

### **PROPOSED PLAN APPLICATION SUBMITTAL PROCEDURE**

Governance Participants will follow the "Proposed Plan Application Submittal Procedure" contained herein for the submittal of their proposed plans for review pursuant to Section I.3.9 of the ISO New England Inc. Transmission, Markets and Service Tariff (the "Tariff"). The intent of this procedure is to detail the information required under Planning Procedure Nos. 5-1 (PP5-1) and 5-3 (PP5-3) to assure an efficient Proposed Plan Application (PPA) review by the Reliability Committee (RC) and by the ISO. PP5-1 and PP5-3 may be found on the ISO Website at:

<http://www.iso-ne.com/participate/rules-procedures/planning-procedures>.

The most recent revision of this Attachment 4 may be found on the ISO Website at:

[https://www.iso-ne.com/static-assets/documents/2018/03/pp5-1\\_attachment4.pdf](https://www.iso-ne.com/static-assets/documents/2018/03/pp5-1_attachment4.pdf)

#### **Notification**

The Governance Participant is encouraged to discuss a proposed project (or project revision) with the ISO and, as necessary, the Transmission Owner for guidance regarding the appropriate level of study required and whether a PPA or a "Generator Notification Form for Units or Changes of Less Than 5 MW" should be submitted to the ISO. PPA forms may be found on the ISO Website at:

<http://www.iso-ne.com/system-planning/transmission-planning/proposed-plan-applications>.

A Governance Participant wishing to discuss a proposed project with the ISO should notify the ISO via the e-mail address [ProposedPlans@iso-ne.com](mailto:ProposedPlans@iso-ne.com).

#### **Submittal of Study Results and Proposed Plan Application Materials**

- **Level 0 Analysis**

PP5-1 Section 4 lists the types of projects that do not require a PPA submittal under this procedure. Pursuant to this procedure and procedure PP5-3, these types of project require Level 0 analysis. However, subject to the provisions of PP5-1, a "Generator Notification Form for Units or Changes of Less Than 5 MW", should be submitted to the ISO for proposed projects which are less than 5 MWs of new or increased generation. These submittals shall be made to the ISO via the email address [ProposedPlans@iso-ne.com](mailto:ProposedPlans@iso-ne.com) for ISO review and for RC distribution.

- **Level I Analysis**

In the case of a project requiring Level I analysis, as defined in PP5-3, the PPA submittal is for information only, and the reporting of any study results is not required. The Governance Participant may submit the PPA requiring Level I analysis directly to the ISO for review and distribution. ISO may confer with potentially Affected Entities to confirm that no reporting of analysis is required and that the project requires Level I analysis.

The complete PPA package for a project requiring Level I analysis shall be submitted to the ISO via e-mail to [ProposedPlans@iso-ne.com](mailto:ProposedPlans@iso-ne.com) and shall include:

- A cover letter that is addressed to the Chair of the Reliability Committee and requests RC review under Section I.3.9 of the Tariff. The letter must identify the project, the submitted PPA(s), the level of analysis (Level I), and additional related materials that are being submitted.
- PPA(s) completed in accordance with this PP 5-1.
- One-line diagram(s) showing the proposed modification(s).
- Additional materials related to the project, as may be requested by the ISO.
- Once the project PPA package is deemed complete, the RC Secretary shall provide the materials to the RC for their review.

- **Level II or III Analysis**

A project requiring Level II or III analysis requires RC and ISO review.

- 1) The project's proponent must contact the ISO via e-mail at [ProposedPlans@iso-ne.com](mailto:ProposedPlans@iso-ne.com), to coordinate the review of the project.
- 2) The project proponent shall submit to the ISO, via e-mail at [ProposedPlans@iso-ne.com](mailto:ProposedPlans@iso-ne.com), a draft of each PPA for the project along with the study report(s). The complete study report(s) shall include a project description of sufficient detail for review and one-line diagrams showing the elements of the project, and sufficient reporting of the analyses performed to demonstrate that the project will not have any significant adverse effects under Section I.3.9 of the Tariff. The project description must include any additional upgrades necessary to mitigate any adverse effects that may be identified during the review and any specific conditions regarding the construction sequencing of the elements within the project and with the elements of other projects that have been determined to have no adverse effects under Section I.3.9 of the Tariff.
- 3) The ISO review will ensure consistency of the project description with the one-line diagrams in the report. ISO Planning staff will ensure that the project report and draft PPA(s) are consistent.
- 4) When the ISO confirms that the study results adequately support the project, it will provide a recommendation letter to the RC indicating the project reports are complete and that the project will not have any significant adverse effects pursuant to Section

I.3.9 of the Tariff. This recommendation letter will include any opinions expressed by Affected Entities regarding significant impacts that they believe to be insufficiently addressed.

- 5) After it has been confirmed that the study results adequately support the project, the Governance Participant may submit a letter requesting Section I.3.9 review of the project to the RC Chair. The letter must identify the project, the submitted PPA(s), the level of analysis (Level II/III), the study report(s), and additional related materials that are being submitted. The letter shall be submitted to the ISO by email to [ProposedPlans@iso-ne.com](mailto:ProposedPlans@iso-ne.com).
- 6) ISO Planning staff will provide to the RC Secretary the PPA(s), study report(s) and any additional related materials that were identified in the letter to the RC Chair. The RC Secretary will coordinate the RC review of the project with the project proponent.

### **General Requirements**

The ISO will send an e-mail to the plan proponent to provide:

- confirmation of receipt of the project PPA materials or any appropriate modifications to the submitted materials; and
- verification of the submittal date of the complete project PPA package appropriate for review by the RC.

The appropriate project PPA package will be forwarded to all appropriate ISO personnel, and then distributed to the RC prior to the meeting at which the project is to be considered.

The applicant should provide additional copies of project PPA materials to committee members upon request.