other

Participants or Non-Participants, in each year of the

Transition Period is set out in Schedule 11. The amounts to

be paid and received in each year have been determined by

comparing certain transmission costs and revenues for each

year of the Transition Period for each Participant and

certain Non-Participants with the similar costs and revenues

for a base period; provided that only one-half of the

payments for Tie Benefit Service expected to be received by

the owners of the ties to New York and New Brunswick have

been included in calculating the Participant tie owner's

revenues for the Transition Period. One-twelfth of the

annual Transition Payments for a Participant or Non-

Participant required to make Transition Payments are to be made monthly and distributed monthly to those Participants or Non-Participants eligible to receive payments.

#### 25 Excepted Transactions

Notwithstanding any other section of the Tariff, the power transfers and other uses of the NEPOOL Transmission System effected under the transmission agreements in effect on November 1, 1996 specified below ("Excepted Transactions") will continue to be effected under such agreements for the respective periods specified below rather than under this Tariff, but not thereafter, and such transfers and other uses will continue to be effected after such period, if still occurring, under this Tariff. Participants receiving service under the agreements listed in Exhibit G-1 shall not be required to take Local Network Service for such transfers and other uses. The Excepted Transactions and the period for which each of the existing transmission agreements will be continued in effect, and then terminated are as follows:

- (1) for the Transition Period, the following transfers
  pursuant to Section 17 of the Agreement:
  - (a) the transfer to a Participant's system within the Control Area of its ownership interest in

- a Pool-Planned Unit which is off its system;
- (b) the transfer to a Participant's system within the Control Area of its Unit Contract Entitlement, under a contract entered into by it on or before November 1, 1996, in a Pool-Planned Unit which is off its system; and
- the transfer to a Participant's system within

  the Control Area of its Entitlement in a

  purchase (including a purchase under the HQ

  Phase II Firm Energy Contract) from Hydro
  Quebec under a contract entered into by it on

  or before November 1, 1996, where the line

  over which the transfer is made into New

  England is the HQ Interconnection;
- Participant's system within the Control Area of its
  Unit Contract Entitlement in the Maine Yankee

  Atomic Power Company unit, the Vermont Yankee

  Nuclear Power Corporation unit or the Pilgrim 1

  unit; provided the transfer is pursuant to a

  transmission agreement in effect on November 1,

  1996 and is to the entity which was receiving the

#### service on November 1, 1996; and

- (3) for the period from the effective date of the Tariff until . . . : 1
  - (a) existing transfers and other uses within the NEPOOL Control Area, as of November 1, 1996, of the NEPOOL Transmission System under the support or exchange agreements specified in Attachment G;
  - (b) existing transfers and other uses within the NEPOOL Control Area, as of November 1, 1996, of the NEPOOL Transmission System under the comprehensive network service agreements specified in Attachment G; and
  - (c) existing transfers and other uses within the NEPOOL Control Area, as of November 1, 1996, of the NEPOOL Transmission System under the other transmission agreements or tariff service agreements specified in Attachment G.

The blank space shall be filled in in accordance with the 33rd Amendment. Pending the filling in of the blank the service shall continue until the termination of the transmission agreement or the end of the Transition Period, whichever occurs first.

The Management Committee is authorized to add additional agreements to Attachment G if they have been inadvertently omitted. The transfers or other uses under any of the transmission agreements covering the transfers referred to in paragraphs (1), (2) and (3) above shall be in accordance with the terms of the transmission agreement as in effect on November 1, 1996, or a modification of the terms which is expressly provided for in the agreement as in effect on November 1, 1996 and is accomplished without amendment of the agreement or by an amendment entered into after November 1, 1996 that does not extend the term of the agreement or increase the amount of the service. Notwithstanding the foregoing, support agreements shall continue in effect to provide for continued support payments and may be extended so long as the agreement does not give priority of service. Further, notwithstanding the foregoing restriction on the amendment after November 1, 1996 of transmission agreements with respect to Excepted Transactions, the transmission arrangements for the Masspower and Altresco facilities may continue as Excepted Transactions in

accordance with transmission agreement amendments or memoranda of understanding entered into as of December, 1996 which do not extend the term of the agreements.

For the purpose of determining priorities under this Tariff, Excepted Transactions shall have the same priority as Firm Point-to-Point Transmission Service transactions for resources in existence on the effective date of this Tariff which are effected as Regional Network Service.

When transmission agreements cease to be Excepted

Transactions before the end of their term, the

transactions shall be effected under this Tariff and

under any applicable Local Network Service tariff, to

the extent appropriate, but the transactions shall

continue to have a priority not less than the priority

that they would have had if Regional Network Service had

been used for the transactions from the effective date

of this Tariff. New transactions entered into after

November 1, 1996 under umbrella tariff agreements then

in effect will not be Excepted Transactions.

#### V. THROUGH OR OUT SERVICE AS POINT-TO-POINT TRANSMISSION SERVICE

#### Preamble

The Transmission Provider will provide Firm and Firm or Non-Firm Point-To-Point Transmission Service shall be reserved by all Transmission Customers, whether Participants or Non-Participants, for all new point-to-point transfers to be effected as Through or Out Service, pursuant to the applicable terms and conditions of this Part V of the Tariff. Point-To-Point Transmission Service is the service required for the receipt of capacity and energy at designated Point(s) of Receipt and the transmission of such capacity and energy to designated Point(s) of Delivery.

#### 26 Nature of Review

If the Eligible Customer requesting Point-To-Point

Transmission Service as Through or Out Service for a Unit

Contract or System Contract transaction, or requesting

Network Integration Transmission Service pursuant to Part VI

of this Tariff, is a Participant, it shall initially advise

the System Operator of the proposed transaction in such

detail as the System Operator may reasonably require. If the

System Operator determines, on the basis of an initial review

of the reliability requirements to meet existing and pending

obligations of the Participants and the obligations of the

particular Participants whose PTF facilities will be impacted

by the proposed transaction, that no System Impact Study is

required, it shall tender a Service Agreement to the Eligible Customer, if required. Otherwise, the applicable procedures specified in this Part V or Part VI shall be followed. If the Eligible Customer requesting service is not a Participant, the applicable application procedures specified in this Part V or Part VI, whichever is applicable, shall be followed, but the System Operator shall make its initial review of available transmission capacity on the same basis as it would with a Participant.

- 27 13 Nature of Firm Point-To-Point Transmission Service
  - 13.1 27.1 Term: The minimum term of Firm Point-To-Point

    Transmission Service as Through or Out Service

    shall be one day and the maximum term shall be that specified in the Service Agreement.
  - Point Transmission Service as Through or Out

    Service shall be available to Participants and NonParticipants on a first-come, first-served basis,

    i.e., in the chronological sequence in which each
    Transmission Customer has reserved service

    Customer's application for reserved service is

    received by the System Operator pursuant to Section

# 26 or Section 31, whichever is applicable.

Reservations for Short-Term Firm Point-To-Point Transmission Service will be conditional based upon the length of the requested transaction. If the NEPOOL Transmission System becomes oversubscribed, requests for longer term service may preempt requests for shorter term service up to the following deadlines: one day before the commencement of daily service, one week before the commencement of weekly service, and one month before the commencement of monthly service. Before the deadline, if available transmission capability is insufficient to satisfy all Applications, an Eligible Customer with a reservation for shorter term service has the right of first refusal to match any longer term reservation before losing its reservation priority. After the deadline, service will commence pursuant to the terms of Part H III of the this Tariff. Firm Point-To-Point Transmission Service as Through or Out Service will always have a reservation priority over Non Firm non-firm Point-To-Point Transmission Service under

the this Tariff. All Long-Term Firm Point-To-Point Transmission Service will have equal reservation priority with Native Load Customers and Network Customers Excepted Transactions. Reservation priorities for existing firm service customers, including customers receiving service with respect to Excepted Transactions, are provided in Section 2.2 3.2.

13.3 Use of Firm Point-To-Point Transmission Service by the Transmission Provider: The Transmission

Provider Participants That Own PTF: A Participant that owns PTF will be subject to the rates, terms and conditions of Part II of the this Tariff when making Third-Party Sales to be transmitted as Through or Out Service under (i) agreements executed on or after [insert date sixty (60) days after publication in Federal Register] after

November 1, 1996 or (ii) agreements executed prior to the aforementioned date on or before November 1, 1996 to the extent that the Commission requires them to be unbundled, by the date specified by the Commission. The Transmission Provider A

Participant that owns PTF will maintain separate accounting, pursuant to Section 8, for any use of the Firm Point-To-Point Transmission Service for Through or Out Service to make Third-Party Sales.

- 13.4 27.4 Service Agreements: The Transmission Provider

  shall offer a A standard form Firm Point-To-Point

  Transmission Service Agreement (Attachment A) will

  be offered to an Eligible Customer when it submits

  a Completed Application for Firm Point-To-Point

  Transmission Service to be transmitted as Through

  or Out Service. Executed Service Agreements that

  contain the information required under the this

  Tariff shall will be filed with the Commission in

  compliance with applicable Commission regulations.
- Additions or Redispatch Costs: In cases where the Transmission Provider determines it is determined that the Transmission System is not capable of providing <a href="mailto:new">new</a> Firm Point-To-Point Transmission Service for Through or Out Service without (1) degrading or impairing the reliability of service to Native Load Customers, <a href="Metwork Customers">Network Customers</a>

customers taking service for Excepted Transactions and other Transmission Customers taking Firm Point-To-Point Transmission Service as Regional Network Service, or (2) interfering with the Transmission Provider's a Participant's ability to meet prior firm contractual commitments to others, the Transmission Provider Participants will be obligated to arrange to expand or upgrade its Transmission System PTF pursuant to the terms of Section 15.4 33. The Transmission Customer must agree to compensate the Transmission Provider Participants or any other entity designated to effect construction through the System Operator for any necessary transmission activity facility additions or upgrades pursuant to the terms of Section 27 39. To the extent the Transmission Provider System Operator can relieve any system constraint more economically by redispatching the Transmission Provider's Participants' resources, rather than through constructing Network Upgrades construction of additions or upgrades, it shall do so, provided that the Eligible Customer agrees to

compensate the Transmission Provider Participants
pursuant to the terms of Section 27 39. Any
redispatch, Network Upgrade addition or upgrade or
Direct Assignment Facilities costs to be charged to
the Transmission Customer under the this Tariff
will be specified in the Service Agreement prior to
initiating service.

event that a Curtailment on the Transmission

Provider's NEPOOL Transmission System, or a portion thereof, is required to maintain reliable operation of such the system, Curtailments the Curtailment will be made on a non-discriminatory basis to the transaction(s) that effectively relieve the constraint. If multiple transactions require Curtailment, to the extent practicable and consistent with Good Utility Practice, Curtailments will be proportionally allocated among the Transmission Provider's Native Load Customers, Network Customers, and Transmission Customers taking Firm Point To Point Transmission Service Participants' Firm Transmission Service customers.

All Curtailments will be made on a nondiscriminatory basis, however, Non Firm non-firm Point-To-Point Transmission Service shall be subordinate to Firm Transmission Service. When the Transmission Provider System Operator determines that an electrical emergency exists on its the NEPOOL Transmission System and implements emergency procedures to Curtail Firm Transmission Service, the Transmission Customer shall make the required reductions upon request of the Transmission Provider. However, the Transmission Provider the System Operator's request. However, NEPOOL reserves the right to Curtail curtail, in whole or in part, any Firm Transmission Service provided under the this Tariff when, in the Transmission Provider's System Operator's sole discretion, an emergency or other unforeseen condition impairs or degrades the reliability of its the NEPOOL Transmission System. Transmission Provider System Operator will notify all affected Transmission Customers in a timely manner of any scheduled Curtailments.

# 13.7 27.7 Classification of Firm Transmission Service: (a) The Transmission Customer taking Firm Point-To-Point Transmission Service:

Transmission Customer taking Firm Point-To-Point

Transmission Service for Through or Out Service may

(1) change its Receipt and Delivery Points to
obtain service on a non-firm basis consistent with
the terms of Section 22.1 36.1 or (2) request a
modification of the Points of Receipt or Delivery
on a firm basis pursuant to the terms of Section

22.2. 36.2; provided that if any Participant or
other entity has constructed new facilities or
upgraded facilities to accommodate the original
firm service, such Participant shall continue to be
compensated for its facility costs by the
Transmission Customer.

#### (b) The

(b) A Transmission Customer may purchase transmission service to make sales of capacity and energy from multiple generating units that are on the Transmission Provider's NEPOOL Transmission System. For such a purchase of transmission service, the resources will be designated as multiple Points of Receipt, unless the multiple generating units are at the same generating plant, in which case the units would will be treated as a single Point of Receipt.

The Transmission Provider shall provide firm Firm (C) deliveries of capacity and energy will be provided from the Point(s) of Receipt to the Point(s) of Delivery. Each Except in the case of the sale of power under a System Contract or Firm Contract, each Point of Receipt at which firm transmission capacity is reserved by the Transmission Customer shall be set forth in the Firm Point To Point Service Agreement along with a corresponding capacity reservation associated with each Point of Receipt. Each For the transmission of power under a System Contract or Firm Contract across the Transmission Provider's Transmission System, the Transmission Customer need specify only the maximum capacity reservation at Points of Receipt or Points of Delivery, rather than specific MW for each Point of Receipt. In all other cases, each Point of

Delivery at which firm transmission capacity is reserved by the Transmission Customer shall be set forth in the Firm Point-To-Point Service Agreement along with a corresponding capacity reservation associated with each Point of Delivery. greater of either (1) the sum of the capacity reservations at the Point(s) of Receipt, or (2) the sum of the capacity reservations at the Point(s) of Delivery shall be the Transmission Customer's Reserved Capacity. The Transmission Customer will be billed for its Reserved Capacity under the terms of Schedule 7 8. The Transmission Customer may not exceed its firm capacity reserved at each Point of Receipt and each Point of Delivery except as otherwise specified in Section 22. The Transmission Provider shall specify the rate treatment and all related terms and conditions applicable in 36. In the event that a Transmission Customer (including Third-Party Sales by the Transmission Provider) Participants) exceeds its firm reserved capacity at any Point of Receipt or Point of Delivery, it shall pay 150% of the charge which is otherwise

applicable for each Kilowatt of the excess. -

## 13.8 27.8 Scheduling of Firm Point-To-Point Transmission Service:

Schedules Unless other schedules are permitted pursuant to NEPOOL rules, schedules for the Transmission Customer's Firm Point-To-Point Transmission Service for Through or Out Service must be submitted to the Transmission Provider no later than 10:00 a.m. [or a reasonable time that is generally accepted in the region and is consistently adhered to by the Transmission Provider | System Operator no later than noon of the day prior to commencement of such service. Schedules submitted after 10:00 a.m. noon will be accommodated, if practicable. Hour-to-hour schedules of any capacity and energy that is to be delivered must be stated in increments of 1,000 kW per hour [or a reasonable increment that is generally accepted in the region and is consistently adhered to by the Transmission Provider] 1000 kW per hour. Transmission Customers within the Transmission Provider's service area with multiple requests for Firm Point-To-Point Transmission Service at a Point of Receipt, each of which request is under 1,000

1000 kW per hour, may consolidate their service requests at a common point Point of receipt Receipt into units of 1,000 1000 kW per hour for scheduling and billing purposes. Scheduling changes will be permitted up to twenty (20) minutes [or a reasonable time that is generally accepted in the region and is consistently adhered to by the Transmission Provider] thirty-five minutes before the start of the next clock hour, provided that the Delivering Party and Receiving Party also agree to the schedule modification. Transmission Provider System Operator will furnish to the Delivering Party's system operator, hour-to-hour schedules equal to those furnished by the Receiving Party (unless reduced for losses) and shall will deliver the capacity and energy provided by such schedules. Should the Transmission Customer, Delivering Party or Receiving Party revise or terminate any schedule, such party shall immediately notify the Transmission Provider, and the Transmission Provider shall System Operator, and the System Operator will have the right to adjust accordingly the schedule for capacity and energy to be received and to be delivered.

- 14 28 Nature of Non-Firm Point-To-Point Transmission Service
  - 14.1 28.1 Term: Non-Firm Point-To-Point Transmission Service will be available as Through or Out Service for periods ranging from one (1) hour to one (1) month.

    However, a Purchaser of Non-Firm Point-To-Point Transmission Service for Through or Out Service will be entitled to reserve a sequential term of service (such as a sequential monthly term without having to wait for the initial term to expire before requesting another monthly term) so that the total time period for which the reservation applies is greater than one month, subject to the requirements of Section 18.3 32.3.
  - 14.2 28.2 Reservation Priority: Non-Firm Point-To-Point

    Transmission Service for Through or Out Service
    shall be available from transmission capability in
    excess of that needed for reliable service to
    Native Load Customers, Network Customers and other
    Transmission Customers taking Long-Term and ShortTerm Firm Point-To-Point Transmission Service. A
    higher priority will be assigned to reservations
    with a longer duration of service. In the event

the NEPOOL Transmission System is constrained, competing requests of equal duration will be prioritized based on the highest price offered by the Eligible Customer for the Transmission Service as may be determined by the System Operator with the approval of the Management Committee. Eligible Customers that have already reserved shorter term service have the right of first refusal to match any longer term reservation before being preempted. Transmission service for Network Customers from resources other than designated Network Resources will have a higher priority than any Non-Firm Point-To-Point Transmission Service for Through or Out Service. Non-Firm Point-To-Point Transmission Service for Through or Out Service over secondary Point(s) of Receipt and Point(s) of Delivery will have the lowest reservation priority under the this Tariff.

14.3 28.3 Use of Non-Firm Point-To-Point Transmission Service

by the Transmission Provider: The Transmission

Provider Participants will be subject to the rates,

terms and conditions of Part II V of the this

Tariff when making taking Through or Out Service

for Third-Party Sales under (i) agreements executed
on or after [insert date sixty (60) days after

publication in Federal Register] November 1, 1996
or (ii) agreements executed prior to the
aforementioned date that the Commission requires to
be unbundled, by the date specified by the

Commission. The Transmission Provider Participant
will maintain separate accounting, pursuant to
Section 8, for any use of Non-Firm Point-To-Point

Transmission Through or Out Service to make ThirdParty Sales.

14.4 28.4 Service Agreements: The Transmission Provider

System Operator shall offer a standard form NonFirm Point-To-Point Transmission Service Agreement

(Attachment B) A) to an Eligible Customer when it first submits a Completed Application for Non-Firm Point-To-Point Transmission Service for Through or Out Service pursuant to the Tariff. Executed Service Agreements that contain the information required under the this Tariff shall be filed with the Commission in compliance with applicable

Commission regulations.

#### 14.5 28.5 Classification of Non-Firm Point-To-Point

Transmission Service: Non-Firm Point-To-Point Transmission Service shall be offered as Through or Out Service under terms and conditions contained in Part <del>II</del> IV of <del>the</del> this Tariff. The Transmission Provider undertakes NEPOOL Participants undertake no obligation under the this Tariff to plan its the NEPOOL Transmission System in order to have sufficient capacity for Non-Firm Point-To-Point Transmission Service. Parties requesting Non-Firm Point-To-Point Transmission Service for the transmission of firm power as Through or Out Service do so with the full realization that such service is subject to availability and to Curtailment or Interruption under the terms of the this Tariff. The Transmission Provider System Operator shall specify the rate treatment and all related terms and conditions applicable in the event that a Transmission Customer (including Third-Party Sales by the Transmission Provider) a Participant) exceeds its non-firm capacity

reservation.

- (a) Non-Firm Point-To-Point Transmission Service as

  Through or Out Service shall include transmission
  of energy on an hourly basis and transmission of
  scheduled short-term capacity and energy on a
  daily, weekly or monthly basis, but not to exceed
  one month's reservation for any one Application,
  under Schedule 8.
- 14.6(b) Except in the case of the transmission of

  power under a System Contract or Firm

  Contract, each Point of Receipt at which nonfirm transmission capacity is reserved by the

  Transmission Customer shall be set forth in
  the Application along with a corresponding
  capacity reservation associated with each

  Point of Receipt. For the transmission of

  System Power across Transmission Provider's

  Transmission System, the Transmission Customer
  need specify only the maximum capacity
  reservation at Points of Receipt or Points of
  Delivery, rather than specific MW for each
  Point of Receipt.

28.6 Scheduling of Non-Firm Point-To to-Point Transmission Service: Schedules Unless other schedules are permitted pursuant to NEPOOL rules, schedules for Non-Firm Point-To-Point Transmission Service as Through or Out Service must be submitted to the Transmission Provider no later than 2:00 p.m. [or a reasonable time that is generally accepted in the region and is consistently adhered to by the Transmission Provider | noon of the day prior to commencement of such service. Schedules submitted after 2:00 p.m. noon will be accommodated, if practicable. Hour-to-hour schedules of energy that is to be delivered must be stated in increments of 1,000 kW per hour for a reasonable increment that is generally accepted in the region and is consistently adhered to by the Transmission Provider | Transmission Customers within the Transmission Provider's service area NEPOOL Control Area with multiple requests for Transmission Service at a Point of Receipt, each of which is under 1,000 kW per hour, may consolidate their schedules at a common Point of Receipt into units of 1,000 kW per hour. Scheduling changes will be permitted up to twenty (20) minutes [or a reasonable time that is generally accepted in the

region and is consistently adhered to by the Transmission Provider | thirty-five minutes before the start of the next clock hour provided that the Delivering Party and Receiving Party party also agree to the schedule modification. The Transmission Provider System Operator will furnish to the Delivering Party's system operator, hour-to-hour schedules equal to those furnished by the Receiving Party (unless reduced for losses) and shall deliver the capacity and capacity and energy provided by such schedules. Should the Transmission Customer, <del>Delivering</del> Delivery Party or Receiving Party revise or terminate any schedule, such party shall immediately notify the Transmission Provider, and the Transmission Provider System Operator, and the System Operator shall have the right to adjust accordingly the schedule for capacity and energy to be received and to be delivered.

14.7 28.7 Curtailment or Interruption of Service: The

Transmission Provider System Operator reserves the right to Curtail, in whole or in part, Non-Firm Point-To-Point Transmission Service provided under the this Tariff as Through or Out Service for

reliability reasons when, an emergency or other unforeseen condition threatens to impair or degrade the reliability of its the NEPOOL Transmission System. The Transmission Provider reserves the right to Interrupt, in whole or in part, Non-Firm Point-To-Point Transmission Service provided under the this Tariff as Through or Out Service for economic reasons in order to accommodate (1) a request for Firm Transmission Service, (2) a request for Non-Firm Point-To-Point Transmission Service of greater duration, (3) a request for Non-Firm Point-To-Point Transmission Service of equal duration with a higher price, or (4) transmission service for Network Customers from non-designated resources. The Transmission Provider System Operator also will discontinue or reduce service to the Transmission Customer to the extent that deliveries for transmission are discontinued or reduced at the Point(s) of Receipt. required, Curtailments or Interruptions will be made on a non-discriminatory basis to the transaction(s) that effectively relieve the

constraint, however, Non-Firm Point-To-Point Transmission Service furnished as Through or Out Service shall be subordinate to Firm Transmission Service. If multiple transactions require Curtailment or Interruption, to the extent practicable and consistent with Good Utility Practice, Curtailments or Interruptions will be made to transactions of the shortest term (e.g., hourly non-firm transactions will be Curtailed or Interrupted before daily non-firm transactions and daily non-firm transactions will be Curtailed or Interrupted before weekly non-firm transactions). Transmission service for Network Customers from resources other than designated Network Resources will have a higher priority than any Non-Firm Point-To-Point Transmission Service under the furnished as Through or Out Service under this Tariff. Non-Firm Point-To-Point Transmission Service furnished as Through or Out Service over secondary Point(s) of Receipt and Point(s) of Delivery will have a lower priority than any Non-Firm Point-To-Point Transmission Service under the

this Tariff. The Transmission Provider System Operator will provide advance notice of Curtailment or Interruption where such notice can be provided consistent with Good Utility Practice.

#### Service Availability <del>15</del> 29

15.1 29.1 General Conditions: The Transmission Provider will provide Firm and Non-Firm Point-To-Point transmission Transmission Service as Through or Out Service over, on or across its the NEPOOL Transmission System is available to any Transmission Customer that has met the requirements of Section <del>16.</del> 26 or Section 31.

#### 15.2 29.2 Determination of Available Transmission Capability:

A description of the Transmission Provider's NEPOOL's specific methodology for assessing available transmission capability posted on the Transmission Provider's OASIS (Section 4) NEPOOL OASIS(Section 5) is contained in Attachment C of the this Tariff. event sufficient transmission capability may not exist to accommodate a service request, the Transmission Provider will respond by performing a System Impact Study will be performed.

29.3<del>.</del>

#### 15.3 Initiating Service in the Absence of an Executed Service

Agreement: If the Transmission Provider System Operator and the Transmission Customer requesting Firm or Non Firm Point-To-Point Transmission Service cannot agree on all the terms and conditions of the Point-To-Point applicable Service Agreement, the Transmission Provider shall System Operator will file with the Commission, within thirty (30) days after the date the Transmission Customer provides written notification directing the Transmission Provider System Operator to file, an unexecuted Point To Point Service Agreement containing terms and conditions deemed appropriate by the Transmission Provider for such requested Transmission Service. The Transmission Provider shall commence providing Transmission Service System Operator for such requested transmission service. The service will be commenced subject to the Transmission Customer agreeing to (i) compensate the Transmission Provider at pay whatever rate the Commission ultimately determines to be just and reasonable, and (ii) comply with the terms and conditions of the this Tariff including posting appropriate security deposits in accordance with the terms of Section 17.3. 31.3.

15.4 29.4 Obligation to Provide Transmission Service that Requires Expansion or Modification of the Transmission System: If the Transmission Provider determines that it cannot accommodate it is determined that the service requested in a Completed Application for Long-Term Firm Point-To-Point Transmission Service as Through or Out Service cannot be provided because of insufficient capability on its the NEPOOL Transmission System, the Transmission Provider will one or more Participants or other entities will be designated to use due diligence to expand or modify its the NEPOOL Transmission System to provide the requested Long-Term Firm Point-to-Point Transmission Service as Through or Out Service, provided that the Transmission Customer agrees to compensate the Transmission Provider for such costs Participants or other entities that will be responsible for the construction of any new facilities or upgrades for the costs of such new facilities or upgrades pursuant to the terms of Section 27. The Transmission Provider 39. The System Operator and

the designated Participants or other entities will conform to Good Utility Practice in determining the need for new transmission facilities or upgrades and in coordinating the design and construction of such facilities. The This obligation applies only to those facilities that the Transmission Provider has designated Participants or other entities have the right to expand or modify.

defer providing service until it completes

construction of new transmission facilities or

upgrades needed to provide Long-Term Firm Point-To
Point Transmission Service whenever the

Transmission Provider determines as Through or Out

Service may be deferred until the designated

Participants or other entities complete

construction of new transmission facilities or

upgrades needed to provide such service whenever it

is determined that providing the requested service

would, without such new facilities or upgrades,
impair or degrade reliability to any existing Firm

HART01-84521-28 66227-00012 November 4, 1997 2:59 pm firm services.

15.6 Other Transmission Service Schedules: Eligible Customers receiving transmission service under other agreements on file with the Commission may continue to receive transmission service under those agreements until such time as those agreements may be modified by the Commission.

are associated with all transmission service. The

Transmission Provider NEPOOL is not obligated to
provide Real Power Losses. The Transmission

Customer is responsible for replacing losses
associated with all transmission service as
calculated by the Transmission Provider. The
applicable Real Power Loss factors are as follows:

[To be completed by the Transmission Provider].

real power losses. The applicable real power loss
factor shall be determined by the System Operator
on the basis of incremental loss studies on a
transaction basis.

#### 16 30 Transmission Customer Responsibilities

16.1 30.1 Conditions Required of Transmission Customers: Firm

Point-To-Point Transmission Service shall be

provided by the Transmission Provider as Through or

Out Service will be provided only if the following conditions are satisfied by the Transmission Customer:

- a. The Transmission Customer has pending a Completed Application for service, if required pursuant to Section  $26; \div$
- b. The b. In the case of a Non-Participant, the Transmission Customer meets the creditworthiness criteria set forth in Section 11;
- c. The Transmission Customer will have arrangements in place for any other transmission service necessary to effect the delivery from the generating source to the Transmission Provider Point of Receipt prior to the time service under Part II of the Tariff commences;
- d. The Transmission Customer agrees to pay for any facilities <u>or upgrades</u> constructed <u>and or any redispatch costs</u> chargeable to such Transmission Customer under <u>Part II of the this</u> Tariff, whether or not the Transmission Customer takes service for the full term of its reservation; and

- e. The If required, the Transmission Customer has executed a Point To Point an applicable Service Agreement or has agreed to receive service pursuant to Section 15.3. 29.3.
- 16.2 30.2 Transmission Customer Responsibility for Third-Party Arrangements: Any scheduling arrangements that may be required by other electric systems shall be the responsibility of the Transmission Customer requesting service. (If Local Network Service will be required, the System Operator shall notify the Transmission Customer and the affected Participants.) The Transmission Customer shall provide, unless waived by the Transmission Provider System Operator, notification to the Transmission Provider System Operator identifying such other electric systems and authorizing them to schedule the capacity and energy to be transmitted by the Transmission Provider pursuant to Part II of the this Tariff on behalf of the Receiving Party at the Point of Delivery or the Delivering Party at the Point of Receipt. However, the Transmission Provider The System Operator will undertake

reasonable efforts to assist the Transmission

Customer in making such arrangements, including
without limitation, providing any information or
data required by such other electric system
pursuant to Good Utility Practice.

## 17 31 Procedures for Arranging Firm Point-To-Point Transmission Service

for Firm Point-To-Point Transmission Service for periods of one year or longer must contain a written Application to: [Transmission Provider Name and Address], at least sixty (60) month or longer must be made in an Application, delivered to the New England Power Pool, One Sullivan Road, Holyoke, MA 01040-2841 or, following the activation of the ISO, to the ISO at the same address, or such other address as may be specified from time to time. The request should be delivered at least sixty days in advance of the calendar month in which service is requested to commence. The Transmission Provider System Operator will consider requests for such firm service on shorter notice when feasible

practicable. Requests for firm service for periods of less than one year shall will be subject to expedited procedures that shall will be negotiated between the Parties System Operator and the party requesting service within the time constraints provided in Section 17.5 27.8. All Firm Point-To-Point Transmission Service requests for Through or Out Service should be submitted by entering the information listed below on the Transmission Provider's NEPOOL OASIS. Prior to implementation of the Transmission Provider's NEPOOL OASIS, a Completed Application may be submitted by (i) transmitting the required information to the Transmission Provider NEPOOL by telefax, or (ii) providing the information by telephone over the Transmission Provider's NEPOOL's time recorded telephone line. Each of these methods will provide a time-stamped record for establishing the priority of the Application.

17.2 31.2 Completed Application: A Completed Application for

Firm Point-To-Point Transmission as Through or Out

Service shall provide all of the information

included in 18 CFR § 2.20 at 18 C.F.R. §2.20 of the Commission's regulations, including but not limited to the following:

- (i) The identity, address, telephone number and facsimile number of the entity requesting service;
- (ii) A statement that the entity requesting service
  is, or will be upon commencement of service,
  an Eligible Customer under the this Tariff;
- (iii) The location of the Point(s) of Receipt and Point(s) of Delivery and the identities of the Delivering Parties and the Receiving Parties;
- (iv) The location of the generating facility(ies) supplying the capacity and energy and the location of the load ultimately served by the capacity and energy transmitted. Transmission Provider System Operator will treat this information as confidential in accordance with the NEPOOL information policy except to the extent that disclosure of this information is required by this Tariff, by regulatory or judicial order, for reliability purposes pursuant to Good Utility Practice or pursuant to RTG transmission information sharing agreements. The Transmission Provider shall. The System Operator will treat this information consistent with the standards of conduct contained in Part 18 C.F.R. § 37 of the Commission's regulations;
- (v) A description of the supply characteristics of the capacity and energy to be delivered;
- (vii) The Service Commencement Date and the term of

the requested Transmission Service; transmission service; and

(viii) The transmission capacity requested for each Point of Receipt and each Point of Delivery on the Transmission Provider's NEPOOL Transmission System; customers may combine their requests for service in order to satisfy the minimum transmission capacity requirement.

The Transmission Provider shall System Operator will treat this information consistent with the standards of conduct contained in <a href="Mailto:18 C.F.R.">18 C.F.R.</a> Part 37 of the Commission's regulations.

To-Point Transmission Service also shall as Through or Out Service by a Non-Participant shall also include a deposit of either one month's charge for Reserved Capacity or the full charge for Reserved Capacity for service requests of less than one month. If the Application is rejected by the Transmission Provider System Operator because it does not meet the conditions for service as set forth herein, or in the case of requests for service arising in connection with losing bidders in a Request For Proposals request for proposals (RFP), said the deposit shall will be returned with

interest Interest, less any reasonable costs Administrative Costs incurred by the Transmission Provider System Operator or any affected Participants in connection with the review of the losing bidder's Application. The deposit also will be returned with interest Interest less any reasonable costs incurred by the Transmission Provider if the Transmission Provider is unable to complete new facilities Administrative Costs incurred by the System Operator or any affected Participants if the new facilities or upgrades needed to provide the service cannot be completed. If an Application is withdrawn or the Eligible Customer decides not to enter into a Service Agreement for Firm Point To Point Transmission the Service, the deposit shall will be refunded in full, with interest, Interest, less reasonable costs Administrative Costs incurred by the Transmission Provider System Operator or any affected Participants to the extent such costs have not already been recovered by the Transmission Provider from the Eligible Customer.

Transmission Provider System Operator will provide to the Eligible Customer a complete accounting of all costs deducted from the refunded deposit, which the Eligible Customer may contest if there is a dispute concerning the deducted costs. associated with construction of new facilities or upgrades are subject to the provisions of Section 19 33. If a Service Agreement for Firm Point-To-Point Transmission Service is executed, the deposit, with interest, will be returned to the Transmission Customer upon expiration of the Service Agreement for Firm Point To Point Transmission Service. Applicable interest shall be computed in accordance with the Commission's regulations at 18 CFR § 35.19a(a)(2)(iii), and shall. Applicable Interest will be calculated from the day the deposit <del>check</del> is credited to the Transmission Provider's System Operator's account.

17.4 31.4 Notice of Deficient Application: If an Application fails to meet the requirements of the this Tariff, the Transmission Provider shall System Operator will notify the entity requesting service within

fifteen (15) days of receipt days of the System Operator's receipt of the Application of the reasons for such failure. The Transmission Provider System Operator will attempt to remedy minor deficiencies in the Application through informal communications with the Eligible Customer. If such efforts are unsuccessful, the Transmission Provider shall System Operator will return the Application, along with any deposit, with interest (less the reasonable Administrative Costs incurred by the System Operator or any affected Participants in connection with the Application), with Interest. Upon receipt of a new or revised Application that fully complies with the requirements of Part II of the this Tariff, the Eliqible Customer shall will be assigned a new priority consistent with based upon the date of receipt by the System Operator of the new or revised Application.

17.5 31.5 Response to a Completed Application: Following receipt of a Completed Application for Firm Point-To-Point Transmission Service, the Transmission

Provider shall make as Through or Out Service, or

compliance with Section 26, whichever is applicable, a determination of available transmission capability as required in Section 15.2. The Transmission Provider shall notify the Eligible Customer will be made pursuant to Section 29.2 or 26, whichever is applicable. The Eligible Customer will be notified as soon as practicable, but not later than thirty (30) days after the date of receipt of a Completed Application, if required, that either (i) if it service will be able to provide service provided without performing a System Impact Study, or (ii) if such a study is needed to evaluate the impact of the Application pursuant to Section 19.1. 33.1.

17.6 31.6 Execution of Service Agreement: Whenever the

Transmission Provider System Operator determines

that a System Impact Study is not required and that

the requested service can be provided, it shall

will notify the Eligible Customer as soon as

practicable but no later than thirty (30) days

after receipt of the Completed Application. Where a

System Impact Study is required, the provisions of

Section 19 will govern the execution of, and will tender a Service Agreement to the Eligible Customer. Failure of an Eligible Customer to execute and return the Service Agreement or request the filing of an unexecuted service agreement Service Agreement pursuant to Section 15.3, 29.3, within fifteen (15) days after it is tendered by the Transmission Provider will System Operator shall be deemed a withdrawal and termination of the Application and any deposit submitted shall be refunded with interest (less the reasonable Administrative Costs incurred by the System Operator and any affected Participants in connection with the Application) submitted will be refunded with Interest. Nothing herein limits the right of an Eligible Customer to file another Application after such withdrawal and termination. Where a System Impact Study is required, the provisions of Section 33 will govern the execution of a Service Agreement.

31.7 Extensions for Commencement of Service: The

Transmission Customer can obtain up to five (5)

one-year extensions for the commencement of service. The Transmission Customer may postpone service by paying a non-refundable annual reservation fee equal to one-month's charge for Firm Point-To-Point Transmission Service as Through or Out Service for each year or fraction thereof. If during any extension for the commencement of service an Eligible Customer submits a Completed Application for Firm Point-To-Point Transmission Service, and such request can be satisfied only by releasing all or part of the Transmission Customer's Reserved Capacity, the original Reserved Capacity will be released unless the following condition is satisfied. Within thirty (30) days, the original Transmission Customer agrees to pay the applicable rate for Firm Point-To-Point transmission rate Transmission Service as Through or Out Service for its Reserved Capacity concurrent with the new Service Commencement Date for the period that its reservation overlaps the period covered by such Eligible Customer's Completed Application. In the event the Transmission

Customer elects to release the Reserved Capacity, the reservation fees or portions thereof previously paid will be forfeited.

#### Procedures for Arranging Non-Firm Point-To-Point <del>18</del> 32 Transmission Service

18.1 32.1 Application: Eligible Customers seeking Non-Firm Point-To-Point Transmission Service for Through or Out Service must submit a Completed Application to the Transmission Provider System Operator. Applications should be submitted by entering the information listed below on the Transmission Provider's NEPOOL's OASIS. Prior to implementation of the Transmission Provider's NEPOOL OASIS, a Completed Application may be submitted by (i) transmitting the required information to the Transmission Provider System Operator by telefax, or (ii) providing the information by telephone over the Transmission Provider's System Operator's time recorded telephone line. Each of these methods will provide a time-stamped record for establishing the service priority of the Application.

18.2 32.2 Completed Application: A Completed Application

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shall provide all of the information included in 18 CFR § 2.20 C.F.R. §2.20 including but not limited to the following:

- (i) The identity, address, telephone number and facsimile number of the entity requesting service;
- (ii) A statement that the entity requesting service
  is, or will be upon commencement of service,
  an Eligible Customer under the this Tariff;

- (v) The proposed dates and hours for initiating and terminating transmission service hereunder.

In addition to the information specified above, when required to properly evaluate system conditions, the Transmission Provider System Operator also may ask the Transmission Customer to provide the following:

- (vi) The electrical location of the initial source
   of the power to be transmitted pursuant to the
   Transmission Customer's request for service;
   and
- (vii) The electrical location of the ultimate load.

The Transmission Provider System Operator will treat

this information in (vi) and (vii) as confidential at the request of the Transmission Customer except to the extent that disclosure of this information is required by this Tariff, by regulatory regulator or judicial order, for reliability purposes pursuant to Good Utility Practice, or pursuant to RTG transmission information sharing agreements. The Transmission Provider System Operator shall treat this information consistent with the standards of conduct contained in Part 37 of the Commission's regulations.

#### 18.3 32.3 Reservation of Non--Firm Point--To--Point

Transmission Service: Requests for monthly service shall be submitted no earlier than sixty (60) days before service is to commence; requests for weekly service shall be submitted no earlier than fourteen (14) days before service is to commence, requests for daily service shall be submitted no earlier than two (2) five days before service is to commence, and requests for hourly service shall be submitted no earlier than noon the second day before service is to commence. Requests for service received later than 2:00 p.m. noon prior to

the day service is scheduled to commence will be accommodated if practicable [or such reasonable times that are generally accepted in the region and are consistently adhered to by the Transmission Provider].

### 18.4 32.4 Determination of Available Transmission Capability:

Following receipt of a tendered schedule the Transmission Provider System Operator will make a determination on a non—discriminatory basis of available transmission capability pursuant to Section 15.2 29.2. Such determination shall be made as soon as reasonably practicable after receipt, but not later than the following time periods for the following terms of service (i) thirty(30)—five minutes for hourly service, (ii) thirty(30)—five minutes for daily service, (iii) four (4) hours for weekly service, and (iv) two (2) days for monthly service.

[Or such reasonable times that are generally accepted in the region and are consistently adhered to by the Transmission Provider].

19 33 Additional Study Procedures For Firm Point-To-Point

## Transmission Service Requests

19.1 33.1 Notice of Need for System Impact Study: receiving a request for service, the Transmission Provider shall Firm Point-To-Point Transmission Service as Through or Out Service, the System Operator will review the effect of the proposed service on the reliability requirements to meet existing and pending obligations of the Participants and the obligations of the particular Participants whose PTF facilities will be impacted by the proposed service and determine on a non-discriminatory basis whether a System Impact Study is needed. A description of the Transmission Provider's methodology for completing a System Impact Study is provided in Attachment D. If the Transmission Provider System Operator determines that a System Impact Study is necessary to accommodate the requested service, it shall as soon as practicable thereafter the System Operator will so inform the Eligible Customer, as soon as practicable and any affected Participants if the

System Impact Study is to be performed by the

Participants. If the likely result of the study is that a Direct Assignment Facility will be required, the study shall be performed by the affected Participants, subject to review by the System In such cases, the Transmission Provider Operator. shall System Operator will within thirty (30) days of receipt of a Completed Application, or compliance with Section 26, whichever is applicable, tender a System Impact Study Agreement agreement in the form of Exhibit I to this Tariff, or in any other form that is mutually agreed to, pursuant to which the Eligible Customer shall agree to reimburse the Transmission Provider NEPOOL and any affected Participants for performing the required System Impact Study. For a service request to remain a Completed Application, the Eligible Customer shall execute the System Impact Study Agreement agreement and return it to the Transmission Provider System Operator within fifteen (15) days. If the Eliqible Customer elects not to execute the a System Impact Study Agreement agreement, its application shall be deemed

withdrawn and its deposit, pursuant to Section 17.3, shall be returned with interest.

19.2 (less the reasonable Administrative Costs incurred by the System Operator and any affected Participants in connection with the Application), will be returned with Interest.

#### 33.2 System Impact Study Agreement and Cost Reimbursement:

(i) The System Impact Study Agreement will agreement shall clearly specify the maximum charge, based on the Transmission Provider's System Operator's estimate of the actual cost, and time for completion of the System Impact Study. charge shall not exceed the actual cost of the In performing the System Impact Study, the Transmission Provider shall System Operator and any affected Participants will rely, to the extent reasonably practicable, on existing transmission planning studies. The Eligible Customer will shall not be assessed a charge for such existing studies; however, the Eligible Customer will shall be responsible for charges associated with any modifications to existing planning studies that are reasonably necessary to evaluate the impact of

- the Eligible Customer's request for service on the NEPOOL Transmission System.
- (ii) If in response to multiple Eligible Customers requesting service in relation to the same competitive solicitation, a single System Impact Study is sufficient for the Transmission Provider System Operator to accommodate the requests for service, the costs of that study shall will be equitably pro-rated among the Eligible Customers.
- (iii) For System Impact Studies that the Transmission

  Provider conducts on its own behalf, the

  Transmission Provider shall System Operator and any affected Participants conduct on behalf of the Participants, the Participants will record the cost of the System Impact Studies pursuant to Section 20 8.5.
- 19.3 33.3 System Impact Study Procedures: Upon receipt of an executed System Impact Study Agreement, the

  Transmission Provider agreement, the System

  Operator and any affected Participants will use due diligence to complete the required System Impact Study within a sixty (60) day period. The System

Impact Study shall identify any system constraints and redispatch options, and the need for additional Direct Assignment Facilities or Network Upgrades facility additions or upgrades required to provide the requested service. In the event that the Transmission Provider is unable to complete the required System Impact Study cannot be completed within such time period, it shall the System Operator will so notify the Eligible Customer and provide an estimated completion date along with an explanation of the reasons why additional time is required to complete the required studies study and an estimate of any increase in cost which will result from the delay. A copy of the completed System Impact Study and related work papers shall be made available to the Eliqible Customer. Transmission Provider System Operator will use the same due diligence in completing the System Impact Study for an Eligible Customer that is a Non-Participant as it uses when completing studies for itself. The Transmission Provider shall the Participants. The System Operator will notify the

Eligible Customer immediately upon completion of the System Impact Study if the NEPOOL Transmission System will be adequate to accommodate all or part of a request for service or that no costs are likely to be incurred for new transmission facilities or upgrades. In order for a request to remain a Completed Application, within fifteen (15) Within fifteen days of completion of the System Impact Study, the Eligible Customer must execute a Service Agreement or request the filing of an unexecuted Service Agreement pursuant to Section 15.3 29.3, or the Application shall be deemed terminated and withdrawn.

19.4 33.4 Facilities Study Procedures: If a System Impact
Study indicates that additions or upgrades to the

NEPOOL Transmission System are needed to supply the
Eligible Customer's service request, the

Transmission Provider, System Operator, within
thirty (30) days of the completion of the System
Impact Study, shall will tender to the Eligible
Customer a Facilities Study Agreement agreement in
the form of Exhibit J to this Tariff, or in any

other form that is mutually agreed to, pursuant to which the Eligible Customer shall agree to reimburse the Transmission Provider for performing the System Operator and any affected Participants or other entity designated by the System Operator for performing any required Facilities Study. a service request to remain a Completed Application, the Eligible Customer shall execute the Facilities Study Agreement agreement and return it to the Transmission Provider System Operator within fifteen (15) days. If the Eligible Customer elects not to execute the Facilities Study Agreement, its application shall be deemed withdrawn and its deposit, pursuant to Section 17.3, shall be returned with interest (less the reasonable Administrative Costs incurred by the System Operator and any affected Participants in connection with the Application) will be returned with Interest. Upon receipt of an executed Facilities Study Agreement, the Transmission Provider agreement, the System Operator and any affected Participants or other designated entity

will use due diligence to complete cause the required Facilities Study to be completed within a sixty (60) day period. If the Transmission Provider is unable to complete the a Facilities Study cannot be completed in the allotted time period, the Transmission Provider shall System Operator will notify the Transmission Customer and provide an estimate of the time needed to reach a final determination and any resulting increase in the cost, along with an explanation of the reasons that additional time is required to complete the study. When completed, the Facilities Study will shall include a good faith estimate of (i) the cost of Direct Assignment Facilities to be charged to the Transmission Customer, or (ii) the Transmission Customer's appropriate share of the cost of any required Network Upgrades as determined pursuant to the provisions of Part II of the Tariff additions or upgrades, and (iii) the time required to complete such construction and initiate the requested service. The Transmission Customer shall provide the Transmission Provider with a letter of

credit or other reasonable form of security
acceptable to the Transmission Provider
Participant(s) or other entities that will be
responsible for the construction of the new
facilities or upgrades equivalent to the costs of
the new facilities or upgrades and consistent with
relevant commercial practices, as established by
the Uniform Commercial Code. The Transmission
Customer shall have thirty (30) days to execute a
Service Agreement or request the filing of an
unexecuted Service Agreement with the Commission
and provide the required letter of credit or other
form of security or the request will no longer be a
Completed Application and shall be deemed
terminated and withdrawn.

19.5 33.5 Facilities Study Modifications: Any change in design arising from inability to site or construct proposed facilities as proposed will require development of a revised good faith estimate. New good faith estimates also will be required in the event of new statutory or regulatory requirements that are effective before the completion of

construction or other circumstances beyond the control of the Transmission Provider Participants or other entities that are responsible for the construction of the new facilities or upgrades and that significantly affect the final cost of the new facilities or upgrades to be charged to the Transmission Customer pursuant to the provisions of Part II of the this Tariff.

19.6 33.6 Due Diligence in Completing New Facilities: The

Transmission Provider shall System Operator will

use due diligence to designate Participants or

other entities to add necessary facilities or

upgrade its the NEPOOL Transmission System within a

reasonable time. The Transmission Provider will

not A Participant or other entity will have no

obligation to upgrade its existing or planned

Transmission System transmission system in order to

provide the requested Firm Point-To-Point

Transmission Service as Through or Out Service if

doing so would impair system reliability or

otherwise impair or degrade existing firm service.

19.7 33.7 Partial Interim Service: If the Transmission

Provider System Operator determines that it there will not have be adequate transmission capability to satisfy the full amount of a Completed Application for , or a request for service pursuant to Section 26, whichever is applicable, for Long-Term Firm Point-To-Point Transmission Service, the Transmission Provider nonetheless shall be obligated to offer and provide as Through or Out Service, the portion of the requested Firm Point-To-Point Transmission Service that can be accommodated without addition of any facilities or upgrades and through redispatch. However, the Transmission Provider shall not be obligated will be offered and provided. However, there shall be no obligation to provide the incremental amount of requested Long-Term Firm Point-To-Point Transmission Service that requires the addition of facilities or upgrades to the NEPOOL Transmission System until such facilities or upgrades have been placed in service.

19.8 33.8 Expedited Procedures for New Facilities: In lieu of the procedures set forth above, the Eligible

Customer shall have the option to expedite the process by requesting the Transmission Provider System Operator to tender at one time, together with the results of required studies, an "Expedited Service Agreement" pursuant to which the Eligible Customer would agree to compensate the Transmission Provider pay for all costs incurred pursuant to the terms of the this Tariff. In order to exercise this option, the Eligible Customer shall request in writing an expedited Expedited Service Agreement covering all of the above-specified items within thirty (30) days of receiving the results of the System Impact Study identifying needed the need for facility additions or upgrades or and costs to be incurred in providing the requested service. While the Transmission Provider System Operator, on behalf of the Participants or other entities that will be responsible for constructing the new facilities or upgrades, agrees to provide the Eliqible Customer with its best estimate of the new facility costs and other charges that may be incurred, such estimate shall not be binding and

the Eligible Customer must shall agree in writing to compensate the Transmission Provider pay for all costs incurred pursuant to the provisions of the this Tariff. The Eligible Customer shall execute and return such an Expedited Service Agreement within fifteen (15) days of its receipt or the Eligible Customer's request for service will cease to be a Completed Application and will be deemed terminated and withdrawn.

- 20 34 Procedures if The Transmission Provider is Unable to

  Complete New Transmission Facilities for Firm

  Point-To-Point Transmission Service Cannot be Completed
  - 20.1 Delays in Construction of New Facilities: If any
    event occurs that will materially affect the time
    for completion of new facilities for Firm Point-ToPoint Service as Through or Out Service, or the
    ability to complete them, the Transmission Provider
    shall such facilities, the System Operator will
    promptly notify the Transmission Customer. In such
    circumstances, the Transmission Provider shall
    System Operator will within thirty (30) days of
    notifying the Transmission Customer of such delays,

convene a technical meeting with the Transmission

Customer and any affected Participants or other
entities responsible for construction to evaluate
the alternatives available to the Transmission

Customer. The Transmission Provider also shall

System Operator and the affected Participants or
other entities will make available to the

Transmission Customer studies and work papers
related to the delay, including all information
that is in the possession of the Transmission

Provider System Operator or the Participants or
other entities that are responsible for the
construction of the new facilities or upgrades that
is reasonably needed by the Transmission Customer
to evaluate any alternatives.

#### 20.2 34.2 Alternatives to the Original Facility Additions:

When the review process of Section 20.1 34.1 determines that one or more alternatives exist to the originally planned construction project, the Transmission Provider shall System Operator will present such alternatives for consideration by the Transmission Customer. If, upon review of any

alternatives, the Transmission Customer desires to maintain proceed with its Completed Application subject to construction of the alternative facilities, it may request the Transmission Provider System Operator to submit a revised Service Agreement for Firm Point To Point Transmission Service. If the alternative approach solely involves Non-Firm Point-To-Point Transmission Service, the Transmission Provider shall System Operator will promptly tender a Service Agreement for Non-Firm Point-To-Point Transmission Service providing for such service, if a Service Agreement is required for the service. In the event the Transmission Provider concludes System Operator and the affected Participants or other entities responsible for construction conclude that no reasonable alternative exists and the Transmission Customer disagrees, the Transmission Customer may seek relief under the dispute resolution procedures pursuant to Section 12 or it may refer the dispute to the Commission for resolution.

# 20.3 34.3 Refund Obligation for Unfinished Facility Additions:

If the Transmission Provider System Operator, the affected Participants or other entities responsible for construction and the Transmission Customer mutually agree that no other reasonable alternatives exist and the requested service cannot be provided out of existing capability under the conditions of Part II of the this Tariff, the obligation to provide the requested Firm Point-To-Point Transmission Service as Through or Out Service shall terminate and any deposit made by the Transmission Customer shall be returned with interest pursuant to Commission regulations 35.19a(a)(2)(iii). However, the, with Interest. The Transmission Customer shall be responsible for all prudently incurred costs by the Transmission Provider through the time construction was suspended. costs prudently incurred by the System Operator and by the Participants or other entities that have been responsible for the construction of the new facilities or upgrades through the date that any required regulatory approval is denied or construction is suspended and for cost of removal, if necessary, of

facilities constructed prior to suspension.

- 21 35 Provisions Relating to Transmission Construction and Services on the Systems of Other Utilities
  - 21.1 35.1 Responsibility for Third-Party System Additions:

The Transmission Provider shall not Neither the

System Operator nor any Participant will be

responsible for making arrangements for any

necessary engineering, permitting, and construction

of transmission or distribution facilities on the

system(s) of any other entity or for obtaining any

regulatory approval for such facilities. The

Transmission Provider System Operator will

undertake reasonable efforts to assist the

Transmission Customer in obtaining such

arrangements, including without limitation,

providing any information or data required by such

other electric system pursuant to Good Utility

Practice.

21.2 35.2 Coordination of Third-Party System Additions: In circumstances where the need for transmission facilities or upgrades is identified pursuant to the provisions of Part II of the this Tariff, and

if such upgrades further require the addition of transmission facilities on other systems, the Transmission Provider shall third-party systems, the System Operator and the Participants or other entities that are responsible for the construction of any new facilities or upgrades on the NEPOOL Transmission System will have the right to coordinate construction on its own system the NEPOOL Transmission System with the construction required by others. The Transmission Provider the third parties. The System Operator and the Participants or other entities that are responsible for the construction of any new facilities or upgrades on the NEPOOL Transmission System may, after consultation with the Transmission Customer and representatives of such other systems, may defer construction of its new transmission facilities, or upgrades on the NEPOOL Transmission System if the new transmission facilities on another system cannot be completed in a timely manner. The Transmission Provider shall System Operator will notify the Transmission Customer in

writing of the basis for any decision to defer construction and the specific problems which that must be resolved before it will initiate or resume the construction of new facilities will be initiated or resumed. Within sixty (60) days of receiving written notification by the Transmission Provider of its intent System Operator of a decision to defer construction pursuant to this section, the Transmission Customer may challenge the decision in accordance with the dispute resolution procedures pursuant to contained in Section 12 or it may refer the dispute to the Commission for resolution.

#### 22 36 Changes in Service Specifications

22.1 36.1 Modifications On on a Non-Firm Basis: The

Transmission Customer taking Firm Point-To-Point

Transmission Service may request the Transmission

Provider to provide as Through or Out Service may

submit a request to the System Operator for

transmission service on a non-firm basis over

Point(s) of Receipt and Point(s) of Delivery Points

other than those specified in the Service Agreement

- ("Secondary Receipt and Delivery Points"), in amounts not to exceed its the Transmission

  Customer's firm capacity reservation, without incurring an additional Non Firm Point To Point

  Transmission Service charge or executing a new Service Agreement, subject to the following conditions:
- (a) Service service provided over Secondary Receipt and
  Delivery Points will be non-firm only, on an
  as-available basis, and will not displace any firm
  or non-firm service reserved or scheduled by thirdparties under the Participants or Non-Participants
  under this Tariff or by the Transmission Provider
  Participants on behalf of its their Native Load
  Customers or Excepted Transactions;

#### (b) the.

(b) The sum of all Firm and non-firm Point-To-Point Transmission

Service and Non-Firm Point-To-Point Transmission Service provided to the Transmission Customer as Through or Out Service at any time pursuant to this section shall not exceed the Reserved Capacity specified in the relevant Service Agreement under which such services are provided—:

- right to schedule Firm Point-To-Point Transmission

  Service as Through or Out Service at the Point(s)

  of Receipt and Point(s) of Delivery Points

  specified in the relevant Service Agreement in the amount of its the Transmission Customer's original capacity reservation, and-
- (d) Service over Secondary Receipt and Delivery Points
   on a non firm basis shall not require the filing of
   an Application for Non Firm Point To Point
   Transmission Service under the Tariff. However, (d)
   \_\_\_\_all other requirements of Part II of the this
   Tariff (except as to transmission rates) shall
   apply to transmission service on a non-firm basis
   over Secondary Receipt and Delivery Points.
- 22.2 36.2 Modification On on a Firm Basis: Any request by a

  Transmission Customer to modify Point(s) of Receipt
  and Point(s) of Delivery Points on a firm basis
  shall be treated as a new request for service in
  accordance with Section 17 hereof 31, except that
  such Transmission Customer shall not be obligated
  to pay any additional deposit if the capacity

reservation does not exceed the amount reserved in the existing Service Agreement. While such new request is pending, the Transmission Customer shall retain its priority for service at the existing firm Receipt Point(s) and Delivery Points Point(s) specified in its the Transmission Customer's Service Agreement.

23 37 Sale er, Assignment or Transfer of Transmission Service

23.1 37.1 Procedures for Sale, Assignment or Transfer of

Service:

Subject to Commission approval of action on any necessary filings, a Transmission Customer may sell, assign, or transfer all or a portion of its rights under its Service Agreement, but only to another Eligible Customer (the <a href="Assignee"/"Assignee"/"Assignee"/"Assignee"/</a>. The Transmission Customer that sells, assigns or transfers its rights under its Service Agreement is hereafter referred to as the <a href="Reseller."/"Reseller."/</a> Compensation to the Reseller shall not exceed the higher of (i) the original rate paid by the Reseller, (ii) the <a href="Transmission Provider's">Transmission Provider's</a> maximum <a href="applicable">applicable</a> rate on file <a href="under this Tariff"/>under this Tariff</a> at the time of the assignment, or (iii) the Reseller's

opportunity cost. If the Assignee does not request any change in the Point(s) of Receipt or the Point(s) of Delivery, or a change in any other term or condition set forth in the original Service Agreement, the Assignee will shall receive the same services as did the Reseller and the priority of service for the Assignee will shall be the same as that of the Reseller. A Reseller should shall notify the Transmission Provider System Operator as soon as possible after any sale, assignment or transfer of service occurs, but in any event, notification must be provided prior to any provision of service to the Assignee. The Assignee will shall be subject to all terms and conditions of this Tariff. Ιf the Assignee requests a change in service, the reservation priority of service will be determined by the Transmission Provider System Operator pursuant to Section 13.2. 27.2.

## 23.2 37.2 Limitations on Assignment or Transfer of Service:

If the Assignee requests a change in the Point(s) of Receipt or Point(s) of Delivery, or a change in any other specifications set forth in the original Service Agreement, the Transmission Provider System

Operator will consent to such change subject to the provisions of the this Tariff, provided that the change will not impair the operation and reliability of the Transmission Provider's Participants' generation, transmission, or distribution systems. The Assignee shall compensate the Transmission Provider System Operator and any affected Participants for performing any System Impact Study needed to evaluate the capability of the NEPOOL Transmission System to accommodate the proposed change and any additional costs resulting from such change. Reseller shall remain liable for the performance of all obligations under the Service Agreement, except as specifically agreed to by the Parties System Operator, the Reseller and the Assignee through an amendment to the Service Agreement.

23.3 Information on Assignment or Transfer of Service:

In accordance with Section 4, Resellers 5,

Transmission Customers may use the Transmission

Provider's NEPOOL OASIS to post information

regarding transmission capacity available for

resale.

- 24 38 Metering and Power Factor Correction at Receipt and Delivery Points(s)
  - 24.1 38.1 Transmission Customer Obligations: Unless the

    System Operator otherwise agreed agrees, the

    Transmission Customer shall be responsible for
    installing and maintaining compatible metering and
    communications equipment to accurately account for
    the capacity and energy being transmitted under

    Part II of the this Tariff and to communicate the
    information to the Transmission Provider System

    Operator. Such equipment shall remain the property
    of the Transmission Customer.

#### 24.2 Transmission Provider

- 38.2 NEPOOL Access to Metering Data: The Transmission

  Provider shall System Operator will have access to such metering data, which as may reasonably be required to facilitate measurements and billing under the Service Agreement.
- 24.3 38.3 Power Factor: Unless otherwise agreed, the

  Transmission Customer is required to maintain a

  power factor within the same range as the

Transmission Provider Participants maintain pursuant to Good Utility Practices Practice and applicable NEPOOL requirements. The power factor requirements are specified in the Service Agreement where applicable., where applicable.

#### 25 Compensation for Transmission Service

Rates for Firm and Non-Firm Point-To-Point Transmission Service are provided in the Schedules appended to the Tariff: Firm Point-To-Point Transmission Service (Schedule 7); and Non-Firm Point-To-Point Transmission Service (Schedule 8). The Transmission Provider shall use Part II of the Tariff to make its Third-Party Sales. The Transmission Provider shall account for such use at the applicable Tariff rates, pursuant to Section 8.

#### 26 Stranded Cost Recovery

The Transmission Provider may seek to recover stranded costs from the Transmission Customer pursuant to this Tariff in accordance with the terms, conditions and procedures set forth in FERC Order No. 888. However, the Transmission Provider must separately file any specific proposed stranded cost charge under Section 205 of the Federal Power Act.

<del>27</del> 39 Compensation for New Facilities and Redispatch Costs

Whenever a System Impact Study performed by the Transmission Provider in connection with the provision of Firm

Point-To-Point Transmission Service as Through or Out Service identifies the need for new facilities or upgrades, the Transmission Customer shall be responsible for such costs to the extent they are consistent with Commission policy requirements and the Agreement. Whenever a System Impact Study performed by the Transmission Provider identifies capacity constraints that may be relieved more economically by redispatching the Transmission Provider's Participants' resources than by building new facilities or upgrading existing facilities to eliminate such constraints, the Transmission Customer shall be responsible for the redispatch costs to the extent consistent with Commission policy.

# TRANSMISSION SERVICE TRANSMISSION SERVICE

#### Preamble)

The Transmission Provider will The Participants will provide

NEPOOL Regional Network Service, as described in Part II of

this Tariff, including the service required to provide

Network Integration Transmission Service, to Participants and

Non-Participants pursuant to the applicable terms and conditions contained in the Tariff and Service Agreement. this Tariff. Part II of this Tariff specifies the terms and conditions which are generally applicable to the receipt of Regional Network Service by both Participants and Non-Participants. This Part VI specifies additional provisions with respect to the provision of Regional Network Service, including Network Integration Transmission Service allows the Network Customer to integrate, economically dispatch and regulate its current and planned Network Resources to serve its Network Load in a manner comparable to that in which the Transmission Provider utilizes its Transmission System to serve its Native Load Customers. Network Integration Transmission Service also may be used by the Network Customer to deliver economy energy purchases to its Network Load from non-designated resources on an as-available basis without additional charge. Transmission service for sales to non designated loads will be provided pursuant to the applicable terms and conditions of Part II of the Tariff. to Non-Participants and, subject to Section 26 of this Tariff to Participants.

28 40 Nature of Network Integration Transmission Service

- Service is a transmission service that allows

  Network Customers to efficiently and economically

  utilize their Network Resources (as well as other

  non—designated generation resources) to serve

  their Network Load located in the Transmission

  Provider's NEPOOL Control Area and any additional

  load that may be designated pursuant to Section

  31.3 43.3 of the this Tariff. The Network Customer

  taking Network Integration Transmission Service

  must obtain or provide Ancillary Services pursuant

  to Section 3 4.
- 28.2 40.2 Transmission Provider Responsibilities: The

  Transmission Provider NEPOOL Participants will
  plan, construct, operate and maintain its the

  NEPOOL Transmission System in accordance with Good
  Utility Practice in order to provide the Network
  Customer with Network Integration Transmission
  Service over the Transmission Provider's NEPOOL
  Transmission System. The Transmission Provider, on
  behalf of its Native Load Customers, shall be
  required to designate resources and loads in the

same manner as any Network Customer under Part III of this Tariff. This information must be consistent with the information used by the Transmission Provider to calculate available transmission capability. The Transmission Provider Participants shall include the Network Customer's Network Load in its NEPOOL Transmission System planning and shall, consistent with Good Utility Practice, endeavor to construct and place into service sufficient transmission capacity to deliver the Network Customer's Network Resources to serve its Network Load on a basis comparable to the Transmission Provider's Participants' delivery of its their own generating and purchased resources to its their Native Load Customers.

28.3 40.3 Network Integration Transmission Service: The

Transmission Provider Participants will provide

firm transmission service over its the NEPOOL

Transmission System to the Network Customer for the delivery of capacity and energy from its designated Network Resources to service its Network Loads on a basis that is comparable to the Transmission

Provider's Participants' use of the NEPOOL

Transmission System to reliably serve its their

Native Load Customers.

- 28.4 40.4 Secondary Service: The Network Customer may use the Transmission Provider's NEPOOL Transmission System to deliver energy to its Network Loads from resources that have not been designated as Network Resources. Such energy shall be transmitted, on an as—available basis, at no additional charge as part of Regional Network Service. Deliveries from resources other than Network Resources will have a higher priority than any Non—Firm Point—To—Point Transmission Service under Part II of the this Tariff.
- 28.5 40.5 Real Power Losses: Real Power Losses are associated with all transmission service. The Transmission

  Provider is Providers are not obligated to provide Real Power Losses. The Network Customer is responsible for replacing losses associated with all transmission service as calculated by the Transmission Provider. The applicable Real Power Loss factors are as follows: [To be completed by

the Transmission Provider). To the extent PTF losses are not specifically allocated through the market procedures provided for in Section 14 of the Agreement, total remaining PTF losses, minus point-to-point losses, shall be allocated to all load plus interruptible load on a load ratio basis.

28.6 40.6 Restrictions on Use of Service: The Network

Customer shall not use is entitled to use Regional

Network Service, including Network Integration

Transmission Service for (i) sales of capacity and
energy to non designated loads, or (ii) direct or
indirect provision of transmission service by the

Network Customer to third parties. All Network
Customers taking Network Integration Transmission
Service shall use Point-To-Point Transmission
Service under Part II of the Tariff for any
Third Party Sale which requires use of the
Transmission Provider's Transmission System. any of

#### 29 Initiating Service 41 Initiating Service

29.1 41.1 Condition Precedent for Receiving Service: Subject to the terms and conditions of Part III Parts II

the uses specified in Part II of this Tariff.

and VI of the this Tariff, the Transmission Provider will provide Network Integration Transmission Service to any and other forms of Regional Network Service to any Non-Participant which is an Eligible Customer, provided that, subject to Section 26, (i) the Eligible Customer completes an Application for service as provided under Part <del>III</del> VI of the this Tariff, (ii) the Eligible Customer and the Transmission Provider complete the technical arrangements set forth in Sections 29.3 41.3 and 29.4 41.4, (iii) the Eligible Customer executes a Service Agreement pursuant to Attachment F B for service under Part III VI of the this Tariff or requests in writing that the Transmission Provider file a proposed unexecuted Service Agreement with the Commission, and (iv) the Eliqible Customer executes a Network Operating Agreement in the form of Exhibit H to this Tariff, or in any other form that is mutually agreed to, with the Transmission Provider pursuant to Attachment G.

29.2 41.2 Application Procedures: An A Non-Participant which

is an Eligible Customer requesting service under Part III of the Regional Network Service under this Tariff must submit an Application, with a deposit approximating the charge for one month of service, to the Transmission Provider as far as possible in advance of the month in which service is to commence. Unless subject to the procedures in Section 2, Completed Applications for Regional Network Integration Transmission Service will be assigned a priority according to the date and time the Application is received, with the earliest Application receiving the highest priority. Applications should be submitted by entering the information listed below on the Transmission Provider's OASIS NEPOOL OASIS to the extent feasible. Prior to implementation of the Transmission Provider's NEPOOL OASIS, a Completed Application may be submitted by (i) transmitting the required information to the Transmission Provider System Operator by telefax, or (ii) providing the information by telephone over the Transmission Provider's System Operator's time

recorded telephone line. Each of these methods will provide a time—stamped record for establishing the service priority of the Application. A Completed Application shall provide all of the information included in 18 CFR § 2.20§2.20 including but not limited to the following:

- (i) The identity, address, telephone number and facsimile number of the party requesting service;
- (ii) A statement that the party requesting service
  is, or will be upon commencement of service,
  an Eligible Customer under the this Tariff;
- (iii) A description of the Network Load at each delivery point. This description should separately identify and provide the Eligible Customer's Customer's best estimate of the total loads to be served at each transmission voltage level, and the loads to be served from each Transmission Provider substation at the same transmission voltage level. The description should include a ten (10) year forecast of summer and winter load and resource requirements beginning with the first year after the service is scheduled to commence;
  - (iv) The amount and location of any interruptible loads included in the Network Load. This shall include the summer and winter capacity requirements for each interruptible load (had such load not been interruptible), that portion of the load subject to interruption Interruption under which an interruption Interruption can be implemented

and any limitations on the amount and frequency of interruptions Interruptions. An Eligible Customer should identify the amount of interruptible customer load (if any) included in the 10 ten year load forecast provided in response to (iii) above;

- (v) A description of Network Resources (current and <del>10 ten-</del>year projection), which shall include, for each Network Resource:
  - Unit size and amount of capacity from that unit to be designated as Network Resource
  - -\_ VAR capability (both leading and lagging)
     of all generators
  - -- Operating restrictions
    - -\_ Any periods of restricted operations throughout the year
    - Maintenance schedules
    - Minimum loading level of unit
    - -- Normal operating level of unit
    - Any must—run unit designations required for system reliability or contract reasons
  - Approximate variable generating cost (\$/MWH) for redispatch computations
  - -- Arrangements governing sale and delivery of power to third parties from generating facilities located in the Transmission Provider Control Area, where only a portion of unit output is designated as a Network Resource
  - Description of purchased power designated as a Network Resource including source of supply, Control Area location, transmission arrangements and delivery point(s) to the Transmission Provider's Provider's Transmission System;
- - Load flow and stability data, such as real and reactive parts of the load,

lines, transformers, reactive devices and load type, including normal and emergency ratings of all transmission equipment in a load flow format compatible with that used by the Transmission Provider

- -- Operating restrictions needed for reliability
- Operating guides employed by system operators
- -- Contractual restrictions or committed uses of the Eligible Customer's

  Customer's transmission system, other than the Eligible Customer's Customer's Network Loads and Resources
- -\_ Location of Network Resources described in subsection (v) above
- Transmission System maps that include any proposed expansions or upgrades
- -\_ Thermal ratings of Eligible <del>Customer's</del>

  <u>Customer's</u> Control Area ties with other

  Control Areas; and
- (vii) Service Commencement Date and the term of the requested Network Integration Transmission Service. The minimum term for Network Integration Transmission Service is one year.

Unless the Parties agree to a different time frame, the Transmission Provider System Operator must acknowledge the request within ten (10) days of receipt. The acknowledgement acknowledgment must include a date by which a response, including a Service Agreement, will be sent to the Eligible Customer. If an Application fails to meet the requirements of this section, the

Transmission Provider System Operator shall notify the Eligible Customer requesting service within fifteen (15) days of receipt and specify the reasons for such failure. Wherever possible, the Transmission Provider System Operator will attempt to remedy deficiencies in the Application through informal communications with the Eligible Customer. If such efforts are unsuccessful, the Transmission Provider System Operator shall return the Application without prejudice to the Eligible Customer filing, who may thereafter file a new or revised Application that fully complies with the requirements of this section. The Eligible Customer will be assigned a new priority consistent with the date of the new or revised Application. The <del>Transmission</del> Provider System Operator shall treat this information consistent with the standards of conduct contained in Part 37 of the Commission's Commission's regulations.

29.3 41.3 Technical Arrangements to be Completed Prior to

Commencement of Service: Network Integration

Transmission Service as part of Regional Network

Service shall not commence until the Transmission

Provider Participants and the Network Customer, or

a third party, have completed installation of all equipment specified under the a Network Operating Agreement consistent with Good Utility Practice and any additional requirements reasonably and consistently imposed to ensure the reliable operation of the NEPOOL Transmission System. The Transmission Provider Participants shall exercise reasonable efforts, in coordination with the Network Customer, to complete such arrangements as soon as practicable taking into consideration the Service Commencement Date.

Network Customer Facilities: The provision of

Network Integration Transmission Service shall be

conditioned upon the Network Customer's Customer's

constructing, maintaining and operating the

facilities on its side of each delivery point or

interconnection necessary to reliably deliver

capacity and energy from the Transmission

Provider's NEPOOL Transmission System to the

Network Customer. The Network Customer shall be

solely responsible for constructing or installing

and operating and maintaining all facilities on the

Network <u>Customer's Customer's</u> side of each such delivery point or interconnection.

29.5 41.5 Filing of Service Agreement: The Transmission

Provider System Operator will file Service

Agreements with the Commission in compliance with applicable Commission regulations.

30.1 42.1 Designation of Network Resources: Network

#### 30 42 Network Resources

Resources shall include all generation owned or purchased by the Network Customer designated to serve Network Load under the this Tariff. Network Resources may not include resources, or any portion thereof, that are committed for sale to non—designated third party load or otherwise cannot be called upon to meet the Network Customer's Customer's Network Load on a non—interruptible basis. Any owned or purchased resources that were serving the Network Customer's Customer's loads under firm agreements entered into on or before the

Service Commencement Date shall initially be

Customer terminates the designation of such

designated as Network Resources until the Network

resources.

- 20.2 Designation of New Network Resources: The Network

  Customer may designate a new Network Resource by

  providing the Transmission Provider System Operator

  with as much advance notice as practicable. A

  designation of a new Network Resource must be made

  by a request for modification of service pursuant

  to an Application under Section 29 41.
- 30.3 42.3 Termination of Network Resources: The Network

  Customer may terminate the designation of all or

  part of a generating resource as a Network Resource

  at any time but should provide notification to the

  Transmission Provider System Operator as soon as

  reasonably practicable.
- 30.4 42.4 Operation of Network Resources: The Network

  Customer shall not operate any of its designated

  Network Resources located in the Network Customer's

  or Transmission Provider's Control Area which are

  not subject to Central Dispatch by NEPOOL, such

  that the output of those facilities exceeds its

  designated Network Load plus losses.
- 30.5 42.5 Network Customer Redispatch Obligation: As a

condition to receiving Network Integration

Transmission Service, the Network Customer agrees
to redispatch its Network Resources as requested by
the Transmission Provider System Operator pursuant
to Section 33.2 45.2. To the extent practical, the
redispatch of resources pursuant to this section
shall be on a least cost, non—discriminatory basis
between all Network Customers, and the Transmission

Provider. Participants.

 $\frac{30.6}{42.6}$  Transmission Arrangements for Network Resources Not Physically Interconnected With The NEPOOL

Transmission Provider System: The Network Customer shall be responsible for any arrangements necessary to deliver capacity and energy from a Network Resource not physically interconnected with the Transmission Provider's NEPOOL Transmission System.

The Transmission Provider System Operator will undertake reasonable efforts to assist the Network Customer in obtaining such arrangements, including without limitation, providing any information or data required by such other entity pursuant to Good Utility Practice.

# 30.7 42.7 Limitation on Designation of Network Resources:

The Network Customer must demonstrate that it owns or has committed to purchase generation pursuant to an executed contract in order to designate a generating resource as a Network Resource.

Alternatively, the Network Customer may establish that execution of a contract is contingent upon the availability of transmission service under Part III of the this Tariff.

# 30.8 42.8 Use of Interface Capacity by the Network Customer:

There is no limitation upon a Network Customer's

Customer's use of the Transmission Provider's

NEPOOL Transmission System at any particular

interface to integrate the Network Customer's

Customer's Network Resources (or substitute economy

purchases) with its Network Loads. However, a

Network Customer's Customer's use of the

Transmission Provider's NEPOOL total interface

capacity with other transmission systems may not

exceed the Network Customer's Load Ratio Share.

Customer's Load Ratio Share.

30.9 Network Customer Owned Transmission Facilities: The Network Customer that owns existing transmission facilities that are integrated with the Transmission Provider's Transmission System may be eligible to receive consideration either through a billing credit or some other mechanism. In order to receive such consideration the Network Customer must demonstrate that its transmission facilities are integrated into the planning and operations of the Transmission Provider to serve all of its power and transmission customers. For facilities constructed by the Network Customer subsequent to the Service Commencement Date under Part III of the Tariff, the Network Customer shall receive credit where such facilities are jointly planned and installed in coordination with the Transmission Provider. Calculation of the credit shall be addressed in either the Network Customer's Service Agreement or any other agreement between the Parties.

31 43 Designation of Network Load

31.1 43.1 Network Load: The Network Customer must designate the individual Network Loads on whose behalf the 
Transmission Provider Participants will provide 
through NEPOOL Network Integration Transmission 
Service. The Network Loads shall be specified in

the Service Agreement.

# 31.2 43.2 New Network Loads Connected With the NEPOOL Transmission Provider System: The Network Customer shall provide the Transmission Provider System Operator with as much advance notice as reasonably practicable of the designation of new Network Load that will be added to its the NEPOOL Transmission System. A designation of new Network Load must be made through a modification of service pursuant to a new Application. The Transmission Provider Participants will use due diligence to install or cause to be installed any transmission facilities required to interconnect a new Network Load designated by the Network Customer. The costs of new facilities required to interconnect a new Network Load shall be determined in accordance with the procedures provided in Section 32.4 44.4 and shall be charged to the Network Customer in

31.3 43.3 Network Load Not Physically Interconnected with the

NEPOOL Transmission Provider System: This section

applies to both initial designation pursuant to

accordance with Commission policies.

Section 31.1 43.1 and the subsequent addition of new Network Load not physically interconnected with the NEPOOL Transmission Provider System. To the extent that the Network Customer desires to obtain transmission service for a load outside the Transmission Provider's NEPOOL Transmission System, the Network Customer shall have the option of (1) electing to include the entire load as Network Load for all purposes under Part <del>III</del> VI of the this Tariff and designating Network Resources in connection with such additional Network Load, or (2) excluding that entire load from its Network Load and purchasing Point To Point Transmission Service under Part II of the Tariff. To the extent that the Network Customer gives notice of its intent to add a new Network Load as part of its Network Load pursuant to this section the request must be made through a modification of service pursuant to a new Application, and shall be available only so long as a scheduling and interconnection agreement acceptable to the System Operator shall be required to be in effect with the

Control Area in which the load is located. Charges for such portion of the service shall be based on the Through or Out Service rate applied to the amount reserved for the Network Load which is not physically interconnected with the NEPOOL Transmission System. -

- Network Customer desires to add a new Delivery

  Point or interconnection point between the

  Transmission Provider's NEPOOL Transmission System

  and a Network Load, the Network Customer shall

  provide the Transmission Provider System Operator

  with as much advance notice as reasonably

  practicable.
- 31.5 43.5 Changes in Service Requests: Under no circumstances shall the Network Customer's

  Customer's decision to cancel or delay a requested change in Network Integration Transmission Service (e.g.(the addition of a new Network Resource or designation of a new Network Load) in any way relieve the Network Customer of its obligation to pay the costs of transmission facilities

constructed by the Transmission Provider or for the Participants and charged to the Network Customer as reflected in the Service Agreement. However, the Transmission Provider System Operator must treat any requested change in Network Integration Transmission Service in a non—discriminatory manner.

Network Customer shall provide the Transmission

Provider System Operator with annual updates of
Network Load and Network Resource forecasts
consistent with those included in its Application

for Network Integration Transmission Service under
Part III of the under Part VI of this Tariff. The
Network Customer also shall provide the
Transmission Provider System Operator with timely
written notice of material changes in any other
information provided in its Application relating to
the Network Customer's Network Load, Network
Resources, its transmission system or other aspects
of its facilities or operations affecting the
Transmission Provider's Participants' ability to

provide reliable service.

- 32 44 Additional Study Procedures For Network Integration
  Transmission Service Requests
  - 32.1 44.1 Notice of Need for System Impact Study: After receiving a request for service, the Transmission Provider System Operator shall review the effect of the requested service on the reliability requirements to meet existing and pending obligations of the Participant(s) and on the obligations of the particular Participant(s) whose PTF facilities will be impacted by the proposed service and shall determine on a nondiscriminatory basis whether a System Impact Study is needed. A description of the Transmission Provider's methodology for completing a System Impact Study is provided in Attachment D. If the Transmission Provider System Operator determines that a System Impact Study is necessary to accommodate the requested service, it shall so as soon as practicable inform the Eligible Customer, as soon as practicable and any affected

Participant(s) if the System Impact Study is to be

performed by the Participant(s). If the likely result of the study is that a Direct Assignment Facility will be required, the study shall be performed by the affected Participant(s), subject to review by the System Operator. In such cases, the Transmission Provider System Operator shall within thirty (30) days of receipt of a Completed Application, tender a System Impact Study Agreement agreement in the form of Attachment I to this Tariff, or in any other form that is mutually agreed to, pursuant to which the Eligible Customer shall agree to reimburse the Transmission Provider for performing the required System Impact Study. For a service request to remain a Completed Application, the Eligible Customer shall execute the a System Impact Study Agreement agreement and return it to the Transmission Provider within fifteen (15) days. If the Eligible Customer elects not to execute the a System Impact Study Agreement agreement, its Application shall be deemed withdrawn and its deposit (less the reasonable Administrative Costs incurred by the System

Operator and any affected Participant(s)) shall be
returned with interest.

# 32.2 44.2 System Impact Study Agreement and Cost Reimbursement:

(i) The System Impact Study Agreement agreement, whether in the form detailed in Attachment I or in any other form that is mutually agreed to, will clearly specify the maximum charge, based on the Transmission Provider's System Operator's actual estimate of the actual cost, and time for completion of the System Impact Study. The actual charge shall not exceed the actual cost of the study. In performing the System Impact Study, the Transmission Provider System Operator and the affected Participants shall rely, to the extent reasonably practicable, on existing transmission planning studies. The Eligible Customer will not be assessed a charge for such existing studies; however, the Eligible Customer will be responsible for charges associated with any modifications to existing planning studies that are reasonably necessary to evaluate the impact of the Eligible

- Customer's Customer's request for service on the NEPOOL Transmission System.
- (ii) If in response to multiple Eligible Customers
   requesting service in relation to the same
   competitive solicitation, a single System Impact
   Study is sufficient for the Transmission Provider
   System Operator and the affected Participants to
   accommodate the service requests, the costs of that
   study shall be pro—rated among the Eligible
   Customers.
- (iii) For System Impact Studies that the Transmission

  Provider conducts on its own behalf, the

  Transmission Provider shall System Operator and any affected Participants conduct on behalf of the

  Participants, the Participants will record the cost of the System Impact Studies pursuant to Section

  8.5 &.
- 32.3 44.3 System Impact Study Procedures: Upon receipt of an executed System Impact Study Agreement, the

  Transmission Provider agreement, the System

  Operator and any affected Participants will use due diligence to complete the required System Impact

Study within a sixty (60) 60 day period. System Impact Study shall identify any system constraints and, redispatch options, additional Direct Assignment Facilities or or the need for Network Upgrades required to provide the requested service. In the event that the Transmission Provider is System Operator and any affected Participants are unable to complete the required System Impact Study within such time period, it the System Operator shall so notify the Eligible Customer and provide an estimated completion date along with an explanation of the reasons why additional time is required to complete the required studies and an estimate of any increase in cost which will result from the delay. A copy of the completed System Impact Study and related work papers shall be made available to the Eligible The Transmission Provider System Customer. Operator will use the same due diligence in completing the System Impact Study for an Eligible Customer as it uses when completing studies for itself. The Transmission Provider System Operator

shall notify the Eligible Customer immediately upon completion of the System Impact Study if the NEPOOL Transmission System will be adequate to accommodate all or part of a request for service or that no costs are likely to be incurred for new transmission facilities or upgrades. In order for a request to remain a Completed Application, within fifteen (15) days of completion of the System Impact Study the Eligible Customer must execute a Service Agreement or request the filing of an unexecuted Service Agreement, or the Application shall be deemed terminated and withdrawn.

Study indicates that additions or upgrades to the

NEPOOL Transmission System are needed to supply the

Eligible Customer's Customer's service request, the

Transmission Provider, System Operator, within

thirty (30) days of the completion of the System

Impact Study, shall tender to the Eligible Customer

a Facilities Study Agreement agreement in the form

of Exhibit J to this Tariff, or in any other form

that is mutually agreed to, pursuant to which the

Eligible Customer shall agree to reimburse the Transmission Provider System Operator and any affected Participants for performing the required Facilities Study. For a service request to remain a Completed Application, the Eligible Customer shall execute the Facilities Study Agreement agreement and return it to the Transmission Provider within fifteen (15) days. If the Eliqible Customer elects not to execute the a Facilities Study Agreement, its Application shall be deemed withdrawn and its deposit (less the reasonable Administrative Costs incurred by the System Operator and any affected Participants) shall be returned with interest Interest. Upon receipt of an executed Facilities Study Agreement, the Transmission Provider agreement, the System Operator and any affected Participants will use due diligence to complete the required Facilities Study within a sixty (60) day period. Transmission Provider is System Operator and any affected Participants are unable to complete the Facilities Study in the allotted time period, the

Transmission Provider System Operator shall notify the Eligible Customer and provide an estimate of the time needed to reach a final determination along with an explanation of the reasons that additional time is required to complete the study. When completed, the Facilities Study will include a good faith estimate of (i) the cost of Direct Assignment Facilities to be charged to the Eligible Customer, (ii) the Eligible Customer's Customer's appropriate share of the cost of any required Network Upgrades, and (iii) the time required to complete such construction and initiate the requested service. The Eligible Customer shall provide the Transmission Provider System Operator with a letter of credit or other reasonable form of security acceptable to the Transmission Provider System Operator equivalent to the costs of new facilities or upgrades consistent with commercial practices as established by the Uniform Commercial Code. The Eligible Customer shall have thirty (30) days to execute a Service Agreement or request the filing of an unexecuted Service Agreement and

provide the required letter of credit or other form of security or the request no longer will be a Completed Application and shall be deemed terminated and withdrawn.

# 33 45 Load Shedding and Curtailments

- Date, the Transmission Provider System Operator and the Network Customer shall establish Load Shedding and Curtailment procedures pursuant to the Network Operating Agreement with the objective of responding to contingencies on the NEPOOL Transmission System. The Parties will implement such programs during any period when the Transmission Provider System Operator determines that a system contingency exists and such procedures are necessary to alleviate such contingency. The Transmission Provider System Operator System Operator will notify all affected Network Customers in a timely manner of any scheduled Curtailment.
- 33.2 45.2 Transmission Constraints: During any period when the Transmission Provider System Operator determines that a transmission constraint exists on

the NEPOOL Transmission System, and such constraint may impair the reliability of the Transmission Provider's system, the Transmission Provider NEPOOL System, the System Operator will take whatever actions, consistent with Good Utility Practice, that are reasonably necessary to maintain the reliability of the Transmission Provider's system. To the extent the Transmission Provider System Operator determines that the reliability of the Transmission System can be maintained by redispatching resources, the Transmission Provider System Operator will initiate procedures pursuant to the a Network Operating Agreement to redispatch all Network Resources and the Transmission Provider's Participants' own resources on a leastcost basis without regard to the ownership of such resources. Any redispatch under this section may not unduly discriminate between the Transmission Provider's Participants' use of the NEPOOL Transmission System on behalf of its their Native Load Customers and any Network Customer's Customer's use of the Transmission System to serve

its designated Network Load.

- Constraints: Whenever the Transmission Provider

  implements least To the extent not otherwise

  covered under the Agreement, whenever the System

  Operator implements least—cost redispatch

  procedures in response to a transmission

  constraint, the Transmission Provider Participants

  and Network Customers will each bear a

  proportionate share of the total redispatch cost

  based on their respective Load Ratio Shares.
- transmission constraint on the Transmission

  Provider's NEPOOL Transmission System cannot be relieved through the implementation of least-cost redispatch procedures and the Transmission Provider

  System Operator determines that it is necessary to Curtail scheduled deliveries, the Parties shall Curtail such schedules in accordance with the a Network Operating Agreement.
- 33.5 45.5 Allocation of Curtailments: The Transmission

  Provider System Operator shall, on a non-

discriminatory basis, Curtail the transaction(s)
that effectively relieve the constraint. However,
to the extent practicable and consistent with Good
Utility Practice, any Curtailment will be shared by
the Transmission Provider and Participants and the
Network Customer in proportion to their respective
Load Ratio Shares. The Transmission Provider

System Operator shall not direct the Network
Customer to Curtail schedules to an extent greater
than the Transmission Provider System Operator
would Curtail the Transmission Provider's
Participants' schedules under similar
circumstances.

contingency exists on the Transmission Provider's

NEPOOL Transmission System and the Transmission

Provider System Operator determines that it is
necessary for the Transmission Provider

Participants and the Network Customer to shed load,
the Parties shall shed load in accordance with
previously established procedures under the Network
Operating Agreement, or in accordance with other

# mutually agreed-to provisions. -

33.7 45.7 System Reliability: Notwithstanding any other provisions of this Tariff, the Transmission Provider System Operator reserves the right, consistent with Good Utility Practice and on a not unduly discriminatory basis, to Curtail Network Integration Transmission Service without liability on the Transmission Provider's part part of the System Operator or the Participants for the purpose of making necessary adjustments to, changes in, or repairs on its the Participants' lines, substations and facilities, and in cases where the continuance of Network Integration Transmission Service would endanger persons or property. In the event of any adverse condition(s) or disturbance(s) on the Transmission Provider's NEPOOL Transmission System or on any other system(s) directly or indirectly interconnected with the Transmission Provider's NEPOOL Transmission System, the Transmission Provider System Operator, consistent with Good Utility Practice, also may Curtail Network

Integration Transmission Service in order to (i)

limit the extent or damage of the adverse condition(s) or disturbance(s), (ii) prevent damage to generating or transmission facilities, or (iii) expedite restoration of service. The Transmission Provider System Operator will give the Network Customer as much advance notice as is practicable in the event of such Curtailment. Any Curtailment of Network Integration Transmission Service will be not unduly discriminatory relative to the Transmission Provider's Participants' use of the Transmission System on behalf of its their Native Load Customers. The Transmission Provider Network Operating Agreement shall specify the rate treatment and all related terms and conditions applicable in the event that the Network Customer fails to respond to established Load Shedding and Curtailment procedures.

## 34 46 Rates and Charges

The Network Customer shall pay the Transmission Provider for any Direct Assignment Facilities, Ancillary Services, and applicable study costs, consistent with Commission policy, along with the following: charge for Regional Network Service

provided in Part II of this Tariff.

- 34.1 Monthly Demand Charge: The Network Customer shall pay a monthly Demand Charge, which shall be determined by multiplying its Load Ratio Share times one twelfth (1/12) of the Transmission Provider's Annual Transmission Revenue Requirement specified in Schedule H.
- Network Load: The Network Customer's monthly Customer's

  "Monthly Network Load" is its hourly load (including its designated Network Load not physically interconnected with the Transmission Provider under Section 31.3) 43.3) coincident with the Transmission Provider's Monthly

  Transmission System Peak. coincident aggregate load of the Participants and other Network Customers served in each Local Network in the hour in which the coincident load is at its maximum for the month ("Monthly Peak").
  - 34.3 Determination of Transmission Provider's Monthly

    Transmission System Load: The Transmission Provider's monthly

    Transmission System load is the Transmission Provider's

    Monthly Transmission System Peak minus the coincident peak

    usage of all Firm Point-To-Point Transmission Service

customers pursuant to Part II of this Tariff plus the

Reserved Capacity of all Firm Point-To-Point Transmission

Service customers.

#### 34.4 Redispatch Charge: The

under the Agreement, the Network Customer shall pay a
Load Ratio Share of any redispatch costs allocated
between the Network Customer and the Transmission

Provider Participants pursuant to Section 33 45. To the
extent that the Transmission Provider incurs

Participants incur an obligation to the Network Customer
for redispatch costs in accordance with Section 33 45,
such amounts shall be credited against the Network

Customer's Customer's bill for the applicable month.

34.5 Stranded Cost Recovery: The Transmission Provider may seek to recover stranded costs from the Network Customer pursuant to this Tariff in accordance with the terms, conditions and procedures set forth in FERC Order No. 888. However, the Transmission Provider must separately file any proposal to recover stranded costs under Section 205 of the Federal Power Act.

## 35 47 Operating Arrangements

#### <del>35.1</del>

47.1 Operation under The Network Operating Agreement: The

Network Customer shall plan, construct, operate and

maintain its facilities in accordance with Good Utility

Practice and in conformance with the Network Operating

Agreement which shall be in the form of Exhibit H to

this Tariff, or in any other form that is mutually

agreed to.

# 47.2<del>.</del>

Which the Network Customer shall operate its facilities and the technical and operational matters associated with the implementation of Part \*\*III VI\* of the Tariff shall be specified in the Network Operating Agreement. The Network Operating Agreement shall provide for the Parties to (i) operate and maintain equipment necessary for integrating the Network Customer within the \*\*Transmission Provider's NEPOOL\*\* Transmission System (including, but not limited to, remote terminal units, metering, communications equipment and relaying equipment), (ii) transfer data between the \*\*Transmission Provider\*\* System Operator\* and the Network

Customer (including, but not limited to, heat rates and operational characteristics of Network Resources, generation schedules for units outside the Transmission Provider's NEPOOL Transmission System, interchange schedules, unit outputs for redispatch required under Section 33 45, voltage schedules, loss factors and other real time data), (iii) use software programs required for data links and constraint dispatching, (iv) exchange data on forecasted loads and resources necessary for long-term planning, and (v) address any other technical and operational considerations required for implementation of Part III VI of the this Tariff, including scheduling protocols. The Network Operating Agreement will recognize that the Network Customer shall either (i) operate as a Control Area under applicable quidelines of the North American Electric Reliability Council (NERC) and the {applicable regional reliability council} Northeast Power Coordinating Council, (ii) satisfy its Control Area requirements, including all necessary Ancillary Services, by contracting with the <del>Transmission Provider</del> System Operator and the Participants, or (iii) satisfy its Control Area requirements, including all necessary Ancillary Services, by contracting with another entity Entity,

consistent with Good Utility Practice, which satisfies NERC and the {applicable regional reliability council} NPCC requirements. The Transmission Provider System Operator shall not unreasonably refuse to accept contractual arrangements with another entity for Ancillary Services.

The Network Operating Agreement is included in Attachment G.

25.3 Another Metwork Operating Committee: A Network Operating

Committee (Committee) shall be established to coordinate operating criteria for the Parties' Parties' respective responsibilities under the Network Operating Agreement, where the Network Customer is not a Participant. Each Network Customer shall be entitled to have at least one representative on the Committee. The Committee shall meet from time to time as need requires, but no less than once each calendar year.

#### SCHEDULE 1

#### Scheduling, System Control and Dispatch Service

This service is Scheduling, System Control and Dispatch Service is the service required to schedule at the pool level the movement of power through, out of, within, or into a Control Area. This service the NEPOOL Control Area. It is anticipated that local level service would be provided under the Local Network Service tariffs of the individual Transmission Providers. For transmission service under this Tariff, this Ancillary Service can be provided only by the operator of the Control Area in which the transmission facilities used for transmission service are located. System Operator and the Transmission Customer must purchase this service from the System Operator. Charges for Scheduling, System Control and Dispatch Service is to be provided directly by the Transmission Provider (if the Transmission Provider is the Control Area operator) or indirectly by the Transmission Provider making arrangements with the Control Area operator that performs this service for the Transmission Provider's Transmission System. The Transmission Customer must purchase this service from the Transmission Provider or the Control Area operator. The charges for Scheduling, System Control and Dispatch Service are to be based on the rates set forth below. To the extent the Control Area operator performs this service for the Transmission Provider, charges to the Transmission Customer are to reflect only a pass-through of the costs charged to the Transmission Provider by that Control Area operator. expenses incurred by the System Operator, the satellite dispatch centers and the Participants to provide these services. A surcharge for these services will be added to the Through or Out Service rate. Transmission Customers taking Regional Network Service and Local Network Service will have a similar surcharge added to their Local Network Service rates pursuant to their individual tariffs.

The charges for service in conjunction with Regional Network

Service may be recovered as described above for an initial period

not exceeding six months and shall be superseded after such period

by a rate under this Schedule to be determined and filed with the

Commission which shall be cost-based.

The System Operator expenses will be based on the functions required to provide these services and include, but are not limited to:

Processing and implementation of requests for service,
including support of the NEPOOL OASIS node;
Coordination of transmission system operation and
implementation of necessary control actions by the
System Operator and support for these functions;

Billing associated with transmission services provided under this Tariff;

Transmission system planning which supports this service;

Administrative costs associated with the aforementioned functions.

The satellite dispatch center expenses and the Participant expenses will in each case be an allocated portion of dispatch center expense for the PTF dispatch functions performed.

Initially, 50% of the costs of the satellite dispatch centers and 0% of the scheduling, system control and dispatch centers of Participants will be allocated to PTF dispatch functions. This cost basis shall apply for a period not exceeding six months and shall be superseded after such period by a rate under this Schedule to be determined and filed with the Commission which shall be cost-based.

This surcharge shall be determined for the same period on which the PTF rate is based. The rate surcharge for each year is the amount derived by dividing the total annual expenses for providing the service by the sum of the average of the coincident Monthly Peaks (as defined in Section 46.1) of all Local Networks for the same calendar year.

RATE SURCHARGE CALCULATION
FOR YEAR ONE

Total Allocated Expenses	\$11,720,093
Sum of the coincident Monthly Peaks of all Participants	17,823,928kW
Rate (Expenses/sum of Pks)	\$0.658

#### SCHEDULE 2

# Reactive Supply and Voltage Control from Generation Sources Service

In order to maintain transmission voltages on the NEPOOL Transmission Provider's transmission facilities System within acceptable limits, generation facilities (in the Control Area where the Transmission Provider's transmission facilities are <del>located)</del> are operated to produce (or absorb) reactive power. Thus, Reactive Supply and Voltage Control from Generation Sources Service must be provided for each transaction on the NEPOOL Transmission Provider's transmission facilities System. The amount of Reactive Supply and Voltage Control from Generation Sources Service that must be supplied with respect to the a Transmission Customer's transaction will be determined based on the reactive power support necessary to maintain transmission voltages within limits that are generally accepted in the region and consistently adhered to by the Transmission Provider Participants.

Reactive Supply and Voltage Control from Generation Sources

Service is to be provided directly by the Transmission Provider

(if the Transmission Provider is the Control Area operator) or

indirectly by the Transmission Provider making arrangements with

Transmission Provider's Transmission System. The through the Participants and the System Operator and the Transmission Customer must purchase this service from the Transmission Provider or the Control Area operator Participants through the System Operator.

The charges for such service will be based on the rates set forth below. To the extent the Control Area operator performs this service for the Transmission Provider, charges to the Transmission Customer are to reflect only a pass through of the costs charged to the Transmission Provider by the Control Area operator for an initial period not exceeding six months shall be \$0 per Kilowatt and shall be superseded after such period by a rate to be determined and filed with the Commission which shall be cost-based.

# Regulation and Frequency Response Service (Automatic Generator Control)

Regulation and Frequency Response Service (Automatic Generator Control) is necessary to provide for the continuous balancing of resources (generation and interchange) with load Load, and for maintaining scheduled Interconnection interconnection frequency at sixty cycles per second (60 Hz). Regulation and Frequency Response Service (Automatic Generation Control) is accomplished by committing on-line generation whose output is raised or lowered (predominantly through the use of automatic generating control equipment) as necessary to follow the moment-by-moment changes in <del>load</del> Load. The obligation to maintain this balance between resources and <del>load lies with the Transmission</del> Provider (or the Control Area operator that performs this function for the Transmission Provider). The Transmission Provider must offer this service when the transmission service is used to serve load within its Control Area Load lies with the System Operator and this service will be available to all Participants and other entities that serve Load within the NEPOOL Control Area which enter into separate agreements with NEPOOL through Interchange

Transactions pursuant to the Agreement which result from NEPOOL central dispatch. The Transmission Customer must either purchase take this service from the Transmission Provider System Operator or through the Interchange or make alternative comparable arrangements to satisfy its Regulation and Frequency Response Service obligation. The amount of and charges for (Automatic Generator Control or AGC) obligation.

As of December 1, 1996, charges for this Service are determined under the Prior Agreement as follows:

Payments and reimbursements under the current AGC Billing
System fall into two categories. First, those Participants
who have either not made the appropriate installation
arrangements, or who have responsibility for units that have
not met the minimum AGC availability criterion, are required
to pay into a Fixed Cost fund. The dollars collected in the
fund are paid to lead Participants having AGC capability in
accordance with a formula which provides for distribution of
the Fixed Cost Fund. The billing for fixed costs is done on
a calendar year basis, by April 1 of the following year.
Second, the AGC Billing system compensates the lead
Participants for the loss of efficiency and increased
maintenance costs that are experienced as a result of AGC

operation of their units. An amount representing an estimate of the total increased hourly operating costs is collected from all Participants pro rata to their hourly load. These collected funds are distributed to the lead Participants who incurred the costs. Billing for hourly costs is done on a monthly basis.

As of the Second Effective Date, charges for this Service will be determined on the basis of Bid Prices submitted by the Participants in accordance with Section 14 of the Agreement.

The transmission service required with respect to Regulation and Frequency Response Service are set forth below. To the extent the Control Area operator performs this service for the Transmission Provider, charges to the Transmission Customer are to reflect only a pass through of the costs charged to the Transmission Provider by that Control Area operator. (Automatic Generator Control) will be paid for as part of Regional Network Service by all Participants and other entities serving Load in the NEPOOL Control Area. The charge for Regional Network Service is specified in Schedule 9.

# Energy Imbalance Service

Energy Imbalance Service is the service provided when a difference occurs between the scheduled and the actual delivery of energy to a load located within a Control Area over a single hour. The Transmission Provider must offer this service when the transmission service is used to serve load within its Control Area Load located within the NEPOOL Control Area during a single hour. This service will be available to all Participants and other entities that serve Load within the NEPOOL Control Area which enter into separate agreements with NEPOOL through Interchange Transactions resulting from NEPOOL central dispatch at prices which will be determined in accordance with Section 12 of the Prior Agreement until the Second Effective Date, and which will be determined in accordance with Section 14 of the Agreement thereafter. The Transmission Customer may either supply its Load from its own resources or through bilateral transactions or obtain the service through Interchange Transactions. The transmission service required with respect to Interchange Transactions will be furnished as part of Regional Network Service to all Participants and other entities serving Load in the NEPOOL Control Area. The charge for Regional Network Service is specified in Schedule 9.

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or make alternative comparable arrangements to satisfy its Energy
Imbalance Service obligation. To the extent the Control Area
operator performs this service for the Transmission Provider,
charges to the Transmission Customer are to reflect only a
pass through of the costs charged to the Transmission Provider by
that Control Area operator.

The Transmission Provider shall establish a deviation band of +/

1.5 percent (with a minimum of 1 MW) of the scheduled transaction
to be applied hourly to any energy imbalance that occurs as a
result of the Transmission Customer's scheduled transaction(s).

Parties should attempt to eliminate energy imbalances within the
limits of the deviation band within thirty

(30) days or within such other reasonable period of time as is
generally accepted in the region and consistently adhered to by
the Transmission Provider. If an energy imbalance is not corrected
within thirty (30) days or a reasonable period of time that is
generally accepted in the region and consistently adhered to by
the Transmission Provider, the Transmission Customer will
compensate the Transmission Provider for such service. Energy
imbalances outside the deviation band will be subject to charges
to be specified by the Transmission Provider. The charges for

Energy Imbalance Service are set forth below.

#### SCHEDULE 5

# Operating Reserve - 10-Minute Spinning Reserve Service

10-Minute Spinning Reserve Service is a service needed to serve load immediately in the event of a system contingency. Spinning Reserve Service may be provided by generating units that are on-line and loaded at less than maximum output. The Transmission Provider must offer this service when the transmission service is used to serve load within its Control Area This service will be available to all Participants and other entities that serve load within the NEPOOL Control Area which enter into separate agreements with NEPOOL through Interchange Transactions resulting from NEPOOL central dispatch. Transmission Customer must either purchase this service from the Transmission Provider or make alternative comparable arrangements to satisfy its may either supply this service with its own resources or through bilateral transactions or obtain the service through Interchange Transactions on terms determined until the Second Effective Date in accordance with Section 12 of the Prior Agreement, and on terms determined thereafter in accordance with Sections 14.4, 14.5 and 14.9 of the Agreement.

Under the Prior Agreement arrangements which will remain in

effect until the Second Effective Date, operating reserve is provided through central dispatch and the after-the-fact own load energy billing arrangements. Prior Agreement, §§12.5 - 12.8.

Participants that are deemed to carry operating reserve in any hour are entitled to share in distributions each month from the Pool Savings Fund. Prior Agreement §§14.1(e)(viii)(B) and 14.8(d). These arrangements are equally applicable to 10-Minute Spinning Reserve Service obligation. The amount of and charges for, 10-Minute Non-Spinning Reserve Service are set forth below. To the extent the Control Area operator performs this service for the Transmission Provider, charges to the Transmission Customer are to reflect only a pass through of the costs charged to the Transmission Provider by that Control Area operator and 30-Minute Reserve Service. Prior Agreement, §§12.5, 14.1(e)(viii)(B) and 14.8(d).

Under Sections 14.4, 14.5 and 14.9 of the Agreement, as it
will be in effect after the Second Effective Date, the price to be
paid for 10-Minute Non-Spinning Reserve Service or 30-Minute
Operating Reserve Service received in any hour will be the
Operating Reserve Clearing Price for the hour for that category of
reserve service, as determined on the basis of bid prices to
provide the service. Agreement, §14.9(a) and (b). After the

Third Effective Date, the price to be paid for 10-Minute Spinning Reserve Service will be determined on the same basis. Agreement, §14.9(a) and (c). During the period from the Second Effective Date until the Third Effective Date, the price for 10-Minute Spinning Reserve Service will be equal to the "Lost Opportunity Clearing Price" for the hour and the lost opportunity costs, if any, for the generating units which supply the service, as determined in accordance with Section 14.9 of the Agreement.

Agreement, §14.9(c) and (d).

The Transmission Service required with respect to Interchange Transactions will be furnished as part of Regional Network Service to all Participants and other entities serving Load in the NEPOOL Control Area. The charge for Regional Network Service is determined in accordance with Section 16 of the Tariff and Schedule 9.

Operating Reserve - Supplemental 10-Minute Non-Spinning Reserve Service

Supplemental 10-Minute Non-Spinning Reserve Service is a service needed to serve <del>load</del> Load in the event of a system contingency; however, it is not available immediately to serve load but rather within a short period of time. Supplemental Reserve Service may be provided by generating units that are on line but unloaded, by quick start generation or by interruptible load. The Transmission Provider must offer this service when the transmission service is used to serve load within its Control Area. This service will available to all Participants and other entities that serve Load within the NEPOOL Control Area which enter into separate agreements with NEPOOL through Interchange Transactions resulting from NEPOOL central dispatch. The Transmission Customer must either purchase this service from the Transmission Provider or make alternative comparable arrangements to satisfy its Supplemental Reserve Service obligation. The amount of and charges for Supplemental Reserve Service are set forth below. To the extent the Control Area operator performs this service for the Transmission Provider,

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charges to the Transmission Customer are to reflect only a

pass through of the costs charged to the Transmission Provider by

that Control Area operator. may either supply this service with

its own resources or through bilateral transactions or obtain the

service through Interchange Transactions on terms determined until

the Second Effective Date in accordance with Section 12 of the

Prior Agreement, and on terms determined thereafter in accordance

with Sections 14.4, 14.5 and 14.9 of the Agreement.

SCHEDULE 7 Under the Prior Agreement arrangements which will remain in effect until the Second Effective Date, operating reserve is provided through central dispatch and the after-the-fact own load energy billing arrangements. Prior Agreement, \$\\$12.5 - 12.8. Participants that are deemed to carry operating reserve in any hour are entitled to share in distributions each month from the Pool Savings Fund. Prior Agreement \$\\$14.1(e)(viii)(B) and 14.8(d). These arrangements are equally applicable to 10-Minute Spinning Reserve Service, 10-Minute Non-Spinning Reserve Service and 30-Minute Reserve Service. Prior Agreement, \$\\$12.5, 14.1(e)(viii)(B) and 14.8(d).

Long Term Firm and Short Term Firm Point To Point Under

Sections 14.4, 14.5 and 14.9 of the Agreement, as it will be in

effect after the Second Effective Date, the price to be paid for

Reserve Service received in any hour will be the Operating Reserve Clearing Price for the hour for that category of reserve service, as determined on the basis of bid prices to provide the service.

Agreement, §14.9(a) and (b). After the Third Effective Date, the price to be paid for 10-Minute Spinning Reserve Service will be determined on the same basis. Agreement, §14.9(a) and (c).

During the period from the Second Effective Date until the Third Effective Date, the price for 10-Minute Spinning Reserve Service will be equal to the "Lost Opportunity Clearing Price" for the hour and the lost opportunity costs, if any, for the generating units which supply the service, as determined in accordance with Section 14.9 of the Agreement. Agreement, §14.9(c) and (d).

Transmission Service The Transmission Service required with respect to Interchange Transactions will be furnished as part of Regional Network Service to all Participants and other entities serving Load in the NEPOOL Control Area. The charge for Regional Network Service is determined in accordance with Section 16 of the Tariff and Schedule 9.

# Operating Reserve - 30-Minute Reserve Service

30-Minute Reserve Service is a service needed to serve Load in the event of a system contingency. This service will be available to all Participants and other entities that serve Load within the NEPOOL Control Area which enter into separate agreements with NEPOOL through Interchange Transactions resulting from NEPOOL central dispatch. The Transmission Customer shall compensate the Transmission Provider each month for Reserved Capacity at the sum of the applicable charges set forth below:

- 1) Yearly delivery: one-twelfth of the demand charge of \$ /KW of Reserved Capacity per year.
- 2) Monthly delivery: \$ /KW of Reserved Capacity per month.
- 3) Weekly delivery: \$ /KW of Reserved Capacity per week.
- 4) Daily delivery: \$ /KW of Reserved Capacity per day. The total demand charge in any week, pursuant to a reservation for Daily delivery, shall not exceed the rate specified in section (3) above times the highest amount in kilowatts of Reserved Capacity in any day during such week.
- 5) Discounts: If the Transmission Provider offers an affiliate

rate discount or attributes a discounted transmission rate to its own transactions, the Transmission Provider must offer at the same time the same discounted Firm Point-To-Point Transmission Service rate to all Eligible Customers on the same path and on all unconstrained transmission paths. Information regarding any firm transmission discounts must be posted on the OASIS pursuant to Part 37 of the Commission's regulations. In addition, discounts to non affiliates must be offered in a not unduly discriminatory manner.

SCHEDULE 8

Non-Firm Point-To-Point Transmission Service

The Transmission Customer shall compensate the Transmission

Provider for Non Firm Point To Point Transmission Service up to
the sum of the applicable charges set forth below:

- 1) Monthly delivery: \$ /KW of Reserved Capacity per month.
- 2) Weekly delivery: \$ /KW of Reserved Capacity per week.
- 3) Daily delivery: \$ /KW of Reserved Capacity per day.

The total demand charge in any week, pursuant to a reservation for Daily delivery, shall not exceed the rate specified in section (2) above times the highest amount in kilowatts of Reserved Capacity

in any day during such week.

4) Hourly delivery: The basic charge shall be that agreed upon by the Parties at the time this service is reserved and in no event shall exceed \$ /MWH. The total demand charge in any day, pursuant to a reservation for Hourly delivery, shall not exceed the rate specified in section (3) above times the highest amount in kilowatts of Reserved Capacity in any hour during such day. In addition, the total demand charge in any week, pursuant to a reservation for Hourly or Daily delivery, shall not exceed the rate specified in section (2) above times the highest amount in kilowatts of Reserved Capacity in any hour during such week. 5) Discounts: If the Transmission Provider offers an affiliate a rate discount or attributes a discounted transmission rate to its own transactions, the Transmission Provider must offer at the same time the same discounted Non-Firm Point-To-Point Transmission Service rate to all Eligible Customers on the same path and on all unconstrained transmission paths. Information regarding any nonfirm transmission discounts must be posted on the OASIS pursuant to Part 37 of the Commission's regulations. In addition, discounts to non affiliates must be offered in a not unduly discriminatory manner. may either supply this service with its own resources or through bilateral transactions or obtain the service through

Interchange Transactions on terms determined until the Second

Effective Date in accordance with Section 12 of the Prior

Agreement, and on terms determined thereafter in accordance with

Sections 14.4, 14.5 and 14.9 of the Agreement.

Under the Prior Agreement arrangements which will remain in effect until the Second Effective Date, operating reserve is provided through central dispatch and the after-the-fact own load energy billing arrangements. Prior Agreement, §§12.5 - 12.8.

Participants that are deemed to carry operating reserve in any hour are entitled to share in distributions each month from the Pool Savings Fund. Prior Agreement §§14.1(e)(viii)(B) and 14.8(d). These arrangements are equally applicable to 10-Minute Spinning Reserve Service, 10-Minute Non-Spinning Reserve Service and 30-Minute Reserve Service. Prior Agreement, §§12.5, 14.1(e)(viii)(B) and 14.8(d).

Under Sections 14.4, 14.5 and 14.9 of the Agreement, as it will be in effect after the Second Effective Date, the price to be paid for 10-Minute Non-Spinning Reserve Service or 30-Minute Operating Reserve Service received in any hour will be the Operating Reserve Clearing Price for the hour for that category of reserve service, as determined on the basis of bid prices to provide the service. Agreement, §14.9(a) and (b). After the

Third Effective Date, the price to be paid for 10-Minute Spinning Reserve Service will be determined on the same basis. Agreement, §14.9(a) and (c). During the period from the Second Effective Date until the Third Effective Date, the price for 10-Minute Spinning Reserve Service will be equal to the "Lost Opportunity Clearing Price" for the hour and the lost opportunity costs, if any, for the generating units which supply the service, as determined in accordance with Section 14.9 of the Agreement.

Agreement, §14.9(c) and (d).

The Transmission Service required with respect to Interchange
Transactions will be furnished as part of Regional Network Service
to all Participants and other entities serving Load in the NEPOOL
Control Area. The charge for Regional Network Service is
determined in accordance with Section 16 of the Tariff and
Schedule 9.

#### Through or Out Service -

#### The Pool PTF Rate

- (1) Except as otherwise provided in Section 19 of the Tariff, a

  Transmission Customer shall pay to NEPOOL for firm or non-firm

  Through or Out Service reserved for it in accordance with Section

  19 of the Tariff on the basis of the Pool PTF rate.
- annually on the basis of the information for the most recent calendar year contained in Form 1 filings (or similar information on the books of Transmission Providers that are not required to submit a Form 1 filing) and shall be changed annually effective as of June 1 in each year. The Pool PTF rate shall be equal to the sum for all Participants of Annual Transmission Revenue

  Requirements determined in accordance with Attachment F divided by the sum of the coincident Monthly Peaks (as defined in Section 46.1) of all Local Networks. The rate for the period from the effective date of this Tariff until June 1, 1997 is determined on the basis of the information for 1995 contained in Form 1 filings (or similar information on the books of Transmission Providers that are not required to submit a Form 1 filing) and is \$15.61.

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#### Regional Network Service

(1) A Transmission Customer which serves Load in the NEPOOL

Control Area shall pay to NEPOOL each month for Regional Network

Service the amount determined in accordance with the following

formula:

 $A = 1/12 (R \cdot L)$ 

in which

A = the amount to be paid

- R = the Participant RNS Rate per Kilowatt for the current

  Year for the Participant which owns the Local Network

  from which the Customer's load is served
- L = the Customer's Monthly Network Load for the month

  Each Participant RNS Rate is to be determined in accordance with
  the remaining provisions of this Schedule 9. The Participants
  intend that the rate will be determined by looking separately at
  the costs associated with facilities which are in service at
  December 31, 1996, and the costs associated with new facilities
  which are placed in service after December 31, 1996. Costs of new
  facilities are to be shared regionally on a per Kilowatt basis in
  determining the rates of each of the Participants with a Local
  Network.

Costs of existing facilities are to be determined separately for each Participant and reflected in the rate for service to

Transmission Customers serving load in the Participant's Local

Network. This is subject to a band width which limits the variation of the Participant per Kilowatt cost from the average per Kilowatt cost for all Participants to not less than 70%, or more than 130%, of the average cost.

- (2) The Pool RNS Rate per Kilowatt is \$1 in Year One, \$4 in Year Two, \$7 in Year Three, \$10 in Year Four and \$13 in Year Five and the period from the end of Year Five to the next succeeding June 1, and is equal to the Pool PTF Rate for each Year thereafter.
- (3) The Participant RNS Rate for a Participant for a Year shall be a percentage of the Pool RNS Rate for the year and shall be equal to the Pool RNS Rate after the end of the transitional period described in paragraph (4) of this Schedule. The percentage for each Participant for each Year shall equal the percentage which the sum of (i) the Participant's pre-1997 Participant RNS Rate and (ii) the post-1996 Pool PTF Rate represents of (iii) the Pool PTF Rate for the Year.

(4) The pre-1997 Participant RNS Rate for each Participant shall be determined by comparing its individual pre-1997 PTF Rate, for the most recent calendar year for which information is available from Form 1 filings or otherwise to the pre-1997 Pool PTF Rate for the same calendar year. If the Participant's individual pre-1997 PTF Rate for a Year is less than the pre-1997 Pool PTF Rate, its pre-1997 Participant RNS Rate for the Year shall be the rate determined by reducing the pre-1997 Pool PTF Rate by the percentage which the Participant's pre-1997 PTF Rate is less than the pre-1997 Pool PTF Rate; provided that in no event shall its pre-1997 Participant RNS Rate be less than 70% of the pre-1997 Pool PTF Rate, until the end of Year Five, and thereafter shall be If the Participant's individual pre-1997 PTF Rate is greater than the pre-1997 Pool PTF Rate, its pre-1997 Participant RNS Rate shall be the rate determined by increasing the pre-1997 Pool PTF Rate by the percentage which its pre-1997 Participant PTF Rate is greater than the pre-1997 Pool PTF Rate; provided that in no event shall its pre-1997 Participant RNS Rate be greater than 130% of the pre-1997 Pool PTF Rate until the end of Year Five, and

 $<sup>^{2}</sup>$  The sentence shall be completed in accordance with the 33rd Amendment.

thereafter shall be . . . . <sup>3</sup> If for any Year the revenues to be received from the payment by Participants or other Transmission

Customers of their respective applicable Participant RNS Rates

will average more or less than the Pool PTF Rate per Kilowatt for the Year, each Participant RNS Rate will be increased or decreased, as appropriate, so that the revenues to be received per Kilowatt per Year will equal the Pool PTF Rate per Kilowatt for the Year.

(5) The individual pre-1997 PTF Rate of a Participant which owns a Local Network for a year is the amount derived annually by dividing its Annual Transmission Revenue Requirements for the most recent calendar year for which information is available from Form 1 filings (or similar information on the books of Transmission Providers that are not required to submit a Form 1 filing) with respect to PTF placed in service before January 1, 1997, as determined in accordance with Attachment F to this Tariff, by the average of the Monthly Peaks (as adjusted for losses) for the Local Network for the twelve months of the same calendar year.

 $<sup>^{3}</sup>$  The sentence shall be completed in accordance with the 33rd Amendment.

(6) The pre-1997 Pool PTF Rate shall be determined in accordance with the following formula:

 $\frac{R = ATRR}{12CP}$ 

and the post-1996 Pool PTF Rate shall be determined in accordance with the following formula:

 $\frac{R' = ATRR'}{12CP}$ 

in which

R = the pre-1997 Pool PTF Rate

R' = the post-1996 Pool PTF Rate

- ATRR = the aggregate of the Annual Transmission Revenue

  Requirements of the Participants with respect to

  PTF placed in service before January 1, 1997, as determined in accordance with Attachment F to this Tariff.
- ATRR' = the aggregate of the Annual Transmission Revenue
  Requirements of the Participants with respect to
  PTF placed in service on or after January 1, 1997,
  including upgrades, modifications or additions to
  PTF placed in service before January 1, 1997, as
  determined in accordance with Attachment F to this
  Tariff.
- 12CP = the average of the sum of the Monthly Peaks for all Local Networks, as adjusted each month for NEPOOL losses, of all Participants and any other entities serving load in the NEPOOL Control Area for the twelve months of the calendar year on which the rate is based.
- (7) As used in this Schedule, "Monthly Peak" and "Monthly Network

Load" each has the meaning specified in Section 46.1 of this Tariff.

# (8) The individual Participant RNS Rates for Year One are as follows:

Bangor Hydro-Electric Company -	\$0.70
Boston Edison Company -	\$0.92
Central Maine Power Company -	\$1.06
Commonwealth Energy System Companies -	\$0.85
Eastern Utility Associates Companies -	\$0.73
New England Electric System Companies -	\$1.30
Northeast Utilities Companies -	\$0.83
The United Illuminating Company -	\$1.30
Vermont Utilities -	\$1.30

# Tie Benefit Service

(1) A Transmission Customer shall pay to NEPOOL for each month for

Tie Benefit Service received by it in accordance with the

following formula:

 $A = 1/12 (T \cdot K)$ 

#### in which:

- A = the amount to be paid for each Kilowatt of Tie Benefit

  Service received in the form of a reduction of is

  Installed Capability Responsibility
- T = \$10 per Kilowatt of Tie Benefit Service received per
  year in Years One to Five, inclusive, \$4 per Kilowatt
  per year in Years Six and Seven, \$3 per Kilowatt per
  year in Years Eight and Nine and \$2 per Kilowatt per
  year in Year Ten.
- K = the number of Kilowatts of Tie Benefit Service received for the month, as determined in accordance with the definition of Tie Benefit Service.

# Transition Payments

Transition Payments shall be made to NEPOOL by the

Participants and Non-Participants identified below, and

distributed by NEPOOL to the other Participants and Non
Participants identified below, in Years One through Five in

accordance with the following schedule in which amounts in

parentheses represent amounts to be paid and amounts not in

parentheses represent amounts to be received:

Participant			Year		
	<u>1</u>	2	3	4	<u>5</u>
BECO	(3,460,409)	352,888	655,961	120,420	(1,189,302)
Braintree Elec. Light	1,019,985	936,404	830,078	783,424	804,749
Hingham Muni. Light	409,977	372,927	326,273	303,222	307,056
Hull Muni. Light	103,410	92,261	80,560	`73,779	73,674
Reading Muni. Light	956,463	868,976	768,941	703,872	711,416
Bangor Hydro	(88,286)	301,113	666,716	1,033,609	1,396,701
Commonwealth Energy	(90,465)	210,166	316,383	358,522	290,808
System*					
Ct. Maine Power	(1,441,177)	(1,596,892)	(1,764,604)	(1,688,178)	(1,480,940)
EUA	(1,119,789)	(704,204)	(440,303)	(230,007)	(109,043)
Middleborough G&E	236,784	194,329	<u>162,719</u>	<u>`144,236</u>	<u>138,196</u>
Pascoag Fire Dist.	<u>1,759</u>	<u>(108)</u>	(2,244)	<u>(4,587)</u>	<u>(7,176)</u>
Taunton Muni. Light	1,098,773	892,525	735,725	639,180	599,572
MMVEC	(50,883)	<u>(162,834)</u>	(214,811)	(204,589)	(133,698)
NEES	918,127	(822,712)	(341,538)	317,099	938,226
Ashburnham Muni.Light	4,187	(8,346)	(17,475)	(22,376)	(23,109)
Boylston Muni. Light	3,337	(9,004)	(18,125)	(23,227)	(24,373)
Danvers Elec.	67,873	(105,566)	(232,365)	(301,122)	(312,689)
Georgetown Muni.Light	8,919	<u>9,124</u>	<u>7,991</u>	<u>6,682</u>	4,718
Groton Elec.	<u>13,176</u>	12,863	10,788	8,428	<u>5,169</u>
Holden Muni. Light	39,964	<u>36,850</u>	29,950	22,208	12,384
Hudson L&P	294,644	130,282	8,412	(60,467)	(77,210)
Ipswich Muni. Light	155,143	157,653	156,972	156,179	154,044
Littleton Elec. L&W	(41,792)	(124,360)	(181,621)	(207,836)	(203,312)
Mansfield	83,288	76,729	62,449	46,434	26,162
Marblehead Muni.Light	69,348	18,273	(18,507)	(37,573)	(39,161)
Middleton	15,231	(26,935)	(67,844)	(74,736)	<u>(77,817)</u>

Participant			Year		
	1	2	3	4	5
N. Attleboro Elec.	59,312	59,924	62,991	45,016	33,314
Paxton Muni. Light	1,824	969	(700)	(2,554)	(4,858)
Peabody Muni. Light	762,626	544,564	386,925	304,244	295,500
Princeton Muni. Light	3,419	3,882	3,868	3,805	3,538
Rowley Muni. Light	<u>6,179</u>	7,068	7,021	6,920	6,406
Shrewsbury Elec.	261,921	130,398	35,838	(12,937)	(16,527)
Sterling Muni. Elec.	18,854	(1,024)	(15,612)	(23,612)	(25,121)
Templeton Muni. Light	37,375	<u>546</u>	(26,242)	(40,551)	(42,660)
Wakefield Muni. Light	(11,264)	(13,518)	(22,064)	(31,716)	(44,651)
W. Boylston Muni.	24,885	(6,344)	(29,299)	(41,940)	(44,425)
Fitchburg G&E	375,396	164,002	(1,323)	(76,301)	(68,833)
NU	(2,179,968)	(4,378,647)	(3,297,668)	(3,942,864)	(4,282,771)
Chicopee Muni. Light	64,797	201,419	<u>350,157</u>	487,426	622,938
CMEEC	183,949	853,425	1,584,866	2,271,496	2,957,717
Holyoke G&E	730,188	582,945	503,020	463,539	472,877
S. Hadley Elec. Light	18,825	56,492	97,244	133,714	168,892
Westfield G&E Light	43,266	132,131	228,580	316,265	401,865
Unitil	106,265	(33,568)	(105,421)	(212,591)	(310,800)
UI	337,711	545,920	(1,104,628)	(1,265,296)	(1,356,328)
VELCO	147,944	399,774	<u>427,807</u>	427,726	329,239
Maine Public Serv.**	<u>(157,290)</u>	<u>(157,290)</u>	(157,290)	<u>(157,290)</u>	(257,290)
Great Bay***	(43,706)	(186,474)	(348,273)	(526,097)	(722,268)

<sup>\*</sup> Includes Canal Electric Company, Cambridge Electric Light Company and Commonwealth Electric Company.

<sup>\*\*</sup> Reflects return of Tie Benefit payments made as part of Transition Payments.

<sup>\*\*\*</sup> Reflects return of Regional Network Service payments made as transmission support payments.

Page 1 of 5

#### ATTACHMENT A

# Form Of Service Agreement For of Service Agreement for Firm Point-To-Point Transmission Service

- 1.0 This Service Agreement, dated as of , is entered into, by and between (the Transmission Provider), and ("Transmission Customer").
  - 2.0 The Transmission Customer has been determined by the Transmission Provider to have a Completed Application for Firm Point-To-Point Transmission Service under the Tariff.
- 3.0 The Transmission Customer has provided to the Transmission
  Provider an Application deposit in the amount of \$ , in accordance
  with the provisions of Section 17.3 of the Tariff.
- 4.0 Service under this agreement shall commence on the later of
  (1), or (2) the date on which construction of any Direct
  Assignment Facilities and/or Network Upgrades are completed, or
  (3) such other date as it is permitted to become effective by the
  Commission. Service under this agreement shall terminate on .
- 5.0 The Transmission Provider agrees to provide and the Transmission Customer agrees to take and pay for Firm Point-To-Point Transmission Service in accordance with the provisions of Part II of the Tariff and this Service Agreement. Through or Out Service or Other Point-To-Point

\*\* 1 Transmission Service

- 1.0 This Service Agreement, dated as of , is entered into, by and between the NEPOOL Participants acting through \_\_\_\_\_ (the "System Operator") and \_\_\_\_ ("Transmission Customer").
- 2.0 The Transmission Customer has been determined by the System
  Operator to have a Completed Application for Firm
  Transmission Service under this Tariff.
- 3.0 If required, the Transmission Customer has provided to the

- System Operator an Application deposit in the amount of \$ , in accordance with the provisions of this Tariff.
- 4.0 Service under this Service Agreement shall commence on the later of (1) , or (2) the date on which construction of any Direct Assignment Facilities and/or facility additions of upgrades are completed, or (3) such other date as it is permitted to become effective by the Commission. Service under this Service Agreement shall terminate on . [The Service Agreement may be a blanket agreement for non-firm service.]
- 5.0 The Participants agree to provide, and the Transmission Customer agrees to take and pay for, Transmission Service in accordance with the provisions of the Tariff and this Service Agreement.

	Р	age 2 of 5
6.0 	Any notice or request made to or by either Party party regarding this Service Agreement shall be made to the representative of the other Party party as indicated	he
 	Page 2 of 5 NEPOOL Participants:	
	Transmission Provider: New England Power Pool One	Sullivan
Road		
	Holyoke, MA 0	1040-2841
	Transmission Customer:	

7.0 The Tariff is incorporated herein in this Service Agreement

and made a part hereof.

Page 3 of 5

IN WITNESS WHEREOF, the Parties have caused this Service Agreement to be executed by their respective authorized officials.

NEPOOL Participants: By [System Operator]	Transmission Pr	<del>ovider:</del>	
By:Name	Title	Date	
<del>Page 3 of 5</del>			
Transmission Cu	stomer:		
By:Name	 	Date	

#### Page 4 of 5

	Specifications For Through or Out Service or Other
	Firm Point-To-Point Transmission Service
* 1	moved from here; text not shown
1.0	Term of Transaction:
	Start Date:

2.0 Description of capacity and energy to be transmitted by Transmission Provider Participants including the electric Control Area in which the transaction originates.

Termination Date: \_\_\_\_\_

3.0 Point(s) of Receipt:\_\_\_\_\_\_

Delivering

	_		
Darts:		nartu.	
rarty•		Party •	
<del>-</del>			

4.0 Point(s) of <del>Delivery</del>

delivery:

Receiving

<del>Party:</del>	<u></u> party:	
_		

- 5.0 Maximum amount of capacity and energy to be transmitted (Reserved Capacity):\_\_\_\_\_\_
- | 6.0 Designation of party(ies) or other entity(ies) subject to reciprocal service obligation:

7.0 Name(s) of any Intervening Systems intervening systems

providing	transmission
service:	

# Page 5 of 5

	combi char	ce under this <u>Service</u> Agreement may be subject to some ination of the charges detailed below. (The appropriate ges for individual transactions will be determined in redance with the terms and conditions of the this Tariff.)
	8.1	Transmission Charge:
	8.2	System Impact Study and/or Facilities Study Charge(s):
	8.3	Direct Assignment Facilities Charge:
8.4 A	ncil]	ary Services Charges:

#### ATTACHMENT B

Form Of Service Agreement For Non-Firm Point-To-Point Regional
Transmission Service

1.0	<del>This Service Agreeme</del> n	t, dated as	<del>s of</del>		is entered
	, by and between	_			
	and	(Trans	<del>mission Cus</del> t	<del>:omer).</del>	
<del>of</del>	2.0 The Transmission asmission Provider to the Tariff and has fi int-To-Point Transmis	be a Trans	mission Cust leted Applic e in accord	<del>comer und</del> <del>ation fo</del> r	e <del>r Part II</del> Non-Firm
<del>Tran</del>	3.0 Service under the smission Provider upo of the Transmission ** 2 Network In	<del>n request k</del> <del>Customer.</del> 1	<del>oy an author</del> Wetwork Serv	ized reprice, incl	resentative Luding
1.0	entered into, by and through	between th		rticipant System Op	s acting
2.0	The Transmission Cus Operator to be a Tra has requested Region	nsmission (	ustomer und	er the Ta	riff and
3.0	Regional Network Ser	vice (inclu	ding, if re	guested,	Network

4.0 The Transmission Customer agrees to supply information the Transmission Provider deems System Operator deem reasonably necessary in accordance with Good Utility Practice in order for it to provide the requested service.

Integration Transmission Service) under this Agreement shall be provided by the NEPOOL Participants upon request by an authorized representative of the Transmission Customer.

5.0 The Transmission Provider agrees Participants agree to provide and the Transmission Customer agrees to take and pay

- for Non Firm Point To Point Transmission Regional Network
  Service in accordance with the provisions of Part II of the
  Tariff and this Service Agreement.
- 6.0 Any notice or request made to or by either Party party regarding this Service Agreement shall be made to the representative of the other Party party as indicated below.

NEPOOL Participants:	_	
New England Power	Pool	
One Sullivan Road		
Holyoke, MA 01040-	2841 Transmission Pr	<del>ovider:</del>
Transmission Custome	er:	
-		
7 0 The Terriff is income	someted benein and ma	do a nont homosf
7.0 The Tariff is incorp		
IN WITNESS WHEREOF, the F to be executed by their r		
<del>Transmission Provider:</del>		
By:		
Name Title Date		
Transmission Customer:		
By:		
Name	Title	Date
NEPOOL Participants:		
By: [System Operator]		
By:		
Name	Title	Date

#### ATTACHMENT C

#### Methodology To Assess Available Transmission Capability

To be filed Available Transmission Capability (ATC) will be assessed based on industry-accepted standards; currently, ATC will be established by reducing the determined Total Transfer Capability (TTC) by the Transmission Provider Reliability Margin (TRM) and by transmission commitments. Total Transfer Capability (TTC) is the determined amount of electric power that can be reliably transferred over the network consistent with the following: Good utility practice NERC standards, guides, and procedures; NPCC criteria and guidelines; New England criteria, rules, procedures, and reliability standards; Applicable guides, standards, and criteria of the affected Transmission Owner(s), whether Participant or Non-Participant; Other applicable guidelines and standards which may need to be established from time to time. As such, TTC will be determined at a level which maintains all of the following: All equipment within its applicable capabilities; Voltages and reactive reserves within acceptable levels; Stability maintained with adequate levels of damping; Frequency (Hz) within acceptable levels. TTC will be evaluated using appropriate and suitable tools, data,

and information, considering the physical impacts of electric power transfers on the interconnected transmission network. It will reflect anticipated system conditions and equipment status to the degree practicable.

The Transmission Reliability Margin (TRM) will be established at a level which incorporates the uncertainties and continued variability of system conditions and the practical limitations of system control.

Transmission commitments include existing and pending requests for transmission service and obligations of other existing contracts under which transmission service is provided.

#### ATTACHMENT D

#### Methodology for Completing a System Impact Study

To be filed by the Transmission Provider

ATTACHMENT E

Index Of Point To Point Transmission Service Customers

Date of Customer Service Agreement ATTACHMENT F

Service Agreement For The system impact study will be performed to evaluate the impact of the requested service on the reliability and operating characteristics of the bulk power system, consistent with:

Good utility practice

NERC standards, guides, and procedures;

NPCC criteria and guidelines;

New England criteria, rules, procedures, and reliability standards;

Applicable guides, standards, and criteria of the impacted Transmission Owner(s), whether Participant or Non-Participant;

Other applicable guidelines and standards which may need to be established from time to time.

As such, the study will examine the impact on the New England regional bulk power system and its component systems and neighboring and external systems. Consistent with the aforementioned, the ability to operate the system subject to the following will be considered:

All equipment within its applicable capabilities;

Voltages and reactive reserves within acceptable levels;

Stability maintained with adequate levels of damping;

Frequency (Hz) within acceptable levels.

The study will consider the reliability requirements to meet existing and pending obligations of the Participants and the obligations of the impacted Transmission Owner(s).

The study will be performed using appropriate and suitable analysis tools and modeling data consistent with the nature and duration of the requested service. It is expected that the Eligible Customer will provide the information as prescribed in Exhibit 1 of Attachment I, and such other information as may be reasonably required and associated with the requested service and necessary for its study. It is also recognized that it may be determined that additional or specialized analysis tools or computer software are necessary for the study. The responsibility for the provision of these items will be subject to the System Impact Study Agreement.

The study will identify if the requested service or a portion of it can be provided without adverse impact on the reliability and operating characteristics of the system. The study will also identify if it appears that modification of the system is necessary to provide the service.

#### ATTACHMENT E

# Local Networks

The Local Networks, as of the effective date of this Tariff, are those of the following:

- 1. Bangor Hydro-Electric Company
- 2. Boston Edison Company
- 3. Central Maine Power Company
- 4. the Commonwealth Energy System companies
- 5. the Eastern Utility Associates companies
- 6. the New England Electric System companies
- 7. the Northeast Utilities companies
- 8. The United Illuminating Company
- 9. Vermont Electric Power Company and the entities which are grouped with it as a single Participant.

#### ATTACHMENT F

#### Annual Transmission Revenue Requirements

The Transmission Revenue Requirements for each Participant will reflect the Participants' costs for Pool Transmission Facilities (PTF). The Transmission Revenue Requirements will be an annual calculation based on the previous calendar year's data as shown, in the case of Transmission Providers which are subject to the Commission's jurisdiction, in the Participants' FERC Form 1 report for that year, and shall be based on actual data in lieu of allocated data if specifically identified in the Form 1 report, as set forth below:

- The Transmission Revenue Requirement shall equal the sum of the Transmission Provider's (A) Return and Associated Income Taxes, (B) Transmission Depreciation Expense, (C)
  Transmission Related Amortization of Loss on Reacquired Debt, (D) Transmission Related Amortization of Investment Tax Credits, (E) Transmission Related Municipal Tax Expense, (F)
  Transmission Operation and Maintenance Expense, (G)
  Transmission Related Administrative and General Expense, (H)
  Transmission Related Integrated Facilities Credit, minus (I)
  Transmission Support Revenue, plus (J) Transmission Support Expense, plus (K) Transmission Related Expense from Generators.
  - A. Return and Associated Income Taxes shall equal the product of the Transmission Investment Base and the Cost of Capital Rate.
    - 1. Transmission Investment Base

The Transmission Investment Base will be (a) PTF
Transmission Plant, plus (b) Transmission Related
General Plant, plus (c) Transmission Plant Held for
Future Use, less (d) Transmission Related
Depreciation Reserve, less (e) Transmission Related
Accumulated Deferred Taxes, plus (f) Transmission
Related Loss on Reacquired Debt, plus (g) Other
Regulatory Assets, plus (h) Transmission
Prepayments, plus (i) Transmission Materials and
Supplies, plus (j) Transmission Related Cash

# Working Capital.

- (a) PTF Transmission Plant will equal the balance of the Transmission Provider's PTF Investment in Transmission Plant excluding the Transmission Provider's capital leases in the Hydro-Quebec DC facilities (HQ leases).
- the Transmission Related General Plant shall equal the Transmission Provider's balance of investment in General Plant multiplied by the ratio of Transmission related direct Wages and Salaries including those of the affiliated Companies to the Transmission Provider's total direct Wages and Salaries including those of the affiliated Companies and excluding Administrative and General Wages and Salaries (Transmission Wages and Salaries Allocation Factor), multiplied by the ratio of PTF Transmission Plant to Total Investment in Transmission Plant excluding HQ leases (PTF Transmission Plant Allocation Factor).
- (c) Transmission Plant Held for Future Use shall equal the balance of Transmission investment in FERC Account 105 multiplied by the PTF Transmission Plant Allocation Factor.
- shall equal the balance of Total Transmission
  Depreciation Reserve, plus the monthly balance
  of Transmission Related General Plant
  Depreciation Reserve. Transmission Related
  General Plant Depreciation Reserve shall equal
  the product of General Plant Depreciation
  Reserve and the Transmission Wages and
  Salaries Allocation Factor described in
  Section (I)(A)(1)(b) above. This sum shall be
  multiplied by the PTF Transmission Plant
  Allocation Factor, described in Section
  (I)(A)(1)(b) above.
- (e) Transmission Related Accumulated Deferred

  Taxes shall equal the Transmission Provider's balance of Total Accumulated Deferred Income

Taxes, multiplied by the ratio of Total
Investment in Transmission Plant excluding HQ
leases to Total Plant in service excluding
General Plant and HQ Leases (Plant Allocation
Factor), further multiplied by the PTF
Transmission Plant Allocation Factor described
in Section (I)(A)(1)(b) above.

- shall equal the Transmission Provider's balance of Total Loss on Reacquired Debt multiplied by the Plant Allocation Factor as described in Section (I)(A)(1)(e) above, further multiplied by the PTF Transmission Plant Allocation Factor described in Section (I)(A)(1)(b) above.
- Transmission Provider's balance of any deferred rate recovery FAS 106 expenses multiplied by the Transmission Wages and Salaries Allocation Factor described in Section (I)(A)(1)(b), plus the Transmission Provider's year end balance of FAS 109 multiplied by the Plant Allocation Factor described in Section (I)(A)(1)(e) above. This sum shall be multiplied by the PTF Transmission Plant Allocation Factor, described in Section (I)(A)(1)(b) above.
- (h) Transmission Prepayments shall equal the Transmission Provider's balance of prepayments multiplied by the Wages and Salaries allocator described in Section (I)(A)(1)(b) and further multiplied by the PTF Transmission Plant Allocation Factor described in Section (I)(A)(1)(b) above.
- (i) Transmission Materials and Supplies shall
  equal the Transmission Provider's balance of
  Transmission Plant Materials and Supplies,
  multiplied by the PTF Transmission Plant
  Allocation Factor described in Section
  I(A)(1)(b) above.

(j) Transmission Related Cash Working Capital shall be a 12.5% allowance (45 days/360 days) of Transmission Operation and Maintenance Expense and Transmission Related Administrative and General Expense.

# 2. Cost of Capital Rate

The Cost of Capital Rate will equal (a) the Transmission Provider's Weighted Cost of Capital, plus (b) Federal Income Tax plus (c) State Income Tax.

- (a) The Weighted Cost of Capital will be
  calculated based upon the capital structure at
  the end of each year and will equal the sum
  of:
  - (i) the long-term debt component, which equals the product of the actual weighted average embedded cost to maturity of the Transmission Provider's long-term debt then outstanding and the ratio that long-term debt is to the Transmission Provider's total capital.
  - (ii) the preferred stock component, which equals the product of the actual weighted average embedded cost to maturity of the Transmission Provider's preferred stock then outstanding and the ratio that preferred stock is to the Transmission Provider's total capital.
- (iii) the return on equity component, which
  equals the product of the
  Transmission Provider's Return on Equity
  as set in the Provider's LNS open access
  tariff rate and the ratio that common
  equity is to the Transmission Provider's
  total capital.
- (b) Federal Income Tax shall equal

 $\frac{A \times FT}{1} - FT$ 

Where FT is the Federal Income Tax Rate and A is the sum of the preferred stock component and the return on equity component, as determined in Section (I)(A)(2)(a)(ii) and Section (I)(A)(2)(a)(iii) above.

(c) State Income Tax shall equal

(A + Federal Income Tax) x ST 1 - ST

where ST is the State Income Tax Rate, A is the sum of the preferred stock component and the return on equity component determined in Section (I)(A)(2)(a)(ii) and Section (I)(A)(2)(a)(iii) above, and Federal Income Tax is the rate determined in Section (I)(A)(2)(b) above.

- B. Transmission Depreciation Expense shall equal the PTF

  Transmission Plant Allocation Factor described in Section (I)(A)(1)(b) above, multiplied by the sum of Depreciation Expense for Transmission Plant, plus an allocation of General Plant Depreciation Expense calculated by multiplying General Plant Depreciation Expense by the Wages and Salaries Allocation Factor, described in Section (I)(A)(1)(b) above.
- Debt shall equal the Transmission Provider's

  Amortization of Loss on Reacquired Debt multiplied by the Plant Allocation Factor as described in Section

  (I)(A)(1)(e) above, and further multiplied by the PTF

  Transmission Plant Allocation Factor described in Section (I)(A)(1)(b) above.
- D. Transmission Related Amortization of Investment Tax

  Credits shall equal the Transmission Provider's

  Amortization of Investment Tax Credits multiplied by the Plant Allocation Factor described in Section

  (I)(A)(1)(e) above, and further multiplied by the PTF Transmission Plant Allocation Factor described in Section (I)(A)(1)(b) above.

- E. Transmission Related Municipal Tax Expense shall equal the Transmission Provider's total municipal tax expense multiplied by the Plant Allocation Factor described in Section (I)(A)(1)(e) above, and further multiplied by the PTF Transmission Plant Allocation Factor described in Section (I)(A)(1)(b) above.
- F. Transmission Operation and Maintenance Expense shall
  equal all expenses charged to FERC Account Numbers 560
  through 573, excluding those expenses in Account Number
  565, and including only 50% of those expenses in FERC
  Account 556, System Control and Load Dispatching,
  multiplied by the PTF Transmission Plant Allocation
  Factor described in Section (I)(A)(1)(b) above.
- S. Transmission Related Administrative and General Expenses shall equal the Transmission Provider's Administrative and General Expenses, plus Payroll Taxes, multiplied by the Wages and Salaries Allocation Factor described in Section (I)(A)(1)(b) above, further multiplied by the PTF Transmission Plant Allocation Factor described in Section (I)(A)(1)(b) above.
- H. Transmission Related Integrated Facilities Credit shall equal the Transmission Provider's transmission payments to affiliates for use of the integrated transmission facilities of those affiliates.
- I. Transmission Support Revenue shall equal Transmission Provider's revenue received for PTF transmission support.
- J. Transmission Support Expense shall equal Transmission Provider's expenses paid for PTF transmission support.
- K. Transmission Related Expense from Generators as may be determined by the Management Committee.

# \* 2 moved from here; text not shown To be filed by the Transmission Provider

# ATTACHMENT G ATTACHMENT G

# List Of Excepted Transaction Agreements

The lists which follow have been prepared by the individual Participants whose names appear at the head of each list, and have not been checked or approved by any other Participants.

# Central Maine Power Company ("CMP")

	Parties to the
	Agreement
Arrangement	CMP and:
Firm Wheeling	
<u>Expense</u>	
PSNH Wheeling for Bolt Hill	NU
Revenue	
Unitil Wheeling of BHE QFs	Unitil
Madison Electric Works	NU/MEW
Support Payments	
Expense	
HQ Phase II AC Facilities	BECO
HQ Phase II AC Facilities	NEP
HQ Phase I	NEETCO
HQ Phase I	VETCO
HQ Phase II	NEH-TEL CO INC.
HQ Phase II	NEH-T CORP (NHH)
Orrington SS	MEPCO
Millstone 3	NU
Revenue	
WF Wyman #4:	
Section 386 (345 kV)	Joint Owners
Section 164-167 (115 kV)	Joint Owners
Maine Yankee (115 kV)	Joint Owners
Section 214 (115 kV tie to N.H.)	NU
Section 375 (345 kV Buxton to M.Y.)	MEPCO

# Bundled Requirements Sales

Houlton Wtr Co. Pwr Sales Agreement HWC
Fox Island Electric Coop
Kennebunk Light and Power KPL

## Commonwealth Energy System

#### SUPPORT ARRANGEMENTS

Canal 1 Transmission Agreement

Description: Agreement to provide for transmission of electricity from Canal 1 to the transmission system of the unit power purchasers.

Parties to the Agreement: Boston Edison, Montaup, NEP, Cambridge, Commonwealth

Effective Date: 7/1/68

Canal 2 Transmission Support Agreement

**Description:** Agreement to support transmission facilities required for Canal 2 and Pilgrim 2.

Parties to the Agreement: Commonwealth, Boston Edison, Montaup Effective Date: 5/1/75

324 Line (Canal to Pilgrim Stabilizer Line) & 355 Line (Pilgrim to Walpole)

**Description:** Agreement to support transmission facilities from Canal and Pilgrim.

Parties to the Agreement: Commonwealth, Boston Edison, Montaup, NEP

Effective Date: 3/29/68

Seabrook Transmission Support Agreement

<u>Description:</u> Agreement to support three 345 KV transmission lines from Seabrook

Parties to the Agreement: Joint Owners

**Effective Date:** 5/1/73

Wyman 4 Transmission Agreement (Support)

**Description:** Agreement to support 345 kV and 115kV transmission facilities from the unit.

Parties to the Agreement: Central Maine Power, Joint Owners (Commonwealth).

Effective Date: 11/74

Bell Rock Switching Station Support Agreement

Description: Agreement to support 115 KV switching station.

Parties to the Agreement: Commonwealth, Montaup

Effective Date: 1/15/73

Joint Ownership Agreement (336 Line)

Description: Agreement to purchase 20% interest in section of the 336 line.

Parties to the Agreement: Commonwealth, Boston Edison

Effective Date: 1/2/68

Participation Agreement (Maine-New Brunswick interconnection) Description: Agreement to support the construction and maintenance of a 345 KV of the portion of an interconnection between a substation in Wiscasset, ME and a substation in New Brunswick. Parties to the Agreement: Maine Electric Power and Participants Effective Date: 5/69

Station 402 Agreement

**Description:** Agreement to support a 115 KV step down station.

Parties to the Agreement: Cambridge, Boston Edison

Effective Date: 10/1/65

Station 509 Agreement

Description: Agreement to support 345/115 KV station. Parties to the Agreement: Cambridge, Boston Edison

Effective Date: 1/1/75

#### Hydro Quebec Support Agreements

Phase II Maine Electric Power SVC Facilities Support Agreement Description: Agreement to support reinforcements to the MEP AC transmission system. N.H. Hydro proposes to amend the N.H. Transmission Facilities Support Agreement to include costs incurred under this Agreement.

Parties to the Agreement: Agreement is between Maine Electric Power and N.H. Hydro

Effective Date: 10/1/88

New England Power AC Facilities Support Agreement Description: Agreement covers improvements and reinforcements to NEP's AC transmission system necessitated by the HQ phase II interconnection agreement.

Parties to the Agreement: NEP and Supporters

Effective Date: 6/1/85

Boston Edison AC Facilities Support Agreement

Description: Agreement covers improvements and reinforcements to BECo's AC transmission system necessitated by the HQ phase II interconnection agreement.

Parties to the Agreement: Boston Edison and Supporters

Effective Date: 6/1/85

# LONG TERM WHEELING

Agreement for Transfer of Electricity (Canal 1)

Description: Agreement for transfer of energy and capacity from

Canal unit 1 to Cambridge Electric across the BECo system.

Parties to the Agreement: Cambridge, Boston Edison

Effective Date: 7/1/68

Boott Hydro Wheeling

Description: Agreement to wheel power from Boot Hydro (20 MW) to

Commonwealth under NEP Tariff No. 3.

Parties to the Agreement: Commonwealth, NEP

Effective Date: 11/21/85

Collins Hydro Wheeling

Description: Agreement to wheel power (1.2 MW) from Collins Hydro

to Commonwealth under NEP Tariff No. 3.

Parties to the Agreement: Commonwealth, NEP

Effective Date: 12/31/84

Ware Hydro Wheeling

Description: Agreement to wheel power from Ware Hydro to

Commonwealth under NEP Tariff No. 3.

Parties to the Agreement: Commonwealth, NEP

**Effective Date:** 2/1/84

Chicopee Hydro Wheeling

Description: Agreement to wheel power (2 MW) from Chicopee Hydro

to Commonwealth

Parties to the Agreement: Commonwealth, Northeast Utilities

Effective Date: 5/1/95

Altresco Wheeling

Description: Wheeling charge for 30 MW entitlement

Parties to the Agreement: Cambridge, Altresco

Effective Date: 9/1/93

Altresco Wheeling

Pescription: Wheeling charge for 30 MW entitlement
Parties to the Agreement: Commonwealth, Altresco

Effective Date: 9/1/93

Masspower 1 Wheeling

Description: Wheeling charge for 30 MW entitlement
Parties to the Agreement: Commonwealth, Massachusetts

Effective Date: 7/31/93

Masspower 2 Wheeling

Description: Wheeling charge for 30 MW entitlement
Parties to the Agreement: Commonwealth, Masspower

Effective Date: 10/1/91

Northeast Energy Associates Wheeling

Description: Wheeling of 53 MW entitlement

Parties to the Agreement: Commonwealth, Northeast Energy

Associates

Effective Date: 10/1/91

# Fitchburg Gas and Electric Light Co.

a.	Western Ma	ss Electric	Description - Transmission
			service from Holyoke Water
			Power to NEP system
			End Term - $10/31/2012$
			Capacity - 3MW
b.	Holyoke Wa	ter Power	Description - Distribution
<i>.</i>			
<u> </u>			Agreement
<u></u>			Agreement End Term - 10/31/2012

#### Unitil Power Corp.

Central Maine Power Co.	Description
	- Perc and BHE system energy
	delivered to the BHE/CMP border

	by BHE at no additional charge End Term/Capacity - 25MW ending 07/01/1997, 24.27MW ending 10/31/2001, 20.3MW ending 08/15/2002, and 4MW ending 02/28/2003
NEP (Maine Yankee)	Description
	- UPC reimburses NEP for Maine
	Yankee transmission to the
	NEP/PSNH border.
	End Term - October 31, 2005
NED (Ogogo States	Capacity - 2MW  Description
NEP (Ocean States Power 1 & 2)	- Service under FERC Electric
FOWEL I & Z)	Tariff no. 3 Delivery made from
	NEP system to the PSNH border
	End Term - October 31, 2010
	Capacity - 22.5 MW
NEP (Salem Harbor 3)	Description - Article VIII of
	the contract
	- Service under FERC Electric
	Tariff no. 3. Delivery made
	from NEP system to the PSNH
	border
	End Term - October 31, 2010 Capacity - 9.8 MW
	Capacity - 9.8 MW
UI Bridgeport Harbor 3	Description - Reimburse UI for
	delivery subject to
	terms/conditions of Corridor &
	Non-Firm outflow agreements UI-
	NU in 9/11/92 letter
	End Term - 10/31/2005
	Capacity - 15MW

# NEW ENGLAND POWER COMPANY AND ITS AFFILIATES

# Wheeling Agreements

The following NEP Long Term Point-to-Point transmission services will be grandfathered at a fixed rate of \$17.00/kW-Distribution and transformation rates, when applicable, will be subject to NEP's applicable point-to-point tariffs.

TRANS.			
UTILITY		AMOUNT	DATE OF
AGREEMENT	PURCHASE	KW	SERVICE
Boston Edison	L'Energia	65,048	7/9/96
Braintree	NEP System	2,000 7/9/	96
Commonwealth Electric	Boott Hydro	20,000	7/9/96
	Collins Dam	1,500	7/9/96
	Ware Hydro	1,200 7/9/	96
Hingham	NEP Manchester St	1,446	7/9/96
	NEP Bear Swamp	502	7/9/96
Hull	Refuse Fuels	341	7/9/96
Montaup	McNeil Burlington (th	nru) 8,000	7/9/96
Taunton	NEP System	10,000 7/9/	96
Unitil	Ocean State I & II	22,500*	7/9/96
	Salem Harbor	9,800*	7/9/96
	* Amounts change with ratings.	n seasonal un	<u>it</u>

- B. Transmission of Maine Yankee and Pool-Planned unit sales by NEP to Unitil will continue under its term, unless otherwise terminated by parties.
- C. Support Agreements for PTF Facilities
- 1. Transmission Support Agreements to facilitate interconnection of Pool Planned Units. (Multi party agreements)
  - $\rightarrow$  Millstone 3 dated 8/9/74.
  - $\rightarrow$  Wyman 4 dated 11/1/74.
  - → Seabrook
    - a) PSNH ac reinforcements dated 5/1/73.

- b NEP's Tewksbury/Amesbury line, multi parties dated 5/1/73.
- 2. Canal Transmission Agreement/Medway line, NEP/BECO/EUA/CE, Letter Agreement dated 3/29/68 with amendment dated 1/5/73.
- 3. Second Canal Circuit dated 11/4/74.
- 4. PTF support agreements with Boston Edison Company.
  - → 342 stabilizer line dated 3/29/68 with amendment dated 1/5/73.
  - Canal/Medway dated 12/1/65, amended 2/18/66 with supplemental agreement dated 4/9/79.
  - → West Medway ACB dated 1/31/69.
  - ightharpoonup 201/502 interconnection, Letter Agreement dated ightharpoonup 5/11/79.
  - → Golden Hills/Mystic

    This is a two-way agreement dated 5/25/88 with amendment dated 2/1/95.
  - Enron Interconnection Upgrades dated 6/27/95.

    NEP pays BE for upgrades required at Medway due to interconnection of the Milford Plant.
  - NEP/BECO Sandy Pond/Tewksbury/Woburn line support, Letter Agreement dated 7/18/73.
  - NEP/BECO M-139 line support dated 11/12/85, amended 3/1/95.
  - → NEP/BECO N-140 line support dated 11/12/85, amended 3/1/95.
- 5. All PTF related Hydro Quebec agreements. (See attached Exhibit 1 for a list of all Hydro Quebec related

#### agreements.)

Ongoing support of AC reinforcement of NEP transmission.

- 6. Agreements with EUA companies.
  - → W. Farnum relays dated 2/17/95, BVE/NE.
  - April 30, 1979 Letter Agreement between EUA and NEPSCO which outlined the basis for the next two agreements and other anticipated transmission additions to accommodate Charlestown generation.
  - → 345 kV Northern RI transmission dated 7/15/73, amended 4/1/88, BVE/NE.
  - → 2nd transformer at West Farnum dated 8/1/81, BVE/NE.
- 7. Support Agreements with Vermont companies.
  - $\rightarrow$  NEP/VELCO F-206 line dated 4/5/74.
  - $\rightarrow$  NEP/CVPS 186 line dated 8/1/67.
- 8. Agreements with NU companies.
  - → NEP/PSNH Q-195 Terminal support dated 12/22/58.
  - → NEP/PSNH Y-151 agreement dated 6/26/45 and subsequently amended.
  - Tallman/Kaslow agreement and subsequent amendment. This agreement intends to cover mutual use of interconnections between NEP and PSNH, dated 6/29/79 and amended 2/21/90.
  - → North/South Integration Agreement dated 2/24/90, and last amended in October 1996.
- D. Other Agreements Not Subject To The NEPOOL Agreement

The above is a list of grandfathered facilities support and wheeling agreements over the PTF system to which NEP or its affiliated companies are a party. This list does not include any agreement not related to rights across PTF such as study agreements, requirement contracts and tariffs, purchase and sales power contracts, water rights, right-of-way, pole or duct lease agreements, distribution wheeling or support agreements, stranded cost recovery agreements, metering agreements and services across non-PTF transmission system. These agreements are not subject to the NEPOOL Agreement and continue per their own terms and conditions. Furthermore, any agreements or arrangements between NEP and its affiliated companies are not subject to the NEPOOL Agreement and will continue per their terms as may be amended from time to time.

# Northeast Utilities Service Company ("NUSCO")

Company	Service	FERC	Effective Date
CMEEC	Comprehensive Transmission Service Agreement	ER91-209-000	11/29/90
		ER93-297-000	
Chicopee Muni.	Comprehensive Transmission Service Agreement	ER85-689-000	11/1/95
		ER93-219-000	
So. Hadley Elec.	Comprehensive Transmission Service Agreement	EC-90-10-000	1/1/95
		ER85-689-000	
		ER85-720-000	
Westfield G&E	Comprehensive Transmission Service Agreement	EC90-10-000	1/1/95
Unitil (Rate 158)	Comprehensive Transmission Service Agreement	EL92-42-000	11/11/92
Madison Electric	Point-to-Point Transmission Service Agreement	ER94-1160-000	9/1/94
NEP	Point-to-Point Transmission Service Agreement	ER93-403-000	4/1/93
SBNG			
Holyoke G&E	Point-to-Point Transmission Service Agreement	ER95-1354-000	7/1/95
NYPA Power			
CMP (Rate 104)	Point-to-Point Transmission Service Agreement		12/15/81
Bolt Hill Sub.			
Commonwealth Elec.	Point-to-Point Transmission Service Agreement	ER86-85-000	5/1/85

Company	Service	FERC	Effective Date
Swift River - Chicopee Units 1 & 2		ER86-79-001	
Groton Elec.	Point-to-Point Transmission Service Agreement	ER92-66-000	11/1/89
Glendale Hydro			
UI	Point-to-Point Transmission Service Agreement	ER92-65-000	5/1/90
Corridor			
Groton Elec.	Point-to-Point Transmission Service Agreement	ER92-458-000	4/1/92
Littleville Power - Texon Hydro		ER92-66-000	
		ER93-219-000	
Fitchburg G&E	Point-to-Point Transmission Service Agreement	ER94-559-000	1/1/95
Harris Hydro		ER95-357-000	
Masspower	Point-to-Point Transmission Service Agreement	ER94-902-000	7/31/93
		ER93-219-000	
LILCO	Point-to-Point Transmission Service Agreement	ER94-1201-000	5/1/94
Altresco Pittsfield Limited	Point-to-Point Transmission Service Agreement	ER95-306-000	1/1/95
MMWEC	Point-to-Point Transmission Service Agreemt	ER96-201-000	11/1/95
NYPA Power			
Pascoag Fire Dist.	Point-to-Point Transmission Service Agreement	ER96-201-000	11/1/95
NYPA Power			
C.E. Pontook LP	Point-to-Point Transmission Service Agreement		7/26/85
Suncook Energy Corp.	Point-to-Point Transmission Service Agreement	ER96-1277-000	4/8/96
Unitil Power Corporation	Point-to-Point Transmission Service Agreement	ER93-219-000	12/19/88
Baystate State Gas		ER89-219-000	
NUSCO	Point-to-Point Transmission Service Agreement	ER96-2338-000	10/1/96
Suffolk County, NY			
NUSCO	Point-to-Point Transmission Service Agreement	ER83-358-000	12/1/81
MMWEC, Stonybrook		ER93-219-000	
NUSCO	Point-to-Point Transmission Service Agreement	ER94-1088-000	6/1/94
Unitil: Norwalk			

			Effective
Company	Service	FERC	Date
NUSCO	Point-to-Point Transmission Service Agreement	ER93-417-001	11/1/94
Fitchburg G&E: System Sale			
NUSCO	Point-to-Point Transmission Service Agreement	ER94-1591-000	11/1/94
Reading Muni.: Slice of System			
NUSCO	Point-to-Point Transmission Service Agreement	ER93-901-000	11/1/93
Middleton Muni.: System Sale			
NUSCO	Point-to-Point Transmission Service Agreement	ER93-884-000	11/1/93
Georgetown Muni.: System Sale			
NUSCO	Point-to-Point Transmission Service Agreement	ER93-915-00	11/1/93
Princetor Muni.: Holyoke Hydro			
NUSCO	Point-to-Point Transmission Service Agreement	ER93-913-000	11/1/93
VPPSA: System Sale			
NUSCO	Point-to-Point Transmission Service Agrement	ER94-1211-000	5/1/94
Citizens Utilities: System Sale		EC90-10-007	
NUSCO	Point-to-Point Transmission Service Agreement	ER94-1592-000	11/1/94
Holyoke G&E: System Sale			
NUSCO	Point-to-Point Transmission Service Agreement	ER94-1207-000	11/1/94
Danvers Elec.: System Sale			
NUSCO	Point-to-Point Transmission Service Agreement	ER94-1207-000	11/1/94
Littleton Electric L&W: System Sale			
NUSCO	Point-to-Point Transmission Service Agreement	ER94-1207-000	11/1/94
Mansfield Muni.: System Sale			
NUSCO	Point-to-Point Transmission Service Agreement	ER95-584-000	5/1/95
Sterling Muni.: System Sale			
NUSCO	Point-to-Point Transmission Service Agreement	ER95-1137-000	6/1/95
Princeton Muni.:			

Company	Service	FERC	Effective Date
System Sale			
NUSCO	Point-to-Point Transmission Service Agreement	ER95-1461-000	8/1/95
Vermont Marble - System Sale			
NUSCO	Point-to-Point Transmission Service Agreement	ER96-160-000	11/1/95
Rowley Muni.: System Sale			
<u>Littleton - Light</u>	Point-to-Point Transmission Service Agreement		10/30/91
Marlboro Hydro Corp./Minnewawa			
NEP	Point-to-Point Transmission Service Agreement		12/6/91
Waste Management of N.H./Turnkey			
NEP	Point-to-Point Transmission Service Agreement	ER93-914-000	11/1/93
Slice of System		ER95-41-000	
CMEEC	Point-to-Point Transmission Service Agreement	ER93-663-000	6/15/93
Liquid Carbonic Indus./Medical Corp.			
Wallingford Elec.	Point-to-Point Transmission Service Agreemen	ER92-730-000	7/27/92
CT Steel			
CMP	Point-to-Point Transmission Service Agreement	ER94-48-000	11/1/95
		ER95-1635-000	
		ER95-1557-000	
CMP	Point-to-Point Transmission Service Agreement	ER94-48-000	11/1/95
		ER95-1635-000	
BECO	Point-to-Point Transmission Service Agreement	ER94-48-000	11/1/95
		ER95-1851-000	
		ER96-3144-000	
NUSCO	Point-to-Point Transmission Service Agreement	ER94-48-000	11/1/94
Unitil Power Corporation: Slice		ER94-1581-000	
Connecticut Yankee	Transmission Station Service (115 KV) Support Agreement		
Millstone #3 Sharing Agreement	Transmission Support Agreement		8/9/74

Company	Service	FERC	Effective Date
345 KV Millstone - Manchester 310 line			
UI	Transmission Support Agreement	ER93-891-000	11/1/93
Derby Junction (5/1/61)			
Old Town-Hawthorne (11/1/73)			
Pease Road (1/1/69)			
Glen Lake (7/20/56)			
Devon and Trumball Junction (7/1/61)			
<u>ui</u>	Transmission Support Agreement	<u>E-9154</u>	12/1/72
Black Pond			
Holyoke G&E	Transmission Support Agreement	ER82-626-000	1/1/73
Fairmont 115 KV Substation		ER82-627-000	
MMWEC	Transmission Support Agreement		8/1/79
345 KV Ludlow- Stonybrock			
Seabrook Station	Transmission Support Agreement		5/1/73
345 KV Seabrook - Timber Swamp - Newington 369 line			
345 KV Seabrook - Scobie 363 line			
345 KV Seabrook - Ward Hill -Tewksbury 394 line			
VEP	Transmission Support Agreement		12/1/72
Littleton 230/115 KV Sub.			
NEP	Transmission Support Agreement		12/22/58
Moore Sub Q195 115KV Terminal			

<sup>1.</sup> Transmission Allocation Agreement Among Vermont Electric Power Company, Inc., New England Power Company, The Connecticut Light and Power Company and Western Massachusetts Electric Company dated Januar 1989 (ER89-522-000, Effective November 1, 1988)

<sup>2.</sup> Transmission Agreement For Use of North-South Interface Of New England Transmission System Between Northeast Utilities and New England Power Company dated February 24, 1990.

	Company	Service	FERC	Effective Date
<u>199(</u>	3. Letter agreemer	t between Northeast Utilities and Vermont Electric Po	ower Company, date	ed July 24,
1990	4. Letter agreemen	t between Northeast Utilities and Citizens Utilities	Company, dated Au	igust 2,
1970	5. Letter agreemer	t between Northeast Utilities/PSNH and New England Po	ower Company, date	ed June 1,
1979	6. Letter agreemer	t between Northeast Utilities/PSNH and New England Po	ower Company, date	ed June 29,
Nove		t between Northeast Utilities/PSNH and Central Maine	Power Company, d	ated
Febi Verr	ruary 12, 1982 for serv	rvice pursuant to the Agreement between NUSCO/PSNH artice to the Northumberland, New Hampshire, Bloomfie		
Comp		reement Between New Hampshire Electric Cooperative, dated March 31, 1981.	Inc. and Public Se	ervice
stat		d certificates granted by the New Hampshire Public Ut Il regulatory bodies are grandfathered.	tilities Commissio	on or other
faci		n arrangements supporting and providing transmission to this Tariff and will continue under their exis		

# Eastern Utilities Associate System ("EUA")

Contract Name	<u>Parties</u>	Expiration Dates	FERC Number/ Docket Number
Canal-Medway Line (Wood Pole Line #331)	Comelec, BECO and Montaup	End of Life of Canal #1	MECO/FPC No. 5
Trans of Canal #2/Pilgrim #2 Units	Comelec, BECO and Montaup	2008	E-9358
Letter Agree-Auburn St. Tap Bridges	BECO and Montaup	Thru Life of Tap Bridges	<u>E-9404</u>
Use of Pilgrim Towers	BECO and Montaup	19 Years From Install or When New 345 kv Circuit is Needed	ER79-485-000
Card Street Use Agreement	BECO, Comelec and BVE	<u>August 30, 2001</u>	E-9022
Transmission to Middleboro/Pilgrim #1	BECO and Montaup	When MGLD Contract Expires with Pilgrim	E-9276 Amended ER77- 179-000
East Bridgewæter Gas Circuit Breaker	Eastern Edison and Middleboro Gas and Electric		ER91-59-000

				1
	Contract Name	<u>Parties</u>	Expiration Dates	FERC Number/ Docket Number
1601 Brea Substatio	ker at Bridgewater n	Middleboro and Montaup		N/A
Contract	Demand/Radial Line Use	Middleboro and Montaup	60 Months Written Notice	ER83-485-000 ER83-486-000
Transmiss #3	ion Support/ Millstone	Montaup and Others	Term of the Sharing Agreement	ER93-222-000
Transmiss #1	ion Support/ Seabrook	Montaup and Others	Term of the Joint Owners Agreement	ER76-694-000
Rental Ch Facilitie	arges for Trans. s in Northern R.I.	Blackstone and Montaup	Perpetuity Implied	ER83-642-000 ER88-400-000
Sharing C Facilitie	harges for Trans. s in Northern R.I.	Blackstone/Narragansett	July 14, 2003	ER83-642-000 ER88-400-000
Sharing O	harges for 2nd/ Xformer Sub.	Blackstone/Narragansett	July 28, 2013	ER83-642-000
Transmiss NEP/V-148	ion Support Agreement Line	Blackstone/Narragansett Mont./New England Power	3 Year's Written Notice	BVE FERC No. 21
Tiverton	Tap	NEP and Montaup	UNTIL Costs are Recovered by Montaup	ER81-722-000
Support of Line	f Riverside Sub and 822	Blackstone and Narragansett	2 Year's Written Notice	BVE ER88-400-
Interconn	nd Restated ection Agreement By and ckstone Valley Electric State Power	Blackstone and OSP Units 1 & 2	Term of the Power Contract	ER89-69-000
Contract Service ( Comelec a	for Firm Contract Wheeling) Mass Power to nd BECO	Mass Power/Montaup	BECO 12/31/2013 Comelec 7/30/2008 Comelec 7/30/2008	ER93-624-000
Contract Service ( Comelec a	for Erm Contract Wheeling) Altresco to nd BECO	Altresco/Montaup	12/31/2011	ER93-623-000
	greement (Wheeling) c to North Attleboro	North Attleboro/Montaup	LOU Contract Termination	ER85-396-000
	greement (Wheeling) c to Hudson	Hudson/Montaup	LOU Contract Termination	ER87-362-000
Amendment Montaup a	to Contract Between nd MMWEC (NYPA)	Montaup/MMWEC	June 30, 2001 Extended Monthly Through October 31, 2003	ER87-531-000
Montaup T Agreement	ransmission Service	PFD/NYPA	June 30, 2001	ER87-615-000
	ection NEA to es Transmission System	Blackstone/NEA	Concurrent to Power Agreement	ER92-207-000
	ection Agreement MLP and Montaup	TMLP/Montaup	Two Year's Written Notice or Until Cleary #9 is Reduced	E-9117 ER91-305-000

Contract Name	<u>Parties</u>	Expiration Dates to Zero	FERC Number/ Docket Number
Service Agreement Between Braintree and Montaup Wheeling Cleary 9cc	TMLP/Braintree	LOU or MEC Terminates Due to Inadequate Cap. on Company's PTF	ER85-390-000 ER87-126-000
Service Agræment Between Hingham and Montaup Wheeling Cleary 9cc	Hingham/Braintree	LOU or MEC Terminates Due to Inadequate Cap. on Company's PTF	ER93-137-000 ER87-126-000

# The United Illuminating Company ("UI")

Arrangement	Parties to the Agreement UI and:
Wholesale Power Agreements	
BECO Exchange (November 1, 1996, until terminated by parties)	BECO
BH Sale from NHHS (November 1, 1996 through October 31, 1997)	ВН
Citizens Sale from NHHS (November 1, 1996 through October 31, 1999)	Citizens
UNITIL Purchased Power Agreement, from NHHS (November 1, 1996 through October 31, 2006)	UNITIL
Corridor Service Agreement (BHS#3)  (May 1, 1993 through October 31, 2005)	NU
NU Exchange Agreement (May 1, 1993 until terminated by parties)	NU
PASNY System Purchase Agreement (March 1, 1990 through June 30, 2001)	CMEEC
Support Arrangements	
Interim Substation Support Agreement, dated 8/24/1993  (FERC Docket ER93-891-000)	NU
Seabrook Transmission Support Agreement	Joint Owners
Hydro-Quebec Phase I	NEETCO
Hydro-Quebec Phase I	VETCO
Hydro-Quebec Phase II	NEH-TEL CO INC.
Hydro-Quebec Phase II	NEH-T CORP (NHH)

Arrangement	Parties to the Agreement UI and:
Hydro-Quebec Phase II AC Facilities	BECO
Hydro-Quebec Phase II AC Facilities	NEP
Millstone Support Agreement	NU
Black Pond Coke Works Transmission Lines	NU

# Bangor Hydro-Electric Company

Support	Party
HQ Phase II AC	BECO
HQ Phase II AC	NEP
HQ Phase I	NEETCO
HQ Phase I	VETCO
HQ Phase II	NEH-T Corp (NHH)
HQ Phase II	NEH-TEL Co Inc
Orrington SS	MEPCO
Wyman #1 (345KV) Sec 386	Joint Owners
Wyman #4 (115KV) 164-167	Joint Owners
Nuke	

# Boston Edison Company Wheeling Contracts

Customer	<u>Type</u>	Expiration Date	MWs
Altresco	Contract	12/31/2011	<u>29</u>
Braintree	PASNY	10/31/2007	<u>3</u>
Braintree	Tariff - NEES CD	10/31/2004	10
Braintree	Tariff - Cleary 9	Life of unit	<u>2</u>
Braintree	LV-PTF-Canal	Life of unit	<u>25</u>
Braintree	LV-PTF-NH Yankee	Life of Unit	7
Braintree	LV-PTF-Hydro-Quebec	9/1/2000	<u>2</u>
Hingham	PASNY	10/31/2007	2
Hingham	Tariff-Cleary 9	Life of unit`	<u>3</u>

Customer	Type	Expiration Date	MWs
Hingham	Tariff-Manchester	Life of unit	<u>2</u>
Hingham	LV-PTF-Potter	Life of unit	2
Hingham	LV-PTF-Stonybrook	Life of Unit	23
Hingham	LV-PTF-NH Yankee	Life of unit	<u>5</u>
Hingham	LV-PTF-Hydro-Quebec	9/1/2000	<u>1</u>
Hingham	LV-PTF-Millstone 3	Life of unit	<u>8</u>
<u>Hull</u>	PASNY	10/31/2003	<u>1</u>
<u>Hull</u>	Tariff-Lawrence	Life of unit	<u>1</u>
<u>Hull</u>	LV-PTF-Millstone 3	Life of unit	2
<u>Hull</u>	LV-PTF-CMP	Life of unit	<u>1</u>
<u>Hull</u>	LV-PTF-NH Yankee	Life of unit	<u>1</u>
<u>Hull</u>	LV-PTF-Hydro-Quebec	9/1/2000	<u>1</u>
<u>Hull</u>	LV-PTF-Stonybrook	Life of unit	7
Reading	PASNY	10/31/2003	<u>6</u>
Reading	Tariff-NEP CD	10/31/99	<u>15</u>
Reading	Tariff-Norwalk Harbor	10/31/2005	9
Reading	Tariff-Millstone 3	10/31/2005	<u>3</u>
Reading	LV-PTF-Millstone 3	Life of unit	<u>11</u>
Reading	LV-PTF-Stonybrook	Life of unit	<u>91</u>
Reading	LV-PTF-NH Yankee	Life of unit	9
Reading	LV-PTF-Hydro-Quebec	9/1/2003	<u>3</u>
Cambridge	Canal Transfer	Life of unit	42
Cambridge	Yank Excess	Life of unit	<u>3</u>
Cambridge	LV-PTF-Canal	Life of unit	<u>58</u>
EUA	EHV-PTF-Potter 2	Life of unit	40
EUA	LV-PTF-Potter 2	Life of unit	40
<u>ui</u>	EHV-PTF-Mystic 7	Life of unit	100
North Attleboro	EHV-PTF-Potter 2	Life of unit	<u>5</u>
North Attleboro	LV-PTF-Potter 2	Life of unit	<u>5</u>

Customer	Type	Expiration Date	MWs
Belmont	PASNY	10/31/2003	2
Concord	PASNY	10/31/2003	2
NEP	<u>Tariff</u>	10/01/1997	225
Norwood	<u>Tariff</u>	1 yr notice	<u>70</u>
Wellesley	PASNY	1031/2003	2

# Boston Edison Company Support Contracts

BECo Revenue:			
Cambridge	Station 402	3/31/1997	2004 or later
Cambridge	Station 509		
Com Elec	Card Street Line	8/30/2001	
Reading	Radial Lines	<u>Life of unit</u>	
EUA	Whitman Tap	<u>Life of unit</u>	
NEP	Lines 255-2337,8	3 yr notice	
NEP	Mystic/Golden Hills	1999	
NEP	W.Medway Breaker	2003	
NEP	Line 201-502	2009	
NEP	Canal 1 Lines	Life of unit	
HQ Participants	BECo HQ II AC Facilities	2020	
CEC, EUA, NEP	Stabilizer Line	2008	
CEC, EUA	Canal 2 Lines	2008	
BECo Expense:			
CMP	Wyman 115/345kV	2005	
Com Elec	Line 355	2008	
EUA	<u>Line 344</u>	2008	
Chester	SVC	2020	
NEP	Sandy Pond-Tewksbury	2005	
NEP	<u>M-139 Line</u>	2015	
NEP	<u>N-140 Line</u>	2015	

NEP	HQ 11 AC Facilities	2020	
NEP	Station 90	2017	

## Vermont Electric Company

VELCO 1991 Transmission Agreement FEU 246.

### Central Vermont Public Service Corporation

Resale Service (R-12) FPC Tariff First Rev. Vol. I Rochester Electric L & P

T&D FERC Tariff, Original Vol. 3

Vermont Electric Coop./Lyndonville Elec. Dept./Village of Ludlow, Elec. Dept./Village of Johnson Water & Light Dept./Village of Hyde Park Water & Light Dept./Rochester EL&P/Woodsvilles Fire Dist. Water & LD/Reserve System Capacity Service (RS-2) FERC No. 135

Connecticut Valley Elect. Co.

Network Service FERC Tariff 6 new Hampshire Electric Cooperative CVEC Service FERC No. 12 Woodsville Fire District Water & Light Dept.

Interconnection Agreement CVPS/Green Mountain Power

FERC ER94-35-00/Northern Loop Interconnection Agreement and the VELCO Agreements with VLCO Participants known as the VELCO Transmission Agreement and VELCO Participants Agreement.

# EXHIBIT 1

# HVDC Phase II Documents List

Order Amending Authorization to Export Electric Energy to
Canada, NEPOOL
Amendment to Presidential Permit PP-76 Authorizing VETCo to
ConstructElectric Transmission Facilities at the
International Border Between the United States and Canada.
PJM-New England Hydro Transmission Service Agreement
Phase II Boston Edison AC Facilities Support Agreement
Phase II New England Power AC Facilities Support Agreement
Preliminary Quebec Interconnection Support Agreement - Phas
II (Superseded)
Phase II Maine Electric Power SVC Facilities Support
Agreement
Phase II Massachusetts Transmission Facilities Support
Agreement
Phase II New Hampshire Transmission Facilities Support
Agreement
Preliminary Vermont Support Agreement RE: Quebec
Interconnection - Phase II (Superseded)
Equity Funding Agreement for New England Hydro-Transmission
Corporation
Equity Funding Agreement for New England Hydro-Transmission
Electric Company, Inc.
Agreement Authorizing Execution of Phase II Firm Energy
Contract
Metallic Return Service Agreement Between New England
Electric Transmission Corporation and New England Hydro-
Transmission Corporation
Amendment to Agreement With Respect to Use of Quebec
Interconnection
Agreement Between VELCO and New England Hydro-Transmission
<u>Corporation</u>
LEASE Between New England Power and New England Hydro-
Transmission Electric Company, Inc.
LEASE Between New England Power and New England Hydro-
Transmission Corporation
Phase II Vermont DMNRC Support Agreement
Agreement Amending New England Power Pool Agreement

# HVDC Phase I Documents List

Order Authorizing the Exportation of Electric Energy to
Canada
Presidential Permit PP-76 Authorizing VETCo to
ConstructElectric Transmission Facilities at the
International Border Between the United States and Canada.
Preliminary Quebec Interconnection Support Agreement, as
Amended
Phase I New Hampshire Transmission Facilities Support
Agreement
Agreement for Transmission Maintenance Service (VELCO-NEET)
Phase I Vermont Transmission Line Support Agreement (and
Amendments)
Agreement Amending New England Power Pool Agreement
Interconnection Agreement, Hydro-Quebec-NEPOOL Participants
Energy Banking Agreement
Energy Contract
LEASE Between new England Power and New England Electric
Transmission Corporation
Upper Development - Lower Development Transmission Line
Support Agreement, New England Electric Transmission
Corporation and New England Power Company.
Agreement for Reinforcement and Improvement of New England
Power Company's Transmission System
Equity Funding Agreement for New England Electric
Transmission Corporation
Agreement With Respect to Use of Quebec Interconnection (and
amendments)
Phase I Terminal Facilities Support Agreement

#### ATTACHMENT G-1

# Agreements re Local Network Service

Notwithstanding any termination of the status as Excepted Transaction of the transmission arrangements for the following agreements, the arrangements shall continue to be excepted for their respective terms, from the requirement to pay a Local Networks Service charge:

- 1. WMECO/NEP service to French King/Shelburne, Transmission Service Agreement dated 3/15/94 and subsequently amended.
- 2. WMECO/NEP service to SBNGB, Transmission Service Agreement dated 2/23/93 and subsequently amended.
- 3. Cambridge/BECO support agreements dated 10/1/65 and 1/1/75.
- 4. Six UI substations, UI/NU Agreement dated 8/24/93.
- 5. CMP/MEW/NU Agreement dated as of May 16, 1994.
- 6. CMEEC/NU Agreement dated 11/29/90.
- 7. Chicopee/NU Agreement dated 11/1/95.
- 8. South Hadley/NU Agreement dated 11/1/95.
- 9. Westfield/NU Agreement dated 1/1/95.
- 10. Unitil/NU Agreement dated 11/1/92.

### \*\* 3 ATTACHMENT H

# Form of Network Operating Agreement

### To be filed by the Transmission Provider 1.0 Preamble

This Network Operating Agreement is entered into by and between the NEPOOL Participants (the "Transmission Provider") acting through \_\_\_\_\_\_ (the "System Operator") and \_\_\_\_\_\_ (the "Transmission Customer") as an implementing agreement for the NEPOOL Open Access Transmission Tariff and is subject to and in accordance with the NEPOOL Open Access Tariff. All definitions and other terms and conditions of the NEPOOL Open Access Transmission Tariff are incorporated herein by reference. The Transmission Provider may designate a satellite dispatch center and/or one or more Participants to act for it under this Agreement.

## 2.0 General Terms and Conditions

The Transmission Provider agrees to provide transmission service to the Transmission Customer's equipment or facilities, etc., subject to the Transmission Customer operating its facilities in accordance with applicable NEPOOL and NPCC criteria, rules, standards, procedures, or guidelines as they may be adopted and/or amended from time to time. In addition to the provisions defined in those documents, service to the Transmission Customer's equipment or facilities, etc. is provided subject to the following specified terms and conditions.

- 2.1 Electrical Supply: The electrical supply to the Point(s)
  of Delivery shall be in the form of three-phase
  sixty-hertz alternating current at a voltage class
  determined by mutual agreement of the parties.
- 2.2 Coordination of Operations: The Transmission Provider
  shall consult the Transmission Customer and/or its
  Designated Agent regarding timing of scheduled
  maintenance of the Transmission System and the
  Transmission Provider shall schedule any shutdown or
  withdrawal of facilities to coincide with the

Transmission Customer's equipment or facilities, etc. scheduled outages of the Transmission Customer's resources, to the extent practicable. In the event the Transmission Provider is unable to schedule the shutdown of its facilities to coincide with Transmission Customer's schedule, the Transmission Provider shall notify the Transmission Customer and/or its Designated Agent, in advance if feasible, of reasons for the shutdown, the time scheduled for it to take place, and its expected duration. The Transmission Provider shall use due diligence to resume delivery of electric power as quickly as possible.

2.3 Reporting Obligations: The Transmission Customer shall be responsible for all information required by NPCC or NEPOOL. The Transmission Customer shall respond promptly and completely to the Transmission Provider's reasonable requests for information, including but not limited to, data necessary for operations, maintenance, regulatory requirements and analysis. In particular, that information may include:

## For Network Loads:

- 10-year coincident, seasonal (summer, winter) Annual
  Peak Load forecast, aggregated by geographic
  distribution area
- Load Power Factor performance by geographic distribution area
- Underfrequency load shedding capability aggregated by geographic distribution area
- Block load shedding capability aggregated by geographic distribution area
- Disturbance/interruption reports
- Protection system setting conformance
- Protection system testing and maintenance conformance
- Planned changes to protection systems
- Metering testing and maintenance conformance
- Planned changes in transformation capability
- Conformance to harmonic and voltage fluctuation limits
- Dead station tripping conformance

- Voltage reduction capability conformance

For Network Resources and interconnected generators:

- 10-year forecast of generation capacity retirements and additions, if applicable
- Generator reactive capability verification
- Generator underfrequency relaying conformance
- Protection system testing and maintenance conformance
- Planned changes to protection system
- Planned changes to generation parameters
- Metering testing and maintenance conformance

Failure by the Transmission Customer to do so may constitute default. Delinquency in responding by the Transmission Customer will result in a fine as described in 5.0 below.

The Transmission Customer shall supply accurate and reliable information to the system operators regarding metered values for MW, MVAR, volt, amp, frequency, breaker status indication, and all other information deemed necessary by the Transmission Provider for reliable operation. Information shall be gathered for electronic communication using one or more of the following: supervisory control and data acquisition (SCADA), remote terminal unit (RTU) equipment, and remote access pulse recorders (RAPR). All equipment used for metering, SCADA, RTU, RAPR, and communications must be approved by the Transmission Provider.

2.4 Operational Obligations: The Transmission Customer shall request permission from the system operators prior to opening and/or closing circuit breakers per applicable switching and operating procedures. The Transmission Customer shall carry out all switching orders from the Transmission Provider, the System Operator or the Transmission Provider's designee in a timely manner.

The Transmission Customer shall balance the load at the Point(s) of Delivery such that the difference in the individual phase currents are acceptable to the Transmission Provider.

The Transmission Customer's equipment shall conform with harmonic distortion and voltage fluctuation standards of the Transmission Provider.

The Transmission Customer's equipment must comply with all environmental requirements to the extent they impact the operation of the Transmission Provider's system.

The Transmission Customer shall operate all of its equipment and facilities connected to the Transmission Provider's system in a safe and efficient manner and in accordance with manufacturers' recommendations, Good Utility Practice, applicable regulations, and requirements of the Transmission Provider, the System Operator, and NPCC.

# 2.5 Notice of Transmission Service Interruptions: If at any time, in the reasonable exercise of the system

operator's judgement, operation of the Transmission Customer's equipment adversely affects the quality of service or interferes with the safe and reliable operation of the system, the Transmission Provider may discontinue transmission service until the condition has been corrected. Unless the system operators perceive that an emergency exists or the risk of one is imminent, the system operators shall give the Transmission Customer and/or its Designated Agent reasonable notice of its intention to discontinue transmission service and, where practical, allow suitable time for the Transmission Customer to remove the interfering condition. The Transmission Provider's judgement with regard to the discontinuance of service under this paragraph shall be made in accordance with Good Utility Practice. In the case of such discontinuance, the Transmission Provider shall immediately confer with the Transmission Customer regarding the conditions causing such discontinuance and its recommendation concerning timely correction thereof. Failure by a Customer to shed load would be subject to an additional charge of 10 /kWh for every kWh the Customer failed to shed.

2.6 Access and Control: Properly accredited representatives

of the Transmission Provider shall at all reasonable times have access to the Transmission Customer's facilities to make reasonable inspections and obtain information required in connection with this Tariff. Such representatives shall make themselves known to the Transmission Customer's personnel, state the object of their visit, and conduct themselves in a manner that will not interfere with the construction or operation of the Transmission Customer's facilities. The Transmission Provider or its designee will have control such that it may open or close the circuit breaker or disconnect and place safety grounds at the Point(s) of Delivery, or at the station, if the Point(s) of Delivery is remote from the station.

- 2.7 Point(s) of Delivery: Network Integration Transmission

  Service will be delivered by the Transmission

  Provider at the Point(s) of Delivery as specified in the customer's Service Agreement, and as amended from time to time. Each Point of Delivery shall have a unique identifier, meter location, meter number, metered voltage, terms on meter compensation and, the actual, or if not currently in service, the projected in-service year.
- 2.8 Maintenance of Equipment: The Transmission Customer shall maintain all of its equipment and facilities connected to the Transmission Provider's system in a safe and efficient manner and in accordance with manufacturers' recommendations, Good Utility Practice, applicable regulations, and requirements of NEPOOL, and NPCC.

The Transmission Provider may request that the Transmission Customer test, calibrate, verify or validate the data link, metering, data acquisition, transmission, protective, or other equipment or software consistent with the Transmission Customer's routine obligation to maintain its equipment and facilities or for the purposes of trouble shooting problems on the network facilities. The Transmission Customer will be responsible for the cost to test, calibrate, verify or validate the equipment or software.

The Transmission Provider shall have the right to inspect the tests, calibrations, verifications and validations of the data link, metering, data acquisition, transmission, protective, or other equipment or other software connected to the Transmission Provider's system.

The Transmission Customer, at the Transmission Provider's request, shall supply the Transmission Provider with a copy of the installation, test, and calibration records of the data link, metering, data acquisition, transmission, protective or other equipment or software connected to the Transmission Provider's system.

The Transmission Provider shall have the right, at the Transmission Customer's expense, to monitor the factory acceptance test, the field acceptance test, and the installation of any metering, data acquisition, transmission, protective or other equipment or software connected to the Transmission Provider's system.

2.9 Emergency System Operations: The Transmission Customer's equipment and facilities, etc. shall be subject to all applicable emergency operation standards required of and by the Transmission Provider to operate in an interconnected transmission network.

The Transmission Provider reserves the right to have the system operators take whatever actions or inactions they deem necessary during emergency operating conditions to:
(i) preserve the integrity of the Transmission System,
(ii) limit or prevent damage, (iii) expedite restoration of service, or (iv) preserve public safety.

2.10 Cost Responsibility: The Transmission Customer shall be responsible for all costs incurred by the Transmission Provider relative to the Transmission Customer's facilities. Some costs may be allocated to several Transmission Customers. If the method for allocating costs is not clearly defined, then the method for allocation will be at the Transmission Provider's discretion.

# 3.0 Service For a Network Resource

The following Terms and Conditions are specific to Service for a generator Network Resource.

otherwise, the Transmission Customer will operate its existing interconnected generation facility(ies) with an automatic voltage regulator(s). The voltage regulator will control voltage at the Point(s) of Receipt consistent with the range of voltage scheduled by the Transmission Provider, the Transmission Provider's agent, NEPEX, or REMVEC.

At the discretion of the Transmission Provider, the Transmission Customer may be directed to deactivate the automatic voltage regulator and to supply reactive power per a schedule provided by the Transmission Provider.

If the Transmission Customer has not installed capacity sufficient to operate its generation facility consistent with recommendations of the Transmission Provider resulting from the System Impact and Facilities Studies or fails to operate at such capacity, the Transmission Provider may install, at the Transmission Customer's expense, reactive compensation equipment necessary to ensure the proper voltage or reactive supply at the Point(s) of Receipt.

- 3.2 Station Service: When the Transmission Customer's generation facility is producing electricity, the Customer must supply its own station service power.

  If and when the Transmission Customer's generation facility is not producing electricity, the Customer must obtain station service capacity and energy from another supplier or another of its resources.
- 3.3 Protection Requirements: Protection requirements are defined in NEPOOL and NPCC documents as may be adopted or amended from time to time.
- require the generator to be equipped for Automatic Generation Control (AGC). The Transmission Customer will be responsible for all costs associated with installing and maintaining an AGC system on the generator(s).

The Transmission Provider retains the right to require

reduced generation at times when system conditions present transmission restrictions or otherwise adversely affect the Transmission Provider's other customers. The Transmission Provider will use due diligence to resolve the problems to allow the generator to return to the operating level prior to the Transmission Provider's notice to reduce generation.

All operations (including start-up, shutdown and determination of hourly generation) will be coordinated by the Transmission Provider.

shall furnish the Transmission Provider with generator annual maintenance schedules, advise the Transmission Provider if its Network Resource is capable of participation in system restoration and/or if it has black start capability.

The Transmission Provider reserves the right to specify turbine and/or generator control (e.g., droop) settings as determined by the System Impact or Facilities Study or subsequent studies. The Transmission Customer agrees to comply with such specifications by the Transmission Provider at the Transmission Customer's expense.

If the generator is not dispatchable by the Transmission Provider, the Transmission Customer shall notify the Transmission Provider at least 48 hours in advance of its intent to take its resource temporarily off-line and its intent to resume generation. In circumstances such as forced outages, the Transmission Customer shall notify the Transmission Provider as promptly as possible of the Network Resource's temporary interruption of generation and/or transmission.

# 4.0 Service for Delivery to Load

The following Terms and Conditions are specific to Service for Delivery to Load.

4.1 Power Factor Requirement: The Transmission Customer

agrees to maintain an overall Load Power Factor and reactive power supply within predefined sub-areas as measured at the Point(s) of Delivery within ranges

specified by the Transmission Provider or NEPOOL criteria, rules and standards which identify the power factor levels that must be maintained throughout the applicable sub-area for each anticipated level of total NEPOOL load. The Transmission Customer agrees to maintain Load Power Factor and reactive power requirements within the range specified by the Transmission Provider for the sub-area based on total NEPOOL load during that hour. NEPOOL may revise the power factor limits required from time to time. If the Transmission Customer lacks the capability to maintain the Load Power Factor within the ranges specified, the Transmission Provider may:

- a) install, at the Transmission Customer's expense,
   reactive compensation equipment necessary to ensure
   proper load power factor at the Point(s) of
   Delivery;
- b) charge the Transmission Customer per the Tariff Schedule OCC.
- 4.2 Protection Requirements: The Transmission Customer's relay and protection systems must comply with all applicable NEPOOL and NPCC criteria, rules, procedures, guidelines, standards or requirements as may be adopted or amended from time to time.
- 4.3 Operational Obligations: The Transmission Customer shall be responsible for operating and maintaining security of its electric system in a manner that avoids adverse impact to the Transmission Provider's or others' interconnected systems and complies with all applicable NEPOOL, and NPCC operating criteria, rules, procedures, guidelines and interconnection standards as may be amended or adopted from time to time. These actions include, but are not limited to:
  - Voltage Reduction Load Shedding
  - Underfrequency Load Shedding
  - Block Load Shedding
  - Dead Station Tripping
  - Transferring Load Between Point(s) of Delivery

- Implementing Voluntary Load Reductions Including Interruptible Customers
- Starting Stand-by Generation
- Permitting Transmission Provider Controlled Service
  Restoration Following Supply Delivery Contingencies
  on Transmission Provider Facilities
- perform consistent with the Terms and Conditions of this agreement, then the Transmission Customer will be deemed to be in default and service may be suspended immediately and subject to a termination through a FERC filing. If the Transmission Customer fails to provide the information required in Section 2.3 in a timely manner, the Transmission Provider shall be permitted to assess a penalty of \$100 per day until such information is provided in its entirety to the Transmission Provider.

The Parties whose autho			
warrant that they will conditions.	abide b <u>y</u>	the foregoing term	s and
_			
NEPOOL Participants		(Transmission Custo	mers)
By (System Operator)			
By:	By:		
			_
Title:		Title:	
Date			_
Date	<u>:</u>		

# \* 3 moved from here; text not shown Annual Transmission Revenue Requirement For Network Integration Transmission Service

<del>1.</del>	The	Annual	Transmis	ssion	Revenue	Requirem	ent	for	purposes	<del>-o£</del> -	<del>the</del>
3	Netwo	ork Inte	<del>egration</del>	Tran	<del>smission</del>	Service	shal	<del>l be</del>	=		<del>-</del>

2. The amount in (1) shall be effective until amended by the Transmission Provider or modified by the Commission.

ATTACHMENT I

Index Of Network Integration Transmission Service Customers

Date of
Customer Service Agreement
| skipped text deleted | ATTACHMENT I

# Form of System Impact Study Agreement

This Agreement dated \_\_\_\_\_\_\_, is entered into by (the "Transmission Customer") and the NEPOOL

Participants (the "Transmission Provider") acting through (the "System Operator"), for the purpose of setting forth the terms, conditions and costs for conducting a System

Impact Study relative to \_\_\_\_\_, in accordance with the NEPOOL Open Access Transmission Tariff ("Tariff"). All definitions and other terms and conditions of that Tariff are incorporated herein by reference. The Transmission Provider may designate one or more Participants or the System Operator to act for it under this Agreement.

1. The Transmission Customer agrees to provide, in a timely and complete manner, the information and technical data specified in Exhibit 1 to this Agreement and reasonably necessary for the Transmission Provider to conduct the System Impact study. The Transmission Customer understands that it must provide all such information and data prior to

- the Transmission Provider's commencement of the Study. Such information and technical data is specified in Exhibit 1 to this Agreement.
- 2. All work pertaining to the System Impact Study that is the subject of this Agreement will be approved and coordinated only through designated and authorized representatives of the Transmission Provider and the Transmission Customer.

  Each party shall inform the other in writing of its designated and authorized representative.
- Customer of any additional information as it may in its sole reasonable discretion deem necessary to complete the study.

  Any such additional information shall be obtained only if required by Good Utility Practice and shall be subject to the Transmission Customer's consent to proceed, such consent not to be unreasonably withheld.
- The Transmission Provider contemplates that it will require to complete the System Impact Study. Upon completion of the Study by the Transmission Provider, the Transmission Provider will provide a report to the Transmission Customer based on the information provided and developed as a result of this effort. If, upon review of the Study results, the Transmission Customer decides to pursue , the Transmission Provider will, at the Transmission Customer's direction, tender a Facilities Study Agreement within thirty (30) days. The System Impact and Facilities Studies, together with any additional studies contemplated in Paragraph 3, shall form the basis for the Transmission Customer's proposed use of the Transmission Provider's transmission system and shall be furthermore utilized in obtaining necessary third-party approvals of any interconnection facilities and requested transmission services. The Transmission Customer understands and acknowledges that any use of study results by the Transmission Customer or its agents, whether in preliminary or final form, prior to NEPOOL 18.4 approval, is completely at the Transmission Customer's risk and that the Transmission Provider will not guarantee or warrant the completeness, validity or utility of study results prior to NEPOOL 18.4 approval.
- 5. The estimated costs contained within this Agreement are the

Transmission Provider's good faith estimate of its costs to perform the System Impact Study contemplated by this Agreement. The Transmission Provider's estimates do not include any estimates for wheeling charges that may be associated with the transmission of facility output to third parties or with rates for station service. The actual costs charged to the Transmission Customer by the Transmission Provider may change as set forth in this Agreement. Prepayment will be required for all study, analysis, and review work performed by the Transmission Provider or its Designated Agent, all of which will be billed by the Transmission provider to the Transmission Customer in accordance with Paragraph 6 of this Agreement.

The payment required is \$\_\_ from the Transmission Customer to the Transmission Provider for the primary system analysis, coordination, and monitoring of the System Impact Study. The Transmission Provider will, in writing, advise the Transmission Customer in advance of any cost increases for work to be performed if total amount increases by 10% or more. Any such changes to the Transmission Provider's costs for the study work shall be subject to the Transmission Customer's consent, such consent not to be unreasonably withheld. The Transmission Customer shall, within thirty (30) days of the Transmission Provider's notice of increase, either authorize such increases and make payment in the amount set forth in such notice, or the Transmission Provider will suspend the System Impact Study and this Agreement will terminate if so permitted by the Federal Energy Regulatory Commission.

In the event this Agreement is terminated for any reason, the Transmission Provider shall refund to the Transmission Customer the portion of the above credit or any subsequent payment to the Transmission Provider by the Transmission Customer that the Transmission provider did not expend in performing its obligations under this Agreement. Any additional billings under this Agreement shall be subject to an interest charge computed in accordance with the provisions of the Transmission Provider's open access tariff. Payments for work performed shall not be subject to refunding except in accordance with Paragraph 7 below.

7. If the actual costs for the work exceed prepaid estimated costs, the Transmission Customer shall make payment to the

Transmission Provider for such actual costs within thirty(30) days of the date of the Transmission Provider's invoice for such costs. If the actual costs for the work are less than those prepaid, the Transmission Provider will credit such difference toward Transmission Provider costs unbilled, or in the event there will be no additional billed expenses, the amount of the overpayment will be returned to the Transmission Customer with interest computed as stated in Paragraph 6 of this Agreement, from the date of reconciliation.

- 8. Nothing in this Agreement shall be interpreted to give the Transmission Customer immediate rights to wheel over or interconnect with the Transmission Provider's transmission or distribution system. Such rights shall be provided for under separate agreement and in accordance with the Transmission Provider's open access tariff.
- 9. Within one (1) year following the Transmission Provider's issuance of a final bill under this Agreement, the Transmission Customer shall have the right to audit the Transmission Provider's accounts and records at the offices where such accounts and records are maintained, during normal business hours; provided that appropriate notice shall have been given prior to any audit and provided that the audit shall be limited to those portions of such accounts and records that relate to service under this Agreement. The Transmission Provider reserves the right to assess a reasonable fee to compensate for the use of its personnel time in assisting any inspection or audit of its books, records or accounts by the Transmission Customer or its Designated Agent.
- 10. Each party agrees to indemnify and hold the other party and its Related Persons of each of them (collectively "Affiliates") harmless from and against any and all damages, costs (including attorney's fees), fines, penalties and liabilities, in tort, contract, or otherwise (collectively "Liabilities") resulting from claims of third parties arising, or claimed to have arisen as a result of any acts or omissions of either party under this Agreement. Each party hereby waives recourse against the other party and its Related Persons for, and releases the other party and its Related Persons from, any and all Liabilities for or arising from damage to its property due to a performance under this

- Agreement by such other party except in cases of negligence or intentional wrongdoing by either party.
- 11. If either party materially breaches any of its covenants hereunder, the other party may terminate this Agreement by filing a notice of intent to terminate with the Federal Energy Regulatory Commission and serving notice of same on the other party to this Agreement. This remedy is in addition to any other remedies available to the injured party.
- 12. This Agreement shall be construed and governed in accordance with the laws of the State of Connecticut and with Part II of the Federal Power Act, 16 U.S.C. §§824d et seq., and with Part 35 of Title 18 of the Code of Federal Regulations, 18 C.F.R. §§35 et seq.
- 13. All amendments to this Agreement shall be in written form executed by both parties.
- 14. The terms and conditions of this Agreement shall be binding on the successors and assigns of either party.
- 15. This Agreement will remain in effect for a period of up to two years from its effective date as permitted by the Federal Regulatory Commission, and is subject to extension by mutual agreement. Either party may terminate this Agreement by thirty (30) days' notice except as is otherwise provided herein. If this Agreement expires by its own terms, it shall be the Transmission Provider's responsibility to make such filing.

Transmission Customer:	NEPOOL Participants
	By (System Operator)
Name:	Name:
Title:	Title:
Date:	Date:

#### EXHIBIT 1

Information to be Provided to the Transmission Provider by the Transmission Customer for System Impact Study

# 1.0 Facilities Identification

- 1.1 Requested capability in MW and MVA; summer and winter
- 1.2 Site location and plot plan with clear geographical references
- 1.3 Preliminary one-line diagram showing major equipment and extent of Transmission Customer ownership
- 1.4 Auxiliary power system requirements
- 1.5 Back-up facilities such as standby generation or alternate supply sources

## 2.0 Major Equipment

- 2.2 Generator(s): rated MVA, speed and maximum and minimum MW output, reactive capability curves, open circuit saturation curve, power factor (V) curve, response (ramp) rates, H (inertia), D (speed damping), short circuit ratio,  $X_1$  (leakage),  $X_2$ : (negative sequence), and  $X_0$  (zero sequence) reactances and other data:

Direct Quadrature
Axis Axis

Saturated synchronous reactance	$X_{dv}$	$X_{qv}$	
unsaturated synchronous reactance		X <sub>di</sub>	$X_{qt}$
saturated transient reactance		X' <sub>dv</sub>	X' <sub>qv</sub>
unsaturated transient reactance	X' <sub>di</sub>	X' <sub>qi</sub>	

saturated subtransient reactance	X" <sub>dv</sub>	X" <sub>qv</sub>
unsaturated subtransient reactance	X" <sub>di</sub>	X" <sub>qi</sub>
transient open-circuit time	T' <sub>do</sub> _	T'qo
constant		
transient short-circuit time	T" <sub>d</sub>	T" <sub>q</sub>
constant		
subtransient open-circuit time	T" <sub>do</sub>	T" <sub>qo</sub>
constant		
subtransient short-circuit time T"d	<u>T"</u> ª	
constant		

- 2.3 Excitation system, power system stabilizer and governor:

  manufacturer's data in sufficient detail to allow modeling in transient stability simulations.
- 2.4 Prime mover: manufacturer's data in sufficient detail to allow modeling in transient stability simulations, if determined necessary.
- 2.5 Busses: rated voltage and ampacity (normal, long-time emergency and short-time emergency thermal ratings), conductor type and configuration.
- 2.6 Transmission lines: overhead line or underground cable rated voltage and ampacity (normal, long-time emergency and short-time emergency thermal ratings),  $\frac{Z_1 \text{ (positive sequence) and } Z_0 \text{ (zero sequence)}}{\text{impedances, conductor type, configuration, length and termination points.}$
- 2.7 Motors greater than 150 kW 3-phase or 50 kW single-phase:

  type (induction or synchronous), rated hp, speed,
  voltage and current, efficiency and power factor at
  1/2, 3/4 and full load, stator resistance and
  reactance, rotor resistance and reactance,
  magnetizing reactance.
- 2.8 Circuit breakers and switches: rated voltage,
  interrupting time and continuous, interrupting and
  momentary currents. Provide normal, long-time
  emergency and short-time emergency thermal ratings.
- 2.9 Protective relays and systems: ANSI function number,

quantity manufacturer's catalog number, range, descriptive bulletin, tripping diagram and threeline diagram showing AC connections to all relaying and metering.

- 2.10 CT's and VT's: location, quantity, rated voltage, current and ratio.
- 2.11 Surge protective devices: location, quantity, rated voltage and energy capability.

# 3.0 Other

- 3.1 Additional data reasonably necessary to perform the

  System Impact Study will be provided by the

  Transmission Customer as requested by the

  Transmission Provider.
- 3.2 The Transmission Provider reserves the right to require that the Transmission Customer accept the use in the study of specific equipment settings or characteristics necessary to meet NEPOOL and NPCC criteria and standards.

### ATTACHMENT J

# Form of Facilities Study Agreement

This agreement dated, is entered into by
(the Transmission Customer) and the NEPOOL
Participants (the "Transmission Operator") acting through the
("System Provider"), for the purpose of setting
forth the terms, conditions and costs for conducting a Facilities
Study Agreement relative to, in accordance
with the NEPOOL Open Access Transmission Tariff ("Tariff"). All
definitions and other terms and conditions of that Tariff are
incorporated herein by reference. The Transmission Provider may
designate one or more Participants or the System Operator to act
for it under this Agreement. The Facilities Study will determine
the detailed engineering, design and cost of the facilities
necessary to satisfy the Transmission Customer's request for
service over the NEPOOL Transmission System.

- 1. The Transmission customer agrees to provide, in a timely complete manner, the information and technical data specified in Exhibit 1 to this Agreement and reasonably necessary for the Transmission Provider to conduct the Facilities Study. Where such information and technical data was provided for the System Impact Study, it should be reviewed and updated with current information, as required.
- 2. All work pertaining to the Facilities Study that is the subject of this Agreement will be approved and coordinated only through designated and authorized representatives of the Transmission Provider and the Transmission Customer.

  Each party shall inform the other in writing of its designated and authorized representative.
- 3. The Transmission Provider will advise the Transmission

  Customer of additional information as may be reasonably deemed necessary to complete the study by the Transmission Provider. Any such additional information shall be obtained only if required by Good Utility Practice and shall be subject to the Transmission Customer's consent to proceed, such consent not to be unreasonably withheld.

- The Transmission Provider contemplates that it will require days to complete the Facilities Study. Upon completion of the study by the Transmission Provider, the Transmission Provider will provide a report to the Transmission Customer based on the information provided and developed as a result of this effort. If, upon review of the study results, the Transmission Customer decides to pursue its transmission service request, the Transmission Customer must sign a supplemental Service Agreement with the Transmission Provider under the Tariff. The System Impact and Facilities Studies, together with any additional studies contemplated in Paragraph 3, shall form the basis for the Transmission Customer's proposed use of the Transmission Provider's Transmission System and shall be furthermore utilized in obtaining necessary third-party approvals of any facilities and requested transmission services. The Transmission Customer understands and acknowledges that any use of the study results by the Transmission Customer or its agents whether in preliminary or final form, prior to NEPOOL 18.4 approval, is completely at the Transmission Customer's risk and that the Transmission provider will not guarantee or warrant the completeness, validity or utility of the study results prior to NEPOOL 18.4 approval.
- The estimated costs contained within this Agreement are the Transmission provider's good faith estimate of its costs to perform the Facilities Study contemplated by this Agreement. The Transmission Provider's estimates do not include any estimates for wheeling charges that may be associated with the transmission of facility output to third parties or with rates for station service. The actual costs charged to the Transmission Customer by the Transmission Provider may change as set forth in this Agreement. Prepayment will be required for all study, analysis, and review work performed by the Transmission Provider's or its Designated Agent's personnel, all of which will be billed by the Transmission Provider to the Transmission Customer in accordance with Paragraph 6 of this Agreement.
- The payment required is \$\_\_\_\_\_ from the Transmission Customer to the Transmission Provider for the primary system analysis, coordination, and monitoring of the Facilities Study to be performed by the Transmission Provider for the Transmission Customer's requested service. The Transmission Provider will, in writing, advise the

Transmission Customer in advance of any cost increases for work to be performed if the total amount increases by 10% or more. Any such changes to the Transmission Provider's costs for the study work to be performed shall be subject to the Transmission Customer's consent, such consent not to be unreasonably withheld. The Transmission Customer shall, within thirty (30) days of the Transmission Provider's notice of increase, either authorize such increases and make payment in the amount set forth in such notice, or the Transmission Provider will suspend the study and this Agreement will terminate if so permitted by the Federal Energy Regulatory Commission.

In the event this Agreement is terminated for any reason, the Transmission Provider shall refund to the Transmission Customer the portion of the above credit or any subsequent payment to the Transmission Provider by the Transmission Customer that the Transmission Provider did not expend in performing its obligations under this Agreement. Any additional billings under this Agreement shall be subject to an interest charge computed in accordance with the provisions of the Transmission Provider's appropriate transmission tariff. Payments for work performed shall not be subject to refunding except in accordance with Paragraph 7 below.

- 7. If the actual costs for the work exceed prepaid estimated costs, the Transmission Customer shall make payment to the Transmission Provider for such actual costs within thirty (30) days of the date of the Transmission Provider's invoice for such costs. If the actual costs for the work are less than that prepaid, the Transmission Provider will credit such difference toward Transmission Provider's costs unbilled, or in the event there will be no additional billed expenses, the amount of the overpayment will be returned to the Transmission Customer with interest computed in accordance with the provisions of the Tariff.
- 8. Nothing in this Agreement shall be interpreted to give the Transmission Customer immediate rights to interconnect to or wheel over the NEPOOL Transmission System. Such rights shall be provided for under separate agreement.
- 9. Within one (1) year following the Transmission Provider's issuance of a final bill under this Agreement, the

Transmission Customer shall have the right to audit the Transmission Provider's accounts and records at the offices where such accounts and records are maintained during normal business hours; provided that appropriate notice shall have been given prior to any audit and provided that the audit shall be limited to those portions of such accounts and records that relate to service under this Agreement. The Transmission provider reserves the right to assess a reasonable fee to compensate for the use of its personnel time in assisting any inspection or audit of its books, records or accounts by the Transmission Customer or its Designated Agent.

- 10. Each party agrees to indemnify and hold the other party and its Related Persons harmless from and against any and all damages, costs (including attorney's fees), fines, penalties and liabilities, in tort, contract, or otherwise (collectively "Liabilities") resulting from claims of third parties arising, or claimed to have arisen as a result of any acts or omissions of either party under this Agreement.

  Each party hereby waives recourse against the other party and its Related Persons for, and releases the other party and its Related Persons from, any and all Liabilities for or arising from damage to its property due to performance under this Agreement by such other party except in cases of negligence or intentional wrongdoing by either party.
- 11. If any party materially breaches any of its covenants hereunder, the other party may terminate this Agreement by filing a notice of intent to terminate with the Federal Energy Regulatory Commission and serving notice of same on the other party to this Agreement. This remedy is in addition to any other remedies available for the injured party.
- 12. This agreement shall be construed and governed in accordance with the laws of the State of Connecticut and with Part II of the Federal Power Act, 16 U.S.C. §§824d et seq., and with Part 35 of Title 18 of the Code of Federal Regulations, 18 C.F.R. §§35 et seq.
- 13. All amendments to this Agreement shall be in written form executed by both parties.
- 14. The terms and conditions of this Agreement shall be binding

on the successors and assigns of either party.

15. This Agreement will remain in effect for a period of two years from its effective date as permitted by the Federal Energy Regulatory Commission, and is subject to extension by mutual agreement.

Either party may terminate this Agreement by thirty (30) days' notice except as is otherwise provided herein. If this Agreement expires by its own terms, it shall be the Transmission Provider's responsibility to make such filing.

Transmission Customer:

By (System Operator)

Name:

Title:

Date:

Date:

Open Access Transmission Tariff Original Sheet No. 288

NEPOOL

ATTACHMENT B
Appendix D TO RESTATED
PRO FORMA NEPOOL
AGREEMENT

**NEPOOL** OPEN ACCESS

TRANSMISSION TARIFF

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ı	COMPARISON OF FOOTNOTES
	-FOOTNOTE 1- The blank space shall be filled in in accordance with the 33rd Amendment. Pending the filling in of the blank the service shall continue until the termination of the transmission agreement or the end of the Transition Period, whichever occurs first.
	-FOOTNOTE 2- The sentence shall be completed in accordance with the 33rd Amendment.
	The sentence shall be completed in accordance with the 33rd Amendment.
	COMPARISON OF HEADERS
	-HEADER 1- NEPOOL(Name of Transmission Provider) Open Access Transmission Tariff Tar iff Original Sheet No. 10
	-HEADER 2- (Name of Transmission Provider) Open Access Transmission Tariff Tar iff Original Sheet No. 1
	COMPARISON OF FOOTERS
	-FOOTER 1- <u>HART01 84521 1 HART01-84521-28</u> 66227-00012 December 27, 1996 9: 38 35 am

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