### CSE 258, Homework 3

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Tasks (Read prediction)

```
In [1]:
        import gzip
        from collections import defaultdict
        def readGz(path):
            for l in gzip.open(path, 'rt'):
                yield eval(1)
        def readCSV(path):
            f = gzip.open(path, 'rt')
            f.readline()
            for 1 in f:
                yield l.strip().split(',')
        ### Rating baseline: compute averages for each user, or return the global a
        allRatings = []
        userRatings = defaultdict(list)
        for user,book,r in readCSV("train_Interactions.csv.gz"):
            r = int(r)
            allRatings.append(r)
            userRatings[user].append(r)
        globalAverage = sum(allRatings) / len(allRatings)
        userAverage = {}
        for u in userRatings:
            userAverage[u] = sum(userRatings[u]) / len(userRatings[u])
        predictions = open("predictions Rating.txt", 'w')
        for 1 in open("pairs Rating.txt"):
            if l.startswith("userID"):
            #header
                predictions.write(1)
                continue
            u,b = l.strip().split('-')
            if u in userAverage:
                predictions.write(u + '-' + b + ',' + str(userAverage[u]) + '\n')
            else:
                predictions.write(u + '-' + b + ',' + str(globalAverage) + '\n')
        predictions.close()
        ### Would-read baseline: just rank which books are popular and which are no
        bookCount = defaultdict(int)
        totalRead = 0
        for user,book, in readCSV("train Interactions.csv.gz"):
            bookCount[book] += 1
            totalRead += 1
        mostPopular = [(bookCount[x], x) for x in bookCount]
        mostPopular.sort()
        mostPopular.reverse()
        return1 = set()
```

```
count = 0
for ic, i in mostPopular:
    count += ic
    return1.add(i)
    if count > totalRead/2: break
predictions = open("predictions_Read.txt", 'w')
for l in open("pairs Read.txt"):
    if l.startswith("userID"):
    #header
        predictions.write(1)
        continue
    u,b = l.strip().split('-')
    if b in return1:
        predictions.write(u + '-' + b + ",1 \n")
    else:
        predictions.write(u + '-' + b + ", 0 \ n")
predictions.close()
```

Question 1: Although we have built a validation set, it only consists of positive samples. For this task we also need examples of user/item pairs that weren't read. For each entry (user,book) in the validation set, sample a negative entry by randomly choosing a book that user hasn't read.1 Evaluate the performance (accuracy) of the baseline model on the validation set you have built (1 mark).

```
In [2]: allusers= []
    allbooks= []
    allratings= []
    for u, b, r in readCSV("train_Interactions.csv.gz"):
        allusers.append(u)
        allbooks.append(b)
        allratings.append(r)

#print(len(user))
```

```
In [4]: X_train= X[:190000]
        X_valid= X[190000:]
        y_train= y[:190000]
        y_valid= y[190000:]
In [5]: userBooks = defaultdict(list)
        for user,book,r in readCSV("train_Interactions.csv.gz"):
            userBooks[user].append(book)
        print(len(userBooks))
        11357
In [6]:
        #Generating negative instances for the validation set
        import random
        def Diff(li1, li2):
            return (list(set(li1) - set(li2)))
        count= 0
        for u, b in X valid:
            #print(count)
            user_books= userBooks[u]
            temp= Diff(allbooks,user_books)
            book= random.choice(temp)
            X_valid.append([user, book])
            count+=1
            if count==10000:
                break
        i=0
        while i<10000:
            y valid.append(0)
            i+=1
        print(len(y valid))
        print(len(X_valid))
        20000
        20000
```

localhost:8888/notebooks/CSE258\_HW3 .ipynb

```
In [7]: #Baseline function
        pred= []
        def predict_read(X):
            for i in range(len(X)):
                 u = X[i][0]
                 b = X[i][1]
                 if b in return1:
                     pred.append(1)
                 else:
                     pred.append(0)
        predict_read(X_valid)
        #accuracy
        c = 0
        for i in range(len(y_valid)):
            if(y valid[i] == pred[i]):
                 c+=1
        print(c/len(y_valid))
```

0.65045

#### **Answer for Question 1:**

The accuracy on the validation set is 65.045%.

Question 2: The existing 'read prediction' baseline just returns True if the item in question is 'popular,' using a threshold of the 50th percentile of popularity (totalRead/2). Assuming that the 'non-read' test examples are a random sample of user-book pairs, this threshold may not be the best one. See if you can find a better threshold and report its performance on your validatin set (1 mark)

```
In [8]:
        pred= []
        bookCount = defaultdict(int)
        totalRead = 0
        for user,book, in readCSV("train_Interactions.csv.gz"):
            bookCount[book] += 1
            totalRead += 1
        mostPopular = [(bookCount[x], x) for x in bookCount]
        mostPopular.sort()
        mostPopular.reverse()
        return1 = set()
        count = 0
        for ic, i in mostPopular:
            count += ic
            return1.add(i)
            if count > 2*totalRead/3: break
        predict read(X valid)
        #accuracy
        c = 0
        for i in range(len(y_valid)):
            if(y valid[i] == pred[i]):
                c+=1
        print(c/len(y valid))
```

0.65315

#### **Answer for Question 2:**

A better threshhold will be 66.67th percentile of popularity. (2\* totalRead/3) The performance on the validation set goes up from 65.045% to 65.315%.

Question 3: A stronger baseline than the one provided might make use of the Jaccard similarity (or another similarity metric). Given a pair (u, b) in the validation set, consider all training items b 0 that user u has read. For each, compute the Jaccard similarity between b and b 0, i.e., users (in the training set) who have read b and users who have read b 0. Predict as 'read' if the maximum of these Jaccard similarities exceeds a threshold (you may choose the threshold that works best). Report the performance on your validation set (1 mark).

```
In [9]: bookUsers = defaultdict(list)
    for user,book,r in readCSV("train_Interactions.csv.gz"):
        bookUsers[book].append(user)
    print(len(bookUsers))
```

7170

```
In [10]: pred_jaccard= []
         def Jaccard(b1, b2):
             s1= bookUsers[b1] #set of users who have read b1
             s2= bookUsers[b2] #set of users who have read b2
             s1 = set(s1)
             s2 = set(s2)
             numer = len(s1.intersection(s2))
             denom = len(s1.union(s2))
             return numer / denom
         def mostSimilar(X):
             for i in range(len(X)):
                  u = X[i][0]
                  b = X[i][1]
                  user_books= userBooks[u] #all the books user u has read
                  similarities = []
                  for j in range(len(user books)):
                      if b == user books[j]:
                          continue
                      sim= Jaccard(b, user books[j])
                      similarities.append(sim)
                  if max(similarities)> 0.02:
                      pred jaccard.append(1)
                  else:
                      pred jaccard.append(0)
         mostSimilar(X valid)
```

```
In [11]: cj= 0

for i in range(len(y_valid)):
    if(y_valid[i] == pred_jaccard[i]):
        cj+= 1

print(cj/len(y_valid))
```

0.76405

#### **Answer for Question 3:**

The performance on the validation set was maximum at a threshhold of 0.02. The accuracy was 76.405%.

# Question 4: Improve the above predictor by incorporating both a Jaccard-based threshold and a popularity based threshold. Report the performance on your validation set (1 mark).

[1, 1, 1, 1, 1, 0, 1, 1, 0, 1, 1, 1, 1, 1, 0, 1, 1, 1, 1, 1]

```
In [13]: predv= []
         return1 = set()
         count = 0
         for ic, i in mostPopular:
             count += ic
             return1.add(i)
             if count > 2*totalRead/3: break
         for i in range(len(X_valid)):
             u = X_valid[i][0]
             b = X \ valid[i][1]
             user books= userBooks[u] #all the books user u has read
             similarities = []
             for j in range(len(user_books)):
                  if b == user books[j]:
                          continue
                  sim= Jaccard(b, user_books[j])
                  similarities.append(sim)
             if max(similarities)> 0.01 and b in return1:
                  predv.append(1)
             elif max(similarities)> 0.035 and b not in return1:
                 predv.append(1)
             else:
                 predv.append(0)
         cv=0
         for i in range(len(y_valid)):
             if(y valid[i] == predv[i]):
                 cv += 1
         print(cv/len(y_valid))
```

0.7985

#### **Answer for Question 4:**

By combining popularity and Jaccard-similarity, the accuracy on the validation set is 79.85%, which is an improvement from 76.405%.

Question 5: To run our model on the test set, we'll have to use the files 'pairs Read.txt' to find the reviewerID/itemID pairs about which we have to make predictions. Using that data, run the above model and upload your solution to Kaggle. Tell us your Kaggle user name (1 mark). If you've already uploaded a better solution to Kaggle, that's fine too!

#### **Answer for Question 5:**

Username: amoghasekhar

Display name: Amogha Sekhar

Name on leaderboard: Amogha Sekhar

Email: amogha.sekhar@gmail.com (mailto:amogha.sekhar@gmail.com)

Submitted my solution on Kaggle.

#### Tasks (Rating prediction)

9. Fit a predictor of the form rating(user, item) '  $\alpha$  +  $\beta$ user +  $\beta$ item, by fitting the mean and the two bias terms as described in the lecture notes. Use a regularization parameter of  $\lambda$  = 1. Report the MSE on the validation set (1 mark).

```
In [14]: #Getting the data in the form we need
import numpy

data= []
for user,book,r in readCSV("train_Interactions.csv.gz"):
    data.append([user, book, int(r)])

train= data[:190000]
valid= data[190000:]

#print(train[:10])
```

```
In [15]: #function to get the features of the training set: namely alpha, beta u, be
         def getFeatures(data):
             alpha = 0*random.random()
             betau = {}
             betai = {}
             itemsWithUser = {}
             usersWithItem = {}
             ratings = defaultdict(dict)
             for i in range(len(data)):
                 itemid = data[i][1]
                 userid = data[i][0]
                 rating = int(data[i][2])
                 betau[userid] = 0*random.random();
                 betai[itemid] = 0*random.random();
                 if userid in itemsWithUser:
                      itemsWithUser[userid].append(itemid)
                 else:
                      itemsWithUser[userid] = [itemid]
                 if itemid in usersWithItem:
                      usersWithItem[itemid].append(userid)
                 else:
                      usersWithItem[itemid] = [userid]
                 ratings[userid][itemid] = rating
             return alpha, betau, betai, itemsWithUser, usersWithItem, ratings
```

```
In [16]: #function to train the parameters based on the update rule in lecture notes
         def trainParam(alpha, betau, betai, itemsWithUser, usersWithItem, ratings,
             N = 0
             alpha = 0
             for user in ratings:
                 for item in ratings[user]:
                     alpha = alpha + (ratings[user][item] - betau[user] - betai[item
                     N = N + 1
             alpha = alpha/N
             for user, items in itemsWithUser.items():
                 betaUpdate = 0
                 l = len(items)
                 for item in items:
                     betaUpdate = betaUpdate + (ratings[user][item] - alpha - betai[
                 betau[user] = betaUpdate/(lmbda + 1)
             for item, users in usersWithItem.items():
                 betaUpdate = 0
                 l = len(users)
                 for user in users:
                     betaUpdate = betaUpdate + (ratings[user][item] - alpha - betau[
                 betai[item] = betaUpdate/(lmbda + 1)
             return alpha
```

```
def getTestFeatures(data):
             features = []
             for i in range(len(data)):
                 feature = []
                 itemid = data[i][1]
                 userid = data[i][0]
                 rating = data[i][2]
                 feature.append(userid)
                 feature.append(itemid)
                 feature.append(rating)
                 features.append(feature)
             return features
In [18]: from sklearn.metrics import mean absolute error, mean squared error
         #function to find MSE on the validation set
         def validation(features, alpha, betau, betai):
             predictions = []
             true = []
             for feature in features:
                     prediction = alpha
                     if feature[0] in betau:
                          prediction = prediction + betau[feature[0]]
                     if feature[1] in betai:
                         prediction = prediction + betai[feature[1]]
                     predictions.append(prediction)
                     true.append(feature[-1])
             predictions = numpy.array(predictions)
             true = numpy.array(true)
             return mean squared error(true, predictions)
In [19]: alpha, betau, betai, itemsWithUser, usersWithItem, ratings = getFeatures(tr
         alpha= trainParam(alpha, betau, betai, itemsWithUser, usersWithItem, rating
In [20]:
In [21]: features= getTestFeatures(valid)
In [22]: mse= validation(features, alpha, betau, betai)
         print(mse)
         1.1203483020335931
```

#### **Answer for Question 9:**

In [17]: #function to form the test set

The MSE on the validation set is 1.1203483020335931.

## Question 10: Report the user and book IDs that have the largest and smallest values of $\beta$ (1 mark).

```
In [23]: | maxbetauser = ''
         temp = -1
         for user in betau:
             if betau[user] > temp:
                  maxbetauser = user
                  temp = betau[user]
         minbetauser = ''
         temp = 1000
         for user in betau:
             if betau[user] < temp:</pre>
                  minbetauser = user
                  temp = betau[user]
         maxbetaitem = ''
         temp = -1
         for item in betai:
              if betai[item] > temp:
                  maxbetaitem = item
                  temp = betai[item]
         minbetaitem = ''
         temp = 1000
         for item in betai:
              if betai[item] < temp:</pre>
                  minbetaitem = item
                  temp = betai[item]
         print (maxbetauser + " : " + str(betau[maxbetauser]) + " " + minbetauser +
         print (maxbetaitem + " : " + str(betai[maxbetaitem]) + " " + minbetaitem +
         print (maxbetauser in ratings)
         print (minbetauser in ratings)
         u81539151 : 1.0835301939058184 u76571258 : -3.7672170175438575
         b19925500 : 1.1720514785959866 b84091840 : -1.6952980452472723
         True
```

```
True
```

#### **Answer for Question 10:**

The user ID which has the smallest beta value= u76571258

The user ID which has the largest beta value= u81539151

The book ID which has the smallest beta value= b84091840

The book ID which has the largest beta value= b19925500

Question 11: Find a better value of  $\lambda$  using your validation set. Report the value you chose, its MSE, and upload your solution to Kaggle by running it on the test data (1 mark).

```
In [24]: for lmbda in range(1,10):
    alpha, betau, betai, itemsWithUser, usersWithItem, ratings = getFeature
    features = getTestFeatures(valid)
    prev = 100;
    while(True):
        mse = validation(features, alpha, betau, betai)
        if prev - mse < .000000001:
            break
        prev = mse
        alpha = trainParam(alpha, betau, betai, itemsWithUser, usersWithIte
        print (lmbda, mse)</pre>
```

```
1 1.1159069673742232
2 1.1099085617893674
3 1.1080652400772688
4 1.1087757564475635
5 1.111117718270156
6 1.1145221947938972
7 1.1186217976272135
8 1.1231713328901287
9 1.1280031181106889
```

#### **Answer for Question 11:**

As can been seen from above:

When lambda=2, MSE= 1.1099085617893674

When lambda= 3, MSE= 1.1080652400772688

When lambda= 4, MSE= 1.1087757564475635

When lambda= 5, MSE= 1.111117718270156

When lambda= 6, MSE= 1.1145221947938972

Basically, any of these values of lambda will work!

The best value is lambda=3, and I have uploaded my solution on kaggle.

```
In [ ]:
```